ZERO-SUM PARTITION THEOREMS FOR GRAPHS

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ABSTRACT. Let $q = p^n$ be a power of an odd prime p . We show that the vertices of every graph G can be partitioned into $t(q)$ classes $V(G) = \bigcup_{i=1}^{t(q)} V_i$ such that the number of edges in any induced subgraph $\langle V_i \rangle$ is divisible by q , where $t(q) \leq \frac{3}{2}(q-1) - \frac{(2(q-1)-1)^2}{4} + \frac{9}{8}$, and if $q = 2^n$, then $t(q) = 2q - 1$.

In particular, it is shown that $t(3) = 3$ and $4 \leq t(5) \leq 5$.

KEY WORDS AND PHRASES. Zero-sum, partition, clique-number.

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1. INTRODUCTION.

Long ago, Gallai proved the following partition theorem:

THEOREM A. Let G be a graph. Then there exists a partition $A \cup B = V(G)$, $A \cap B = \emptyset$ such that in the subgraphs induced on $\langle A \rangle$ and $\langle B \rangle$ all the degrees are even (see [8] problem 5.17 or [6]).

Recently, this theorem received some attention ([4], [5]). A partial sample of the problems evolved from Gallai's theorem is:

PROBLEM 1: What is the maximum order of an induced subgraph H of a graph G , with n non-isolated vertices, such that in H all the degrees are even, respectively odd. In particular is it true that every tree on $n \geq 2$ vertices contains an induced subgraph on at least $\frac{2n-2}{3}$ vertices in which all the degrees are odd?

For some results concerning this problem, see [4] and [5].

PROBLEM 2: Let $k \geq 2$ be an integer. Does there exist a constant $c = c(k)$, depending only on k , such that every graph can be partitioned into $c(k)$ vertex-disjoint classes $\bigcup_{i=1}^{c(k)} V_i = V(G)$ in such a way that in all the induced subgraphs $\langle V_i \rangle$, $1 \leq i \leq c(k)$, all the degrees are divisible by k ?

Clearly, Theorem A states that $c(2) = 2$. The existence of $c(k)$ for $k \geq 3$ is an interesting open problem.

Motivated by Theorem A and the above problems, we consider the following related question:

PROBLEM 3: Let $k \geq 2$ be an integer. Does there exist a constant $t = t(k)$ such that every

graph G can be partitioned into $t(k)$ vertex-disjoint classes $\bigcup_{i=1}^{t(k)} V_i = V(G)$ in such a way that in each of the induced subgraphs $\langle V_i \rangle$, $1 \leq i \leq t$ the number of edges is divisible by k ?

Obviously an affirmative answer to Problem 2 (at least for k odd) implies an affirmative answer to Problem 3 with $t(k) \leq c(k)$. Hence Problem 3 is weaker, and in fact more tractable, than Problem 2.

It is convenient for later purpose to introduce the following notations. Denote by $\phi(G, k)$ the smallest integer m such that the hypergraph G can be partitioned into m classes $V(G) = \bigcup_{i=1}^m V_i$ in a way that $e(\langle V_i \rangle) \equiv 0 \pmod{k}$, $1 \leq i \leq m$, where $e(\langle V_i \rangle)$ is the number of edges in the induced subhypergraph V_i . Observe that for every $k \geq 2$ and every hypergraph G , $\phi(G, k) \leq \chi(G)$, where $\chi(G)$ is the usual chromatic number of G , namely, the smallest number of colors such that each edge has vertices of at least two colors. Hence, with those notations Problem 3 is:

$$\text{does } \sup_G \phi(G, k) = t(k) < \infty \text{ for a fixed } k \geq 2?$$

Our main goal is to prove the existence of the constant $t(k)$ introduced in Problem 3. We shall do it explicitly for k being a prime power, using the Baker-Schmidt Theorem [3], and indicate extensions to non-prime power moduli as well as to r -uniform hypergraphs. We shall then consider in detail some particular cases in which we were able to further improve upon our general upper bounds. In order to keep this introduction short and concise we shall only remark that our notations follow [2] and others will be presented when needed.

2. PARTITION THEOREMS AND PROOFS.

We start with an exact formulations of the main results.

THEOREM 1. Let $r, q \geq 2$ be positive integers.

(1) There exists a constant $t(q, r)$, depending only on q and r , such that $\phi(H, q) \leq t(q, r)$, for every r -uniform hypergraph H .

(2) If $r = 2$, $q = 2^k$ then $t(q, 2) = 2q - 1$.

THEOREM 2. If $r = 2$, $q = p^n$, p is an odd prime, then

$$t(q, 2) \leq \frac{3}{2}(q-1) - \frac{(2(q-1)-1)^{\frac{1}{2}}}{4} + \frac{9}{8}.$$

THEOREM 3. $t(3, 2) = 3$ and $4 \leq t(5, 2) \leq 5$.

Before proving the theorems, we shall need the Baker-Schmidt theorem and some recent result of [1] which is given here in full detail in order to keep the paper self-contained.

THEOREM B (Baker-Schmidt [3]). Let q be a prime power. If $s \geq d(q-1)+1$ and $h_1(x_1, \dots, x_s), h_2(x_1, \dots, x_s), \dots, h_1(x_1, \dots, x_s) \in \mathbb{Z}[x_1, \dots, x_s]$ are polynomials satisfying $h_1(0) = \dots = h_1(0) = 0$, and $\sum_{i=1}^s \deg h_i \leq d$, then there exists a binary vector $a \neq 0$ such that $h_1(a) \equiv \dots \equiv h_1(a) \equiv 0 \pmod{q}$. $\square$

Let $\mathbb{Z}_q$ be the set of integers mod q considered as an additive group.

THEOREM C (Alon and Caro [1]). Let q be a prime power, and let $H = (V, E)$ be a hypergraph of rank r (the size of the largest edge) and let $f: E \rightarrow \mathbb{Z}_q$ be a $\mathbb{Z}_q$ -coloring of $E(H)$. Then there is a subset U of V , where $|U| \geq |V| - r(q-1)$ such that $e \subset U \Rightarrow e \equiv 0 \pmod{q}$. The same holds for q a non-prime power provided $|U| \geq |V| - rq^3 \log_2 q$.

PROOF OF THEOREM C. For q a prime power, let m be the maximum integer such that there exists a set M of m vertices of H so that $e \subset M \Rightarrow e \equiv 0 \pmod{q}$. If $m \geq |V| - r(q-1)$ there is nothing to prove. Otherwise, there are more than $r(q-1)$ vertices in $V \setminus M$. Define $W = V \setminus M$ and let F be the set of all edges of H that contain at least one vertex of W . Associate each vertex w in W with a variable x_w and consider the following polynomial equation

$$h = \sum_{e \in F} f(e) \prod_{w \in e} x_w \equiv 0 \pmod{q}.$$

The trivial vector $x_w = 0$ for all $w \in W$, is clearly a solution of this equation. Since the degree of h

is at most r , Theorem B (for $l=1$) implies that there is a nontrivial solution in which each variable x_w is either 0 or 1. Let W' be the set of all the vertices w for which $x_w=1$ in this solution. Note that for those vertices, the polynomial h counts, mod q , the weight of the edges added to $e < M >$, when adding W' to M . Thus, $e < M \cup W' > \equiv 0 \pmod{q}$, contradicting the maximality of m . This proves the assertion of the theorem for q a prime power. For the second part of the theorem refer to [1]. $\square$

PROOF OF THEOREM 1.

(1) To prove this part, we adopt a greedy strategy, namely, we shall try to delete, in each stage, the largest possible induced subhypergraph whose number of edges is divisible by q . Using the above result, if q is a prime power then H contains an induced subhypergraph G , such that $|G| \geq |H| - r(q-1)$ and $|H \setminus G| \leq r(q-1)$. Thus, $\langle H \setminus G \rangle$ can be decomposed into subsets of order at most $r-1$, which contain no edges. Since H is r -uniform we conclude that in this case, (q is a prime power), $t(q, r) \leq 1 + \frac{r(q-1)}{r-1} = \frac{rq-1}{r-1}$. If q is a non-prime power, then the same argument yields $t(q, r) \leq 1 + \frac{rq^3 \log_2 q}{r-1}$.

(2) We already know by part (1) that $t(q, 2) \leq 2q-1$ for $q=2^k$. It remains to present a graph that realizes the upper bound. Consider the complete graph K_{2q-1} . Observe that for $1 \leq t \leq 2q-1$, $\binom{t}{2} \equiv 0 \pmod{q}$, $q=2^k$. Hence in a decomposition of K_{2q-1} each class consists a single vertex and we actually need $2q-1$ classes. Hence $t(2^k, 2) = 2^k + 1 - 1$. $\square$

Before proving Theorem 2, we need a lemma.

A *vertex-coloring* of a graph G is a function $g: V(G) \rightarrow \{1, 2, \dots, k\}$ in which adjacent vertices have different colors. A *color-class* is a class of vertices having the same color. By $\omega = \omega(G)$ we denote the *clique-number* of G .

LEMMA. Suppose G is a graph on n vertices. Let χ be a minimum vertex coloring of G using $\chi(G)$ colors such that the number, $|X_1|$, of color classes of size one is as small as possible. Then,

- (i) Each vertex in any color class C , $|C| \geq 3$ is adjacent to all vertices of X_1 .
- (ii) At least one vertex in any color class C , $|C| = 2$ is adjacent to all vertices of X_1 .
- (iii) If $G \neq K_n$ then $|X_1| \leq \omega - 1$.
- (iv) If $G \neq K_n$ then $\phi(G, q) \leq \frac{n + |X_1|}{2} \leq \frac{n + \omega - 1}{2}$.

PROOF.

(i) Suppose there were vertices $v \in C$, $|C| \geq 3$, and $w \in X_1$ such that $(v, w) \notin E(G)$. Then we can take (v, w) as a color class, leaving $\chi(G)$ as before, but $|X_1|$ is reduced by one, a contradiction to the minimality of $|X_1|$.

(ii) Let $C = \{u, v\}$. If there is a vertex $w \in X_1$ such that $(w, u), (w, v) \notin E(G)$ then the vertices $\{w, u, v\}$ form a color class reducing $\chi(G)$.

Suppose now that there are vertices $w, z \in X_1$ such that $(w, u), (z, v) \notin E(G)$. Then we can take $\{w, u\}$ and $\{z, v\}$ as color classes, and again $\chi(G)$ is reduced. This contradiction shows that at least one of the vertices u, v is adjacent to all X_1 .

(iii) Clearly, X_1 forms a complete subgraph. If $G \neq K_n$ then by (i) or (ii), we can always add some vertex to X_1 to form a larger complete subgraph. Hence, $|X_1| + 1 \leq \omega$.

(iv) As $\chi \leq |X_1| + \frac{n - |X_1|}{2}$ and for any q $\phi(G, q) \leq \chi(G)$ we obtain the required result using (iii). $\square$

In the proof of the Lemma we have used the trivial bound $\chi(G) \leq \frac{n + \omega - 1}{2}$. Hence, we raise the following problem:

PROBLEM 4: What is the upper bound of $\chi(G)$ in the case when $\omega(G) = cn$, c some constant?

The relevant constant for our problems is about half.

PROOF OF THEOREM 2. Observe first that if q is an odd integer, then $\binom{q}{2} \equiv 0 \pmod{q}$ and $\binom{q+1}{2} \equiv 0 \pmod{q}$. Consider a graph G and estimate $\phi(G, q)$. Suppose we have deleted the largest subgraph assured by Theorem C. Then we are left with a collection A of $2q - l \leq 2q - 2$ vertices. We may assume w.l.o.g. that $|A| = 2q - 2$ by adding isolated vertices. If $\langle A \rangle$ contains a complete graph K_t , $t \geq q$ then we may delete a K_q from $\langle A \rangle$ and take all the remaining vertices as singles. We have at most $2 + (2q - 2) - q = q$ classes which is smaller than the stated bound. Hence we may assume that the largest clique in $\langle A \rangle$ is of order at most $q - 1$. Put $a = q - 1$. Suppose that we have in $\langle A \rangle$, k vertex disjoint independent sets of order 3. Delete those sets from $\langle A \rangle$, the resulting graph is denoted A_1 . Consider a minimum vertex coloring of A_1 as in the Lemma. Call a vertex v in $A_1 \setminus X_1$ *strong* if it is adjacent to all vertices of X_1 and let Y be the set of all strong vertices. Put $x = |X_1|$. Thus, $\omega(A_1) \geq x + \omega(\langle Y \rangle)$. By the lemma and the fact that $|A_1| = 2a - 3k$, we find:

$$(1) \quad \phi(G, q) \leq k + 2a - 3k + 1 = 2(a - k) + 1.$$

Also, we have the trivial bound on $\phi(G, q)$,

$$(2) \quad \phi(G, q) \leq 1 + k + \frac{2a - 3k + x}{2} = 1 + a + \frac{x - k}{2}.$$

Let $\beta_0(Y)$ be the cardinality of the maximal independent set in $\langle Y \rangle$. Then, $\beta_0(Y) \leq 2$ since independent sets of size 3 have been removed. We shall use a lower bound for $\beta_0(\bar{Y})$ obtained from Turan's Theorem (see [2]) and the fact that $\langle \bar{Y} \rangle$ is triangle free, namely,

$$(3) \quad \beta_0(\bar{Y}) \geq \max\left\{\frac{|Y|}{d+1}, d\right\} \geq |Y|^{\frac{1}{2}} - \frac{1}{2},$$

where d is the average degree in $\langle Y \rangle$. Hence, $\omega(\langle Y \rangle) \geq |Y|^{\frac{1}{2}} - \frac{1}{2}$. By (ii) of the lemma,

$|Y| \geq \frac{2a - 3k - x}{2}$. Hence,

$$(4) \quad x + \left(\frac{2a - 3k - x}{2}\right)^{\frac{1}{2}} - \frac{1}{2} \leq x + \omega(Y) \leq a.$$

Rewrite (4) as follows:

$$(5) \quad 4x^2 - 2x(4a + 1) + 4a^2 + 1 + 6k \geq 0.$$

Then, either $8a - 24k - 3 < 0$, or

$$(6) \quad x \geq \frac{4a + 1 + (8a - 24k - 3)^{\frac{1}{2}}}{4}$$

or

$$(7) \quad x \leq \frac{4a + 1 - (8a - 24k - 3)^{\frac{1}{2}}}{4}$$

Observe first that if $8a - 24k - 3 < 0$, that is $a < 3k + \frac{3}{8}$ then by (2) we obtain that $\phi(G, q) \leq \frac{4a}{3} + \frac{5}{4}$ which is obviously better than the bound of the theorem. Otherwise, since $x \leq a$ (6), must be ignored. Now to obtain the required result substitute (7) with $k = 0$ as the best choice, into (1). $\square$

REMARK 1.

(i) It is easy to show, by simple deletion of independent pairs, that $\phi(G, q) \leq \frac{3(q-1)}{2}$, for q an odd prime. So the result of Theorem 2 is better than this trivial bound only for $q > 11$.

(ii) The above bounds can be slightly improved using a result of Shearer [9] concerning the independent number of a triangle-free graph. The computations are simple but tedious.

PROOF OF THEOREM 3. Observe first that we may assume as before that after deleting the largest subgraph guaranteed by Theorem C, we are left with exactly $2q - 2$ vertices. So that for $q = 3$ we have 4 vertices that remain. A direct checking of all graphs on 4-vertices reveals that all of them are partitionable into at most two induced subgraphs in which the number of edges is divisible by 3. Hence, $t(3, 2) \leq 3$.

The wheel W_5 :

shows that indeed $\iota(3, 2) = 3$.

The case $q = 5$ is more complicated since we have more than 12,000 graphs on 8 vertices and hand-checking is tedious. Our goal is to show that for every graph G on 8 vertices $\phi(G, 5) \leq 4$. This would imply $\iota(5, 2) \leq 5$. We split the proof into several cases:

(i) $\omega \geq 5$.

In this case, we are done since $e(K_5) = 10$, $|G \setminus K_5| \leq 3$ and $\phi(G, 5) \leq 4$.

(ii) $\omega \leq 4$.

Then by Lemma 1, $|X_1| \leq 3$.

(iia) If $|X_1| = 0$ by (iv) of the Lemma we are done.

(iib) If $|X_1| = 1$ then we have at most 7 vertices in at most 3 color classes with sizes at least 2, so that again we are done.

(iic) If $|X_1| = 2$ and we have a color class of size ≥ 3 , then take by Lemma, a $K_4 \setminus e$ (with 5 edges) and the rest of the (at most) four vertices contain at least one color class of size 2 and again we are done. Otherwise, all remaining color classes are of size 2. Then it is known from the Lemma 1 that there is at least one vertex in each color class that is adjacent to all vertices of X_1 . Let u and v be such vertices of distinct color classes. If $(u, v) \notin E(G)$ then we have a $K_4 \setminus e$ and we are done. Otherwise all such vertices are adjacent and together with X_1 create a complete subgraph of size 5 and we are in case (i).

(iid) If $|X_1| = 3$, then either there is a single color class of size 5 and we are done or there is two color classes each of size at least two. Again, it is known from the Lemma that there is at least one vertex in each color class that is adjacent to all vertices of X_1 . Let u and v be such vertices. If $(u, v) \notin E(G)$ then we have a $K_4 \setminus e$ and we are done. Otherwise $\langle X_1 \cup \{u, v\} \rangle$ induces a complete subgraph of size 5 and we are in case (i).

Now to show that $\iota(5, 2) \geq 4$, consider the graph K_{5n+4} . Take $V(K_{5n+4}) = \bigcup_{i=1}^4 A_i$, where, $|A_1| = 5n+1$ and $|A_i| = 1, i = 2, 3, 4$, and the number of edges of the induced subgraphs on the vertex sets A_i is divisible by 5. This gives $\phi(K_{5n+4}, 5) \leq 4$. In order to show that $\phi(K_{5n+4}, 5) \geq 4$, suppose that $V(K_{5n+4}) = \bigcup_{i=1}^3 A_i$, and the orders of the sets are x_1, x_2, x_3 , respectively. Then,

$$(8) \quad x_1 + x_2 + x_3 = 5n + 4.$$

On the other hand, each of the numbers x_1, x_2, x_3 , should have been 0 or 1 (mod 5). So that (8) is impossible. $\square$

REMARK 2. We could not find a graph such that $\phi(G, 5) = 5$. Its existence can give a complete answer in that case. $\square$

THEOREM 4. Let q be an odd prime power and let G be a graph such that all its induced subgraphs of order at most $2q - 2$ are perfect graphs. Then $\phi(G, q) \leq q$. In particular this holds for perfect graphs.

PROOF. By Theorem C, G has a subgraph H , $|H| \geq |G| - 2q + 2$ such that $e(H) \equiv 0 \pmod{q}$. Clearly $|G \setminus H| \leq 2q - 2$. If $G \setminus H$ contains a clique $K_t, t \geq q$ take a K_q and all the remaining vertices as singles to obtain a partition into at most q classes. Otherwise by perfectness, $\phi(G \setminus H, q) = \chi(G \setminus H) = \omega(G \setminus H) \leq q - 1$ which yields again a partition into at most q classes.

We now characterize $\phi(G, 2)$ for every graph.

THEOREM 5. Let G be a graph. Then,

- (i) $\phi(G, 2) = 1$ iff $e(G) \equiv 0 \pmod{2}$.
- (ii) $\phi(G, 2) = 2$ iff $e(G) \equiv 1 \pmod{2}$ and there is a vertex of odd degree.
- (iii) $\phi(G, 2) = 3$ iff $e(G) \equiv 1 \pmod{2}$ and all degrees are even.

PROOF.

(i) Trivial.

(ii) Clearly, if $e(G) \equiv 1 \pmod{2}$ then $\phi(G, 2) \geq 2$ and if $\deg u \equiv 1 \pmod{2}$ then the required partition is $G \setminus \{u\}, \{u\} \Rightarrow \phi(G, 2) = 2$.

(iii) As $e(G) \equiv 1 \pmod{2}$ we have $\phi(G, 2) \geq 2$. We will show that $\phi(G, 2) = 2$ is impossible and by $t(2, 2) = 3$ we must have $\phi(G, 2) = 3$.

Indeed suppose $\phi(G, 2) = 2$ and let A, B be the vertex classes. As $e < A > \equiv e < B > \equiv 0 \pmod{2}$ and $e(G) \equiv 1 \pmod{2}$ we must have odd number of edges between A and B . But this is possible iff an odd number of vertices of A have an odd degree in $< A >$ and for each of them there is odd number of edges connecting it to $< B >$. But this is impossible since in $< A >$ there will be an odd number of vertices of odd degree. $\square$

REMARK 3.

(1) By imitation of the proof of Theorem 2 we can show that if q is prime power and $q \mid \binom{q}{r}$ then $t(q, r) \leq q + \frac{q-1}{r(r-1)}$.

(2) A deep theorem of Kierstead [7] states that if G is both $K_{1,3}$ -free and $K_5 \setminus e$ -free, then $\omega(G) \leq \chi(G) \leq \omega(G) + 1$. Hence using the arguments of Theorem 4 we obtain that for such a graph G and for q an odd prime power $\phi(G, q) \leq q + 1$.

Finally, we pose the following conjecture.

CONJECTURE. If $q \neq 2^k$ then $t(q, 2) \leq q(1 + o(1))$.

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