

## Research Article

# Pseudonyms in IPv6 ITS Communications: Use of Pseudonyms, Performance Degradation, and Optimal Pseudonym Change

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IPv6 developed as a next generation Internet protocol will provide us with safer and more efficient driving environments as well as convenient and infotainment features in cooperative intelligent transportation systems (ITS). In this paper, we introduce the use of pseudonyms in IPv6 ITS communications for preserving location privacy. We conduct qualitative study on the performance degradation due to the use of pseudonyms and quantitative analysis on the optimal pseudonym change interval. Numerical results demonstrate that an appropriate pseudonym change interval should be changed depending on the packet arrival rate, mobility rate, and security level.

## 1. Introduction

Cooperative intelligent transportation systems (ITS) aim at providing new advanced solutions to today's transport problems. Communications among ITS stations (e.g., cars and roadside infrastructures) are essential parts of the cooperative ITS for improving road safety, efficiency, and comfort during driving. As the communications between ITS stations are the heart of the cooperative ITS, it is important to correctly understand how the deployment of cooperative ITS will affect an individual's privacy during his/her driving.

Privacy is one of the fundamental rights of human being. In particular, location privacy is a specific type of privacy that can be defined as follows [1]: "the ability to prevent other parties from learning one's current or past location." Imagine your car as a vehicle ITS station constantly communicating with other nearby ITS stations, for example, cars and roadside infrastructures. Your car emits its location (e.g., GPS position information), speed, heading direction, and even identity 10 times per second. Thus, anyone that has a wireless radio receiver system (e.g., wireless access point) within the wireless radio transmission range (probably in 500 meters or 1,000

meters) is able to capture all messages sent out from your car. Now imagine the ability to set up a set of wide-range wireless radio receiver systems in a city. It means that the activity of every single car in the city can be surveilled including yours. In other words, without sophisticated skills, communications among ITS stations, for example, vehicle, roadside, and personal ITS stations, are exposed to an observer in a wireless radio transmission range because of the nature of wireless communications. As the observer extracts identifiers from a message such as addresses in each protocol layer in transmissions, he/she could link messages and track a vehicle ITS station emitting the messages having the same identifiers.

To address location privacy in cooperative ITS, the use of pseudonyms has been chosen as a baseline approach for preserving location privacy. A pseudonym, which is an arbitrary bit string to generate a temporary identifier in each protocol layer, is used with an appropriate changing scheme [2]. For instance, a vehicle ITS station uses a pseudonym  $P_i$  in a short period  $t_{P_i}$  and changes  $P_i$  to a new pseudonym  $P_{i+1}$  for the next short period  $t_{P_{i+1}}$ . By using a pseudonym only in a short period, observers are only able to link messages sent in the

TABLE 1: Cooperative ITS applications and IPv6 applicability.

ITS application	Type	Required latency	Message priority	IPv6 applicability
Collision avoidance	Road safety	Very low (in microseconds)	High	Probably not
Road sign notifications	Road safety	Low (in milliseconds)	High	Possible
Incident management	Road safety	Low (in milliseconds)	High	Possible
Traffic management	Traffic efficiency	Low-medium (in milliseconds)	Medium	Yes
Road monitoring	Traffic efficiency	Low (in milliseconds)	Medium	Yes
Entertainment	Infotainment	Average (in seconds)	Average	Yes
Contextual information	Infotainment	Medium (in milliseconds)	Medium	Yes

short period. It thus may help to prevent the observers from identifying the vehicle ITS station emitting the messages with different pseudonyms [2–5]. However, while high frequency of pseudonym changes improves location privacy, it badly influences communication overhead as a pseudonym change causes the communication identifier change, especially IPv6 address change in IPv6 communications. Note that research results from [5] suggested that a simple pseudonym change does not effectively preserve location privacy but it does not mean that the pseudonym change is ineffective.

In this paper, which is an extension of the paper published in [6], we focus on the use of pseudonyms in IPv6 ITS communications for preserving location privacy. In particular, we present an IPv6 address configuration with pseudonyms and then study a performance degradation issue due to frequent pseudonym changes at the IPv6 layer. We also investigate the optimal pseudonym change algorithm that makes a balance between communication overhead and location privacy at the IPv6 layer.

## 2. IPv6 Communications in Cooperative ITS

*2.1. IPv6 Communications and Applications.* The ISO/ETSI ITS station reference architecture specified in [7, 8] introduces various communication protocols designed to meet specific requirements for cooperative ITS. However, among communication protocols in the network layer, IPv6 is a major communication protocol as it provides Internet connectivity and communication capacity for various applications. The use of IPv6 especially satisfies the addressing needs of a growing number of vehicles and personal devices [9] and provides session continuity between heterogeneous networks, thanks to a mobility support extension, that is, Network MObility (NEMO) [10].

Various ITS applications have been investigated and studied with respect to their functionalities: safety, efficiency, and infotainment applications [11]. At the beginning of ITS research, most of studies focused on the road safety applications that are basic and essential applications as those applications aim at minimizing the risk of accidents. However, cooperative ITS does not only provide such limited functionalities. It also provides advanced applications such as traffic efficiency and infotainment applications. In particular, as shown in Table 1, IPv6 communications can be applied into the traffic efficiency and infotainment ITS applications that do not require strict message transmission and very

low latency. Then, among the road safety applications, some applications like road sign notifications and incident management are possibly supported by IPv6 communications. For instance, messages of road sign notifications and incident management may be delivered to specific vehicles via roadside infrastructures that use IPv6 communications.

*2.2. IPv6 Related Standardization Efforts.* As IPv6 has been originally developed for the Internet, its adaptation into cooperative ITS requires a set of standardizations that do not intend to define new protocols or message modification at the IPv6 layer but define how standard IPv6 protocols developed by the IETF are combined for cooperative ITS. The following are standardization efforts at the ISO and ETSI levels.

- (i) ISO 21217 [7] and ETSI EN 302 665 [8]: the ISO/ETSI ITS station reference architecture containing IPv6 communications at the network layer is specified.
- (ii) ISO 21210 [9]: IPv6 networking between two or more ITS stations has been specified.
- (iii) ETSI TS 102 636-6-1 [12]: IPv6 networking over GeoNetworking capabilities has been specified.
- (iv) ETSI TR 101 555 [13]: as of writing this paper, IPv6 networking analysis is being documented.
- (v) ISO 16788 [14]: as of writing this paper, IPv6 network security is being documented.
- (vi) ISO 16789 [15]: as of writing this paper, IPv6 network optimization is being documented.

*2.3. IPv6 Related European Projects.* IPv6 communications have been widely tested and validated through successful ITS related projects. In the Cooperative Vehicle Infrastructure Systems (CVIS) European FP6 project (2006–2010), an implementation of the ISO CALM architecture has demonstrated capabilities offered by IPv6, for instance, session continuity support during handovers between M5 (IEEE 802.11p variant) and 3G access technologies. The GeoNet European FP7 project (2006–2010) was also launched to investigate a combination of IPv6 and GeoNetworking, that is, IPv6 networking over GeoNetworking capabilities. The recently launched European FP7 project, ITSSv6 (IPv6 ITS Station Stack for Cooperative ITS Field Operational Tests, 2011–2014), aims at developing an IPv6 ITS station communication stack complying related ISO, ETSI, and IETF standards. In particular, the IPv6 ITS station communication stack, which

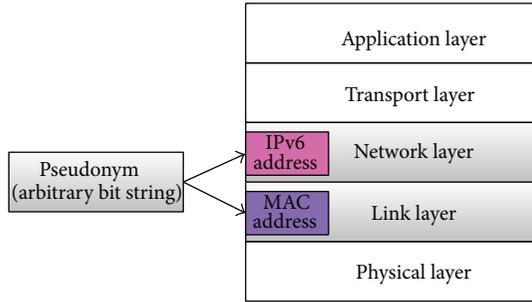


FIGURE 1: Example of a pseudonym use in the link and network layers.

is being developed based on the existing open source software with additional software components, will be released as an open source software.

### 3. Use of Pseudonyms in IPv6 ITS Communications

**3.1. IPv6 Address and Pseudonym.** At the IPv6 layer, the IPv6 address is an identity that is globally unique when the address is a unicast address. In order to provide location privacy at the IPv6 layer, a pseudonym, an arbitrary bit string, is used to generate an arbitrary IPv6 address.

Figure 1 shows an example of a pseudonym use in the link and network layers for preserving location privacy. Note that pseudonyms can be used in all communication stacks against observers examining not only one communication layer. In this example, the pseudonym is assumed to be 48 bits long and it thus replaces the 48 bits of MAC address, while a new IPv6 address, which is 128 bits long, is generated based on the supplied pseudonym. More specifically, the rightmost 64 bits of IPv6 address, that is, interface identifier, are generated based on the pseudonym while the leftmost 64 bits of IPv6 address, that is, network prefix, are supplied from a router advertisement (RA) sent from an access router.

As mentioned earlier, the pseudonym is synchronously changed across the entire communication stack in order to make sure that identity information at each layer is changed. For instance, as shown in Figure 1, when a current pseudonym  $P_i$  is changed to a new one  $P_{i+1}$ , the whole MAC address is replaced by  $P_{i+1}$ , while the IPv6 address is changed accordingly [3]. Hereinafter, we focus on the use of pseudonym at the IPv6 layer.

**3.2. Pseudonym Change.** An example of pseudonym changes at the IPv6 layer is introduced in detail. In particular, we illustrate two specific pseudonym changes similar to [3]: (1) pseudonym change due to a handover and (2) pseudonym change due to a pseudonym expiration.

Figure 2 shows a considered network topology wherein a vehicle ITS station implementing IPv6 mobility, that is, NEMO, changes its attachment point from an access router, AR-1, to a new access router, AR-2. The vehicle ITS station is thus assumed to be equipped with a mobile router (MR)

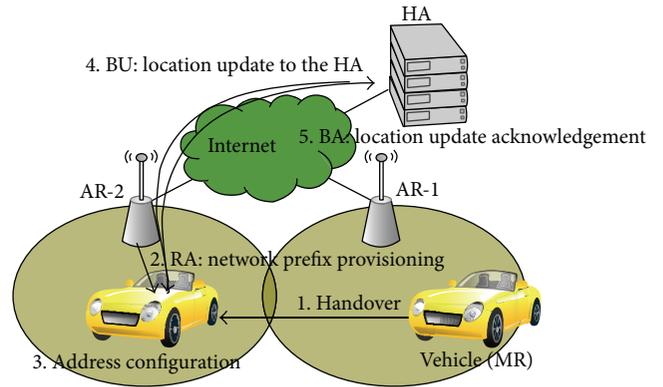


FIGURE 2: Movement of a vehicle.

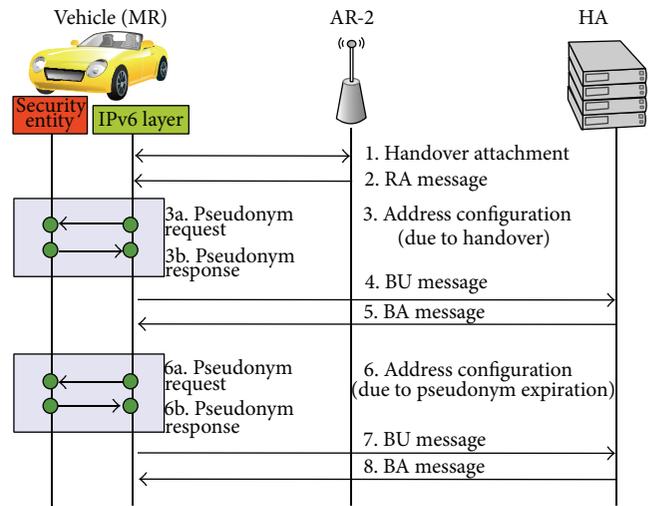


FIGURE 3: Example of pseudonym changes for handover and pseudonym expiration.

functionality defined in [10]. Each access router provides the Internet connectivity to the vehicle in its access network. The home agent (HA) is located at the Internet.

Figure 3 shows pseudonym change procedures. The details of each step are as follows.

- (1) First, a pseudonym change due to handover is considered. Suppose that the vehicle ITS station  $V$  attaches to a new access network of AR-2.
- (2)  $V$  receives an RA message from AR-2. The RA message includes the network prefix  $NP_2$  for the new access network of AR-2.
- (3)  $V$  is required to configure a new address called care-of address (CoA) with  $NP_2$  at the new access network. However, in this step, a new pseudonym  $P_2$  instead of its previous pseudonym  $P_1$  or its MAC address is used to generate the CoA  $CoA_2$  with  $NP_2$ . If  $P_1$  would be still used at the new access network, an observer who can access both access networks could recognize the vehicle's movement by checking the use of  $P_1$  in address generation. The IPv6 layer thus requests  $P_2$  to

the security entity. As  $P_2$  is provided to the IPv6 layer, it is used to generate  $CoA_2$  with  $NP_2$ :

$$CoA_2 = F_{U-L}(NP_2 \parallel EUI64(P_2)), \quad (1)$$

where  $\parallel$  is a concatenation operation,  $EUI64(\cdot)$  is an EUI-64 function that generates the interface identifier based on  $P_2$ , and  $F_{U-L}(\cdot)$  is a function for the modified EUI-64 that inverts universal/local bit. For instance, suppose  $NP_2$  and  $P_2$  are  $2002:db8:1:2::/64$  and  $00:1D:BA:06:36:62$ , respectively. Let  $IID_{P_2}$  denote the interface identifier generated based on  $P_2$ . Then, by  $EUI64(P_2)$ ,  $IID_{P_2}$  is obtained as  $00:1D:BA:FF:FE:06:36:62$ . Then, an unlinkable address, that is,  $CoA_2$ , with its previous address, is generated as  $2002:db8:1:2:021d:baff:fe06:3662$ .

(4) Before the use of  $CoA_2$  in unicast communications, the uniqueness is checked via the duplicate address detection (DAD) procedure. Then, if  $CoA_2$  is unique,  $V$  uses  $CoA_2$  to inform its new location by sending a BU message to its HA. The new location of  $V$  is registered to the binding cache of HA.

(5) The HA replies with the BA message to  $V$ .

(6) A pseudonym change due to pseudonym expiration is now considered. Suppose that the current pseudonym's lifetime  $t_{P_2}$  has expired. Then,  $V$  has to reconfigure its current CoA,  $CoA_2$ , even if it has not moved to another access network. For this, the IPv6 layer requests a new pseudonym to the security entity as in step (3). After a successful provision of the new pseudonym  $P_3$ , similar to the previous address generation, a new CoA,  $CoA_3$ , is generated as

$$CoA_3 = F_{U-L}(NP_2 \parallel EUI64(P_3)), \quad (2)$$

where  $P_3$  is used for generating its new interface identifier,  $IID_{P_3}$ . In order to check the uniqueness of  $CoA_3$ , the DAD procedure is performed again.

(7)  $V$  sends a new BU message containing  $CoA_3$  as a source address.

(8) Upon receiving the BU message, the HA updates its binding cache for  $V$  and replies with the BA message.

#### 4. Qualitative Analysis

In this section, we examine performance degradation with the use of pseudonym at the IPv6 layer.

A pseudonym at the IPv6 layer is changed mostly due to the following:

- (i) the pseudonym change interval, that is, pseudonym expiration,
- (ii) the change of point-of-attachment, that is, network-level handover.

Figure 4 is the timing diagram showing which procedures are performed when the IPv6 address is changed due to the

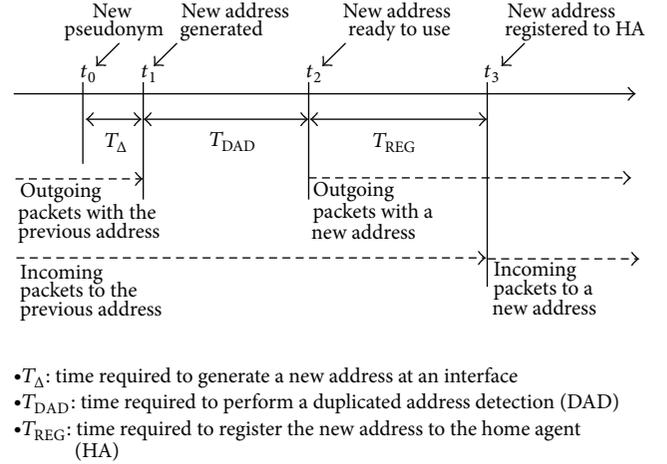


FIGURE 4: Pseudonym change due to the pseudonym expiration.

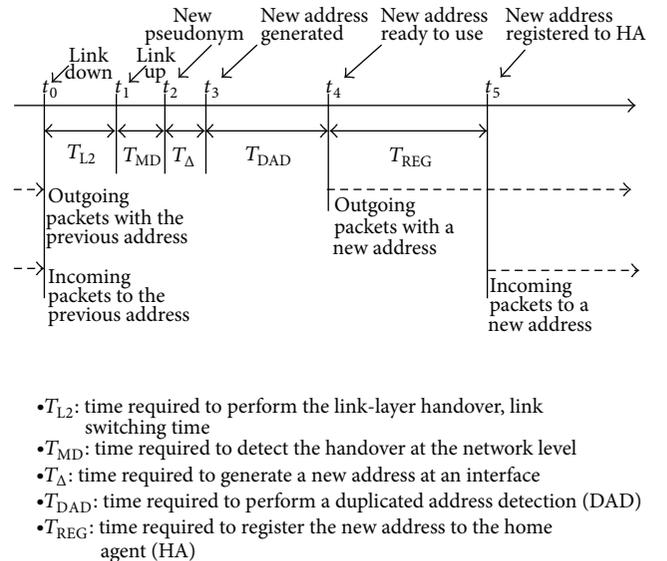


FIGURE 5: Pseudonym change due to the network-level handover.

pseudonym change. At  $t_0$ ,  $P_i$  is expired and  $P_{i+1}$  is provided to generate a new IPv6 address at an interface. Then, at  $t_1$ , the new IPv6 address is generated. In order to use the newly generated IPv6 address for IPv6 ITS communications, it is required to check the IPv6 address uniqueness via the duplicate address detection (DAD) procedure, which is another time-consuming procedure. If the IPv6 address successfully passes the DAD procedure, it is ready to use at  $t_2$ . Then, the IPv6 address is used to send a registration message to its HA [9, 10].

As shown in Figure 4, during the time for the DAD procedure  $T_{DAD}$ , outgoing packets are delayed until the DAD procedure is successfully completed. If the outgoing packets are for a session based communication, for example, TCP communication, the session can be disconnected due to the address change.

Figure 5 shows the time diagram on the procedures that occurred when the IPv6 address changed due to the network-level handover [9, 10]. At  $t_0$ , the link switch occurs and

ends at  $t_1$ . As the link is changed, the movement detection time is required at the IPv6 layer. Then, as its movement is detected,  $P_{i+1}$  is supplied to generate the new IPv6 address at an interface at  $t_2$ . The remaining procedures are the same as for the case described in Figure 4.

## 5. Quantitative Analysis

A pseudonym change badly influences communication performance as it yields the IPv6 address change during IPv6 communications. If a pseudonym change interval is long, the privacy exposure time increases. On the other hand, if the pseudonym change interval is short, the overhead due to frequent pseudonym changes increases. Accordingly, an algorithm that finds an optimal pseudonym change interval for making a balance between communication overhead and location privacy is needed.

Figure 6 shows a timing diagram for modeling the privacy exposure time, which is defined as the time until a new pseudonym is set. The following are the used notations with explanations:

- (i)  $t_0$ : subnet enter (come-in) time,
- (ii)  $t_3$ : subnet leave (come-out) time,
- (iii)  $t_s = t_3 - t_0$ : subnet residence time,
- (iv)  $t_1$ : current observation time,
- (v)  $t_2$ : new pseudonym update time,
- (vi)  $T_r$ : pseudonym change interval.

With the timing diagram, we model the privacy exposure time and develop the optimal pseudonym change algorithm. After the observation starts at  $t_1$ , a pseudonym is changed (updated) either at  $t_2$  by a periodical pseudonym change or at  $t_3$  by a handover. Therefore, the privacy exposure time  $z$  is given by

$$z = \min \{z_1, z_2\}, \quad (3)$$

where  $z_1 = t_2 - t_1$  ( $0 < z_1 < T_r$ ) and  $z_2 = t_3 - t_1$  ( $0 < z_2 < \infty$ ). Then, the probability density function (PDF) of  $z$  is given:

$$f(z) = f_1(z) \int_z^\infty f_2(t) dt + f_2(z) \int_z^{T_r} f_1(t) dt, \quad (4)$$

where  $f_1(z)$  and  $f_2(z)$  are PDFs of  $z_1$  and  $z_2$ , respectively.

Now, we need to find proper distributions for  $z_1$  and  $z_2$ . The pseudonym change interval  $T_r$  is a constant and  $t_1$  is a random observer time epoch. Therefore,  $z_1$  follows a uniform distribution in  $[0, T_r]$  and thus  $f_1(z)$  is obtained as

$$f_1(z) = \frac{1}{T_r}. \quad (5)$$

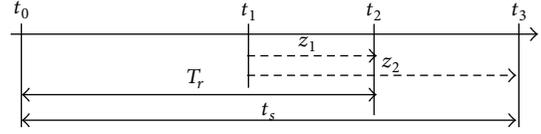


FIGURE 6: Diagram for the optimal pseudonym change interval.

On the other hand, if the subnet residence time  $t_s$  follows an exponential distribution with mean of  $1/\mu_s$ ,  $f_2(z)$  is calculated as

$$f_2(z) = \mu_s e^{-\mu_s z}. \quad (6)$$

Then, the PDF of  $z$ ,  $f(z)$ , can be expressed as

$$\begin{aligned} f(z) &= f_1(z) \int_z^\infty f_2(t) dt + f_2(z) \int_z^{T_r} f_1(t) dt \\ &= \frac{1}{T_r} e^{-\mu_s z} + \mu_s e^{-\mu_s z} \frac{1}{T_r} (T_r - z). \end{aligned} \quad (7)$$

From (7), the Laplace transform of  $f(z)$ ,  $f^*(s)$ , can be obtained and the expected privacy exposure time,  $E[z]$ , can be obtained from  $E[z] = (d/ds)f^*(s)|_{s=0}$ .

Suppose a vehicle generates packets with rate  $\lambda_p$  (packets/sec). Let  $N$  denote the expected number of packets influenced by the privacy exposure. Then,  $N$  is calculated as

$$N(T_r) = \lambda_p \times E[z]. \quad (8)$$

Intuitively,  $N(T_r)$  increases with the increase of  $T_r$ . Therefore, the optimal value of  $T_r$  can be obtained from the following problem:

$$\begin{aligned} &\text{maximize } T_r \\ &\text{subject to } N(T_r) \leq \Theta. \end{aligned} \quad (9)$$

That is, the optimal value of  $T_r$  is the maximum value of  $T_r$  while  $N(T_r)$  does not exceed a predefined threshold value  $\Theta$ . Note that  $\Theta$  should be determined depending on the application level, security level, and vehicle's mobility.

Figure 7 shows  $N(T_r)$  as  $T_r$  increases. With the increase of  $T_r$ , the pseudonym is infrequently updated and more packets can be affected by the privacy exposure. In addition, it can be found that low  $\mu_s$  leads to the increase of  $N(T_r)$ . This is because low  $\mu_s$  or low mobility reduces the number of pseudonym changes due to subnet movements. Figure 7 can be used to determine the optimal  $T_r$ . For example, when  $\mu_s$  and  $T_r$  are set to 1.0 and 9, respectively,  $N(T_r)$  is 8.89. On the other hand, when  $T_r$  becomes 10,  $N(T_r)$  exceeds 9.00. Therefore, if the threshold (or upper limit) on  $N(T_r)$ , that is,  $\Theta$ , is given by 9.0, the optimal  $T_r$  should be less than 10. From Figure 7, it can be shown that a larger  $T_r$  can be set when  $\mu_s$  is high under the same threshold  $\Theta$ . This can be explained as follows. If  $\mu_s$  or mobility is high, the pseudonym can be frequently updated by subnet changes. Hence, a longer  $T_r$  is allowed to save the pseudonym update cost in such a situation.

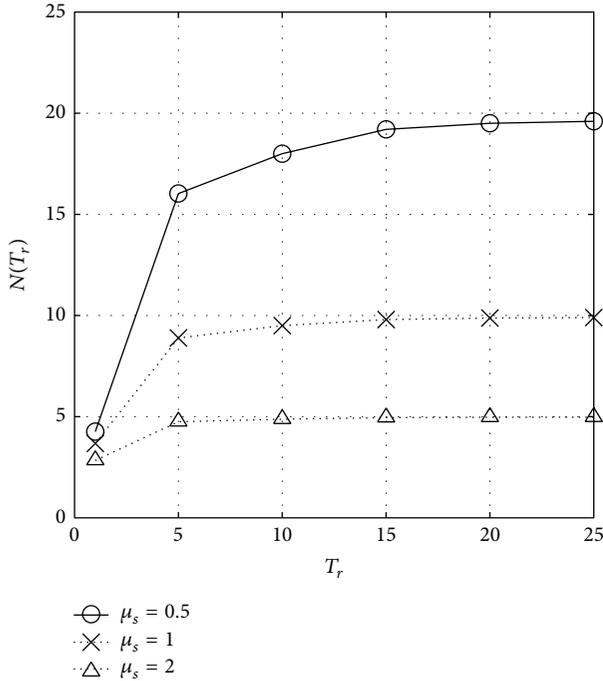


FIGURE 7: Effect of  $\mu_s$  and  $T_r$  ( $\lambda_p = 10$ ).

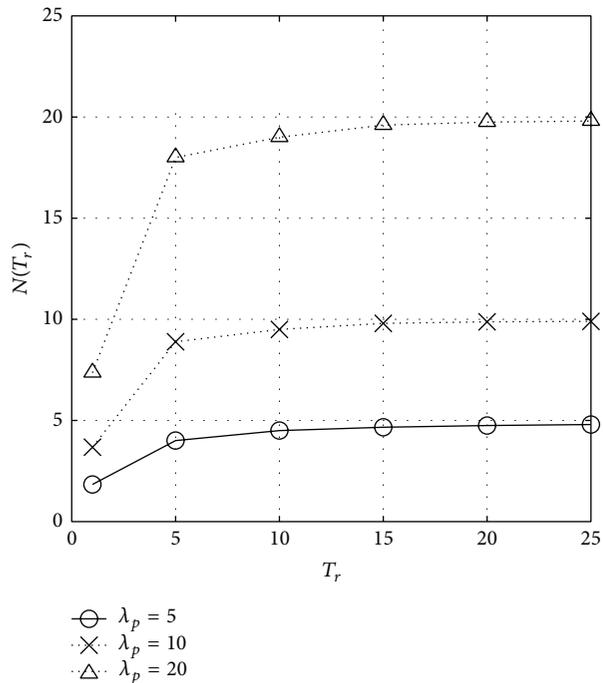


FIGURE 8: Effect of  $\lambda_p$  ( $\mu_s = 1$ ).

Figure 8 shows the effect of  $\lambda_p$ . Intuitively, when  $\lambda_p$  is large, more packets can be affected by the privacy exposure. Due to this reason, a smaller  $T_R$  should be set when  $\lambda_p$  is large as shown in Figure 8.

## 6. Conclusions

As IPv6 is considered as a main communication protocol for accessing the Internet during driving, location privacy at the IPv6 layer is becoming an important issue in cooperative ITS. In this paper, we have presented an IPv6 address configuration with pseudonyms and then studied a performance degradation issue due to the pseudonym change at the IPv6 layer. We moreover proposed the optimal pseudonym change algorithm that adaptively finds an optimal pseudonym change interval with given parameters.

## Disclosure

A preliminary version of this paper was presented at IEEE Intelligent Vehicles Symposium Workshops 2012 [6].

## Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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