Research Article

Improving the Operational Methodology of Tropical Cyclone Seasonal Prediction in the Australian and the South Pacific Ocean Regions

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Tropical cyclones (TCs) can have a major impact on the coastal communities of Australia and Pacific Island countries. Preparedness is one of the key factors to limit TC impacts and the Australian Bureau of Meteorology issues an outlook of TC seasonal activity ahead of TC season for the Australian Region (AR; 5°S to 40°S, 90°E to 160°E) and the South Pacific Ocean (SPO; 5°S to 40°S, 142.5°E to 120°W). This paper investigates the use of support vector regression models and new explanatory variables to improve the accuracy of seasonal TC predictions. Correlation analysis and subsequent cross-validation of the generated models showed that the Dipole Mode Index (DMI) performs well as an explanatory variable for TC prediction in both AR and Niño4 SST anomalies—in AR and Niño1+2 SST anomalies—in SPO. For both AR and SPO, the developed model which utilised the combination of Niño1+2 SST anomalies, Niño4 SST anomalies, and DMI had the best forecasting performance. The support vector regression models outperform the current models based on linear discriminant analysis approach for both regions, improving the standard deviation of errors in cross-validation from 2.87 to 2.27 for AR and from 4.91 to 3.92 for SPO.

1. Introduction

Tropical cyclones (TCs) are extreme weather events that form each season over tropical oceans. In the Australian Region (AR; 5°S to 40°S, 90°E to 160°E) and the South Pacific Ocean (SPO; 5°S to 40°S, 142.5°E to 120°W) TCs mainly occur during the six months from November to April; however, TCs do occur outside the TC season. TCs can have a major impact on human life when they make land-fall. For example, in 1974 the Australian city of Darwin was devastated by TC Tracy, killing 71 people [1]. The main dangers of TCs are destructive winds, heavy rainfall, flooding, and storm surges. Besides the impact on human life, TCs can have a severe impact upon Pacific island countries and their economies. For example, the small island of Tikopia located in the SPO (12° 18′S 168° 50′E) was completely devastated in 2002 by TC Zoe [2].

Preparation for TCs is an important element to reduce the destructive impacts of TC land-falls. Therefore, the Bureau of Meteorology aims to predict the likelihood of TC activity for several regions at the start of each TC season. Currently the operational statistical model of the Bureau of Meteorology consists of two linear discriminant analysis (LDA) models, one based on the Southern Oscillation Index (SOI) and one based on Niño3.4 Sea Surface Temperatures (SST) [3]. The results have been disseminated in a form of TC seasonal outlooks, to provide local communities and government authorities with early advice about expected TC activity. For public release, the models produce probabilities of above median tropical cyclone activity; however, they can also produce the most likely number of TCs in the upcoming season.

A different statistical model-based approach is to use machine learning algorithms. A recent pilot study by Richman and Leslie [4] indicates that support vector regression performs well for TC prediction. In this paper we investigate the use of machine learning algorithms for the Bureau of Meteorology TC forecasting regions.
2. Data and Methodology

The Bureau of Meteorology’s National Climate Centre (NCC) has developed a TC archive for the Southern Hemisphere, in close collaboration with international partners [5]. The number of TCs for each season can be obtained from the Southern Hemisphere TC archive via the Pacific Tropical Cyclone Data Portal of the Bureau of Meteorology (http://www.bom.gov.au/cyclone/history/tracks/). The Southern Hemisphere TC archive has been developed by consolidating best track data prepared by Regional Specialised Meteorological Centres (RSMCs) Nadi (Fiji) and Tropical Cyclone Warning Centres (TCWCs) Brisbane, Darwin and Perth (Australia) and Wellington (New Zealand). To estimate TC intensity, Dvorak methodology is used operationally by the RSMCs and TCWCs with the World Meteorological Organization’s responsibilities for issuing TC warning and preparing best track data [6]. To keep consistency with previous studies, the genesis of a TC is defined when a cyclonic system first attains a central pressure equal to or less than 995 hPa [7–11]. AR is defined as the area 90°E-160°E and 5°S-40°S and SPO is defined as the region 142.5°E-120°W and 5°S-40°S.

Since meteorological satellites came into operational use at the end of the 1960s, the reliability of TC data has improved significantly [19]. Earlier data are not considered sufficiently accurate for modelling purposes and therefore data prior to the 1969–70 season have not been included in our analysis. Kuleshov et al. [10,11] have identified this point as an outlier in several models. The SPO best track database contains data up until the 2010–11 season, whereas AR contains best track information up to the 2011–12 season. Therefore, seasons from 1970–71 to 2010–11 data have been used for SPO, while 1970–71 to 2011–12 data have been used for AR.

Using Weka [20] the performance of several machine learning algorithms has been investigated. Weka (Waikato Environment for Knowledge Analysis) is a collection of machine learning algorithms for data mining tasks, developed by the University of Waikato in New Zealand. This open source software package includes algorithms such as decision trees, nearest neighbour classifiers, and regression models. We applied various machine learning algorithms to the TC data set, including isotonic regression, least median squared regression, linear regression, multilayer perceptron, multi-layer perceptron, pacregression, normalised Gaussian radial basis function network, support vector regression, K-nearest neighbours classifier, K nearest-neighbor-based classifier, locally weighted learning, additive regression, conjunctive rule, decision table majority classifier, M5 rules, M5P, decision stump, REP Tree decision tree, bagging in combination with decision tree algorithms, and random subspace in combination with decision tree algorithms. To avoid artificial skill we did not use all training data to fit the models, but instead
performed a cross-validation analysis for each of the potential prediction models. Therefore, the model prediction for each TC season was an out of sample prediction. First, we utilised all explanatory variables for each algorithm to make an initial selection of algorithms with potential skill. This selection was made by ranking all models based on the root mean squared error (RMSE) statistic. Then, we focussed on improving the performance of promising algorithms for seasonal TC prediction by selecting different combinations of explanatory variables.

There was a large difference between all models, as some showed very little skill in the seasonal prediction of TCs. Most decision trees that we investigated did not perform very well, possibly due to the small number of years of data that is currently available. Overall, out of the algorithms that we investigated, the machine learning algorithm that performed best for the seasonal prediction of TCs was support vector regression. This algorithm exhibited the lowest RMSE in cross-validation and will be the focus of the remainder of this paper.

The support vector regression model is an extension to $\varepsilon$-support vector regression [21]. We assume we have a set of observations $y_i$ and several explanatory variables $x_{ij}$, $x_{2j}$, $x_{3j}$, ..., $x_{nj}$ with $i$ the number of observations and $n$ the number of explanatory variables. In $\varepsilon$-support vector regression the goal is to find a function $f(x)$ that predicts the observations and has a maximum error smaller than or equal to $\varepsilon$, while being as flat as possible, meaning none of the coefficients is very large relative to the rest of the coefficients. For a linear function $f(x)$, this can be described by:

$$f(x) = \langle w, x \rangle + b \text{ with } w, x \in R^n, b \in R$$

and $n$ the number of explanatory variables.

In this equation $x$ is a vector with explanatory variables, $w$ is a vector containing coefficients, and $b$ is the bias term. We search for optimal solution by changing coefficients vector $w$. The notation $\langle w, x \rangle$ denotes the dot product between $w$ and $x$. Flatness for $f(x)$ means searching for a set of small coefficients in vector $w$. The idea behind this is to make the model less sensitive to errors in measurement or random shocks in explanatory variables, leading to better prediction performance.

To solve (1) the following is used:

Minimise $\frac{1}{2} \langle w, w \rangle + C \sum_{i=1}^{n} (\xi_i + \xi_i^*)$

subject to

$$y_i - \langle w, x_i \rangle - b \leq \varepsilon + \xi_i$$

$$\langle w, x_i \rangle + b - y_i \leq \varepsilon + \xi_i^*$$

$$\xi_i, \xi_i^* \geq 0.$$

The complexity factor $C > 0$ is used to mathematically enforce more importance on the flatness of $f(x)$ or the amount up to which deviations larger than $\varepsilon$ are tolerated. Equation (3) shows the approach that is used for seasonal TC prediction. Further information on support vector regression is provided by Smola and Schölkopf [22].

### 3. Results

#### 3.1. Selection of Explanatory Variables.

To decide which explanatory variables to use, an initial correlation analysis has been performed. As seasonal prediction has to be performed in October before the TC season starts, only values for September or earlier months can be used for forecasting. The values for the preceding months July, August, and September were investigated and also the two and three month averages for August and September and July, August and September. Results for several potential explanatory variables in AR are shown in Table 1 ranked by absolute correlation. Table 2 presents the results of correlation analysis for SPO; however, explanatory variable are listed in the same order as in Table 1 rather than ranked by absolute correlation, to assist readers in comparing the results for both regions. The explanatory variables with a high absolute correlation would presumably work well when used in a model to predict TC activity. This analysis has been performed separately for AR and SPO region to select the most promising predictors for each region. As for AR the best track data were available until the 2011-12 season; correlations between number of TCs and the explanatory variables have been investigated over the 1970-71–2011-12 period. For SPO this period was 1970-71–2010-11.

This analysis assisted us in selecting the indices with high correlation with regional TC activity. High correlation with some indices could be easily traced to teleconnections with

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**Table 1: Correlation with number of TCs in AR.**

<table>
<thead>
<tr>
<th>Explanatory variable</th>
<th>Month with highest correlation</th>
<th>Correlation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Niño4 SST anomalies</td>
<td>September</td>
<td>−0.672</td>
</tr>
<tr>
<td>Dipole Mode Index</td>
<td>September</td>
<td>−0.615</td>
</tr>
<tr>
<td>Niño3.4 SST anomalies</td>
<td>Average Aug/Sep</td>
<td>−0.611</td>
</tr>
<tr>
<td>5VAR index</td>
<td>August</td>
<td>−0.597</td>
</tr>
<tr>
<td>El Niño Modoki Index</td>
<td>September</td>
<td>−0.590</td>
</tr>
<tr>
<td>SOI</td>
<td>August</td>
<td>0.583</td>
</tr>
<tr>
<td>Niño3 SST anomalies</td>
<td>September</td>
<td>−0.523</td>
</tr>
<tr>
<td>MEI</td>
<td>September</td>
<td>−0.520</td>
</tr>
<tr>
<td>Niño1 + 2 SST anomalies</td>
<td>September</td>
<td>−0.289</td>
</tr>
</tbody>
</table>
Table 2: Correlation with number of TCs in SPO.

<table>
<thead>
<tr>
<th>Explanatory variable</th>
<th>Month with highest correlation</th>
<th>Correlation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Niño4 SST anomalies</td>
<td>August</td>
<td>–0.157</td>
</tr>
<tr>
<td>Dipole Mode Index</td>
<td>August</td>
<td>–0.216</td>
</tr>
<tr>
<td>Niño3.4 SST anomalies</td>
<td>September</td>
<td>0.056</td>
</tr>
<tr>
<td>5VAR index</td>
<td>September</td>
<td>0.055</td>
</tr>
<tr>
<td>El Niño Modoki Index</td>
<td>Average Aug/Sep</td>
<td>–0.307</td>
</tr>
<tr>
<td>SOI</td>
<td>August</td>
<td>0.064</td>
</tr>
<tr>
<td>Niño3 SST anomalies</td>
<td>September</td>
<td>0.149</td>
</tr>
<tr>
<td>MEI</td>
<td>July</td>
<td>0.127</td>
</tr>
<tr>
<td>Niño1+2 SST anomalies</td>
<td>Average Jul/Aug/Sept</td>
<td>0.252</td>
</tr>
</tbody>
</table>

the environment favourable for TC genesis and development in the selected regions of the Southern Hemisphere [8]; for example, Niño4 SST anomalies in AR (SPO) could be explained through cooler (warmer) SST in the TC genesis region. On the other hand, for some indices it is not so straight forward, for example, negative (positive) Niño+1-2 SST anomalies in AR (SPO) which we found in this study. In addition, we found that combination of explanatory variables gives better results than using only one variable (details are in following sections).

Concurrently, exploring variants of ENSO Modoki for the seasonal prediction of Coral Sea TC activity, Ramsay et al. [23] also found that predictive skill is maximised when indices capturing the relative changes to equatorial SSTs in the Pacific are included; hence, the use of Niño 4 and Niño+1-2 together in their study too. While further detailed research is required to explain teleconnections which have some influence there, it is clear that the combination of Niño indices describes better the basinwide equatorial Pacific SST anomaly variations than any Niño index alone. However, correlation between the indices should be taken in consideration when combining them. For example, Niño4, Niño3, and Niño3.4 indices are strongly correlated (using the data from http://www.cpc.ncep.noaa.gov/data/indices/erst3b.nino.mth.81-10.ascii one can find a correlation of 0.77 for September Niño4 with Niño3 (1970–2012) indicating that these indices indeed similarly explain SST variability in the central Pacific). On the other hand, correlation for Niño+1-2 with Niño4 is only 0.59 which suggests that a combination of these two indices would add value to more comprehensive description of basinwide changes related to ENSO. Note the Niño+1-2 and Niño4 indices have previously been combined into the Trans-Niño index (http://www.cgd.ucar.edu/cas/catalog/climind/TNI_N34/) so there is a history of using these together. However, to the best of our knowledge, there is no literature on relationship between Niño+1-2 variability and Australian climate. The physical link between Niño+1-2 and the variability of TCs in Australian and SPO regions will be a subject of our future research but is beyond the scope of the current study.

The aim of this analysis was to select the months with the highest correlation for all potential model variables. In the remainder of this paper the months in Tables 1 and 2 will be used to model TC activity for AR and SPO, respectively.

3.2 Support Vector Regression Models. For both AR and SPO the combination of explanatory variables Niño+1-2 SST anomalies, Niño4 SST anomalies, and DMI has the best forecasting performance. This was tested using leave-one-out cross-validation. Although the El Niño Modoki Index had the highest correlation with number of TCs in SPO, utilising this variable in the support vector regression resulted in worse forecasting performance than the model that was finally selected. As a result of the correlation analysis the values of the explanatory variables are taken from different months for AR and SPO. The support vector regression model for AR uses September values for all three explanatory variables, while for SPO the three-month average over July, August, and September is used for Niño+1-2 SST anomalies and the August value for Niño4 SST anomalies and DMI. The explanatory variables have been normalised before support vector regression was applied.

Both AR and SPO support vector regression models used the polynomial kernel $K(x, y) = (x, y)^p$. In support vector regression input variables are mapped into a feature space using this kernel function. The regression function then aims to separate data points using boundaries in this feature space. The polynomial kernel allows for linear separation (exponent $p = 1$) or nonlinear separation boundaries (exponent $p \geq 2$) [24]. For our data set we obtained the best results using linear separation $(p = 1)$. The algorithm for support vector machines for regression used the adaption of the stopping criterion by Shevade et al. [25]. The complexity parameter C was optimised using cross-validation and is 1.1 for AR and 2.5 for SPO.
3.3. Cross Validation Results. Figures 2 and 3 show the results of the leave-one-out cross-validation for AR and SPO, respectively. In other words, for every year, the target season is left out of the training period and all other seasons are used to create the model and then a forecast is made for the season left out.

Goodness-of-fit statistics are given in Tables 3 and 4. In these tables $R^2$, which is the percentage of explained variance, is calculated as $R^2 = 1 - (SS_{res}/SS_{tot})$. In this formula $SS_{res} = \sum_{i=1}^{n} (y_i - f(\chi_i))^2$ is the sum of squares of the residuals, with $y_i$ the actual number of TCs for year $i$, $f(\chi_i)$ the out of sample prediction for the number of TCs in year $i$, and $n = 42$ for AR and $n = 41$ for SPO. $SS_{tot} = \sum_{i=1}^{n} (y_i - \bar{y})^2$ is the total sum of squares, with $\bar{y}$ the average actual number of TCs. A negative value of $R^2$ for SPO indicates that the variance of the errors is larger than the variance of the observations. Hence, it means that a model predicting the period average number of TCs, in this case 15, will yield a better prediction than the current LDA (Bureau of Meteorology) methodology. Adjusted $R^2$ is a measure that adjusts $R^2$ to account for the number of explanatory variables that are utilised. As $R^2$ increases when extra explanatory variables are added to a model, adjusted $R^2$ allows for a better comparison of models with a different number of explanatory variables. Adjusted $R^2 = 1 - (1 - R^2)(n - 1)/(n - p - 1))$ with $n = 42$ for AR, $n = 41$ for SPO, $p = 3$ the number of explanatory variables of the support vector regression model, and $p = 2$ for the LDA model.

First, it is clear that forecasting TC numbers for SPO is more difficult than for AR, as both models have significantly better performance for AR. The correlation analysis that was performed already showed this. Second, the graphs as well as the goodness-of-fit statistics show that the support vector regression models perform better in predicting TC numbers than the LDA method.

3.4. Independent Forecasts 2007–2011. With cross-validation the number of TCs for each fold is predicted using data from years that occur after the predicted year (except for the prediction for the final season), which is not possible in reality. To simulate the operational forecasting performance of the model, a forecast is made for the most recent seasons. Data from the 1970-71–2006-07 seasons are used to train the support vector regression and linear discriminant analysis models, in order to predict the number of TCs for the 2007-08–2011-12 seasons. For SPO the 2011-12 predictions were ignored as best track data were not yet available for this season. The results are shown in Figures 4 and 5. (In Figures 4 and 5, a TC season is indicated as a year when the season begins, e.g., for 2007-08 TC season which lasts from November 2007 to April 2008 inclusive; the season is indicated as 2007.)

This graph for AR shows that support vector regression is more consistent in forecasting TC activity than linear discriminant analysis, as it follows a similar pattern as the observed number of TCs. For SPO support vector regression also performs better than the LDA methodology, which
predicts around 15 TCs for every year and fails to capture the interannual variability in TC activity. Support vector regression for SPO performs better, although the 2008-09 TC season prediction shows it is not a very consistent model. For both models the mean absolute error (MAE) of the model prediction versus actual TC number for AR and SPO is shown in Table 5 and the standard deviation of errors in Table 6. These tables show that the MAE for AR is larger, although the standard deviation of errors for AR is smaller than for SPO. It should be noted that the sample size for this analysis is small.

In order to quantify the impact of utilising different ensembles of seasons for model development, we calculated these statistics as well (Tables 7 and 8) utilising the predictions from the cross-validation analysis. Only the seasons from 2007-08 until 2011-12 for AR and 2007-08 until 2010-11 for SPO are included to calculate MAE and standard deviation of errors.

For SPO, the MAE and standard deviation of errors are similar in the hindcasts and forecasts for the seasons after 2007-08. However, for AR we observe that the errors in the forecast are larger than in the hindcast. This could indicate that errors increase for predictions further into the future. A larger sample would be required to test this.

### Table 6: Standard deviation of errors for independent forecasts (seasons from 2007-08).

<table>
<thead>
<tr>
<th>Region</th>
<th>Support vector regression</th>
<th>LDA (Bureau of Meteorology)</th>
</tr>
</thead>
<tbody>
<tr>
<td>AR</td>
<td>1.011</td>
<td>2.441</td>
</tr>
<tr>
<td>SPO</td>
<td>1.930</td>
<td>2.600</td>
</tr>
</tbody>
</table>

### Table 7: MAE in cross validated hindcasts (seasons from 2007-08).

<table>
<thead>
<tr>
<th>Region</th>
<th>Support vector regression</th>
<th>LDA (Bureau of Meteorology)</th>
</tr>
</thead>
<tbody>
<tr>
<td>AR</td>
<td>2.223</td>
<td>3.740</td>
</tr>
<tr>
<td>SPO</td>
<td>1.896</td>
<td>2.025</td>
</tr>
</tbody>
</table>

### Table 8: Standard deviation of errors in cross validated hindcasts (seasons from 2007-08).

<table>
<thead>
<tr>
<th>Region</th>
<th>Support vector regression</th>
<th>LDA (Bureau of Meteorology)</th>
</tr>
</thead>
<tbody>
<tr>
<td>AR</td>
<td>0.979</td>
<td>1.923</td>
</tr>
<tr>
<td>SPO</td>
<td>1.942</td>
<td>2.724</td>
</tr>
</tbody>
</table>

### 4. Discussion

The aim of this study is to improve the accuracy of seasonal TC predictions, as the performance of the Bureau of Meteorology’s LDA models has been less efficient in recent years [3]. The results show that a support vector regression approach can indeed improve upon the current methodology for both AR and SPO. This research is consistent with past studies where support vector regression was used. For example, Richman and Leslie [4] have investigated the application of machine learning algorithms for seasonal prediction of TCs, concluding that support vector regression leads to better results than linear regression models. Furthermore, their study identified the Quasibiennial Oscillation (QBO) as an explanatory variable that boosts model performance. This variable is only recorded from 1979 onwards [26]. Therefore, less training data will be available for model development, as the 1970-71–1978-79 seasons cannot be used. Moreover, the physical reasons for improved model performance with QBO included as an additional variable are not clear. Analysing the influence of the QBO on TC activity, Camargo and Sobel [27] concluded that although there was a statistically significant relationship between the QBO and TCs in the Atlantic from the 1950s to the 1980s that relationship is no longer present in later years. As for other regions, only in AR is the relationship of TCs with the QBO significant for 1953–1982; however, similar to the case of the Atlantic, the significance disappears in 1983–2008. This change could possibly be attributed to changes in observational procedures [27]. Thus, inclusion of the QBO in the support vector regression model requires caution and needs further detailed investigation.

Different methods for variable selection can also be explored. The current correlation analysis ranks explanatory variables based on the correlation with observed TCs and selects the most promising month per predictor based on correlation. However, in the subsequent variable selection the variables with the highest correlation with observed TCs are sometimes not even selected in the final support vector regression model. In addition, for the region where the correlation of explanatory variables with the observed number of TCs was highest (AR), the final model utilises September values for all selected explanatory variables. For the region where the correlation was not that high (SPO),
the final model also uses values from earlier months. For example, in the support vector regression model for the SPO the three month average over July, August, and September is used for Niño1+2 SST anomalies and the August value for Niño4 SST anomalies and DMI. From a methodological perspective, it could be decided to use September values for all explanatory variables instead.

Our analysis gives some indications that errors could increase for predictions further into the future, although a larger sample size is required to obtain a conclusive answer. A prudent approach would be to update the model annually. In case annually updating the model is not possible, an approach researched by Nicholls [13] can be investigated. He suggests that predicting the change in TC numbers from last season to the upcoming season, rather than predicting the expected numbers directly from the explanatory variables, could reduce the confounding effect of possible secular changes in TC numbers, explanatory variables, or of relationships between them. A recent study by Dowdy and Kuleshov [28] confirms there has been a significant downward trend in the number of TCs in the AR over the 32-year period 1981-82 to 2011-12. Using the approach suggested by Nicholls [13] the forecasting errors for later years could possibly be reduced.

As the statistical models for AR give better results than for SPO, different explanatory variables for SPO could be explored in future research. Current explanatory variables used for SPO have a low correlation with observed TC activity. The consideration of new variables may increase model performance for SPO. An alternative approach to seasonal prediction of TCs is using coupled ocean-atmosphere dynamical climate model [10,11,29]. At the Australian Bureau of Meteorology, the Predictive Ocean Atmosphere Model for Australia (POAMA) is currently used operationally for preparing seasonal climate outlooks for AR. Superior skill of POAMA compared to statistic model for predicting seasonal rainfall in AR and SPO has been demonstrated [30]. A pilot study to explore POAMA-based methodology to predict TC seasonal activity in AR and SPO conducted under the Pacific Australia Climate Change Science and Adaptation Planning program (PACCSAP) demonstrated potential skill of the model to improve accuracy of TC forecasting comparing with current operational model [31]. These two avenues—improving statistical model-based methodology and developing new dynamical climate model-based methodology—will be further explored in our future research with the aim to improve skill of operational seasonal TC prediction in AR and SPO.

5. Summary

For AR a support vector regression model outperforms the current Bureau of Meteorology methodology based on linear discriminant analysis. In cross-validation results support vector regression reduces the standard deviation of the errors from 2.874 to 2.266. This methodology can be used to improve the accuracy of current TC predictions for AR. Similarly, the current linear discriminant analysis model has limited capability in accurately predicting SPO TC activity. Although the developed support vector regression model for SPO gives a significant improvement in performance over the current linear discriminant analysis methodology, performance is still quite low and further research is necessary to improve the skill of seasonal TC predictions in SPO.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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