Diagnostic practices and disease surveillance in Canadian children with congenital central hypoventilation syndrome

Reshma Amin MD MSc1,2, Theo J Moraes MD PhD1,2, Amy Skitch BSc3, Meredith S Irwin MD1,2, Stephen Meyn MD PhD1,2, Manisha Witmans MD3


OBJECTIVE: To assess the diagnostic and surveillance practices of Canadian pediatric subspecialists for children with congenital central hypoventilation syndrome (CCHS).

METHODS: The present analysis was a prospective cross-sectional study. A web-based survey was sent to 303 pediatric subspecialists in Canada: 85 pediatric respirologists, 27 pediatric neurologists and 141 neonatologists. The survey included 36 questions about the current diagnostic and surveillance management of pediatric CCHS. Differences in responses among respirologists, neurologists and neonatologists were evaluated for each question, where feasible, and responses were compared with the 2010 American Thoracic Society (ATS) Clinical Policy Statement for CCHS.

RESULTS: A total of 83 (27%) participants responded to the survey; the highest survey response rate (40%) was from respirologists. For the diagnosis of CCHS, 25% of respondents did not order genetic testing, either alone or with another test, to make a diagnosis of CCHS. The criteria and tests recommended by the ATS to make a diagnosis of CCHS – genetic testing, diagnosis of exclusion, polysomnogram and plus or minus a hypcapnic challenge – were ordered by 23 (43%) of the 54 respondents. Although polysomnograms were ordered for more than 90% of children with CCHS, only 57% of respirologists aimed for a carbon dioxide range of 35 mmHg to 40 mmHg during polysomnogram titrations.

CONCLUSIONS: The results demonstrate variability in the diagnostic and surveillance practices of pediatric subspecialists in children with CCHS across Canada. The present study provides an initial needs assessment and demonstrated that there are significant deviations in practice from the 2010 ATS guidelines.

Key Words: Canada; CCHS; Disease surveillance; PHOX2B

Congenital central hypoventilation syndrome (CCHS) is a rare genetic disorder characterized by ventilatory insensitivity to hypercapnia and hypoxemia during sleep and/or wakefulness presenting in infancy, childhood or adulthood. Affected individuals have a heterozygous mutation (autosomal dominant) in the paired-like homeobox 2b (PHOX2B) gene, a transcription factor expressed in neurons (1). This disease-defining gene was discovered in 2003 (1,2). Current reports suggest a total of 1000 patients worldwide; however, this is likely an underestimate (3).

In 1999, the American Thoracic Society (ATS) published a statement on the diagnosis and clinical management of CCHS (4) with an update in 2010 (3). Its purpose was to “aid the clinician in optimizing patient care that will be specifically tailored to knowledge of the individual PHOX2B genotype/mutation” (3). Overall, the guideline informed practitioners that PHOX2B mutation is needed for a diagnosis of CCHS. It also introduced the opportunity to anticipate the CCHS phenotype based on genotype; emphasized the importance of parental testing; provided updated information regarding available treatment options; and emphasized the lifelong need for ventilation and clinical follow-up. Furthermore, the guideline stated that pediatric respirologists should be referring patients to regional pulmonary centres with CCHS expertise for ongoing care. CCHS is a rare condition; therefore, physicians may not be familiar with the diagnosis or optimal management of CCHS, or they may not have access to subspecialists with expertise in CCHS. Missed or delayed diagnoses can have devastating consequences including sudden death.

Presently, Canadian CCHS recommendations do not exist. However, the Canadian Thoracic Society (CTS) recently published home ventilation guidelines based on the adult literature that recommend PHOX2B testing in the setting of unexplained central hypoventilation (5). Although the ATS guidelines statement was published in 2010, implementation across Canada is not known. Our hypothesis was that diagnostic disease and surveillance practices by Canadian pediatric subspecialists for children with CCHS in Canada will vary from the 2010 ATS clinical policy statement on CCHS despite the recent CTS guidelines advocating for PHOX2B testing in the setting of unexplained hypoventilation.

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TABLE 1
Survey response rate according to region

<table>
<thead>
<tr>
<th>Region (Canada)</th>
<th>Sent, n</th>
<th>Completed, n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>East</td>
<td>183</td>
<td>55 (30)</td>
</tr>
<tr>
<td>West</td>
<td>59</td>
<td>16 (27)</td>
</tr>
<tr>
<td>Maritimes</td>
<td>25</td>
<td>8 (32)</td>
</tr>
<tr>
<td>Central</td>
<td>36</td>
<td>4 (11)</td>
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TABLE 2
Survey response rate according to subspecialty

<table>
<thead>
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<th>Subspecialty</th>
<th>Sent, n</th>
<th>Completed, n (%)</th>
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<tr>
<td>Pediatric respirology</td>
<td>85</td>
<td>34 (40)</td>
<td></td>
</tr>
<tr>
<td>Pediatric neurology</td>
<td>77</td>
<td>15 (19)</td>
<td></td>
</tr>
<tr>
<td>Neonatology</td>
<td>141</td>
<td>34 (24)</td>
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</tbody>
</table>

TABLE 3
Children with congenital central hypoventilation syndrome (CCHS) seen in the past year by pediatric subspecialists

<table>
<thead>
<tr>
<th>Children with CCHS</th>
<th>Respondents, n</th>
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</thead>
<tbody>
<tr>
<td>consulted in past year, n, Total</td>
<td>Respirology (n=53)</td>
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<tr>
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<tr>
<td>1</td>
<td>16</td>
</tr>
<tr>
<td>2</td>
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<td>7</td>
<td>1</td>
</tr>
<tr>
<td>10</td>
<td>1</td>
</tr>
<tr>
<td>Total</td>
<td>65</td>
</tr>
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METHODS
A web-based survey (SurveyMonkey.com, LLC, USA) was sent to 303 pediatric subspecialists in Canada: 85 pediatric respirologists, 77 pediatric neurologists and 141 neonatologists. This represents approximately 85% of pediatric respirologists, 62% of pediatric neurologists and 67% of neonatologists in Canada (personal communications: S Demarais, CTS; D Irvine, Canadian Neurological Association; Dr D Bhatt, Database Committee Chair of the Canada and USA Neonatology Chapters of the American Academy of Pediatrics). These three specialties were chosen because they are often involved in the diagnosis and surveillance of children with CCHS. The e-mail addresses of the pediatric practitioners were obtained from hospital websites and/or telephone communication with administrative personnel for the pediatric hospitals and adult hospitals with pediatric beds across the country. Hospital-based physicians were targeted because clinical care would most likely be provided by pediatric hospital-based specialists given the need for specialized care and pediatric polysomnograms. The survey was developed by the authors. It included a total of 36 questions divided into three parts: Responder characteristics (five questions); Disease diagnosis (six questions); and Disease surveillance (25 questions) (Appendix 1). Differences in responses among subspecialties were evaluated for each question, where feasible, using the Kruskal-Wallis test. Given the potential for multiple comparisons among groups, a two-sided P≤0.01 was considered to be statistically significant. Participants were informed that a completed survey implied consent to participate in the study. Ethics approval for the present study was received from SickKids Hospital Research Ethics Board (Toronto, Ontario). A firewall exists in the IWK Health Centre (Halifax, Nova Scotia); therefore, at the request of one respondent, questionnaires were mailed to and returned by one central administrative assistant. The results from each survey were anonymous and confidential. The present study was conducted from February to March 2012.

RESULTS
Responder characteristics
A total of 83 (27%) participants responded to the survey. Forty-seven (57%) were male. Seventy-seven (93%) participants practice in a tertiary centre. The remainder practice in a community setting. Table 1 summarizes the response rates according to the number of surveys sent and geographical region. Table 2 summarizes the response rates according to subspecialty. However, not all of the respondents answered all of the questions. Seven (8.5%) respondents have been in medical practice for zero to 10 years, 35 (43%) respondents for 11 to 20 years, 14 (17%) respondents for 21 to 30 years, 19 (23%) respondents for 31 to 40 years and seven (8.5%) respondents for 41 to 50 years. One individual did not answer the question. Seven physicians graduated in 2003 or later, the year the PHOX2 gene was discovered.

Disease diagnosis
Seventy-four pediatric subspecialists responded to this section of the questionnaire. Fifty-eight (78%) of the 74 respondents had consulted on one or more children with CCHS in their lifetime. Five (7%) of the pediatricians had previously consulted on ≥10 CCHS children in their lifetime. A pediatric neurologist who had consulted on 20 children had seen the highest number of CCHS children in the study. Sixty-five children with CCHS have been seen in the past year by a total of 58 respondents (Table 3). Fifty-three of these 65 children were reviewed by respirologists in the past year. The number of children with identified symptoms of autonomic nervous system dysfunction are shown in Table 4.

With regard to the ordering of diagnostic tests for CCHS, 14 (25%) of the 54 respondents did not order genetic testing, either alone or with another test, to make a diagnosis of CCHS. These respondents included eight neonatologists, five neurologists and one respirologist. The recommended tests to make a diagnosis of CCHS – genetic testing, diagnosis of exclusion (the absence of primary lung, cardiac, or neuromuscular disease or an identifiable brainstem lesion), polysomnogram plus or minus a hypercapnic challenge test – were only all routinely ordered by 23 (43%) of the 54 respondents (Table 5). For children with suspected CCHS, 37 of 52 (71%) respondents order genetic testing for PHOX2B and 48 of 52 (92%) send families for genetic counselling after a confirmed diagnosis.

Disease surveillance
The results for the disease surveillance section of the questionnaire are only presented for the pediatric respirology respondents because no neonatologists and only one neurologist currently follow a child with CCHS. The small number of respirology respondents in some regions of the country precluded statistical analysis.
Nineteen respirologists who had consulted on a total of 103 children with CCHS had responded to the ventilatory management question for 98 children with the following responses: invasive ventilation (n=45 [46%]); noninvasive ventilation (n=39 [40%]); daytime diaphragmatic pacing and nocturnal invasive ventilation (n=9 [9%]); and initial invasive ventilation followed by a switch to noninvasive ventilation (n=5 [5%]). Four respirologists offered diaphragmatic pacing to their patients. Of the 19 respirology respondents, seven (37%) based the decision for the modality of ventilation on the clinical symptoms, one (5%) based the decision on the CCHS guidelines and 11 (58%) based this decision on a combination of both.

Nineteen respirology respondents consulted on a total of 109 children with CCHS, of whom 99 (91%) received polysomnograms. Seven (41%) of the 17 respirologists did not change the frequency with which polysomnographies are performed depending on age. Seven (37%) of the 19 respondents aimed for a carbon dioxide range of 35 mmHg to 40 mmHg during polysomnogram titrations.

With regard to cardiac evaluation, nine of 20 (45%) respondents order Holter monitors at the time of diagnosis only. Ten (71%) of the 14 pediatric practitioners do not change the frequency of ordering Holter monitors based on the age of the child. Three (17%) of the 18 respondents order echocardiograms at the time of diagnosis, six of 18 (33%) respondents order them yearly, three of 18 (17%) respondents only performed them depending on the clinical situation, two of 18 (11%) respondents did not know and one (6%) respondent never performed them.

Twenty-four per cent (n=4) of respirology respondents never order urine screening for neural crest tumours. Eight (47%) of the 17 respirologists order an abdominal ultrasound at the time of diagnosis only. Of the 19 respondents, two (11%) never order a hematocrit and reticulocytes to test for polycythaemia associated with chronic hypoxemia. Thirty-five per cent (n=6) of the respirology respondents order neurocognitive testing for their CCHS patients.

With regard to surveillance in the respirology clinic, five of 19 (26%) respondents see patients with CCHS every three months, nine of 19 (47%) review CCHS children every six months, one respirologist admits these children every six months, three of 19 (16%) review these children with variable frequency according to ventilation adherence, age or distance to travel, and one (5%) respondent did not know. This is performed either yearly or every two years. Sixty-three per cent of respirologists (10 of 16) refer newly diagnosed CCHS children to otolaryngology, 12 of 18 (67%) recommend ‘MedicAlert’ bracelets, nine of 19 (47%) counsel about drugs and alcohol and 15 of 19 (79%) counsel these children about safe swimming practices. Sixty-seven per cent (12 of 18) of respirologists order home oximeters for children with CCHS. Other diagnostic tests for surveillance of CCHS children include chest radiographs, blood pressure monitoring, electrocardiograms, otolaryngology referral, end tidal carbon dioxide measurements, capillary blood gases at clinic visits and overnight oximetry every six months.

**DISCUSSION**

Our study is the first to report on the current state of the disease diagnosis and surveillance of CCHS by pediatric practitioners across Canada. Our results demonstrate that there are significant differences in current practice compared with the recommendations outlined in the 2010 ATS Clinical Policy Statement for CCHS. Therefore, an opportunity exists to improve clinical care by building on this work to identify whether these differences are a result of knowledge gaps or resources barriers within the Canadian health care system or a combination of both.

The disease-defining gene for CCHS, PHOX2B, was discovered almost 10 years ago and has revolutionized the clinical practice of CCHS. Therefore, it is surprising that 23% of Canadian pediatric specialists, almost all of whom practice in tertiary centres, would not order genetic testing when investigating a patient with suspected CCHS. The first clinical implication of this lack of genetic testing is whether the presumed diagnosis of CCHS is correct. A second clinical implication is that genetic testing of parents and siblings, and genetic counselling would potentially not be offered. Although 90% of parents of children with CCHS do not carry a PHOX2B mutation, 10% of CCHS mutations are believed to have been inherited from asymptomatic parents with somatic mosaicism or constitutive mutation. This underscores the importance of genetic counselling for families of CCHS children.

Genetic testing to identify specific PHOX2B mutations is essential to make a diagnosis of CCHS; also, specific mutations are more commonly associated with different clinical phenotypes, such as severity of the ventilatory defect, as well as the risk of developing some of the other associated symptoms of autonomic nervous system dysfunction. This information is useful for newly diagnosed families trying to understand the effect of this disease on their child’s day-to-day life and future, and also helps guide surveillance practices (eg, need for neuroblastoma screening).

Significant practice deviation existed with respect to disease management among respirologists. For example, although >90% of respirologists order polysomnograms across the country, only 57% aim for a carbon dioxide level within the range recommended in the ATS statement. This suggests that many patients may not be receiving management for their hypoventilation based on the current guidelines. In addition, the majority of respirologists do not change the frequency with which neurocognitive, cardiac and oncological screening is performed. As such, children <3 years of age who are the most vulnerable subgroup may not be screened as frequently as recommended. With respect to anticipatory guidance, <50% of respirologists are counselling families about the central nervous system depressant effects of alcohol and drugs. This is essential because there are documented reports of sudden death in teenagers with CCHS who have consumed alcohol (10).

Our data indicate that a relatively small number of respirologists, the majority of whom have only one patient with CCHS in their practice, follow the reported Canadian CCHS population of slightly more than 50 children. The ATS Statement regarding CCHS suggests that physicians who have cared for fewer than 15 to 30 patients with CCHS may not have sufficient experience with the disease and, thus, recommends referral to regional centres for management (3). Presently,
this would mean that there are no pediatricians in Canada that the ATS Task Force would deem to have sufficient expertise to care for these children. Although referral to an American centre is not a practical solution, this raises an important question as to how to best to serve this rare population within the realms of existing Canadian resources. We propose that the first step would be a formal review of the 2010 ATS guidelines, and an educational intervention in conjunction with the identification of barriers to access of resources preventing national implementation. This would then be followed by the development of a Canadian CCHS registry to allow all respirologists in the country to benefit from the collective management of these children. Additionally, the proportion of children that have the central hypoventilation phenotype and are PHOX2B positive is not currently known and is an area of needed future study.

There were several limitations to our study. First, the overall survey response rate was lower than other published Canadian surveys of pediatric subspecialists but not dissimilar from other recently published pediatric Internet-based survey results (11-14). The lower response rate may be related to the rarity of the disease. Although the overall survey response rate was only 27%, the geographical distribution of the respondents does represent the demographics of pediatric respirologists, pediatric neurologists and neonatologists across the country, with the exception of the Maritimes. There was over-representation of the neurology and neonatology responses from the Maritimes. However, this is likely to be of minimal significance because the disease surveillance results were based on respirology respondents only. Second, the questionnaire did not ask for the results of genetic testing for the patients with CCHS who are or have been followed by the respondents. Thus, it is not clear whether patients reported to have CCHS actually fulfilled criteria for the diagnosis of CCHS. The authors chose not to ask this question because this information may require additional chart review(s) by responding clinicians and, thus, could detract from completion of the already lengthy survey. Third, the number of CCHS children consulted on by the pediatricians was not an accurate estimate of the pediatric prevalence of CCHS. We chose a convenience sample of tertiary practitioners across Canada believed to be representative of the country; it is possible that some physicians and, therefore, some patients were missed. In addition, as mentioned above, patients reported as having CCHS may not have undergone diagnostic genetic testing, leading to false-positive reporting. Furthermore, multiple respondents may have seen the same patient, making an estimation of the actual number of cases of CCHS very difficult within the realm of our study. We did not survey pediatric oncologists or clinical geneticists, two other subspecialists often involved in the diagnosis and care of patients with PHOX2B mutations. However, the primary objective of our study was to understand the diagnostic and surveillance practices across Canada rather than disease prevalence, and the planned disease registry will address prevalence and, potentially, mutational spectrum and associated symptoms. Finally, in the present survey, we evaluated what practitioners were doing but did not specifically ask why. Therefore, it remains unclear as to whether these practice deviations are a result of a knowledge gap versus limited access to resources or both. However, the present analysis provides an initial needs assessment for future study to distinguish between these two and significantly advance care for these children.

CONCLUSIONS

Our study demonstrated that there are significant deviations in practice from the 2010 ATS Clinical Policy Statement on CCHS. There is a need for both a Canadian educational intervention as well as an identification of barriers faced by clinicians trying to implement these guidelines to improve the quality of care for these children. We need to bridge the existing knowledge gap and overcome barriers to access to resources faced by clinicians to ensure best practice for children with CCHS.

ACKNOWLEDGEMENTS: The authors thank the pediatric respirologists, pediatric neurologists and neonatologists across the country for participating in the survey.


APPENDIX 1: CCHS QUESTIONNAIRE: CANADIAN PREVALENCE AND DISEASE SURVEILLANCE

Responder characteristics
1. In which region of the country do you practice?
   Western Canada (BC and Alberta)
   Central Canada (Saskatchewan and Manitoba)
   Eastern Canada (Ontario and Quebec)
   Maritimes (New Brunswick, Nova Scotia, Newfoundland, PEI)
   Northern Canada (Northwest Territories, Yukon Territories and Nunavut)

2. Which of the following best describes your clinical practice?
   General Pediatrics
   Pediatric Respiratory
   Pediatric Neurology
   Pediatric Neonatology
   Other: ____________________

3. Which of the following settings best describes your clinical practice?
   Community Based Practice
   Tertiary Care Center
   Other: ____________________

4. What was your year of graduation from medical school? __

5. What is your gender? Male Female

Disease diagnosis
6. How many patients have you consulted on with congenital central hypoventilation syndrome in the past year? ______

7. How many are alive? ________

8. How many are dead? _______

9. How do you make a diagnosis of CCHS? (check all that apply)
   - Genetic testing for PHOX2B
   - Polysomnogram
   - Hypercapnic challenge test
   - Other: ____________________

10a. Do you send DNA for PHOX2B genetics? Yes No

10b. If yes, where do you send the DNA for PHOX2B genetics?
   - Ambry Genetics
   - Dr Debbie Weese-Mayer's lab
   - Other: ____________________

11. Are patients and families routinely offered genetic counseling after diagnosis? Yes No

Disease surveillance
12. How often are sleep studies done for patients with CCHS:
   - Bimonthly or more
   - Annually
   - Every 18 months
   - Only if clinically indicated
   - Other: ____________________

13. Does the frequency of polysomnograms being performed for CCHS patients change with age? Yes No
   a) If yes, how so?
14. What is the target CO₂ range during polysomnogram titrations?
   - 30-34mmHg
   - 35-40mmHg
   - 45-50mmHg
   - Other: __________

15. How frequently are Holter monitors done on CCHS patients?
   (check all that apply)
   - at time of diagnosis
   - q 0-6 months
   - q yearly
   - q 2 yearly
   - Other: __________

16. Does the frequency of Holter monitors being performed for CCHS patients change with age?
   - Yes
   - No
   a) If yes, how so?

17. How long the Holter monitors are kept on for at your center for CCHS patients?
   - 24 hours
   - 48 hours
   - 72 hours
   - Other: __________

18. How frequently are echocardiograms performed for CCHS patients?
   - Never
   - At time of diagnosis
   - q 3 months
   - q 6 months
   - q yearly
   - Other: __________

19. Does the frequency of echocardiograms being performed for CCHS patients change with age?
   - Yes
   - No
   a) If yes, how so?

20. How frequently are hematocrits and reticulocytes performed for CCHS patients?
   - Never
   - At time of diagnosis
   - q 3 months
   - q 6 months
   - q yearly
   - Other: __________

21. Does the frequency of hematocrits and reticulocytes being performed for CCHS patients change with age?
   - Yes
   - No
   b) If yes, how so?

22. How frequently are urine VMA and HVA performed for CCHS patients?
   - Never
   - At time of diagnosis
   - q 0-3 months
   - q 6 months
   - q 12 months
   - Other: __________

23. Does the frequency of urine VMA and HVA being performed for CCHS patients change with age?
   - Yes
   - No
   a) If yes, how so?

24. How frequently are abdominal ultrasounds performed for CCHS patients?
   - Never
   - At time of diagnosis
   - q 0-3 months
   - q 6 months
   - q 12 months
   - Other: __________

25. Does the frequency of urine VMA and HVA being performed for CCHS patients change with age?
   - Yes
   - No
   a) If yes, how so?

26. Is neurocognitive testing performed for all patients?
   - Yes
   - No
   a) If yes, how frequently is the testing being performed?
   b) If yes, what specific testing is being performed?

27. Is autonomic nervous system testing performed for all patients?
   - Yes
   - No
   a) If yes, what specific testing is being performed and how frequently (click all that apply)?
     - Tilt table testing: __________
     - Valsalva: __________
     - Pupillometry: __________
     - Thermal Stresses: __________
     - Other: __________

28. Are all newly diagnosed patients referred to Ophthalmology?
   - Yes
   - No
   a) If not, when would patients be referred?

29. Are patients routinely offered a medical alert bracelet?
   - Yes
   - No

30. Are patients routinely counseled about alcohol and drug use?
   - Yes
   - No

31. Are patients routinely counseled about swimming?
   - Yes
   - No

32. Are stage 1 exercise tests routinely performed for patients with CCHS?
   - Yes
   - No
   a) If yes, how often are they performed?
     - At time of diagnosis
     - If clinically indicated
     - Biannually
     - Annually
     - Other: __________

33. How often are CCHS patients seen in the respiratory medicine clinic?
   - q 0-3 months
   - q 6 months
   - q 12 months
   - Other: __________

34. Do all of the CCHS patients have an oxygen saturation monitor at home?
   - Yes
   - No
   a) If not, how many patients do not? __________

35. Do any of the CCHS patients have a carbon dioxide monitor at home?
   - Yes
   - No
   a) If yes, how many? __________

36. Are there any other components of disease surveillance that your centre routinely performs for CCHS patients?
   - Yes
   - No
   a) If yes, what tests are performed and what is the frequency?
REFERENCES