

## Research Article

# Comparative Study on Different Drying Methods of Fish Oil Microcapsules

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Microencapsulation is widely used to minimize the oxidation of fish oil products. This study compared the effects of different drying methods, for example, spray drying (SD), freeze drying (FD), and spray freeze drying (SFD) on the microencapsulation of fish oil. Spray drying (SD) is the most common method for producing fish oil microcapsules, and it has low operation cost and short processing time, while the product yield and quality are poor. Freeze drying (FD) can be used to produce oil microcapsules with high quality, but it takes long time and high overall cost for drying. Spray freeze drying (SFD) is a new method for the preparation of microcapsules, which combines the SD and FD processes to obtain high quality powder. The yield of powder reached 95.07% along with porous structure by SFD. The stability and slow-release property of SFD products were better than those of SD and FD, which showed that SFD improved product storage stability and potential digestibility.

## 1. Introduction

Omega-3 polyunsaturated fatty acids ( $\omega$ -3-PUFA), especially docosahexaenoic acid (DHA, C22:6  $\omega$ -3) and eicosapentaenoic acid (EPA, C20:5  $\omega$ -3), are considered necessary for human health because of some health beneficial effects. The  $\omega$ -3-PUFA can prevent cardiovascular disease and improve the cardiovascular activity, anti-inflammatory reaction, and development of brain and eye retina in infants and young children [1, 2]. The main food sources of  $\omega$ -3-PUFA are fish and fish oil, and particularly fish oil is considered as the most important supplement of  $\omega$ -3-PUFA [3]. In fact,  $\omega$ -3 fatty acids biosynthetic pathways are slow in human body [4]; therefore, it could be beneficial to human health by consumption of fish oil which is rich in  $\omega$ -3 fatty acid [5].

However, the oxidative instability of  $\omega$ -3-PUFA during storage restricts its use in foods owing to lipid oxidation [6]. At present, the main products of fish oil are soft capsule and oral liquid, but, because of their short storage period, the further processing of fish oil has been limited. Nowadays, encapsulation has appeared to be an essential technique to incorporate such valuable sensitive ingredients into food systems which can be efficiently used to protect food ingredients (i.e., flavors, essential oils, lipids, oleoresins, and colorants)

against deterioration, volatile losses, and interaction with other ingredients [7]. As a result, microencapsulation of fish oil could be an alternative method to solve the above issues. It has been reported that microencapsulation of functional foods is an effective approach to achieve the desired attributes of stability, storability, and delivery [8]. Microencapsulation is mainly used to encapsulate a gel, solid, liquid, or gas core by a coating shell [9], which is a promising technique for maintaining the viability of fish oil during the process and covering the smell of fish [10]. Microencapsulation technology could isolate functional oils from deteriorating effects of air, mitigate the evaporation rate of volatile cores, mask the taste or odor of core materials, and isolate reactive core materials from chemical attacks [11]. The key step is the selection of the microencapsulation process and the coating materials in microencapsulation of foods [12, 13].

The spray drying is one of the most frequently used operations for drying of emulsions and slurries containing oils and flavors during microencapsulation process [14, 15]. Spray drying (SD), which is used to prepare dry, stable, and small volume food material, has characters of low operation cost and short processing time. Nevertheless, due to the high temperature drying strategy during SD process, this drying method was not suitable for the preparation of

heat sensitive products [16]. Aghbashlo et al. [17, 18] and Ramakrishnan et al. [19] have reported that SD can be used to prepare fish oil microcapsules, and Kalkan et al. [20] used SD to prepare hazelnut oil microcapsules. But the product quality was not good enough because of high temperature and oxygen stresses. Anwar and Kunz [12] confirmed that although SD only needed a few seconds to produce a desirable size of granules, it had a high chance of lipid degradation by oxidation due to high drying temperature in the SD process. Leung et al. used SD and spray freeze drying (SFD) to produce inhalation phage powders and found that SD powders loss was much higher than the SFD powders during the aerosolization process [21]. Her et al. reported that SD had the advantage of low operation cost as a common technology used in the food industry, while most of flavoring compounds were easily lost during SD operation [14]. Freeze drying (FD) can remove the water by sublimation under vacuum condition to prepare the high quality dried products [22]. However, it takes long time and high overall cost for drying [23]. To avoid drawbacks of both methods, a new method, SFD, is gradually being applied to prepare stable and uniform volume food materials.

SFD combines advantages of SD and FD to obtain fine flavor powders without heat damage, which prevents the powders from agglomeration in turn. SFD is a two-step process, that is, spray freezing followed by freeze drying of the resultant frozen particles in a freeze drier [10]. Ishwarya et al. [24] reported that, compared with other drying technologies, the potential applications in product structure, quality, retention of volatiles, and biologically active compounds of SFD are better. The selection of encapsulation method is governed by important variables, such as the desired size of the microcapsules and the controlled release of oil from microcapsules in foods or in gastrointestinal tract. It was reported that the microcapsule powders by SFD had a uniform particle size, larger specific surface area, and a better porous character than freeze-dried and spray-dried powders. The powders retained their spherical and porous morphology and could be further coated with an enteric food grade biological polymer and conducive to be absorbed [1, 25, 26]. Her et al. [14] reported that SFD combined the SD and FD processes to obtain fine flavor powder without heat damage, which in turn prevented the drying of the agglomerates, while flavor powder produced by the SFD process typically had a larger surface area and higher fine particle fraction than the particles produced by the SD process, so it could be more quickly and easily rehydrated. In addition, the effective embedding capability of SFD powders can mask unpleasant flavor. In conclusion, SFD can be introduced to prepare fish oil microcapsules with better product quality and less oxidation.

Up to date, there is no report about the application of SFD on fish oil microcapsulation. The objective of this research was to compare the performance of spray drying, freeze drying, and spray freeze drying for fish oil microcapsule preparation, and, then, a suitable method of fish oil microcapsule manufacture for different application fields can be suggested.

## 2. Materials and Methods

**2.1. Materials.** In this study, refined fish oil was purchased from Zebang Biological Technology Co. Ltd. (Xi'an, Shanxi, China). Acacia gum (food grade) and sodium alginate (food grade) were used to build the microcapsules, and the Tween-80 was used as emulsifier. The rest of the reagents used in this study, such as ethanol absolute and light petroleum, were of analytical grade and obtained from the Deen Chemical Reagent Co. Ltd. (Tianjin, China).

**2.2. Emulsion Preparation.** Two kinds of materials with opposite charge—acacia gum with negative charge and sodium alginate with positive charge—are selected as wall material. Acacia gum, sodium alginate, and Tween-80 at the ratio of 3:1:0.1 which was determined by the research from Ramakrishnan et al. [19] were added in distilled water, and then the solution was homogenized at 3000 r/min for 3 min by a homogenizer (AD500S-H, Onnen Instrument Co., Ltd., Shanghai, China) at 60°C. Finally, a certain flask proportion of fish oil was added to the solution and the ratio of the core and wall was 1:4, and some water was added to ensure the final solids content to be 15% which was determined by the research from Li et al. [27], and then it was homogenized at 8000 r/min for 5 min by emulsification homogenizer to obtain emulsion liquid reserved.

### 2.3. Drying Procedure

**2.3.1. Spray Drying (SD).** The pretreated materials were dried by a pressure spray dryer (YC-015, Pilotech Instrument & Equipment Co., Ltd., Shanghai, China) with two-fluid spray atomization and cocurrent air flow which was 1200 mm in height, 650 mm in length, and 500 mm in width. The emulsion was fed into the chamber through a peristaltic pump at a feed flow rate ( $1 \times 10^3$  mL/h). The inlet air temperature was set at 180°C while outlet air temperature was 80°C, and the air flow rate was 35 m<sup>3</sup>/h.

**2.3.2. Freeze Drying (FD).** The emulsion was placed into aluminum plates and frozen at -25°C for 24 h and then was put into the FD chamber (LGJ-10D, Si Huan Scientific Instrument Factory Co., Ltd., Beijing, China) at the pressure of 20 Pa. During the drying process, the temperature of heat shelf was set as 40°C and the cold trap was set at lower than -50°C. The frozen emulsion was dried for 36 h.

**2.3.3. Spray Freeze Drying (SFD).** Figure 1 shows the spray freeze dryer (YC-3000, Pilotech Instrument & Equipment Co., Ltd., Shanghai, China) used in this study. The emulsion liquid was sprayed through a two-fluid nozzle (nozzle tip lift: 0.5 mm opening) and a peristaltic pump with a flow rate of 15 mL/min. The ultimate vacuum pressure can be set at less than 20 Pa and atomization air pressure can be set from 2 Bar to 5 Bar while it was set at 5 Bar in this study. During this process, spray droplets were frozen while passing through the cryogenic gas. After the spraying process, the samples were treated by FD process. FD process used natural

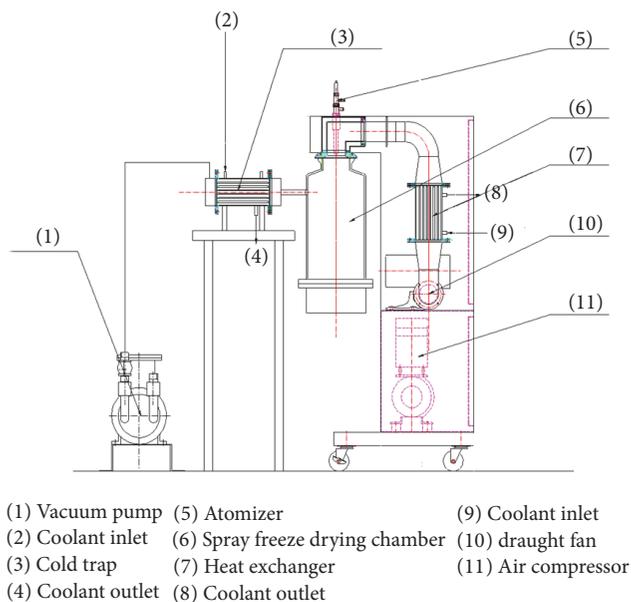


FIGURE 1: The schematic diagram of vacuum spray freeze drier.

air heating, and the pressure (20 Pa) was maintained by a vacuum pump. The cold trap was set at  $-65^{\circ}\text{C}$ , which was sufficient to condense all vapor generated during FD.

## 2.4. Analysis of Sample

**2.4.1. Moisture Content.** Moisture content was determined by the gravimetric method. At regular time intervals during the drying processes samples were taken out and dried in the oven for 7-8 h at  $105^{\circ}\text{C}$  until constant weight. Weighing was performed on a digital balance, and then moisture content was calculated. The tests were performed in triplicate.

**2.4.2. Drying Yield.** The yield of different drying methods was calculated according to formula (1) as follows:

$$\text{Yield} = \frac{(M_1 - M_2)}{M_0} \times 100\%, \quad (1)$$

where  $M_1$  was the weight (g) of samples after drying;  $M_2$  was the moisture content (g); and  $M_0$  was the solids content (g) of emulsion liquid.

**2.4.3. Encapsulation Efficiency (EE).** The encapsulation efficiency was obtained by determining the total oil content and surface oil content of microcapsules, respectively [28], and was calculated according to formula (2) as follows:

$$\text{EE} = \frac{(\text{Total oil content} - \text{Surface oil content})}{\text{Total oil content}} \times 100\%. \quad (2)$$

**Extraction of Total Oil.** Ten millilitres of water was added to 2 g of fish oil microcapsule ( $M_1$ , g) followed by homogenization for 5 times and the homogenizer was rinsed with ethanol.

The emulsion was sealed by plastic film after 20 mL ethanol and 20 mL petroleum ether were added in the beaker ( $M_2$ , g). The oil was extracted by a magnetic stirrer at  $70^{\circ}\text{C}$  for 10 min followed by centrifuging for 5 min at 3000 r/min by a high speed centrifuge (TG16-WS, Xiangyi Laboratory Instrument Development Co., Ltd., Hunan, China). The liquid layer was discarded followed by drying of solid residue and weighing ( $M_3$ , g). Each treatment was determined in triplicate:

$$\text{Total oil content} = \frac{(M_3 - M_2)}{M_1}, \quad (3)$$

where  $M_1$  was the weight (g) of samples;  $M_2$  was the weight (g) of beaker; and  $M_3$  was the weight (g) of beaker containing barrier residue (g) after drying.

**Extraction of Surface Oil.** Twenty millilitres of petroleum ether was added to 2 g of fish oil microcapsule ( $M_1$ , g) in an Erlenmeyer flask ( $M_4$ , g) followed by shaking at  $25^{\circ}\text{C}$  for 2 min and standing for 8 min. The suspension was then filtered through filter paper ( $M_5$ , g) and the residue was rinsed three times with 15 mL petroleum ether. The Erlenmeyer flask and filter paper were transferred to an oven (101-2, Kewei Yongxing Instrument Co., Ltd., Beijing, China) and heated at  $75^{\circ}\text{C}$  for 6 h until petroleum ether was completely evaporated and then weighed ( $M_6$ , g). Each treatment was determined in triplicate:

$$\text{Surface oil content} = \frac{(M_1 + M_4 + M_5 - M_6)}{M_1}, \quad (4)$$

where  $M_1$  was the weight (g) of samples;  $M_4$  was the weight (g) of the Erlenmeyer flask;  $M_5$  was the weight (g) of filter paper;  $M_6$  was the total weight (g) after drying.

**2.4.4. Color.** The color of dried samples was measured using a spectrophotometer (Xrite Color i5, X-Rite Inc., MI, USA). The results were expressed as Hunter  $L^*$ ,  $a^*$ , and  $b^*$ , respectively, where  $L^*$  was the degree of lightness,  $a^*$  the degree of redness (+) and greenness (-), and  $b^*$  the degree of yellowness (+) and blueness (-). The Hunter  $L^*$ ,  $a^*$ , and  $b^*$  values of each treatment were determined in triplicate.

**2.4.5. Sensory Evaluation.** The sensory evaluation of dried samples was judged by 9 persons who were untrained. They were asked to indicate their preference for each sample, based on the quality attributes of color, appearance, texture, flavor, and overall acceptability. Score was divided into five grades: 9-10 denoted "like very much"; 7-8 "like"; 5-6 "neutral"; 3-4 "dislike"; and 1-2 "dislike very much." They were asked to give their remarks about each of the samples.

**2.4.6. Stability.** The samples of the microcapsules produced by different drying methods (FD, SD, and SFD) were kept in brown bottle closed containers at  $30^{\circ}\text{C}$  for 2 months. Samples were taken to determine the oil stability by detecting the propanal formation every 15 days. A static headspace sampler (G1888 from Agilent Technologies, Waldbronn, Germany)

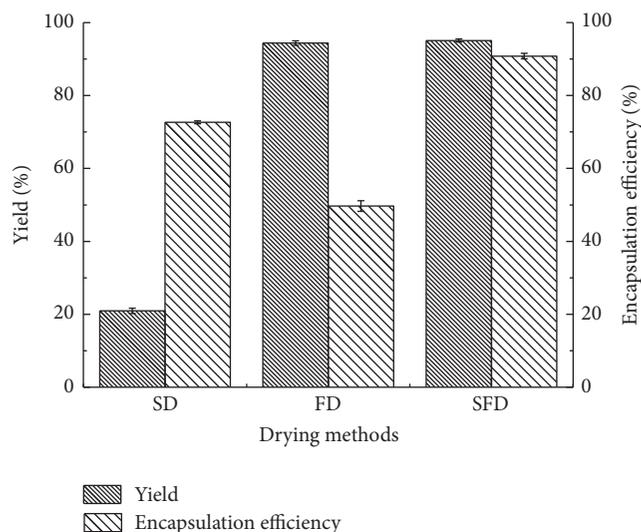


FIGURE 2: The productivity and encapsulation efficiency of different drying methods of fish oil microcapsules.

coupled to a gas chromatograph (HP 6890 from Hewlett-Packard, Waldbronn, Germany) was used to determine the propanal content of the microcapsules [15].

**2.4.7. Scanning Electron Microscopy.** The fish oil microcapsules with different treatments were sprinkled onto a two-sided adhesive tape and sputter-coated with gold in a sputter coater. The microstructural properties of the fish oil microcapsules was observed with a Quanta-250 feg scanning electron microscope (FEI Co., Eindhoven, Netherlands) at an accelerating voltage of 10 kV.

**2.4.8. Slow-Release Property.** The fish oil microcapsule was added to 100 mL simulated gastric fluid (pH 1) for 2 h in a constant temperature oscillator with 90 r/min at 37°C, which was followed by transferring into simulated intestinal fluid (pH 7.1) that contained 0.025 mol/L  $\text{Na}_2\text{HPO}_4$  and 0.025 mol/L  $\text{KH}_2\text{PO}_4$ . The released fish oil was extracted and weighed while the release rate was calculated.

**2.5. Statistical Analysis.** Analysis of variance and the test of mean comparison, according to Tukey's honestly significant difference, were conducted at the level of significance of 0.05. The statistical software SPSS (version 10.0) for Windows was used for the analysis.

### 3. Results and Discussion

**3.1. Characteristics of SFD.** Figure 2 shows the yield and encapsulation efficiency of the products obtained by SD, FD, and SFD, respectively. It was found that the yields of SFD (95.07%) and FD (94.39%) were close and far greater than that of SD (20.93%). The yield of FD and SFD showed no significant difference ( $P > 0.05$ ). The possible reason was that SD underwent higher temperature resulting in part of products lost through the exhaust system and some of

products wall sticking during the liquid drop was heated to evaporate. In comparison, SFD and FD could effectively avoid this phenomenon because of lower drying temperature. On the other hand, the encapsulation efficiency of SFD reached 90.80%, while that of FD and SD was 49.7% and 72.64%, respectively. The encapsulation efficiency of SD was higher than that of Aghbashlo et al. [18] and lower than that of Aghbashlo et al. [29] which may be related to the changes in selection of wall materials and the application of different analytical methods of SFD atomized the emulsion into small droplets under the condition of negative pressure, which promoted the embedment process. Thus, it can be concluded that SFD ensured the highest yield and encapsulation efficiency than SD and FD.

For color of the fish oil microcapsules,  $L^*$  value exhibits the brightness of sample, and higher  $L^*$  means brighter color, which implies the product can obtain a good commodity value. As shown in Table 1, it was found that  $L^*$  value of SD fish oil microcapsules was much lower than the others. This meant SD samples have a darker color than that of the other two methods because high processing temperature could lead to browning effects. It was observed that there was significant difference between the  $L^*$  values of SFD and FD products ( $P \leq 0.05$ ). The sensory value of FD was also lower than that of SFD because FD powder was irregular in structure and had a large surface area [12]. The moisture content of three samples was similar, but when the three samples were exposed to air for a period of time to observe their mobility, it was found that FD powder had stronger moisture absorption, and the SD powder was easy to agglomerate, while the SFD powder can better maintain the original state. The moisture content of SD powder in the study of Aghbashlo et al. [18] was slightly lower than this study, and the possible reason was the difference of feed flow rate. The higher feed flow rate ( $1 \times 10^3$  mL/h) in this study reduced the contact time between the emulsion and the hot air that made the emulsion not fully dried, which resulted in the higher moisture content. Therefore, it can be concluded that SFD can ensure good appearance and product quality. Moreover, as shown in Table 1, compared to FD, SFD greatly reduced drying time.

**3.2. Slow-Release Property.** Figure 3 shows slow-release curves of various samples. It was found that the slow-release property of SFD was much higher than that of SD and FD. The microcapsules exhibited fast release rate of 27.2% within the first 1 h, and then the release rate reached about 67.2% within 8 h. The slow release indicated release of the fish oil from the core of microcapsules. The slow-release rate of FD products was the lowest, which was related to the irregular structure of FD samples, and the thickness of the wall materials was not uniform. As a result, the fish oil was difficult to release from the pore of wall. It was reported that microcapsules released fish oil through diffusion rather than dissolution of the shell material [8]. The release rate of SFD samples was 84.2% within 12 h, which indicated that the release rate of SFD products was higher. This property was conducive to the absorption of the intestines. The slow-release rate of SD products also increased fast in the

TABLE 1: Effect of different drying methods on color, sensory value, moisture content, and drying time of fish oil microcapsules.

Drying method	$L^*$	$a^*$	$b^*$	Sensory value	Moisture content	Drying time
FD	$92.98 \pm 0.05^b$	$0.90 \pm 0.02^b$	$8.20 \pm 0.01^b$	$7.13 \pm 0.08^c$	$4.05 \pm 0.03^a$	$36 \pm 1.0$ h
SD	$66.06 \pm 0.01^c$	$12.24 \pm 0.02^a$	$20.30 \pm 0.06^a$	$7.94 \pm 0.14^b$	$3.60 \pm 0.06^b$	$2.0 \pm 0.5$ h
SFD	$95.33 \pm 0.01^a$	$0.40 \pm 0.03^c$	$1.64 \pm 0.01^c$	$9.54 \pm 0.04^a$	$3.38 \pm 0.09^b$	$24 \pm 1.5$ h

\* Different letters indicated a significant difference ( $P \leq 0.05$ ) in a column.

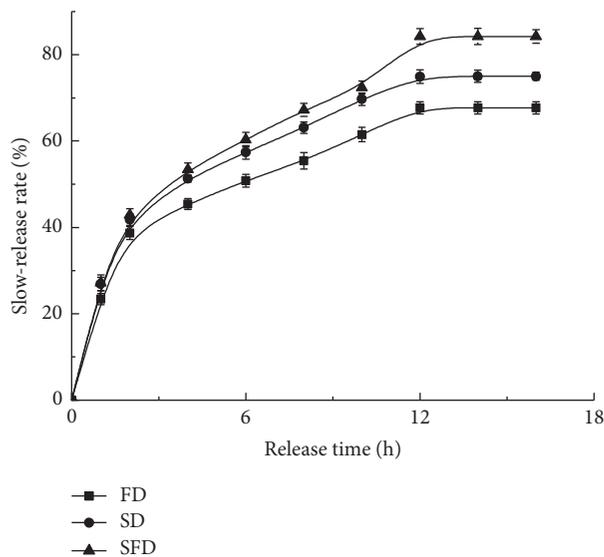


FIGURE 3: Release profile of fish oil microcapsules by different drying methods.

early stage, and then the curve exhibited a gentle trend. The maximum slow-release rate of SD was 75%.

**3.3. Stability.** Propanal is one of the main volatile compounds generated during the oxidative decomposition of omega-3 fatty acids and it has been recommended to evaluate the oxidation stability of foods that are rich in this type of fatty acids [19].

As shown in Figure 4, at the beginning of this process, the propanal contents of the three kinds of microcapsules were all close to 11 mg/kg. During the first 15 days, the propanal content had a fast growth trend, particularly the FD powder, which had the highest growth rate. Then the growth rate was slowed down slightly, and it tended to be stable after 30 days, which implied almost no subsequent oxidation proceeding. It was found that the propanal content of SFD products was lower than that of SD and FD samples. The possible reason was that the propanal content was related to surface oil content and moisture content. The surface oil content of FD and SD samples was relatively high resulting in a high propanal content. A number of studies have been reported on the oxidative stability of microencapsulated fish oil obtained either by SD or by FD, and the results were inconsistent [30, 31]. These inconsistent results may be due to changes in conditions of storage and different analytical methods. As a result, SFD could ensure fish oil microcapsules with good stability.

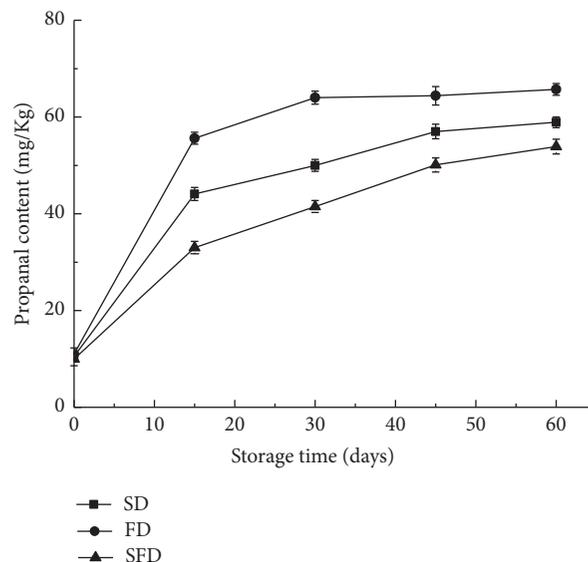


FIGURE 4: The relationship between the storage time and propanal content of fish oil microcapsules by different drying methods.

**3.4. Scanning Electron Microscopy.** Microcapsule's microstructure and morphology are important to determine their stability, functionality, and flowability [32]. Figure 5 shows the scanning electron microscopy (SEM) images of fish oil microcapsules obtained by different drying methods (SD, FD, and SFD) in order to investigate the surface morphology and microstructure. As shown in Figures 5(a) and 5(b), SFD sample exhibited a clearer porous structure. The porous structure was possibly formed by cavities left from ice crystals or air bubbles retained during the freezing [33]. As water was removed by sublimation during the freeze drying process, the SFD could obtain a porous structure [21]. The image showed the microcapsules of SFD powders had spherical shape and porous surface structure. From Figures 5(b), 5(c), and 5(d), it was found that the microstructure of SD was similar to that of SFD with spherical shape structure but had smooth surface and occurrence of dents and cracks was less, which was similar to the spray granulation (SG) powder done by Anwar et al. [32]. Aghbashlo et al. [34] reported that the microcapsules produced by SD were almost spherical in shape and had a slightly smooth surface without any particle expansion, which was consistent with the results of this study. FD microcapsules had porous surface, but their structure was highly heterogeneous. The reason for the difference of microstructure between FD and SFD was the spray drying process of SFD, which can spray the emulsion liquid to

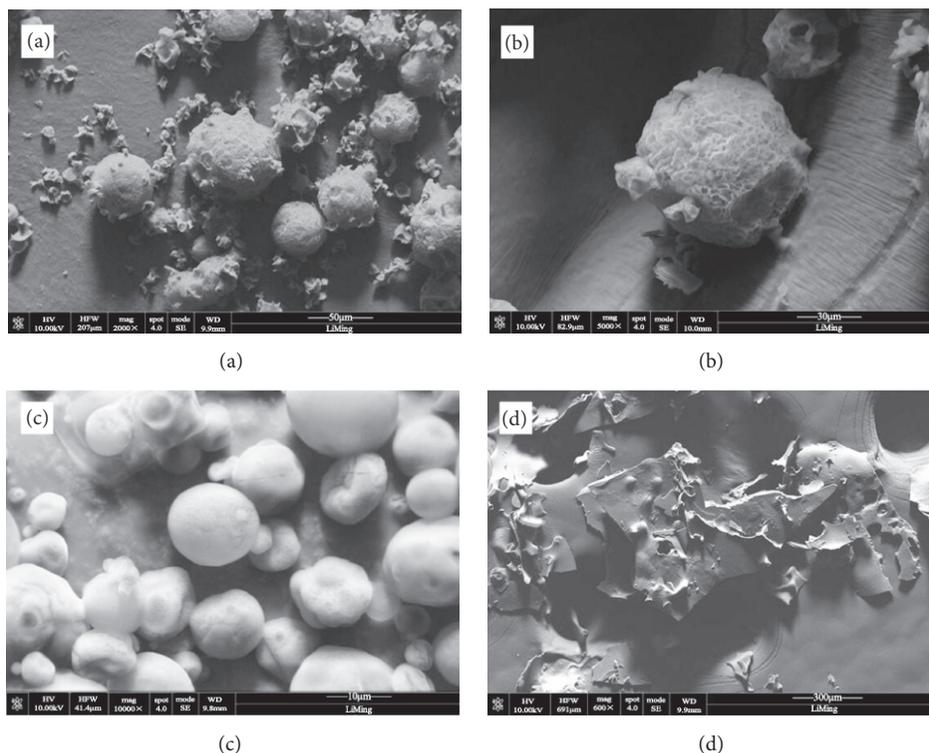


FIGURE 5: Comparison of the morphology of (a) spray-freeze-dried (2000x); (b) spray-freeze-dried (5000x); (c) spray-dried (10000x); and (d) freeze-dried (600x) fish oil microcapsule.

spheroid. Anwar et al. [32] pointed that the absence of cracks is critically important to wall functionality in limiting fish oil deterioration or oxidation during storage. Therefore, SD and SFD can effectively avoid the deterioration and oxidation of fish oil. In conclusion, SFD produced high quality fish oil microcapsules.

#### 4. Conclusions

Freeze drying fish oil microcapsule takes long time and high overall cost for drying. Spray drying has low operation cost and short processing time, while the yield and quality of products are poor under the experimental conditions of this study. Spray freeze drying can replace conventional freeze drying and microcapsule powders produced by SFD have a uniform particle size, large specific surface area, and a great porous character. In addition, SFD improves the slow-release effect and storage stability of the products, and it combines the SD and FD processes to obtain higher quality powder and reduces the drying time.

#### Conflicts of Interest

The authors declare that they have no conflicts of interest.

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