Renal dysfunction in liver transplantation: The problem and preventive strategies

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Ongoing improvements in survival following liver transplantation have necessitated a re-evaluation of immunosuppression protocols. Corticosteroids and calcineurin inhibitors (CNIs) are the most frequently used immunosuppressive drugs for liver transplantation but are associated with a wide range of adverse effects, such as hypertension, hyperlipidemia and nephrotoxicity. The need for hemodialysis after liver transplantation is associated with poor outcomes. Renal dysfunction in this setting may be caused by pre-existing renal disease, hepatorenal syndrome and/or post-transplant factors, including the use of nephrotoxic drugs, most notably CNIs such as cyclosporine and tacrolimus. The methods that address this problem include the diligent control of metabolic factors (e.g., hypertension and hyperlipidemia), therapeutic monitoring of CNIs and withdrawal or reduction of the dosage of CNIs, combined with the use of newer non-nephrotoxic agents. Although there is no clear consensus about the most effective strategy, the optimal long-term immunosuppressive regimen would prevent rejection without causing nephrotoxicity or other significant adverse effects. Recent evidence suggests that the liver is a tolerogenic organ and that some patients may need little, if any, long-term immunosuppression.

Key Words: Calcineurin inhibitors; Corticosteroids; Cyclosporine; Immunosuppression; Liver transplantation; Renal dysfunction; Tacrolimus

Renal failure following LT appears to result in significant morbidity and mortality. ARF, and especially the requirement for hemodialysis, have been associated with trends towards poorer outcomes and increased death rates (12,13,15), although it is unknown whether they are directly responsible. Contributors to the decline of renal function include pre-existing renal disease, preoperative conditions, such as the hepatorenal syndrome (HRS), and post-transplant factors including immunosuppressive therapy.

The prevention of acute rejection is clearly important to the long-term survival of liver allografts, and both CsA and tacrolimus have proven extremely useful. However, CNIs are nephrotoxic, and hypertension, diabetes and hyperlipidemia, which are exacerbated by long-term CNI and/or corticosteroid use, also contribute to the decrease in long-term renal function.

There has been considerable interest in reducing the overall immunosuppressive burden in LT patients (16). Many of these
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efforts have been aimed at reducing the incidence of renal dysfunction and other adverse events. Some studies have suggested that the majority of LT patients are over-immunosuppressed (16,17), and that the liver might be a tolerogenic organ (18). The optimal immunosuppressive regimen has not been firmly established, and trials of CNI therapeutic drug monitoring, reduced CNI dosing, CNI withdrawal and CNI avoidance following LT are ongoing. The present review focuses on the problem of renal dysfunction in LT recipients, contributing factors and strategies to reduce its incidence and long-term consequences.

**FACTORS AFFECTING POST-LT RENAL FUNCTION**

Several patient-related variables, both before and after LT, can affect renal function after surgery (19). Therefore, efforts have been made to preoperatively identify patients who would be most likely to benefit from a renal-sparing immunosuppressive regimen and for whom combined liver-kidney transplantation might be needed.

**Renal diseases associated with hepatic failure**

Several glomerular diseases, which can be difficult to diagnose in patients undergoing LT, occur with liver disease. In patients with cirrhosis, impaired hepatic clearance of immune complexes leads to immunoglobulin A (IgA) nephropathy and hepatic glomerulonephrosis (20,21). Even patients with well-preserved renal function can have abnormal renal biopsies before LT (22). In one study of 18 LT recipients (22), eight patients exhibited minor glomerular abnormalities, seven had evidence of hepatic glomerulosclerosis, one had membranoproliferative glomerulonephritis and one had IgA nephropathy. The presence of these abnormalities before LT suggests that pre-existing, often asymptomatic, glomerular disease might play a role in post-transplant renal dysfunction for many patients and that the kidneys should be evaluated before surgery.

Viral infections, which are frequently encountered in patients awaiting LT, can also cause renal disease. Chronic hepatitis C virus (HCV) infection has been associated with type II cryoglobulinemia and membranoproliferative glomerulonephritis (21). Hepatitis B virus (HBV) has also been associated with membranous nephropathy and, in rare cases, polyarteritis nodosa (21). HCV-associated glomerulopathies have been reported in patients following LT and may be manifested by decreased renal function, proteinuria and recurrent HCV infection (23,24). Renal dysfunction is not always fully reversed with antiviral therapy (23-25).

Patients with a pretransplant diagnosis of HCV-associated glomerulonephritis require a careful preoperative evaluation. In one study of six patients with membranoproliferative glomerulonephritis before LT (26), four received liver transplants while two required hemodialysis and underwent combined liver-kidney transplantation. Two of four patients treated with LT alone suffered a relapse of renal dysfunction within 17 to 36 months, while the two patients who underwent dual transplantation retained normal renal function at follow-up (26). These findings highlight the complexities of renal dysfunction in HCV recipients.

**Pretransplant renal function**

Pretransplant renal function can be a useful prognostic indicator. In one study (27), pretransplant renal insufficiency (defined as serum creatinine [SCr] greater than 142 µmol/L or being on dialysis) was associated with reduced patient survival (50% versus 83%, P=0.04) and allograft survival (30% versus 71%). Patients with pretransplant renal insufficiency were more likely to have an elevated SCr at four months after transplantation and require dialysis (P<0.05). The cause of the decrement in patient and graft survival was unclear, but the authors of the study speculated that renal insufficiency might be a marker of more severe liver disease or the presence of other comorbidities, such as diabetes mellitus. Another study (28) that stratified patients based on pretransplant SCr (group 1 had pretransplant SCr values greater than 89 µmol/L, whereas group 2 had SCr values of 89 µmol/L or less) yielded similar findings. Overall, ARF occurred in 23% of patients after LT, and patients in group 1 were significantly more likely to require dialysis (52% versus 5%, P<0.05) or to die (32% versus 6%, P<0.05) than those in group 2. The odds of ARF were 17.5 times higher in patients with elevated pretransplant SCr. These results suggest that assessing renal function before LT might identify a subset of patients at increased risk of ARF, graft loss and death.

**HRS**

HRS is defined as the development of renal failure in patients with severe acute or chronic liver disease in the absence of any other identifiable cause (29). HRS is present in 17% of patients with ascites and in more than 50% of cirrhotic patients dying from liver failure (29,30). In the study by Lafayette et al (28), all patients with HRS were included in group 1 and fared significantly worse than those without HRS. Another study (31), involving 294 patients, specifically examined the effect of HRS on the outcome of LT. The incidence of HRS was 10.5% and, as expected, the preoperative SCr was significantly higher and the glomerular filtration rate (GFR) significantly lower in these patients. LT resulted in a significant improvement of renal function in patients with HRS, whereas, in patients without HRS, renal function declined after transplantation, with apparent stabilization at three years. Despite a large postoperative drop in the GFR, non-HRS patients had consistently better SCr at all time points. Patients with HRS required more postoperative hemodialysis, but no difference was found in GFR between HRS patients who did or did not require preoperative hemodialysis one or two years after LT (Figure 1). One- and two-year patient survival tended to be lower in the HRS group. A subsequent study (32) found that HRS was associated with a significantly lower five-year survival (60% versus 68%, P=0.03) and a higher incidence of ESRD (7% versus 2%). Renal function improved in HRS patients following LT but did not reach the level in patients without this condition.

Overall, these studies suggest that while HRS may negatively affect renal function and outcomes in LT recipients, preoperative renal function is not always a useful predictor of which HRS patients would benefit from CNI-sparing immunosuppression. The marked decrease in renal function after LT, even among patients without HRS (Figure 2) (31,32), suggests that all patients could benefit from such therapy.

**Post-transplant factors**

The most important cause of declining renal function following LT is probably the use of nephrotoxic immunosuppressive agents (CsA or tacrolimus) (19). In one study, among LT recipients receiving CsA-based immunosuppression (33),...
renal dysfunction (SCR greater than 140 µmol/L) was present in 20% to 25% (n=231) of patients, a proportion that remained relatively constant over five years. Hypertension was also observed in approximately 20% to 30% of these patients, with an increasing prevalence over five years. In another study of 135 patients (of whom 51 were alive five years later) followed for a mean of 7.68 years (34), renal function declined dramatically after LT, yet remained stable for up to 10 years. The proportion of hypertensive patients increased to over 80% by five years despite reductions in the CsA dosage. Hypertension was significantly associated with impaired renal function at one and five years (P<0.001). This study also found that preoperative creatinine clearance (CCr) was significantly correlated with poorer post-transplant renal function (P<0.005) whereas preoperative SCR was not.

It is important to consider the results of these studies in light of the methods used to assess renal function. There is considerable evidence that SCR and CCr are not reliable indicators of the GFR in patients with end-stage liver disease (35-37). SCR may be significantly reduced in the presence of hepatic disease (with jaundice and deranged protein metabolism) (19) and CCr measurements may provide an artificially high assessment of the true GFR (35-37). In two studies (31,32), Gonwa and colleagues followed SCR and performed isotope-measured GFR measurements for at least five years in LT patients with and without HRS. Both studies revealed a 40% decline in the GFR during the first year (mostly during the first six weeks post-transplant) among patients without HRS. Thereafter, the GFR remained stable throughout a follow-up period of four years (Figure 2).

Cohen et al (38) recently published a very comprehensive review of renal dysfunction following LT, including GFR data from 353 patients who underwent transplantation between 1990 and 1998 at a single centre. A subgroup of 191 patients had more frequent GFR measurements. Overall, the analysis confirmed previous reports that suggested that renal dysfunction is a significant problem after LT, with a cumulative incidence of renal failure (ESRD requiring dialysis or renal transplantation) of 6.25% at seven years and 10% at 10 years. It also suggested that the GFR at one

year (but not the pretransplant level) predicted long-term renal function (Tables 1 and 2), and identified a group of patients, with a GFR less than 40 mL/min/1.73 m² at one year, who were at high risk of developing renal failure and thus, might benefit from kidney-sparing interventions. The authors also pointed out, however, that once this level of renal dysfunction (and/or associated renal fibrosis) is reached, it might be too late for such interventions to have a significant impact.

Even comprehensive GFR-based studies are of limited value in determining the possible cause of renal dysfunction in LT recipients treated with CNIs. This may be due, in part, to the lack of renal biopsy data. In one retrospective study (39), the incidence of ESRD was 3.8%, but only one of five patients who

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<th>Table 1 Predictive value of one-year glomerular filtration rate (GFR) on late renal function following liver transplantation</th>
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<th>Table 2 Predictive value of pretransplant glomerular filtration rate (GFR) and one-year GFR in 15 patients developing end-stage renal disease (ESRD)</th>
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<td><strong>Patients with ESRD (%)</strong></td>
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Underwent biopsy had histological evidence of CNI-associated nephrotoxicity. Other biopsies in this series demonstrated evidence of glomerular disease. Therefore, although ongoing CNI use is clearly a risk factor for ESRD, it may not be the sole cause.

In a comparative study (40), both CsA and tacrolimus significantly reduced the GFR, with no significant difference between the two treatments in post-transplant GFR for up to 360 days, except at day 180, when the GFR was significantly lower in patients treated with tacrolimus (P<0.01). The decline in the GFR at one year was 52% in the tacrolimus and 36% in the CsA arms. A European comparative study (41) found that tacrolimus resulted in a significantly higher incidence of impaired renal function, although this study assessed only SCr levels. In an American multicentre study (42), both drugs caused similar changes in SCr and the GFR (Figure 3). Another study (43) also found a similar incidence of elevated SCr and decreased the GFR (evaluated by isotope clearance) at one year with CsA and tacrolimus. More importantly, this study found that 44% of patients with early (during the first three months) nephrotoxicity had persistent renal insufficiency at one year compared with 8% of patients without this complication (P<0.001). In another long-term, five-year follow-up study (44), SCr was comparable in CsA- and tacrolimus-treated LT patients. Overall, these studies yielded similar incidences of early post-transplant renal dysfunction among patients treated with CsA or tacrolimus.

There is controversy about whether CNI-induced acute nephrotoxicity leads to long-term renal dysfunction or late-onset renal failure. No data have directly compared the long-term GFR in patients with and without CNI-based immunosuppression, but retrospective studies (45,46) suggest that ongoing CsA use is associated with progressive renal impairment. In one study of 15 heart and seven liver transplant recipients treated with CsA (45), there was histological evidence of progressive renal damage, including arteriolar hyalinosis and global glomerulosclerosis. There were significant associations between cumulative CsA dose and the degree of arteriolar hyalinosis (P<0.01) and global glomerulosclerosis (P<0.01). Striped interstitial fibrosis and tubular atrophy were also noted, reminiscent of chronic CsA nephrotoxicity (46). Another important finding was that calculated GFR measurements (based on SCr) did not correlate with the histological changes, and, thus, CNI-associated nephrotoxicity might not always be manifested by decrements in renal function (45).

**ACUTE AND CHRONIC MECHANISMS OF CNI TOXICITY**

Although the exact cause of CNI-induced nephrotoxicity is not known, several mechanisms have been implicated in the pathogenesis. Neurotoxicity is one possibility because innervation of the native kidneys is intact in LT patients. Animal studies (47,48) have demonstrated that both CsA and tacrolimus increase sympathetic neuronal activity and raise arterial pressure by forming an immunophilic complex that binds to and inhibits calcineurin. Rapamycin, which does not inhibit calcineurin, lacks this effect (47). Acute, reversible, nephrotoxic effects of CsA appear to be mediated by afferent arteriolar vasoconstriction and include a reduction in the GFR and renal blood flow, and increased renal vascular resistance (49-51). Studies in healthy humans also revealed vasoconstrictive effects of CsA on the afferent arteriole. In one study (52), CsA treatment significantly decreased the GFR and increased urinary thromboxane B2 excretion. Multiple mechanisms may be involved in the vasoconstrictive effects of CsA, including alternations in prostaglandin metabolism (49,50,53), increased endothelin production (54,55) and reduced nitric oxide production (56-58).

The vasoconstrictive effects of CsA may cause long-term structural damage to the kidneys. Transforming growth factor-beta (TGF-β) is a profibrotic cytokine that has been implicated in renal fibrosis and the pathogenesis of CsA nephrotoxicity (59,60). CsA has been found to induce TGF-β expression (61), and expression of TGF-β has been correlated with declining renal function (62). In an experimental model (63), TGF-β mimicked and anti-TGF-β abrogated the in vivo effects of CsA, including histopathological changes and increases in the expression of matrix proteins, collagen and fibronectin. Therapy with CsA, thus, induces acute, reversible (vasoconstrictive) and chronic, potentially irreversible (fibrotic) effects on the kidney.

In cardiac transplant patients, both low and high doses of CsA caused hypertension and azotemia, decreased the GFR and increased renal vascular resistance compared with results from patients treated without CsA (8). These effects were associated with irreversible morphological alterations, including obliterative arteriolopathy and glomerulosclerosis, which were not prevented by using lower dosages of CsA (8).

Although not as extensively studied as CsA, tacrolimus also inhibits calcineurin and produces similar morphological damage (64). Tacrolimus also induces TGF-β expression in vitro (65), and some studies (40,41,43) in LT recipients suggest that the incidences of acute and chronic nephrotoxicity are equal to or greater than those seen with CsA. The detrimental effects of CsA and tacrolimus on renal function argue against their long-term use in LT recipients, especially those with preoperative renal impairment.

**Metabolic factors – Potential role in renal dysfunction**

Metabolic abnormalities, such as hyperlipidemia, diabetes mellitus and hypertension, are frequently observed in LT recipients and may play a role in post-transplant renal dysfunction.
These metabolic disturbances can be attributed, in large part, to the ongoing use of immunosuppressive drugs, including steroids and CNIs (1,2,4). Taking steps to minimize these adverse effects might help reduce the long-term risk of renal dysfunction.

**Lipids:** Both CsA and corticosteroids can induce hyperlipidemia following LT (2,66,67). Switching from CsA to tacrolimus has been reported to ameliorate hyperlipidemia in renal and liver transplant patients (68,69), although this finding has not been consistent in all studies. In one study of LT recipients (70), there were minimal differences in the incidence of hypercholesterolemia between CsA- and tacrolimus-treated LT patients at three, four and five years post-transplant. The overall incidence of both hypercholesterolemia and hyperlipidemia decreased with a reduction in immunosuppression, especially in the prednisone dosages.

In addition to the well-known effect of hyperlipidemia on cardiovascular risk, experimental studies (71-77) have shown that hyperlipidemia may also contribute to the decline in renal function in LT recipients. Epidemiological evidence suggests that hyperlipidemia exacerabates conditions such as diabetic nephropathy (77-79). A recent meta-analysis (77) suggested that lipid reduction therapy reduced the rate of decline in the GFR in nontransplant patients with renal disease compared with untreated patients. Overall recommendations for hyperlipidemia management in LT recipients include hepatic 3-hydroxy-3-methylglutaryl coenzyme A reductase inhibitors and, if necessary, changes in immunosuppression, such as steroid withdrawal and the conversion from CsA to tacrolimus (68,80,81). In patients with poor pretransplant renal function, conversion to a non-nephrotoxic agent, such as mycophenolate mofetil (MMF) or rapamycin, or the use of very low doses of tacrolimus or CsA may be viable options. Rapamycin, however, can cause hypercholesterolemia (82-84).

**Hypertension:** Hypertension is another troublesome consequence of CNI and steroid use (1,2) that can lead to both posttransplant renal dysfunction and cardiovascular disease. It should be aggressively treated in LT recipients to alleviate cardiovascular risk (81). Peripheral blood monocytes from hypertensive patients produce elevated amounts of TGF-β (85), which may contribute to hypertension-induced chronic renal damage (fibrosis). Although both CsA and tacrolimus can induce hypertension in LT patients, some evidence suggests that tacrolimus is less likely to do so. In large comparative American (42) and European trials (41), the incidence of hypertension with either drug was 35% to 56% at one year. A more recent study in LT patients (86) showed that tacrolimus-treated patients had a lower incidence of hypertension and cardiovascular events than patients treated with CsA. Nevertheless, the nephrotoxic effects observed in tacrolimus-treated patients argue against its use to reduce the risk of hypertension. Early steroid withdrawal (14 days after LT) using MMF (with CsA or tacrolimus) was found to reduce hypertension without provoking rejection in one study (80).

**Diabetes mellitus:** Diabetes mellitus often occurs in LT patients (80,87,88) and can affect cardiovascular and long-term renal function. Post-transplant diabetes mellitus (PTDM) has been associated with the use of steroids, CsA and tacrolimus (2,3,89). Intraglomerular TGF-β levels are increased in patients with diabetes mellitus and may be associated with the progression of diabetic nephropathy (90,91). Because of the potential effects of hyperglycemia on TGF-β expression and the progression of diabetic nephropathy, blood sugar should be closely monitored after LT, and modification of the immunosuppressive regimen might be necessary. Unlike the situation in hyperlipidemia and hypertension, the risk of PTDM with tacrolimus use appears to be slightly higher than with CsA use. In a large comparative study (41), tacrolimus was associated with more hyperglycemia (37% versus 31%, P≤0.01) and diabetes mellitus (27% versus 15%) than was CsA. In other trials (42,92), however, these differences failed to reach statistical significance, and another trial (86) actually reported a trend toward a lower incidence with tacrolimus. This may have been due to differences in steroid dosing in CsA-treated patients. Steroid withdrawal after three months has been associated with reduced incidence of PTDM in LT recipients (81,93).

**RENAL-SPARING INTERVENTIONS: IDENTIFYING PATIENTS AT RISK**

Under ideal conditions, a comprehensive renal assessment before LT should include a SCr measure, 24 h urine collection for CCr and protein measures, assessment for microalbuminuria and urinary sediment, renal ultrasound, radionuclide GFR, measurement of effective renal plasma flow and, possibly, a renal biopsy. Unfortunately, many of these tests are costly, time-consuming and/or invasive, and are difficult to justify for patients who present with normal preoperative renal function. In addition, there is no consensus about identifying patients who are at greatest risk for renal dysfunction after transplantation or even those who would benefit from combined liver-kidney transplantation, although preliminary guidelines and algorithms have been proposed (94). It is beyond the scope of the present review to discuss all the factors involved in selecting patients for dual transplantation. Suffice it to say that this approach remains a viable intervention for selected patients, as does sequential (renal-after-liver) transplantation.

It is difficult to evaluate renal function in patients with hepatic failure. Patients may present with no apparent preoperative renal impairment or they may have pre-existing asymptomatic glomerular disease that can dramatically affect renal function after transplantation. Short-term and, especially, long-term measurements of renal function, however, are insufficient to reliably predict a given patient’s course. Ongoing assessments are necessary. Inulin clearance is the gold standard for measuring the GFR, but isotope techniques are costly and time-consuming. SCr or CCr measurements are more readily available but are less accurate estimates of the true GFR.

Among the available alternatives to isotope GFR measurement, the calculated CCr appears to be the most reliable. In heart transplant patients, it was observed that calculated CCr correlates best with the GFR (r²=0.65) compared with CCr (r²=0.53) and SCr (r²=0.38) (95).

It was recently demonstrated that cimetidine, by virtue of its ability to decrease the tubular secretion of creatinine, can further improve the accuracy of SCr, CCr and calculated CCr measurements in heart transplant patients (96). The correlation between the radionuclide-evaluated GFR and each of the markers, using cimetidine, was again highest for calculated CCr (r²=0.75) compared with CCr (r²=0.72) or SCr (r²=0.68), and the use of cimetidine was highly cost-effective compared with isotope techniques ($0.48 versus $60.00). A similar study is underway in LT patients. Accordingly, a strategy for incorporating calculated CCr into daily practice is being investigated. Its adoption is more likely to identify patients with declining renal function in liver transplantation.
function before significant deterioration occurs. Regimens that preserve renal function can then be implemented, aimed at improving long-term prognosis, particularly in view of the recent finding that the one-year GFR predicts long-term renal function (38).

It should be emphasized, however, that these methods for estimating the GFR have not been rigorously established in LT patients. As described in a recent overview by McClellan (97), the Cockcroft-Gault and modification of diet in renal disease equations for the calculation of the GFR do not appear to be universally applicable to large populations. For example, race- and sex-based differences were observed in estimates of the prevalence of chronic kidney disease in the United States (97,98). It seems prudent, therefore, to confirm the correlation between SCr-based formulas and the isotope GFR in LT patients. Until such correlation becomes available, the isotope GFR should be considered a more appropriate assessment of renal function in LT patients.

The kidneys play an important role in the metabolism of low molecular weight proteins. Serum levels of cystatin C (13 kDa) are significantly increased by small reductions in the GFR (99,100). There is growing evidence that serum cystatin C has an excellent correlation with the isotope GFR. Musset al (101) employed a nephelometric immunoassay procedure in a study of 52 patients with type II diabetes mellitus, and showed that plasma cystatin C was more accurate than either the SCr or the Cockcroft-Gault equation in estimating the GFR (102). Cystatin C was the best diagnostic method to identify diabetic patients with normal or reduced (less than 80 mL/min/1.73 m²) GFR (eg, specificity and sensitivity, respectively). Future studies will be required to determine whether this test yields similar sensitivity and specificity in LT patients.

### STRATEGIES TO IMPROVE RENAL FUNCTION IN LT

**Combined liver-kidney transplantation**

Dual transplantation or pre-emptive renal-after-liver transplantation might be a viable option for some LT patients with documented pre- or post-transplant renal disease, respectively. In a recent publication (94), preliminary guidelines and algorithms have been proposed for combined liver-kidney transplantation. Polycystic liver disease can occur with polycystic kidney disease, especially if they require dialysis (102-104). At our own centre (McGill University Health Centre, Montreal), two combined liver-kidney transplantations have been performed for this indication (unpublished data). It has been suggested that patients with polycystic liver disease who are not dialysis-dependent might undergo LT, followed by renal transplantation if necessary (103). Other indications for dual transplantation include diabetic nephropathy, glomerulonephritis and congenital pyelonephritis (105). One-year survival rates of 75% have been achieved, with good hepatic and renal function (105).

Some renal disorders, such as cirrhotic (106), HBV- and HCV-associated glomerulonephritis (107,108) can be ameliorated by LT. Rapid resolution of glomerulonephritis is associated with diminished levels of circulating HBV surface antigen.

**New immunosuppressive options**

For patients for whom combined liver-kidney transplantation or renal-after-liver transplantation is not indicated or feasible, other treatment options must be sought to reduce the risk of post-transplant renal dysfunction. Several new immunosuppressive medications have been introduced, some of which are safer for the kidneys. Although tacrolimus may have less impact on blood pressure and serum lipids than CsA (88), both drugs carry a risk of nephrotoxicity (Figure 3) (40,42,43). Replacing CNIs with non-nephrotoxic agents has, therefore, been investigated as a possible way of preserving renal function. MMF: MMF is a prodrug of mycophenolic acid, which is a reversible noncompetitive inhibitor of inosine monophosphate dehydrogenase, an enzyme required for purine biosynthesis (109). The predominant mode of action of MMF is suppression of lymphocyte DNA synthesis and arrest in the S phase of the cell cycle (110,111). In vitro studies (111,112) also suggest that inhibition of purine biosynthesis by MMF results in reduced expression of lymphocyte cell surface molecules and endothelial receptors, which may be important in blocking lymphocyte homing to sites of allograft rejection. In addition to these immunosuppressive effects, an experimental study (113) suggests that MMF prevents renal injury, including glomerulosclerosis, tubular atrophy and interstitial fibrosis. The reduction of interstitial fibrosis by MMF may relate, in part, to its inhibitory effects on fibroblast proliferation (114,115).

The safety and efficacy of MMF in reducing the risk of acute rejection has been established in large multicentre trials of renal and cardiac allograft recipients (116-120). A randomized double-blind trial (121) involving 565 LT recipients compared the efficacy and safety of MMF with azathioprine when used in combination with CsA and steroids. MMF-treated patients had a significantly lower incidence of acute rejection (38.5% versus 47.7%, P<0.03) and of steroid-resistant rejection (3.8% versus 8.2%, P<0.02) than those treated with azathioprine (121). Unlike the CNIs, MMF does not exhibit nephrotoxic, hypertensive or hyperlipidemic effects (109). The predominant adverse events are gastrointestinal disturbances (nausea, vomiting, abdominal pain and diarrhea) and bone marrow toxicity (116,118).

**Mammalian target of rapamycin inhibitors:** Sirolimus (rapamycin) and its derivative, everolimus, are members of a new class of immunosuppressive drugs that inhibit the mammalian target of rapamycin (mTOR) (84). Unlike CsA and tacrolimus, these drugs do not inhibit calcineurin or T cell activation. Rather, their principal mode of action is at the effector level. mTOR is necessary for progression through the cell cycle, and, thus, inhibition of mTOR by this class of drugs blocks the proliferative responses of T and B lymphocytes (84). The safety and efficacy of sirolimus has been demonstrated in large trials of renal allograft recipients (82,83), and an early (phase I) study (122) has shown the safety and tolerability of everolimus in LT recipients. A recent study (123) compared everolimus with azathioprine in heart transplant recipients who also received CsA and steroids. In this study, patients treated with everolimus had lower acute rejection rates and CsA dosages than those given azathioprine. On the other hand, serum lipids (triglycerides and cholesterol) and SCr levels were significantly higher in everolimus-treated patients. The potential benefits of this therapy in LT recipients remain to be seen.

Despite its potential clinical advantages, the safety and efficacy of sirolimus have not been established in LT recipients, although it is currently indicated for renal transplants in combination with CsA and steroids (124). The effectiveness of sirolimus in LT has been reviewed in two recent publications (125,126). There are no studies in LT patients comparing
sirolimus with conventional immunosuppression (125). The efficacy of sirolimus in LT was reported in four open-label studies. The incidence of acute rejection ranged from 0% to 75% and patient survival ranged from 66% to 93% (125,127-129). SCr levels remained stable, ranging between 80 µmol/L and 98 µmol/L one month after, and between 102 µmol/L and 107 µmol/L one year after, transplantation (125,128,129).

The predominant side effects of sirolimus are hyperlipidemia, thrombocytopenia, acne, skin rash, peripheral edema, arthralgias, oral ulcers, interstitial pneumonitis, infections, decreased wound healing, gastrointestinal complaints and hypercoagulability (82-84,126). Moreover, a recently issued black box warning also stated that the use of sirolimus with CsA or tacrolimus in LT patients had been associated with an increased incidence of hepatic artery thrombosis, which usually resulted in graft loss or death (130). Based on the reported incidence of side effects, the use of sirolimus needs to be reassessed in LT. Future research should focus on determining the best immunosuppressive regimen and on optimizing therapeutic drug monitoring. A delayed initiation of sirolimus after transplantation (eg, two weeks) might reduce the risk of hepatic artery thrombosis and decreased wound healing.

**Preventive strategies: CNI delay**

An alternative means to avert renal failure in the post-transplant period is to delay or interrupt CNI use until renal function improves. At the McGill University Health Centre, Montreal, a trial was conducted using antithymocyte globulin (ATG) to delay the introduction of CNI therapy in patients with postoperative ARF requiring hemodialysis (131). Patients in group 1 (n=33) required hemodialysis and received ATG, those in group 2 (n=207) did not require hemodialysis and received ATG, and those in group 3 (n=63) did not require hemodialysis and did not receive induction therapy. Patients were maintained on prednisone with azathioprine or MMF. Patients in group 1 received ATG every two to five days, and CNI therapy was delayed until renal function recovered; in groups 2 and 3, patients received CNIs when SCr stabilized. ATG allowed a delay in CNI therapy without increasing the risk of acute rejection. Patients with postoperative ARF requiring hemodialysis had an increased risk of mortality and ESRD compared with 23% of those monitored using a ‘high’ C2 target level (700 ng/mL to 1000 ng/mL) or 37.5% of patients monitored using C0 (target level 100 ng/mL to 200 ng/mL) (135). Calcineurin inhibition was similar in all groups, suggesting that higher C2 levels were probably not necessary to inhibit calcineurin in long-term LT patients. Similar observations have been made in stable heart transplant recipients switched from Sandimmune (Novartis Pharmaceuticals, Canada) to Neoral (134). In both of these studies (134,135), C2 was better correlated with AUC from 0 h to 4 h than was C0. C2 measurement using the ‘low’ target range in LT recipients can, therefore, provide adequate prophylaxis with lower risk of renal dysfunction (135). In a study of 309 LT recipients (136), C2 monitoring was associated with a lower overall incidence of rejection and of biopsy-proven rejection, and a significantly lower incidence of moderate to severe histological abnormalities (47% versus 73%, P=0.01) than C0 monitoring. During the first 12 weeks after transplantation, there were no differences in the incidence of ARF between the C2 and C0 groups. Extended follow-up is required to determine the potential benefit of C2 monitoring on long-term renal function in these patients (137).

Tacrolimus is a more potent immunosuppressant than CsA, and therapeutic whole blood trough levels are approximately 20-fold lower (138). At least three studies in LT patients have failed to find an association between tacrolimus trough levels and rejection rates (139). Results from a single-centre study (140), however, suggested an enhanced risk of rejection at tacrolimus levels below 5 ng/mL in LT patients. There appears to be a consistent relationship between toxicity and elevated tacrolimus trough levels (138,139,141,142), suggesting that therapeutic drug monitoring of tacrolimus, like CsA, might be useful in LT patients. Recommended tacrolimus doses in the initial post-transplant period are 10 ng/mL to 15 ng/mL, and 5 ng/mL to 10 ng/mL for maintenance (138,141). Nevertheless, renal dysfunction has been observed even in patients with tacrolimus trough levels below this ‘therapeutic’ range (0.5 ng/mL to 3.0 ng/mL) (Figure 3) (40), suggesting that improvements in monitoring methods and/or a re-evaluation of target levels are needed. It has been previously reported that 2 h, 3 h and 4 h postdose tacrolimus levels correlate better with an abbreviated AUC (0 h to 6 h) in LT patients than do trough levels (C0) (143). Future studies are needed to confirm the
results with the AUC from 0 h to 12 h and to evaluate a different time point (eg, C2) for therapeutic drug monitoring of tacrolimus. With additional refinements in therapeutic drug monitoring for CsA and tacrolimus, it is plausible that efficacy could be maintained with a lower incidence of adverse effects such as nephrotoxicity.

Preventive strategies: CNI withdrawal and CNI dose reduction

The significant risk of rejection after withdrawal of CsA or tacrolimus must be weighed carefully against the potential benefit of improved renal function. In one CsA withdrawal study using an azathioprine/steroid regimen (144), only a minimal decrease in Scr (222 µmol/L to 214 µmol/L) was observed at 18 months. Six of 12 patients experienced acute rejection, and only some of these were permanently reversed with steroid therapy. These results underscore the importance of effective antirejection prophylaxis during CNI withdrawal. MMF has proven more effective than azathioprine for the prevention of acute rejection in large studies of renal transplant patients (116,118) and more recently, in LT patients (121).

A number of recent studies (145-147) has investigated the use of MMF as a CNI-sparing agent. A small, uncontrolled study (145) of five stable LT recipients with CsA-induced renal impairment found that the introduction of MMF was associated with an improvement in renal function at three months (fall in median Scr from 201 µmol/L to 142 µmol/L, P=0.04) and six months (Scr 139 µmol/L, P=0.04). Rejection occurred in one of the five patients after three months of monotherapy, which was reversed by steroids.

MMF was also used to slowly reduce or discontinue CsA in a study of 11 stable LT recipients who were free from rejection for at least one year (146). By the end of the study (mean follow-up of 63 weeks), seven of the 11 patients (64%) were completely free from CsA and six of the seven were also free from steroids. Two of 11 patients (18%) developed mild rejection that responded to tacrolimus. In the seven patients successfully converted to MMF, renal function significantly improved (Scr fell from 196.5 µmol/L to 168.2 µmol/L, P=0.05) and CCr increased from 0.64 mL/s to 0.78 mL/s, P=0.005). Control of hypertension in these seven patients also improved, reflected by a significant decrease in their use of antihypertensive medications (P=0.005) and a trend toward lower systolic and diastolic blood pressures. Serum triglyceride levels decreased significantly (from 2.45 mmol/L to 1.77 mmol/L, P=0.02), and there was a trend toward lower total cholesterol levels (from 2.28 mmol/L to 2.11 mmol/L). In two other patients, the dosage of CsA was reduced by 50%, with a modest improvement in renal function.

In a study of 28 patients with suspected CNI-related toxicity at least six months post-transplant (142), CNI therapy was either continued or withdrawn in a stepwise manner using MMF. Renal function improved significantly in the MMF group at six months (Scr fell by 44.4 µmol/L, P=0.001), but did not change in the control group (Scr fell by only 3.1 µmol/L, P=0.440). There was also an improvement in CCr of 0.14 mL/s in the MMF group compared with a decline of 0.1 mL/s in the control group during the same period. Systolic and diastolic blood pressure, and uric acid decreased significantly in the MMF group, and there were no changes in serum lipids at six months. Rejection was diagnosed in three of 14 patients in the MMF group compared with none in the control group; all of these patients, however, regained normal liver function by six months. The most frequent adverse events from MMF were gastrointestinal and hematological (146,147).

The conversion from a CNI- to a sirolimus-based regimen resulted in an improvement in renal function in LT patients (148,149). In one study (148), conversion from tacrolimus- to sirolimus-based immunosuppression in 16 LT patients who were greater than three years post-transplant, resulted in an improvement in Scr levels (174 µmol/L to 134 µmol/L, P=0.001) and there was a trend toward an improvement in the CCr (0.72 mL/s to 0.82 mL/s) without evidence of acute rejection. Sirolimus was discontinued in four patients (25%) because of oral ulcers. In another study (149), sirolimus replaced CNI in 21 LT patients (tacrolimus in eight patients and CsA in 13 patients), nine to 780 weeks after transplantation. Monotherapy was employed in 18 patients and combination therapy with corticosteroids in three patients. This strategy resulted in an improvement in the calculated GFR (34 mL/min/1.73 m² to 43 mL/min/1.73 m², P=0.001) at 67 weeks of follow-up. Acute rejection was observed in one patient. Side effects were observed in 12 patients (57%). Sirolimus was discontinued in two patients (9.5%) because of oral ulcers and interstitial pneumonitis.

Overall, CNI withdrawal using MMF or sirolimus has been shown to improve renal function in LT patients. It seems that a lower acute rejection rate was observed after conversion to sirolimus than with MMF, and acute rejection episodes were reversible. Long-term follow-up of larger numbers of patients will be needed to determine the safety of these regimens.

POTENTIAL STRATEGIES TO PREVENT OR TREAT RENAL DYSFUNCTION IN LT

Novel combination therapies

Combinations of new immunosuppressive agents with CNIs might permit a reduction in CNI dosage and minimize CNI-associated adverse effects. In a randomized comparative study involving 350 patients (150), tacrolimus was combined with steroids alone (double therapy) or steroids plus MMF (triple therapy). Similar patient and graft survival rates were observed in the two groups for up to four years. There was a significantly lower incidence of rejection in the MMF (triple therapy) group at three months and a trend toward less rejection at one year. However, MMF was discontinued in 103 of 175 patients (59%), and nearly all occurred within the first year. Mean steroid dosage was 4% to 12% lower in MMF-treated patients, and there was a trend toward more patients being off steroids by two years in this group. Tacrolimus dosages were comparable between the groups, but the mean rise in Scr tended to be lower in the triple therapy group. Significantly fewer previously nondialyzed patients in the triple group required post-transplant hemodialysis (23% versus 11%, P=0.007). It is unclear from this trial whether reduced tacrolimus dosing in the triple therapy group might have further improved renal function or would have led to fewer MMF discontinuations.

Sirolimus has also been used in combination with MMF in a small study of 14 LT recipients with renal insufficiency or mental status impairment (151). Sirolimus, MMF, and steroids were used until these conditions improved before the introduction of tacrolimus. During the two- to seven-month follow-up period, six of nine patients in whom CNI therapy was ultimately withheld experienced rejection, with one requiring antilymphocyte therapy. Scr levels decreased from 196 µmol/L to 107 µmol/L by three months, and in
were reversible in all but one case, where the patient was nonadherent and had discontinued MMF. SCr, CCr and the GFR were significantly improved at one year after the intervention (Figure 4). Antihypertensives were discontinued in 71% of patients and, despite a reduction in CsA levels, there were no changes in serum TGF-β levels or in calcineurin inhibition. Overall, the intervention was well tolerated, with gastrointestinal side effects and leukopenia occurring in 18% and 24% of patients, respectively. These events resolved with reduction of the MMF dosage.

The use of very low dose CsA in combination with MMF or perhaps sirolimus is worthy of further investigation in LT patients. In renal transplant patients, the combination of sirolimus, MMF and steroids resulted in better renal function (SCr and the GFR) than did the use of CsA, MMF and steroids (159). In this study, the incidence of rejection was not significantly different between the regimens, although it tended to be higher in the sirolimus arm. In another study of renal transplant recipients (160), SCr and the GFR were significantly improved following the withdrawal of CsA at three months from a regimen of CsA, sirolimus and steroids, together with an increase in sirolimus dosage. These results suggest that sirolimus, at least in renal transplant patients, might be most appropriate when used in the absence of CsA, because the combination of the two drugs appears to exacerbate the nephrotoxic effects of CsA. The efficacy and safety of combining sirolimus with low or very low dose CNI need to be established.

A retrospective comparative study (161) was done with 130 LT patients who were given either tacrolimus 0.15 mg/kg twice/day (n=80) and a tapering schedule of steroids or tacrolimus 0.10 mg/kg twice/day, steroids and MMF. In this study, MMF was tapered and discontinued after three months. Overall, the incidence of rejection was lower (26% versus 45%, P=0.03), as were tacrolimus doses at six months (11.6 μg/mL versus 8.2 μg/mL, P=0.0001) in the MMF group. Moreover, steroid tapering was accomplished more rapidly in the MMF group, leading to lower steroid dosages at all time points. The lower tacrolimus dosages in the MMF group allowed for significantly better preservation of early renal function at one month (SCr 97 μmol/L versus 134 μmol/L, P=0.0001). Thus, early use of MMF might allow for lower dosing of tacrolimus and steroids, and the associated benefits.

Sirolimus was studied in combination with low dose tacrolimus in 56 LT recipients (128). Patient and graft survival during 23 months of follow-up were 93% and 91%, respectively. The acute rejection rate was 14% and the incidence of hepatic artery thrombosis was 1.8%. Renal function, glucose control and lipid levels were near normal in 84% of the patients without additional medication, and 91% of the patients were also withdrawn from steroids. The safety and efficacy of this regimen remain to be tested in a randomized controlled trial, especially considering the occurrence of hepatic artery thrombosis. In view of the known effects of sirolimus on lipid metabolism (82,83), it is noteworthy that its use in the context of a tacrolimus-based, prednisone-free regimen has not been associated with this adverse effect, whereas the same does not appear to be true for CsA (162). Serum triglyceride levels were more than twofold greater in CsA-treated patients than those given tacrolimus under this regimen, and hypercholesterolemia was significantly more prevalent with CsA (30% versus 6%, P<0.05) (156). CsA might be better given with either MMF or FT720. The use of CsA or tacrolimus in combination with FT720 is under investigation, and early results show a strong synergy among these agents (155-157). Such combinations might allow for further reductions in CNI dosing and fewer adverse effects.

Temporary CNI discontinuation (‘Holiday’)

Temporary CNI discontinuation may be necessary in LT patients experiencing acute renal dysfunction after the initial postoperative course. The goal is to temporarily discontinue the use of CNI (ie, provide a ‘drug holiday’) and allow renal function to recover. The safest strategy remains to be determined: temporary discontinuation of CNI under anti-CD25 monoclonal antibody coverage (163), temporary discontinuation of CNI without changing the baseline immunosuppressive regimen (either azathioprine with/without steroids, or MMF with/without steroids) or the addition of rapamycin.
Therapies to prevent renal damage: TGF-β and inhibitors of the renin-angiotensin system

Some analyses have suggested that polymorphisms in the genes for the renin-angiotensin system affect susceptibility to renal dysfunction. Among renal transplant recipients, variations in angiotensin-converting enzyme (ACE), angiotensinogen and aldosterone synthase genotypes have been associated with differences in susceptibility to graft loss and/or stability of renal function over time (164-167). In addition, variations in the type 1 angiotensin receptor have been associated with increased diastolic blood pressure (166). Whether pretransplantation genetic testing will prove useful in the setting of LT remains to be seen.

TGF-β expression has been correlated with renal fibrosis, CsA toxicity and declining renal function (59,60,62). Inhibition of TGF-β expression, through the blockade of the renin-angiotensin system, has been investigated as a possible means of ameliorating potentially irreversible kidney damage (168-170). An experimental study (171) suggested that combined ACE inhibitor and angiotensin II receptor antagonist therapy could reverse progressive renal damage in a rat model of spontaneous overt nephropathy. Angiotensin II antagonist therapy normalized proteinuria, prevented infiltration of inflammatory cells and reversed structural changes in glomeruli and tubules (171). Recent clinical studies support these findings. In one study of 39 patients with IgA nephropathy (170), ACE inhibitors significantly decreased renal TGF-β levels (P<0.05) (Figure 5). In contrast, there was no difference in renal TGF-β levels between patients on ACE inhibitors and healthy controls or those on calcium channel blockers (Figure 5). Renal expression of TGF-β was also higher in transplant patients with CsA-associated nephropathy than in nephrectomy specimens (P<0.001) (172). Patients on ACE inhibitor therapy for hypertension, however, showed significantly less TGF-β expression (P<0.05). Because ACE inhibitors are commonly used to reduce blood pressure, it may be necessary to modify the therapy so that reducing TGF-β levels becomes the therapeutic target to prevent renal damage induced by CNIs (168). Large prospective studies are needed to determine whether TGF-β reduction would be effective in preventing CNI-induced renal dysfunction in LT patients. It will also be of great interest to ascertain the value of such therapies in further reducing the risk of renal dysfunction when combined with other strategies outlined in the present review.

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