Research Article

Bipartite Toughness and k -Factors in Bipartite Graphs

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We define a new invariant $t^B(G)$ in bipartite graphs that is analogous to the toughness $t(G)$ and we give sufficient conditions in term of $t^B(G)$ for the existence of k -factors in bipartite graphs. We also show that these results are sharp.

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1. Introduction

Toughness, like connectivity, is an important invariant in graphs. There has been extensive work on toughness (see the survey in [1]) since Chvátal introduced the concept in 1973 [2]. The toughness $t(G)$ of a graph G is the minimum value of $|S|/w(G - S)$, where $S \subset V(G)$ is a proper subset of the vertices of G and $w(G - S) > 1$ is the number of connected components after removing S from G . (If G is a complete graph so that $w(G - S)$ is always equal to 1, then $t(G)$ is set to be ∞ .) That is, for any integer $k > 1$, G cannot be split into k connected components by removing less than $k \cdot t(G)$ vertices. We also say that G is $t(G)$ -tough. Chvátal made a number of conjectures in [2], including the famous 2-tough conjecture saying that every 2-tough graph has a Hamiltonian cycle. Having inspired many interesting results, the 2-tough conjecture itself was showed to be false by Bauer et al. in 2000 [3].

A subgraph H of G is called a *factor* of G if H is a spanning subgraph of G . An important class of factors is k -factors, also called *regular degree factors*, where every vertex of G has degree k in H . (Note that a perfect matching is a 1-factor, and a Hamiltonian cycle is a connected 2-factor.) There has been extensive work on the conditions of existence of various factors in graphs. Many results can be found in the latest survey by Plummer [4].

Figure 1: The bound of bipartite toughness in Theorems 1.2 and 1.3, illustrated with $n = 1000$. The x -axis is k and y -axis is $\log(t^B(G))$. The bound is given by f_1 on the left and f_2 on the right of $k = (n + 4)/4$.

It is natural to expect that toughness, yet another measure of the connectivity of a graph, ought to relate to the existence of k -factors in graphs. Enomoto et al. [5–7] proved that every k -tough graph contains a k -factor if it satisfies trivial necessary conditions, and there are $(k - \varepsilon)$ -tough graphs for any $\varepsilon > 0$ that do not contain a k -factor. Consider a *bipartite graph* $G = (X, Y; E)$, where $X \cup Y = V(G)$ is a partition of $V(G)$ and E is the edge set of G with each edge having one end in X and the other in Y . Katerinis [8] proved that every 1-tough bipartite graph has a 2-factor. Recall that the toughness of a bipartite graph $G = (X, Y; E)$ is at most 1 because the removal of X from G (assuming $|X| \geq |Y|$) results in an independent set Y . Therefore, it is not possible to use toughness to predict the existence of k -factors in balanced bipartite graphs for any $k \geq 3$.

1.1. Bipartite toughness

In this paper, we introduce *bipartite toughness*, which is analogous to the concept of toughness but reflects the bipartition of $V(G)$. The bipartite toughness $t^B(G)$ of a bipartite graph $G = (X, Y; E)$ is the minimum value of $|S|/w(G - S)$, where S is a proper subset of X or Y and $w(G - S) > 1$ is the number of connected components after removing S from G . We set $t^B(G) = \infty$ for complete bipartite graphs, just like $t(G) = \infty$ for complete graphs.

A bipartite graph can have a regular degree factor only if $|X| = |Y|$. Therefore, in the rest of the paper, we consider only a *balanced bipartite graph* with $|X| = |Y| = n$. For a subset S of $V(G)$, we use $N(S)$ to denote the set of vertices adjacent to at least one vertex in S . For two disjoint subsets S and T of $V(G)$, we use $e_G(S, T)$ to stand for the number of edges having one end in S and the other in T . Other terminologies and notations used in this paper follow [9] and other references.

Bipartite toughness $t^B(G)$ measures the connectivity of a bipartite graph better than toughness $t(G)$ does. In contrast to toughness $t(G)$ that is at most 1 in a bipartite graph, $t^B(G)$ can be arbitrarily big. For example, in a complete bipartite graph with one edge deleted,

$t(G) = O(n)$, which approaches to ∞ , is just like $t(G) = O(n)$ in a complete graph with one edge deleted. Interestingly, $t^B(G)$ a better invariant to predict the existence of k -factors in balanced bipartite graphs, for any k . Furthermore, by their definitions, calculating $t^B(G)$ in a bipartite graph is easier than calculating $t(G)$ since one is a subtask of the other.

1.2. Our results

Let $G = (X, Y; E)$ be a balanced bipartite graph with $|X| = |Y| = n$ and $1 \leq k \leq n$ be an integer. In this paper, we prove the following three theorems.

Theorem 1.1. *Let $m = \lfloor (n-1)/2 \rfloor$. If $t^B(G) > m/(m+2)$, then G has a 1-factor.*

Theorem 1.2. *For $k \geq 2$ and $n \geq 4k-4$, if $t^B(G) > f_1 = (2k-1)(n-1)/(kn+1)$, then G has a k -factor.*

Theorem 1.3. *For $n \leq 4k-4$, if $t^B(G) > f_2 = (n-1)/(2\sqrt{kn+1}-2k+1)$, then G has a k -factor.*

These theorems together give a sharp bound of $t^B(G)$ for G to have a k -factor, for $k = 1, \dots, n$. (See Figure 1. Note that $m/(m-2) = f_1$ when $k = 1$ and n is odd; and $f_1 = f_2$ when $n = 4k-4$.)

The bound of $t^B(G)$ is sharp in the following senses.

- (a) For Theorem 1.1, let $m = \lfloor (n-1)/2 \rfloor$ and construct a balanced bipartite graph $G = (X, Y; E)$ as follows. Let $X = S \cup P$ and $Y = T \cup Q$, where $|P| = |T| = n - m$, $|S| = |Q| = m$, and $|X| = |Y| = n$. Let E be comprised of all possible edges between X and Q and all possible edges between S and Y . If n is even, then we add into E an edge between P and T . Here, $|S| + e_G(X-S, T) - |T| = -1$ so that by Lemma 2.1 below, G has no 1-factor. On the other hand, it is not hard to verify that $t^B(G) = m/(m+2)$ in this construction of G . Therefore, $m/(m+2)$ is a sharp bound.
- (b) For Theorem 1.2, for integers $k \geq 2$ and $r \geq 2$, construct a balanced bipartite graph $G_r = (X, Y; E)$ as follows. Let $X = S \cup P$ and $Y = T \cup Q$, where $|P| = |T| = kr - 1$, $|S| = |Q| = (k-1)r - 1$, and $|X| = |Y| = n = (2k-1)r - 2 \geq 4k-5$. Let E be comprised of all possible edges between X and Q , all possible edges between S and Y , and a 1-factor between P and T . Here, $k|S| + e_G(X-S, T) - k|T| = -1$ so that by Lemma 2.1 below, G_r has no k -factor. On the other hand, it is not hard to verify that $t^B(G_r) = (n-1)/(n-|S|) = (2k-1)(n-1)/(kn+1) = f_1$ in G_r . Therefore, f_1 is a sharp bound.
- (c) For Theorem 1.3. Let $n/4 < k < n$ and $\sqrt{kn+1} = t$ be an integer. Obviously, $n/2 < t < n$. Construct a balanced bipartite graph $G = (X, Y; E)$ as follows. Let $X = S \cup P$ and $Y = T \cup Q$, where $|P| = |T| = t$, $|S| = |Q| = n - t$, and $|X| = |Y| = n$. Let E be comprised of all possible edges between X and Q , all possible edges between S and Y , and a $(2k-t)$ -factor between P and T . Then $k|S| + e_G(X-S, T) - k|T| = k(n-t) + (2k-t)t - kt = kn - t^2 = -1$. Again, by Lemma 2.1 below, G has no k -factor. Moreover, it is not hard to verify that $t^B(G) = (n-1)/(2\sqrt{kn+1}-2k+1)$. Therefore, f_2 is also a sharp bound.

It is also worth to mention that, unlike Enomoto et al.'s well-known result that k -tough graphs have k -factors, in our results the bound of $t^B(G)$ is much smaller than k , in fact less than 2 for most k (see Figure 1). This looks counterintuitive but it is due to

Figure 2: For proof of Lemma 2.1, red dashed line is the minimum cut.

a (not so good) feature of $t^B(G)$. Although $t^B(G)$ can approach to ∞ , most time it does not increase significantly with edge connectivity or minimum degree. For example, if $G = (X, Y; E)$, $|X| = |Y| = n$ has minimum degree $\delta(G) = n/2$ (say on vertex $x \in X$), then removing all vertices in X except x would split Y into $n/2$ components. So $t^B(G) \leq 2$ even when $\delta(G)$ is as high as $n/2$.

2. Proofs of the theorems

The following lemma will be needed in the proofs of theorems.

Lemma 2.1. *Let $G = (X, Y; E)$ be a balanced bipartite graph, where $|X| = |Y| = n$, and let $k \geq 1$ be an integer. Then the following three statements are equivalent:*

- (i) G has a k -factor;
- (ii) G has k edge-disjoint 1-factors;
- (iii) for any $S \subseteq X$ and $T \subseteq Y$, $k|S| + e_G(X - S, T) - k|T| \geq 0$.

Proof. (i) and (ii): following the König-Hall theorem [9, Theorem 5.2 and Lemma 5.2], a regular degree bipartite graph has a perfect matching. Therefore, a k -factor of a bipartite graph G can be partitioned into a collection of k edge-disjoint perfect matchings (1-factors). (ii) to (i) is trivial.

(i) and (iii): the equivalence of (i) and (iii) can be deduced from the max-flow min-cut theorem [10, 11]. Convert $G = (X, Y; E)$ into a network by (a) adding a source vertex s with k multiedges between s and each vertex $x \in X$; (b) adding a sink vertex t with k multiedges between t and each vertex $y \in Y$; and (c) orienting each edge into a directed arc going from s to X , from X to Y , or from Y to t (see Figure 2). Clearly, G has a k -factor $\Leftrightarrow$ the network has a kn -flow from s to $t \Leftrightarrow$ any cut in the network that separates s and t contains at least kn forward edges. For any $S \subseteq X$ and $T \subseteq Y$, consider the cut shown in dashed line in Figure 2, we have

$$k|S| + e_G(X - S, T) + k|Y - T| \geq kn = k|T| + k|Y - T|, \quad (2.1)$$

so that

$$k|S| + e_G(X - S, T) - k|T| \geq 0. \quad (2.2)$$

□

Proof of Theorem 1.1 (By contradiction). Suppose G has no k -factor and $n \geq 4k - 4$, we will infer that $t^B(G) \leq f_1$. According to Lemma 2.1, there exist $S \subseteq X$ and $T \subseteq Y$ such that $k|S| + e_G(X - S, T) - k|T| < 0$. Let $s = |S|$ and $t = |T|$. Then

$$e_G(X - S, T) \leq kt - ks - 1. \quad (2.3)$$

Obviously, $t > s$. We can further assume that

$$s + t \leq n. \quad (2.4)$$

Because, if $s + t > n$, then we can let $S' = X - S$ and $T' = Y - T$ and have $|S'| + |T'| < n$, $|S'| > |T'|$, and $k|T'| + e_G(S', Y - T') - k|S'| = k|S| + e_G(X - S, T) - k|T|$. By symmetry, this converts to the case of $s + t \leq n$.

We then have two cases to consider.

Case 1.

$$k(t - s) \leq t. \quad (2.5)$$

If $k = 1$, then $w(G - S) \geq t + 1 - (t - s - 1) = s + 2$ by (2.3). By $t > s$ and (2.4), we have $s \leq m$, where $m = \lfloor (n - 1)/2 \rfloor$. Thus

$$t^B(G) \leq \frac{|S|}{w(G - S)} \leq \frac{s}{s + 2} \leq \frac{m}{m + 2}. \quad (2.6)$$

This completes the proof of Theorem 1.1. (Note that when $k = 1$, we have only Case 1 to consider.) $\square$

Proof of Theorem 1.2 (Continue the proof of Theorem 1.1). Now suppose $k \geq 2$, by (2.5), we have $t \leq ks/(k - 1)$. Let $T' = T \cap N(X - S)$. Then by (2.3), $|T'| \leq kt - ks - 1$. Let $T'' = (Y - T) \cup T'$. Then $|T''| \leq n - t + (kt - ks - 1) < n$ and $w(G - T'') \geq n - s + 1$. Therefore,

$$t^B(G) \leq \frac{|T''|}{w(G - T'')} \leq \frac{n + (k - 1)t - ks - 1}{n - s + 1}. \quad (2.7)$$

Case 1.1. If $n - s \leq ks/(k - 1)$, then we have $s \geq (k - 1)n/(2k - 1)$. By (2.4) and (2.7),

$$\begin{aligned} t^B(G) &\leq \frac{n + (k - 1)(n - s) - ks - 1}{n - s + 1} = 2k - 1 - \frac{(k - 1)n + 2k}{n - s + 1} \\ &\leq 2k - 1 - \frac{(k - 1)n + 2k}{n - (k - 1)n/(2k - 1) + 1} = \frac{(2k - 1)(n - 1)}{kn + 2k - 1} \leq \frac{(2k - 1)(n - 1)}{kn + 1} = f_1. \end{aligned} \quad (2.8)$$

Case 1.2. If $n - s > ks/(k - 1)$, then we have $s < (k - 1)n/(2k - 1)$. By (2.5) and (2.7),

$$t^B(G) \leq \frac{n-1}{n-s+1} < \frac{n-1}{n-(k-1)n/(2k-1)+1} = \frac{(2k-1)(n-1)}{kn+2k-1} \leq \frac{(2k-1)(n-1)}{kn+1} = f_1. \quad (2.9)$$

Case 2.

$$k(t-s) > t. \quad (2.10)$$

Let d be the unique integer satisfying

$$t \cdot d < k(t-s) \leq (d+1)t. \quad (2.11)$$

By (2.10), $1 \leq d \leq k-1$. By (2.3) and (2.11), there is a vertex $y_0 \in T$ that is adjacent to at most d vertices in $X - S$. Let $T' = T - \{y_0\}$ so $|T'| = n-1$ and $w(G-T') \geq n-s-d+1$. By (2.4) and (2.11), we have $s \leq [(k-d)n-1]/(2k-d)$. Therefore,

$$t^B(G) \leq \frac{n-1}{n-s-d+1} \leq \frac{n-1}{n-((k-d)n-1)/(2k-d)-d+1}. \quad (2.12)$$

Define a function $g(d) = n - [(k-d)n-1]/(2k-d) - d + 1$. It is easy to verify that, by the assumption of $n \geq 4k-4$, $g(1) \leq g(2)$. Since $g(d)$ is a convex function, it follows that $f(1) \leq f(d)$ for $d > 1$. By (2.12),

$$t^B(G) \leq \frac{n-1}{f(d)} \leq \frac{n-1}{f(1)} = \frac{(2k-1)(n-1)}{(kn+1)} = f_1. \quad (2.13)$$

This completes the proof of Theorem 1.2. □

Proof of Theorem 1.3 (By contradiction). Indeed, we will prove that the result in Theorem 1.3 holds for all $1 \leq k \leq n$. The condition of $n \geq 4k-4$ in Theorem 1.3 is only because that f_2 is not as tight a bound as f_1 when $n < 4k-4$.

Suppose G has no k -factor, we will infer that $t^B(G) \leq f_2$. According to Lemma 2.1, there exist $S \subseteq X$ and $T \subseteq Y$ such that

$$e_G(X-S, T) \leq kt - ks - 1, \quad (2.14)$$

where $s = |S|$ and $t = |T|$. Like in the proof of Theorems 1.1 and 1.2, we can still assume (2.4).

Suppose y_0 is vertex in T that is adjacent to the least number (denoted by d) of vertices in $X - S$. By (2.14), we have $t \cdot d \leq kt - ks - 1$. Then with (2.4), we further have $s \leq [(k - d)n - 1] / (2k - d)$. Let $T' = Y - \{y_0\}$, then $|T'| = n - 1$ and $w(G - T') \geq n - s - d + 1$. Therefore,

$$\begin{aligned} t^B(G) &\leq \frac{|T'|}{w(G - T')} \leq \frac{n - 1}{n - s - d + 1} \leq \frac{n - 1}{n - ((k - d)n - 1) / (2k - d) - d + 1} \\ &= \frac{n - 1}{(2k - d) + (kn + 1) / (2k - d) - 2k + 1} \leq \frac{n - 1}{2\sqrt{kn + 1} - 2k + 1} = f_2. \end{aligned} \quad (2.15)$$

This completes the proof of Theorem 1.3. $\square$

3. Conclusion and future work

We have defined a new invariant in bipartite graphs called bipartite toughness and provided a sharp bound of it for a balanced bipartite graph to have a k -factor, for k from 1 through n . We view this as a big improvement from using toughness to predict k -factors in bipartite graphs, as toughness of a bipartite graph is at most 1 and it cannot predict k -factors for any $k \geq 3$.

There is also research on computational complexity of toughness. In general, recognizing toughness of a graph is NP-hard [12]. Furthermore, 1-tough of graphs is also NP-hard [13], and even 1-tough of bipartite graphs is NP-hard [14] too. Toughness in claw-free ($K_{1,3}$ -free) graphs [15], 1-tough in split graphs [14], and toughness in split graphs [16] have been shown in P . In the future, it would be very interesting to determine the complexity of bipartite toughness.

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