Counselling by primary care physicians may help patients with heartburn-predominant uninvestigated dyspepsia

P. Paré MD FRCPC FACG1, Joanna Lee M Math2, Ian A Hawes BSP ACPR CCPE2

OBJECTIVE: To determine whether strategies to counsel and empower patients with heartburn-predominant dyspepsia could improve health-related quality of life.

METHODS: Using a cluster randomized, parallel group, multicentre design, nine centres were assigned to provide either basic or comprehensive counselling to patients (age range 18 to 50 years) presenting with heartburn-predominant upper gastrointestinal symptoms, who would be considered for drug therapy without further investigation. Patients were treated for four weeks with esomeprazole 40 mg once daily, followed by six months of treatment that was at the physician’s discretion. The primary end point was the baseline change in Quality of Life in Reflux and Dyspepsia (QOLRAD) questionnaire score.

RESULTS: A total of 135 patients from nine centres were included in the intention-to-treat analysis. There was a statistically significant baseline improvement in all domains of the QOLRAD questionnaire in both study arms at four and seven months (P<0.001). After four months, the overall mean change in QOLRAD score appeared greater in the comprehensive counselling group than in the basic counselling group (1.77 versus 1.47, respectively); however, this difference was not statistically significant (P=0.07). After seven months, the overall mean baseline change in QOLRAD score between the comprehensive and basic counselling groups was not statistically significant (1.69 versus 1.56, respectively; P=0.63).

CONCLUSIONS: A standardized, comprehensive counselling intervention showed a positive initial trend in improving quality of life in patients with heartburn-predominant uninvestigated dyspepsia. Further investigation is needed to confirm the potential benefits of providing patients with comprehensive counselling regarding disease management.

Key Words: Counselling; Heartburn-predominant; Quality of life; Uninvestigated dyspepsia

Gastroesophageal reflux disease (GERD) is a prevalent health problem, with a significant impact on health-related quality of life (HRQoL) and a substantial economic burden (1,2). Although GERD symptoms have been reported to fluctuate with time (3), it is generally considered to be a chronic disease. Because GERD symptoms overlap considerably with other upper gastrointestinal symptoms (4,5), heartburn and acid regurgitation are included in the definition of uninvestigated dyspepsia (6).

In heartburn-predominant dyspepsia without alarm symptoms, acid-suppressive therapy with a proton-pump inhibitor (PPI) is the initial strategy recommended by current treatment consensus guidelines (6-10). In general, lifestyle changes and patient counselling are minimally addressed in these guidelines, in part, because of the lack of evidence supporting their clinical value (11).

Lifestyle alone is not the cause of GERD symptoms, but lifestyle changes may modulate their severity and perception.

©2010 Pulsus Group Inc. All rights reserved
TABLE 1
Template for intervention strategy

1. Educate on the natural history of symptoms/disease
   Common symptoms
  Heartburn and acid regurgitation
   Abnormal function of gastroesophageal sphincter: a valve-like mechanism to prevent reflux of acid and/or food in esophagus
   Dyspeptic symptoms: abnormal stomach function
   Slow emptying of stomach
   Increased sensitivity of stomach to distension
   Lifestyle modifications
   Not the cause of these symptoms but modulate their severity or perception
   Eating
   Avoid high-fat meals, large meals, eating before retiring/lying down
   No specific diet otherwise
   Potential irritants
   Juices, chocolate, mint, alcohol
   Smoking cessation
   Weight control
   Methods to control acid reflux
   Acid-neutralizing drug (antacids)
   Inhibiting acid secretion
   Highly responsive to acid-suppressive therapy
   Variably and less responsive to acid-suppressive therapy

2. Address the patient’s expectations for symptom relief
   Heartburn and acid regurgitations
   Other dyspeptic symptoms

3. Address reason(s) for consulting
   “You have had these symptoms for sometime, what prompted you to seek consultation at this point in time?”
   Items for discussion
   Severity and frequency of symptoms
   Personal concern about a serious disease
   Emotional/psychological distress
   Anxiety
   Depression
   Difficulty arising from major life events and psychosocial issues
   Reassure after eliciting the patient’s worries and concerns

   Note: The discussion should, as much as possible, be of the same content and be delivered in the same manner to all subjects

Only a minority of individuals with GERD seek advice from a health care professional and, for many subjects, dyspepsia persists for several months or years. Compared with asymptomatic individuals, those seeking health care have impaired HRQoL, increased work absenteeism and decreased productivity (12). The reasons for seeking medical help are only partially explained by the severity or frequency of symptoms (13). In a patient-based study of patients with heartburn and/or functional dyspepsia (14), 65% reported anxiety and worry about their condition, followed by various psychological problems (35%) and dyspepsia itself (26%). Patient fear and anxiety related to the possible presence of a more serious condition in the presence of GERD and/or dyspepsia has also been supported by community- (15,16) and population-based studies (17,18). When diagnostic and prognostic information is provided to primary care patients presenting with physical symptoms, there is short-term improvement in symptoms and functional status (19). A general therapeutic approach without pharmacotherapy (20) and overtly discussing the motives for consultation (21) have been shown to reduce symptoms and health care visits in patients with irritable bowel syndrome. Therefore, factors other than initial and long-term drug therapy are likely to influence the patient’s behaviour in managing their disease; indeed, patient concerns and fear of serious disease, anxiety and psychological issues account for an important part of the differences in health care use. Other factors may relate to patients’ expectations and education on the chronic nature of their symptoms. Strategies to counsel and empower the patient could influence global management and outcomes, leading to improved HRQoL and satisfaction, and decreased health care system costs.

In the present study, we assessed the benefit of a six-month patient-empowering and counselling strategy after four weeks of initial therapy with esomeprazole 40 mg once daily in primary care patients with heartburn-predominant uninvestigated dyspepsia. The primary objective was to evaluate the four- and seven-month change from baseline in dimensions of HRQoL (QOLRAD) (22) for patients who received comprehensive counselling versus those who received basic counselling.

METHODS

The present study was performed according to a parallel group, multicentre, cluster randomized design. Before subjects were enrolled, the participating centres (urban family practice clinics) were randomly assigned to provide only one type of counselling intervention: basic or comprehensive counselling. This was to minimize potential bias that may have been introduced by the same investigator providing the two counselling interventions.

Patients were initially treated with four weeks of oral esomeprazole therapy (40 mg once daily). Treatment in the following six months was open to the physician’s discretion. The duration of treatment was in accordance with the results of the CADET (23) group of studies and the Canadian Dyspepsia Working Group guidelines (6).

Study plan

The study enrolled men and women 18 to 50 years of age, with a history of heartburn-predominant symptoms (continuous or intermittent) lasting a minimum of one month. Study subjects were also required to identify heartburn as their most bothersome symptom on the specific symptom subtype scale at visit 1, and have overall symptoms of moderate severity (Global Overall Symptom [GOS] score of 4 or higher at visit 1). Patients were excluded if they were currently experiencing or had a history of gastric or duodenal ulcers, gastrointestinal malignancy, erosive esophagitis, Zollinger-Ellison syndrome or primary esophageal motility disorders, documented upper gastrointestinal surgery, *Helicobacter pylori* eradication or attempted eradication therapy in the previous six months, pregnancy or lactation, chronic alcoholism or drug abuse. Treatment with PPIs, H2-receptor antagonists or prokinetics during the 30 days before visit 1 was also not permitted.

The counselling interventions were delivered in a standardized manner using the template provided in Table 1. Training was provided by the same individual (PP) to all participating physicians, and separately to those randomly assigned to basic
counselling and those to comprehensive counselling. Groups did not have access to the template of the other group. One group of centres was randomly assigned to basic counselling, which included only one component. The other group of centres was randomly assigned to comprehensive counselling, which included three components. Basic counselling (component 1 only) aimed to provide education on the nature course of the disease and symptoms, including lifestyle changes that could help reduce the frequency and severity of symptoms as well as methods to help relieve the symptoms when present. Comprehensive counselling included the components of basic counselling and two other components to address the subject’s expectations concerning symptom relief (component 2) and to address the reasons why subjects consult their physicians for these symptoms (component 3). Component 2 aimed to elaborate on the symptoms of the disease and the nature of the symptoms that would respond best to acid-suppressive therapy. Component 3 focused on the reasons why the subjects believed they needed to consult their physician concerning their symptoms and allaying any fears or concerns.

Investigators provided the counselling intervention their centre was randomly assigned to by using a template to standardize the protocol at the first visit before esomeprazole therapy and one month later, at the end of initial esomeprazole therapy. Although the investigators were required to answer any questions that were asked by patients, questions were not solicited.

The study plan included visits at entry and at one, four and seven months (Figure 1). At each visit, the QOLRAD (22), GOS (24,25) and Reﬂux Disease Questionnaire (RDQ [26]) questionnaires were completed. Patients were provided with journals at each study visit, with instructions to record information regarding health care visits, costs incurred and days of work or salary lost, both dyspepsia-related and those incurred for any other concomitant illnesses. Patients were contacted by telephone at weeks 10 and 22, to enquire about adverse events and any new concomitant medications, including any medicines used to treat their dyspepsia and to remind them to record all health care costs incurred in the journal.

At the end of the study (month 7), all subjects were assessed for their overall satisfaction with the counselling they had received. Patients who received comprehensive counselling were also questioned to assess which component of the intervention they found most helpful. The investigator's satisfaction with the management strategies was also assessed.

**Statistical analysis**

Statistical analyses were performed, using SAS version 8.2 (SAS Institute, USA).

For hypothesis testing, a P<0.05 probability of type I error was considered to be statistically significant. Changes in baseline QOLRAD scores and GOS scale after one, four and seven months were analyzed using analysis of covariance with factors of the study arms and language, if applicable. The difference in relative baseline response to the QOLRAD questions at four and seven months was summarized for the five separate domains to describe the primary efﬁcacy end point. In addition, the change from baseline in overall quality of life score, derived as average of all QOLRAD questions, was calculated and reported. In view of the exploratory nature of the study, no adjustment for multiplicity was performed. The baseline score was included in the model as a covariate and the centre effect was treated as a random effect. Sixty per cent of nonmissing QOLRAD data was the minimum requirement for the mean calculation within a domain. The frequency and percentages of subjects with symptom relief, resolution and improvement were summarized for the two study arms after one, four and seven months, and 95% CIs for the estimated percentages were determined. The change in baseline RDQ scores after one, four and seven months was analyzed using the signed rank test. All missing values were determined using the last observation carried forward method. Least-square means, 95% CIs and graphical presentations are included in the presentation of data. The conﬁdence limits for the health economics variable were computed using the nonparametric bootstrap method.

The primary approach to statistical analyses for all efﬁcacy and patient-reported outcomes was an intention-to-treat (ITT) analysis. All randomly assigned patients were included in the ITT population. All subjects who received at least one dose of study medication were included in the analysis of safety data.

The present study was exploratory; therefore, appropriate sample size with adequate power was not determined. Three investigative sites were to enrol 65 subjects who would be provided with comprehensive counselling, while six other investigative sites were to enrol 65 subjects who would be provided with basic counselling. Of 130 subjects enrolled, 100 subjects (50 in each study arm), were expected to complete the full seven-month study period.

**RESULTS**

In total, 135 subjects were enrolled and received treatment in nine centres (six basic counselling and three comprehensive counselling). There were one to six primary care physicians at each centre, and each centre enrolled one to 27 patients. All 135 patients were included in the ITT analysis (three patients in the basic counselling group discontinued participation before receiving esomeprazole). Figure 1 illustrates subject participation throughout the study. In the comprehensive counselling
TABLE 2
Baseline demographics and characteristics

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Comprehensive counselling group (n=67)</th>
<th>Basic counselling group (n=68)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Demographic</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sex</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Men</td>
<td>54</td>
<td>60</td>
</tr>
<tr>
<td>Women</td>
<td>46</td>
<td>40</td>
</tr>
<tr>
<td>Mean age, years</td>
<td>39</td>
<td>36</td>
</tr>
<tr>
<td><strong>Language</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>English</td>
<td>48</td>
<td>47</td>
</tr>
<tr>
<td>French</td>
<td>52</td>
<td>55</td>
</tr>
<tr>
<td>Body mass index, kg/m²</td>
<td>29.1</td>
<td>28.2</td>
</tr>
<tr>
<td><strong>Education</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>High school or less</td>
<td>49</td>
<td>62</td>
</tr>
<tr>
<td>College or above</td>
<td>51</td>
<td>38</td>
</tr>
<tr>
<td><strong>Clinical</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Heartburn</td>
<td>100</td>
<td>100</td>
</tr>
<tr>
<td>Mean duration of heartburn, years</td>
<td>5.5</td>
<td>5.8</td>
</tr>
<tr>
<td>Previous procedures for</td>
<td>30</td>
<td>26</td>
</tr>
<tr>
<td>gastrointestinal symptoms</td>
<td></td>
<td></td>
</tr>
<tr>
<td>QOLRAD overall score, mean (95% CI)</td>
<td>4.36 (4.06–4.64)</td>
<td>4.06 (3.80–4.32)</td>
</tr>
<tr>
<td>Global overall symptoms</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Moderate (score = 4)</td>
<td>52</td>
<td>25</td>
</tr>
<tr>
<td>Moderately severe (score = 5)</td>
<td>36</td>
<td>41</td>
</tr>
<tr>
<td>Severe/very severe (score = 6 or 7)</td>
<td>12</td>
<td>34</td>
</tr>
<tr>
<td>Mean score (95% CI)</td>
<td>4.6 (4.4–4.8)</td>
<td>5.1 (4.9–5.4)</td>
</tr>
<tr>
<td>Overall RDQ score, mean (95%CI)</td>
<td>30.1 (27–33.1)</td>
<td>33.2 (30.8–35.7)</td>
</tr>
</tbody>
</table>

Data are presented as %, unless indicated otherwise. QOLRAD Quality of Life in Reflux and Dyspepsia; RDQ Reflux Disease Questionnaire

group, 13 patients were lost to follow-up. In the basic counseling group, 15 patients were lost to follow-up; four patients withdrew their consent and three patients did not fulfill eligibility criteria. The baseline demographic characteristics of the two intervention groups were similar (Table 2). Regarding clinical characteristics, the basic counselling group showed a lower QOLRAD mean score, a higher GOS and overall RDQ mean score than the same scores in the comprehensive counselling group. The corresponding baseline value was included in the analysis for adjustment. Regarding specific symptom subtypes, epigastric pain (34% versus 50%), epigastric discomfort (27% versus 51%) and regurgitation (37% versus 48%) were reported more often in the basic than in the comprehensive counselling group, respectively. Overall, patients reported bloating (31%), belching (37%), nausea (17%), early satiety (21%) and post-prandial fullness (32%). The most bothersome symptom was heartburn in all patients of each group.

Primary outcome: HRQoL

Treatment phase: Figure 2 depicts the mean scores for the QOLRAD questionnaire during the course of the study. Both groups showed a statistically significant improvement from baseline at one month (P<0.001). The overall mean change in QOLRAD score from baseline to one month was 1.85 for the comprehensive counselling group and 2.00 for the basic counselling group (P=0.62 between groups). There were no statistically significant differences in any domain when comparing between groups.

Follow-up phase: A statistically significant baseline improvement in all domains of the QOLRAD questionnaire at four and seven months was observed in both study arms (P<0.001). The overall mean baseline change in QOLRAD score at four months was 1.77 for the comprehensive counselling group and 1.47 for the basic counselling group (P=0.07). The former group showed a statistically significant improvement in QOLRAD score from baseline to four months in the emotional distress domain compared with the basic counselling group (1.96 and 1.54, respectively; P=0.03). The overall mean change in QOLRAD score from baseline to seven months was 1.69 for the comprehensive counselling group and 1.57 for the basic counselling group (P=0.63). There were no statistically significant differences in any domains between groups from baseline to seven months.

Compared with the end of treatment phase (month 1), when both sessions of counselling had been delivered, the overall QOLRAD score three months later declined significantly more in the basic counselling group than in the comprehensive counselling group (mean change 0.51 and 0.10, respectively; P=0.005). All domains of the QOLRAD questionnaire, except sleep disturbance, showed statistically significant differences between the two groups. At six months after the end of treatment phase, the changes in the overall QOLRAD score or any of its domains, did not differ between the two study arms.

Secondary outcomes: Symptom assessment

Both groups showed a statistically significant improvement in GOS score from baseline to one, four and seven months (P=0.0001). The changes in GOS score at four and seven months did not differ significantly between the two groups.

After the end of the initial four weeks of treatment with esomeprazole, both groups showed a progressive decrease in the proportion of patients with symptom improvement, relief and resolution during the follow-up phase (symptom relief [GOS 2 or less] at one, four and seven months: comprehensive counselling: 78%, 60% and 66%; basic counselling: 83%, 48% and
The aim of the present study was to evaluate the impact of a disease management counselling intervention on HRQoL in a representative population of patients presenting with heartburn-predominant uninvestigated dyspepsia. A cluster randomization design was used in which sites were assigned to provide either a basic or comprehensive counselling intervention. The basic counselling intervention was developed by the initiating investigator (PP) after consulting primary care physicians. This consultation found that these physicians provided all items of the basic counselling intervention; however, usually over several patient visits rather than in total at one or two visits. In the comprehensive counselling intervention, items not routinely reviewed with patients by primary care physicians were added and were believed to be of clinical relevance by physicians participating in the study.

The present study was exploratory in nature and, therefore, had some inherent limitations. A sample size was not formally calculated and the study design was not optimal. The most natural way to analyze a cluster randomized trial would be to examine the cluster level; for example, one response per centre weighted by centre size. However, because the number of centres in the present study was small, this type of analysis was not a viable option. Due to the small number of centres and patients, some of the variables may not have been sufficiently powered to show a statistically significant difference between the two study groups. Additionally, because the study compared two counselling interventions, the design lacked a true control group in which no counselling was provided.

There was a statistically significant baseline improvement in all domains of the QOLRAD score at four and seven months in both counselling study arms. At four months, the overall mean change in QOLRAD was greater with comprehensive versus basic counselling. Compared with baseline, however, this difference was not statistically significant (P=0.07), except in the emotional distress domain (P=0.03). The QOLRAD change at four months compared with the time when the intervention was completed (after the four-week treatment phase) was statistically greater with comprehensive versus basic counselling; however, the magnitude of the difference may not be clinically relevant. The study results suggest that the patient perception of overall benefits obtained from the two counselling interventions may be similar. This is consistent with the lack of difference in QOLRAD scores at seven months.

Both interventional counselling templates included a discussion on education in the natural history of heartburn-predominant dyspepsia, mechanisms of symptoms, lifestyle modifications and available drug therapies. However, the comprehensive intervention template also addressed two additional topics: patient expectations in symptom relief (discussing the types of symptoms that would be highly responsive to acid-suppressive therapy versus other symptoms that would be variably or less responsive), and patient reasons for consulting with their physician (discussing patient symptoms, concerns about serious disease, psychosocial issues, and providing reassurance regarding patient worries and concerns). The finding of a statistically significant improvement in QOLRAD emotional distress domain at four months in the comprehensive counselling arm may, therefore, suggest that the discussion of these two components of the counselling intervention (education and patient expectations) had considerable influence on HRQoL.
additional topics may have helped to alleviate the patient’s emotional and psychological distress and concerns surrounding their gastrointestinal symptoms.

When implemented in conjunction with medical therapy, the incremental benefits of behavioural modifications and counselling may not be apparent. A study arm in which no template for a counselling intervention would have been provided, as in routine care, was not included because consulted physicians and participating investigators unanimously reported routinely using items of the basic counselling intervention. This physician behaviour may not be generalizable because of probable variability in interest in upper gastrointestinal conditions. Furthermore, patient counselling and education promoted for several medical conditions are difficult to implement in daily practice (27). To our knowledge, the present study is the first to attempt assessing the value of individual counselling in patients with upper gastrointestinal symptoms, specifically heartburn-predominant uninvestigated dyspepsia. In patients with GERD, it has been shown that physicians may overestimate the benefit of treatment and that paying more attention to HRQoL may help physicians better understand patient experiences (28). In patients with mild GERD, a group-based education program showed no effect on HRQoL or use of health care resources at two and 12 months of follow-up (29). In patients with irritable bowel syndrome, a variety of counselling actions have been properly assessed and shown to provide benefit (20,30-32). Variations in the results of studies of therapeutic lifestyle and disease management interventions are expected, given the heterogeneity and complexity of such programs.

Slightly more patients appeared to be completely satisfied with comprehensive counselling than with basic counselling, but the difference was not statistically significant. Although there was no difference in the level of physician satisfaction with the two intervention strategies, a greater proportion of patients in both groups than physicians were more satisfied with the counselling interventions provided. This observation may demonstrate the difficulty encountered by practicing physicians in delivering counselling and education in the usual care setting. Nevertheless, our results show that the majority of subjects in the comprehensive counselling group reported the three components of the intervention as being at least helpful. These three components were perceived by patients to be of equal value. The third component (‘address the reasons why you consult the physician for these symptoms’) was unique to the comprehensive counselling arm and was not considered by patients to be of greater value than the other two; this may be one reason why larger differences in HRQoL and satisfaction were not observed between the two groups.

Although there was a small but statistically significant difference in QOLRAD score measured at four months versus one month between the two groups, there was no difference in symptom control. However, the improvement in HRQoL was maintained during the six-month follow-up despite a progressive decrease in symptom control, whereas the mean and median duration of PPI treatment did not differ significantly between the two groups. This suggests that counselling interventions help to maintain HRQoL beyond the use of drug therapy. Also, no significant differences were shown between the health care costs observed for two counselling groups during the six-month follow-up period of usual care.

There was a statistically significant improvement in baseline QOLRAD score after one month of treatment with esomeprazole for both counselling groups. Similarly, the three types of questionnaires to assess patient symptoms showed significant improvement. These findings are consistent with data in the literature (6,33-42), and support PPIs as the mainstay of initial therapy for patients with heartburn-predominant uninvestigated dyspepsia.

**SUMMARY**

Although some of the data show a positive initial trend for comprehensive counselling to improve HRQoL in patients with heartburn-predominant uninvestigated dyspepsia compared with basic counselling, a larger study is required to confirm the value of this approach.

**ACKNOWLEDGEMENTS:** Pierre Paré had the original idea for the study, designed the protocol and was responsible for drafting the manuscript. Ian Hawes was responsible for data acquisition. Joanna Lee performed the statistical analysis. All authors participated in the analysis and interpretation of the results and the critical revision of the manuscript. The study was supported by funding from AstraZeneca Canada Inc.
Counselling in heartburn-predominant uninvestigated dyspepsia
