Research Letter

Nanopinholes-Based Optical Superlens

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A type of nanopinholen-based plasmonic structure is presented. It can realize superfocusing within micron-scale propagation distance with spatial resolution beyond diffraction limit. Cut-off wavelength effect is highlighted for understanding how periodicity distribution of the nanopinholes influences transmission and focusing through the structure. Redshift peak transmission occurs while the periodicity increases. In addition, focusing property of the plasmonic structures is analyzed for the monochromatic illumination with different incident wavelengths ranging from 400 nm to 750 nm. The easy fabrication and high focusing performance of the proposed structures may be used in data storage devices, bioimaging, and nanolithography.

Photon sieves are a type of diffractive optical elements (DOEs) developed for soft X-ray focusing and imaging. Spatial resolution of the conventional diffractive lenses, such as Fresnel zone plate, is in the order of the width of the outermost zone [1–3]. Therefore, reducing feature size of the zone plates helps to improve the spatial resolution. Unfortunately, however, the smallest feature sizes which can be fabricated by means of today’s lithographic techniques are typically in the range of 20 nm to 40 nm. To overcome the limitation in resolution, Kipp et al. [4] put forth in 2001 a new type of DOE, which was referred to as photon sieves. Relevant theoretical analyses and applications have been reported consequently regarding the photon sieves [5–11]. All the photon sieves reported so far work in far-field region with macro-scale dimensions and their minimum hole diameter is larger than the incident wavelength \( \lambda \). If the pinhole diameter could be made smaller than \( \lambda/2 \) in a homogeneous dielectric material, then cut-off wavelength effect would occur. Therefore, we put forth a nanostructure which is composed of pinholes with micron-scale dimension and diameter smaller than \( \lambda/2 \). We refer to this structure as nanopinholen-based plasmonic structure (NPPS) and explore its application as “superlens.” Influence of the cut-off wavelength effect on propagation and transmission properties is analyzed for the purpose of revealing optical performance and physical picture of the structure in near-field region.

Our proposed superlens is an asymmetric structure in which a thin film of Ag of 200 nm in thickness is sandwiched between air and quartz substrate. The pinholes with different diameters are uniformly and symmetrically distributed along the open subwavelength zones of a zone plate. It works at visible wavelength regime and propagates at near field with the outer diameter \( D < 15 \mu m \) and zone number \( N < 10 \).

The size of a given hole is a factor \( K \) which is larger than the corresponding zone width of a zone plate having the same diameter and focal length. \( K \) is chosen to maximize the field contribution at the focus [10]. For the conventional photo sieves with the smallest pinhole diameters larger than the incident wavelength \( \lambda_{in} \), the focal beam spot size is smaller than the diameter of the outmost pinholes by the factor \( K \). However, for our pinholes with diameters much smaller than \( \lambda_{in} \), there exists a cut-off wavelength \( \lambda_c \). For a plane wave illumination at normal incidence with \( E_z \) field, the cut-off wavelength effect occurs in case the pinhole diameter \( d \) is equal to \( \lambda/2 \), that is, when \( \lambda = 2d \). For the wavelengths longer than \( 2d \), \( |E|^2 \) decays exponentially because the light beam at these wavelengths cannot propagate within the holes [12]. However, for the plane wave illumination with component...
of $E_{\parallel}$ field, an even larger increase in the cut-off condition is observed by considering a coupled localized surface plasmon polaritons (SPPs) wave propagating along $y$ direction due to the longitudinal nature of the surface plasmon field inside the Ag film, in which the excitation light must have a component of the electric field parallel to the direction of the SPPs propagation or perpendicular to a surface. This leads to the cut-off wavelength effect intensifying as the pinhole diameters become smaller. The cut-off wavelength $\lambda_0$ for the $E_{\parallel}$ field illumination can be calculated by \[ \tanh \left( \frac{\sqrt{\beta_{E_0}^2 - k_0^2\epsilon_{\text{air}}}}{2} \cdot \frac{d}{2} \right) = -\frac{\epsilon_{\text{air}}}{\epsilon_m} \frac{\sqrt{\beta_{E_0}^2 - k_0^2\epsilon_m}}{\sqrt{\beta_{E_0}^2 - k_0^2\epsilon_{\text{air}}}}. \] (1)

where $\epsilon_m$ is the relative permittivity of the metal, $\epsilon_{\text{air}}$ is the relative permittivity of air, $d$ is the diameter of the pinholes, and $k_0 = 2\pi/\lambda$ is the free-space wave vector. The other factor influences the transmission is $\delta = L/d$, where $L$ is the central distance of the adjacent holes or periodicity of the pinholes along the same zone, as shown in Figure 1. We highlight this issue in this letter to analyze its influence on transmission and focusing. The cut-off wavelength effect occurs when the propagation constant is zero.

We selected a set of parameters arbitrarily to illustrate the working performance of the proposed structure. For instance, we designed a superlens for the purpose of focusing and imaging. The structure consists of 8 rings of pinholes with the following diameters (see inset pattern in Figure 2(a)): 158 nm (8th ring), 177 nm (7th ring), 202 nm (6th ring), 234 nm (5th ring), 280 nm (4th ring), 349 nm (3rd ring), 467 nm (2nd ring), and 735 nm (1st ring). For the wavelength $\lambda_{\text{air}} = 633$ nm at normal incidence, the pinhole diameters at the outer four rings are less than $\lambda/2$. The total dimension of the structure is 12.07 $\mu$m. All the pinholes have a fixed ratio $K = d/\lambda = 3.0$, where $d$ is the diameter of pinholes and $w$ is the width of corresponding open zones in a zone plate.

In order to analyze the influence of $\delta$ on the transmission and focusing, we chose $\delta = 1.25, 1.5, 2.5,$ and 3.75 for three-dimensional (3D) electromagnetic (EM) field calculation using finite-difference time-domain (FDTD) algorithm which is a powerful tool for modeling EM fields. Its basic principle is to discretize Maxwell’s equations and sample continuous EM fields over a uniform space grid, and then obtain solutions for the fields in the time domain. Considerable CPU time (a total 6 hours running time for our 3D simulation) is required for obtaining the convergence of the simulation. In our FDTD 3D simulations, the mesh size are 150 fs $\Delta x = 20$ nm, $\Delta y = 20$ nm, and $\Delta z = 20$ nm, respectively (For the FDTD algorithm-based plasmonic effect study, it is better to set mesh size to less than 5 nm. However, our workstaton with maximum memory size of 8 GB enables the 3D FDTD calculation with minimum mesh size of 20 nm only due to the large simulation area of 12 $\mu$m $\times$ 12 $\mu$m $\times$ 3.4 $\mu$m in x, y and z in our simulation. The lateral dimension is fixed by our design. Propagation distance of 2 $\mu$m after the exit plane in free space has to be defined due to the elongated focal length here.). For the materials in our structure with weak absorption, a long duration in the Fourier transform is required for obtaining a convergence of the EM fields [13, 14]. The normal incident light with the plane wave is employed for this example, as shown in Figure 1, for the illumination and propagation along $z$-direction. The incident wavelength of the light is in the visible regime ($\nu = c/\lambda_{\text{air}} = 474.34$ THz). The dielectric constant used for the Ag film at this wavelength is $\epsilon = -17.6235 + 0.4204i$. A silver film with thickness $h_{\text{Ag}} = 200$ nm has a microzone plate-like structure and the pinholes embedded in the film. Maxwell’s equation is decoupled into the $E_{\parallel}$ and $E_{\perp}$ modes, as indicated in Figure 1. The $E_{\parallel}$ mode consists of $E_{x}, H_{y}$, and $H_{z}$ field components. The $E_{\perp}$ mode consists of $E_{y}, H_{x}$, and $E_{z}$ field components. Incident wavelength $\lambda_{\text{air}}$ and $\delta$ of the superlens are used as the variable parameters to study the corresponding variations of the transmission and focusing performance.

For the pinholes with diameter $d < \lambda/2$, the propagation can still continue through them due to the localized SPPs wave coupling between the neighboring holes. The propagation length at an Ag/air interface is $L_{\text{SPP}} = 0.5|\beta''| = 482.5$ $\mu$m, where $\beta''$ is the imaginary part (phase change) of the propagation constant $\beta = \beta' + i\beta''$, and it is far larger than the pinhole central distance $L$. The nanoholes serve as point-like sources for the SPPs, whose constructive interference gives rise to focusing. This phenomenon was experimentally verified already by Yin et al. [16]. For $d > \lambda/2$, an interference between the SPPs wave and diffraction wavelets from the holes directly forms the focusing in free space. Here we highlight the influence of the cut-off wavelength effect on transmission property of the structure through the fixing pinholes diameter and changing the periodicity $L$ of the pinholes only for the five outmost rings as the other pinholes diameter is larger than $\lambda/2$. The maximum intensity is observed for
the spots corresponding to first-order diffraction of the SPP Bloch wave. In contrast to the transmission without the SPPs excitation (\( E_y \) field), the field at the wavelengths of the enhanced transmission conditions has strong inhomogeneous components which are related to the surface polaritons [17]. Hence, no focusing appears there. For the transmission with the SPPs excitation (\( E_z \) field), the electric intensity \( |E|^2 \) distribution at x-y plane and magnetic intensity \( |H|^2 \) distribution at y-z plane were calculated using the FDTD algorithm, respectively, as shown in Figure 2(a) and 2(b). It can be seen that an apparent focal region is observed for both components \( |H|^2 \) and \( |E|^2 \). Spot size at full-width and half-maximum (FWHM) increases slightly with the increase of the pinholes periodicity \( L \). Figure 3 shows characteristics of the cut-off wavelength effect. It can be seen that redshift occurs with reducing the pinholes periodicity \( L \). The observation is in agreement with the report in [18] and it may be attributed to the SPP coupling effect. The cut-off wavelength effect-related redshift is mediated by the SPPs coupling between the holes in y direction. The coupling is increased as \( L \) decreases. The sum of the SPPs wave on the top and bottom of the Ag film interferes with the diffraction wavelets from the larger pinholes, leading to the final focusing.

To further investigate focusing property of the superlens, the transmission and focusing in the free space after the exit plane of the Ag film are analyzed for different incident wavelengths ranging from 400 nm to 750 nm. Figure 4(a) and 4(b) shows components of \( |H|^2 \) distribution at x-z plane and \( |E|^2 \) distribution at y-z plane, respectively, for a structure with a fixed ratio \( \delta = 1.25 \). It can be seen that for the designed structure with the given dimension, the better focusing with beam spot size at FWHM beyond diffraction limit can be achieved for the wavelengths ranging from 400 nm to 530 nm. The intensity for both \( |E|^2 \) and \( |H|^2 \) decays significantly for longer wavelengths, especially for \( \lambda_{\text{th}} = 750 \) nm. The reason is that the number of cut-off wavelength caused nanopinholes increases as the incident wavelength increases. This leads to the pinholes at 3rd rings with diameters of 349 nm generating

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**Figure 2:** Results of electromagnetic field analysis for (a) \( H \)-field intensity \( |H|^2 \) distribution at x-z plane. Inset is 2D image of \( H \)-field intensity \( |H|^2 \) distribution at x-z plane for incident wavelength of 633 nm and layout of the pinhole structure. (b) \( E \)-field intensity \( |E|^2 \) distribution at y-z plane. Inset is 2D image of \( E \)-field intensity \( |E|^2 \) distribution at x-y plane.

**Figure 3:** Plot of electromagnetic field transmission versus incident wavelength for different ratios ranging from 1.25 to 3.75. Redshift occurs with reducing pinhole periodicity \( L \). The inset plot shows the central peak transmission.
Figure 4: Results of electromagnetic field analysis of the designed nanopinhole-based plasmonic structure with fixed ratio $\delta = 1.25$ for different incident wavelengths. (a) $H$-field intensity $|H|^2$ distribution along $x$-axis. The inset plot of $|H|^2$ distribution at $x$-$z$ plane shows the central transmission peaks. (b) $E$-field intensity $|E|^2$ distribution along $y$-axis. The central transmission peaks are shown more clearly in the inset plot of $|E|^2$ distribution at $y$-$z$ plane for 400 nm incident wavelength. Inset 2D image is $E$-field intensity $|E|^2$ distribution at $y$-$z$ plane.

Figure 5: Results of electromagnetic field analysis for incident wavelength varying from 400 nm to 750 nm. (a) $H$-field intensity $|H|^2$ distribution versus propagation distance $z$. (b) $E$-field intensity $|E|^2$ distribution versus propagation distance.
the cut-off wavelength effect. However, they do not cause the cut-off wavelength effect for the shorter $\lambda_{m}$ mentioned previously. The periodicity $l$ of the pinholes distributed at these rings is fixed for the structure. Thus, increasing $\lambda_{m}$ is equivalent to the case of reducing diameter of the pinholes in which cut-off wavelength effect will occur accordingly. Figures 5(a) and 5(b) shows the plot of EM field distribution versus propagation distance $z$ for the different incident wavelengths. It can be seen that the maximum peak intensity appears at site of $z = -3.8 \mu m$, $-2.5 \mu m$, $-1.1 \mu m$, and $-1.2 \mu m$ for the incident wavelength of $400 \text{nm}$, $530 \text{nm}$, $633 \text{nm}$, and $750 \text{nm}$, respectively. The number of transmission peaks as many as 5 appears for the $633 \text{nm}$ wavelength. It is possible to tune the system to keep fewer peaks or even one peak only by changing the incident wavelength to, for example, $400 \text{nm}$ or $530 \text{nm}$.

In summary, a nanopinhole-based plasmonic nanostructure is put forth in this letter and the cut-off wavelength effect is addressed for analysis of transmission and focusing through the nanopinholes in near-field region. In a superlens with fixed pinhole diameters, propagation waves still exist for much reduced periodicity of pinholes due to the SPPs wave coupling, which interferes with the diffraction wavelets from the pinholes to form a focusing region in free space. Increasing incident wavelength is equivalent to reducing the pinhole diameters, and rapid decay of the EM field intensity will occur accordingly. The superlens proposed by us has the advantages of possessing micron-scale focal length and large depth of focus along the propagation direction. It should be especially noted that the structure of the superlens can be easily fabricated using the current nanofabrication techniques, for example, focused ion beam milling and e-beam lithography. It would be interesting to further explore applications of the nanopinhole-based plasmonic nanostructure for superfocusing and imaging with a spatial resolution beyond diffraction limit.

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