Changes in the Physicochemical Properties of Piperine/β-Cyclodextrin due to the Formation of Inclusion Complexes

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Piperine (PP) is a pungent component in black pepper that possesses useful biological activities; however it is practically insoluble in water. The aim of the current study was to prepare a coground mixture (GM) of PP and β-cyclodextrin (βCD) (molar ratio of PP/βCD = 1/1) and subsequently evaluate the solubility of PP and physicochemical properties of the GM. DSC thermal behavior of the GM showed the absence of melting peak of piperine. PXRD profile of the GM exhibited halo pattern and no characteristic peaks due to PP and βCD were observed. Based on Job’s plot, the PP/βCD complex in solution had a stoichiometric ratio of 1/1. Raman spectrum of the GM revealed scattering peaks assigned for the benzene ring (C=C), the methylene groups (CH₂), and ether groups (C-O-C) of PP that were broadened and shifted to lower frequencies. SEM micrographs showed that particles in the GM were agglomerated and had rough surface, unlike pure PP and pure βCD particles. At 15 min of dissolution testing, the amount dissolved of PP in the GM was dramatically increased (about 16 times) compared to that of pure PP. Moreover the interaction between PP and βCD cavity was detected by 1H-1H NMR nuclear Overhauser effect spectroscopy NMR spectroscopy.

1. Introduction

Piperine [(2E,4E)-1-[5-(1,3-benzodioxol-5yl)-1-oxo-2,4-pentadienyl]piperidine, denoted here as PP] (Figure 1) is a component found in black pepper. PP molecule consists of piperidine and piperic acid linked by an amide bond. PP is pungent; however its cis-trans isomers, that is, isopiperine, chavicine, and isochavicine, have little pungency [1]. PP has been reported for its slight insecticidal activity against third instar larvae of Culex pipiens pallens, Aedes aegypti, and A. togoi [2]. PP at a concentration of 100–500 μg/mL has been reported to exhibit antibacterial action against Staphylococcus aureus, Pseudomonas aeruginosa, Bacillus subtilis, and Escherichia coli [3]. In addition, PP has been reported to reduce thiobarbituric acid reactive substances and the degree of lipid peroxidation [4]. PP activates the sympathetic nerves through the vagus nerve by acting on TRPV1 receptors present at the endings of sensory nerves and the vagus nerve. It is also reported that PP increases the energy consumption of skeletal muscle and brown adipose tissue [5, 6] and facilitates lipolysis in white adipose tissue [7]. Thus, PP has recently drawn attention as a useful spice for use in functional foods. PP is relatively stable in black pepper; however, it is readily isomerized when exposed to ultraviolet light [8]. Therefore, PP in pepper oleoresin must be shielded from light. In addition, because of its low aqueous solubility, PP is unable to exhibit its beneficial actions properly.

Cyclodextrins (CDs) are cyclic oligosaccharides consisting of D-glucopyranose linked by α-1,4 glycosidic bonds. CDs are classified as α, β, and γCD according to the number of
their D-glucopyranose units. CDs have a hydrophilic outer ring and a hydrophobic cavity. CDs are known to form inclusion complexes with various hydrophobic guest molecules by hydrophobic interaction in an aqueous solution [9–11]. Cogrinding is a technique of applying mechanical energy to produce inclusion complexes that is suitable for hydrolysis-prone compounds [12]. The process of encapsulating a guest molecule into the CD cavity has been used in various areas [13]. An inclusion complex of prostaglandin E₂/α-CD with increased solubility of prostaglandin E₂ has already been utilized in clinical settings. CDs are also widely used as a deodorant and food stabilizer in order to mask undesired properties of active compound [14], to release active compound in a sustained manner [15], and to stabilize active compound [16, 17]. One example is the inclusion complexes between poorly water-soluble capsaicin and β-cyclodextrin (βCD) that led to enhanced solubility and stability of capsaicin [18]. Similarly, formation of curcumin/hydroxypropyl-βCD complexes [19] and alpinetin/hydroxypropyl-βCD complexes [20] has been reported. Also recently, improvements in the biological activity of the complex formation between Q10 and CD and the development of functional foods have been made. Therefore, applications to the food and pharmaceutical sectors have been increasingly expected [21]. These findings are expected to lead to greater clinical use of drugs complexed with a CD in the fields of health care products and herbal medicines. PP can promote the absorption of β-carotene (vitamin A) and vitamin B6 by interfering the substance removal process from cells by the “pump” protein p-glycoprotein. PP has also been reported to facilitate the absorption of the active components of healthy food products such as turmeric and coenzyme Q10 [22]. The application to the food field is expected for the pharmaceutical research complexation technique of medical supplies. Improvement of the solubility and stability of PP will lead to greater use of PP as a health supplement in functional food in the future. Therefore, the aims of this study were to prepare inclusion complexes of PP and βCD by cogrinding method and to evaluate the dissolution behavior of PP and the physical-chemical properties of the inclusion complexes.

2. Materials and Methods

2.1. Materials. Piperine (PP: 98% for biochemistry) was purchased from Wako Pure Chemical Co., Ltd. βCD was donated by Cyclo Chem Co., Ltd. (Tokyo, Japan) and was used after storing at a temperature of 40°C and relative humidity of 82% for 7 days. The humidity was controlled in order to prepare stable β-cyclodextrin 10.5 hydrate. The humidity was controlled, in order to perform weight measurement after unifying the moisture content of cyclodextrin. HPLC solvents
of HPLC grade were purchased from Wako Pure Chemical Co., Ltd. All other chemicals and solvents were of analytical grade.

2.2. Methods

2.2.1. Preparation of a Physical Mixture and Ground Mixtures. A physical mixture (PM) of PP/βCD at a molar ratio of 1/1 was prepared by blending the two compounds for 1 minute using a vortex mixer (Model TM-151, IWAKI GLASS Co.). Ground mixtures (GMs) of PP/βCD at a molar ratios of 2/1, 1/1, and 1/2 by grinding the PMs (1.0 g) were prepared by cogrinding the two compounds for 60 min using a vibration rod mill (TI-500ET, CMT Co.) with an aluminum cell.

2.2.2. Differential Scanning Calorimetry (DSC). Thermal behavior of the samples was analyzed using a differential scanning calorimeter (Thermo plus EVO, Rigaku) under nitrogen flow rate of 60 mL/min. The samples were heated at a scanning rate of 5.0 C/min from 30 to 150 C.

2.2.3. Powder X-Ray Diffraction (PXRD). Powder X-ray diffraction was performed using an X-ray diffractometer (MiniFlex II, Rigaku) with Cu Ka radiation, a voltage of 30 kV, a current of 15 mA, a scan range of 3–35°, and a scan rate of 4°/min. The intensities were measured with NaI scintillation counter coupled to a discriminator.

2.2.4. Determination of the Complexation Stoichiometry. The stoichiometry of the complex formation between PP and βCD was determined using Job’s method with continuous variation [23]. To maintain a constant total concentration of PP and βCD, the comparison ratio of the guest molecules
was varied between 0 and 1 in the test solution. After the test solution reached an equilibrium state, samples were filtrated through a 0.45-\(\mu\)m membrane filter. The PP amount in the sample solutions was measured using a UV-2500PC ultraviolet-visible spectrophotometer (manufactured by Shimadzu Corporation) at a wavelength of \(\lambda = 341\) nm (measurement is \(n = 3\)).

2.2.5. Raman Spectroscopy. Raman spectra of the samples were recorded using a spectrometer (Cart-Mountable RamanRxnx2™ Analyzer-1000 nm, KAISER). The measurement condition is as follows: a scan range of 200–1700 cm\(^{-1}\), a spectral resolution of 5 cm\(^{-1}\), an f/1.8 imaging spectrograph with a holographic transmission grating, and a detector (TE-cooled 1024-array detector).

2.2.6. Scanning Electron Microscopy (SEM). SEM was performed using a S3000N Scanning Electron Microscope (Hitachi High-Technologies Corporation) at an acceleration voltage of 15 kV. Samples were mounted on aluminum SEM stubs. These sample stubs were coated with a thin layer of gold to make them electrically conductive.

2.2.7. \(^1\text{H}-\text{H} \) Nuclear Overhauser Effect Spectroscopy (NOESY) NMR Spectroscopy. Two-dimensional (2D) NOESY NMR spectroscopy and selective 1D NMR spectroscopy were performed using an NMR spectrometer (Varian NMR System 700NB, Agilent) with a cold probe operating at 699.7 MHz and a D\(_2\)O solution. The measurement conditions were as follows: an acquisition time of 7.0 \(\mu\)s, a pulse width of 90°, a relaxation delay of 0.267 s, a mixing time of 4.500 s, a fixed delay of 1.500 s, and a temperature of 298 K.

2.2.8. Dissolution Testing. Dissolution testing was conducted in accordance with the paddle method in the 16th edition of the Japanese Pharmacopoeia. Dissolution experiment was performed using a dissolution apparatus (NTR-593, Toyama Sangyo) at 37 ± 0.5°C with 900 mL of distilled water that was stirred with paddle at 50 rpm. Each 10 mL of a dissolution sample was collected at 0, 5, 10, 15, 30, and 60 min, respectively. Sample solutions were filtered through a 0.45-\(\mu\)m membrane filters and diluted with a water/methanol in a ratio of 1/1 as appropriate. The amount of PP in diluted sample solutions was measured using a UV-2500PC ultraviolet-visible spectrophotometer (manufactured by Shimadzu Corporation) at a wavelength of \(\lambda = 345\) nm. Measurement is \(N = 3\). Apparent dissolution profile was at sink conditions.

3. Results and Discussion

3.1. Examination of Thermal Behavior. DSC was performed to examine the thermal properties of PP and \(\beta\)CD in mixtures
(Figure 2). The thermograms of intact PP, PP ground for 60 min, the PM (PP/βCD = 1/1), and the GM (PP/βCD = 1/2) showed an endothermic peak due to the melting of PP at around 130°C (Figure 2(a, b, d, e)), whereas it was not observed in the thermograms of GM (PP/βCD = 1/1) and GM (PP/βCD = 1/2) (Figure 2(f, g)). According to a study by Giordano et al., when CD and a drug had molecular interaction the melting point of the drug disappeared in DSC [24, 25]. Thus, the current results similarly revealed that GM (PP/βCD = 1/1 or PP/βCD = 1/2) lacked a peak due to melting of PP, so some form of molecular interaction between PP and βCD is presumed to have occurred. Intact βCD’s thermogram exhibited a broad endothermic peak at around 100°C which arising from the dehydration. The GM (PP/βCD = 1/2) also showed smaller endothermic peak due to water loss (Figure 2(g)). This was presumably the result of a water loss from βCD which was not involved in inclusion. Thermal analysis exhibited changes in thermal behavior, and the findings above suggest molecular interaction between coground PP and βCD and changes in the properties of PP. DSC results suggested that PP/βCD complexes might be produced at a molar ratio of 1/1 or 1/2 PP/βCD.

3.2. Examination of the Crystalline State. PXRD was performed to examine the crystalline state of PP and β-CD in the mixtures (Figure 3). Intact PP exhibited characteristic diffraction peaks (indicated by ⋄) at 2θ = 12.9° and 19.6°, respectively, whereas βCD showed characteristic diffraction peaks (indicated by △) at 2θ = 12.6° and 17.8°, respectively (Figure 3(a, b, c)). Ground PP showed a similar PXRD pattern to that of intact PP, indicating that no phase transition occurred. The PM (PP/βCD = 1/1) exhibited superimposed PXRD patterns of PP and βCD crystals (Figure 3(d)). The GM (PP/βCD = 1/2) had decreased crystallinity compared to the PM and the small diffraction peaks due to PP were observed in the profile. However the GM (PP/βCD = 1/1) and GM (PP/βCD = 1/2) samples showed halo PXRD patterns (Figure 3(f, g)), indicating amorphous formation of PP [26, 27]. Thus, mechanical energy in the form of distortion or percussion might induce the mechanochemical interaction between PP and βCD in a solid state.

3.3. Analysis of the Complexation Stoichiometry. Jadhav and Vavia determined the molar ratio of βCD and danazol
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Results are presented as the mean ± SD (n = 3).

3.4. Examination of Molecular Interaction in a Solid State. Results of DSC, PXRD, and Job’s plot suggested that PP and βCD formed complexes at a molar ratio of 1/1. Raman spectroscopy was performed to investigate the molecular state of the PP and βCD complex in a solid state (Figure 5). Raman spectroscopy is more suitable for investigating an interaction of symmetrically substituted C=C than infrared spectroscopy. It can be used to ascertain the degree of crystallization and stress on a crystal lattice as well [29]. For pure PP, its C=C of the aromatic ring, CH of the phenyl group, CH$_2$, and C-O-C produced scattering peaks at 1584, 1104, 1203, and 1256 cm$^{-1}$, respectively. Scattering peaks due to PP were also observed in the PM’s spectrum at the same wave numbers (Figure 5(d)). For the GM (PP/βCD = 1/1), broaden scattering peaks assigned for C=C of the aromatic ring, CH of the phenyl group, CH$_2$, and C-O-C were recorded at 1582, 1090, 1205, and 1255 cm$^{-1}$, respectively. These peaks were shifted to lower frequencies in comparison to those of pure PP (Figure 5(f)). Broaden and shifted scattering peaks of the GM (PP/βCD = 1/1) might be due to the fact that molecular vibrational relaxation time is inversely proportional to an increase in the half-width of the peak. When PP molecule was included in the CD cavity, the interaction between the two compounds may suppress symmetrical stretching completely, resulting in broaden and shifted scattering peaks. This suggested that C=C of the aromatic ring, CH of the phenyl group, CH$_2$, and C-O-C functional groups of the PP molecule were involved in complex formation with βCD.

3.5. Examination of Morphological Properties. SEM micrographs of samples are depicted in Figure 6. The surface of PP particle was rather smooth and its size was about 200 μm (Figure 6(a)). After grinding for 60 min, the PP particles had smaller size (50 μm in size) and rougher surface and some particles were agglomerated (Figure 6(b)). Also, βCD particles had smooth surface with size of 100 μm (Figure 6(c)). Particles of intact PP and β-CD were in block shape. There was no marked particle surface change observed in the PM (Figure 6(e)). The GM (PP/βCD = 1/1) contained rough-surface particles of varied sizes (about 100 μm); some particles were aggregated (Figure 6(g)). Mechanical energy arising from grinding process might presumably affect the particle surface, leading to particle size reduction. The particles of the GMS clearly differed from that of pure PP; they had rough surface and were agglomerated. Differences in particle surface (i.e., rougher surface) and particle agglomeration have been reported when βCD encapsulates a drug molecule [30]. Thus, PP molecules and βCD molecules are likely to form inclusion complexes in a solid state.

3.6. Assessment of Dissolution. Results mentioned above suggested that PP and βCD formed complexes via cogrinding process. Dissolution testing was conducted to monitor changes in the aqueous solubility of PP as a consequence of the inclusion complexation. Dissolution profiles of intact PP, PP ground for 60 min, a PM (PP/βCD = 1/1), and a GM (PP/βCD = 1/1) are shown in Figure 7. The amounts dissolved of PP after 15 min from intact PP, PP ground for 60 min, a PM (PP/βCD = 1/1), and a GM (PP/βCD = 1/1) samples were 0.88 μg/mL, 0.59 μg/mL, 1.0 μg/mL, and 14.37 μg/mL, respectively. The GM (PP/βCD = 1/1) exhibited a remarkably improved dissolution compared to other samples. PP ground for 60 min did not have changes in solubility compared to PP alone. Thus, the increased surface area of particles due to cogrinding did not improve the solubility of the GM (PP/βCD = 1/1). Due to the solid-state interaction of βCD molecule with the aromatic ring of PP molecule which was confirmed by Raman spectra, solubility of PP was enhanced dramatically.

3.7. Examination of Molecular States in Solution. Owing to an improved solubility of the GM (PP/βCD = 1/1), two-dimensional NMR spectroscopy was performed to confirm the existence of interaction between PP and β-CD in solution and to determine the form of inclusion. $^1$H-1$^H$ nuclear Overhauser effect spectroscopy (NOESY) NMR spectroscopy is typically used to estimate the relative positions of protons in the CD cavity and protons of the guest molecule for analyzing the structure of inclusion complex [31]. The $^1$H-1$^H$ NOESY NMR spectrum of the GM (PP/βCD = 1/1) is illustrated in Figure 8. Cross peaks were observed between the peaks for H-C, H-D, and H-E of the benzene ring of PP and H-3 and H-5 of βCD. An interesting finding is that cross peaks were
Figure 8: $^1$H-$^1$H NOESY NMR spectrum produced by the GM (molar ratio of PP/$\beta$-CD = 1/1) in $D_2$O. $X$ is 5.7–7.5 and $Y$ is 3.3–3.9.

Figure 9: Structural view of a PP/$\beta$CD complex.

also observed between H-J of an ester group of PP and H-6 of $\beta$CD. This could be interpreted by the fact that protons of the benzene ring and ester groups of PP interacted with protons of the cavity of $\beta$CD. This could be explained by the fact that a portion of PP molecule, that is, from an ester group to the benzene ring, was included in the vast cavity of CD (Figure 9). This evidence also confirms that the formation of inclusion complexes between PP and $\beta$CD helped improve the solubility of PP.

4. Conclusion

Inclusion complex of PP and $\beta$CD was produced by cogrinding process and their physicochemical properties were investigated by means of PXRD, DSC, Raman spectroscopy, and SEM, respectively. Changes in the solid-state physicochemical properties, particle shape, and particle surface of the GM at a molar ratio of 1/1 were detected. Job’s plot confirmed
that PP and βCD at a molar ratio of 1/1 formed inclusion complexes in solution. The solubility of PP was increased as a result of inclusion in βCD. In addition, $^1$H-$^2$H NOESY NMR spectra revealed the form in which PP was included in βCD. The increased solubility of PP was attributed to the interaction between the aromatic ring of PP (which is lipophilic) and the cavity of βCD. This observation indicates that PP can be used beneficially in the development of health care supplements. The stability of PP on its use in food supplement and the mechanism by which PP is included in βCD by different preparation methods need to be thoroughly investigated in the future.

Conflict of Interests
The authors declare that there are no conflict of interests regarding the publication of this paper.

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