Obstetric and iatrogenic traumatic anal incontinence can be treated successfully in approximately 80% of the patients by reconstructing the external anal sphincter and pelvic floor muscles (1-3). The success rate for treatment of obstetric tears seems, however, to depend on the age of the patient, with poorer results achieved in women over the age of 40 years (4). In patients with idiopathic incontinence treated by postanal repair, acceptable long term results are only obtained in a moderate fraction of patients, probably not more than 25% (5). Consequently, a group of patients, which include those with severe destruction of the external anal sphincter and those in whom local sphincter repair has failed, need other surgical treatment modalities. These include transposition of skeletal muscle around the anal canal, usually combined with the implantation of a neurostimulator (6,7); implantation of stimulating electrodes around the sacral nerves S2-S4 that innervates the external anal sphincter and pelvic floor muscles; and implantation of an artificial anal sphincter. The present review deals with the latter modality, first described in 1987 (8), which also is applicable to patients who are incontinent due to neurological diseases.

DESCRIPTION OF THE ARTIFICIAL ANAL SPHINCTER AND SURGICAL TECHNIQUE

The artificial sphincter used in the studies referred to in this review is made of silicone and consists of three parts: a cuff that is placed around the anal canal, a pressure regulating balloon and a pump (Figure 1).

The patient is placed in the lithotomy position. The peri-anal approach may be through two incisions at 3 and 9 o’clock or through one curved incision anteriorly. A tunnel is created around the anal canal by blunt dissection, and pulleys are created posteriorly using the anococcygeal raphe and anteriorly by using the raphe of the transversus perinei muscle when possible. This ensures that the cuff remains in the correct position around the anal canal. The optimal position is at the level of the anorectal junction. Cuff length is measured precisely to obtain the best fit using a specially designed cuff sizer placed in the tunnel around the anal canal.

MINI-REVIEW

J Christiansen. The artificial anal sphincter. Can J Gastroenterol 2000;14(Suppl D):152D-154D. The artificial anal sphincter as treatment for end stage anal incontinence was first described in 1987. Published series concern a total of 42 patients, with a success rate of approximately 80%. Infection has been the most serious complication, but a number of technical complications related to the device have also occurred and required revisional procedures in 40% to 60% of the patients. The artificial anal sphincter may be used for the same indications as dynamic graciloplasty except in patients with a previously irradiated or severely scarred perineum.

Key Words: Anal incontinence; Artificial anal sphincter; Neurological disease

Sphincter anal artificiel

RÉSUMÉ : La pose d’un sphincter anal artificiel pour le traitement de l’incontinence anale en phase terminale a été décrite pour la première fois en 1987. Des séries publiées portant sur un total de 42 patients font état d’un taux de réussite d’environ 80 %. Les infections se sont avérées la complication la plus sérieuse, mais des complications techniques liées au dispositif ont également nécessité une réintervention dans 40 à 60 % des cas. Les indications de la pose d’un sphincter anal artificiel sont les mêmes que celles de la graciloplastie dynamique, sauf chez les patients dont le périmètre a déjà été irradié ou est grandement cicatrisé.
A pressure regulating balloon, which can produce a pressure up to 100 cm H2O, is placed extraperitoneally on the left or right side of the bladder. Through the same incision, the pump is placed in the scrotum or labium majus, and finally the three components are connected through subcutaneous tunnels with silicone tubings. The system is filled with a diluted radiopaque fluid. Defunctioning enterostomy was used routinely in only one of the published series (9). Perioperative antibiotic prophylaxis was given from two to five days after implantation.

**INDICATIONS AND RESULTS**

In the first published series (10), the main indication for implantation of an artificial anal sphincter was fecal incontinence due to neurological diseases, because other treatment options were not available for patients with incontinence of this etiology. In later series the indication was mainly the failure of other types of incontinence surgery and anal atresia. Primary implantation has also been performed in patients with severe traumatic destruction of the anal sphincter and in patients for whom local sphincter reconstruction was considered with no prospect of success (11).

An overview of the published series is given in Table 1. All series are small, and follow-up in a number of patients was rather short. In the most recently published study (12), the median follow-up was 30 months. Approximately 80% of the patients have obtained a successful improvement of anal continence, but a number of revisions and reoperations have been necessary to reach this final result.

In the author’s series (10) of patients who had an artificial sphincter implanted more than five years ago, half still have the system functioning with a satisfactory result. As mentioned above, this series mainly consists of patients with incontinence due to neurological diseases, an especially difficult group to treat.

In those studies where anal manometry was performed both before and after the implantation, resting pressure increased markedly when the cuff was inflated, usually between 75% and 150% (10,11,13). No correlation between pressure increase and clinical result was demonstrated (11). The length of the functional anal canal was dependent on the width of the cuff used (which varied between 2 and 2.9 cm), but no comparison with preoperative measurements was performed.

**COMPLICATIONS**

A number of complications have been reported in all studies. Implantation of foreign material in the anorectal region is likely to carry a higher risk of infection than implantation in other parts of the body, and infection has been a problem in all series, although to a lower degree than might have been expected. Explantation of the device due to infection varied between 15% and 17% in the different series (9-13). Preliminary results from an American multicentre trial gave an explantation rate due to infection of 23% (14). To reduce the infection rate, a meticulously perioperative regimen is necessary; it should include an effective antibiotic prophylaxis as well as strict attention to some technical details. It is essential that the cuff is placed around the anal canal in a such way that it does not slide down against the perineal skin and cause erosion. This is accomplished by placing the cuff above the anococcygeal raphe posteriorly and over the corresponding raphe or muscle anteriorly. In patients with anal atresia, these structures are often rudimentary and the cuff should be placed as high up as possible.

Rates of revisional surgery due to device-related complications vary between 40% and 60% (9-11). The complications mainly were rupture of the cuff or balloon and leakage of fluid from the system. Because the system is filled with a radiopaque fluid, rupture may easily be diagnosed by x-ray (Figure 2).

Impaired rectal evacuation necessitating enemas has been reported in 10% to 25% of the patients (10,11). In the author’s series (10), rectal evacuation problems were the reason for explantation in one patient.

![Figure 1](image1.png)

**Figure 1** Artificial anal sphincter with the cuff placed around the anal canal, the pump in the scrotum and the pressure-regulating balloon to the left of the bladder

<table>
<thead>
<tr>
<th>Series (reference)</th>
<th>N</th>
<th>Improvement</th>
<th>Failure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Christiansen et al (10)</td>
<td>10</td>
<td>8</td>
<td>2</td>
</tr>
<tr>
<td>Wong et al (9)</td>
<td>12</td>
<td>9</td>
<td>3</td>
</tr>
<tr>
<td>Lehar et al (11)</td>
<td>10</td>
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<tr>
<td>Vaizey et al (13)</td>
<td>6</td>
<td>5</td>
<td>1</td>
</tr>
<tr>
<td>Lehar et al (12)</td>
<td>13</td>
<td>11</td>
<td>2</td>
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</table>

**Table 1** Published series on the treatment of fecal incontinence by implantation of the artificial anal sphincter.

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The artificial anal sphincter is one of the few options for treating end-stage fecal incontinence. The recent change of a system developed from a modified urinary sphincter to a specifically designed anal sphincter seems to reduce device-related complications. Infectious complications have been reduced in the most recent published series (12), while rectal evacuation problems still occur in a considerable number of patients. This disorder seems, however, to be managed well by enemas and laxatives (12).

The selection of patients is of the utmost importance. If the perineum is severely scarred from previous surgery or has been previously irradiated, the artificial sphincter probably should not be used. The same is true when the rectovaginal septum is very thin. In these patients dynamic graciloplasty is probably a better solution, because transplantation of a well vascularized muscle is a far more physiological procedure under these circumstances. Furthermore, a history of impaired rectal emptying probably is a predictor of a less satisfactory functional result, which, however, is also true for dynamic graciloplasty. Although the majority of patients with emptying problems after implantation of an artificial sphincter seems to accept the use of enemas and laxatives, there are some who, in view of their quality of life, will consider the treatment a failure. Because impaired rectal emptying also occurs after dynamic graciloplasty (7), this operation is not an alternative to the artificial sphincter in patients with a history of obstructed defecation before the development of anal incontinence. Sacral nerve stimulation (15), where no surgery in the anal region is performed, may be a better solution in these patients, but experience with the method is still very limited. Furthermore, according to current knowledge, it requires an intact external anal sphincter, which will limit its use for the group of patients who are candidates for an artificial sphincter.

The artificial anal sphincter is a valid therapeutic alternative for end-stage fecal incontinence in patients without severe perineal pathology. Paying strong attention to the risk of infection is still mandatory because this complication is responsible for the majority of failures. Device-related complications have been reduced considerably with the present modification of the system.

REFERENCES