Research Article

Encapsulation of Berberine in Nano-Sized PLGA Synthesized by Emulsification Method

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Received 30 April 2012; Accepted 17 July 2012

Academic Editors: Y. I. Jeong, C. Li, and P. Perriat

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Nanoparticles of PLGA (polylactide glycolic acid) were prepared using biodegradable poly (D, L-lactide-co-glycolide)—75 : 25, by emulsification method using PVA (Mol. Wt. 9000) or didodecyl dimethyl ammonium bromide (DMAB) as surfactant. Nanoparticles were morphologically characterized using scanning electron microscope (SEM) and particle size analyzer. The distribution of size of PLGA nanoparticles was in the range of 48–211 nm. Berberine, a yellow isoquinoline alkaloid that is used as traditional anticancer drug, was loaded on to PLGA nanoparticles by single emulsion as well as multiple emulsion solvent evaporation techniques. Particle size analysis showed an increase in berberine loaded PLGA NP size to 180–310 nm when PVA was used as a stabilizer. Whereas use of DMAB as a stabilizer led to precipitation. In vitro drug release analysis revealed that acidic pH of 5.5 was more suitable for release of berberine than pH 7.4.

1. Introduction

Nanometals as well as organic nanoparticles (NP) as drug delivery devices is rapidly expanding area in drug delivery sciences. Organic polymer like polylactide glycolic acid (PLGA) has been used earlier as drug carrier because it is biocompatible as well as biodegradable [1, 2]. However, nowadays’ approaches are to use nano-sized PLGA as drug delivery vehicle. Tremendous opportunities exist for using nanoparticles as controlled drug delivery systems especially for cancer therapy so as to expose the patients with reduced doses [3–5].

Berberine, a naturally occurring isoquinoline alkaloid, is present in the roots, rhizome, and stem bark of a number of medicinal plants. Berberine has tremendous potential to cure many physiological disorders; hence, it has been used in the Ayurvedic [6], Unani, and Chinese as well as Homeopathic medicine. Berberine has been shown to inhibit activator protein 1, a key transcription factor in inflammation and carcinogenesis, in human cell lines [7] and has been shown to possess antitumor properties and effectively inhibit cyclooxygenase-2 transcriptional activity in human colon cancer cells [8, 9]. Berberine is known to inhibit DNA topoisomerase II [10]. Moreover, the antitumor properties of berberine are now recognized by researchers and clinical oncologists. The effects of berberine on human malignant brain tumor, esophageal cancer, and human leukemic and human colon cancer cell lines have been tested and significant killing effects have been achieved [1, 2, 11]. After looking into all these properties of Berberine, it was decided to try to encapsulate it in NP of PLGA for facilitated drug delivery (Scheme 1).

2. Materials and Methods

PLGA (75 : 25) was provided as gift by Purasorb. Berberine chloride was purchased from Sigma Aldrich (Bangalore, India). Poly vinyl alcohol (PVA; Mol. Wt. 9000) or Didodecyl
dimethyl ammonium bromide (DMAB) was used as surfactant.

2.1. Synthesis of Poly (D, L-lactide-co-glycolide)—75:25 (PLGA) Nanoparticles (NP). PLGA, a biodegradable polymer, NPs were prepared by emulsification diffusion solvent evaporation method. 50 mg of PLGA was dissolved in 5 mL of various organic solvents like ethyl acetate (EA), acetone + ethanol (ACE), dichloro-methane (DCM) or mixture of ACE and DCM. This oil phase was added to 5 mL water containing PVA (of various Mol. Wt, as stabilizer). This mixture was homogenized at 15,000 rpm for 3 min then 50 mL of distilled water was added to solidify the NPs. The organic solvent was evaporated by stirring the solution for 8 hrs at 40°C. The NPs were collected by centrifugation at 16,000 rpm and washed with D.W and stored in refrigerator.

2.2. Loading Berberine onto PLGA Nanoparticles. It was done by single emulsion as well as double emulsion method. 50 mg of PLGA was dissolved in 2 mL of organic phase consisting of either DCM or acetone or the mixture of both.

In single emulsion method berberine, dissolved in methanol, was added to this organic phase and then it was emulsified with 15 mL aqueous phase containing 1% PVA (Mol. Wt 9000) at 15000 rpm using high speed homogenizer for 8 min. The organic solvent was evaporated by stirring the solution for 8 hrs at 40°C. The NPs were collected by centrifugation at 16,000 rpm and washed with D.W and stored in refrigerator.

2.3. Assessment of Particle Size and Size Distribution. Particle size analysis was done by a dynamic light scattering (DLS) method using Zetasizer Nano ZS (Malven Instruments, UK).

2.4. Surface Morphology of PLGA-Berberine Nanoparticles. PLGA morphology was assessed by scanning electron microscopy (SEM). SEM was performed on a Carl Zeiss Microimaging, GmbH, Germany. For sample preparation, 2-3 drops of the colloidal gold solution were dispensed onto a silicon wafer and dried under ambient condition before examination. Particles were mounted on a metal hub using carbon adhesive tape and coated with a mixture of gold and palladium in an argon atmosphere.

2.5. Determination of Drug Loading and Encapsulation. The berberine content of nanoparticles was determined by a spectrophotometer (Perkin-Elmer). The fluorescence intensity of free Berberine in centrifugate was measured at 420 nm. The concentration of berberine was calculated from a standard curve, prepared by measuring the fluorescence intensity of known concentration of free berberine. The percentage drug entrapment was calculated as follows:

\[
\text{Percentage drug entrapment} = \frac{\text{Mass of total drug} - \text{Mass of free drug}}{\text{Mass of total drug}} \times 100
\]

2.6. Confirmation of Berberine Loading by FTIR. FTIR analysis of PLGA, berberine and berberine entrapped PLGA was done by FT/IR-4100 type A.

2.7. In Vitro Berberine Release Study. In vitro release studies of berberine, loaded on to PLGA NPs were carried out in phosphate buffer solution (PBS) at pH 7.4 and pH 5.5. The NPs were dispersed in 1 mL of PBS in a dialysis bag, and it was suspended in 50 mL of PBS and placed in incubator at 37°C. At regular intervals the absorbance was checked at 420 nm for the amount of drug released.

3. Results and Discussions

As mentioned above the PLGA NPs were prepared by emulsification-diffusion solvent evaporation method using organic solvents like EA, ACE, and DCM. The stabilizers used were PVA, didodecyl dimethyl ammonium bromide (DMAB), and Sodium dodecyl sulphate (SDS). During the process organic solvent diffuses to the external phase leading to the formation of NPs. The size of NPs was found to be dependent on a number of parameters like the type of surfactant, concentration of surfactant (Table 1) and type of organic solvent (Table 2) used for synthesis.

3.1. Impact of Surfactant on the Particle Size of PLGA. Table 1 and Figure 1 show distributions of the particle size of PLGA nanoparticles prepared with different surfactants. Particles of smallest size about 50 nm were obtained with 0.5% DMAB in EA solvent. The particle size was found to increase with the increase in concentration of DMAB, that is, up to 102 nm with 1% DMAB.
Table 1: Effect of different surfactants and their Mol. Wt. on the size of PLGA nanoparticles synthesized by EDSE method using ethyl acetate as organic solvent.

<table>
<thead>
<tr>
<th>S. N.</th>
<th>Surfactant used for PLGA synthesis</th>
<th>Mol. Wt.</th>
<th>Conc. of surfactant</th>
<th>Mean particle size</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Poly Vinyl Alcohol (PVA)</td>
<td>115,000</td>
<td>1%</td>
<td>312 nm</td>
</tr>
<tr>
<td>2</td>
<td>PVA</td>
<td>9,000</td>
<td>1%</td>
<td>250 nm</td>
</tr>
<tr>
<td>3</td>
<td>PVA</td>
<td>9000</td>
<td>0.5%</td>
<td>258 nm</td>
</tr>
<tr>
<td>4</td>
<td>DMAB</td>
<td>322</td>
<td>1%</td>
<td>102 nm</td>
</tr>
<tr>
<td>5</td>
<td>DMAB</td>
<td>322</td>
<td>0.5%</td>
<td>49 nm</td>
</tr>
<tr>
<td>6</td>
<td>SDS</td>
<td>288.38</td>
<td>1%</td>
<td>214 nm</td>
</tr>
</tbody>
</table>

Table 2: Effect of solvent and 1% PVA (as stabilizer) on size of PLGA nanoparticles.

<table>
<thead>
<tr>
<th>Sr. no.</th>
<th>Solvent</th>
<th>Particle size</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Acetone</td>
<td>337 nm</td>
</tr>
<tr>
<td>2</td>
<td>Ethyl acetate</td>
<td>250 nm</td>
</tr>
<tr>
<td>3</td>
<td>DCM</td>
<td>211 nm</td>
</tr>
</tbody>
</table>

Similarly, use of PVA of low Mol. Wt. (9000) produced smaller NPs, that is, 48 nm (Figure 4) however some particles were as big as 250 nm, as observed in particle size analysis data. PVA of higher Mol. Wt. (1, 15,000) produced particles up to 312 nm. There was slight decrease in the particle size when the concentration of the PVA was increased to 1% which may be due to increase in the viscosity of the medium.

Sodium dodecyl sulphate (SDS) was another surfactant used for preparing PLGA NPs, and it produced NPs of size 2 1 4 n mb u tt h ey i e l do fN P sw a sv e r yl e s sa sc o m p a r e dt o PV A. The graphs show narrow particle size distribution in case of PVA (Mol. Wt. 9000) and DMAB. Also the results indicate that use of DMAB leads to formation of nanoparticles of smaller size ranging from 47 nm–98 nm.

3.2. Impact of Solvent on the Particle Size of PLGA. As it can be seen in Table 2 and Figure 2, the size of NPs was also affected by different solvents and the concentration of surfactant used along with it.

Water miscible solvent acetone produced the particles of larger size whereas partially water miscible and water immiscible solvents produced particles of smaller size. The stabilizer remains adequately protected at the interface of two immiscible liquids (EA and DCM), leading to the formation of particles of smaller size.

In case of water miscible solvent like acetone, no proper emulsion is formed even in presence of stabilizer, hence it resulted in precipitation leading to the formation of larger particles [12]. They have also reported synthesis of 250–400 nm PLGA with the use of acetone and 350–450 nm with DCM.

In the present work, PLGA NPs of smaller size that is, 211 nm was produced when DCM along with very small quantity of acetone was used, whereas addition of larger quantities of acetone resulted in precipitation.

It is reported [13] that increased stability of nanoparticles with increase in concentration of PVA (nonionic stabilizer) which is due to the increased adsorption of stabilizer molecules on the surface of emulsion droplets thereby reducing the tendency of precipitation and hence smaller particles are formed. However, in case of DMAB the particle size increases with increase in concentration because it is a cationic stabilizer and has more tendencies to remain in the aqueous phase and does not play a significant role in emulsification.

Berberine loaded PLGA NPs— that were prepared by w/o emulsion and w/o/w emulsion method exhibited very significant impact of pH and surfactant.

3.3. Effect of pH on Encapsulation Efficiency. The drug loading percentage at pH 7 was calculated and it is presented in Table 3. The result obtained using w/o/w was repeated at pH 8 and the results are presented in Table 4.

The results indicate that when DMAB was used as surfactant it initially leads to formation of PLGA NPs, which precipitated within few minutes resulting in formation of very large (average particle size—1214 nm) particles. As it can be seen in Figure 3(a) there are three separate peaks where the largest peak indicates aggregation of nanoparticles. The samples prepared using higher concentration of DMAB, resulted in faster precipitation (data not presented).

Hence, further samples were prepared using PVA. It can be seen that water immiscible solvent like DCM produces smallest particles and maximum entrapment whereas water miscible solvent like acetone produced larger particles and low encapsulation.

When the mixture of acetone and DCM was used, w/o/w method yielded particles of intermediate size and more entrapment. Whereas, w/o method yielded smaller particles and less entrapment.

When w/o/w method was tried with pure acetone and pure DCM, no encapsulation was observed. The poor encapsulation of berberine on PLGA can be attributed to its structure which does not have any potential functional group for attachment to polymer.

The encapsulation efficiency of the NPs was greatly affected by the pH of the solution. The double emulsion method which resulted in 40% encapsulation with DCM plus acetone at pH 7 was enhanced to 58% encapsulation efficiency at pH 8 under the same experimental conditions. This may be due to the reduced solubility of the alkaloid.
Figure 1: Particle size distribution of PLGA synthesized using (a) 1% PVA Mol. Wt. 1, 15,000, (b) 1% PVA Mol. Wt. 9000, (c) 0.5% PVA Mol. Wt. 9000, (d) 1% DMAB, (e) 0.5% DMAB, and (f) 1% SDS.
Table 3: The berberine loading percentage onto PLGA nanoparticles prepared by different methods at pH 7.

<table>
<thead>
<tr>
<th>S.N.</th>
<th>Synthesis method</th>
<th>Solvent used for loading drug</th>
<th>Surfactant used</th>
<th>Berberine added</th>
<th>Particle size (nm)</th>
<th>% drug entrapment</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Single emulsion</td>
<td>Acetone</td>
<td>DMAB-0.3%</td>
<td>1 mg</td>
<td>1214</td>
<td>50</td>
</tr>
<tr>
<td>2</td>
<td>Single emulsion</td>
<td>Acetone</td>
<td>PVA-1%</td>
<td>2 mg</td>
<td>310</td>
<td>37.5</td>
</tr>
<tr>
<td>3</td>
<td>Single emulsion</td>
<td>DCM and acetone (4:1)</td>
<td>PVA-1%</td>
<td>3 mg</td>
<td>276</td>
<td>25</td>
</tr>
<tr>
<td>4</td>
<td>Double emulsion</td>
<td>DCM</td>
<td>PVA-1%</td>
<td>1 mg</td>
<td>182</td>
<td>30</td>
</tr>
<tr>
<td>5</td>
<td>Double emulsion</td>
<td>DCM and acetone (4:1)</td>
<td>PVA-1%</td>
<td>1 mg</td>
<td>298 nm</td>
<td>40</td>
</tr>
</tbody>
</table>

Figure 2: Particle size distribution of PLGA synthesized using 1% PVA of Mol. Wt. 9000, along with solvents (a) acetone (b) EA, and (c) DCM.

3.4. Particle Morphology. SEM analysis revealed that both PLGA NPs as well as berberine-loaded PLGA NPs were spherical in shape (Figure 4).

3.5. FTIR Analysis. Figure 5 exhibits the FT-IR spectra of (a) berberine-loaded PLGA NPs, (b) PLGA and (c) Berberine. The broad strong peak at 3334 cm$^{-1}$ of Figure 5(a) corresponds to O–H stretching of adsorbed water in which the characteristic peaks of berberine in the range of 3200–3400 cm$^{-1}$ (Figure 5(c)) has got merged. The strong characteristic peak at 1746 cm$^{-1}$ in Figure 5(b) corresponds to...
Figure 3: Particle size distribution of berberine + PLGA NPs prepared in (a) acetone + DMAB by single emulsion, (b) acetone + PVA by single emulsion, (c) acetone + DCM by single emulsion (d) DCM + PVA by double emulsion, (e) acetone + DCM by double emulsion.
Figure 4: (a) PLGA NPs prepared using PVA and (b) Berberine loaded PLGA NPs.

![Figure 4: (a) PLGA NPs prepared using PVA and (b) Berberine loaded PLGA NPs.](image)

Figure 5: FT-IR spectra of (a) Berberine + PLGA (b) 75:25PLGA (c) Berberine.

![Figure 5: FT-IR spectra of (a) Berberine + PLGA (b) 75:25PLGA (c) Berberine.](image)

Table 4: Effect of pH on the encapsulation efficiency of berberine in w/o/w method.

<table>
<thead>
<tr>
<th>Sr. no.</th>
<th>pH</th>
<th>Solvent</th>
<th>Surfactant</th>
<th>% Loading</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>7</td>
<td>DCM + Acetone (4:1)</td>
<td>PVA</td>
<td>40%</td>
</tr>
<tr>
<td>2</td>
<td>8</td>
<td>DCM + Acetone (4:1)</td>
<td>PVA</td>
<td>58%</td>
</tr>
</tbody>
</table>

C=O stretching of PLGA. This characteristic peak of carbonyl group is shifted to 1639 cm\(^{-1}\) (Figure 5(a)), which indicates chemical bonding between PLGA and berberine, may be through negatively charged carbonyl group of PLGA and positively charged nitrogen of berberine and also due to intermolecular hydrogen bonding with water molecules. Other characteristic peaks for C–O and C–N stretching of berberine can be seen in the range of 1090 cm\(^{-1}\) to 1272 cm\(^{-1}\) and other characteristic peaks of PLGA from 1100 to 1300 have also got merged into it.

3.6. Berberine Release Study. The in vitro release of berberine from PLGA was carried out in PBS at pH 5.5 and 7.4 (Figure 6).

The drug release was faster at acidic pH 5.5, almost 50% drug was released in first 2 hours but afterwards the release was slow. A maximum of 70% drug was released in 24 hours, no more release was recorded after that (Figure 6).
The encapsulation using single emulsion as well as multiple emulsion method. In case of single emulsion method the encapsulation efficiency decreased with the increase in concentration of drug for the same concentration of PLGA.

**Abbreviations**

DCM: Dichloromethane
DLS: Dynamic Light Scattering
DMAB: Didodecyl dimethyl ammonium bromide
EA: Ethyl Acetate
NP: Nanoparticle
PBS: Phosphate buffer solution
PLGA: Poly (D, L-Lactide-co-glycolic acid)
PVA: Poly vinyl alcohol
SDS: Sodium dodecyl sulphate
DW: Distilled water.

**Acknowledgments**

Authors wish to acknowledge the support rendered by nsnRc and Nanotechnology Centre of University of Mumbai for particle size analysis. We are also indebted to UGC for providing financial assistance for the project. Our thanks are due, to Purasorb for supplying PLGA.

**References**


