Research Article

Dynamic Changes in Surface Damage Induced by High-Intensity Mining of Shallow, Thick Coal Seams in Gully Areas

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1. Introduction

As Western China has become the focus of coal mining activity, the mining scale of shallow, thick coal seams has gradually increased [1]. Intensive mining activities in these areas have increased the overburden motion range and exaggerated surface damage, such as surface collapse, landslide, and soil erosion, resulting in serious damage to the fragile ecological environment [2, 3]. A large number of studies have been conducted to analyze the safe and sustainable mining of shallow coal seams. Qian and Huang established a mechanical structure model of the main roof in shallow coal seam mining, providing a basic method to support the design of shallow coal seam working faces [4–6]. Xu et al. classified key strata structures and analyzed surface damage caused by shallow coal seam mining [7–9]. Zhang et al. proposed a preliminary system for water conservation mining in shallow seams. This system was defined in terms of the structure, motion, and fissure distribution of the key strata and aquifuge [10–12]. Yu et al. studied the formation mechanism of mining-induced fissures in shallow coal seam mines and proposed preliminary control techniques [13, 14]. In addition, other scholars in this field have carried out research on strata movement, mining subsidence, ecological restoration, etc. [8, 15–20]. The characteristics of mines in Western China include large coal seam thicknesses, shallow occurrences, and complicated topography. Dynamic strata pressure disasters and geological disasters are frequently observed in gully areas (see Table 1) [21–23]. To investigate this problem, Lai et al. analyzed the surrounding rock stress distribution and the strata behaviors of shallow, thick coal seam mining in gully areas [24, 25]. Wang et al. studied the overlying strata stability and the support parameter determination of shallow coal seam mining in gully areas [26–28]. Liu et al. studied mining-induced fissures in shallow, thick coal seam mining [29, 30]. Research on the surface subsidence caused by mining activity under similar geological and geographical conditions has also attracted the attention of scholars [31–33]. Related research mainly focuses on the optimization of mining subsidence models and the field measurement of surface subsidence data [34, 35].

Based on the analysis of relevant literature, research on the overburden movement and on the mining-induced
damage is based on the two-dimensional space of the stope advancing direction. Existing studies generally use two-dimensional plane similarity simulation and numerical calculation modeling to simplify the surface gully terrain. There are also few studies on the evaluation of surface geological hazards.

The mining of shallow, thick coal seams under gully terrain in Western China is constantly expanding. The mining subsidence and surface damage in this gully area are dynamic and changing. Understanding the mechanisms involved in gully slope landslides, subsidence, and other geological disasters under the conditions in this large mining area is critical for predicting surface damage and developing methods for controlling these disasters [36–38]. Compared with other coal seam mining areas, the bedrock in the gully area is thinner and the cementation is poor. The distribution of mining stress on the overburden is affected by both the coal seam mining and the surface gully terrain. However, there are not effective methods for the quantitative expression and evaluation of the distribution and evolution of surface mining stress field and displacement field. In addition, shallow coal seam mining in gully areas is an activity in three-dimensional space involving the surface and subsurface. Therefore, combining the research methods of three-dimensional modeling and theoretical analysis is an appropriate approach. Related research has important theoretical and practical value.

In this study, field measurements, numerical simulations, and theoretical analyses were implemented to investigate the in situ stress field and displacement field caused by mining processes under the geographical conditions of gully terrains. This study serves as a reference for the evaluation of surface geological hazard-prone areas and can help guide reasonable road line selection under the dynamic influences of similar mines.

### 2. Engineering Background

The Chuancao Gedan mine is located on the Loess Plateau and is noted for its complicated topography, which includes large surface variations. The mining field is covered by unconsolidated sediments, resulting in almost complete coverage of old layers formed before the Cenozoic Era and the presence of bedrock only in large gullies. The Chuancao Gedan mine exploits Seam no. 6. Seam no. 6 has an average thickness of 12.8 m, an average inclination of 5°, and an average burial depth of 116.6 m. The mining sequence of Seam no. 6 is as follows: working face 6206 ⟶ working face 6205 ⟶ working face 6204 ⟶ working face 6203 ⟶ working face 6202 (see Figure 1).

High-intensity mining activities lead to overburden movements and severe surface damage. During the mining period of working faces 6206 and 6205, geological disasters such as landslides and subsidence occurred in the gully area (Figures 2(a) and 2(b)), resulting in the destruction of surface buildings and roads (Figure 2(c)). Due to serious damage to road A in the mining area, the line of surface roads in the mining area needs to be reconfigured and the roads need to be reconstructed. Road B has been rebuilt on a hillside approximately 200 m from the boundary of the mined-out area (Figure 2(d)). Consideration of the influence range of surface subsidence is important for the reinforcement of gully slopes near road B.

For the core at point O (Figure 2(d)), the physical and mechanical parameters of each stratum are shown in Figure 3.

### 3. Three-Dimensional Modeling

To establish a three-dimensional analysis model, Fast Lagrangian Analysis of Continua (FLAC) was used as the simulation software. In this paper, the three-dimensional version was used to simulate all processes [39, 40].

The Mohr–Coulomb model is commonly used to describe shear damage to soil and rocks. Considering that coal seam mining is a typical nonlinear deformation problem, the Mohr–Coulomb model was used in the calculation. This model uses the following yield criteria to determine the destruction of the rock mass:

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**Table 1: Statistics of accidents and the resulting damage.**

<table>
<thead>
<tr>
<th>Mine name</th>
<th>Working face</th>
<th>Disaster type</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chuancao Gedan coal mine</td>
<td>6106 working face</td>
<td>Dynamic strata pressure disaster</td>
<td>Roof step sinking, strut burst</td>
</tr>
<tr>
<td>Daluata coal mine</td>
<td>C202 working face</td>
<td>Dynamic strata pressure disaster</td>
<td>Support crushing, water inrush</td>
</tr>
<tr>
<td>Daluata coal mine</td>
<td>1203 working face</td>
<td>Dynamic strata pressure disaster</td>
<td>Roof step sinking, support crushing</td>
</tr>
<tr>
<td>Huojitu coal mine</td>
<td>12304 working face</td>
<td>Dynamic strata pressure disaster</td>
<td>Massive roof fall, water inrush, and sand burst</td>
</tr>
<tr>
<td>Chuancao Gedan coal mine</td>
<td>6206 working face</td>
<td>Geological disaster</td>
<td>Massive landslide, surface road damage</td>
</tr>
<tr>
<td>Bulianta coal mine</td>
<td>22307 working face</td>
<td>Geological disaster</td>
<td>Large-scale subsidence, water damage</td>
</tr>
<tr>
<td>Shangwan coal mine</td>
<td>51102 working face</td>
<td>Geological disaster</td>
<td>Large-scale subsidence, massive landslide</td>
</tr>
<tr>
<td>Buertai coal mine</td>
<td>42105 working face</td>
<td>Geological disaster</td>
<td>Surface road damage, large-scale subsidence, and water damage</td>
</tr>
</tbody>
</table>
\[
\begin{align*}
    f &= (\sigma_1 - \sigma_3) - (\sigma_1 + \sigma_3)\sin \varphi - 2c \cos \varphi = 0, \\
    f &= (\sigma_3 - \sigma_1).
\end{align*}
\]  

(1)

In this formula, \( \sigma_1 \) and \( \sigma_3 \) are the maximum principal stress and the minimum principal stress, respectively, and \( c \) and \( \varphi \) are the cohesive force and internal friction angle, respectively. When \( f > 0 \), the material undergoes shear destruction. When \( f \geq 0 \), the material undergoes tensile destruction.

3.1. Establishment of an Analytical Model. Taking Region A as an example, the three-dimensional modeling of shallow, thick coal seams in gully areas included steps such as the calculation and processing of surface contour data, the modeling of FLAC3D surface topography, the fitting of layered coal strata, and the division of mining areas. The flowchart of the model generation is shown in Figure 4.

First, three-dimensional coordinate data of the control point on the contour of the surface topography in Region A were derived. Second, the kriging interpolation method was used to organize the exported data into grid node coordinates corresponding to only one elevation form. The processed three-dimensional topographic map is shown in Figure 5. The map size is \( 1360 \text{ m} \times 1050 \text{ m} \) (X \( \times \) Y), and the map is divided into 137 rows and 106 columns with widths of 10 m, with a total of 14,522 surface elevation data points. Third, the elevation data of each point were saved as DAT files, which contain the three-dimensional coordinates of all grid nodes. The data storage form is \( \{x_i, y_j, z_{ij}\} \), where \( i \) is the number of rows, \( j \) is the number of columns, and \( z_{ij} \) is the corresponding point elevation. Finally, the FLAC3D numerical simulation software was used to generate the three-dimensional model of Region A by sequentially reading out each data point in the DAT file and creating tiny hexahedral elements. The three-dimensional model generated by the hexahedron element above an elevation of 883 m is shown in Figure 6.

Based on the data acquisition and processing of surface contour data, surface geomorphology modeling via FLAC3D, and formation and modeling of the underlying strata, a three-dimensional calculation model for the study area was established, as shown in Figure 7 [41]. The model size is \( 1360 \text{ m} \times 1050 \text{ m} \times 292 \text{ m} \) (X \( \times \) Y \( \times \) Z); the horizontal grid size X \( \times \) Y of the model is 10 m \( \times \) 10 m. The vertical dimension of the model is divided into 14 layers, and the vertical grid size Z is divided according to the thickness of each layer. The model has 614,040 zones and 551,836 grid points. The dimension parameters of the mining face are shown in Table 2.

According to the actual conditions, the boundary constraints adopted in this model are as follows:
(1) The side of the model is a rolling branch, which is used to limit the horizontal movement.

(2) The lower boundary of the model is defined as a full-constraint boundary, which is used to limit horizontal and vertical displacements.

(3) The upper boundary of the model, defined as the free boundary, is the loess layer, and the horizontal and vertical directions are relatively large.

The compaction of caving gangue in goaf has an important influence on the surface subsidence and the
According to the measured results, the basic expression of the stress of the original rock in the three directions of the mining area is as follows:

\[
\begin{align*}
\sigma_1 &= 1.1 \rho gh, \\
\sigma_2 &= 1.1 \rho gh, \\
\sigma_3 &= \rho gh.
\end{align*}
\]  

(2)

In this equation, \( \rho \) is the average rock mass of 2,550 kg/m\(^3\), \( g = 10 \) N/kg, and \( h \) is the depth of burial (the elevation difference from the surface level to a certain point).

3.2. Material Properties. The numerical calculation was performed using the Mohr–Coulomb failure criterion. The material parameters of the Mohr–Coulomb model included cohesion, internal friction angle, bulk modulus, Poisson’s ratio, bulk modulus compressive strength, and tensile strength. The physical and mechanical parameters of the rock formations are shown in Figure 3 and Table 3.

An initial equilibrium state was achieved after model establishment, and the vertical stress distribution of the seam and layers under the influence of the gully terrain is shown in Figure 7(c). The effect of the gully terrain on the in situ stress field is significant. The degree of the effect decreases with increasing depth of the gully. The vertical stress distribution in Seam no. 6 is shown in Figure 7(d). The vertical stress contour in the coal seam is similar to that in the surface contour. Thus, the gully terrain has an impact on the mining of Seam #6.

4. Geological Hazard-Prone Area in the Mining Area

The mining area is covered by thick, sticky loess with poor mechanical properties. The coal seam overburden mainly consists of sandstone and sandy mudstone with low mechanical strength. The geological conditions in the mining area are simple and have no obvious geological structure. Because of the large size of the excavation area and the lower depth of the coal seam, the main influencing factor of mining pressure is vertical stress under gully influence. As a result of the mining process, collapse of the overlying strata was observed and led to the subsidence phenomena, as shown in Figure 2. Surface deformation and destruction were mainly caused by the increase in the shear strength during the mining process.

According to the Mohr–Coulomb criterion, the primary cause of slope landslide is the shear stress on the surface reaching a threshold shear strength and disrupting the equilibrium state. The shear stress \( \tau \) at a certain point can be calculated by the maximum principal stress and the minimum principal stress at this point:

\[
\tau = \frac{\sigma_1 - \sigma_3}{2} \sin 2 \beta.
\]  

(3)

In the formula, \( \sigma_1 \) is the maximum principal stress at a given point, MPa; \( \sigma_3 \) is the minimum principal stress at a given point, MPa; and \( \beta \) is the angle of the shear stress \( \tau \) acting face and maximum principle stress \( \sigma_1 \) acting face, °.
When $\beta = 45^\circ$, according to formula (1), the maximum shear stress is

$$\tau_{\text{max}} = \frac{\sigma_1 - \sigma_3}{2}.$$  \hspace{1cm} (4)

The shear stress distribution of the surface gully slope is shown in Figure 8.

The maximum shear stress was concentrated from the slope top to the gully bottom. The stress concentration increased with increasing slope angle, indicating a threat for landslides. The probability increases with increasing slope angle. Landslide, collapse, and other geological hazards occur on the acting face of maximum shear stress because of the disruption of the stress equilibrium state during mining activities. To avoid the occurrence of surface geological disasters, the variation in the stress field and displacement field should be given greater attention.

### Table 2: Dimension parameters of the working face in the calculation model.

<table>
<thead>
<tr>
<th>Working face number</th>
<th>Actual mining range of the working face</th>
<th>Mining area in the calculation model</th>
</tr>
</thead>
<tbody>
<tr>
<td>6206</td>
<td>150 Face length (m) - 385 Mining length (m) - 12.8 Mining height (m) - 2.1 Mining speed (m·d$^{-1}$) - X (m) - Y (m) - Z (m)</td>
<td>60–210 300–680 14–22</td>
</tr>
<tr>
<td>6205</td>
<td>160 420 12.8 1.4 230–390 300–720 14–22</td>
<td></td>
</tr>
<tr>
<td>6204</td>
<td>160 390 12.8 1.4 410–570 300–690 14–22</td>
<td></td>
</tr>
<tr>
<td>6203</td>
<td>150 460 12.8 2.1 590–740 300–760 14–22</td>
<td></td>
</tr>
<tr>
<td>6202</td>
<td>180 480 12.8 1.2 760–940 300–780 14–22</td>
<td></td>
</tr>
<tr>
<td>6201</td>
<td>170 470 12.8 1.4 960–1130 300–770 14–22</td>
<td></td>
</tr>
</tbody>
</table>

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### 5. Effect of Mining Activity on the Surface Stress and Displacement Field Changes

Seam no. 6 working face in the three-dimensional model (Figure 7(a)) was successively mined. The mining sequence of Seam no. 6 was as follows: working face 6206 → working face 6205 → working face 6204 → working face 6203 → working face 6202 → working face 6201. Working face mining is a dynamic process. The mining speed not only affects the instability movement characteristics of overlying rock, the appearance of working face mineral pressure, and the bearing characteristics of the support, but also dynamically influences the surface subsidence and destruction [42]. Considering the actual propulsion speed of the working face (Table 2), each working face was designed for multiple circular excavations in the numerical calculation, where each excavation cycle was 1.5 m and the cycle time step was 2000 steps. The distributions of the stress field,
displacement field, and surface damage after mining of working faces 6205, 6203, and 6201 are shown in Figures 9–11, respectively.

After the mining of working face 6205, the surface shear stress concentration appeared above the mined-out area, and the stress concentration reached a maximum value (1.0 MPa) near the gully slope. The probability of large-scale geological landslides in the gully slope increased as a result of coal seam mining. The surface displacement contour (maximum displacement value: 5.0 m) and plastic failure zone were distributed in an “O” shape (Figures 9(c) and 9(d)). Different degrees of collapse occurred, and the maximum surface collapse occurred in the middle of the goaf.

After the mining of working face 6203, the shear stress concentration increased, and the maximum value reached 1.4 MPa (Figure 10(b)). When the overlying strata became steady, the plastic failure zone was distributed in an inverted “C” shape. The plastic failure zone was distributed on the side of the unexploited seam (Figure 10(c)). Plastic damage in the gully slope at the edge of the mined-out area affected the stability of surface buildings and roads. When the mined-out area became approximately square, the ground displacement contour was expanded from an “O” shape to an approximate square (Figure 10(d)).

After the mining of working face 6201, the surface shear stress concentration area (Figure 11(b)), plastic failure area (Figure 11(c)), and surface displacement contour (Figure 11(d)) reached maximum values. The maximum shear stress increased to 1.5 MPa, the maximum surface subsidence was 10.0 m, and the maximum values appeared near one side of working face 6201. The surface displacement values of working faces 6206 and 6205 did not increase with increasing mining area, indicating that the surface subsidence in this area tends to be stable.

A comprehensive analysis of Figures 9–11 shows that under the influence of mining stress, the overlying rock layer in the goaf area produces plastic damage, causing overlying rock instability movement and dynamic changes in the surface stress field and displacement field. In particular, the tension stress distribution area appears around the surface of the mining area, and surface deformation and destruction occur under tensional stress. Shear stress concentration occurs on the surface above the goaf area, and step subsidence occurs on the surface.

### 6. Influence of Expanded Mining Range on the Dynamic Changes in Surface Damage

With the sequential mining of each working face, the mined-out area of Seam no. 6 constantly expanded. To analyze the dynamic influence of the expanding area on surface subsidence, the displacement curves of the slice (Y = 495 m) along the mining sequence direction and the slices (X = 310 m, X = 490 m, X = 660 m, and X = 850 m) along the advancing direction were examined. The dynamic changes in surface displacement are shown in Figures 12 and 13.

Figure 12 shows that the surface subsidence area and the maximum subsidence in the X-direction increased with the expansion of the mined-out area. The maximum subsidence value appeared in the mining of the working face. When the overlying strata movement became steady, the surface subsidence tended to be constant. The surface subsidence of 0–130 m in the X-direction tended to be stable during the exploitation of working face 6205. The surface subsidence of
Shear stress (Pa)
Geological disaster-prone area
X
Z
Y
5.0000E+05
4.5000E+05
4.0000E+05
3.5000E+05
3.0000E+05
2.5000E+05
2.0000E+05
1.5000E+05
1.0000E+05
5.0000E+04
0.0000E+00

τ_{max} = (σ_1 - σ_3)/2

(a) (b) (c)

Figure 8: Shear stress distribution of the surface gully slope. (a) Maximum principal stress (σ_1)/MPa. (b) Minimum principal stress (σ_3)/MPa. (c) Maximum shear stress.

Figure 9: Continued.
Figure 9: Distribution of the stress field, displacement field, and surface damage after mining of working face 6205. (a) Calculation model. (b) Maximum shear stress. (c) Region of surface damage. (d) Surface displacement contours.

Figure 10: Distribution of the stress field, displacement field, and surface damage after mining of working face 6203. (a) Calculation model. (b) Maximum shear stress. (c) Region of surface damage. (d) Surface displacement contours.
0–240 m in the X-direction tended to be stable during the exploitation of working face 6204. The surface subsidence of 0–310 m in the X-direction tended to be stable during the exploitation of working face 6203. The surface subsidence of 0–360 m in the X-direction tended to be stable during the exploitation of working face 6202. The surface subsidence of 0–380 m in the X-direction tended to be stable during the exploitation of working face 6201.

Figure 13 shows that the surface subsidence area in the Y-direction was basically unchanged after the mining of each working surface. The surface subsidence value gradually increased from the edge of the mined-out area to the middle. The maximum subsidence value generally appeared in the middle of the mined-out area. Due to the compaction of caving gangue, the surface subsidence of the previous working face was unchanged when the subsequent working face was excavated.

The surface subsidence area was larger than the goaf range [43–45]. With the continuous expansion of the coal mining area, the dynamic changes of surface subsidence are of great importance to the prediction of mining damage and the evaluation of surface geological hazards. To analyze the dynamic changes in the surface subsidence influence area with constant expansion of the mined-out area, assuming that the ratio of the maximum impact range of surface subsidence ($S_{\text{max}}$) and the mined-out area ($s_{\text{max}}$) is the amplification ratio $\eta$ of the surface influence range, then

$$\eta = \frac{S_{\text{max}}}{s_{\text{max}}}$$

(5)

In this formula, $S_{\text{max}}$ is surface subsidence influence area after working face $i$ is mined out, m$^2$; $s_{\text{max}}$ is goaf area after working face $i$ is mined out, m$^2$.

The amplification ratio $\eta$ can show the trend of surface movement and deformation of the adjacent working face under the influence of the goaf and the dynamic change of surface subsidence with the continuous expansion of the coal mining area. The dynamic change curve of the amplification ratio $\eta$ after each working face is mined out is shown in Figure 14. The
Figure 12: Dynamic changes in overburden and surface displacement with expansion of the coal seam mined-out area ($Y = 495$ m).

Figure 13: Dynamic changes in overburden and surface displacement with expansion of the coal seam mined-out area ($X = 310$ m, 490 m, 660 m, and 850 m).
Surface subsidence area increased in an "O" shape after working face 6205 was mined out. The surface influence area was larger. The surface subsidence area expanded in the shape of an inverted "C" to the side of the unexploited coal seam on the basis of an "O" shape upon expansion of the mined-out area. The increasing amplitude of the surface influence area decreased as the denominator $S_{\text{max}}$ in formula (3) constantly increases with the increasing mined-out area. The amplification ratio $\eta$ of surface damage first increased to a maximum, then decreased, and finally remained stable with the continuous expansion of coal seam mining. The analysis results have certain reference value for surface movement and deformation prediction, land reclamation, and ground building protection in mining areas under the conditions of repeated mining in adjacent working faces.

After the mining of working face 6206, the angular parameters of surface subsidence were measured and sorted, and the angular parameters of surface stabilization are shown in Table 4. The mining area of working face 6206 is 57,750 m$^2$, and the average buried depth is 200 m. The average amplification ratio $\eta$ of working face 6206 is 3.2. The field measurement results are in good agreement with the numerical simulation results.

### 7. Surface Influence in Geological Disaster-Prone Areas Induced by High-Intensity Mining of the Shallow, Thick Coal Seam in the Gully Area

To analyze the dynamic changes in the surface subsidence area induced by high-intensity mining, the dynamic change in surface influence area after each working face was mined out was examined, as shown in Figure 15. The final surface subsidence influence area is 0–1280 m in the X-direction and 100–900 m in the Y-direction. Road A is in the subsidence influence area and was destroyed. Damage area $D$ was located at the edge of the mining subsidence of the working face. Tensile stress concentration occurs in the surface area, and surface deformation and destruction occur under tensile stress. The line selection of road B is located outside the influence area and was not affected by the collapse caused by seam mining.
Figure 15: Dynamic changes in the surface influence area induced by high-intensity mining of the shallow, thick coal seam.

Figure 16: Dynamic changes in the maximum shear stress of the valley slope near road B after each working face was mined out.
Because the line selection of road B is located in the gully slope (Figure 2(d)), the analysis of the stress distribution of the gully slope caused by seam mining is conducive to assessing gully slope stability and taking targeted measures to ensure that landslides, collapses, and other geological disasters do not occur. The dynamic changes in the maximum shear stress near road B after each working face is mined out are shown in Figure 16.

With the sequential mining of each working face, the maximum shear stress of the slope near surface road B increased to different degrees. The increasing range of shear stress changed dynamically with working face mining. Key monitoring and appropriate reinforcement of the slope should be adopted to ensure the stability of the slope according to the dynamic changes in the maximum shear stress near road B.

In order to control the surface subsidence and surface damage of shallow coal seam mining in gully areas, mining technologies to reduce damaging ground fissures should be employed, including mining with narrow coal pillars, limited thickness extraction, backfill mining, and rapid advancing mining [46].

8. Conclusions

(1) The maximum shear stress is concentrated from the slope top to the gully bottom, indicating a threat of landslides. The probability of a landslide increases with increasing slope angle. Landslide, collapse, and other geological hazards occur on the acting face of maximum shear stress because of the disruption of the stress equilibrium state during mining activities.

(2) High-intensity mining activities exacerbate the concentration of maximum shear stress, which is more likely to cause landslides, collapses, and other geological disasters.

(3) The amplification ratio $\eta$ of surface damage first increases to a maximum, then decreases, and finally remains stable with the continuous expansion of coal seam mining. This ratio provides a scientific basis for the determination of the surface repair distance under the condition of high-intensity exploitation.

(4) The line selection of road B is located outside the influence area and will not be affected by the collapse caused by seam mining. However, the gully slope near road B is affected by seam mining. Key monitoring and appropriate reinforcement of the slope should be adopted to ensure the stability of the slope near road B during mining.

Data Availability

The data used to support the findings of this study are available from the corresponding author upon request.

Conflicts of Interest

The authors declare no conflicts of interest regarding the publication of this paper.

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