Research Article

Neutrino Pair Cerenkov Radiation for Tachyonic Neutrinos

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1. Introduction

After early attempts at the construction of tachyonic neutrino theories [1–7], progress in the theoretical development was hindered by difficulties in the construction of a viable field theory involving tachyons (a particularly interesting argument was presented in [8]). Despite the difficulties, work on tachyonic theories has continued up to this day, for both classical theories and spin-zero and spin-1/2 quantum theories [9–12]. A very interesting hypothesis was brought forward by Chodos et al. [13], who developed a tachyonic neutrino model based on the so-called tachyonic Dirac equation. They recognized that a simple modification of the mass term in the Dirac equation, according to the replacement $m \rightarrow \gamma^5 m$, induces a dispersion relation of the form $E = \sqrt{p^2 - m^2}$ (with the “tachyonic” sign in front of the mass term), while preserving the spin-1/2 character of the equation. Recently, it has been recognized [14] that the modified Dirac Hamiltonian corresponding to the tachyonic solutions has a property known as pseudo-Hermiticity, which has been recognized as a viable generalization of the concept of Hermiticity, for quantum mechanical systems [15–25]. Furthermore, the bispinor solutions of the tachyonic equation have been determined [26], and they have been shown to fulfill sum rules which enter the calculation of the time-ordered product of tachyonic field operators. The tachyonic pseudo-Hermitian quantum dynamics of wave packets composed of the bispinor solutions has been discussed in [14]. A surprising feature of the tachyonic Dirac equation is the natural appearance of the fifth current in the equation. In particular, the appearance of $\gamma^5$ elevates the helicity basis to the most natural ansatz for the solution of the equation and induces parity-breaking in a natural way. States with the “wrong helicity” are eliminated from the theory by a Gupta-Bleuler type condition [26].

Just to fix ideas, we should point out here that the tachyonic neutrino differs from other faster-than-light neutrino models in that the dispersion relation is Lorentz-covariant. Explicit breaking of the Lorentz symmetry may induce faster-than-light dynamics for neutrino wave packets, with a time-like four-vector product $p^\mu p_\mu > 0$ (see [27, 28]). An example is the Lorentz-breaking dispersion relation $E = |\vec{p}| \nu$ with
v > 1 (units with \( h = c = \epsilon_0 \) are used throughout this paper). This dispersion relation follows [29, 30] from a Lorentz-violating “metric” \( \tilde{g}_{\mu\nu} = \text{diag}(1, -v, -v, -v) \). A quite illuminating analysis of the model dependence of the calculation [29], with reference to conceivably different forms of the interaction Lagrangian, is given in [30]. By contrast, the tachyonic theory implies a space-like four-vector product \( p^\mu p_\mu = -m^2 < 0 \), thus leaving Lorentz symmetry intact and enabling the construction of bispinor solutions in the helicity basis [26].

Despite some “seductive” observations regarding the tachyonic neutrino model (most of all, pseudo-Hermiticity and natural emergence of the helicity eigenstates, as well as the suppression of states with the “wrong” helicity), any alternative neutrino model must also pass various other tests concerning the stability of highly energetic neutrinos against the emission of particle-antiparticle pairs. The IceCube collaboration has registered “big bird,” an \( E_\nu = (2.004 \pm 0.236) \) PeV highly energetic neutrino [31, 32]. If neutrinos in this energy range are stable against lepton pair Cerenkov radiation, then this sets rather strict bounds on the values of the Lorentz-violating parameters [33, 34]. In a recent paper, lepton pair Cerenkov radiation has been analyzed as an energy loss mechanism for high-energy tachyonic neutrinos [35]. The kinematics in this case implies that the oncoming, decaying neutrino decays into a tachyonic state of lower energy, emitting an electron-positron pair (see Figure 1(a)). For the creation of an electron-positron pair, the threshold momentum for the virtual \( Z^0 \) boson is \( q^2 = 4m_c^2 \), where \( m_c \) is the electron mass.

For both the Lorentz-violating and the tachyonic neutrino models, one has not yet considered the additional decay and energy loss channel which proceeds via a virtual \( Z^0 \) boson and has a neutrino-antineutrino pair (as opposed to an electron-positron pair) in the exit channel (see Figure 1(b)). This process is not parametrically suppressed in comparison to the one with electrically charged particles in the exit channel, because of the weakly rather than electromagnetically interacting virtual particle (\( Z^0 \) boson) in the middle. For the Lorentz-violating theories, the kinematics in this case becomes involved because one has to implement Lorentz-violating parameters for all four particle in the process: (i) the oncoming and exiting neutrino and (ii) the created neutrino-antineutrino pair. Previous studies [29, 30] have rather concentrated on the lepton pair Cerenkov radiation process as the dominant energy loss mechanism than the neutrino pair Cerenkov radiation; the kinematics in this case appears to be a lot easier to analyze than for neutrino pair Cerenkov radiation.

For the tachyonic case, one needs to calculate the process of neutrino-antineutrino pair Cerenkov radiation in full tachyonic kinematics, for both the in and out states. In particular, it is necessary to generalize the pair production threshold to the creation of a tachyonic neutrino-antineutrino pair. We organize this paper as follows. In Section 2, we derive the kinematic conditions for tachyon-antitachyon pair production. The calculation of the threshold conditions and the energy loss mechanism for neutrino pair Cerenkov radiation proceeds in Section 3. Consequences for tachyonic neutrino theories are summarized in Section 4.

### 2. Pair Production Threshold

For two tardyonic (“normal”) particles of mass \( m_\nu \), pair production threshold is reached when the pair is emitted collinearly, with two four-vectors \( p^\mu = (E, \overrightarrow{k}) = (E_1, \overrightarrow{k}_1) = (E_2, \overrightarrow{k}_2) \) that fulfill

\[
E = \sqrt{k^2 + m_\nu^2},
\]

\[
q^2 = 4p^\mu p_\mu = 4\left(\overrightarrow{k^2} + m_c^2\right) - 4\overrightarrow{k^2} = 4m_c^2.
\]

The situation is completely different for the production of a tachyonic pair. Here, a well-defined lower threshold for \( q^2 \) is missing. For example, we have for the collinear pair with tachyonic mass parameter \( E^2 - \overrightarrow{k^2} = -m_\nu^2 \), and \( p^\mu = (E, \overrightarrow{k}) = (E_1, \overrightarrow{k}_1) = (E_2, \overrightarrow{k}_2) \),

\[
E = \sqrt{k^2 - m_\nu^2},
\]

\[
q^2 = 4p^\mu p_\mu = 4\left(\overrightarrow{k^2} - m_\nu^2\right) - 4\overrightarrow{k^2} = -4m_\nu^2,
\]

which is negative. For two neutrinos of different energy, emitted collinearly (\( \overrightarrow{k}_1 = k_1 \overrightarrow{\hat{e}}_z \) and \( \overrightarrow{k}_2 = k_2 \overrightarrow{\hat{e}}_z \)), one has

\[
E_1 = \sqrt{k_1^2 - m_\nu^2},
\]

\[
E_2 = \sqrt{k_2^2 - m_\nu^2},
\]

\[
q^2 = \left(\sqrt{k_1^2 - m_\nu^2} + \sqrt{k_2^2 - m_\nu^2}\right)^2 - \left(k_1 + k_2\right)^2.
\]

In the limit of a small tachyonic mass parameter \( m_\nu \), a Taylor expansion of the latter term leads to the expression

\[
q^2 = -\left(2 + \frac{k_1}{k_2} + \frac{k_2}{k_1}\right)m_\nu^2 + O\left(m_\nu^4\right).
\]

In the limits \( k_1 \to 0, k_2 \to \infty \) or alternatively \( k_1 \to \infty, k_2 \to 0 \), the latter expression may assume very large negative numerical values (see also Figure 2). There is thus no lower threshold for tachyonic pair production, expressed in \( q^2 \).

One might ask if arbitrarily large \( q^2 \) are compatible with the relativistic tachyonic pair production kinematics. In order to answer this question, we consider the production of an anti-collinear pair,

\[
\overrightarrow{k}_1 = k_1 \overrightarrow{\hat{e}}_z,
\]

\[
\overrightarrow{k}_2 = -k_2 \overrightarrow{\hat{e}}_z,
\]
Figure 1: The decay process in (a) involves a faster-than-light neutrino decaying into an electron-positron pair, with a threshold $q^2 = 4m^2$; for the virtual $Z^0$ boson. In (b), a neutrino-antineutrino pair is emitted from an oncoming energetic neutrino. Both processes are kinematically allowed if one assumes that neutrinos are tachyons described by a dispersion relation of the form $p^\mu p_\mu = E^2 - k^2 = -m^2$.

Figure 2: Plot of $q^2$ given in (3b) for tachyonic pair production ($m_e = 1$) in the region $-100 < k_1 < 0$ and $-10^7 < k_2 < 0$, demonstrating that $q^2$ may become large and negative even for small tachyonic mass parameters, when one of the momenta is significantly larger than the other (see (4)).

For large $k_1$ and $k_2$, this expression assumes arbitrarily large positive numerical values.

The conclusion is that the tachyonic kinematics do not exclude any range of $q^2$ from the kinematically allowed range of permissible momentum transfers, and neutrino pair Cerenkov radiation (or, more generally, tachyon-antitachyon pair production) is allowed in the entire range

$$-\infty < q^2 < \infty,$$

$$q^0 > 0.$$

The latter condition only ensures that the energy emitted into the pair is positive. For a decaying tachyonic neutrino, condition (6) implies that there is no lower energy threshold for the production of a tachyon-antitachyon pair from an oncoming neutrino, within the process depicted in Figure 1(b).

3. Calculation of the Pair Production

We calculate the decay width of the incoming tachyonic neutrino, in the lab frame, employing a relativistically covariant (tachyonic) dispersion relation, with both incoming and outgoing neutrinos on the tachyonic mass shell ($E_i = \sqrt{k_i^2 - m^2}$ for $i = 1, 2, 3, 4$), in the conventions of Figure 1. In the lab frame, the decay rate is

$$\Gamma = \frac{1}{2E_1} \int \frac{d^3 p_3}{(2\pi)^3} \frac{d^3 p_2}{(2\pi)^3} \frac{d^3 p_4}{(2\pi)^3} \delta^4(p_1 - p_3 - p_2 - p_4) \left[ \sum_{\text{spins}} |\mathcal{M}|^2 \right].$$

(7)
Here, $\sum_{\text{spins}}$ refers to the specific way in which the average over the oncoming helicity states, and the outgoing helicities, needs to be carried out for tachyons [35].

We use the Lagrangian

$$L = -\frac{g_w}{4\cos\theta_W} [\gamma^\mu (1 - \gamma^5) \nu] Z_\mu,$$

where $\theta_W$ is the Weinberg angle, $Z_\mu$ is the $Z^0$ boson field, and $\nu$ is the neutrino field. The effective four-fermion interaction is

$$L = \frac{G_F}{2\sqrt{2}} [\gamma^\mu (1 - \gamma^5) \nu] [\gamma^\nu (1 - \gamma^5) \nu],$$

where $G_F$ is the Fermi coupling constant. The matrix element $M$ is

$$M = \frac{G_F}{2\sqrt{2}} \left[ \gamma^\nu (p_1) \gamma_\lambda (1 - \gamma^5) u^\nu (p_1) \right] \times \left[ \gamma^\nu (p_2) \gamma_\lambda (1 - \gamma^5) v^\nu (p_2) \right],$$

where $u^\nu (p)$ is a tachyonic positive-energy bispinor (particle) solution, while $v^\nu (p)$ is a tachyonic negative-energy (antiparticle) solution. The positive-energy solutions read as follows [26]:

$$u^\nu_+ (\vec{k}) = \begin{pmatrix} \sqrt{\vec{k} + m a_+ (\vec{k})} \\ \sqrt{\vec{k} - m a_- (\vec{k})} \end{pmatrix},$$

$$u^\nu_- (\vec{k}) = \begin{pmatrix} \sqrt{\vec{k} - m a_- (\vec{k})} \\ -\sqrt{\vec{k} + m a_+ (\vec{k})} \end{pmatrix},$$

while the negative-energy solutions are given by

$$v^\nu_+ (\vec{k}) = \begin{pmatrix} -\sqrt{\vec{k} - m a_- (\vec{k})} \\ -\sqrt{\vec{k} + m a_+ (\vec{k})} \end{pmatrix},$$

$$v^\nu_- (\vec{k}) = \begin{pmatrix} \sqrt{\vec{k} + m a_+ (\vec{k})} \\ \sqrt{\vec{k} - m a_- (\vec{k})} \end{pmatrix},$$

where we identify the on-shell spinors $u^\nu (p)$ with the $u^\nu (\vec{k})$, where $p^\nu = (E, \vec{k})$ and $E = \sqrt{\vec{k}^2 - m^2}$. The symbols $a_\pm (\vec{k})$ denote the fundamental helicity spinors (see p. 87 of [36]).

We note that the helicity of the antineutrino solution $v^\nu_+ (\vec{k})$ is positive, while in the massless limit, it has negative chirality.

For the tachyonic spin sums, one has the following sum rule for the positive-energy spinors [37],

$$\sum_{\sigma} (-\sigma) u^\nu_\sigma (\vec{k}) \otimes \bar{u}^\nu_\sigma (\vec{k}) \gamma^5 = \sum_{\sigma} (-\sigma) \cdot \vec{k} u^\nu_\sigma (\vec{k}) \otimes \bar{u}^\nu_\sigma (\vec{k}) \gamma^5 = p - \gamma^5 m,$$

where $\vec{k} = \vec{k} / |\vec{k}|$ is the unit vector in the $\vec{k}$ direction. Upon promotion to a four-vector, one has $\vec{k}^\mu = (0, \vec{k})$. The sum rule can thus be reformulated as

$$\sum_{\sigma} u^\nu_\sigma (p) \otimes \bar{u}^\nu_\sigma (p) = \left( -\vec{k} \cdot \vec{k} \right) \left( p - \gamma^5 m \right) \gamma^5 = -\vec{p} \cdot \vec{k} \left( p - \gamma^5 m \right) \gamma^5,$$

where $\tau = (1, 0, 0, 0)$ is a time-like unit vector.

In [26, 37], it has been argued that a consistent formulation of the tachyonic propagator is achieved when we postulate that the right-handed neutrino states and the left-handed antineutrino states acquire a negative Fock-space norm after quantization of the tachyonic spin-1/2 field. Hence, in order to calculate the decay process of an oncoming, left-handed, positive-energy neutrino, we must first project onto negative-helicity states, according to [35],

$$\frac{1}{2} \left( 1 - \vec{\tau} \cdot \vec{k} \right) \sum_{\sigma} u^\nu_\sigma (\vec{k}) \otimes \bar{u}^\nu_\sigma (\vec{k}) = u_{\sigma = -1} (p) \otimes \bar{u}_{\sigma = -1} (p)$$

$$= \frac{1}{2} \left( 1 - \vec{\tau} \cdot \vec{k} \right) \left( p - \gamma^5 m \right) \gamma^5.$$

The squared and spin-summed matrix element for the tachyonic decay process thus is

$$\sum_{\text{spins}} |M|^2 = \frac{G_F^2}{8} T_{13} T_{24} = \frac{G_F^2}{8} \delta (p_1, p_2, p_3, p_4),$$

where the latter identity provides an implicit definition of the function $\delta (p_1, p_2, p_3, p_4)$. The traces $T_{13}$ and $T_{24}$ are

$$T_{13} = \text{Tr} \left[ \frac{1}{2} \left( 1 - \vec{\tau} \gamma^5 \vec{k}_3 \right) (p_3 - \gamma^5 m_3) \gamma^5 \gamma_{\lambda} (1 - \gamma^5) \right] \times \frac{1}{2} \left( 1 - \vec{\tau} \gamma^5 \vec{k}_4 \right) (p_4 - \gamma^5 m_4) \gamma^5 \gamma_{\lambda} (1 - \gamma^5),$$

$$T_{24} = \text{Tr} \left[ \frac{1}{2} \left( 1 - \vec{\tau} \gamma^5 \vec{k}_2 \right) (p_2 + \gamma^5 m_2) \gamma^5 \gamma_{\lambda} (1 - \gamma^5) \right] \times \frac{1}{2} \left( 1 - \vec{\tau} \gamma^5 \vec{k}_1 \right) (p_1 - \gamma^5 m_1) \gamma^5 \gamma_{\lambda} (1 - \gamma^5).$$

We have chosen the convention to denote the momentum of the outgoing antiparticle by $p_2$.

For the outgoing pair, we use the fact that the helicity projector is approximately equal to the chirality projector in the high-energy limit, which simplifies the Dirac gamma trace somewhat. On the tachyonic mass shell, one has $p_1^2 = p_2^2 = p_3^2 = -m^2$. After the trace over the Dirac $\gamma$ matrices, some resultant scalar products vanish, for example, the scalar product of the time-like unit vector $\tau$ and the space-like unit vector ($\tau \cdot k = 0$).
where as kinematics. The squared four-momentum transfer then reads

\[ q^2 = \frac{\sqrt{E_3^2 + m^2} \cos \theta - E_1 E_3 - m_\nu^2}{2} \]

where \( m_\nu \) is naturally imposed for tachyonic kinematics. The squared four-momentum transfer then reads as

\[ q^2 = 2 \left( k_3 \cos \theta - \frac{k_3^2 - m_\nu^2}{\sqrt{k_3^2 - m_\nu^2}} \sqrt{k_3^2 - m_\nu^2} \right) \]

The integrations are done with the kinematic conditions that all \( 0 < E_3 < E_1 \) and all \( q^2 = (p_3 + p_4)^2 \) for the pair are allowed (see Section 2), leading to

\[ \Gamma = \frac{G_F^2}{8} \frac{1}{(2\pi)^5} \int_{0}^{2\pi} d\phi \int_{k_{\text{max}}}^{\infty} \frac{dk_3 k_3^2}{2E_3} \]

These formulas are valid for \( E_1 \gg m_\nu \), which is easily fulfilled for all neutrino masses \( m_\nu \). There is no threshold energy; that is, formulas (27a) and (27b) are, in particular, valid in the range \( E_1 \gtrsim 1 \text{ eV} \gg m_\nu \). Parametrically, they are of the same order of magnitude as those given in [35] for (charged) lepton pair Cerenkov radiation, but the threshold is zero for the neutrino pair emission. Hence, neutrino pair emission is the dominant decay channel in the medium-energy domain, for an oncoming tachyonic neutrino flavor eigenstate.
4. Discussion and Conclusions

In principle, tachyonic spin-1/2 theories have a number of properties which make them more attractive than their spin-zero counterparts. One distinctive feature is that the mass parameters enter only linearly in the Lagrangian [26], thus preventing the vacuum from becoming manifestly unstable against tachyon-antitachyon pair production. Also, it has been possible to calculate the time-ordered product of field operators, which leads to the Feynman propagator of the tachyonic field [26, 37]. One also observes that the generalized Dirac Hamiltonian for the tachyonic spin-1/2 fields is pseudo-Hermitian, so that it becomes possible to formulate the quantum dynamics of tachyonic wave packets without having to overcome unsurmountable challenges [14]. In [38], it has been argued that, in view of the small neutrino interaction cross sections, it would be difficult to transport information faster than the speed of light using a neutrino beam, if neutrinos are just a bit superluminal (tachyonic). The sign of the mass square of neutrinos has not yet been determined experimentally, in contrast to differences of mass squares among neutrino flavor eigenstates.

Here, we calculate the decay rate and energy loss rate, for a hypothetically tachyonic neutrino flavor, against neutrino pair Cerenkov radiation. It needs to be checked if the absence of a threshold would lead to a disagreement with high-energy data on neutrinos of cosmic origin. In fact, the IceCube experiment [31, 32] has observed 37 neutrinos having energies $E_\nu > 10$ TeV during the first three years of data taking. Three of these events (“Ernie,” “Bert,” and “Big Bird”) had energies $E_\nu > 1$ PeV, while “Big Bird” is famous for having an energy of $E_\nu = (2.004 \pm 0.236)$ PeV. A blazar has been identified as a possible source of this highly energetic neutrino [39]. Neutrinos registered by IceCube have to “survive” the possibility of energy loss by decay, and if they are tachyonic, then lepton and neutrino pair Cerenkov radiation processes become kinematically allowed.

The results given in (27a) and (27b) for the decay rate and energy loss rate due to neutrino pair Cerenkov radiation are not subject to a threshold energy; parametrically they are of the same order-of-magnitude as those given for lepton pair Cerenkov radiation and thus to a vanishingly small relative energy loss for an oncoming neutrino of cosmic origin 15 billion light years away. The lifetime of the tachyonic neutrino far exceeds the age of the universe. Our quick estimate shows that “Big Bird” would have survived the travel from the blazar PKS B1424-418 (see [39]). In other words, neutrino pair Cerenkov radiation does not pressure the tachyonic neutrino hypothesis.

We thus take the opportunity here to correct claims recently made by one of us (U.D.J.) in [35], where a hypothetical cutoff of cosmic neutrino spectrum at the Big Bird energy was related to the threshold energy for (charged) lepton pair Cerenkov radiation and thus to a neutrino mass parameter. In [35], it was overlooked that (i) a further decay process exists for tachyonic neutrinos which is not subject to a threshold condition and (ii) that the absolute value of both (charged) lepton and neutrino pair Cerenkov radiation is too small (both above and below threshold) to lead to any appreciable energy loss of an oncoming tachyonic neutrino flavor eigenstate, over cosmic distances and time scales. Hence, it is not possible, in contrast to the conclusions of [35], to relate the lepton pair threshold to the tachyonic mass parameter. The (more optimistic) conclusion thus is that neither lepton nor neutrino pair Cerenkov radiation processes pressure the tachyonic model.

However, for the Lorentz-violating models, important limits on the available parameter space have been set in [33, 34], based on (charged) lepton pair Cerenkov radiation alone. Roughly speaking, the reason for the pressure on the Lorentz-violating models is that even small Lorentz violations at PeV energies correspond to high “virtualities” of the superluminal particles and hence relatively large (energy-dependent) mass parameters. It is quite imperative that the additional decay process studied here should also be calculated for the different kinematic conditions in Lorentz-violating models, where it will further limit the available parameter space for the Lorentz-violating parameters. Note that, for example, employing a Lorentz-violating dispersion relation $E = v|\vec{p}|$ [29, 30], with $v > 1$, a quick calculation shows the absence of a neutrino pair production threshold in the Lorentz-violating model; the reason being simple: namely, one has $E \to 0$ for $|\vec{p}| \to 0$, and it thus becomes possible to generate Lorentz-violating neutrino pairs with near-zero four-momenta. The additional decay process uncovered here thus has the potential of fundamentally changing the bounds.
to be inferred for the Lorentz-violating parameters, from the cosmic high-energy neutrinos, within the Lorentz-violating models.

To conclude, the Lorentz-violating model is pressured at high energies, where even numerically tiny values of the Lorentz-violating parameters induce large deviations from the light-like dispersion relation, corresponding to \( \frac{m^2}{p^2} = \frac{(\gamma^2 - 1)}{p^2} \) (where we assume the dispersion relation \( E = \sqrt{p^2} \) given in [29, 30]). By contrast, the tachyonic model is fully compatible with astrophysical data collected at high energies, while the tachyonic dispersion relation predicts noticeable deviations from the speed of light only for comparatively low-energy neutrinos. A proposal to test the tachyonic hypothesis, in the low-energy domain, has recently been published in [38]. Finally, we also refer to [38] for clarifying remarks on general aspects of the tachyonic model.

**Conflicts of Interest**

The authors declare that there are no conflicts of interest regarding the publication of this paper.

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