

## Research Article

# A Characterization of Uniform Matroids

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This paper gives a characterization of uniform matroids by means of locked subsets. Locked subsets are 2-connected subsets, their complements are 2-connected in the dual, and the minimum rank of both is 2. Locked subsets give the nontrivial facets of the bases polytope.

## 1. Introduction

Sets and their characteristic vectors will not be distinguished. We refer to Oxley [1] for the terminology about matroids and to Schrijver [2] for the terminology about polyhedra.

Let  $E$  be a finite set, and let  $M$  be a matroid defined on  $E$ . If  $M$  is 2-connected, then we will say that a proper subset  $L$  of  $E$ ; that is,  $\emptyset \neq L \neq E$ , is *locked* if  $L$  is nonseparable or 2-connected in  $M$ ,  $E \setminus L$  is nonseparable or 2-connected in  $M^*$  and  $r(L) \geq \max\{2, 2 + r(E) - |E \setminus L|\}$  or  $\min\{r(L), r^*(E \setminus L)\} \geq 2$ . Observe that  $L$  is locked in a matroid  $M$  if and only if  $M \upharpoonright L$  and  $M/L$  are both connected and  $\min\{r(L), r^*(E \setminus L)\} \geq 2$ . Locked subsets give the nontrivial facets of the bases polytope. We will denote the class of these subsets by  $\Lambda(M)$  or  $\Lambda$ . If  $M$  is not 2-connected, then  $M = M_1 \oplus M_2 \oplus \cdots \oplus M_k$  where each  $M_j$  is 2-connected,  $j = 1, 2, \dots, k$ , and the class of locked subsets  $\Lambda(M)$  is the union of such classes in each 2-connected component involved in the direct sums. Locked subsets were introduced by Chaourar ([3–5]) to describe some facets of the cone and the polytope generated by the matroid bases. We will denote the 2-sum of two matroids  $M$  and  $N$  using the basepoint  $\{e\}$  by  $M \oplus_e N$  or  $M \oplus_2 N$  if there is no confusion. Since 2-sums with  $U_{1,2}$  and  $U_{1,1}$  are, respectively, identity and deletion, then we will consider only proper 2-sums without  $U_{1,2}$  nor  $U_{1,1}$ .

Let  $M$  be the class of matroids obtained by means of 1-sums (or direct sums) and (proper) 2-sums of uniform matroids together with all minors of such matroids. Since  $(M \oplus N)^* = M^* \oplus N^*$  and  $(M \oplus_2 N)^* = M^* \oplus_2 N^*$  (see [1]), then  $M$  is closed under the taking of duals. It is also clear that  $M$  is closed under the taking of minors.

If  $M$  is not a 3-connected matroid, then, using a theorem of Oxley (see [1]),  $M$  can be constructed from 3-connected minors of it by a sequence of the operations of 1-sum and 2-sum.

The purpose of this paper is to characterize uniform matroids by means of locked subsets. There are exactly five 3-connected matroids of rank 3 on a 6-element set. These matroids can be obtained from  $M(K_4)$  by relaxing zero, one, two, three, or four circuit-hyperplanes. The matroids are, respectively,  $M(K_4)$ , the rank-3 whirl  $W^3$ ,  $Q_6$ ,  $P_6$ , and the uniform matroid  $U_{3,6}$  (see [1]).

The remaining of the paper is organized as follows: in Section 2, we will give a characterization of uniform matroids by means of locked subsets, two consequences are given in Section 3, and the conclusion is given in Section 4.

## 2. The Characterization

We will need to three lemmas in this section.

**Lemma 2.1.**  $|\Lambda(M^*)| = |\Lambda(M)|$ .

*Proof.* Direct from the definition of a locked subset. □

**Lemma 2.2.** *Let  $N$  be a 3-connected minor of a 2-connected matroid  $M$ . If  $\Lambda(N) \neq \emptyset$ , then  $\Lambda(M) \neq \emptyset$ .*

*Proof.* Using duality and Lemma 2.1, it suffices to prove that, if  $\Lambda(M \setminus e) \neq \emptyset$ , then  $\Lambda(M) \neq \emptyset$ .

Suppose that  $L$  is locked in  $M \setminus e$ . We establish that  $L \cup \{e\}$ , when  $e \in \text{closure}(L)$ , or  $L$ , when  $e \notin \text{closure}(L)$ , is locked in  $M$ . If  $L$  spans  $e$  in  $M$ , then  $M \mid (L \cup \{e\})$  is connected because  $e$  is not a loop of  $M$ . As

$$M/(L \cup \{e\}) = (M/L)/e = (M/L) \setminus e = (M \setminus e)/L \quad (2.1)$$

is connected, it follows that  $L \cup \{e\}$  is locked in  $M$ . If  $L$  does not span  $e$  in  $M$ , then  $e$  is not a loop of  $M/L$ . Therefore,  $M/L$  is connected because  $(M/L) \setminus e = (M \setminus e)/L$  is connected (remember that  $L$  is locked in  $M \setminus e$ ). Thus,  $L$  is locked in  $M$ . □

The following last lemma of this section was proved by Walton [6] and we give here a new proof based on locked subsets.

**Lemma 2.3.** *Let  $M$  be a 3-connected matroid having no isomorphic minor to any of  $M(K_4)$ ,  $W^3$ ,  $Q_6$ , and  $P_6$ . Then  $M$  is uniform.*

*Proof.* Suppose by contradiction that  $M$  is not uniform. It follows that there exists a subset  $F$  of  $M$  such that  $|F| = r(M)$  and  $F$  contains a circuit  $C$ . Without loss of generality, we can suppose that  $|C| = 3$  because, if it is not, we can contract some elements of  $C$  keeping  $C$  as a circuit and decreasing its cardinality. Now we delete all elements of  $F - C$ . Let  $N$  be the obtained matroid.

*Case 1.* If  $r_N(E - C) = r(N)$ , then let  $B$  be a base of  $N$  included into  $E - C$ . If  $|B| > 3$ , then contract some elements of  $B$  keeping  $B$  as a base and  $C$  as a circuit with  $|B| = |C| = 3$ .  $C$  is

a locked subset of the matroid  $N \mid (B \cup C)$  because  $C$  is 2-connected,  $B$  is a cocircuit, and  $r(C) = r^*(B) = 2$ . Thus,  $N \mid (B \cup C)$  is one of the excluded minors, a contradiction.

*Case 2.* If  $r_N(E - C) < r(N)$ , then  $N$  is a series extension of a uniform matroid. By induction on  $|E(M)|$ , the matroid  $U$ , obtained by contracting one element in the series closure  $S$ , is uniform. But  $S$  intersect  $C$  so there are two parallel elements  $e$  and  $f$  in  $U$ . Since  $r(\{e, f\}) = 1$ , then  $r(U) = 1$ , a contradiction.  $\square$

Here we give our main result.

**Theorem 2.4.** *If  $M$  is a 3-connected matroid, then the following assertions are equivalent:*

- (i)  $M$  is a uniform matroid,
- (ii)  $\Lambda(M) = \emptyset$ .

*Proof.* (i) $\Rightarrow$ (ii) Using the fact that there is a unique closed and 2-connected subset which is  $E$ .  
(ii) $\Rightarrow$ (i) Using Lemma 2.2, any minor  $N$  of  $M$  verifies  $\Lambda(N) = \emptyset$ . So  $M$  has no isomorphic minor to any of  $M(K_4), W^3, Q_6$ , and  $P_6$ , because any of these excluded minors has at least one locked subset (circuit of rank 3). By Lemma 2.3,  $M$  is uniform.  $\square$

Note that (i) implies (ii), in Theorem 2.4, even if  $M$  is not 3-connected.

### 3. Some Consequences

We will give two corollaries of our characterization.

The first one is a characterization by excluded minors and is almost a restatement of Lemma 2.3, and Walton should be credited for this result:

**Corollary 3.1.** *The following assertions are equivalent for a matroid  $M$ :*

- (i)  $M$  is a minor of 1-sums and 2-sums of uniform matroids,
- (ii)  $M$  has no isomorphic to any of  $M(K_4), W^3, Q_6$  and  $P_6$ .

*Proof.* (i) $\Rightarrow$ (ii) By contradiction, suppose that  $M$  has one isomorphic to any of the excluded minors. Since all the excluded minors are 3-connected, then at least one of the 3-connected components used to construct  $M$  by means of 1-sums and 2-sums has one such excluded minor. Let  $N$  be this excluded minor. Since the number of locked subsets for any excluded minor is at least 1, then, using Lemmas 2.2 and 2.3 and Theorem 2.4,  $\Lambda(N) \neq \emptyset$  and  $N$  is not uniform.

(ii) $\Rightarrow$ (i) If  $M$  is 3-connected, then, by Lemma 2.3,  $M$  is uniform. If  $M$  is not 3-connected, then  $M$  can be construct using 3-connected matroids by means of 1-sum and 2-sum. It follows that no one of these matroids has an isomorphic to any of the excluded minors and, by Lemma 2.3, all these matroids are uniform.  $\square$

We will need the following result of Chaourar [5] to deduce the second corollary.

**Theorem 3.2.** *If  $M$  is a 2-connected matroid, then its bases' polytope is given by the following constraints:*

$$x(E) = r(E), \quad (3.1)$$

$$x(S) \geq |S| - 1 \quad \text{for any series closure } S \text{ of } M, \quad (3.2)$$

$$x(P) \leq 1 \quad \text{for any parallel closure } P \text{ of } M, \quad (3.3)$$

$$x(H) \leq r(H) \quad \text{for any locked subset } H \text{ of } M. \quad (3.4)$$

**Corollary 3.3.** *If  $M$  is a 2-connected and uniform matroid, then its bases' polytope is given by constraints (3.1)–(3.3).*

*Proof.* Direct from Theorems 2.4 and 3.2. □

## 4. Conclusion

We have given a characterization of uniform matroids by means of locked subsets and two consequences of this characterization.

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