Review Article
Mechanisms of Remodeling in Asthmatic Airways

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1. Introduction

Asthma is a chronic inflammatory disorder of the airways characterized by airway hyperresponsiveness (AHR) and reversible airflow obstruction that fluctuates over time. Asthma used to be considered a single disease entity, but is increasingly recognized as a disease with multiple subphenotypes that differ in clinical severity, pathological findings, response to therapy, and long-term outcome [1]. A subgroup of this heterogeneous group of asthma patients manifests airflow obstruction that is either irreversible or only partially reversible and experience an accelerated rate of lung function decline. The structural changes in the airways of these patients are referred to as airway remodeling. All elements of the airway wall are involved, and remodeled airway wall thickness is substantially increased compared to normal control airways. Airway remodeling is thought to contribute to the subphenotypes of irreversible airflow obstruction and airway hyperresponsiveness, and it has been associated with increased disease severity. Reversal of remodeling is therefore of paramount therapeutic importance, and mechanisms responsible for airway remodeling are feasible therapeutic targets for asthma treatment. This paper will focus on our current understanding of the mechanisms of airway remodeling in asthma and potential targets for future intervention.

2. Pathology and Pathogenesis of Airway Remodeling

2.1. Airway Wall Thickening

In fatal asthma, airway wall thickness is increased by between 50 and 230% compared to normal controls, while in nonfatal asthma, the increase ranges from 25–150% [11]. Increased wall thickening has repeatedly been associated with increased disease severity, including near fatal asthma [12–14]. These changes are the result of epithelial cell alterations, subepithelial fibrosis, submucosal gland hyperplasia, increased airway smooth muscle mass, and increased airway vascularization [10, 15–17].
Evaluation of airway wall thickening by multidetector-row computed tomography (MDCT) is a promising non-invasive technique for assessing airway remodeling [18]. Quantitative MDCT imaging allows precise measurement of airway wall area (WA) and airway wall thickness (WT) out to sixth-generation bronchi. Several studies have compared pathologic changes of airway remodeling with increased wall thickness as measured by MDCT. Both WA and WT percentages have been shown to correlate with histologic basement membrane thickening [19] and moderate correlations exist between WA and WT percentage and epithelial layer thickness [20]. In addition, quantitative CT measures, such as WA and WT, at multiple airway generations appear to correlate with FEV1 and bronchodilator responsiveness [20]. Thus, quantitative CT scans, as a surrogate noninvasive measure of remodeling of the airways, may be used as an endpoint for targeted therapy to reverse airway remodeling or to potentially predict those individuals at risk of progressive remodeling; however, further evaluation of this modality is needed.

2.2. Allergic Airway Inflammation. Chronic inflammation that results in tissue injury with subsequent structural change during tissue repair is a well-documented biological phenomenon, for example, cirrhosis. Since chronic airway inflammation is a striking feature of asthma, asthmatic airway inflammation is often assumed to be the initiating event for airway remodeling [21]. Most, but not all, asthma is associated with atopy, and as such, asthma has largely been regarded as an allergic disease [22]. In keeping with this premise, a cellular infiltrate rich in lymphocytes, eosinophils, mast cells, neutrophils, and macrophages [5] characterizes asthmatic airway inflammation. Lymphocytic inflammation is dominated by Th2-cells producing interleukin (IL)-4, IL-5, and IL-13 (Figure 1) [23]. Overexpression of Th2 interleukins in mouse models has demonstrated changes pathognomonic of asthmatic airway remodeling. Overexpression of IL-13 resulted in subepithelial fibrosis, mucus metaplasia, and an inflammatory infiltrate rich in eosinophils and macrophages [24]. IL-5 overexpression induced striking airway eosinophilia, along with mucus metaplasia and
subepithelial fibrosis [25]. While IL-4 overexpression led to eosinophilia and mucus metaplasia, subepithelial fibrosis in IL-4 overexpressing models has been unimpressive or absent depending on the study [26, 27]. In addition, mice overexpressing all three of these Th2 interleukin molecules demonstrated AHR. Eosinophils and mast cells likely impact the epithelial remodeling based on effects on barrier function, epithelial proliferation and desquamation, and goblet cell formation [28]. These data indicate that airway remodeling is quite likely driven in part by the Th2 inflammation characteristic of the asthmatic airway.

Several lines of evidence in animal models and humans suggest that the Th2 hypothesis is an incomplete explanation for asthma pathogenesis. First, many patients with asthma do not have an identifiable allergic trigger for their disease. Conversely, a significant number of patients with atopy do not develop asthma [29]. Taken together, it is reasonable to deduce that other triggers exist for generating asthma. Second, the allergic and nonallergic forms of asthma are pathologically indistinguishable from each other [30], implying that remodeling occurs independent of atopic inflammation. This suggests a remodeling pathway common to all forms of remodeled asthma. Third, current anti-inflammatory and allergen-reduction therapies (see below) do not prevent the development of asthma or effectively reverse airway remodeling once it has occurred [31]. Lastly, airway remodeling occurs simultaneously with inflammation and may indeed be necessary for the establishment of a chronic inflammatory state [32]. These observations have encouraged exploration of alternative mechanisms of airway injury as the underlying mechanism of airway remodeling.

2.3. Epithelial-Driven Models of Airway Remodeling. While it is likely that some aspects of airway remodeling are the end result of allergen exposure and subsequent chronic allergic inflammation, it is increasingly believed that predisposition to asthma lies in a structurally and functionally defective airway epithelium which links the inhaled environment to underlying airway structures. This phenomenon is best explained by the model of the epithelial mesenchymal trophic unit or EMTU proposed by Holgate [33] and reviewed in [34, 35]. In this model, both airway inflammation and remodeling are the consequence of repetitive environmental injury to a defective airway epithelium by viruses, air pollutants, or tobacco smoke (Figure 1) [22]. Injury leads to interaction between the dysfunctional epithelium and the underlying mesenchyme that results in amplification of the inflammatory and remodeling responses in the underlying layers of the airway wall with subsequently defective airway repair [35].

Support for this model is increasingly robust. Evidence, primarily from animal models, indicates that innate immune responses to respiratory virus infections, for example, contribute to the development of inflammatory airway disease characteristic of asthma [36–39]. Paramyxoviral infection of mice has been shown to produce acute bronchiolitis resulting in airway inflammation and AHR. However, infection also results in a chronic inflammatory response with airway remodeling and AHR phenotypes [40]. This chronic response is not only strikingly similar to the inflammatory response in the airways of asthmatic patients, but also persists for over a year after mice have cleared the virus from the airways. It has subsequently been shown that the chronic inflammatory state is related to the severity of infection and is produced by an innate epithelial immune response in which natural killer T cells activate macrophages to produce proinflammatory cytokines like IL-13, which contribute to chronic mucous cell metaplasia ([41] and are reviewed in [42, 43]). The mouse model correlates well with clinical findings. Paramyxoviral infections are a primary cause of lower respiratory tract infection in infants and children [44], and children with severe RSV bronchiolitis are predisposed to development of a chronic wheezing illness in the absence of both atopy and viral persistence in airway tissue [45, 46].

2.4. Epithelial Cell Alterations. Epithelial cell shedding, cilial cell loss, and goblet cell hyperplasia have all been described in asthmatic airways [6, 15, 47]. Epithelial shedding has been noted in postmortem studies of asthmatic airways, and sputum and BAL samples from asthmatic patients contain increased amounts of epithelial cells [6, 48]. However, epithelial cell desquamation in bronchial biopsy specimens from healthy nonasthmatic subjects appears similar to that seen in biopsies from mild to severe asthmatics [49, 50] suggesting that this phenomenon is related to the sampling technique itself. Evidence of increased epithelial cell proliferation contributing to thickening of the epithelium and an increased lamina reticularis (also known as subepithelial fibrosis, see below) has been observed in patients with moderate to severe asthma (Figure 2) while being absent in patients with mild persistent asthma, chronic bronchitis, and normal controls [49]. These studies suggest that thickening of the airway seen in severe asthma may be due, in part, to airway epithelial proliferation (Figure 3), although conflicting data exist (see below).

Goblet cell hyperplasia has been consistently demonstrated in mild, moderate, and severe forms of asthma (Figure 3), although the finding is particularly apparently increased in severe and fatal asthma [51, 52]. Similarly, an increase in the area of airway wall occupied by submucosal mucus glands is a frequent finding in asthmatic airways, and occurs in both fatal and nonfatal forms of asthma [6]. Goblet cells produce mucin glycoproteins (MUC), 13 of which have been identified in human airways [53]. The dominant mucin in humans is MUC5AC, which is expressed in the airways of normal subjects and is upregulated in asthmatic subjects [54]. Goblet cell hyperplasia has been demonstrated following adaptive transfer of Th2 cells into ovalbumin-challenged mice [55]. This is most likely the result of Th2-driven interleukin expression (see above). IL-13, in particular, signals through the STAT-6 signaling pathway [5] and the effects of IL-13 overexpression in mice are almost completely STAT-6 dependent [56].

Other changes observed in the airway epithelium lend support to the EMTU hypothesis of asthma pathogenesis. Epithelial injury is normally followed by upregulation of proteins responsible for tissue repair. Expression of epithelial growth factor receptor (EGFR) and MUC5AC are both
markedly upregulated in the epithelium of asthmatic patients [57, 58], and have been shown to colocalize in goblet cells [59]. Immunoreactivity to EGFR and the total area of MUC5AC staining show a positive correlation in both asthmatics and control subjects. Furthermore, activation of EGFR has been shown to upregulate both mucin production and goblet cell generation in human epithelial cells in vitro [57]. Interestingly, increased airway expression levels of EGFR are not associated with markers of increased cell proliferation as would be expected in tissue undergoing active repair [60] suggesting an innate defect of the asthmatic epithelium to repair itself.

2.5. Subepithelial Fibrosis. The original report of airway remodeling described the phenomenon of basement membrane thickening [61]. Electron microscopy has subsequently shown that thickening occurs just below the true basement membrane in a zone known as the lamina reticularis [17]. The lamina reticularis (Figure 2) is a collagenous layer 4-5 \( \mu m \) thick in control subjects. In asthmatics, lamina reticularis thickness has been documented at between 7 and 23 \( \mu m \) [62]. Thickening is the result of extracellular matrix deposition, primarily collagens I, III, and V [5]. In addition, abnormalities of noncollagenous matrix, including elastin, fibronectin, tenascin, lumican, and proteoglycans, have also been described [17, 63, 64].

Subepithelial fibrosis occurs in children, and is similar in extent to that seen in adults [65] suggesting that it is an early finding of asthmatic airway remodeling. Subepithelial fibrosis has also been reported in all severities of asthma [9, 66]. However, the specificity of subepithelial fibrosis is called into question by studies that have identified severe asthmatics without subepithelial fibrosis, and nonasthmatic subjects with significant fibrosis [67–69]. Furthermore several functional measurements of asthma show variable correlations with the degree of fibrosis [66, 70–72] raising questions about its functional consequences.

Myofibroblasts are probably key effectors of subepithelial fibrosis. Myofibroblasts are specialized cells with phenotypic characteristics of both fibroblasts and myocytes [53]. They express \( \alpha \)-smooth muscle actin, produce inflammatory mediators, and are major producers of extracellular matrix proteins necessary for tissue repair and remodeling.

Transforming growth factor- \( \beta \) (TGF-\( \beta \)) mediates the effects of IL-13 overexpressing mice [73]. TGF-\( \beta \) is a cytokine produced by multiple lung cells including epithelial cells, macrophages, fibroblasts, lymphocytes, and eosinophils [53]. TGF-\( \beta \) induces fibroblasts to express \( \alpha \)-smooth muscle actin and assume a myofibroblast phenotype [74]. As part of normal wound repair, TGF-\( \beta \) induces expression and secretion of multiple extracellular matrix proteins while also inhibiting their degradation. In many diseases, excessive TGF-\( \beta \)
results in an excess of pathologic tissue fibrosis leading to compromised organ function [75]. Compared to controls, TGF-β expression is increased in asthmatic airways and BAL fluid. In addition, TGF-β levels correlate with the extent of subepithelial fibrosis, airway fibroblast numbers, and disease severity [76–78]. Thus, excess TGF-β production may be pivotal for the development of subepithelial fibrosis.

Matrix metalloproteinases are zinc-dependent endopeptidases capable of degrading extracellular matrix molecules. The dynamic equilibrium between matrix metalloproteinases and their inhibitors is a critical determinant of matrix remodeling [79]. The existence of increased subepithelial fibrosis in asthmatic airways strongly suggests that a profibrotic balance exists between the two. In asthma, the most important metalloproteinase molecules are MMP-9 and its inhibitor, tissue inhibitor of metalloproteinase-1 (TIMP-1) [5]. Both MMP-9 and TIMP-1 levels are elevated in airway biopsies and BAL fluid of asthmatic patients [80–82]. However, compared to control subjects, asthmatics have a significantly lower MMP-9 to TIMP-1 ratio supporting a profibrotic balance (inhibition over degradation). In addition, the lower MMP-9 to TIMP-1 ratios correlate with the degree of airway obstruction [83].

TGF-β is secreted from cells as a latent complex and is targeted to the extracellular matrix by latent TGF-β binding proteins for subsequent activation [84]. MMPs regulate matrix-bound cytokine release [83], and activation of TGF-β is MMP-9 dependent [73]. Therefore, the role of elevated levels of MMP-9 in asthma may be related to TGF-β activation and its downstream fibrotic sequelae [5].

Thickening of the lamina reticularis provides further evidence for the idea of a dynamically interactive EMTU. Epithelial disturbances have been shown to result in increased levels of fibrogenic growth factors including both the latent and active forms of TGF-β [33, 85]. This response is enhanced in asthmatic epithelium compared to normal controls, and the fibrogenic factors have been shown to localize in mesenchymal elements underlying the injured epithelium [86, 87] including the lamina reticularis. Thus, the lamina reticularis may act as a conduit for transmission of signals from an innately defective epithelium to deeper tissues of the airway wall.

2.6. Increased Airway Smooth Muscle Mass. Increased airway smooth muscle (ASM) mass is the most prominent feature of airway remodeling [6], with ASM mass increasing disproportionately compared to the increase in total wall thickness [53]. It has been documented in both fatal and nonfatal asthma [11], and correlates with both disease severity and duration, being greater in fatal than nonfatal cases of asthma [6, 7, 10] and greater in older patients with fatal asthma than younger patients with fatal disease.

The increase in ASM mass may be the coordinated result of increased myocyte size (hypertrophy), increased myocyte number (hyperplasia), and potentially differentiation and migration of mesenchymal cells to ASM bundles [88–91]. Controversy exists regarding the relative contributions of hypertrophy and hyperplasia to ASM mass increases. The evidence for hyperplasia is relatively convincing [90, 92]. However, support for hypertrophy is conflicting, in part because documentation of increased cell size (width) may be subject to artifact resulting from cell shortening [32]. While studies have documented hypertrophy of ASM in severe asthma, particularly in smaller airways, other studies found no evidence for ASM hypertrophy in mild-moderate asthma [32].

Mitogens are chemical compounds that stimulate cell division and trigger mitosis. Mitogens play an integral role in the development of increased ASM mass typical of asthmatic airways. Mitogens bind receptor tyrosine kinases (RTK), G protein-coupled receptors (GPCR), and cytokine receptors. These receptor systems are all capable of producing increases in ASM mass in cell culture models [53]. The list of mitogens is extensive, and includes TGF-β, IL-1β, IL-6, thromboxanes, leukotrienes, histamine, tryptase, serotonin, vascular endothelial growth factor (VEGF), and numerous others [89, 93, 94]. The receptor systems regulate mitogenesis primarily through the phosphoinositide 3′-kinase (PI3K) and extracellular signal-regulated kinase (ERK) signaling pathways [95, 96]. The PI3K and ERK pathways activate transcription factors which phosphorylate D-type cyclins facilitating cell cycle progression [53]. Almost all of these mitogens have been identified in airway biopsies and BAL fluid from asthmatic patients or are detected in asthmatic airway cell cultures [21]. They may therefore represent targets for modulation of airway smooth muscle in asthmatic disease.

ASM cells are often noted in close proximity to the airway epithelium. This epithelial-muscle distance was measured at 67 μm in asthmatics compared to 135 μm in controls [70]. It has been postulated that mesenchymal airway cells differentiate into ASM with subsequent migration of the new ASM cells into muscle bundles [97]. Whether these phenomena occur in vivo is unknown, but reports indicate that cultured human ASM cells migrate in response to mitogenic stimuli [98]. Many of the mitogens involved in cell proliferation also induce ASM cell migration including TGF-β, IL-1β, and VEGF [21, 53].

2.7. Bronchial Neovascularization. Increased vascularity is frequently associated with chronic inflammation, and increased airway vascularity is well documented in asthma [16, 99]. Compared to controls, bronchial biopsies from asthmatic patients demonstrate an increase in the number and cross-sectional area of blood vessels, predominantly capillaries and venules, especially in the lamina propria [98, 100, 101]. It has been suggested that neovascularization worsens disease through increased vascular congestion, airway edema and inflammation, and global wall thickening [5]. In support of this idea, increases in airway vessel number have been shown to correlate with both disease severity and AHR [100, 102, 103].

VEGF is an angiogenic growth factor. It is a mitogen for vascular endothelial cells inducing endothelial cell proliferation and migration while inhibiting apoptosis. VEGF is an important factor in diseases associated with abnormal angiogenesis and wound repair [104]. Overexpression of VEGF in mice induces marked airway angiogenesis along with significant airway edema [105]. Interestingly, VEGF overexpressing
Finally, contractile and noncontractile elements of the remodeled airway wall may interact to increase airflow obstruction and AHR. Application of cyclic stresses to airway segments reduces ASM contractility [136, 137]. Decreased airway distensibility may reduce the cyclic stresses transmitted to ASM during breathing, reducing the cyclical stretching of ASM [138, 139]. ASM adapts to this attenuated stimulus by assuming a shorter resting length while retaining its ability to generate force. This mechanical plasticity, as the phenomenon is known, is an important feature of ASM biology affecting its contractile function [140]. The shortened muscle fibers enhance the airways predisposition to undergo excessive constriction during stimulation [110].

4. Therapeutic Targets for Airway Remodeling

The natural history of airway remodeling is poorly understood [9]. While the physiologic subphenotypes are more obvious in older patients with more severe disease of longer duration [141], airway remodeling is known to occur early on in the disease course [32]. Clinical trials of therapeutic intervention to prevent airway remodeling are currently lacking. Reversal of existing remodeling is therefore an important therapeutic objective since remodeling may often be present even at the time of asthma diagnosis.

4.1. “Anti-Inflammatory” Therapy. Animal studies of allergen-challenged models suggest that airway remodeling can be prevented, but also suggest that it cannot be fully reversed once initiated [142]. In general, therapies aimed at immunomodulation have proved disappointing. These include therapies directed against T cells (azathioprine, cyclosporine, and methotrexate) and Th-2 cytokine blockade (IL-4, IL-5 (see below) and IL-13) [143]. Some positive clinical data has been obtained, specifically with the use of corticosteroids, but in general, the data are mixed. In one study, treatment of asthmatic patients with inhaled corticosteroids (ICS) for 1 year demonstrated reductions in both subepithelial fibrosis and AHR [144]. The authors attributed the decrease in AHR to both reduced airway remodeling and decreased airway inflammation. However, other studies have shown mixed results. While they have demonstrated significant reductions in AHR, these same studies demonstrated either lack of airway remodeling after 8 weeks of ICS therapy [145], or no change in lung function (specifically postbronchodilator FEV1% predicted) when compared to placebo, despite treatment with ICS for 4 to 6 years [146].

4.2. Targeted Immunotherapy. Airway eosinophils are a key component of Th2 inflammation and are thought to be key effectors of both chronic inflammation and airway remodeling in asthma [21]. IL-5 is a key mediator of eosinophil activation and results in increase in the number of circulating, airway, and sputum eosinophils in mice [147]. Initial trials with mepolizumab, an anti-IL-5 monoclonal antibody, were disappointing. Although circulating eosinophils were dramatically reduced, airway eosinophils were only depleted...
by approximately 55% and there was no observable effect on circulating T cells [148, 149]. In addition, no significant effect on asthma outcomes or AHR were noted [149–151]. Subsequent trials of mepolizumab in severe asthma patients with persistent sputum eosinophilia have demonstrated a significant reduction in airway wall thickness measured by quantitative CT scanning (RB1 WA%/body surface area). In addition, significant reductions in the levels of circulating and sputum eosinophils were also noted when compared to placebo [152, 153]. However, no effects on AHR were identified.

Treatment with omalizumab, an anti-human IgE monoclonal antibody, has well-documented efficacy in improving asthma outcomes in a subgroup of patients with moderate to severe persistent asthma [154–159]. Patients in this subgroup demonstrate a confirmed atopic component and remain uncontrolled despite high-dose inhaled corticosteroids and at least one additional controller therapy [160]. Omalizumab inhibits the binding of IgE to the high-affinity IgE receptor (FcεRI). It does so by binding to an epitope on the IgE molecule to which the FcεRI would bind [161]. Omalizumab resulted in significant reductions in sputum eosinophil counts and an 80% reduction in the number of airway tissue eosinophils. Even more striking was an almost complete reduction in airway cells staining positive for FcεRI (including basophils and mast cells) and a significant decrease in the number of airway T and B cells [162].

The therapeutic utility of anti-IgE therapy stands in stark juxtaposition to that of anti-IL-5 therapy. The differences suggest that either the (relatively) small reduction in airway eosinophilia mediated by IL-5 blockade is insufficient to produce a therapeutic effect, or that the effects of anti-IgE therapy result from attenuation of multiple effector cells in the asthmatic inflammatory cascade and not solely a reduction in tissue eosinophils [35].

4.3. Bronchial Thermoplasty. Bronchial thermoplasty (BT) delivers thermal energy to the airway wall in a controlled manner to reduce excessive ASM [163]. The procedure has been well studied in severe persistent asthma that is not well controlled with inhaled corticosteroids and long-acting beta-agonists [164, 165]. Long-term followup of BT study patients supports the efficacy and safety of BT out to 5 years [166]. Lung function (FEV₁ and FVC) remained stable over five years of followup. However, while studies have established small but significant improvements in PC_{20} doubling in patients undergoing BT when compared to controls for periods of up to 3 years after the procedure [166], there has in general been a lack of evidence demonstrating reduction in AHR. In the largest trial of bronchial thermoplasty to date [164], a subset of participants (100 treated with thermoplasty, 50 received sham bronchoscopy) underwent CT scans before and one year after treatment. Qualitative analysis of these images demonstrated no evidence of airway or parenchymal injury related to bronchial thermoplasty and an increase in bronchial wall thickening in those receiving sham bronchoscopy [167]. Therefore, thermoplasty may represent a mechanism by which smooth muscle can be abrogated resulting in the prevention of progressive remodeling in severe asthma.

5. Conclusions

There is now a substantial body of evidence documenting typical structural changes in the airways and lung parenchyma of asthmatic patients. These changes most likely contribute to the AHR and irreversible or partially reversible airflow obstruction seen in subgroups of asthmatic patients, especially those with more severe disease. The mechanisms responsible for these changes present viable therapeutic targets for the prevention and treatment of airway remodeling in asthma.

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