**Clinical presentation and prevalence of spontaneous bacterial peritonitis in patients with cryptogenic cirrhosis and features of metabolic syndrome**

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**BACKGROUND:** Nonalcoholic steatohepatitis (NASH) may progress to cirrhosis. The prevalence and clinical relevance that spontaneous bacterial peritonitis may have in complicating ascites due to NASH-related cirrhosis have yet to be defined.

**METHODS:** Among 611 cases of cirrhosis-associated ascites, 45 patients with cryptogenic cirrhosis were retrospectively identified. Of these, 36 patients and a control group of subjects with viral-associated ascites were followed up and compared in a case control study. Information on the onset of ascites, with or without spontaneous bacterial peritonitis, history of risk factors for multitumor metabolic syndrome, and serological and ascitic laboratory data were compared between groups.

**RESULTS:** Spontaneous bacterial peritonitis occurred significantly more often in patients with cryptogenic cirrhosis than in equally symptomatic viral controls. The prevalence of obesity, diabetes and spontaneous bacterial peritonitis was significantly higher in patients with cryptogenic cirrhosis. Although liver function was similar in both groups, cryptogenic cirrhosis patients had lower aminotransferase levels. Multivariate analysis identified diabetes, juvenile obesity and spontaneous bacterial peritonitis as independent factors associated with ascites due to cryptogenic cirrhosis.

**CONCLUSIONS:** Features suggestive of NASH are more frequently observed in patients with ascites and cryptogenic cirrhosis than in age- and sex-matched ascitic patients with well-defined viral etiology. Ascites may be presenting symptom of NASH-related cirrhosis, and affected patients have a twofold greater risk of spontaneous bacterial peritonitis.

La präsentation clinique et la prévalence de la péritonite bactérienne spontanée chez les patients atteints de cirrhose cryptogénique et les caractéristiques du syndrome métabolique

**BACKGROUND :** La stéatohépatite non alcoolique (SHNA) peut se détériorer en cirrhose. La prévalence et la pertinence clinique potentielles d’une péritonite bactérienne spontanée à compliquer les ascites en raison d’une cirrhose reliée à une SHNA n’ont pas encore été définies.

**MÉTHODOLOGIE :** Sur les 611 cas d’ascites associées à une cirrhose, 45 patients atteints de cirrhose cryptogénique ont fait l’objet d’un dépistage rétrospectif. De ce nombre, 36 patients et un groupe témoin atteints d’ascites d’origine virale ont été suivis et comparés dans le cadre d’une étude cas-témoin. L’information sur l’apparition des ascites, avec ou sans péritonite bactérienne spontanée, les antécédents de facteurs de risque de syndrome multimétabolique et les données de laboratoire sérologiques et ascitiques ont été comparés entre les groupes.

**RÉSULTATS :** La péritonite bactérienne spontanée se manifestait beaucoup plus chez les patients atteints de cirrhose cryptogénique que chez des témoins viraux tout aussi symptomatiques. La prévalence d’obésité, de diabète et de péritonite bactérienne spontanée était beaucoup plus élevée chez les patients atteints de cirrhose cryptogénique. Bien que la fonction hépatique soit similaire dans les deux groupes, les patients atteints de cirrhose cryptogénique présentaient des taux d’aminotransférase plus faibles. L’analyse multivariée a permis d’établir que le diabète, l’obésité juvénile et la péritonite bactérienne spontanée étaient des facteurs indépendants associés à des ascites causées par une cirrhose cryptogénique.

**CONCLUSIONS :** Les caractéristiques suggérant une SHNA sont plus observées chez les patients ascitiques et atteints de cirrhose cryptogénique que chez des patients ascitiques à l’etiologie virale bien définie et apparus selon l’âge et le sexe. Les ascites sont peut-être un facteur de présentation de cirrhose secondaire à une SHNA, et les patients atteints courent un risque deux fois plus élevé de péritonite bactérienne spontanée.

Nonalcoholic fatty liver disease (NAFLD) accounts for approximately 80% of cases of elevated liver enzyme levels in the American population; one individual in every four or five American adults actually has NAFLD (1,2). Similar data have been also reported for the Japanese (3) and Italian (4,5) populations. NAFLD is mainly associated with obesity (6,7), diabetes (8-10), hyperlipidemia (8,11-13) and insulin resistance (13-16), which are the main features of this recently characterized metabolic syndrome (17). In most cases, fatty liver does not progress to more severe liver disease, but in nearly 20% to 30% of patients, there are histological signs of fibrosis and necro inflammation, thus implying the presence of...
nalanoholc steatohepatitis (NASH) (8,18). In the past, patients with clinical aspects of metabolic syndrome and cirrhosis without a well-defined etiology were considered as having cryptogenic cirrhosis (CC) (19); these patients are at risk of developing terminal liver failure (19) and hepatocellular carcinoma (20). Ascites and spontaneous bacterial peritonitis (SBP) are potentially life-threatening complications in patients with cirrhosis of known etiology. In postviral cirrhosis, SBP may be complicated by renal failure, systemic sepsis and recurrence, leading to poor survival (21-23). The prevalence of SBP in hospitalized patients with cirrhosis of known origin is between 10% and 30% (24,25). Ascites are also part of the natural history of NASH-related CC (26), but no data are available on the prevalence and clinical presentation of SBP in hospitalized patients with CC-related ascites. Against this background, our study aimed to verify the following: the prevalence of metabolic syndrome (ie, risk factors associated with NASH) in patients with CC related ascites; the prevalence of SBP in CC patients hospitalized for a first evaluation of ascites; and clinical presentation of ascites with or without SBP in patients with CC. We used an age- and sex-matched group of patients with ascitic cirrhosis of well recognized viral cause as controls.

**PATIENTS AND METHODS**

**Patients**

Using the medical records of the Hepatology Unit of the Department of Clinical and Experimental Medicine of the Federico II University Medical School and of the VHth Division of Infectious Disease at D Cotugno Hospital in Naples, Italy, 819 white patients hospitalized from 1992 to 2002 with a diagnosis of newly discovered ascites were identified. These patients were included in an extensive study as part of a diagnostic work up for the first evaluation of ascites. Of these, 611 patients had ascites superimposed on liver cirrhosis. The diagnosis of cirrhosis was made by either histological criteria or clinical, analytical and ultrasonographic findings; the authors had sufficient data to establish the etiology of liver disease for most of the patients. The clinical and laboratory data failed to identify any recognizable cause in 45 (34 females) patients, who were therefore classified as having CC. Of these patients, 36 (24 females) were still being actively followed and represent the population in the present report. For each index case, the authors identified in their files the two closest hepatitis C virus (HCV)- and one closest hepatitis B virus (HBV)-related ascitic cirrhotic patients, who were consecutively selected and matched for age (±6 years) and sex. Patients with hemochromatosis, primary biliary cirrhosis or autoimmune liver disease were not considered because of difficulties in matching with the cryptogenic group; some sporadic cases of chylous ascites were also excluded. Patients with mixed etiology (simultaneous presence of at least two of the following conditions: HBV, HCV, excessive alcohol intake, hemochromatosis and coinfection of HBV with Delta virus) were also excluded. Thus, 108 patients acted as control subjects for the present study. The study protocol was approved by the senior staff committee of the Department of Clinical and Experimental Medicine of the Federico II University Medical School.

**Data collection**

All patients were re-evaluated and the following data were recorded: history of liver disease among first degree relatives, recent onset of symptoms leading to the diagnosis of ascites or SBP, history of diabetes and dyslipidemia (hypercholesterolemia and hypertriglyceridemia), presence of coronary artery disease and hypertension, personal history of alcohol intake, intravenous drug use and blood transfusion. Information on alcohol consumption was self-reported and confirmed by interviewing a family member. Patients with alcohol consumption greater than 140 g/week were excluded from the CC group.

The diagnosis of diabetes mellitus was based on the American Diabetes Association criteria (17). Type, age at onset, duration of diabetes and current therapy were recorded. Coronary artery disease was assessed during the interview and confirmed by the medical files of the patients' primary care physicians. Height and weight were measured. Hypertension was diagnosed if the patient had a past history of hypertension, was taking antihypertensive medication, or had a resting recumbent blood pressure of 140/90 mmHg or higher on at least two occasions. Hypercholesterolemia was defined as a serum cholesterol level greater than 5.18 mmol/L; hypertriglyceridemia, if serum triglyceride levels were greater than 2.26 mmol/L. Body mass index (BMI) was calculated as weight (kg) divided by squared height (m²). This index was measured after the partial or total resolution of ascites. Obesity was defined as BMI greater than 30 kg/m²; overweight as BMI between 25 kg/m² and 29.9 kg/m². Because cirrhosis may be related to changes in body mass, with or without clinical ascites, each patient's history of obesity was investigated by collecting information on average weight 2.0 to 2.5 years before the diagnosis of cirrhosis.

Serological testing included anti-HCV antibody and HBV markers. The following laboratory tests were also performed in all cases: antinuclear, antimitochondrial and antiliver kidney microsomal antibody titres, and levels of alpha-antitrypsin levels, total bilirubin, alanine transaminase (ALT), aspartate transaminase, alkaline phosphatase, albumin, gamma-glutamyl transferase, prothrombin activity, quantitative immunoglobulins, iron storage parameters (ferritin, iron, transferring saturation), copper, ceruloplasmin, serum cholesterol and triglyceride. Fasting glucose and insulin levels were determined and used to calculate the homeostasis model assessment parameters of insulin resistance (HOMA-R) (27). This test shows a good correlation with the hyperinsulinemic euglycemic clamp technique, the gold standard for quantitative assessment of insulin sensitivity (28,29). The occurrence of H63D and C282Y mutations in the HFE gene of familial hemochromatosis was also determined.

During hospitalization, patients underwent diagnostic paracentesis, and the ascitic fluid was classically processed for cytology, polymorphonuclear (PMN) leucocyte and lymphocyte count, and appropriate biochemical tests (glucose, total protein, albumin, amy lases, lactate dehydrogenase). The ascitic sample for PMN leucocyte and total leucocyte count was collected into a heparin anticoagulant tube and analyzed within 3 h of extraction. Cell count was performed on a hematological instrument (Pentra 120; ABX Diagnostics, France). The ascitic fluid sample was centrifuged at 2500 g for 10 mins. A smear was performed with the cellular bottom and stained with May-Grumwald-Giemsa. Cytological examination and differential cell count were made using a conventional optical microscope. Ascitic cultures were made by inoculating 10 mL of ascitic fluid into a bottle containing 25 mL of liquid culture (BD BACTEC; Becton, Dickinson and Company, Ireland). The bottle was placed in the Bactec instrument and processed according to the manufacturer's instructions. SBP was defined as a PMN leucocyte count in ascitic fluid greater than 250 mm³, in the absence of any intra-abdominal source of infection and after
excluding other causes of elevated PMN leukocytes in ascitic fluid such as tuberculosis, peritoneal carcinomatosis or pancreatitis.

Statistical analysis
All analyses were carried out with a personal computer using MedCalc software (Belgium). Results were specifically expressed as median with 95% CI for each discrete variable. The paired Wilcoxon test was used to calculate the significance of between-group differences, while the McNemar test was used to compare proportions. This case control study (ratio 1:3) was managed with nonparametric statistics. Logistic regression analysis was used to identify the factors significantly associated with CC in patients with ascites (univariate analysis). Only the factors carrying significant risk were included in a stepwise multivariate analysis. Significance limit was set to P<0.05.

RESULTS
The prevalence of CC in the 611 patients with cirrhotic ascites was 7.36%, compared to 5.9% in patients with HCV-related cirrhosis, 12.9% in patients with HBV-related cirrhosis, 9.1% in patients with alcohol-related cirrhosis, 9.5% in patients with mixed etiology and 2.14% in patients with hemochromatosis and primary biliary cirrhosis/autoimmune cholangitis. Patients with CC and ascites were older compared with the entire unmatched group of ascitic patients (67 years [64 to 69] versus 59 years [53 to 63], respectively, P<0.05). The proportion of females was higher in the case group of CC patients with ascites than in the entire unmatched group of patients with ascites of well-defined etiology (67% [24 of 36] versus 46% [281 of 611], respectively, P<0.01).

Because none of the variables examined showed any significant difference between the viral subsets (HBV and HCV etiology) in the control group (data not shown), these patients were considered as a single control group for the purpose of the statistical analysis. The mean duration of cirrhosis history before the diagnosis of ascites was 1.0±2.64 years in the CC group and 0.8±3.58 years in the control group (P<0.001).

The main clinical and laboratory data of patients included in the case control study are reported in Table 1. Most of the cases and controls were classified as Child-Pugh’s Class B, although the authors were unable to exactly determine the score due to difficulties in assessing the severity of ascites and encephalopathy from medical records. Given the inclusion criteria, there was no age difference between the CC group and the control group. BMI was higher in the CC group than in the control group, both at the time of the evaluation and when considering the reported weight 2.0 to 2.5 years before the diagnosis of cirrhosis. Type II diabetes and hypertension were more common in patients with CC than in the viral etiology control group. The median age at diagnosis of diabetes was 51 years (95% CI 39 to 59) in the CC group and 60 years (51 to 68) in the control group (P<0.01). Previous dyslipidemia, including hypercholesterolemia and hypertriglyceridemia, was observed more frequently in patients with CC than in the control group. Coronary artery disease was not associated with CC. CC patients had lower aminotransferase levels, with ALT being in the normal range in 80% of cases, compared within 15% of controls (P<0.01). Blood glucose, insulin and the indexes of insulin resistance (HOMA-R) were significantly higher in the CC group than in the control group. Cholesterol and triglycerides were also higher in the CC group, but there were no differences in routine liver function tests and Child-Pugh scores compared with controls. Iron status was similar between groups; there were no cases of familial hemochromatosis in either the CC or the control group. The characteristics of ascites differed for the significantly higher number of cases of SBP among CC patients.

The univariate analysis (Table 2) confirmed that clinical history of obesity (recent and juvenile precirrhosis obesity), hypertension, diabetes, dyslipidemia, elevated fasting glucose, insulin resistance and normal values of ALT and SBP were associated with CC. Multivariate analysis identified type II diabetes, juvenile precirrhosis obesity and SBP as independent predictors of ascites superimposed on CC. The onset of symptoms that led to the diagnosis of ascites are shown in Table 3.
TABLE 2
Risk factors associated with cryptogenic cirrhosis among subjects with ascites

<table>
<thead>
<tr>
<th>Clinical data*</th>
<th>Univariate analysis</th>
<th>Multivariate analysis</th>
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<tbody>
<tr>
<td>Hypertension</td>
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<td>4.5</td>
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<tr>
<td>Coronary artery disease</td>
<td>1.2</td>
<td>1.7</td>
</tr>
<tr>
<td>Hypertriglyceridemia (≥2.26 mmol/L)</td>
<td>4.5</td>
<td>3.2</td>
</tr>
<tr>
<td>Hypercholesterolemia (&gt;5.18 mmol/L)</td>
<td>2.3</td>
<td>3.2</td>
</tr>
<tr>
<td>Type II diabetes mellitus</td>
<td>6.5</td>
<td>6.5</td>
</tr>
<tr>
<td>Obesity</td>
<td>2.4</td>
<td>0.9</td>
</tr>
<tr>
<td>Overweight</td>
<td>6.9</td>
<td>0.9</td>
</tr>
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<th>Laboratory data</th>
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<th>Multivariate analysis</th>
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<tbody>
<tr>
<td>Fasting serum blood glucose (mmol/L)</td>
<td>1.6</td>
<td>4.5</td>
</tr>
<tr>
<td>HOMA-R (%)</td>
<td>1.9</td>
<td>1.9</td>
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<tr>
<td>Serum cholesterol (mmol/L)</td>
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<tr>
<td>Serum triglycerides (mmol/L)</td>
<td>3.2</td>
<td>3.2</td>
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<tr>
<td>Alanine transaminase (U/L)</td>
<td>0.6</td>
<td>0.6</td>
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<tr>
<th>Risk factors associated with cryptogenic cirrhosis among subjects with ascites</th>
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<td>Odde ratio</td>
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*Clinical data refer to past or present evidence of disease. HOMA-R Homeostasis model assessment parameters of insulin resistance

DISCUSSION

We selected a control population with ascites of identifiable etiology for this study; CC-associated ascites were identified after careful exclusion of any potential bias. In particular, the amount of alcohol consumption necessary to meet the criteria for the diagnosis of NASH is an important issue. Because the hepatotoxic dose of alcohol in the general population may be as low as 20 to 30 g/day in females and 40 g/day in males, we chose to set a daily alcohol consumption below these amounts. Our control group was well-balanced with the CC group for age and sex, but when all of the 611 patients with ascites were considered, those with CC were older. This may reflect a longer survival or a later onset of ascites in the CC group, suggesting a slower progression of NASH to cirrhosis and its complications. The time from cirrhosis to ascite development seems shorter in the CC group, perhaps due to a later diagnosis in these patients; this is most likely attributable to a low index of suspicion of liver disease in patients with negative viral markers and, in many cases, normotransaminasemia (Table 1). Indeed, 26 of 36 patients (72%) in the CC-related ascite group versus 18 of 108 patients (16%) (P<0.001; Table 3) in the control group were diagnosed as having cirrhosis after the discovery of ascites. CC-related ascite patients, without symptoms indicative of SBP, were significantly more often diagnosed fortuitously than controls (Table 3). Conversely, most patients with SBP in the case group were equally symptomatic, as were most control patients with infectious ascites (Table 3).

In our study, the features suggestive of metabolic syndrome (17), including obesity, insulin resistance, type II diabetes, dyslipidemia and hypertension, were more frequently observed in patients with ascites superimposed on cirrhosis of unknown etiology, than in carefully matched control patients. These data are consistent with the presence of a metabolic disorder leading first, to a fatty liver and then to ascites via NASH, fibrosis and cirrhosis. Previous obesity was retrospectively evaluated by interviewing the patients; this may represent a bias, but body weight at the time of diagnosis of ascites would have been far more biased by the presence of advanced liver failure. In Italy, 20-50% of cirrhotic patients are malnourished to such an extent that the disease increases with higher Child-Pugh cirrhosis scores (31). Type II diabetes is a common finding in cirrhosis as a consequence of liver failure, virtually irrespective of its etiology. However, the greater prevalence of type II diabetes, as well as the higher glucose and insulin levels, and insulin resistance found in the CC group are in accordance with a metabolic origin of liver disease. This hypothesis is also supported by a longer duration of diabetes in CC; the same applies to dyslipidemia (Table 1). The role of triglycerides as a hallmark of NASH has been repeatedly reported (13,16) Triglycerides are one of the most important features of the so-called metabolic syndrome (17). We found systemic hypertension to be a significant independent predictor of ascites superimposed on CC. Angiotensin II levels may contribute to the hepatic fibrosis seen in NAFLD patients with hypertension (32). A possible mechanism is the enhanced profibrogenic cytokine transforming growth factor β1 production via angiotensin II, which contributes to hepatic stellate cell activation (33-35).

Results from clinical trials indicate, ascitic fluid cultures are positive in 80% of patients with SBP (36,37), although in clinical practice, ascite culture is negative in more than 60% of SBP cases (38); thus, the diagnosis must be based on PMN leukocyte cell count. In agreement with these findings, our results show a significant divergence in the diagnosis of SBP between the PMN leukocyte cell count and ascitic culture, which was often negative. When we consider the control group, the incidence of ascitic infection observed in a hospital setting in this study was 23%, which is in the range previously reported (10% to 30%) (39). However, in the group of patients with CC-related ascites, the percentage was significantly higher than in the viral control group (58% versus 23%, respectively, P<0.01) (Table 1). The opsonic activity of ascitic fluid is proportional to the protein concentration, and SBP is more likely to occur if ascitic fluid protein is less than 10 g/L (40).

It is not easy to explain the more frequent infection of ascitic fluid in patients with CC-related ascites. Physicians may not be inclined to give hospital care to all patients on the first episode of ascites, especially when of known etiology. Therefore, patients with a clear etiology of cirrhotic ascites are often managed as out-patients, sometimes without undergoing diagnostic paracentesis, using oral antibiotic and diuretic empirical therapies; in this way, we miss the opportunity to make many reliable diagnoses of SBP. Conversely, physicians are very prone to perform paracentesis when the pathogenesis
Indeed, bacterial overgrowth in humans is the basis of direct ethanol production (and presumably metabolism) that may alter intestinal permeability (47), which is already impaired in cirrhotic patients (48), thus promoting the bacterial translocation demonstrated in mice (49). Furthermore, we hypothesize that in CC patients, compared with controls, there may be a stronger immunodepression attributable to poorly controlled diabetes.

CONCLUSIONS

Features of multimetabolic syndrome are a common finding in patients with CC ascites, compared with results from patients with ascites complicating cirrhosis of well-defined viral etiology. Normal transaminase levels are a frequent feature in patients with CC-related ascites, with or without SBP. Ascites, with or without SBP, are often the first clinical complication leading to the diagnosis of post-NASH CC. Noninfectious ascites were discovered incidentally more often in the CC group than in the viral control group. SBP was equally symptomatic in a large percentage of cases and controls. Of note, the frequency of infected ascitic fluid was more than twofold greater in hospitalized patients with CC-related ascites compared with patients with ascites related to postviral cirrhosis.

REFERENCES


40. Runyon BA. Patients with deficient ascitic fluid opsonic activity are predisposed to spontaneous bacterial peritonitis. Hepatology 1988;8:632-5.


