Predictors of successful clinical and laboratory outcomes in patients with primary sclerosing cholangitis undergoing endoscopic retrograde cholangiopancreatography

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Endoscopic retrograde cholangiopancreatography (ERCP) in patients with primary sclerosing cholangitis (PSC) can be a challenging and sometimes gratifying opportunity for therapeutic intervention. Although there often appears to be initial radiological improvement after ERCP, the benefit as measured by serial estimations of subsequent liver enzymes is questionable. The fluctuating course of the inflammatory process makes the interpretation of serology even more difficult.

OBJECTIVES: To document and compare the liver profile and clinical status of patients before and after diagnostic and therapeutic ERCP; to determine predictors of clinical and laboratory success in patients with PSC; and to assess the complication rate of diagnostic and therapeutic ERCP in these patients.

METHODS: All patients with PSC who underwent ERCP at the authors' medical centres between January 6, 1987 and January 12, 1998 were identified using a computerized database. Presenting symptoms, liver enzymes (aspartate aminotransferase, alanine aminotransferase, alkaline phosphatase) and bilirubin were recorded before ERCP. Clinical success was defined as resolution of the presenting symptoms. Laboratory success was defined as improvement in two of three liver enzymes by at least 50%, or resolution of jaundice.

RESULTS: One hundred four patients underwent 204 ERCPs of which 56 ERCPs were diagnostic. Clinical improvement was seen in 35% of the patients after diagnostic ERCP and in 70% after therapeutic procedures ($\chi^2=18.4, P=0.001$). Laboratory improvement was seen in 35% of patients undergoing diagnostic ERCP and in 52% of the patients undergoing therapeutic ERCP ($P=0.04$). The reductions in liver enzymes were significant in both the diagnostic and therapeutic groups. Serum bilirubin level decreased significantly in the therapeutic ERCP group only. In a univariate analysis, patients with common bile duct strictures, any dominant stricture and those who underwent a therapeutic procedure were more likely to have clinical and laboratory improvement. In a multivariable logistic regression, the presence of a dominant stricture, endoscopic therapy and high serum bilirubin were all independent predictors of a successful clinical outcome. There was no difference in total complication rates (18% versus 14%) when comparing the diagnostic and therapeutic ERCP groups. However, all seven severe complications occurred in the therapeutic group.

CONCLUSIONS: First, in PSC, clinical and laboratory improvement is more common in patients undergoing therapeutic ERCP than diagnostic ERCP. Second, aspartate aminotransferase, alanine aminotransferase and alkaline phosphatase improve following both diagnostic and therapeutic ERCP, and should therefore not be relied upon to determine the success of the procedure. Third, bilirubin levels decreased in the therapeutic group but remained unchanged in the diagnostic group, suggesting that the serum bilirubin level may be a more sensitive indicator of successful therapeutic intervention than transaminases. Fourth, common bile duct strictures, dominant strictures and bilirubin levels are important variables in determining the success of an ERCP in PSC. Finally, complication rates after therapeutic ERCP are similar to those after diagnostic ERCP in PSC patients. However, severe complications occur more commonly in the therapeutic group.

Key Words: Biliary tract; Endoscopic outcomes; ERCP; Sclerosing cholangitis

Les prédicteurs d’issues cliniques et de laboratoire positives chez les patients atteints d’angiocholite sclérosante primitive qui subissent une cholangiopancréatographie rétrograde endoscopique

Chez les patients atteints d’angiocholite sclérosante primitive (ASP), la cholangiopancréatographie rétrograde endoscopique (CPRE) peut constituer une occasion redoutable et parfois gratifiante de procéder à une intervention thérapeutique. Bien qu’une amélioration radiologique semble souvent suivre une CPRE, les avantages mesurés d’après les évaluations sérielles de enzymes hépatiques sont douteux. L’évolution irrégulière du processus inflammatoire rend l’interprétation de la sérologie encore plus complexe.

OBJECTIFS : Documenter et comparer le profil hépatique et le statut clinique des patients avant et après la CPRE diagnostique ou thérapeutique. Déterminer les prédicteurs d’issues cliniques et de laboratoire positives chez les patients atteints d’ASP. Évaluer le taux de complications des CPRE diagnostique et thérapeutique chez ces patients.

METHODOLOGIE : Tous les patients atteints d’ASP qui ont subi une CPRE aux centres médicaux des auteurs entre le 6 janvier 1987 et le 12 janvier 1998 ont été dépistés au moyen d’une base de données informatisée. Les manifestations initiales, les enzymes hépatiques (sérum glutam-oxoalacétique transaminase, sérum glutamopyruvique transaminase, phosphatase alcaline) et le taux de bilirubine ont été pris en note avant la

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CPRE. The success clinicé était défini par la résolution des manifestations initiales. Quant au succès de laboratoire, il était défini par l’augmentation de deux des trois enzymes hépatiques d’au moins 50 % ou par la résolution de la jaunisse.

**RÉSULTATS :** Cent quatre patients ont subi 204 CPRE, dont 56 sub- nient à des fins diagnostiques. Une amélioration clinique s’est observée chez 35 % des patients après la CPRE diagnostique, et chez 70 % de ceux après la CPRE thérapeutique (c2=18,4; P=0,001). Par ailleurs, une amélioration de laboratoire s’est observée chez 35 % des patients après la CPRE diagnostique, et chez 52 % de ceux après la CPRE thérapeutique (P=0,04). Les diminutions des enzymes hépatiques étaient significatives tant au sein du groupe diagnostique que du groupe clinique. Le taux de bilirubine sèrique n’avait diminué de manière significative qu’au sein du groupe ayant subi une CPRE thérapeutique. Dans le cadre d’une analyse univariée, les patients ayant présenté une constrictio biliaire courte ou une constrictio dominante ou ayant subi une intervention thérapeutique étaient plus susceptibles de présenter une amélioration clinique et de laboratoire. Selon une régression logistique multivariée, une constrictio dominante, un traitement endoscopique et un taux de bilirubine sèrée élevé constitu- taient tous des prédicteurs indépendants d’issue clinique positive. On ne remarquait aucune différence dans le total des taux de complication (18 % par rapport à 14 %) lorsqu’on comparait le groupe ayant subi une CPRE diagnostique à celui ayant subi une CPRE thérapeutique. Cependant, les sept complications graves se sont produites au sein du groupe ayant subi une CPRE thérapeutique.

**CONCLUSIONS :** Premièrement, en cas d’ASP, une amélioration clinique et de laboratoire est plus courante chez les patients subissant une CPRE thérapeutique qu’une CPRE diagnostique. Deuxièmement, les taux de sérum glutamino-oxaloacétique transaminase, de sérum glutamopyruvique transaminase et de phosphatase alcaline s’amélioraient tant après la CPRE diagnostique qu’après la CPRE thérapeutique, et il ne faudrait donc pas s’y fier pour déterminer le succès de l’intervention. Troisièmement, le taux de bilirubine diminuait au sein du groupe thérapeutique, mais demeurait inchangé au sein du groupe diagnostique, ce qui laisse supposer que le taux de bilirubine sèrée peut constituer un indicateur plus sensible d’intervention thérapeutique positive que les transaminases. Quatrièmement, les constrictions biliaires courtes, les constrictions dominantes et le taux de bilirubine sont des variables importantes pour déterminer le succès d’une CPRE en cas d’ASP. Enfin, le taux de complication après un CPRE thérapeutique est semblable à celui obtenu après une CPRE diagnostique chez les patients atteints d’ASP. Cependant, des complications graves se produisent surtout au sein du groupe thérapeutique.

**METHODS**

All patients with PSC who underwent ERCP between January 6, 1987 and January 12, 1998 were evaluated. A II CPREs performed at Duke University Medical Center, USA during this period were recorded in a commercially available computerized database (GI TRAC 1.0 and 2.0 [A Kron Systems, USA]). A ppropriate patients were identified by conducting a search of the database using the diagnosis sclerosing cholangitis as the search parameter. A II biliary service records were subsequently reviewed for possible inclusion in the analysis. Only patients with primary sclerosing cholangitis were included within the study group; those with secondary sclerosing cholangitis (eg, acquired immunodeficiency syndrome cholangiopathy, oriental cholangiopancreatit, drug-related, ischemic) were excluded. Patients were identified as being in diagnostic and therapeutic groups. Diagnostic CPREs were strictly defined as those without therapeutic intervention. Therapeutic biliary interventions included sphincterotomy, stent insertion, stricture dilation, nasobiliary tube insertion or a combination of the above. Therapeutic procedures were performed as previously described (28). A thorough nasal tube insertion does not significa- ntly alter the physical characteristics of a stricred biliary tree, the fact that it bridges strictures and may be used for irri- gation led it to be identified as a therapeutic procedure.

Data collected from each patient’s record included sex, race, age, date of procedure, type and duration of symptoms, duration of disease, associated disease (eg, Cohn’s disease, ulcerative colitis), presence and location of dominant stricture and preoperative and postoperative liver serology. Specific indications for ERCP in PSC included jaundice, fever, pruritus, abdominal pain, asymptomatic elevation in liver enzymes and follow up of previous therapy. In patients in whom there were multiple indications for ERCP, the most prominent indication was selected as the primary reason for the procedure and the others were numbered in order of severity. Complications of ERCP were classified as mild (zero to four days in hospital), moderate (five to nine days in hospital) or severe (10 or more days in hospital), according to the classification of Cotton (29). Early complications were collected by reviewing the ERCP
Variables were compared with either the patients undergoing therapeutic and diagnostic ERCP. Categorical 95% CI were calculated to compare complications rates in variables were expressed as percentages. Odds ratios (ORs) and centiles) if the data were not normally distributed. Dichotomous medians and interquartile ranges (IQR: 25th to the 75th percentiles) if the data were not normally distributed. Dichotomous variables were expressed as percentages. Odds ratios (ORs) and 95% CI were calculated to compare complications rates in patients undergoing therapeutic and diagnostic ERCP. Categorical variables were compared with either the $\chi^2$ or Fisher's exact test. Continuous variables were compared using the Wilcoxon rank sum test or the t test, when indicated. Changes of liver enzyme levels were compared using a paired t test or Wilcoxon sign rank test if the data were not normally distributed. Univariate and multivariate logistic regression were performed to determine the association between the independent variables (age, sex, race, liver enzymes, presenting symptoms, the presence and location of a stricture) and the outcome of interest (success). Separate models were evaluated to determine the predictors of clinical and laboratory success. OR and 95% CI were computed from the estimated coefficients in the logistic regression. All P values were two sided, with $\alpha=0.05$ as the standard for significance.

**RESULTS**

Two hundred four procedures were performed on 104 patients with the diagnosis of PSC. Therapeutic procedures were attempted in 148 cases and diagnostic ERCP alone in 56. The most common biliary therapeutic interventions (Figure 1) were stricture dilation (40%), stent insertion (19.6%), stent extraction (12.3%) and stone extraction (9.3%). The therapeutic intervention was considered unsuccessful or incomplete in 25 (12.3%) ERCPs; therefore, these were excluded from the final analysis. The mean age of the patients was 45±13.6 years old; 63% of the patients were male and 78.9% were white. The most common presenting complaints were fever (25.5%), pain (23%) and jaundice (17%). The median duration of symptoms was seven days (IQR 2.9 to 21) and the median duration of disease was 36 weeks (IQR 12 to 71).

The predominant stricture location was the common bile duct (CBD) in 34% of the patients. The common hepatic duct was affected in 20% of patients, the hilum in 11% and the intrahepatic biliary tree in 35%. Most patients with intrahepatic disease had diffuse small duct involvement not amenable to endoscopic intervention. In 66% of the patients, however, a dominant stricture was identified.

When the therapeutic and diagnostic groups were compared (Table 1), they were found to be similar in all categories except the percentage of patients presenting with fever, in which the two groups differed significantly (P=0.05). The two groups were subsequently compared in three parameters: clinical success after ERCP, laboratory success after ERCP and complications of the procedure.

**Clinical**

Overall, 52.9% of the patients had resolution of their presenting complaints, maintained at three to six months, which met the criteria for clinical success. Clinical improvement was seen in 35% of the patients after diagnostic ERCP and in 70% of the patients after therapeutic ERCP (P=0.0001) (Figure 2). Clinical success after...
therapeutic procedures was greater for CBD strictures (73.7%) than for common hepatic duct strictures (63.0%). Although there were only 18 patients in whom therapeutic intervention was attempted for dominant hilar strictures, clinical success was attained in 13 (72.2%). In select patients with dominant strictures occurring in main intrahepatic ducts, endoscopic therapy was successful in 63.2% (12 of 19). Interestingly, considerable success in treating clinical symptoms was achieved in the diagnostic group, with 35% of patients having resolution of their presenting complaint. Most of these patients (84%) had intrahepatic disease not amenable to therapeutic intervention. Patients undergoing therapeutic endoscopy were more likely to have clinical improvement in symptoms of fever (82.4%) and pruritus (80%) than jaundice (48.3%).

Laboratory
Overall, laboratory success occurred in 41.2% of patients. Improvement was seen in 52.1% of patients undergoing a therapeutic procedure and in 35.3% of patients having a diagnostic one (P=0.04) (Figure 2). Laboratory success rates for therapeutic procedures were highest in patients with distal biliary strictures; 59.5% of patients with CBD or common hepatic duct strictures, but only in 36.8% of patients with hilar or intrahepatic strictures (P=0.022). In patients who underwent therapeutic endoscopy, those with common duct strictures were 2.5 times more likely to have clinical success than those patients with hilar or intrahepatic strictures (95% CI, 1.13 to 5.6). In patients undergoing diagnostic procedures, 62.5% of those with common duct strictures and 30.2% of those with intrahepatic strictures had improvement in liver serology. However, because of small numbers in the CBD stricture group (n=8), the differences as analyzed by Fisher’s Exact Test were not significant (P=0.112). Median preprocedure levels of serum liver enzymes were similar in the therapeutic and diagnostic groups. Although most significant improvements in serum transaminases were seen in the therapeutic ERCP group, diagnostic ERCP also resulted in significant reductions in alanine aminotransferase, aspartate aminotransferase and alkaline phosphatase (Figure 3). Changes in serum bilirubin before and after ERCP were only significant in the therapeutic group. Despite this, the mean serum bilirubin level after therapeutic ERCP was still elevated at 2.6 mg/dL (SD 4.24) (Figure 4).

Predictors of success
In a univariate analysis, patients with a dominant biliary stricture were more likely to have a successful clinical outcome (Table 2) than those without (OR=3.73, 95% CI, 1.95 to 7.13). In addition, patients with a CBD stricture were more likely to have a successful clinical outcome than those with other stricture locations (OR=2.47, 95% CI, 1.27 to 4.81). Endoscopic therapy was an independent determinant of clinical success (OR=4.23, 95% CI, 2.15 to 8.34). Similar outcomes are found when analyzing laboratory improvement in patients with PSC undergoing ERCP (Table 2). In multivariable logistic regression (Table 3), the presence of a dominant stricture, endoscopic therapy and a high serum bilirubin level were all independent predictors of a successful clinical outcome ($\chi^2=26.7$ with three degrees of freedom, P=0.0001). In a separate model, the presence of a dominant stricture, a CBD stricture and endoscopic therapy were all independent predictors of a successful laboratory outcome ($\chi^2=8.32$ with three degrees of freedom, P=0.03).

Complications
Thirty-four complications (17%) resulting in hospital admission lasting longer than 24 h occurred in 30 patients undergoing 33 ERCPs. One patient suffered two complications of a single ERCP. Despite prophylactic administration of broad spectrum antibiotics, cholangitis occurred in 15 patients (7.5%). Most cases of cholangitis were mild but two patients had complicated infections requiring hospital stays longer than 10 days. One of these patients developed a hepatic abscess that was managed surgically. Pancreatitis was the next most common complication, occurring in 10 patients (5%) with four having severe pancreatitis. Bile duct injuries (contained biliary leaks) were noted in two patients; both

![Figure 2](image1.png) Clinical and laboratory success rates after diagnostic and therapeutic endoscopic retrograde cholangiopancreatography groups in primary sclerosing cholangitis

![Figure 3](image2.png) Change in serum liver enzyme levels before and after diagnostic and therapeutic endoscopic retrograde cholangiopancreatography groups. ALT Alanine aminotransferase; ALk Phos Alkaline phosphatase; AST Aspartate aminotransferase

![Figure 4](image3.png) Mean serum bilirubin level before and after diagnostic and therapeutic endoscopic retrograde cholangiopancreatography
were managed without surgical intervention but one required hospital admission for greater than 10 days. No deaths occurred in the study group.

Although there was a trend toward a slightly higher complication rate in the therapeutic ERCP group (18%, 27 of 148), this was not statistically different from the diagnostic group (14%, eight of 56). However, statistical differences were seen in the subgroup analysis of severity of complications; all seven severe complications occurred in the therapeutic group. These included one bile duct injury, one hepatic abscess, four cases of pancreatitis and one of cholangitis.

**DISCUSSION**

PSC is a progressive disorder for which liver transplantation is the final therapeutic option in select patients (6,12,13,30). The role of ERCP as a diagnostic tool is well established, but its use for therapy should be selective because not all patients will benefit. Published series have documented impressive success rates for therapeutic ERCP in select groups of patients with PSC (10,22,24,25,27,28,31). Despite the positive outcomes of these analyses, only one included a control group (28). We have attempted to compare a control group (PSC patients undergoing diagnostic ERCP) and a therapeutic group to determine whether successes reported in previous studies were likely to have been secondary to the intervention and not to another confounding variable. In an ideal world, criteria would be available before the ERCP to predict which patients with PSC may benefit from a therapeutic procedure, thereby avoiding unhelpful or even dangerous interventions.

In this analysis, approximately twice as many patients had clinical improvement following therapeutic ERCP than after a diagnostic study. Interestingly, 35% of patients who did not have a therapeutic intervention still showed clinical improvement following diagnostic ERCP. The reasons for this are unclear. One possible explanation would attribute this improvement to the characteristic fluctuating nature of the disease; ie, ‘chance’ improvement at times of clinical reassessment. However, many of these patients had rapid improvement following hospital admission. Perhaps a treatment received in hospital improved the results of ERCP? The most likely candidate is routine antibiotic prophylaxis. We routinely administer an intravenous penicillin and an aminoglycoside (or a cephalosporin alone in penicillin-allergic patients) before ERCP when PSC is known or suspected. Our therapeutic results are consistent with the clinical improvement in PSC patients documented by van Milliegen de Wit et al (25), where 76% of a highly select group of 21 patients with PSC improved after their strictures were stented. Lee et al (28) found that 53% of patients undergoing therapeutic ERCP improved clinically but only 23% of patients improved following a diagnostic procedure. Wagner et al (24) noted a 67% clinical improvement following aggressive endoscopic intervention. Gaing et al (22) noted that all 16 PSC patients they treated therapeutically experienced immediate improvement of their symptoms (pain, fever and jaundice), although five suffered recurrent cholangitis and multiple hospital admissions during the follow-up period. In our analysis these outcomes would not have been considered clinical successes. There is evidence that hospitalizations and future episodes of cholangitis are decreased by endoscopic therapy (27). We did not specifically evaluate these outcomes, but in our study if the indication for the therapeutic ERCP was cholangitis and the patient had documented episodes of cholangitis during a three to six month follow-up, then this was not considered a clinical success.

Laboratory parameters following ERCP in PSC patients have been evaluated in several studies. In most published series liver tests have improved. In some studies only serum bilirubin (22,27) or alkaline phosphatase improved (22). In contrast, an eight-year prospective trial of therapeutic ERCP (dilation of dominant strictures) used in combination with oral ursodeoxycholic (n=65) did not change bilirubin levels significantly (10). Only Lee et al (28) compared laboratory parameters with a control group of patients who also underwent ERCP in a diagnostic manner. Our results demonstrate that transaminases and alkaline phosphatase improve in both the diagnostic group and the therapeutic group. The likely explanation for this lies in the fact that all patients receive antibiotics before and after the procedure. This is routinely done in patients with cholangitis as a treatment and in others as a prophylactic measure against ascending cholangitis before ERCP. Although most studies of therapeutic ERCP for PSC have shown an improvement in serum bilirubin levels, these do not necessarily return to normal. Despite improved laboratory values, the patient often still has a mildly elevated serum bilirubin reflecting incomplete bile drainage (often of small bile ducts), compromised hepatic cellular function or both. In our analysis, the mean bilirubin dropped significantly, from 3.5 mg/dL to 2.6 mg/dL, after therapeutic ERCP. This was different from the experience in the diagnostic group, where no change in bilirubin level was noted. Serum bilirubin has been shown consistently to be an important predictor of survival (32,33). Despite improvement in liver enzymes in the diagnostic group, the serum bilirubin only improved after therapeutic intervention in our study, perhaps indicating amelioration of disease severity.

There has been extensive discussion regarding radiological improvement of PSC strictures in the past (24,27,28). If a biliary stricture appears radiologically improved, then it is logical to assume that bile flow will also improve. Unfortunately, stricture

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**TABLE 2**

<table>
<thead>
<tr>
<th>Outcome</th>
<th>Location of a stricture*</th>
<th>Presence of a dominant stricture</th>
<th>Endoscopy therapy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clinical success</td>
<td>2.47 (1.27-4.81)</td>
<td>4.23 (2.15-8.34)</td>
<td>3.73 (1.95-7.13)</td>
</tr>
<tr>
<td>Laboratory success</td>
<td>2.03 (1.07-3.87)</td>
<td>1.99 (1.02-3.94)</td>
<td>2.46 (1.27-4.78)</td>
</tr>
</tbody>
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*Common bile duct compared with all other locations. Data is presented as OR (CI) *
appearances do not necessarily correlate with clinical and laboratory findings in PSC. For this reason, we did not specifically review stricture changes in our analysis.

Although lacking the sensitivity and specificity of ERCP, abdominal ultrasound (34) and computerized tomography can be used to exclude other disorders that may mimic PSC. Early reports indicate excellent diagnostic capabilities of magnetic cholangiopancreatography (MRCP) in PSC (35). If we could predict which patients were unlikely to benefit from ERCP, they could be selected for noninvasive imaging, such as MRCP. We sought to delineate a group of patients most likely to benefit from ERCP. A ge, duration of disease and symptoms, type of symptoms, sex and race were found not to be important predictors of success after ERCP in patients with PSC. Patients with CBD strictures were approximately twice as likely to have clinical and laboratory improvement after ERCP as those without. A intrahepatic disease is generally not amenable to endoscopic therapy, the group of patients with predominantly intrahepatic PSC could be managed without ERCP if the diagnosis was suspected ahead of time. The likelihood of a successful outcome of ERCP in PSC is inversely related to the serum bilirubin level. Although the bilirubin usually decrease following a therapeutic procedure, very high serum bilirubin levels are unlikely to normalize, reflecting chronic hepatocellular damage and impaired bile flow. This is another group of patients who may benefit from a noninvasive test to clarify stricture location.

**CONCLUSIONS**

ERCP plays an important role in the diagnosis and management of PSC. Complication rates appear to be higher than after ERCP performed for other indications. Serum transaminases and alkaline phosphatase levels improve following both therapeutic and diagnostic ERCP, possibly secondary to an antibiotic effect. Because bilirubin improved only after therapeutic ERCP and it is known to be a marker for survival in PSC, it may be the best marker to denote success after a therapeutic procedure. Once validated studies of MRCP become available, it may be a useful diagnostic screening tool before considering ERCP in patients with PSC.

**REFERENCES**
