

Research Article

Starobinsky Inflation: From Non-SUSY to SUGRA Realizations

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We review the realization of Starobinsky-type inflation within induced-gravity *supersymmetric* (SUSY) and non-SUSY models. In both cases, inflation is in agreement with the current data and can be attained for sub-Planckian values of the inflation. The corresponding effective theories retain perturbative unitarity up to the Planck scale and the inflation mass is predicted to be $3 \cdot 10^{13}$ GeV. The supergravity embedding of these models is achieved by employing two gauge singlet chiral superfields, a superpotential that is uniquely determined by a continuous R and a discrete \mathbb{Z}_n symmetry and several (semi)logarithmic Kähler potentials that respect these symmetries. Checking various functional forms for the noninflation accompanying field in the Kähler potentials, we identify four cases which stabilize it without invoking higher order terms.

1. Introduction

The idea that the universe underwent a period of exponential expansion, called inflation [1–3], has proven useful not only for solving the horizon and flatness problems of standard cosmology but also for providing an explanation for the scale invariant perturbations, which are responsible for generating the observed anisotropies in the *Cosmic Microwave Background* (CMB). One of the first incarnations of inflation is due to Starobinsky. To date, this attractive scenario remains predictive, since it passes successfully all the observational tests [4, 5]. Starobinsky considered adding an \mathcal{R}^2 term, where \mathcal{R} is the Ricci scalar, to the standard Einstein action in order to source inflation. Recall that gravity theories based on higher powers of \mathcal{R} are equivalent to standard gravity theories with one additional scalar degree of freedom (see, e.g., [6, 7]). As a result, Starobinsky inflation is equivalent to inflation driven by a scalar field with a suitable potential, so it admits several interesting realizations [8–29].

Following this route, we show in this work that *induced-gravity inflation* (IGI) [30–38] is effectively Starobinsky-like, reproducing the structure and the predictions of the original model. Within IGI, the inflation exhibits a strong coupling to \mathcal{R} and the reduced Planck scale is dynamically generated through the *vacuum expectation value* (v.e.v.) of the inflation

at the end of inflation. Therefore, the inflation acquires a Higgs-like behavior as in theories of induced gravity [36–42]. Apart from being compatible with data, the resulting theory respects perturbative unitarity up to the Planck scale [29–31]. Therefore, no concerns about the validity of the corresponding effective theory arise. This is to be contrasted with models of *nonminimal inflation* (nMI) [43–54] based on a ϕ^n potential with negligible v.e.v. for the inflation ϕ . Although these models yield similar observational predictions with the Starobinsky model, they admit an *ultraviolet* (UV) scale well below m_p for $n > 2$, leading to complications with naturalness [55–57].

Nonetheless, IGI allows us to embed Starobinsky inflation within $\mathcal{N} = 1$ *supergravity* (SUGRA) in an elegant way. The embedding is achieved by incorporating two chiral superfields, a modulus-like field T and a matter-like field S appearing in the superpotential, W , as well as various Kähler potentials, K , consistent with an R and discrete \mathbb{Z}_n symmetries [29, 31, 58]; see also [20–22, 28, 32]. In some cases [20, 29, 31, 58], the employed K 's parameterize specific Kähler manifolds, which appear in no-scale models [59–61]. Moreover, this scheme ensures naturally a low enough reheating temperature, potentially consistent with the gravitino constraint [29, 62, 63] if connected with a version of the *Minimal SUSY Standard Model* (MSSM).

An important issue in embedding IGI in SUGRA is the stabilization of the matter-like field S . Indeed, when K parameterizes the $SU(2, 1)/(SU(2) \times U(1))$ Kähler manifold [20, 21], the inflationary trajectory turns out to be unstable with respect to the fluctuations of S . This difficulty can be overcome by adding a sufficiently large term $k_S |S|^4$, with $k_S > 0$ and $|k_S| \sim 1$, in the logarithmic function appearing in K , as suggested in [64] for models of nonminimal (chaotic) inflation [47–49] and applied in [50–54, 65–70]. This solution, however, deforms slightly the Kähler manifold [71]. More importantly, it violates the predictability of Starobinsky inflation, since mixed terms $k_{ST} |S|^2 |T|^2$ with $k_{ST} \geq 0.01$, which cannot be ignored (without tuning), have an estimable impact [31, 72–74] on the dynamics and the observables. Moreover, this solution becomes complicated when more than two fields are considered, since all quartic terms allowed by symmetries have to be considered, and the analysis of the stabilization mechanism becomes tedious (see, e.g., [31, 72–74]). Alternatively, it was suggested to use a nilpotent superfield S [75] or a charged field under a gauged R symmetry [71].

In this review, we revisit the issue of stabilizing S , disallowing terms of the form $|S|^{2m}$, $m > 1$, without caring much about the structure of the Kähler manifold. Namely, we investigate systematically several functions $h_i(|S|^2)$ (with $i = 1, \dots, 11$) that appear in the choices for K , and we find four acceptable forms that lead to the stabilization of S during and after IGI. The output of this analysis is new, providing results that did not appear in the literature before. More specifically, we consider two principal classes of K 's, K_{3i} , and K_{2i} , distinguished by whether h_i and T appear in the same logarithmic function. The resulting inflationary scenarios are almost indistinguishable. The case considered in [58] is included as one of the viable choices in K_{2i} class. Contrary to [58], we impose here the same \mathbb{Z}_n symmetry on W and K . Consequently, the relevant expressions for the mass spectrum and the inflationary observables get simplified considerably compared to those displayed in [58]. As in the non-SUSY case, IGI may be realized using sub-Planckian values for the initial (noncanonically normalized) inflation field. The radiative corrections remain under control and perturbative unitarity is not violated up to m_p [31, 58, 76], consistently with the consideration of SUGRA as an effective theory.

Throughout this review we focus on the standard Λ CDM cosmological model [4]. An alternative framework is provided by the running vacuum models [77–84] which turn out to yield a quality fit to observations, significantly better than that of Λ CDM. In this case, the acceleration of the universe, either during inflation or at late times, is not attributed to a scalar field but rather arises from the modification of the vacuum itself, which is dynamical. A SUGRA realization of Starobinsky inflation within this setting is obtained in [18].

The plan of this paper is as follows. In Section 2, we establish the realization of Starobinsky inflation as IGI in a non-SUSY framework. In Section 3 we introduce the formulation of IGI in SUGRA and revisit the issue of stabilizing the matter-like field S . The emerging inflationary models are analyzed in Section 4. Our conclusions are summarized in Section 5. Throughout, charge conjugation is denoted by

a star (*), the symbol z as subscript denotes derivation with respect to z , and we use units where the reduced Planck scale, $m_p = 2.43 \cdot 10^{18}$ GeV, is set equal to unity.

2. Starobinsky Inflation from Induced Gravity

We begin our presentation demonstrating the connection between \mathcal{R}^2 inflation and IGI. We first review the formulation of nMI in Section 2.1 and then proceed to describe the inflationary analysis in Section 2.2. Armed with these prerequisites, we present \mathcal{R}^2 inflation as a type of nMI in Section 2.3 and exhibit its connection with IGI in Section 2.4.

2.1. Coupling Nonminimally the Inflation to Gravity. We consider an inflation ϕ that is nonminimally coupled to the Ricci scalar \mathcal{R} , via a coupling function $f_{\mathcal{R}}(\phi)$. We denote the inflation potential by $V_I(\phi)$ and allow for a general kinetic function $f_K(\phi)$ —in the cases of pure nMI [33–35, 45, 46] $f_K = 1$. The *Jordan Frame* (JF) action takes the form

$$S = \int d^4x \sqrt{-g} \left(-\frac{1}{2} f_{\mathcal{R}} \mathcal{R} + \frac{1}{2} f_K g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V_I(\phi) \right), \quad (1)$$

where g is the determinant of the Friedmann-Robertson-Walker metric, $g_{\mu\nu}$, with signature $(+, -, -, -)$. We require $\langle f_{\mathcal{R}} \rangle \simeq 1$ to ensure ordinary Einstein gravity at low energies.

By performing a conformal transformation [45] to the *Einstein frame* (EF), we write the action

$$S = \int d^4x \sqrt{-\hat{g}} \left(-\frac{1}{2} \hat{\mathcal{R}} + \frac{1}{2} \hat{g}^{\mu\nu} \partial_\mu \hat{\phi} \partial_\nu \hat{\phi} - \hat{V}_I(\hat{\phi}) \right), \quad (2)$$

where a hat denotes an EF quantity. The EF metric is given by $\hat{g}_{\mu\nu} = f_{\mathcal{R}} g_{\mu\nu}$, and the canonically normalized field, $\hat{\phi}$, and its potential, \hat{V}_I , are defined as follows:

$$\begin{aligned} \text{(a)} \quad \frac{d\hat{\phi}}{d\phi} &= J = \sqrt{\frac{f_K}{f_{\mathcal{R}}} + \frac{3}{2} \left(\frac{f_{\mathcal{R},\phi}}{f_{\mathcal{R}}} \right)^2}, \\ \text{(b)} \quad \hat{V}_I &= \frac{V_I}{f_{\mathcal{R}}^2}. \end{aligned} \quad (3)$$

For $f_{\mathcal{R}} \gg f_K$, the coupling function $f_{\mathcal{R}}$ acquires a twofold role. On the one hand, it determines the relation between $\hat{\phi}$ and ϕ . On the other hand, it controls the shape of \hat{V}_I , thus affecting the observational predictions; see below. The analysis of nMI can be performed in the EF, using the standard slow-roll approximation. It is [33–35] completely equivalent with the analysis in the JF. We just have to keep track the relation between $\hat{\phi}$ and ϕ .

2.2. Observational and Theoretical Constraints. A viable model of nMI must be compatible with a number of observational and theoretical requirements summarized in the following (cf. [85–88]).

(1) The number of e-foldings \hat{N}_* that the scale $k_* = 0.05/\text{Mpc}$ experiences during inflation must be large enough

for the resolution of the horizon and flatness problems of the standard hot Big Bang model; that is, [4, 45]

$$\begin{aligned}\widehat{N}_* &= \int_{\widehat{\phi}_f}^{\widehat{\phi}_*} d\widehat{\phi} \frac{\widehat{V}_I}{\widehat{V}_{I,\widehat{\phi}}} = \int_{\phi_f}^{\phi_*} d\phi J^2 \frac{\widehat{V}_I}{\widehat{V}_{I,\phi}} \\ &\simeq 61.7 + \ln \frac{\widehat{V}_I(\phi_*)^{1/2}}{\widehat{V}_I(\phi_f)^{1/3}} + \frac{1}{3} \ln T_{\text{rh}} \\ &\quad + \frac{1}{2} \ln \frac{f_{\mathcal{R}}(\phi_*)}{f_{\mathcal{R}}(\phi_f)^{1/3}},\end{aligned}\quad (4)$$

where ϕ_* [$\widehat{\phi}_*$] is the value of ϕ [$\widehat{\phi}$] when k_* crosses the inflationary horizon. In deriving the formula above (cf. [65–67]), we take into account an equation-of-state with parameter $w_{\text{rh}} = 0$ [89], since \widehat{V}_I can be well approximated by a quadratic potential for low values of ϕ ; see (20b), (32b), and (71b) below. Also T_{rh} is the reheating temperature after nMI. We take a representative value $T_{\text{rh}} = 4.1 \cdot 10^{-10}$ throughout, which results in $\widehat{N}_* \simeq 53$. The effective number of relativistic degrees of freedom at temperature T_{rh} is taken $g_{\text{rh}} = 107.75$ in accordance with the standard model spectrum. Lastly, ϕ_f [$\widehat{\phi}_f$] is the value of ϕ [$\widehat{\phi}$] at the end of nMI, which in the slow-roll approximation can be obtained via the condition

$$\max \{ \widehat{\epsilon}(\phi_f), |\widehat{\eta}(\phi_f)| \} = 1, \quad \text{where } \widehat{\epsilon} = \frac{1}{2} \left(\frac{\widehat{V}_{I,\widehat{\phi}}}{\widehat{V}_I} \right)^2 = \frac{1}{2J^2} \left(\frac{\widehat{V}_{I,\phi}}{\widehat{V}_I} \right)^2, \quad \widehat{\eta} = \frac{\widehat{V}_{I,\widehat{\phi}\widehat{\phi}}}{\widehat{V}_I} = \frac{1}{J^2} \left(\frac{\widehat{V}_{I,\phi\phi}}{\widehat{V}_I} - \frac{\widehat{V}_{I,\phi}}{\widehat{V}_I} \frac{J_{,\phi}}{J} \right). \quad (5)$$

Evidently nontrivial modifications of $f_{\mathcal{R}}$, and thus of J , may have a significant effect on the parameters above, modifying the inflationary observables.

(2) The amplitude A_s of the power spectrum of the curvature perturbation generated by ϕ at k_* has to be consistent with the data [90]; that is,

$$\begin{aligned}\sqrt{A_s} &= \frac{1}{2\sqrt{3}\pi} \frac{\widehat{V}_I(\widehat{\phi}_*)^{3/2}}{|\widehat{V}_{I,\widehat{\phi}}(\widehat{\phi}_*)|} = \frac{|J(\phi_*)| \widehat{V}_I(\phi_*)^{3/2}}{2\sqrt{3}\pi |\widehat{V}_{I,\phi}(\phi_*)|} \\ &\simeq 4.627 \cdot 10^{-5}.\end{aligned}\quad (6)$$

As shown in Section 3.4, the remaining scalars in the SUGRA versions of nMI may be rendered heavy enough, so they do not contribute to A_s .

(3) The remaining inflationary observables (the spectral index n_s , its running α_s , and the tensor-to-scalar ratio r) must be in agreement with the fitting of the *Planck*, *Baryon Acoustic Oscillations* (BAO) and *BICEP2/Keck Array* data [4, 5] with the $\Lambda\text{CDM}+r$ model; that is,

$$\begin{aligned}(a) \quad n_s &= 0.968 \pm 0.009, \\ (b) \quad r &\leq 0.07,\end{aligned}\quad (7)$$

at the 95% confidence level (c.l.) with $|\alpha_s| \ll 0.01$. Although compatible with (7)(b), all data taken by the *BICEP2/Keck Array* CMB polarization experiments, up to the 2014 observational season (BK14) [5], seem to favor r 's of the order of 0.01, as the reported value is $0.028^{+0.026}_{-0.025}$ at the 68% c.l.. These inflationary observables are estimated through the relations:

$$\begin{aligned}(a) \quad n_s &= 1 - 6\widehat{\epsilon}_* + 2\widehat{\eta}_*, \\ (b) \quad \alpha_s &= \frac{2}{3} \left(4\widehat{\eta}_*^2 - (n_s - 1)^2 \right) - 2\widehat{\xi}_*, \\ (c) \quad r &= 16\widehat{\epsilon}_*,\end{aligned}\quad (8)$$

where $\widehat{\xi} = \widehat{V}_{I,\widehat{\phi}} \widehat{V}_{I,\widehat{\phi}\widehat{\phi}} / \widehat{V}_I^2$ and the variables with subscript $*$ are evaluated at ϕ_* .

(4) The effective theory describing nMI remains valid up to a UV cutoff scale Λ_{UV} , which has to be large enough to ensure the stability of our inflationary solutions; that is,

$$\begin{aligned}(a) \quad \widehat{V}_I(\phi_*)^{1/4} &\leq \Lambda_{\text{UV}}, \\ (b) \quad \phi_* &\leq \Lambda_{\text{UV}}.\end{aligned}\quad (9)$$

As we show below, $\Lambda_{\text{UV}} \simeq 1$ for the models analyzed in this work, contrary to the cases of pure nMI with large $f_{\mathcal{R}}$, where $\Lambda_{\text{UV}} \ll 1$. The determination of Λ_{UV} is achieved expanding \mathcal{S} in (2) about $\langle \phi \rangle$. Although these expansions are not strictly valid [57] during inflation, we take Λ_{UV} extracted this way to be the overall UV cutoff scale, since the reheating phase, realized via oscillations about $\langle \phi \rangle$, is a necessary stage of the inflationary dynamics.

2.3. *From Nonminimal to \mathcal{R}^2 Inflation.* \mathcal{R}^2 inflation can be viewed as a type of nMI, if we employ an auxiliary field ϕ with the following input ingredients:

$$\begin{aligned}f_K &= 0, \\ f_{\mathcal{R}} &= 1 + 4c_{\mathcal{R}}\phi, \\ \widehat{V}_I &= \phi^2.\end{aligned}\quad (10)$$

Using the equation of motion for the auxiliary field, $\phi = c_{\mathcal{R}}\mathcal{R}$, we obtain the action of the original Starobinsky model (see, e.g., [71]):

$$S = \int d^4x \sqrt{-g} \left(-\frac{1}{2} \mathcal{R} + c_{\mathcal{R}}^2 \mathcal{R}^2 \right). \quad (11)$$

As we can see from (10), the model has only one free parameter ($c_{\mathcal{R}}$), enough to render it consistent with the observational data, ensuring at the same time perturbative

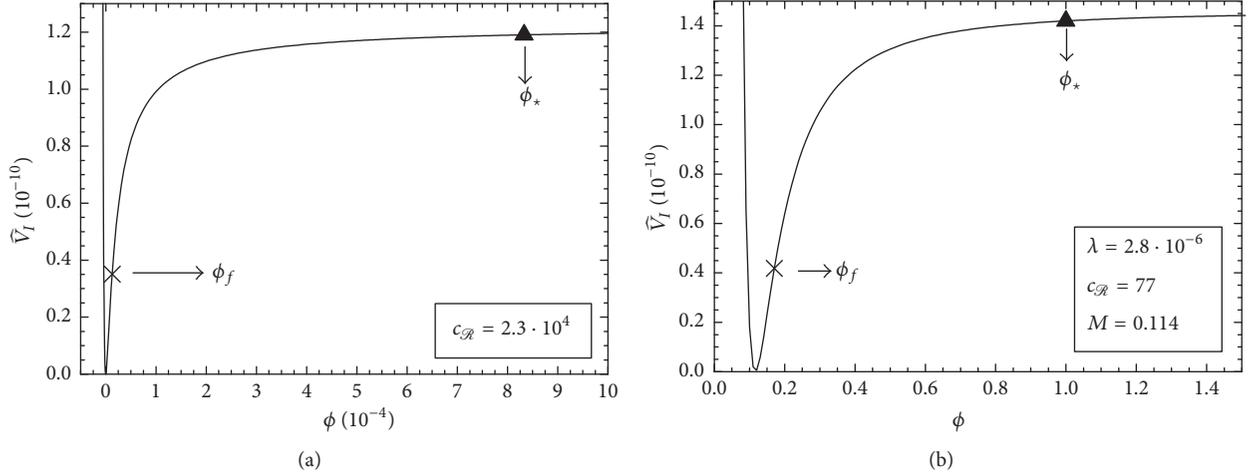


FIGURE 1: The inflationary potential \widehat{V}_I as a function of ϕ for \mathcal{R}^2 inflation (a) and IGI with $\phi_* = 1$ (b). Values corresponding to ϕ_* and ϕ_f are also indicated.

unitarity up to the Planck scale. Using (10) and (3), we obtain the EF quantities:

$$\begin{aligned} \text{(a)} \quad J &= 2\sqrt{6} \frac{c_{\mathcal{R}}}{f_{\mathcal{R}}}, \\ \text{(b)} \quad \widehat{V}_I &= \frac{\phi^2}{f_{\mathcal{R}}^2} \approx \frac{1}{16c_{\mathcal{R}}^2}. \end{aligned} \quad (12)$$

For $c_{\mathcal{R}} \gg 1$, the plot of \widehat{V}_I versus ϕ is depicted in Figure 1(a). An inflationary era can be supported since \widehat{V}_I becomes flat enough. To examine further this possibility, we calculate the slow-roll parameters. Plugging (12) into (5) yields

$$\begin{aligned} \widehat{\epsilon} &= \frac{1}{12c_{\mathcal{R}}^2 \phi^2}, \\ \widehat{\eta} &= \frac{1 - 4c_{\mathcal{R}} \phi}{12c_{\mathcal{R}}^2 \phi^2}. \end{aligned} \quad (13)$$

Notice that $\eta < 0$ since \widehat{V}_I is slightly concave downwards, as shown in Figure 1(a). The value of ϕ at the end of nMI is determined via (5), giving

$$\begin{aligned} \phi_f &= \max\left(\frac{1}{2\sqrt{3}c_{\mathcal{R}}}, \frac{1}{6c_{\mathcal{R}}}\right) \implies \\ \phi_f &= \frac{1}{2\sqrt{3}c_{\mathcal{R}}}. \end{aligned} \quad (14)$$

Under the assumption that $\phi_f \ll \phi_*$, we can obtain a relation between \widehat{N}_* and ϕ_* via (4)

$$\widehat{N}_* \approx 3c_{\mathcal{R}} \phi_*. \quad (15)$$

The precise value of $c_{\mathcal{R}}$ can be determined enforcing (6). Recalling that $\widehat{N}_* \approx 53$, we get

$$\begin{aligned} A_s^{1/2} &\approx \frac{\widehat{N}_*}{12\sqrt{2}\pi c_{\mathcal{R}}} = 4.627 \cdot 10^{-5} \implies \\ c_{\mathcal{R}} &\approx 2.3 \cdot 10^4. \end{aligned} \quad (16)$$

The resulting value of $c_{\mathcal{R}}$ is large enough so that

$$\phi_* \approx \frac{\widehat{N}_*}{3c_{\mathcal{R}}} \approx 8.3 \cdot 10^{-4} \ll 1 \quad (17)$$

consistently with (9)(b); see Figure 1(a). Impressively, the remaining observables turn out to be compatible with the observational data of (7). Indeed, inserting the above value of ϕ_* into (8) ($\widehat{N}_* = 53$), we get

$$n_s \approx \frac{(\widehat{N}_* - 3)(\widehat{N}_* - 1)}{\widehat{N}_*^2} \approx 1 - \frac{2}{\widehat{N}_*} - \frac{3}{\widehat{N}_*^2} \approx 0.961; \quad (18a)$$

$$\begin{aligned} \alpha_s &\approx -\frac{(\widehat{N}_* - 3)(4\widehat{N}_* + 3)}{2\widehat{N}_*^4} \approx -\frac{2}{\widehat{N}_*^2} - \frac{15}{2\widehat{N}_*^3} \\ &\approx -7.6 \cdot 10^{-4}; \end{aligned} \quad (18b)$$

$$r \approx \frac{12}{\widehat{N}_*^2} \approx 4.2 \cdot 10^{-3}. \quad (18c)$$

Without the simplification of (15), we obtain numerically $n_s = 0.964$, $\alpha_s = -6.7 \cdot 10^{-4}$, and $r = 3.7 \cdot 10^{-3}$. We see that n_s turns out to be appreciably lower than unity thanks to the negative values of η ; see (13). The mass of the inflation at the vacuum is

$$\begin{aligned} \widehat{m}_{\delta\phi} &= \langle \widehat{V}_{I,\widehat{\phi}\widehat{\phi}} \rangle^{1/2} = \left\langle \frac{\widehat{V}_{I,\phi\phi}}{J^2} \right\rangle^{1/2} = \frac{1}{2\sqrt{3}c_{\mathcal{R}}} \\ &\approx 1.25 \cdot 10^{-5} \quad (\text{i.e. } 3 \cdot 10^{13} \text{ GeV}). \end{aligned} \quad (19)$$

As we show below this value is salient future in all models of Starobinsky inflation.

Furthermore, the model provides an elegant solution to the unitarity problem [55–57], which plagues models of nMI with $f_{\mathcal{R}} \sim \phi^n \gg f_K$, $n > 2$, and $f_K = 1$. This stems from the fact that $\widehat{\phi}$ and ϕ do not coincide at the vacuum, as (12)(a)

implies $\widehat{\phi} = \langle J \rangle \phi = 2\sqrt{3}c_{\mathcal{R}}\phi$. In fact, if we expand the second term in the *right-hand side* (r.h.s.) of (2) about $\langle \phi \rangle = 0$, we find

$$J^2 \dot{\phi}^2 = \left(1 - 2\sqrt{\frac{2}{3}}\widehat{\phi} + 2\widehat{\phi}^2 - \dots \right) \dot{\phi}^2. \quad (20a)$$

Similarly, expanding \widehat{V}_I in (12)(b), we obtain

$$\widehat{V}_I = \frac{\widehat{\phi}^2}{24c_{\mathcal{R}}^2} \left(1 - 2\sqrt{\frac{2}{3}}\widehat{\phi} + 2\widehat{\phi}^2 - \dots \right). \quad (20b)$$

Since the coefficients of the above series are of order unity, independent of $c_{\mathcal{R}}$, we infer that the model does not face any problem with perturbative unitarity up to the Planck scale.

2.4. Induced-Gravity Inflation. It would be certainly beneficial to realize the structure and the predictions of \mathcal{R}^2 inflation in a framework that deviates minimally from Einstein gravity, at least in the present cosmological era. To this extent, we incorporate the idea of induced gravity, according to which m_p is generated dynamically [41, 42] via the v.e.v. of a scalar field ϕ , driving a phase transition in the early universe. The simplest way to implement this scheme is to employ a double-well potential for ϕ ; for scale invariant realizations of this idea, see [39, 40]. On the other hand, an inflationary stage requires a sufficiently flat potential, as in (10). This can be achieved at large field values if we introduce a quadratic $f_{\mathcal{R}}$ [33–38]. More explicitly, IGI may be defined as nMI with the following input ingredients:

$$\begin{aligned} f_K &= 1, \\ f_{\mathcal{R}} &= c_{\mathcal{R}}\phi^2, \\ V_I &= \frac{\lambda(\phi^2 - M^2)^2}{4}. \end{aligned} \quad (21)$$

Given that $\langle \phi \rangle = M$, we recover Einstein gravity at the vacuum if

$$\begin{aligned} f_{\mathcal{R}}(\langle \phi \rangle) &= 1 \implies \\ M &= \frac{1}{\sqrt{c_{\mathcal{R}}}}. \end{aligned} \quad (22)$$

We see that in this model there is one additional free parameter, namely, λ appearing in the potential, as compared to \mathcal{R}^2 model.

Equations (3) and (21) imply

$$\begin{aligned} (a) \quad J &\simeq \frac{\sqrt{6}}{\phi}, \\ (b) \quad \widehat{V}_I &= \frac{\lambda f_{\phi}^2}{4c_{\mathcal{R}}^4 \phi^4} \simeq \frac{\lambda}{4c_{\mathcal{R}}^2} \quad \text{with } f_{\phi} = 1 - c_{\mathcal{R}}\phi^2. \end{aligned} \quad (23)$$

For $c_{\mathcal{R}} \gg 1$, the plot of \widehat{V}_I versus ϕ is shown in Figure 1(b). As in \mathcal{R}^2 model, \widehat{V}_I develops a plateau, so an inflationary stage

can be realized. To check its robustness, we compute the slow-roll parameters. Equations (5) and (23) give

$$\begin{aligned} \widehat{\epsilon} &= \frac{4}{3f_{\phi}^2}, \\ \widehat{\eta} &= \frac{4(1 + f_{\phi})}{3f_{\phi}^2}. \end{aligned} \quad (24)$$

IGI is terminated when $\phi = \phi_f$, determined by the condition

$$\begin{aligned} \phi_f &= \max \left(\sqrt{\frac{1 + 2/\sqrt{3}}{c_{\mathcal{R}}}}, \sqrt{\frac{5}{3c_{\mathcal{R}}}} \right) \implies \\ \phi_f &= \sqrt{\frac{1 + 2/\sqrt{3}}{c_{\mathcal{R}}}}. \end{aligned} \quad (25)$$

Under the assumption that $\phi_f \ll \phi_*$, (4) implies the following relation between \widehat{N}_* and ϕ_* :

$$\begin{aligned} \widehat{N}_* &\simeq \frac{3c_{\mathcal{R}}\phi_*^2}{4} \implies \\ \phi_* &\simeq 2\sqrt{\frac{\widehat{N}_*}{3c_{\mathcal{R}}}} \gg \phi_f. \end{aligned} \quad (26)$$

Imposing (9)(b) and setting $\widehat{N}_* \simeq 53$, we derive a lower bound on $c_{\mathcal{R}}$:

$$\begin{aligned} \phi_* &\leq 1 \implies \\ c_{\mathcal{R}} &\geq \frac{4\widehat{N}_*}{3} \simeq 71. \end{aligned} \quad (27)$$

Contrary to \mathcal{R}^2 inflation, $c_{\mathcal{R}}$ does not control exclusively the normalization of (6), thanks to the presence of an extra factor of $\sqrt{\lambda}$. This is constrained to scale with $c_{\mathcal{R}}$. Indeed, we have

$$\begin{aligned} A_s^{1/2} &\simeq \frac{\sqrt{\lambda}\widehat{N}_*}{6\sqrt{2\pi}c_{\mathcal{R}}} = 4.627 \cdot 10^{-5} \implies c_{\mathcal{R}} \simeq 42969\sqrt{\lambda} \\ &\quad \text{for } \widehat{N}_* \simeq 53. \end{aligned} \quad (28)$$

If, in addition, we impose the perturbative bound $\lambda \leq 3.5$, we end-up with following ranges:

$$\begin{aligned} 77 &\leq c_{\mathcal{R}} \leq 8.5 \cdot 10^4, \\ 2.8 \cdot 10^{-6} &\leq \lambda \leq 3.5, \end{aligned} \quad (29)$$

where the lower bounds on $c_{\mathcal{R}}$ and λ correspond to $\phi_* = 1$; see Figure 1(b). Within the allowed ranges, $\widehat{m}_{\delta\phi}$ remains constant, by virtue of (28). The mass turns out to be

$$\widehat{m}_{\delta\phi} = \frac{\sqrt{\lambda}}{\sqrt{3}c_{\mathcal{R}}} \simeq 1.25 \cdot 10^{-5}, \quad (30)$$

essentially equal to that estimated in (19). Moreover, using (26) and (8), we extract the remaining observables

$$n_s = \frac{(4\widehat{N}_* - 15)(4\widehat{N}_* + 1)}{(3 - 4\widehat{N}_*)^2} \simeq 1 - \frac{2}{\widehat{N}_*} - \frac{9}{2\widehat{N}_*^2} \quad (31a)$$

$$\simeq 0.961;$$

$$\alpha_s = -\frac{128\widehat{N}_*(4\widehat{N}_* + 9)}{(3 - 4\widehat{N}_*)^4} \simeq -\frac{2}{\widehat{N}_*^2} - \frac{21}{2\widehat{N}_*^3} \quad (31b)$$

$$\simeq -7.7 \cdot 10^{-4};$$

$$r = \frac{192}{(3 - 4\widehat{N}_*)^2} \simeq \frac{12}{\widehat{N}_*^2} \simeq 4.4 \cdot 10^{-3}. \quad (31c)$$

Without making the approximation of (26), we obtain numerically $(n_s, \alpha_s, r) = (0.964, -6.6 \cdot 10^{-4}, 3.7 \cdot 10^{-3})$. These results practically coincide with those of \mathcal{R}^2 inflation, given in (18a)–(18c), and they are in excellent agreement with the observational data presented in (7).

As in the previous section, the model retains perturbative unitarity up to m_p . To verify this, we first expand the second term in the r.h.s. of (1) about $\widehat{\delta\phi} = \phi - M \simeq 0$, with J given by (23)(a). We find

$$J^2 \dot{\phi}^2 = \left(1 - \sqrt{\frac{2}{3}} \widehat{\delta\phi} + \frac{1}{2} \widehat{\delta\phi}^2 - \dots \right) \dot{\delta\phi}^2 \quad (32a)$$

$$\text{with } \widehat{\delta\phi} \simeq \sqrt{6c_{\mathcal{R}}} \delta\phi.$$

Expanding \widehat{V}_I given by (23)(b), we get

$$\widehat{V}_I = \frac{\lambda^2}{6c_{\mathcal{R}}^2} \delta\phi^2 \left(1 - \sqrt{\frac{3}{2}} \widehat{\delta\phi} + \frac{25}{24} \widehat{\delta\phi}^2 - \dots \right). \quad (32b)$$

Therefore, $\Lambda_{\text{UV}} = 1$ as for \mathcal{R}^2 inflation. Practically identical results can be obtained if we replace the quadratic exponents in (21) with $n \geq 3$ as first pointed out in [30]. This generalization can be elegantly performed [31, 32] within SUGRA, as we review below.

3. Induced-Gravity Inflation in SUGRA

In Section 3.1, we present the general SUGRA setting, where IGI is embedded. Then, in Section 3.2, we examine a variety of Kähler potentials, which lead to the desired inflationary potential; see Section 3.3. We check the stability of the inflationary trajectory in Section 3.4.

3.1. The General Set-Up. To realize IGI within SUGRA [29, 31, 32, 58], we must use two gauge singlet chiral superfields z^α , with $z^1 = T$ and $z^2 = S$ being the inflation and a “stabilizer” superfield, respectively. Throughout this work, the complex scalar fields z^α are denoted by the same superfield symbol. The EF effective action is written as follows [47–49]:

$$S = \int d^4x \sqrt{-\widehat{\mathbf{g}}} \left(-\frac{1}{2} \widehat{\mathcal{R}} + K_{\alpha\bar{\beta}} \widehat{g}^{\mu\nu} \partial_\mu z^\alpha \partial_\nu z^{*\bar{\beta}} - \widehat{V} \right), \quad (33a)$$

where $K_{\alpha\bar{\beta}} = K_{,z^\alpha z^{*\bar{\beta}}}$ is the Kähler metric and $K^{\alpha\bar{\beta}}$ its inverse ($K^{\alpha\bar{\beta}} K_{\beta\bar{\gamma}} = \delta_\gamma^\alpha$). \widehat{V} is the EF F-term SUGRA potential, given in terms of the Kähler potential K and the superpotential W by the following expression:

$$\widehat{V} = e^K \left(K^{\alpha\bar{\beta}} D_\alpha W D_{\bar{\beta}}^* W^* - 3 |W|^2 \right) \quad (33b)$$

$$\text{with } D_\alpha W = W_{,z^\alpha} + K_{,z^\alpha} W.$$

Conformally transforming to the JF with $f_{\mathcal{R}} = -\Omega/N$, where N is a dimensionless positive parameter, S takes the form

$$S = \int d^4x \sqrt{-\mathbf{g}} \left(\frac{\Omega}{2N} \mathcal{R} + \frac{3}{4N\Omega} \partial_\mu \Omega \partial^\mu \Omega - \frac{1}{N} \Omega K_{\alpha\bar{\beta}} \partial_\mu z^\alpha \partial^\mu z^{*\bar{\beta}} - V \right) \quad \text{with } V = \frac{\Omega^2}{N^2} \widehat{V}. \quad (34)$$

Note that $N = 3$ reproduces the standard set-up [47–49]. Let us also relate Ω and K by

$$-\frac{\Omega}{N} = e^{-K/N} \implies \quad (35)$$

$$K = -N \ln \left(-\frac{\Omega}{N} \right).$$

Then taking into account the definition [47–49] of the purely bosonic part of the auxiliary field when on shell,

$$\mathcal{A}_\mu = \frac{i \left(K_\alpha \partial_\mu z^\alpha - K_{\bar{\alpha}} \partial_\mu z^{*\bar{\alpha}} \right)}{6}, \quad (36)$$

we arrive at the following action:

$$S = \int d^4x \sqrt{-\mathbf{g}} \left(\frac{\Omega}{2N} \mathcal{R} + \left(\Omega_{\alpha\bar{\beta}} + \frac{3-N}{N} \frac{\Omega_\alpha \Omega_{\bar{\beta}}}{\Omega} \right) \partial_\mu z^\alpha \partial^\mu z^{*\bar{\beta}} - \frac{27}{N^3} \Omega \mathcal{A}_\mu \mathcal{A}^\mu - V \right). \quad (37a)$$

By virtue of (35), \mathcal{A}_μ takes the form

$$\mathcal{A}_\mu = -\frac{iN \left(\Omega_\alpha \partial_\mu z^\alpha - \Omega_{\bar{\alpha}} \partial_\mu z^{*\bar{\alpha}} \right)}{6\Omega} \quad (37b)$$

with $\Omega_\alpha = \Omega_{,z^\alpha}$ and $\Omega_{\bar{\alpha}} = \Omega_{,z^{*\bar{\alpha}}}$. As can be seen from (37a), $-\Omega/N$ introduces a nonminimal coupling of the scalar fields to gravity. Ordinary Einstein gravity is recovered at the vacuum when

$$-\frac{\langle \Omega \rangle}{N} \simeq 1. \quad (38)$$

TABLE 1: Definition of the various $h_i(X)$'s, $h_i''(0) = d^2 h_i(0)/dX^2$ and masses squared of the fluctuations of s and \bar{s} along the inflationary trajectory in (46) for $K = K_{3i}$ and K_{2i} .

i	$h_i(X)$	$h_i''(0)$	$\tilde{m}_s^2/\tilde{H}_I^2$	
			$K = K_{3i}$	$K = K_{2i}$
1	X	0	$-2 + 2^n/f_\phi^2$	$3 \cdot 2^{n-1}/f_\phi^2$
2	$e^X - 1$	1	$2^{(4-n)/2} c_T \phi^n - 2 + 2^n/f_\phi^2$	$-6 + 3 \cdot 2^{n-1}/f_\phi^2$
3	$\ln(X+1)$	-1	$-2(1 + 2^{1-n/2} c_T \phi^n)$	$6(1 + 2^{n-1}/f_\phi^2)$
4	$-\cos(\arcsin 1 + X)$	0	$-2(1 - 2^{n-1}/f_\phi^2)$	$3 \cdot 2^{n-1}/f_\phi^2$
5	$\sin(\arccos 1 + X)$	0	$-2(1 - 2^{n-1}/f_\phi^2)$	$3 \cdot 2^{n-1}/f_\phi^2$
6	$\tan(X)$	0	$-2(1 - 2^{n-1}/f_\phi^2)$	$3 \cdot 2^{n-1}/f_\phi^2$
7	$-\cot(\arcsin 1 + X)$	0	$-2(1 - 2^{n-1}/f_\phi^2)$	$3 \cdot 2^{n-1}/f_\phi^2$
8	$\cosh(\arcsin 1 + X) - \sqrt{2}$	$\sqrt{2}$	$2^{(5-n)/2} c_T \phi^n - 2 + 2^n/f_\phi^2$	$3 \cdot 2^{n-1}/f_\phi^2 - 6\sqrt{2}$
9	$\sinh(X)$	0	$-2(1 - 2^{n-1}/f_\phi^2)$	$3 \cdot 2^{n-1}/f_\phi^2$
10	$\tanh(X)$	0	$-2(1 - 2^{n-1}/f_\phi^2)$	$3 \cdot 2^{n-1}/f_\phi^2$
11	$-\coth(\operatorname{arcsinh} 1 + X) + \sqrt{2}$	$-2\sqrt{2}$	$2^n/f_\phi^2 - 2^{(7-n)/2} c_T \phi^n - 2$	$3 \cdot 2^{n-1}/f_\phi^2 + 12\sqrt{2}$

Starting with the JF action in (37a), we seek to realize IGI, postulating the invariance of Ω under the action of a global \mathbb{Z}_n discrete symmetry. With S stabilized at the origin, we write

$$-\frac{\Omega}{N} = \Omega_H(T) + \Omega_H^*(T^*) \quad (39)$$

with $\Omega_H(T) = c_T T^n + \sum_{k=2}^{\infty} \lambda_k T^{kn}$,

where k is a positive integer. If $T \leq 1$ during IGI and assuming that λ_k 's are relatively small, the contributions of the higher powers of T in the expression above are small, and these can be dropped. As we verify later, this can be achieved when the coefficient c_T is large enough. Equivalently, we may rescale the inflation, setting $T \rightarrow \tilde{T} = c_T^{1/n} T$. Then the coefficients λ_k of the higher powers in the expression of Ω get suppressed by factors of c_T^{-k} . Thus, \mathbb{Z}_n and the requirement $T \leq 1$ determine the form of Ω , avoiding a severe tuning of the coefficients λ_k . Under these assumptions, K in (35) takes the form

$$K_0 = -N \ln(f(T) + f^*(T^*)) \quad \text{with } f(T) \simeq c_T T^n, \quad (40)$$

where S is assumed to be stabilized at the origin.

Equations (35) and (38) require that T and S acquire the following v.e.v.s:

$$\langle T \rangle \simeq \frac{1}{(2c_T)^{1/n}}, \quad (41)$$

$$\langle S \rangle = 0.$$

These v.e.v.s can be achieved, if we choose the following superpotential [31, 32]:

$$W = \lambda S \left(T^n - \frac{1}{2c_T} \right). \quad (42)$$

Indeed the corresponding F-term SUSY potential, V_{SUSY} , is found to be

$$V_{\text{SUSY}} = \lambda^2 \left| T^n - \frac{1}{2c_T} \right|^2 + \lambda^2 n^2 |S T^{n-1}|^2 \quad (43)$$

and is minimized by the field configuration in (21).

As emphasized in [29, 31, 58], the forms of W and Ω_H can be uniquely determined if we limit ourselves to integer values for n (with $n > 1$) and $T \leq 1$ and impose two symmetries:

- (i) An R symmetry under which S and T have charges 1 and 0, respectively.
- (ii) A discrete symmetry \mathbb{Z}_n under which only T is charged.

For simplicity we assume here that both W and Ω_H respect the same \mathbb{Z}_n , contrary to the situation in [58]. This assumption simplifies significantly the formulae in Sections 3.3 and 3.4. Note, finally, that the selected Ω in (39) does not contribute in the kinetic term involving Ω_{TT^*} in (37a). We expect that our findings are essentially unaltered even if we include in the r.h.s. of (39) a term $-(T - T^*)^2/2N$ [32] or $-|T|^2/N$ [31] which yields $\Omega_{TT^*} = 1 \ll c_T$; the former choice, though, violates \mathbb{Z}_n symmetry above.

3.2. Proposed Kähler Potentials. It is obvious from the considerations above that the stabilization of S at zero during and after IGI is of crucial importance for the viability of our scenario. This key issue can be addressed if we specify the dependence of the Kähler potential on S . We distinguish the following basic cases:

$$K_{3i} = -n_3 \ln(f(T) + f^*(T^*) + h_i(X)), \quad (44)$$

$$K_{2i} = -n_2 \ln(f(T) + f^*(T^*)) + h_i(X),$$

where the various choices h_i , $i = 1, \dots, 11$, are specified in Table 1 and X is defined as follows:

$$X = \begin{cases} -\frac{|S|^2}{n_3} & \text{for } K = K_{3i} \\ |S|^2 & \text{for } K = K_{2i}. \end{cases} \quad (45)$$

As shown in Table 1 we consider exponential, logarithmic, trigonometric, and hyperbolic functions. Note that K_{31} and

K_{21} parameterize $SU(2, 1)/SU(2) \times U(1)$ and $SU(1, 1)/U(1) \times U(1)$ Kähler manifolds, respectively, whereas K_{23} parameterizes the $SU(1, 1)/U(1) \times SU(2)/U(1)$ Kähler manifold; see [58].

To show that the proposed K 's are suitable for IGI, we have to verify that they reproduce \widehat{V}_I in (23)(b) when $n = 2$, and they ensure the stability of S at zero. These requirements are checked in the following two sections.

3.3. Derivation of the Inflationary Potential. Substituting W of (42) and a choice for K in (44) (with the h_i 's given in Table 1) into (33b), we obtain a potential suitable for IGI. The inflationary trajectory is defined by the constraints

$$\begin{aligned} S = T - T^* = 0, \\ \text{or } s = \bar{s} = \theta = 0, \end{aligned} \quad (46)$$

where we have expanded T and S in real and imaginary parts as follows:

$$\begin{aligned} T &= \frac{\phi}{\sqrt{2}} e^{i\theta}, \\ S &= \frac{s + i\bar{s}}{\sqrt{2}}. \end{aligned} \quad (47)$$

Along the path of (46), \widehat{V} reads

$$\widehat{V}_I = \widehat{V}(\theta = s = \bar{s} = 0) = e^K K^{SS^*} |W_{,S}|^2. \quad (48)$$

From (42) we get $W_{,S} = f - 1/2$. Also, (44) yields

$$e^K = \begin{cases} (2f + h_i(0))^{-n_3} & \text{for } K = K_{3i} \\ e^{h_i(0)} & \text{for } K = K_{2i}, \\ (2f)^{n_2} & \end{cases} \quad (49)$$

where we take into account that $f(T) = f^*(T^*)$ along the path of (46). Moreover, $K^{SS^*} = 1/K_{SS^*}$ can be obtained from the Kähler metric, which is given by

$$\begin{aligned} (K_{\alpha\bar{\beta}}) &= \text{diag}(K_{TT^*}, K_{SS^*}) \\ &= \begin{cases} \text{diag}\left(\frac{n_3 n^2}{2\phi^2}, \frac{h'_i(0)}{(2f + h_i(0))}\right) & \text{for } K = K_{3i} \\ \text{diag}\left(\frac{n_2 n^2}{2\phi^2}, h'_i(0)\right) & \text{for } K = K_{2i}, \end{cases} \end{aligned} \quad (50)$$

where a prime denotes a derivative with respect to X . Note that K_{TT^*} for $K = K_{2i}$ (and $S \neq 0$) does not involve the field S in its denominator, and so no geometric destabilization [91]

can be activated, contrary to the $K = K_{3i}$ case. Inserting $W_{,S}$ and the results of (49) and (50) into (33b), we obtain

$$\widehat{V}_I = \frac{\lambda^2 (1 - 2f)^2}{c_T^2} \cdot \begin{cases} \frac{(2f + h_i(0))^{1-n_3}}{h'_i(0)} & \text{for } K = K_{3i} \\ \frac{e^{h_i(0)}}{(2f)^{n_2} h'_i(0)} & \text{for } K = K_{2i}. \end{cases} \quad (51)$$

Recall that $f \sim \phi^n$; see (40). Then \widehat{V}_I develops a plateau, with almost constant potential energy density, for $c_T \gg 1$ and $\phi < 1$ (or $c_T = 1$ and $\phi \gg 1$), if we impose the following conditions:

$$\begin{aligned} 2n &= \begin{cases} n(n_3 - 1) & \text{for } K = K_{3i} \\ nn_2 & \text{for } K = K_{2i} \end{cases} \implies \\ &\begin{cases} n_3 = 3 & \text{for } K = K_{3i} \\ n_2 = 2 & \text{for } K = K_{2i}. \end{cases} \end{aligned} \quad (52)$$

This empirical criterion is very precise since the data on n_s allows only tiny (of order 0.001) deviations [28]. Actually, the requirement $c_T \gg 1$ and the synergy between the exponents in W and K 's assist us to tame the well-known η problem within SUGRA with a mild tuning. If we insert (52) into (51) and compare the result for $n = 2$ with (23)(b) (replacing also λ^2 with λ), we see that the two expressions coincide, if we set

$$\begin{aligned} h_i(0) &= 0, \\ h'_i(0) &= 1. \end{aligned} \quad (53)$$

As we can easily verify the selected h_i in Table 1 satisfy these conditions. Consequently, \widehat{V}_I in (51) and the corresponding Hubble parameter \widehat{H}_I take their final form:

$$\begin{aligned} \text{(a)} \quad \widehat{V}_I &= \frac{\lambda^2 f_\phi^2}{4c_T^4 \phi^{2n}}, \\ \text{(b)} \quad \widehat{H}_I &= \frac{\widehat{V}_I^{1/2}}{\sqrt{3}} = \frac{\lambda f_\phi}{2\sqrt{3}c_T^2 \phi^n}, \end{aligned} \quad (54)$$

with $f_\phi = 2^{n/2-1} c_T \phi^n < 0$ reducing to that defined in (23)(b). Based on these expressions, we investigate in Section 4 the dynamics and predictions of IGI.

3.4. Stability of the Inflationary Trajectory. We proceed to check the stability of the direction in (46) with respect to the fluctuations of the various fields. To this end, we have to examine the validity of the extremum and minimum conditions; that is,

$$\begin{aligned} \text{(a)} \quad \left. \frac{\partial \widehat{V}_I}{\partial \bar{z}^\alpha} \right|_{s=\bar{s}=\theta=0} &= 0, \\ \text{(b)} \quad \widehat{m}_{\bar{z}^\alpha}^2 &> 0 \quad \text{with } \bar{z}^\alpha = \theta, s, \bar{s}. \end{aligned} \quad (55)$$

TABLE 2: Mass-squared spectrum for $K = K_{3i}$ and K_{2i} along the inflationary trajectory in (46).

Fields	Eigenstates		Masses squared	
			$K = K_{3i}$	$K = K_{2i}$
1 real scalar	$\hat{\theta}$	$\hat{m}_\theta^2/\hat{H}_I^2$	$4(2^{n-2} - c_T \phi^n f_\phi)/f_\phi^2$	$6(2^{n-2} - c_T \phi^n f_\phi)/f_\phi^2$
1 complex scalar	$\hat{s}, \hat{\bar{s}}$	\hat{m}_s^2/\hat{H}_I^2	$2^n/f_\phi^2 - 2$ $+ 2^{2-n/2} c_T \phi^n h_i''(0)$	$3 \cdot 2^{n-1}/f_\phi^2$ $- 6h_i''(0)$
2 Weyl spinors	$\hat{\psi}_\pm$	$\hat{m}_{\psi_\pm}^2/\hat{H}_I^2$	$2^n/f_\phi^2$	$6 \cdot 2^{n-3}/f_\phi^2$

Here $\hat{m}_{\bar{z}^\alpha}^2$ are the eigenvalues of the mass matrix with elements

$$\hat{M}_{\alpha\beta}^2 = \left. \frac{\partial^2 \hat{V}_I}{\partial \hat{z}^\alpha \partial \hat{z}^\beta} \right|_{s=\bar{s}=\theta=0} \quad \text{with } \bar{z}^\alpha = \theta, s, \bar{s} \quad (56)$$

and a hat denotes the EF canonically normalized field. The canonically normalized fields can be determined if we bring the kinetic terms of the various scalars in (33a) into the following form:

$$K_{\alpha\bar{\beta}} \dot{z}^\alpha \dot{z}^{*\bar{\beta}} = \frac{1}{2} \left(\dot{\hat{\phi}}^2 + \dot{\hat{\theta}}^2 \right) + \frac{1}{2} \left(\dot{\hat{s}}^2 + \dot{\hat{\bar{s}}}^2 \right), \quad (57a)$$

where a dot denotes a derivative with respect to the JF cosmic time. Then the hatted fields are defined as follows:

$$\begin{aligned} \frac{d\hat{\phi}}{d\phi} &= J = \sqrt{K_{TT^*}}, \\ \hat{\theta} &= \frac{J\theta}{\phi}, \\ (\hat{s}, \hat{\bar{s}}) &= \sqrt{K_{SS^*}}(s, \bar{s}), \end{aligned} \quad (57b)$$

where by virtue of (52) and (53), the Kähler metric of (50) reads

$$\begin{aligned} (K_{\alpha\bar{\beta}}) &= \text{diag}(K_{TT^*}, K_{SS^*}) \\ &= \begin{cases} \left(\frac{3n^2}{2\phi^2}, \frac{2^{n/2-1}}{c_T \phi^n} \right) & \text{for } K = K_{3i} \\ \left(\frac{n^2}{\phi^2}, 1 \right) & \text{for } K = K_{2i}. \end{cases} \end{aligned} \quad (57c)$$

Note that the spinor components ψ_T and ψ_S of the superfields T and S are normalized in a similar way; that is, $\hat{\psi}_T = \sqrt{K_{TT^*}} \psi_\Phi$ and $\hat{\psi}_S = \sqrt{K_{SS^*}} \psi_S$. In practice, we have to make sure that all the $\hat{m}_{\bar{z}^\alpha}^2$'s are not only positive, but also greater than \hat{H}_I^2 during the last 50–60 e-foldings of IGI. This guarantees that the observed curvature perturbation is generated solely by ϕ , as assumed in (6). Nonetheless, two-field inflationary models which interpolate between the Starobinsky and the quadratic model have been analyzed in [92–95]. Due to the large effective masses that the scalars acquire during IGI, they enter a phase of damped oscillations

about zero. As a consequence, ϕ dependence in their normalization (see (57b)) does not affect their dynamics.

We can readily verify that (55)(a) is satisfied for all the three \bar{z}^α 's. Regarding (55)(b), we diagonalize $\hat{M}_{\alpha\beta}^2$ (56) and we obtain the scalar mass spectrum along the trajectory of (46). Our results are listed in Table 1 together with the masses squared $\hat{m}_{\psi_\pm}^2$ of the chiral fermion eigenstates $\hat{\psi}_\pm = (\hat{\psi}_T \pm \hat{\psi}_S)/\sqrt{2}$. From these results, we deduce the following:

- (i) For both classes of K 's in (44), (55)(b) is satisfied for the fluctuations of θ ; that is, $\hat{m}_\theta^2 > 0$, since $f_\phi < 0$. Moreover, $\hat{m}_\theta^2 \gg \hat{H}_I^2$ because $c_T \gg 1$.
- (ii) When $K = K_{3i}$ and $h_i''(0) = 0$, we obtain $\hat{m}_s^2 < 0$. This occurs for $i = 1, 4, \dots, 7, 9$ and 10, as shown in Table 1. For $i = 1$, our result reproduces those of similar models [31, 47–52, 68–70]. The stability problem can be cured if we include in K_{3i} a higher order term of the form $k_S |S|^4$ with $k_S \sim 1$, or assuming that $S^2 = 0$ [75]. However, a probably simpler solution arises if we take into account the results accumulated in Table 2. It is clear that the condition $\hat{m}_s^2 > \hat{H}_I^2$ can be satisfied when $h_i''(0) > 0$ with $|h_i''(0)| \geq 1$. From Table 1, we see that this is the case for $i = 2$ and 8.
- (iii) When $K = K_{2i}$ and $h_i''(0) = 0$, we obtain $\hat{m}_s^2 > 0$, but $\hat{m}_s^2 < \hat{H}_I^2$. Therefore, S may seed inflationary perturbations, leading possibly to large non-Gaussianities in the CMB, contrary to observations. From the results listed in Table 2, we see that the condition $\hat{m}_s^2 \gg \hat{H}_I^2$ requires $h_i''(0) < 0$ with $|h_i''(0)| \geq 1$. This occurs for $i = 3$ and 11. The former case was examined in [58].

To highlight further the stabilization of S during and after IGI we present in Figure 2 \hat{m}_s^2/\hat{H}_I^2 as a function of ϕ for the various acceptable K 's identified above. In particular, we fix $n = 2$ and $\phi_* = 1$, setting $K = K_{32}$ or $K = K_{38}$ in Figure 2(a) and $K = K_{23}$ or $K = K_{2,11}$ in Figure 2(b). The parameters of the models (λ and c_T) corresponding to these choices are listed in third and fifth rows of Table 3. Evidently \hat{m}_s^2/\hat{H}_I^2 remain larger than unity for $\phi_f \leq \phi \leq \phi_*$, where ϕ_* and ϕ_f are also depicted. However, in Figure 2(b) \hat{m}_s^2/\hat{H}_I^2 exhibits a constant behavior and increases sharply as ϕ decreases below 0.2. On the contrary, \hat{m}_s^2/\hat{H}_I^2 in Figure 2(a) is an increasing function of ϕ for $\phi \geq 0.2$, with a clear minimum at $\phi \approx 0.2$. For $\phi \leq 0.2$, \hat{m}_s^2/\hat{H}_I^2 increases drastically as in Figure 2(b) too.

Employing the well-known Coleman-Weinberg formula [96], we find from the derived mass spectrum (see Table 1)

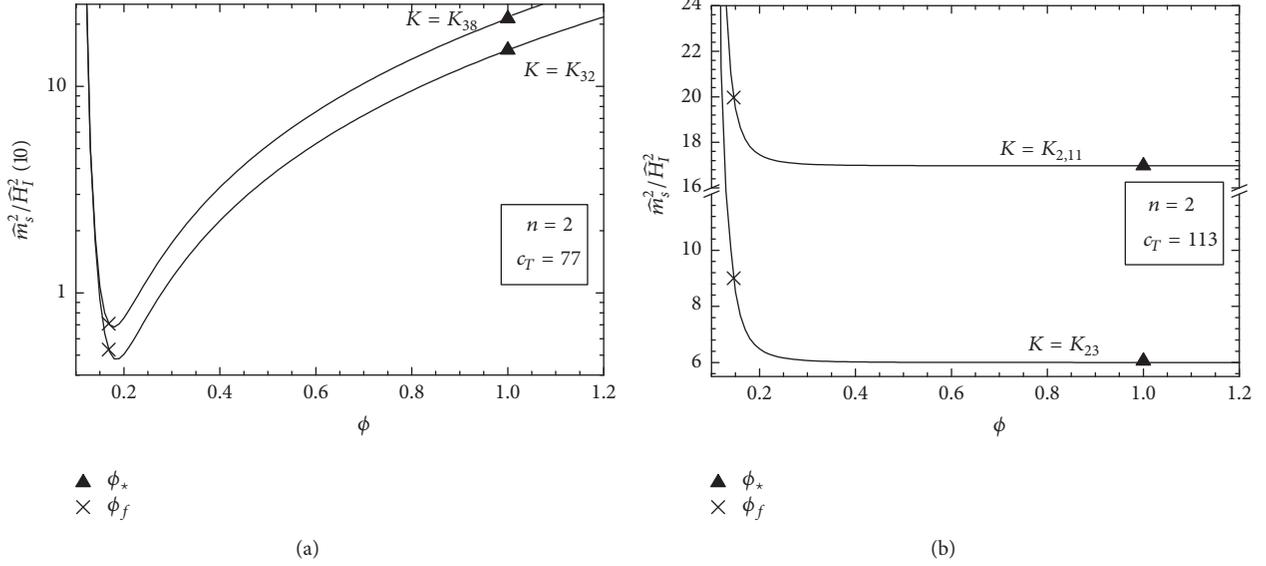


FIGURE 2: The ratio $\tilde{m}_s^2 / \tilde{H}_I^2$ as a function of ϕ for $n = 2$ and $\phi_* = 1$. We set (a) $K = K_{32}$ or $K = K_{38}$ and (b) $K = K_{23}$ or $K = K_{2,11}$. The values corresponding to ϕ_* and ϕ_f are also depicted.

the one-loop radiative corrections, $\Delta \tilde{V}_I$, to \tilde{V}_I , depending on renormalization group mass scale Λ . It can be verified that our results are insensitive to $\Delta \tilde{V}_I$, provided that Λ is determined by requiring $\Delta \tilde{V}_I(\phi_*) = 0$ or $\Delta \tilde{V}_I(\phi_f) = 0$. A possible dependence of the results on the choice of Λ is totally avoided [31] thanks to the smallness of $\Delta \tilde{V}_I$, for $\Lambda \simeq (1 - 1.8) \cdot 10^{-5}$; see Section 4.2 too. These conclusions hold even for $\phi > 1$. Therefore, our results can be accurately reproduced by using exclusively \tilde{V}_I in (54)(a).

4. Analysis of SUGRA Inflation

Keeping in mind that for $K = K_{3i}$ [$K = K_{2i}$] the values $i = 2$ and 8 [$i = 3$ and 11] lead to the stabilization of S during and after IGI, we proceed with the computation of the inflationary observables for the SUGRA models considered above. Since the precise choice of the index i does not influence our outputs, here we do not specify henceforth the allowed i values. We first present, in Section 4.1, analytic results which are in good agreement with our numerical results displayed in Section 4.2. Finally we investigate the UV behavior of the models in Section 4.3.

4.1. Analytical Results. The duration of the IGI is controlled by the slow-roll parameters, which are calculated to be

$$(\tilde{\epsilon}, \tilde{\eta}) = \begin{cases} \left(\frac{2^n}{3f_\phi^2}, \frac{2^{1+n/2}(2^{n/2} - c_T \phi^n)}{3f_\phi^2} \right) & \text{for } K = K_{3i} \\ \left(\frac{2^{n-2}}{f_\phi^2}, \frac{2^{n/2}(2^{n/2} - c_T \phi^n)}{f_\phi^2} \right) & \text{for } K = K_{2i}. \end{cases} \quad (58)$$

The end of inflation is triggered by the violation of $\tilde{\epsilon}$ condition when $\phi = \phi_f$ given by

$$\tilde{\epsilon}(\phi_f) = 1 \implies \phi_f \simeq \sqrt{2} \cdot \begin{cases} \left(\frac{(1 + 2/\sqrt{3})}{2c_T} \right)^{1/n} & \text{for } K = K_{3i} \\ \left(\frac{(1 + \sqrt{2})}{2c_T} \right)^{1/n} & \text{for } K = K_{2i}. \end{cases} \quad (59a)$$

The violation of $\tilde{\eta}$ condition occurs when $\phi = \tilde{\phi}_f < \phi_f$:

$$\tilde{\eta}(\tilde{\phi}_f) = 1 \implies \tilde{\phi}_f \simeq \sqrt{2} \cdot \begin{cases} \left(\frac{5}{6c_T} \right)^{1/n} & \text{for } K = K_{3i} \\ \left(\frac{\sqrt{3}}{2c_T} \right)^{1/n} & \text{for } K = K_{2i}. \end{cases} \quad (59b)$$

Given ϕ_f , we can compute \tilde{N}_* via (4):

$$\tilde{N}_* = \frac{\kappa}{2} \left(2^{1-n/2} c_T (\phi_*^n - \phi_f^n) - n \ln \frac{\phi_*}{\phi_f} \right) \quad (60)$$

$$\text{with } \kappa = \begin{cases} \frac{3}{2} & \text{for } K = K_{3i} \\ 1 & \text{for } K = K_{2i}. \end{cases}$$

Ignoring the logarithmic term and taking into account that $\phi_f \ll \phi_*$, we obtain a relation between ϕ_* and \tilde{N}_* :

$$\phi_* \simeq \sqrt[n]{\frac{2^{n/2} \tilde{N}_*}{\kappa c_T}}. \quad (61a)$$

TABLE 3: Input and output parameters of the models which are compatible with (4) for $\widehat{N}_* = 53.2$, (6), and (7).

Kähler potential K	Parameters							
	n	Input c_T	ϕ_*	λ (10^{-3})	ϕ_f	Output n_s	α_s (10^{-4})	r (10^{-3})
K_{3i}	1	1	54.5	0.022	1.5	0.964	-6.3	3.6
K_{2i}	1	1	80	0.028	1.7	0.964	-6.6	2.5
K_{3i}	2	77	1	1.7	0.17	0.964	-6.7	3.7
K_{3i}	3	109	1	2.4	0.3	0.964	-6.5	3.7
K_{2i}	2	113	1	2	0.15	0.964	-6.7	2.5
K_{2i}	3	159	1	3	0.3	0.964	-6.7	2.6

Obviously, IGI, consistent with (9)(b), can be achieved if

$$\begin{aligned} \phi_* \leq 1 &\implies \\ c_T &\geq \frac{2^{n/2} \widehat{N}_*}{\kappa}. \end{aligned} \quad (61b)$$

Therefore, we need relatively large c_T 's, which increase with n . On the other hand, $\widehat{\phi}_*$ remains trans-Planckian, since solving the first relation in (57b) with respect to ϕ and inserting (61a), we find

$$\widehat{\phi}_* \approx \widehat{\phi}_c + \sqrt{\kappa} \ln \left(\frac{2\widehat{N}_*}{\kappa} \right) \approx \begin{cases} 5.2 & \text{for } K = K_{3i} \\ 4.6 & \text{for } K = K_{2i}, \end{cases} \quad (62)$$

where the integration constant $\widehat{\phi}_c = 0$ and, as in the previous cases, we set $\widehat{N}_* \approx 53$. Despite this fact, our construction remains stable under possible corrections from higher order terms in f_K , since when these are expressed in terms of initial field T , they can be seen to be harmless for $|T| \leq 1$.

Upon substitution of (54) and (61a) into (6), we find

$$A_s^{1/2} \approx \begin{cases} \frac{\lambda(3-4\widehat{N}_*)^2}{96\sqrt{2}\pi c_T \widehat{N}_*} & \text{for } K = K_{3i} \\ \frac{\lambda(1-2\widehat{N}_*)^2}{16\sqrt{3}\pi c_T \widehat{N}_*} & \text{for } K = K_{2i}. \end{cases} \quad (63)$$

Enforcing (6), we obtain a relation between λ and c_T , which turns out to be independent of n . Indeed we have

$$\lambda \approx \begin{cases} \frac{6\pi\sqrt{2}A_s c_T}{\widehat{N}_*} \implies c_T \approx 42969\lambda & \text{for } K = K_{3i} \\ \frac{4\pi\sqrt{3}A_s c_T}{\widehat{N}_*} \implies c_T \approx 52627\lambda & \text{for } K = K_{2i}. \end{cases} \quad (64)$$

Finally, substituting the value of ϕ_* given in (61a) into (8), we estimate the inflationary observables. For $K = K_{3i}$ the results are given in (31a)–(31c). For $K = K_{2i}$ we obtain the relations:

$$n_s = \frac{4\widehat{N}_*(\widehat{N}_* - 3) - 3}{(1 - 2\widehat{N}_*)^2} \approx 1 - \frac{2}{\widehat{N}_*} - \frac{3}{\widehat{N}_*^2} \approx 0.961; \quad (65a)$$

$$\alpha_s \approx \frac{16\widehat{N}_*(3 + 2\widehat{N}_*)}{(2\widehat{N}_* - 1)^4} \approx -\frac{2}{\widehat{N}_*^2} - \frac{7}{\widehat{N}_*^3} \approx -0.00075; \quad (65b)$$

$$r \approx \frac{32}{(1 - 2\widehat{N}_*)^2} \approx \frac{8}{\widehat{N}_*^2} + \frac{8}{\widehat{N}_*^3} \approx 0.0028. \quad (65c)$$

These outputs are consistent with our results in [58] for $m = n$ and $n_{11} = n_2 = 2$ (in the notation of that reference).

4.2. *Numerical Results.* The analytical results presented above can be verified numerically. The inflationary scenario depends on the following parameters (see (42) and (44)):

$$n, c_T \text{ and } \lambda. \quad (66)$$

Note that the stabilization of S with one of K_{32} , K_{34} , K_{23} , and $K_{2,11}$ does not require any additional parameter. Recall that we use $T_{\text{rh}} = 4.1 \cdot 10^{-9}$ throughout and \widehat{N}_* is computed self-consistently for any n via (4). Our result is $\widehat{N}_* \approx 53.2$. For given n , the parameters above together with ϕ_* can be determined by imposing the observational constraints in (4) and (6). In our code we find ϕ_* numerically, without the simplifying assumptions used for deriving (61a). Inserting it into (8), we extract the predictions of the models.

The variation of \widehat{V}_I as a function of ϕ for two different values of n can be easily inferred from Figure 3. In particular, we plot \widehat{V}_I versus ϕ for $\phi_* = 1$, $n = 2$, or $n = 6$, setting $K = K_{3i}$ in Figure 3(a) and $K = K_{2i}$ in Figure 3(b). Imposing $\phi_* = 1$ for $n = 2$ amounts to $(\lambda, c_T) = (0.0017, 77)$ for $K = K_{3i}$ and $(\lambda, c_T) = (0.0017, 113)$ for $K = K_{2i}$. Also, $\phi_* = 1$ for $n = 6$ is obtained for $(\lambda, c_T) = (0.0068, 310)$ for $K = K_{3i}$ and $(\lambda, c_T) = (0.0082, 459)$ for $K = K_{2i}$. In accordance with our findings in (61b), we conclude that increasing n (i) requires larger c_T 's and, therefore, lower \widehat{V}_I 's to obtain $\phi \leq 1$; (ii) larger ϕ_f and $\langle \phi \rangle$ are obtained; see Section 4.3. Combining (59a) and (64) with (54)(a), we can conclude that $\widehat{V}_I(\phi_f)$ is independent of c_T and to a considerable degree of n .

Our numerical findings for $n = 1, 2$, and 3 and $K = K_{3i}$ or $K = K_{2i}$ are presented in Table 2. In the two first rows, we present results associated with Ceccoti-like models [97], which are defined by $c_T = n = 1$ and cannot be made consistent with the imposed \mathbb{Z}_n symmetry or with (9). We see that, selecting $\phi_* \gg 1$, we attain solutions that satisfy all the remaining constraints in Section 2.2. For the other cases, we choose a c_T value so that $\phi_* = 1$. Therefore, the presented c_T is the minimal one, in agreement with (61b).

In all cases shown in Table 2, the model's predictions for n_s , α_s , and r are independent of the input parameters. This is due to the attractor behavior [30–32] that these models exhibit, provided that c_T is large enough. Moreover, these

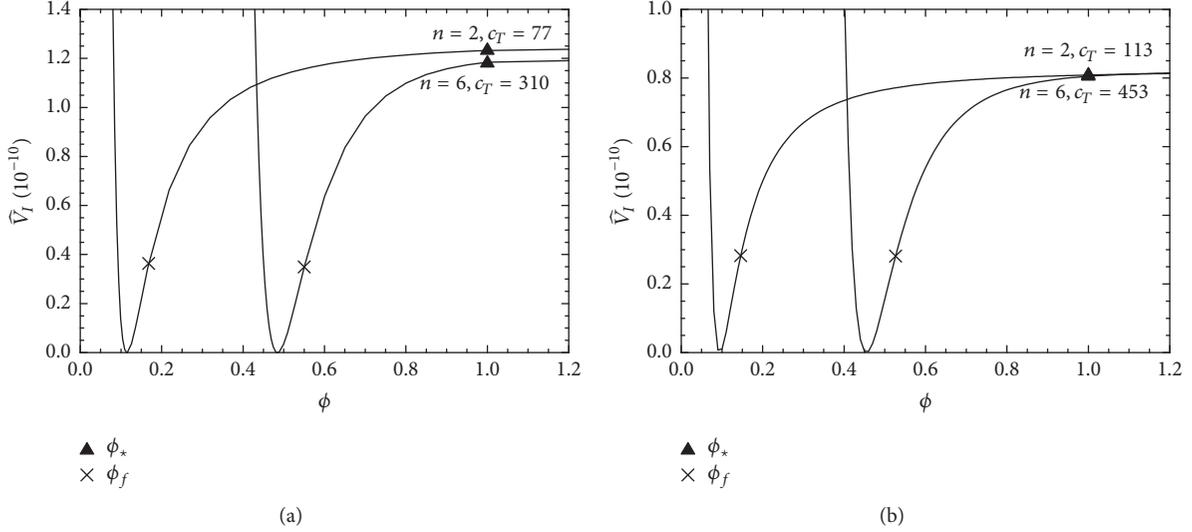


FIGURE 3: The inflationary potential \widehat{V}_I as a function of ϕ for $\phi_* = 1$ and $n = 2$ or $n = 6$. We set (a) $K = K_{3i}$ and (b) $K = K_{2i}$. The values corresponding to ϕ_* and ϕ_f are also depicted.

outputs are in good agreement with the analytical findings of (31a)–(31c) for $K = K_{3i}$ or (65a)–(65c) for $K = K_{2i}$. On the other hand, the presented c_T , λ , ϕ_* , and ϕ_f values depend on n for every selected K . The resulting $n_s \simeq 0.964$ is close to its observationally central value; r is of the order of 0.001, and $|\alpha_s|$ is negligible. Although the values of r lie one order of magnitude below the central value of the present combined BICEP2/Keck Array and Planck results [5], these are perfectly consistent with the 95% c.l. margin in (7). The values of r for $K = K_{3i}$ or $K = K_{2i}$ distinguish the two cases. The difference is small, at the level of 10^{-3} . However, it is possibly reachable by the next-generation experiment (e.g., the CMBPol experiment [98]) is expected to achieve a precision for r of the order of 10^{-3} or even $0.5 \cdot 10^{-3}$. Finally, the renormalization scale Λ of the Coleman-Weinberg formula, found by imposing $\Delta \widehat{V}_I(\phi_*) = 0$, takes the values $7.8 \cdot 10^{-5}$, $9.3 \cdot 10^{-5}$, $1.3 \cdot 10^{-5}$, and $2.1 \cdot 10^{-5}$ for K_{32} , K_{38} , K_{23} , and $K_{2,11}$, respectively. As a consequence, Λ depends explicitly on the specific choice of i used for K_{3i} or K_{2i} .

The overall allowed parameter space of the model for $n = 2, 3$ and 6 is correspondingly

$$77, 105, 310 \leq c_T \leq 1.6 \cdot 10^5, \quad (1.7, 2.4, 6.8) \cdot 10^{-3} \leq \lambda \leq 3.54 \quad (67a)$$

for $K = K_{3i}$;

$$113, 159, 453 \leq c_T \leq 1.93 \cdot 10^5, \quad (2, 2.9, 8.2) \cdot 10^{-3} \leq \lambda \leq 3.54 \quad (67b)$$

for $K = K_{2i}$,

where the parameters are bounded from above as in (29). Letting λ or c_T vary within its allowed region above, we obtain

the values of n_s , α_s , and r listed in Table 3 for $K = K_{3i}$ and K_{2i} independently of n . Therefore, the inclusion of the variant exponent $n > 2$, compared to the non-SUSY model in Section 2.4 does not affect the successful predictions of model.

4.3. UV Behavior. Following the approach described in Section 2.2, we can verify that the SUGRA realizations of IGI retain perturbative unitarity up to m_p . To this end, we analyze the small-field behavior of the theory, expanding \mathcal{S} in (1) about

$$\langle \phi \rangle = 2^{(n-2)/2n} c_T^{-1/n}, \quad (68)$$

which is confined in the ranges (0.0026–0.1), (0.021–0.24), and (0.17–0.48) for the margins of the parameters in (67a) and (67b).

The expansion of \mathcal{S} is performed in terms of $\widehat{\delta\phi}$ which is found to be

$$\widehat{\delta\phi} = \langle J \rangle \delta\phi \quad \text{with} \quad \langle J \rangle \simeq \frac{\sqrt{\kappa n}}{\langle \phi \rangle} = 2^{(2-n)/2n} \sqrt{\kappa n} c_T^{1/n}, \quad (69)$$

where κ is defined in (60). Note, in passing, that the mass of $\widehat{\delta\phi}$ at the SUSY vacuum in (41) is given by

$$\begin{aligned} \widehat{m}_{\delta\phi} &= \langle \widehat{V}_{I, \widehat{\delta\phi}} \rangle^{1/2} \simeq \frac{\lambda}{\sqrt{2\kappa c_T}} \simeq \frac{2\sqrt{6A_s}\pi}{\widehat{N}_*} \\ &\simeq 1.25 \cdot 10^{-5}, \end{aligned} \quad (70)$$

precisely equal to that found in (19) and (30). We observe that $\widehat{m}_{\delta\phi}$ is essentially independent of n and κ , thanks to the relation between λ and c_T in (64).

Expanding the second term in the r.h.s. of (33a) about $\langle\phi\rangle$ with J given by the first relation in (57b), we obtain

$$J^2\widehat{\phi}^2 = \left(1 - \frac{2}{n\sqrt{\kappa}}\widehat{\delta\phi} + \frac{3}{n^2\kappa}\widehat{\delta\phi}^2 - \frac{4}{n^3}\kappa^{-3/2}\widehat{\delta\phi}^3 + \dots\right) \cdot \widehat{\delta\phi}. \quad (71a)$$

On the other hand, \widehat{V}_I in (54)(a) can be expanded about $\langle\phi\rangle$ as follows:

$$\widehat{V}_I \simeq \frac{\lambda^2\widehat{\phi}^2}{4\kappa c_T^2} \left(1 - \frac{n+1}{\sqrt{\kappa n}}\widehat{\delta\phi} + (1+n)\frac{11+7n}{12\kappa n^2}\widehat{\delta\phi}^2 - \dots\right). \quad (71b)$$

Since the expansions above are c_T independent, we infer that $\Lambda_{UV} = 1$ as in the other versions of Starobinsky-like inflation. The expansions above for $K = K_{3i}$ and $n = 2$ reduce to those in (32a) and (32b). Moreover, these are compatible with the ones presented in [31] for $K = K_{3i}$ and those in [58] for $K = K_{2i}$ and $n_{11} = 2$. Our overall conclusion is that our models do not face any problem with perturbative unitarity up to m_P .

5. Conclusions and Perspectives

In this review we revisited the realization of induced-gravity inflation (IGI) in both a nonsupersymmetric and supergravity (SUGRA) framework. In both cases the inflationary predictions exhibit an attractor behavior towards those of Starobinsky model. Namely, we obtained a spectral index $n_s \simeq (0.960-0.965)$ with negligible running α_s and a tensor-to-scalar ratio $0.001 \lesssim r \lesssim 0.005$. The mass of the inflation turns out to be close to $3 \cdot 10^{13}$ GeV. It is gratifying that IGI can be achieved for sub-Planckian values of the initial (noncanonically normalized) inflation, and the corresponding effective theories are trustworthy up to Planck scale, although a parameter has to take relatively high values. Moreover, the one-loop radiative corrections can be kept under control.

In the SUGRA context this type of inflation can be incarnated using two chiral superfields, T and S , the superpotential in (42), which realizes easily the idea of induced gravity, and several (semi)logarithmic Kähler potentials K_{3i} or K_{2i} ; see (44). The models are pretty much constrained upon imposing two global symmetries, a continuous R and a discrete \mathbb{Z}_n symmetry, in conjunction with the requirement that the original inflation, T , takes sub-Planckian values. We paid special attention to the issue of S stabilization during IGI and worked out its dependence on the functional form of the selected K 's with respect to $|S|^2$. More specifically, we tested the functions $h_i(|S|^2)$, which appear in K_{3i} or K_{2i} ; see Table 1. We singled out $h_2(|S|^2)$ and $h_8(|S|^2)$ for $K = K_{3i}$ or $h_3(|S|^2)$ and $h_{11}(|S|^2)$ for $K = K_{2i}$, which ensure that S is heavy enough, and so well stabilized during and after inflation. This analysis provides us with new results that do not appear elsewhere in the literature. Therefore, Starobinsky inflation

realized within this SUGRA set-up preserves its original predictive power, since no mixing between $|T|^2$ and $|S|^2$ is needed for consistency in the considered K 's (cf. [31, 72, 73]).

It is worth emphasizing that the S -stabilization mechanisms proposed in this paper can be also employed in other models of ordinary [47–49] or kinetically modified [65–67] nonminimal chaotic (and Higgs) inflation driven by a gauge singlet [47–49, 53, 54, 65–67] or nonsinglet [50–52, 68–70] inflation, without causing any essential alteration to their predictions. The necessary modifications involve replacing the $|S|^2$ part of K with $h_2(|S|^2)$, or $h_8(|S|^2)$ if we have a purely logarithmic Kähler potential. Otherwise, the $|S|^2$ part can be replaced by $h_3(|S|^2)$ or $h_{11}(|S|^2)$. Obviously, the last case can be employed for logarithmic or polynomial K 's with regard to the inflation terms.

Let us, finally, remark that a complete inflationary scenario should specify a transition to the radiation dominated era. This transition could be facilitated in our setting [29, 62, 63] via the process of perturbative reheating, according to which the inflation after inflation experiences an oscillatory phase about the vacuum, given by (22) for the non-SUSY case or (41) for the SUGRA case. During this phase, the inflation can safely decay, provided that it couples to light degrees of freedom in the Lagrangian of the full theory. This process is independent of the inflationary observables and the stabilization mechanism of the noninflation field. It depends only on the inflation mass and the strength of the relevant couplings. This scheme may also explain the origin of the observed baryon asymmetry through nonthermal leptogenesis, consistently with the data from the neutrino oscillations [29]. It would be nice to obtain a complete and predictable transition to the radiation dominated era. An alternative graceful exit can be achieved in the running vacuum models, as described in the fourth paper of [77–84].

Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this paper.

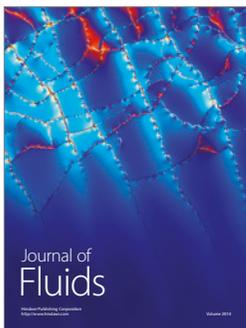
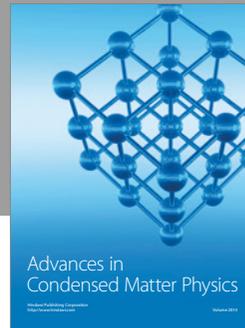
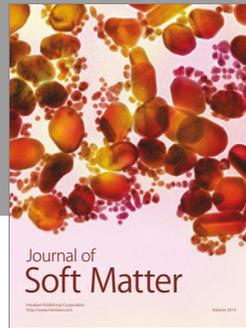
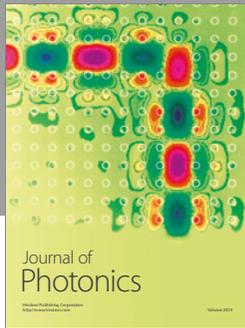
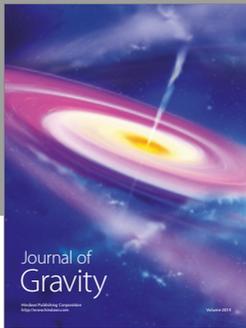
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