Research Article

Designing of Adaptive Model-Free Controller Based on Output Error and Feedback Linearization

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This paper introduces a new approach to design Model-Free Adaptive Controller (MFAC) using adaptive fuzzy procedure as a feedback linearization based on output error. The basic idea is to transfer the control signal to an appropriate surface and then, depending on the output error of system, the control signal changes around this surface. Some examples are provided as well to illustrate the efficiency of the proposed approach. The obtained simulation results have shown good performances of the proposed controller.

1. Introduction

Classical control method is based on mathematical equations of the system; however, this method suffers from some drawbacks. For example, in this method the performance of system can be affected by unmodeled dynamics of system and/or by large delays. Model-Free Adaptive Control (MFAC), as a part of modern control theory, shows superiorities compared to model-based methods. MFAC is an adaptive control method and needs no information about system model. It only uses *I/O* data for controller design and therefore it is a nonlinear controller designed without the need to mathematical model of controlled system [1–3].

In 1994, Han and Wang introduced model-free topic and proved the stability of MFAC controllers. In 1993–1994, Hou Zhongsheng represented applications of these controllers. With using pseudo-Jacobian matrix, nonlinear systems are replaced to near the rail line of controlled system and then the *I/O* data of controlled system are used to design MFAC controller [4].

In 1995, Jagannathan suggested a fuzzy stable controller for a limited class of nonlinear system in the form of $x(k+1) = f(x(k)) + u(k)$, where $f(x)$ is the unknown nonlinear function. In 1996, this controller was used for general class of nonlinear system in the form of $x(k+1) = f(x(k)) + g(x(k))u(k)$ [5].

Another idea is introduced in 2000 [6], which uses a neural network as an adaptive controller for stabilization of system. Their proposed algorithm does not need any complex tuning and can be applied to any controllable multiinput systems.

Compared with other adaptive control schemes, the MFAC approach has several advantages, which make this method suitable for control applications. First, MFAC just depends on the real-time measurement data of the controlled plant. Second, MFAC does not require any other testing signals and any training process. Third, MFAC is simple and easily implementable with small computational burden and has strong robustness. Fourth, MFAC approach does not need a specific controller for each specific process. Finally, the MFAC has been successfully implemented in many practical applications, for example, chemical industry, linear motor control, injection modeling process, PH value control, and so on [4].

The main contribution of this work is to introduce a new MFAC approach based on output error and feedback linearization. The proposed model has rapid monotonic tracking error convergence, robust stability, and good disturbance rejection.

The rest of the paper is organized as follows: in Section 2, adaptive fuzzy control based on feedback linearization is

described. The proposed model-free adaptive controller and its Lyapunov stability are represented in Sections 3 and 4, respectively; in Section 5 simulation results are given to highlight advantages of MFAC method. Finally conclusion is stated in Section 6.

2. Feedback Linearization by Means of Adaptive Fuzzy Controller

In feedback linearization method, control law is determined in such a way that nonlinear terms eliminate the system dynamic and replace it with appropriate reference input as seen below: (for simplifying $x(t) = x$),

$$\begin{aligned} \dot{x}(t) &= f(x) + g(x)u(t) \longrightarrow u(t) \\ &= g^{-1}(x)(-f(x) + v(t)). \end{aligned} \quad (1)$$

Conceptually, Adaptive Feedback Linearization (AFL) method is the same as nonadaptive counterpart but AFL uses adaptation law for updating controller parameter to enhance system performance. Notice that both methods should have complete description of system dynamic but our proposed method does not need any information of system dynamic. Due to the fact that it is difficult to obtain a mathematical model of the system and typically there exist some numerical approximations, the object of this paper is to control systems just with I/O data in order to improve system performance. In order to reach this goal it is required to estimate unknown nonlinear function of system dynamic $f(x)$ and $g(x)$ with $\hat{f}(x)$ and $\hat{g}(x)$ or estimate control law $u(t)$ with $\hat{u}(x)$. Estimation algorithm in this approach utilizes basic fuzzy membership function. As an instance for estimating $f(x)$, we have

$$f(x) \longrightarrow \hat{f}(x) = \frac{\sum_{i=0}^p c_i \mu_i}{\sum_{i=0}^p \mu_i}, \quad (2)$$

where p is the number of fuzzy roles, $\mu_i(x)$ is membership function for i th fuzzy role, also c_i is and the result of i th fuzzy role for system that is generally considered as linear combination of some series of continuous functions such as $z(x)$:

$$z_k(x) \in R, \quad k = 1, 2, \dots, m-1, \quad (3)$$

$$c_i = a_{i,0} + a_{i,1}z_1(x) + \dots + a_{i,m-1}z_{m-1}(x),$$

$$z = [1 \quad z_1(x) \quad z_2(x) \quad \dots \quad z_{m-1}(x)]^T,$$

$$A^T = \begin{bmatrix} a_{1,0} & a_{1,1} & \dots & a_{1,m-1} \\ \vdots & \vdots & \vdots & \vdots \\ a_{p,0} & a_{p,1} & \dots & a_{p,m-1} \end{bmatrix}, \quad (4)$$

$$c = z^T A.$$

Using (3), (4), $\hat{f}(x)$ can be rewritten as

$$\xi = \frac{[\mu_1 \quad \mu_2 \quad \dots \quad \mu_p]}{\sum_{i=0}^p \mu_i}, \quad (5)$$

$$f(x) = z^T \cdot A \cdot \xi + \varepsilon(x) \implies \hat{f}(x) = z^T \cdot A \cdot \xi,$$

where $\varepsilon(x)$ is the approximation error of fuzzy approach. $A(t)$ is updated by the adaptation law below:

$$\dot{A}(t) = -Q^{-1} \cdot z \cdot \xi^T \cdot \text{es}. \quad (6)$$

Q is a constant coefficient that usually is selected small. es is the difference between output x and reference output x_{ref} :

$$\text{es} = x - x_{\text{ref}}. \quad (7)$$

It should be pointed that AFC guarantees that $A(t)$ approaches to its desired value, $A^*(t)$.

Notice that the initial values of $A(t)$ can be chosen as $A(0) = 0$ or any selected value by information that is acquired from system dynamic or even can be determined from another available control approach; for instance, the columns of $A(0)$ can be taken as k_f (k_f is obtained from state feedback method $u = -k_f x$) or, for example, if we want to estimate $f(x)$ function, the columns of $A(0)$ are better to contain coefficients of system states of $f(x)$ [7]. Fuzzy rules are expressed as follows:

$$\text{If } X \text{ is } F_i \text{ then } c_i = f_i(z). \quad (8)$$

$f_i(z)$ is related to i th row of $z^T A$ matrix. So the unknown nonlinear function $f(x)$ is estimated as $\hat{f}(x)$ by fuzzy approach.

Adaptive-fuzzy control method has been divided to two parts: direct and indirect approaches; this paper uses indirect method.

2.1. Indirect Adaptive Fuzzy Controller (IAFC). First, consider the affine system equations given below:

$$\begin{aligned} \dot{x}(t) &= f(x) + g(x)u(t), \\ \ddot{x}(t) &= (\alpha(t) + \alpha(x)) + (\beta(t) + \beta(x)) * u(t). \end{aligned} \quad (9)$$

$\alpha(x)$, $\beta(x)$ are known parts of system dynamic (which are obtained from experimental and numerical methods), and $\alpha(t)$, $\beta(t)$ are system equations that must be identified. Considering the above discussions, $\alpha(x)$ and $\beta(x)$ can be obtained as

$$\alpha(x) = z_\alpha^T \cdot A_\alpha^* \cdot \xi_\alpha + d_\alpha(x), \quad (10)$$

$$\beta(x) = z_\beta^T \cdot A_\beta^* \cdot \xi_\beta + d_\beta(x).$$

$d_\alpha(x)$, $d_\beta(x)$ are approximated errors between real system and fuzzy system with upper bound values $|d_\alpha(x)| \leq D_\alpha(x)$, $|d_\beta(x)| \leq D_\beta(x)$ ($D_\alpha(x)$, $D_\beta(x)$ are known values). So estimation of system parameters can be rewritten as follows:

$$\hat{\alpha}(x) = z_\alpha^T \cdot A_\alpha \cdot \xi_\alpha, \quad (11)$$

$$\hat{\beta}(x) = z_\beta^T \cdot A_\beta \cdot \xi_\beta.$$

A_α , A_β are matrices that will be updated adaptively with the following updating laws:

$$\begin{aligned}\dot{A}_\alpha(t) &= -Q^{-1} \cdot z \cdot \xi_\alpha^T \cdot es, \\ \dot{A}_\beta(t) &= -Q^{-1} \cdot z \cdot \xi_\beta^T \cdot es \cdot u(t)\end{aligned}\quad (12)$$

and finally the control law is described as follows:

$$u(t) = \frac{1}{\beta(t) + \hat{\beta}(x)} (-[\alpha(t) + \hat{\alpha}(x)] + v(t)). \quad (13)$$

2.2. Direct Adaptive Fuzzy Controller (DAFC). Control law $u(t)$ in feedback linearization method can be obtained as follows:

$$u(t) = \frac{1}{\beta(x)} (-\alpha(x) + v(t)). \quad (14)$$

The goal is to estimate $u(t)$, with consideration of basic fuzzy function as seen below:

$$\hat{u}(t) = z_u^T \cdot A_u^* \cdot \xi_u + d_u(x), \quad (15)$$

where $\hat{u}(t)$ is the estimated signal and $d_u(x)$ is the error between the described u by fuzzy method and desired (appropriate) u^* ; this error is restricted by upper known bound $|d_u(x)| \leq D_u(x)$; since practically it is difficult to define $D_u(x)$, so its value is specified and adjusted in designing process repetition; this adjustment procedure continues until the performance of controller indicates that $D_u(x)$ comes close to proper value. Finally updating law $A_u(t)$ is obtained as follows:

$$\dot{A}_u(t) = -Q_u^{-1} \cdot z_u^T \cdot \xi_u \cdot es. \quad (16)$$

The initial value of $A_u(t)$ may be considered as $A_u(0) = 0$.

Remark 1. This method is suitable for minimum phase plants and also has the following limitation for $\beta(x)$:

$$-\infty < \beta_1 < \beta(x) < \beta_2 < \infty. \quad (17)$$

3. Proposed Approach

In the previous section, an adaptive fuzzy controller using fuzzy basis functions is described for stabilizing the controlled system. In our introduced method, the same approach has been employed but our method does not need to utilize fuzzy basis functions for estimating system parameters. Instead, three proposed rules based on output errors are considered to control the plant.

Consider a system with general form

$$\dot{x}(t) = f(x(t)) + g(x(t))u(t). \quad (18)$$

At first, nonlinear functions f and g must be estimated in such a way that controller does not rely on system dynamic. It should be noted that in this paper, the system is considered continues time but the output is sampled and these sampled

points are used in proposed controller. The estimations of system dynamic can be defined as

$$\begin{aligned}\tilde{f}(k) &= \hat{u}_f^T(k), \\ \tilde{g}(k) &= \hat{u}_g^T(k).\end{aligned}\quad (19)$$

And the updating values of $\hat{u}_g$, $\hat{u}_f$ are given by

$$\begin{aligned}\hat{u}_f(k+1) &= \hat{u}_f(k) + \alpha r^T(k+1), \\ \hat{u}_g(k+1) &= \begin{cases} \hat{u}_g(k) + \beta u_c(k) r^T(k+1) & I = 1 \\ \hat{u}_g(k) & I = 0, \end{cases}\end{aligned}\quad (20)$$

where α_f , α_g are constant coefficients with respect to $\hat{u}_f$, $\hat{u}_g$. It should also be noted that usually these parameters do not need to be changed for different system dynamics. The value of I will be defined in (27); $r(t)$ is the so-called “filtered tracking error” which is the sum of errors as defined below:

$$\begin{aligned}r(k) &= e_n(k) + \lambda_1 e_{n-1}(k) + \dots + \lambda_{n-1} e_2(k) + \lambda_n e_1(k), \\ e_1(k) &= y(k) - y_d(k), \\ e_2(k) &= y(k) - y_d(k+1), \\ &\vdots \\ e_n(k) &= y(k) - y_d(k+n-1),\end{aligned}\quad (21)$$

where $\{\lambda_i\}$, $i = 1, \dots, n$ are coefficients of the predictive error. And y_d is the desired output. For eliminating nonlinear terms of system dynamic, feedback linearization method has been exploited; for this purpose, $u(k)$ is chosen as follows:

$$u(k) = \tilde{g}^{-1}(k) (-\tilde{f}(k) + v(k)), \quad (22)$$

where $v(k)$ is defined by

$$v(k) = k_v r(k) + y_d(k+n) - \sum_{i=0}^{p-2} \lambda_{i+1} e_{n-i}(k). \quad (23)$$

In (23), k_v is the tracking error coefficient. By choosing appropriate k_v , $r(k)$ is converged into zero and at last it causes $v(k)$ to be restricted. In other words, if the bigger k_v is opted, better performance of output and quick tendency to the desired value are achieved while increasing the control signal u ; it should be noted that if k_v is chosen very large, it can make the system unstable.

As it is seen from (22), the problem of singularity occurs when $\tilde{g}$ is near zero and the control signal can become unbounded. To solve this problem, separate controller comprising two parts is proposed in the following form:

$$u(k) = \begin{cases} u_c(k) + .5(u_r(k) - u_c(k)) \exp(\gamma(\|u_c(k)\| - s)) & I = 1, \\ u_r(k) - .5(u_r(k) - u_c(k)) \exp(-\gamma(\|u_c(k)\| - s)) & I = 0. \end{cases}\quad (24)$$

In the above equation, s is a constant value that its amount impacts on control signal u . u_c , u_r are defined as

$$u_c(k) = \tilde{g}^{-1}(k) (-\tilde{f}(k) + v(k)), \quad (25)$$

$$u_r(k) = -\mu \|u_c(k)\| \operatorname{sign} \frac{r(k)}{\bar{g}}, \quad (26)$$

where μ is robust control signal coefficient that the decreasing or increasing of this coefficient affects quantity of final control signal u , and also $\bar{g}$ is a constant value that its small amount decreases the effect of u_r on final control signal u ; that is, u_r will become more effective factor for determining u ; and I is given by the equation below:

$$I = \begin{cases} 1 & \|\tilde{g}(k)\| > \bar{g}, \quad \|u_c(k)\| < s \\ 0, & \text{otherwise.} \end{cases} \quad (27)$$

Here, $\bar{g}$ is lower bound of $\tilde{g}$. Proper selection of $\bar{g}$ prevents $\tilde{g}$ to be singular.

Remark 2. γ, μ , and s are designing parameters with the following conditions [5]: $\gamma < \ln(2/s)$, $\mu > 0$, $s > 0$.

Remark 3. If u_c is greater than $1/\gamma$, it is assumed to be equal to $1/\gamma$.

The major principles of proposed MFA controller are constructed based on the three following experimental rules, which make controller produce appropriate control signal:

$$u(k) = \begin{cases} u(k-1), & \text{if } |e_1(k)| < \varepsilon, \quad |e_1(k-1)| < \varepsilon, \\ & |e_1(k)| \leq |e_1(k-1)| \\ -u(k-1), & \text{if } |e_1(k)| < \varepsilon, \quad |e_1(k-1)| < \varepsilon, \\ & |e_1(k)| > |e_1(k-1)| \\ -\eta u(k-1), & \text{if } |e_1(k)| > |e_1(k-1)|, \end{cases} \quad (28)$$

where ε is threshold value for changing final control signal u in the above rules. These laws utilize appropriate last level control signal (if error becomes less than specified value that is called ε) for using at next level. Indeed, if error at time k and $k-1$ becomes less than ε and also error at time k is greater than the previous time, the controller applies negative value of previous control signal for current time.

Regarding adaptive fuzzy controllers [5, 7] that estimate unknown nonlinear functions of the system by fuzzy algorithm and when new reference input is applied to the system, this estimation becomes better. But the sensitivity of proposed controller on estimation of nonlinear functions is less than that of AFC method. This is because output error has significant role in control effort rather than significant role of estimation in AFC.

4. Lyapunov Stability for the Proposed Model-Free Adaptive Controller

Let the Lyapunov function candidate V be given by [8]

$$V = r^T(k) r(k) + \frac{1}{\alpha} \operatorname{tr}(\tilde{u}_f^T(k) \tilde{u}_f(k)) + \frac{1}{\beta} \operatorname{tr}(\tilde{u}_g^T(k) \tilde{u}_g(k)). \quad (29)$$

(a) For the first region, $I = 1$.

At first we should obtain the difference of Lyapunov function in k and $k+1$ level. So general Lyapunov function $\Delta V = \Delta V_1 + \Delta V_2 + \Delta V_3$ can be acquired as follows:

$$\Delta V_1 = r^T(k+1) r(k+1) - r^T(k) r(k),$$

$$\Delta V_2 = \frac{1}{\alpha} \operatorname{tr}(\tilde{u}_f^T(k+1) \tilde{u}_f(k+1) - \tilde{u}_f^T(k) \tilde{u}_f(k)), \quad (30)$$

$$\Delta V_3 = \frac{1}{\beta} \operatorname{tr}(\tilde{u}_g^T(k+1) \tilde{u}_g(k+1) - \tilde{u}_g^T(k) \tilde{u}_g(k)).$$

If we prove that $\Delta V \leq 0$, it will demonstrate that $\tilde{u}_g$, $\tilde{u}_f$, and r are bounded and they are able to stabilize system. ΔV_1 , ΔV_2 , and ΔV_3 are obtained as follows:

$$\begin{aligned} \Delta V_1 &= -r^T(k) (I - k_v^T k_v) r(k) \\ &\quad + 2(k_v r(k))^T (\tilde{u}_f^T + \tilde{u}_g^T u_c + g u_d + \varepsilon') \\ &\quad + (\tilde{u}_f^T)^T \tilde{u}_f^T + (\tilde{u}_g^T u_c)^T (\tilde{u}_g^T u_c) \\ &\quad + (g u_d)^T g u_d + \varepsilon'^T \varepsilon' + 2\tilde{u}_f (\tilde{u}_g^T u_c + g u_d + \varepsilon') \\ &\quad + 2(\tilde{u}_g^T u_c)^T (g u_d + \varepsilon') + 2(g u_d)^T \varepsilon', \\ \Delta V_2 &= -(2 - \alpha) (\tilde{u}_f^T)^T \tilde{u}_f^T \\ &\quad + \alpha (k_v r(k) + \tilde{u}_g^T u_c + g u_d + \varepsilon')^T \\ &\quad \times (k_v r(k) + \tilde{u}_g^T u_c + g u_d + \varepsilon') \\ &\quad - 2(1 - \alpha) \tilde{u}_f (k_v r(k) + \tilde{u}_g^T u_c + g u_d + \varepsilon'), \\ \Delta V_3 &= -(2 - \beta) (\tilde{u}_g^T u_c)^T (\tilde{u}_g^T u_c) \\ &\quad + \beta (k_v r(k) + \tilde{u}_f^T + g u_d + \varepsilon')^T \\ &\quad \times (k_v r(k) + \tilde{u}_f^T + g u_d + \varepsilon') \\ &\quad - 2(1 - \beta) (\tilde{u}_g^T u_c)^T (k_v r(k) + \tilde{u}_f^T + g u_d + \varepsilon'). \end{aligned} \quad (31)$$

With combination of the above three Lyapunov functions, (32) is established

$$\begin{aligned} \Delta V &= -r^T(k) (I - k_v^T k_v) r(k) - (1 - \alpha) (\tilde{u}_f^T)^T \tilde{u}_f^T \\ &\quad + 2(k_v r(k) + g u_d + \varepsilon')^T (k_v r(k) + g u_d + \varepsilon') \end{aligned}$$

$$\begin{aligned}
& + \alpha (k_v r(k) + \tilde{u}_g^T u_c + g u_d + \varepsilon')^T \\
& \times (k_v r(k) + \tilde{u}_g^T u_c + g u_d + \varepsilon') \\
& + 2\alpha \tilde{u}_f (k_v r(k) + \tilde{u}_g^T u_c + g u_d + \varepsilon') \\
& - (1 - \beta) (\tilde{u}_g^T u_c)^T (\tilde{u}_g^T u_c) \\
& + \beta (k_v r(k) + \tilde{u}_f^T + g u_d + \varepsilon')^T \\
& \times (k_v r(k) + \tilde{u}_f^T + g u_d + \varepsilon') \\
& + 2\beta (\tilde{u}_g^T u_c)^T (k_v r(k) + \tilde{u}_f^T + g u_d + \varepsilon').
\end{aligned} \quad (32)$$

By simplifying (32) and using the below definition,

$$\eta = \begin{cases} \alpha + \beta \|u_c\|^2 & I = 1, \\ \alpha & I = 0. \end{cases} \quad (33)$$

Inequality (34) is acquired as follows:

$$\begin{aligned}
\Delta V & \leq -r^T(k) (I - (1 + \eta) k_v^T k_v) r(k) \\
& + 2\eta (k_v r(k))^T (g u_d + \varepsilon') \\
& + (1 + \eta) (g u_d + \varepsilon')^T (g u_d + \varepsilon') \\
& - (1 - \eta) \left\| \tilde{u}_f^T + \tilde{u}_g^T u_c - \frac{\eta}{1 - \eta} (k_v r(k) + g u_d + \varepsilon') \right\|^2 \\
& + \frac{\eta}{1 - \eta} (k_v r(k) + g u_d + \varepsilon')^T (k_v r(k) + g u_d + \varepsilon')
\end{aligned} \quad (34)$$

with assuming that $k_{v \max}$ is the maximum value of k_v and by resimplifying (34), inequality (35) is stated as given below:

$$\begin{aligned}
\Delta V & \leq - (I - a_1 k_{v \max}^2) \|r(k)\|^2 + 2a_2 k_{v \max} \|r(k)\| (g u_d + \varepsilon') \\
& + a_1 (g u_d + \varepsilon')^T (g u_d + \varepsilon') \\
& - (1 - \eta) \left\| \tilde{u}_f^T + \tilde{u}_g^T u_c - \frac{\eta}{1 - \eta} (k_v r(k) + g u_d + \varepsilon') \right\|^2,
\end{aligned} \quad (35)$$

where a_1 and a_2 are described as

$$a_1 = 1 + \eta + \frac{\eta}{1 - \eta}, \quad a_2 = \eta + \frac{\eta}{1 - \eta}. \quad (36)$$

By considering Lemma A.4 in Appendix A,

$$\|g(u - u_c) + \varepsilon_f + \varepsilon_g u_c + d\| = \|g u_d + \varepsilon'\| \leq c_0 + c_1 \|r(k)\|. \quad (37)$$

And with substituting inequality (37) in (35),

$$\begin{aligned}
\Delta V & \leq - (I - a_1 k_{v \max}^2) \|r(k)\|^2 \\
& + 2a_2 k_{v \max} \|r(k)\| (c_0 + c_1 \|r(k)\|) \\
& + a_1 (c_0 + c_1 \|r(k)\|)^T (c_0 + c_1 \|r(k)\|) \\
& - (1 - \eta) \left\| \tilde{u}_f^T + \tilde{u}_g^T u_c - \frac{\eta}{1 - \eta} (k_v r(k) + g u_d + \varepsilon') \right\|^2.
\end{aligned} \quad (38)$$

With simplifying the above inequality, the below equation is obtained:

$$\begin{aligned}
\Delta V & \leq - (I - a_3) \|r(k)\|^2 + 2a_4 \|r(k)\| + a_5 \\
& - (1 - \eta) \left\| \tilde{u}_f^T + \tilde{u}_g^T u_c - \frac{\eta}{1 - \eta} (k_v r(k) + g u_d + \varepsilon') \right\|^2,
\end{aligned} \quad (39)$$

where a_3 , a_4 , and a_5 are defined as

$$\begin{aligned}
a_3 & = a_1 k_{v \max}^2 + 2a_2 c_1 k_{v \max} + a_1 c_1^2, \\
a_4 & = a_2 c_0 k_{v \max} + a_1 c_0 c_1, \\
a_5 & = a_1 c_0^2.
\end{aligned} \quad (40)$$

By assuming that $\eta < 1$, the below statement becomes negative:

$$- (1 - \eta) \left\| \tilde{u}_f^T + \tilde{u}_g^T u_c - \frac{\eta}{1 - \eta} (k_v r(k) + g u_d + \varepsilon') \right\|^2 < 0. \quad (41)$$

As regards $\alpha > 0$, $\beta > 0$, η becomes positive too and finally $a_1, a_2, a_3, a_4, a_5 > 0$ totally; the first term in (39) $\{-(I - a_3) \|r(k)\|^2 + 2a_4 \|r(k)\| + a_5\}$ becomes nonpositive, if it obeys the below condition:

$$\|r(k)\| > \delta_{r1} \quad (42)$$

in which δ_{r1} is acquired from the below inequality:

$$\|\delta_{r1}\| > \frac{a_5 + \sqrt{a_5^2 + a_6(1 - a_4)}}{(1 - a_4)}. \quad (43)$$

Thus, both terms of inequality (39) have nonpositive values and finally $\Delta V \leq 0$.

(b) For the second region, $I = 0$.

In this region the proposed Lyapunov functions ΔV_1 , ΔV_2 , and ΔV_3 are obtained like (30) and they are stated as follows:

$$\begin{aligned}\Delta V_1 &= -r^T(k) (I - k_v^T k_v) r(k) \\ &\quad + 2(k_v r(k))^T (\tilde{u}_f^T + \bar{g}u_d + \varepsilon') + (\tilde{u}_f^T)^T \tilde{u}_f^T \\ &\quad + (\bar{g}u_d)^T \bar{g}u_d + \varepsilon'^T \varepsilon' + 2\tilde{u}_f (\bar{g}u_d + \varepsilon') + 2(\bar{g}u_d)^T \varepsilon', \\ \Delta V_2 &= -(2 - \alpha) (\tilde{u}_f^T)^T \tilde{u}_f^T \\ &\quad + \alpha (k_v r(k) + \bar{g}u_d + \varepsilon')^T (k_v r(k) + \bar{g}u_d + \varepsilon') \\ &\quad - 2(1 - \alpha) \tilde{u}_f (k_v r(k) + \bar{g}u_d + \varepsilon'), \\ \Delta V_3 &= 0.\end{aligned}\tag{44}$$

By combination of the above three equations (and definition of η), the statement is demonstrated below:

$$\begin{aligned}\Delta V &= -r^T(k) (I - k_v^T k_v) r(k) \\ &\quad + 2(k_v r(k) + \bar{g}u_d + \varepsilon')^T (\bar{g}u_d + \varepsilon') \\ &\quad + \frac{1}{1 - \alpha} (k_v r(k) + \bar{g}u_d + \varepsilon')^T (k_v r(k) + \bar{g}u_d + \varepsilon') \\ &\quad - (1 - \eta) \left\| \tilde{u}_f^T - \frac{\eta}{1 - \eta} (k_v r(k) + \bar{g}u_d + \varepsilon') \right\|^2\end{aligned}\tag{45}$$

with the consideration of Lemma A.4

$$\|gu - \hat{g}u_c\| \leq d_0 + d_1 \|r(k)\|.\tag{46}$$

By substituting inequality (46) in (45),

$$\begin{aligned}\Delta V &\leq -(I - b_0) \|r(k)\|^2 + 2b_1 \|r(k)\| + b_2 \\ &\quad - (1 - \eta) \left\| \tilde{u}_f^T - \frac{\eta}{1 - \eta} (k_v r(k) + \bar{g}u_d + \varepsilon') \right\|^2\end{aligned}\tag{47}$$

in which b_0 , b_1 , and b_2 are as follows:

$$\begin{aligned}b_0 &= k_{v\max}^2 + 2d_1 (d_1 + k_{v\max}) + \frac{(d_1 + k_{v\max})^2}{1 - \alpha}, \\ b_1 &= 2(d_0 + \varepsilon') d_1 + \frac{4 - 2\alpha}{1 - \alpha} (d_0 + \varepsilon') (d_1 + k_{v\max}), \\ b_2 &= \frac{3 - 2\alpha}{1 - \alpha} (d_0 + \varepsilon')^2.\end{aligned}\tag{48}$$

By the assumption that $\eta < 1$, the statement below becomes negative:

$$-(1 - \eta) \left\| \tilde{u}_f^T - \frac{\eta}{1 - \eta} (k_v r(k) + \bar{g}u_d + \varepsilon') \right\|^2 < 0.\tag{49}$$

As regards $\alpha > 0$, $\beta > 0$, η becomes positive too and finally b_0 , b_1 , $b_2 > 0$. The first term in (39) $\{-(I - b_0) \|r(k)\|^2 + 2b_1 \|r(k)\| + b_2\}$ becomes nonpositive, if it obeys the below condition:

$$\|r(k)\| > \delta_{r2}\tag{50}$$

in which δ_{r2} is obtained from the relation below:

$$\|\delta_{r2}\| > \frac{b_1 + \sqrt{b_1^2 + b_2(1 - b_0)}}{(1 - b_0)}.\tag{51}$$

As a result, both terms of inequality (47) have nonpositive values which means $\Delta V \leq 0$. And finally if $\|r(k)\| > \max(\delta_{r1}, \delta_{r2})$ Lyapunov function becomes negative.

5. Implementation of MFA Controller

Example 4. Consider MIMO system with 2 input-2 output system state equations which are given below [8]:

$$\begin{aligned}\dot{x}_1(t) &= x_2(t) + (1 + x_2(t)^2) u_1(t) + d_1(t), \\ \dot{x}_2(t) &= -x_1(t) - (0.1 - \exp(-x_1(t)^2 - x_2(t)^2)) x_2(t) \\ &\quad + (1 + x_1(t)^2) u_2(t) + d_2(t), \\ y_1(t) &= x_1(t) + n_1(t), \quad y_2(t) = x_2(t) + n_2(t), \\ n(t) &= \text{measurement noise}, \\ d_1(t), d_2(t) &= \text{disturbance}.\end{aligned}\tag{52}$$

Select control parameters as follows:

$$\begin{aligned}\mu &= 10, \quad \alpha = .1, \quad \beta = .1, \quad s = 2, \quad \gamma = .05, \\ \bar{g} &= .8, \quad k_v = [10 \ 0; 0 \ 10], \quad \lambda_1 = .001, \quad \varepsilon = .01, \\ \eta &= 0, \quad \hat{u}_f = [.1 \ .1], \quad \hat{u}_g = [1 \ 0; 0 \ 1].\end{aligned}\tag{53}$$

Simulation results of MIMO system without disturbances $d_1(t), d_2(t) = 0$ are demonstrated in Figure 1. In Figure 2 external disturbances are applied to the system with power $d_1(t) = 0.4, d_2(t) = 0.4$, and finally, the system with existence of white Gaussian measurement noise (power 0.0001) and external disturbance is shown in Figure 3; also desired inputs are assumed: $y_{1d} = 1, y_{2d} = \cos(2\pi t)$.

The aim of using this MIMO system which is brought by [8] (along with two control signals) is surveying coupling effect in multiinput multioutput systems. As it is understood from the result of Figure 1 caused by MFA controller and the result of AFC in [8], it can be said that system outputs of MFA controller do not have any overshoot in its response but in AFC, this problem is observed. As it is seen from Figure 2, MFA controller rejects disturbance without any noticeable effort in control signals and in the outputs. Also it can be deduced from Figure 3 that the measurement noise has intense effect on system outputs and control signals

FIGURE 1: Simulation results for MIMO system without disturbance. (a), (b) system outputs 1 and 2. (c), (d) control signals u relative to inputs 1 and 2.

(approximately became twice) but it should be noted that the proposed controller is able to track desired output and yet remains stable. As it is demonstrated in Figures 1, 2, and 3, the disadvantage of this controller is oscillating control signal. Profits of MFAC in addition of good (also rapid) tracking and system stabilizing are decreasing settling time of output response.

Example 5. The second system under study is Maglev train which is shown in Figure 4. Using the notations given in Figure 4, the vertical dynamics is described by [9].

System state equations are described as follows:

$$\begin{aligned}
 m \frac{d^2 z(t)}{dt^2} &= -F(i, z, t) + f_d + mg \\
 &= -\frac{\mu_0 N^2 a_m}{4} \left[\frac{i(t)}{z(t)} \right]^2 + f_d + mg, \\
 \frac{di(t)}{dt} &= \frac{i(t)}{z(t)} \frac{dz(t)}{dt} \\
 &\quad - \frac{2}{\mu_0 N^2 a_m} z(t) (R_m i(t) - u(t)).
 \end{aligned} \tag{54}$$

Here z is the distance between rail and train, i is the current of windings, m is the train mass, f_d is the force of disturbance, μ_0 is the permeability coefficient which equals $4\pi * 10^{-7}$, N the number of turns in winding, a_m is the section surface of windings, and R_m is the wire resistance in windings.

Consider the state vector as below; thus the above equations convert to new state equations:

$$\begin{aligned}
 \dot{x}_1(t) &= x_2(t), \\
 \dot{x}_2(t) &= -\frac{\mu_0 N^2 a_m}{4m} \left[\frac{x_3(t)}{x_1(t)} \right]^2 + \frac{1}{m} w + g, \\
 \dot{x}_3(t) &= -\frac{2R_m}{\mu_0 N^2 a_m} x_3(t) x_1(t) + \frac{x_2(t) x_3(t)}{x_1(t)} \\
 &\quad + \frac{2x_1(t) u(t)}{\mu_0 N^2 a_m}, \\
 y(t) &= x_2(t) + n(t), \\
 n(t) &= \text{measurement noise}, \\
 w(t) &= \text{external disturbance}.
 \end{aligned} \tag{55}$$

FIGURE 2: Simulation results for MIMO system with disturbance. (a), (b) system outputs 1 and 2. (c), (d) control signals u relative to inputs 1 and 2.

Maglev trains work in one of two ways; both methods are based on the same concept but involve different approaches.

- (1) Electromagnetic Suspension is based on magnetic attraction; it is very complex and somewhat unstable.
- (2) Electrodynamic Suspension is based on the repulsion of magnets. The magnetic levitation force balances the weight of the car at a stable position. Controlling EMS is more difficult than EDS train, because normality of this dynamic state is unstable.

Magnetic trains have two important issues, levitation and propulsion; the target of controller is first: goes up train to desired level along with guarantee stabilizing against some uncertainty such as wind and changing train mass in boltroads. And second adjust train speed at the working frequency of magnets. In this paper just levitation part (important section of train in controlling) is discussed. The train in this example goes from 10 mm to 16 mm level. By

considering these assumption values for train and controller as follows,

$$\begin{aligned}
 m &= 1.5 \text{ kg}, \quad N = 280 \text{ turns}, \quad a_m = 102.4 \text{ m}^2, \\
 R_m &= 1.1 \Omega, \quad \mu = 32, \quad \alpha = .1, \quad \beta = .1, \\
 s &= 140, \quad \gamma = .01, \quad \bar{g} = .9, \quad k_v = 1, \quad \lambda_1 = 0, \\
 \varepsilon &= .0001, \quad \eta = 1, \quad \hat{u}_f = -4, \quad \hat{u}_g = 1.
 \end{aligned} \tag{56}$$

The result of simulating without considering disturbance has been displayed in Figure 5; in Figure 6, input step as external disturbance with amplitude $d(t) = 1$ is applied to the system; for Figure 7, sinusoidal measurement noise with amplitude .1mm in presence of disturbance is considered. Also white Gaussian noise in existence of external disturbance is applied to the system with power 8×10^{-9} (it is considered small because I/O amplitude is small) which is shown in Figure 8. The purpose of presenting this system is studying on sort of complicated practical system dynamics such as Maglev in which they have some

FIGURE 3: Simulation results for MIMO system with the measurement noise and disturbance. (a), (b) system outputs 1 and 2. (c), (d) control signals u relative to inputs 1 and 2.

FIGURE 4: Vertical slice of magnetic levitation and rail in a magnetic train [9].

nonlinear terms like existence of first state in denominator (that affect directly the destabilizing system), and so forth. As it is demonstrated in Figure 5(a), the system output, that is distance between rail and train, has no overshoot; after about .25 second it goes from 10 to 16 mm smoothly. Such as the last example, it is acquired from Figure 6 that disturbance did not have any effect on the output, control

effort, and settling time. In Figure 7, it is illustrated that sinusoidal measurement noise with no changes appears into output but no noticeable alternation in control signal has been seen. And finally in Figure 8, an impalpable effect of white Gaussian measurement noise on output and control signal can be shown; in this example some repeated benefits which are seen in Maglev system response are

FIGURE 5: Simulation result for Maglev without disturbance (in which it goes from 10 to 16 mm). (a) Distance between train and rail, (b) control signal.

FIGURE 7: Simulation result for Maglev with sinuous measurement noise at 15 Hz and disturbance. (a) Distance between train and rail, (b) control signal.

FIGURE 6: Simulation result for Maglev with disturbance. (a) Distance between train and rail, (b) control signal.

FIGURE 8: Simulation result for Maglev train with white Gaussian measurement noise along with disturbance. (a) Distance between train and rail, (b) control signal.

expressed, such as appropriate system stabilizing, good and rapid tracking, disturbance rejection, and small settling time.

6. Conclusion

Model-free adaptive controller is an adaptive controller that just uses system outputs and does not require another system states (so does not need observer) and with this, as model-free controller, it performs good and also has some merits such as good stability, appropriate tracking, robust against uncertainty, disturbance rejection, and good decoupling and the biggest advantage of MFA controller is no requiring to system dynamic and even does not need to any prior experiment about system.

Appendices

A. Some Requirements

Lemma A.1. For each time k , $x(k)$ is bounded by

$$\|x(k)\| \leq d_0 + d_1 \|r(k)\| \quad (\text{A.1})$$

which is $r(k)$, called “tracking error,” and d_0, d_1 are computable positive constants.

Proof [see [8, Lemma 3.2]]. Let $x(k) \in U$, in which U is a compact subset of R^n . Assume that $h(x(k)) \in C^\infty U$; that is, $h(x(k))$ is a smooth function $U \rightarrow R$, so that the Taylor series expansion of $h(x(k))$ exists. Then using the bound on $x(k)$, express the function $h(x(k))$ on a compact set as linear form of parameters. Thus, the upper bound for $h(x(k))$ can be obtained as

$$\|h(x(k))\| \leq c_0 + c_1 \|r(k)\|, \quad (\text{A.2})$$

where c_0 and c_1 are constant matrices [8]. $\square$

Remark A.2. $\varepsilon_g, \varepsilon_f$ are estimation errors of g, f , respectively, and d is external disturbance. These errors are bounded and have these definite bounds

$$\|\varepsilon_f\| \leq \varepsilon_{Nf}, \quad \|\varepsilon_g\| \leq \varepsilon_{Ng}, \quad \|d\| \leq d_M. \quad (\text{A.3})$$

Remark A.3. According to (27), if $I = 1$ then $\|u_c\| \leq s$, so u_c is bounded and if $I = 0$ then $\|u_c\| > s$, but by considering the mentioned condition at Remark 3 in Section 5, in which if u_c is greater than $1/\gamma$, it is assumed to be equal $1/\gamma$. Thus, it can be deduced that in both regions and in all of times, u_c is bounded and its bounds are shown as follows:

$$\|u_c\| \leq \begin{cases} s & I = 1, \\ \frac{1}{\gamma} & I = 0. \end{cases} \quad (\text{A.4})$$

Lemma A.4. With regards to that, the proposed control algorithm is divided into two regions $I = 0$ and $I = 1$. We will prove the following relationship which is established in each region:

$$\begin{cases} \|g(u - u_C) + \varepsilon_f + \varepsilon_g u_c + d\| \leq c_0 + c_1 \|r(k)\| & I = 1, \\ \|gu - \hat{g}u_c\| \leq d_0 + d_1 \|r(k)\| & I = 0. \end{cases} \quad (\text{A.5})$$

Proof. (a) First region: $I = 1$ or $\|u_c\| \leq s$ and $\|g(x)\| > \bar{g}$.

In consideration of Lemma A.1, it is demonstrated that $\|g(x)\| \leq c_{01} + c_{11} \|r(k)\|$, where c_{01}, c_{11} are constant matrices:

$$\|u_c\| \leq s \rightarrow \|u_r\| \leq \frac{\mu}{\bar{g}} \|u_c\| \rightarrow \text{bounded } \|u_r\|. \quad (\text{A.6})$$

By using the result of relation (A.6), the following inequality is obtained:

$$\|u - u_c\| \leq \left\| \frac{u_r - u_c}{2} e^{\gamma(\|u_c\| - s)} \right\|. \quad (\text{A.7})$$

As regards $\|u_c\| \leq s \rightarrow \gamma(\|u_c\| - s) \leq 0$,

$$\|u - u_c\| \leq \left\| \frac{u_r - u_c}{2} \right\| \leq \frac{1}{2} (\|u_r\| + \|u_c\|) \leq c_2. \quad (\text{A.8})$$

By considering (A.7),

$$\begin{aligned} \|g(u - u_C)\| &\leq \|g\| \|u - u_C\| \\ &\leq c_2 (c_{01} + c_{11} \|r(k)\|) = c_{02} + c_{12} \|r(k)\|. \end{aligned} \quad (\text{A.9})$$

Also by utilizing Remark 3, (A.10) is stated as follows:

$$\begin{aligned} \|\varepsilon_f + \varepsilon_g u_c + d\| &\leq \|\varepsilon_f\| + \|\varepsilon_g\| \|u_c\| + \|d\| \\ &\leq \varepsilon_{Nf} + \varepsilon_{Ng} + d_M = \varepsilon_N. \end{aligned} \quad (\text{A.10})$$

By using (A.9) and (A.10) the following inequality is acquired:

$$\begin{aligned} \|g(u - u_C) + \varepsilon_f + \varepsilon_g u_c + d\| &\leq \|g(u - u_C)\| + \|\varepsilon_f + \varepsilon_g u_c + d\| \\ &\leq c_{02} + c_{12} \|r(k)\| + \varepsilon_N \leq c_0 + c_1 \|r(k)\|. \end{aligned} \quad (\text{A.11})$$

Thus, the assumption is proved in the first region.

(b) Second region: $I = 0$ or $\|u_c\| > s$ and $\|g(x)\| < \bar{g}$ and the mentioned condition for the proposed MFA controller in Remark 3 in Section 5 is stated as follows:

$$\text{if } u_c > \frac{1}{\gamma} \Rightarrow u_c = \frac{1}{\gamma}. \quad (\text{A.12})$$

In consideration of Lemma A.1, it is demonstrated that $\|g(x)\| \leq c_{01} + c_{11} \|r(k)\|$, where c_{01}, c_{11} are constant matrices. By using the result of relation (A.6) and the condition that is given above, the following inequality is obtained:

$$\|u\| \leq \|u_r\| + \left\| \frac{u_r - u_c}{2} e^{-\gamma(\|u_c\| - s)} \right\|. \quad (\text{A.13})$$

As regards $\|u_c\| > s \rightarrow -\gamma(\|u_c\| - s) \leq 0$,

$$\|u\| \leq \|u_r\| + \left\| \frac{u_r - u_c}{2} \right\| \leq \frac{1}{2} (3\|u_r\| + \|u_c\|) \leq d_2. \quad (\text{A.14})$$

By considering (B.5),

$$\begin{aligned} \|gu\| &\leq \|g\| \|u\| \leq d_2 (c_{01} + c_{11} \|r(k)\|) \\ &= d_{02} + d_{12} \|r(k)\|, \end{aligned} \quad (\text{A.15})$$

$$\|\hat{g}u_c\| \leq \|\bar{g}\| \left\| \frac{1}{\gamma} \right\| \leq d_3.$$

By using (A.10) and (A.15), the following inequality is obtained:

$$\begin{aligned} \|gu - \hat{g}u_c\| &\leq \|gu\| + \|\hat{g}u_c\| \\ &\leq d_{01} + d_{11} \|r(k)\| + d_3 \\ &\leq d_0 + d_1 \|r(k)\|. \end{aligned} \quad (\text{A.16})$$

Finally, the assumption is proved in the second region. Thus, inequality (A.5) is correct in both regions. $\square$

B. Obtain $r(k)$

In consideration of error definition $r(k)$ in (21),

$$r(k+1) = \underbrace{e_n(k+1)}_I + \underbrace{\lambda_1 e_{n-1}(k+1)}_{II} + \cdots + \underbrace{\lambda_{n-1} e_1(k+1)}_{III}, \quad (\text{B.1})$$

$$(I) \quad e_n(k+1) = x_n(k+1) - y_d(k+n) = f(x) + g(x)u(k) + d(k) - y_d(k+n),$$

$$(II) \quad \lambda_1 e_{n-1}(k+1) = \lambda_1 [\underbrace{x_{n-1}(k+1)}_{x_n(k)} - y_d(k+n-1)] = \lambda_1 e_n(k),$$

$$(III) \quad \lambda_{p-1} e_1(k+1) = \lambda_{p-1} [\underbrace{x_1(k+1)}_{x_2(k)} - y_d(k-1)] = \lambda_{p-1} e_2(k).$$

Thus, by substituting (I), (II), and (III) in the above equation,

$$r(k+1) = f(x) + g(x)u(k) + d(k) - y_d(k+P) + \lambda_1 e_P(k) + \dots + \lambda_{p-1} e_2(k). \quad (B.2)$$

Equation (25) can be rewritten as follows:

$$v(k) = \tilde{g}(k)u_c(k) + \tilde{f}(k). \quad (B.3)$$

By using (23), (25), and (B.2),

$$\begin{aligned} r(k+1) &= v(k) - v(k) + f(x) + g(x)u(k) + d(k) \\ &\quad - y_d(k+P) + \lambda_1 e_P(k) + \dots + \lambda_{p-1} e_2(k) \\ &= k_v r(k) - v(k) + f(x) + g(x)u(k) + d(k) \\ &= k_v r(k) + (f(x) - \tilde{f}) + (g(x)u - \tilde{g}u_c) + d(k). \end{aligned} \quad (B.4)$$

Also by considering the above equation, the tracking errors, $\tilde{u}_f$ and $\tilde{u}_g$, are acquired as follows:

$$\begin{aligned} r(k+1) &= k_v r(k) + (f(x) - \tilde{f}) + (g(x)u_c - \tilde{g}u_c) \\ &\quad + d(k) + (gu - gu_c) \\ &= k_v r(k) + (f(x) - \tilde{f}) + (g(x)u_c - \tilde{g}u_c) \\ &\quad + d(k) + gu_d, \end{aligned} \quad (B.5)$$

where $u_d = u - u_c$. By the following definitions about the $g(x)$, $\tilde{f}$, $f(x)$, and $\tilde{g}$, (B.5) is rewritten as follows:

$$\begin{aligned} f(x) &= u_f^T + \varepsilon_f(x) \implies \tilde{f} = \hat{u}_f^T, \\ g(x) &= u_g^T + \varepsilon_g(x) \implies \tilde{g} = \hat{u}_g^T, \\ \tilde{u}_f &= u_f - \hat{u}_f, \quad \tilde{u}_g = u_g - \hat{u}_g, \\ r(k+1) &= k_v r(k) + (u_f^T - \hat{u}_f^T) + (u_g^T u_c - \hat{u}_g^T u_c) \\ &\quad + \varepsilon_f + \varepsilon_g u + d(k) + gu_d \\ &= k_v r(k) + \tilde{u}_f^T + \tilde{u}_g^T u_c + \varepsilon_f + \varepsilon_g u + gu_d \end{aligned} \quad (B.6)$$

with substitution of $\varepsilon' = \varepsilon_f + \varepsilon_g u_c + d$ in (B.6); final relation of tracking error is demonstrated as follows:

$$r(k+1) = k_v r(k) + \tilde{u}_f^T + \tilde{u}_g^T u_c + \varepsilon' + gu_d. \quad (B.7)$$

Remark B.1. In consideration of (20) in this region, the following equalities are given:

$$\begin{aligned} \tilde{u}_f &= u_f - \hat{u}_f \implies \tilde{u}_f(k+1) = (1 - \alpha) \tilde{u}_f(k) \\ &\quad - \alpha(k_v r(k) + \tilde{u}_g^T u_c + \varepsilon' + gu_d)^T, \\ \tilde{u}_g &= u_g - \hat{u}_g \implies \tilde{u}_g(k+1) = (1 - \beta) \tilde{u}_g(k) u_c \\ &\quad - \beta(k_v r(k) + \tilde{u}_f^T + \varepsilon' + gu_d)^T. \end{aligned} \quad (B.8)$$

By using equation (B.4) in the second region, tracking errors, $\tilde{u}_f$, $\tilde{u}_g$, are obtained as follows:

$$\begin{aligned} r(k+1) &= k_v r(k) + (f(x) - \tilde{f}) + (g(x)u - \tilde{g}u_c) + d(k) \\ &= k_v r(k) + (f(x) - \tilde{f}) + \overline{g}u_d + d(k). \end{aligned} \quad (B.9)$$

Remark B.2. By utilizing (20) in this region, $\tilde{u}_f$ and $\tilde{u}_g$ are acquired as follows:

$$\begin{aligned} \tilde{u}_f &= u_f - \hat{u}_f, \\ \tilde{u}_f(k+1) &= (1 - \alpha) \tilde{u}_f(k) - \alpha(k_v r(k) + \overline{g}u_d + \varepsilon')^T \\ \tilde{u}_g &= u_g - \hat{u}_g \implies \tilde{u}_g(k+1) = \tilde{u}_g(k). \end{aligned} \quad (B.10)$$

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