

In Vivo Noninvasive Imaging for Gene Therapy

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Gene therapy is reaching a stage where some clinical benefits have been demonstrated on patients involved in phase I/II clinical trials. However, in many cases, the clinical benefit is hardly measurable and progress in the improvement of gene therapy formulations is hampered by the lack of objective clinical endpoints to measure transgene delivery and to quantitate transgene expression. However, these endpoints rely almost exclusively on the analysis of biopsies by molecular and histopathological methods. These methods provide only a limited picture of the situation. Therefore, there is a need for a technology that would allow precise, spacio-temporal measurement of gene expression on a whole body scale upon administration of the gene delivery vector. In the field of gene therapy, a considerable effort is being invested in the development of noninvasive imaging of gene expression and this review presents the various strategies currently being developed.

INTRODUCTION

Gene therapy can be defined as the introduction of genetic material into cells for a therapeutic purpose. The field itself can be divided into *ex vivo* gene therapy, where the target cells are taken from a patient, genetically engineered and reinfused into the patient and *in vivo* gene therapy, where the gene medicine formulation is directly injected into the patient. There are now some reports where clinical efficacy [1, 2, 3, 4, 5] or even complete cure [6] have been demonstrated. However, these successes remain exceptional and the large majority of phase I/II trials have failed to demonstrate any objective clinical efficacy. Therefore, the challenges of the field are to understand the reasons for the inefficacy of the current formulations in order to develop new and more efficient gene delivery vectors. For this purpose, the definition of relevant clinical endpoints is crucial. Currently, these endpoints rely on analysis of biopsies. From these patient samples, the presence and expression of the transgene can be detected by PCR, RT-PCR, or histopathological methods and in some cases enzymatic activity of the transgene can be measured. However, the information that can be gathered from this type of approach is restricted to a few cubic millimeters of biopsy material and, therefore, is only a partial reflection of the real situation *in vivo*.

Ideally, the monitoring of transgene delivery and expression should cover the whole body, should be noninvasive and could be repeated over time in the same patient to provide information on the location, magnitude, and kinetics of gene expression. The availability of such noninvasive techniques could be pivotal in the rational development of new formulations designed to selectively target

particular tissues, organs, or disease sites and, therefore, a significant effort is currently being invested by the gene therapy community to develop *in vivo*, noninvasive molecular imaging technologies.

METHODS TO DETECT GENE EXPRESSION IN VIVO IN PRECLINICAL MODELS

As in the case of *in vitro* transfection experiments, detection of gene expression *in vivo* requires a reporter gene and a technology capable of detecting its activity or presence in a particular tissue and in a noninvasive way. Two types of methodologies that are already used in medicine for other purposes are currently being adapted to usage in gene therapy: nuclear medical methods and magnetic resonance tomography. More recently, a technology exploiting the fact that bioluminescence can travel through tissues and be detected by very sensitive cameras has been developed.

The general principle is that upon expression of the reporter gene the biodistribution of a tracer molecule is altered, leading to its local concentration at the site of reporter gene expression. Three types of reporter genes are currently being considered and developed: enzymes, receptors, and transport proteins (Figure 1). A general overview of the different reporter genes (proven or potential) is presented in Table 1.

Enzymes

The reporter gene can be an enzyme expressed inside the cell that alters a labelled compound. The most common approach consists of the phosphorylation of a

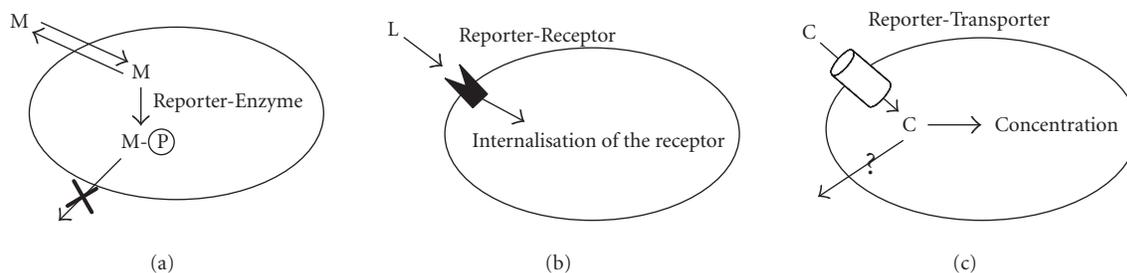


FIGURE 1. General principles of biological imaging. (a) Enzymes as reporter genes; upon expression of the reporter gene, the imaging marker (M) that can freely cross the plasmic membrane is metabolised within the cell (the most commonly used enzymatic reaction is a phosphorylation). As a result, the phosphorylated marker becomes incapable of recrossing the plasmic membrane and is trapped within the cell. (b) Receptors as reporter genes; upon expression of the reporter gene, the imaging ligand (L) binds to its receptor, resulting in the accumulation of the detectable ligand in the transduced tissue. This signal can be amplified when the receptor gets internalised. (c) Transporter as reporter genes; upon expression of the transporter, the imaging compound (C) is selectively transported into the cell where it concentrates. Depending on its nature, the imaging tracer is either trapped into the cell, or released when the extracellular concentration decreases (question mark), leading to a transient signal.

TABLE 1. Reporter genes and corresponding probes for in vivo imaging.

Reporter genes	Mechanism	Imaging agents	Imaging	References
Cytosine deaminase	Deamination	5- ^{19}F fluorocytosine	MRS	[50]
		^{131}I FIAU, ^{131}I FIAU	SPECT, gamma camera	[51, 52]
		^{124}I FIAU	PET	[53]
		$^{123/125}\text{I}$ FIAU	Gamma camera	[54]
HSV1- <i>tk</i>	Phosphorylation	^{14}C GCV, ^3H GCV	Autoradiography	[55, 56]
		^{18}F GCV	PET	[57, 58]
		^{18}F PCV	PET	[14]
		^{18}F FHPG	PET	[59, 60]
		^{18}F FHBG	PET	[12]
HSV1- <i>sr39tk</i>	Phosphorylation	^{18}F PCV, ^{18}F FHBG	PET	[10, 12, 61, 62]
D2R	Receptor-ligand	^{18}F FESP	PET	[13]
Mutant D2R	Receptor-ligand	^{18}F FESP	PET	[11]
		^{111}In DTPA-D-Phe ¹ -octreotide	Gamma camera	[63]
Somatostatin receptor	Affinity binding	^{64}Cu -TETA-octreotide	Tissue dose counting	[64]
		^{188}Re -somatostatin analogue, $^{99\text{m}}\text{Tc}$ somatostatin analogue	Gamma camera	[65, 66, 67]
Na/I symporter	Active transport	^{131}I , ^{123}I	Gamma camera	[24, 30, 68]
		PET		[69]
Luciferase	Luciferin reaction	Bioluminescence	CCD camera	[41, 70]
Cathepsin D	Quenched fluorochromes	Fluorescence activation	CCD camera	[71]
Metalloproteinase	Quenched fluorochromes	Fluorescence activation	CCD camera	[72]
β -galactosidase	Hydrolysis of β -glycoside bond	EgadMe	MRI	[73]
Mutated transferrin receptor	Receptor-ligand	Tf-MION	MRI	[40, 74]
Creatine kinase	Dephosphorylation	Phosphocreatine	MRS	[75]
Arginine kinase	Dephosphorylation	Phosphoarginine	MRS	[76]

substrate that can cross the plasma membrane of mammalian cells. The phosphorylated product becomes inca-

pable of traversing the cell membrane and gets trapped inside the cell.

The first tracers were developed for cytosine deaminase [7, 8] but cellular uptake proved slow and this system was superseded by an evolution of tracers that have been created for the prodrug-activating enzyme herpes simplex virus-thymidine kinase (HSV-1-Tk, [9]). In the search for medications against herpes simplex virus, compounds have been found that are preferential substrates for the HSV-1-*tk* rather than for cellular thymidine kinase. Radiolabelled derivatives have been produced that can be used for imaging. Currently, FPCV (8-[¹⁸F]fluoropenciclovir) is the tracer compound that enables the highest sensitivity even with weak expression of HSV-1-*tk*. A mutated HSV-1-*tk* (HSV1-sr39tk) featuring a higher specificity to acycloguanosines such as FPCV provides a further increase in sensitivity [10]. Adenoviral delivery of CMV-driven HSV1-sr39tk probed with FHPG (9-[(3-[¹⁸F]fluoro-1-hydroxy-2-propoxy) methyl] guanine) induced uptake of 8.5% ID/g in the liver of nude mice if 2×10^9 plaque forming units (pfu) were injected intravenously [11]. Following intratumoural injection of a similar adenovirus at a dose of 1×10^9 pfu an FHPG concentration of 6.3% ID/g has been described [12]. Images can be obtained about one hour after tracer injection [11].

Receptor binding

Much experience exists in the imaging community with tracers that bind to surface receptors. These receptors can be expressed as transgenes and can serve as reporter genes together with their specific labelled ligand.

Dopamine receptor

The dopamine D2 receptor can be expressed as a transgene in the cell membrane to induce binding of the ligand FESP (3-(2'-[¹⁸F]fluoroethyl)piperone) which can be imaged by positron emission tomography (PET) [13]. Because ectopic expression of the D2 receptor sensitises cells to circulating adrenergic signals, a mutated receptor (D80RA) has been reported uncouples ligand binding from intracellular signal transduction [11]. When nude mice were intravenously injected with 2×10^9 pfu, adenovirus in which CMV drives either D2R or D2R80A, binding of 17.5% ID/g liver of FESP was observed [11]. FESP requires about 3-hours binding time before obtaining the image [11]. In a direct comparison of the D2 receptor/FESP combination and the HSV-1-*tk*/FPCV system, similar results were obtained [14].

Somatostatin receptor type 2 (SSTR2)

Radiolabelled somatostatin analogues, such as [¹¹¹In]octreotide, are routinely used in the clinic for the detection of rare neuroendocrine tumours expressing the SSTR2. Expression of the receptor in tissues by gene delivery has been shown to lead to uptake of the ligand. When subcutaneous tumours in nude mice were injected with 1×10^9 pfu adenovirus carrying the *sstr2* gene driven

by a CMV promoter, 8% ID/g located to the tumour if probed with [^{99m}Tc]P2045, another somatostatin analogue. The time delay between injection and imaging was 5 hours [15]. In another study, intraperitoneal injection of 1×10^9 pfu of the same virus led to uptake of 2.2% ID/g [^{99m}Tc]P2045 in an intraperitoneal nude mice model for ovarian cancer [16]. The native SSTR2 activates intracellular signalling pathways resulting in cell cycle arrest [17]. The engineering of a mutated variant has been recommended [11] although the potential growth arrest properties of the SSTR2 receptor may be beneficial for application in cancer gene therapy [18, 19].

Transporter proteins: the Na/I symporter (NIS)

Transport proteins have high specificity for certain compounds and can be expressed in the cell membrane as reporter genes. They use active transport to concentrate the labelled compound in a defined compartment such as the cell cytosol.

Figure 1 illustrates the function of NIS in the thyroid gland. Driven by the sodium gradient across the basal membrane it transports iodide into the cytoplasm and concentrates it twenty to forty fold [20]. Iodide then leaves the cytoplasm entering the thyroid follicle through the apical membrane by facilitated transport, a process which involves at least one (pendrin) [21]. NADPH oxidase on the luminal side of the apical membrane generates H₂O₂ which oxidizes iodide to iodine through the action of thyroperoxidase (TPO). TPO allows binding of iodide to the tyrosine residues in the thyroglobulin present in the thyroid follicle. Iodine is therefore trapped in the thyroid follicle and is organified.

Soon after the cloning of the rat NIS gene in 1996 [22], imaging of the transgene in nonthyroid cells was demonstrated by gamma camera [23]. Intratumoural injection of 2×10^9 adenovirus, in which NIS expression is controlled by the immediate-early CMV promoter control, was shown to redirect 11% ID/g of the injected radioiodine to the tumour [24].

Imaging using NIS offers several advantages. Iodide is a tracer without requirement for radiochemistry and this has significant logistic and cost advantages. Decaying isotope does not produce cold tracer but disappears from the system. Iodide has several isotopes with different nuclear physical properties that are widely used in different imaging protocols. [^{99m}Tc]pertechnetate can be used in place of iodide and is the tracer of choice for thyroid scintigraphy. [¹⁸⁸Re]pertechnetate, a powerful beta emitter, is transported by NIS in a similar way to [^{99m}Tc]pertechnetate and has been suggested for targeted radiotherapy delivering higher tissue doses than can be achieved with ¹³¹I [25]. These two isotopes can easily be obtained from generators. However, one potential limitation of the system is that NIS alone is incapable of the organification of iodide. Therefore, the accumulation of iodide can be predicted to be a dynamic phenomenon, largely dependent on the clearance of the tracer and for

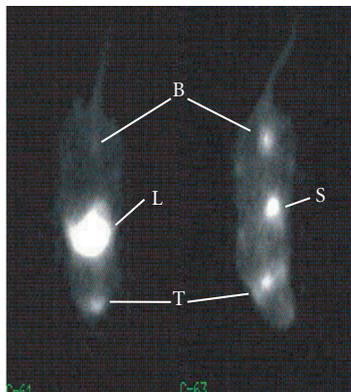


FIGURE 2. Imaging of hNIS expression by PET. Individual coronal slices from PET images of two nude mice intravenously treated with 5×10^7 GFU adenovirus in which a CMV promoter drives hNIS expression (left) and a PBS-treated control (right) followed, 72 hours later, by injection of [^{124}I] iodide as a tracer. In both pictures, the chosen slice lies at the level of the thyroid region (T). Tracer is seen in the stomach (S) and in the bladder (B) of the control animal. Tracer uptake in the treated animal is in the upper abdomen consistent with adenoviral homing to the liver (for detailed experimental conditions, see [69]).

which this clearance effect will vary between organs. A PET image is presented in Figure 2.

Transgenes with combined therapeutic and in vivo imaging potential

By definition, reporter genes should demonstrate a total lack of toxicity. However, an attractive alternative would be able to monitor the expression of a therapeutic transgene. This would combine monitoring of gene expression with monitoring of the efficacy of the treatment which has implications in reducing the cost of these experiments. Such an approach has been developed in cancer gene therapy where a large number of studies have aimed to develop tracer compounds that could be used to detect the activity of prodrug-converting enzymes (HSV-1-*tk*, cytosine deaminase).

In this context, the potential of the human NIS gene (hNIS) transfer for targeted radiotherapy has to be recognised. Concentration of radioiodine for the treatment of differentiated metastatic thyroid cancer has been successfully utilized since 1943 [26]. Several authors have reported the effect of high doses of radioiodine on experimental tumours following expression of NIS [27, 28]. In some cases, tumour reduction was demonstrated in permanently NIS-expressing cell lines [29]. The biological half-life of radioiodine in these studies is usually short [24, 30] and the benefit of a therapeutic dose has been shown in only one in vivo model [27]. From clinical reports it is known that failures of radioiodine therapy are linked to a short biological half-life of activity in the tumour [31] and pharmacological agents such as lithium have been described to prolong the biological half-life of iodide thyroid tissue [32]. Differentiated

thyroid tissue generally expresses NIS and TPO and maintains the capability of retaining activity by organification. Coexpression of thyroid peroxidase has recently been reported in vitro but the effect on iodide retention is limited [33, 34].

Finally, the somatostatin receptor SSTR2 has been described to induce cell cycle arrest [17] and reports have demonstrated that this effect can provide therapeutic benefits in pancreatic carcinoma models [18, 19].

NON-NUCLEAR-MEDICINE METHODS

Nuclear medical methods currently offer the greatest potential to be translated into clinical applications. They are highly sensitive, provide good time resolution, and acceptable spatial resolution. But alternative methods are currently in development.

Magnetic resonance imaging (MRI)

MRI techniques have recently shown some remarkable images at very high anatomical resolution in small animals [35], during development [36, 37] and in clinical practice. However, compared to nuclear techniques, the temporal resolution remains limited and the detection of the probes by MRI is several orders of magnitude lower. In an attempt to improve the sensitivity of MR for gene therapy applications, an engineered transferrin receptor has recently been used to shuttle and accumulate superparamagnetic nanoparticles into the cells [38]. The MR tracer consists of 3 nm monocristalline iron oxide nanoparticles (MION), sterically protected by a layer of dextran [39]. These MION can be covalently conjugated to the human holotransferrin (Tf-MION). The use of Tf-MION allows an amplification of the signal due to the fact that an average of 2064 Fe molecules are taken up through the transferrin receptor, as opposed to two molecules of Fe in holotransferrin. Upon binding of the Tf-MION to the transferrin receptor, the complex is internalised and MION are accumulated into the endosome. The proof of principle of noninvasive in vivo imaging of gene expression has been demonstrated [40] but it is likely that the development of complementary strategies will be necessary before MRI imaging can be used as a gene therapy tool in the clinic or even in preclinical models.

Detection of bioluminescence

Very recently, some highly sensitive devices capable of detecting and quantifying bioluminescent light have been designed. These devices are capable of detecting photons that are transmitted through mammalian tissues from internal sources [41]. This imaging of very weak visible light is rendered possible by the use of charged coupled device (CCD) cameras that include microchannel plate intensifiers and liquid nitrogen-cooled detectors. This technology aims at enhancing signal-to-noise ratio by decreasing the background (cooling) or amplifying the signal (intensifiers). These instruments are now commercially available.

TABLE 2. Comparison between SPECT and PET.

Method	Advantages	Disadvantages	In vivo animal use	Clinical use
SPECT	<ul style="list-style-type: none"> - resolution limited by technology only (submillimeter) - low sensitivities - can differentiate between isotopes with different radiation energies 	<ul style="list-style-type: none"> - 2D (planar images) and reconstructed 3D - semiquantitative data only 	<ul style="list-style-type: none"> - converted clinical cameras (pinhole collimator) - dedicated cameras evolving 	<ul style="list-style-type: none"> - readily available and in widespread use - wide range of clinically tested tracers
PET	<ul style="list-style-type: none"> - high sensitivity - 3D acquisition - good resolution, but with a physical limit - quantification possible 	<ul style="list-style-type: none"> - short-lived isotopes - isotopes produced in cyclotrons - expensive tracer production - expensive equipment - higher tissue doses, but balanced by higher sensitivity 	<ul style="list-style-type: none"> currently evolving: - HIDAC - microPET 	<ul style="list-style-type: none"> - [¹⁸F]FDG becoming routine in oncology - special applications in neurology and cardiology

The gene therapy applications of this technology use luciferase genes as reporter genes. Upon addition of luciferin, the product of the luciferase gene produces luminescence. In addition, luciferin has shown a remarkably good and rapid biodistribution that allows detection of gene expression in vivo feasible [42]. Most commonly, the firefly luciferase gene is employed but other luciferases emit light at different wavelengths. This allows the monitoring of different transgenes, simultaneously in the same animal [43]. More recently, this technology has been used successfully in preclinical models of diseases [44, 45]. However, if this technology appears to be very effective in small animals (mice, rats), there is no evidence that bioluminescence imaging will be adaptable to larger animals, where the distances between organs and the skin are greater and, therefore, the photonic signal is scattered and attenuated. In addition, the signal is bidimensional and tomographic images cannot be obtained by this method. Therefore, bioluminescence detection currently appears to be limited to the laboratory. An exception to this could be clinical gene therapy for skin or ocular diseases in which the target tissue is directly accessible to the detector. However, this assumption is highly hypothetical and, to our knowledge, no clinical protocol for such human studies has been submitted to the regulatory bodies.

NUCLEAR MEDICAL DETECTION METHODS

The benefits and shortcoming of two main nuclear imaging technologies and their potential for application in the laboratory animal and in the clinic are outlined in Table 2. Nuclear medical methods of gene expression imaging offer sufficient sensitivity and currently hold the best potential to be scaled up for use in patients. The principle methods are gamma cameras, single photon emission-computed tomography (SPECT), and positron emission tomography (PET).

Single photon emission-computed tomography (SPECT)

SPECT uses arrays of detectors to identify individual photons emitted by the isotope independent of their direction. A directed view is obtained by fitting metal collimators. Acting like blinkers, they filter out all photons not travelling in a certain direction (eg, either right angle to detectors or through a pinhole) relative to the detector panel. However, the greatest problem with SPECT in respect to gene therapy applications is its lack of potential for quantitation.

Positron-emitting tomography (PET)

Because of its potential in terms of quantitation as well as its high sensitivity, PET appears to be the technique of choice to collect information on the location, magnitude, and kinetics of gene expression upon delivery of genetic material.

Detection in a PET scanner requires tracers that incorporate positron-emitting isotopes. These isotopes decay by a number of different decay mechanisms. In a certain percentage of these decays, positrons are released. The positron will travel for some distance, defined by its energy and by the surrounding matter, before being annihilated when hitting an electron. Two photons of 511 MeV are created by the annihilation event and these travel in opposite directions at an angle of almost exactly 180°. PET scanner detectors register all events in a ring of detectors around the radioactivity source but process only events that occur simultaneously within a certain time window (Figure 3c). The annihilation event must have taken place on a line between these two detectors recording the event. Reconstruction from the raw data is performed by different mathematical methods that can be either back-projections or iterative reconstruction methods. They differ in their resolution,

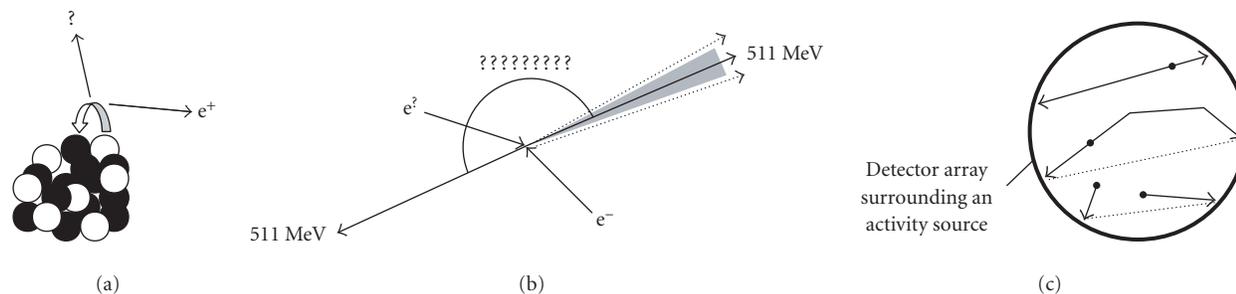


FIGURE 3. (a) A positron and a neutrino are released when a proton becomes a neutron. (b) Two annihilation photons travel away from each other at $180 \pm 0.25^\circ$. (c) The scanner records simultaneous events within a 10–80 ns time window. These are from true coincidences, scattered coincidences, and random coincidences from independent annihilation events. Single events are not processed further (see [77]).

TABLE 3. Energy and half-life characteristics of selected positron-emitting isotopes.

Isotope	Half-life	Maximum positron energy	Maximum range	Spatial resolution (FWHM)	Radiation dose with internal intake {890}
^{18}F	109 min	0.63 MeV	2.6 mm	0.22 mm	0.049 mSv/MBq
^{11}C	20 min	0.96 MeV	4.2 mm	0.28 mm	0.024 mSv/MBq
^{13}N	9.9 min	1.2 MeV	5.4 mm	0.35 mm	n/a
^{15}O	122 s	1.74 MeV	8.4 mm	1.22 mm	n/a
^{82}Rb	1.3 min	3.15 MeV	17.1 mm	2.6 mm	n/a
^{124}I	4.2 d	2.14 MeV		$\sim 1.5 \text{ mm}$	13 mSv/MBq
^{64}Cu	12.7 h	3.3 MeV			0.12 mSv/MBq
^{120}I	81 min	5.6 MeV			n/a

resolution-noise ratio, contrast, and required processing time [46].

Spatial resolution in PET imaging has a physical limit (a) because of the distance the photon travels between the nuclear decay and the annihilation event and (b) because the angle between the two annihilation photons deviates slightly around 180° (Figure 3b). The former is influenced by the choice of isotope (Table 3), the latter is improved with smaller dimensions of the scanner. Spatial resolution is also reduced by scattering of the photons in tissue altering the angle between them (“Scattered,” in Figure 3c). The statistical quality of the image reconstruction is reduced by photons that are simultaneously registered but originate from different events (“Random,” in Figure 3c) and by multiple simultaneous registrations. Both (a) and (b) increase when the count rate approaches the saturation rate of the detection system.

Another artifact arises from attenuation of photons when travelling through the tissue. Signals from near the body surface and in the lungs are registered with relatively higher intensity. This is of less importance for smaller animals but for patient imaging attenuation correction can be performed during image reconstruction.

Positron-emitting isotopes are generally short-lived (Table 3). They are produced in cyclotrons that should be near the laboratory or hospital where tracer synthesis, transport, and application take place. Small variations

in timing have important consequences. The tissue dose from positron-emitting isotopes is relatively high because the energy of the positron is completely absorbed in the tissue. This is balanced by a short half-life but is more significant for ^{124}I with a relatively long duration in the tissue.

HUMAN STUDY

The development of these new technologies is just reaching the clinic and, to our knowledge, only one report has been published on the use of in vivo molecular imaging in clinical gene therapy [47]. This study was preceded by the establishment of the pharmacodynamic and biodistribution parameters of the radioactive tracer [^{124}I] FIAU (to monitor HSV-1-*tk* expression) in humans [48], with a particular emphasis on the head region. This radioactive tracer was shown not to be able to cross the blood-brain barrier in normal brain but showed rapid and nonspecific accumulation within recurrent glioma [48], demonstrating that [^{124}I] FIAU was a useful tracer when the blood-brain barrier was disrupted. In a follow-up study, this tracer was used in a small clinical trial testing liposomal intratumoural delivery of the HSV-1-*tk* gene to patients with recurrent glioma [47]. The authors demonstrated accumulation of [^{124}I] FIAU, indicative of HSV-1-*tk* expression, in the immediate periphery of the needle tract in one

out of five patients. In this patient, the overall therapeutic effect was limited to a portion of the tumour. However, in the four remaining patients, histology sections of the tumour showed a significant decrease in the number of proliferating cells. This observation tends to indicate that a critical threshold of gene expression has to be reached before HSV-1-*tk*-associated FIAU could be detected by PET. The authors concluded that the extent of HSV-1-*tk* expression appeared to predict the therapeutic response. However, the overall [^{124}I] FIAU accumulation, as well as the therapeutic response were limited [47].

CONCLUDING REMARK

There is little doubt that using whole body, noninvasive imaging technology will help to design gene therapy formulations tailored to target diseases. But the need for clinicians to monitor gene expression, and more generally to monitor biodistribution and pharmacodynamics of gene therapy formulations in a more precise and quantitative way has been sadly highlighted by the death of Jesse Gelsinger, who became the first person to die from experimental techniques of gene therapy. Following his death, one of the recommendations of the Recombinant DNA Advisory Committee (RAC) of the National Institute of Health in the USA was to develop better ways for measuring transgene expression in cells and tissues in order to improve the safety of these interventions [49].

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