Clinical Study
Potential Survival Benefit of Anti-Apoptosis Protein: Survivin-Derived Peptide Vaccine with and without Interferon Alpha Therapy for Patients with Advanced or Recurrent Urothelial Cancer—Results from Phase I Clinical Trials

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We previously identified a human leukocyte antigen (HLA)-A24-restricted antigenic peptide, survivin-2B80–88, a member of the inhibitor of apoptosis protein family, recognized by CD8+ cytotoxic T lymphocytes (CTL). In a phase I clinical trial of survivin-2B80–88 vaccination for metastatic urothelial cancer (MUC), we achieved clinical and immunological responses with safety. Moreover, our previous study indicated that interferon alpha (IFNα) enhanced the effects of the vaccine for colorectal cancer. Therefore, we started a new phase I clinical trial of survivin-2B80–88 vaccination with IFNα for MUC patients. Twenty-one patients were enrolled and no severe adverse event was observed. HLA-A24/survivin-2B80–88 tetramer analysis and ELISPOT assay revealed a significant increase in the frequency of the peptide-specific CTLs after vaccination in nine patients. Six patients had stable disease. The effects of IFNα on the vaccination were unclear for MUC. Throughout two trials, 30 MU0 patients received survivin-2B80–88 vaccination. Patients receiving the vaccination had significantly better overall survival than a comparable control group of MU0 patients without vaccination (P = 0.0009). Survivin-2B80–88 vaccination may be a promising therapy for selected patients with MUC refractory to standard chemotherapy. This trial was registered with UMIN00005859.

1. Introduction

Urothelial carcinoma of the bladder is the fourth most common cancer in men [1]. Systemic chemotherapy has been the mainstay of management for metastatic urothelial cancer [2, 3], and cisplatin-based combinations have evolved as the standard first-line therapy. The regimens consisting of methotrexate, vinblastine, doxorubicin, and cisplatin (MVAC) and gemcitabine and cisplatin (GC) are currently employed and provide prolongation of survival up to 14.8 and 13.8 months, respectively [3]. However, no standard therapy has been established for patients with progressive disease after the first-line chemotherapy [2, 3], and some new regimens including other anticancerous agents such as paclitaxel, ifosfamide, nedaplatin, and vinflunine are used in this setting [4–6], although they have not been proven to have sufficient clinical efficacy.

On the other hand, during the past two decades, research on human tumor immunology and cancer immunotherapy has progressed. Immunization with peptides derived from cancer-specific antigen induces antitumor cytotoxic T lymphocytes (CTLs) [7–9]. A large number of cancer-specific antigens have been identified from melanomas and other cancers, and clinical trials of peptide-based immunotherapy have been carried out.

We previously reported that survivin and its splicing variant survivin-2B were expressed abundantly in various cancer tissues and cancer cell lines, including urothelial cancer,
and were suitable as target antigens for active-specific anti-
cancer immunization [10]. Subsequently, we identified the
human leukocyte antigen (HLA)-A24-restricted antigenic
peptide survivin-2B80–88 (AYACNTSTL) derived from the
exon 2B-encoded region and recognized by CTLs in the
context of HLA-A24 molecules. In addition, we reported
further evidence that the survivin-2B80–88 peptide might
serve as a potent immunogenic cancer vaccine for various
cancers, including bladder cancer [11]. On the basis of these
studies, we started a phase I clinical study using survivin-
2B80–88 peptide vaccination for urothelial cancers (Study
1) [12]. This study revealed that survivin-2B80–88 peptide
vaccination was safe and well tolerated without severe side
effects and could induce survivin-2B80–88 peptide-specific
CTLs. Moreover, we previously reported that combination
with interferon (IFN) alpha successfully enhanced the
immunological responses of patients who received survivin-
2B80–88 peptide vaccination for colorectal [13] and pan-
creatic cancers [14]. Therefore we conducted a phase I
clinical study of survivin-2B80–88 peptide vaccination in
combination with IFN alpha for patients with advanced or
recurrent urothelial cancer expressing survivin to assess the
safety and immunological efficacy (Study 2). In addition, we
analyzed the effects on survival of survivin-2B80–88 peptide
vaccination therapy with and without IFN alpha using the
pooled data of Study 1 and Study 2.

2. Materials and Methods

2.1. Patient Selection. The study protocol was approved by the
Clinical Institutional Ethical Review Board of the Medical
Institute of Bioregulation, Sapporo Medical University, Japan.
The HLA-A typing and immunohistochemical study were
performed after obtaining informed consent from all can-
didate patients. Patients enrolled in this study were required
to conform to the following criteria: (1) histologically proven
urothelial cancer, (2) HLA-A*2402 positive, (3) survivin-
and HLA class I-positive carcinomatous lesions on the
primary site demonstrated by immunohistochemistry, (4) age
between 20 and 85 years old, (5) surgical excision of the pri-
mary tumor, and (6) Eastern Cooperative Oncology Group
(ECOG) performance status between 0 and 3. Exclusion cri-
teria included (1) prior cancer therapy such as chemotherapy,
radiation therapy, steroid therapy, or other immunotherapies
within the previous 4 weeks, (2) the presence of other cancers
that might influence the prognosis, (3) immunodeficiency
or a history of splenectomy, (4) severe cardiac insufficiency,
acute infection, or hematopoietic failure, and (5) unsuitability
for the trial based on clinical judgment. This study was
conducted at the Department of Urology, Sapporo Medical
University Hospital from May 2009 to June 2013.

2.2. Peptide Preparation. The peptide, survivin-2B80–88 with
the sequence AYACNTSTL, was prepared under good man-
facturing practice conditions by Multiple Peptide Systems
(San Diego, CA, USA) [12–14]. The identity of the peptide
was confirmed by mass spectrometry analysis and the purity
was shown to be more than 98% as assessed by high-pressure
liquid chromatography analysis. The peptide was supplied
as a freeze-dried, sterile white powder. It was dissolved in
1.0 mL of physiological saline (Otsuka Pharmaceutical Co.,
Ltd, Tokyo, Japan) and stored at −80°C until just before use.

2.3. IFA and IFN Alpha Preparation. Montanide ISA 51 (Sep-
pic, Paris, France) was used as IFA. Human IFN alpha was
purchased from Dainippon-Sumitomo Pharmaceutical Co.
(Osaka, Japan).

2.4. Patient Treatment. In Study 1 we administered the
survivin-2B80–88 peptide plus IFA [12]. In Study 2, the sur-
vivin-2B80–88 peptide plus IFA and a type-I IFN, IFN
alpha, were used as illustrated in Figure 1. The doses were
determined according to previous studies [13, 14]. Survivin-
2B80–88 at a dose of 1 mg/1 mL and IFN at a dose of 1 mL
were mixed immediately before vaccination. The patients
were then vaccinated subcutaneously four times at 14-day
days after vaccination. In addition, IFN alpha at a dose of 3,000,000 IU
was administered subcutaneously immediately before vaccina-
tion and three days after vaccination at the site of vaccination.
The primary endpoint was safety. The secondary endpoints
were investigations about antitumor effects and clinical and
immunological monitoring.
Table 1: Profiles of patients with advanced urothelial cancer enrolled in Study 2.

<table>
<thead>
<tr>
<th>No.</th>
<th>Age</th>
<th>Sex</th>
<th>Primary site</th>
<th>Recurrence site</th>
<th>ECOG PS</th>
<th>Prior chemotherapy (number of cycles)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>72</td>
<td>f</td>
<td>UUT</td>
<td>LN in neck, mediastinum, and abdomen</td>
<td>1</td>
<td>MVAC (5), TIN (2)</td>
</tr>
<tr>
<td>2</td>
<td>36</td>
<td>m</td>
<td>Bladder</td>
<td>Abdominal LN Bone</td>
<td>0</td>
<td>MVAC (2), TIN (1)</td>
</tr>
<tr>
<td>3</td>
<td>61</td>
<td>m</td>
<td>UUT</td>
<td>Pelvic soft tissue</td>
<td>0</td>
<td>GC (3), TIN (2)</td>
</tr>
<tr>
<td>4</td>
<td>75</td>
<td>m</td>
<td>Bladder</td>
<td>Abdominal LN</td>
<td>0</td>
<td>MVAC (2)</td>
</tr>
<tr>
<td>5</td>
<td>76</td>
<td>m</td>
<td>UUT</td>
<td>Renal pelvis, urethra</td>
<td>2</td>
<td>GC (3), TIN (1)</td>
</tr>
<tr>
<td>6</td>
<td>60</td>
<td>f</td>
<td>UUT</td>
<td>Abdominal LN</td>
<td>1</td>
<td>MVAC (3)</td>
</tr>
<tr>
<td>7</td>
<td>72</td>
<td>f</td>
<td>UUT</td>
<td>Mediastinal LN</td>
<td>1</td>
<td>MEC (1), GEM (1), TIN (2)</td>
</tr>
<tr>
<td>8</td>
<td>77</td>
<td>m</td>
<td>Bladder</td>
<td>Lung</td>
<td>0</td>
<td>None</td>
</tr>
<tr>
<td>9</td>
<td>75</td>
<td>f</td>
<td>UUT</td>
<td>Pelvic soft tissue</td>
<td>1</td>
<td>None</td>
</tr>
<tr>
<td>10</td>
<td>68</td>
<td>m</td>
<td>UUT</td>
<td>Abdominal LN Lung</td>
<td>0</td>
<td>GC (4)</td>
</tr>
<tr>
<td>11</td>
<td>72</td>
<td>m</td>
<td>Bladder</td>
<td>Pelvic LN, liver</td>
<td>0</td>
<td>TG (1)</td>
</tr>
<tr>
<td>12</td>
<td>58</td>
<td>m</td>
<td>Bladder</td>
<td>LN in neck, abdomen, and pelvis</td>
<td>0</td>
<td>GC (2), TIN (2)</td>
</tr>
<tr>
<td>13</td>
<td>64</td>
<td>m</td>
<td>Bladder</td>
<td>LN in abdomen and pelvis</td>
<td>1</td>
<td>GC (2)</td>
</tr>
<tr>
<td>14</td>
<td>73</td>
<td>f</td>
<td>Bladder</td>
<td>Lung, liver, and bone</td>
<td>0</td>
<td>GC (3), TIN (2)</td>
</tr>
<tr>
<td>15</td>
<td>62</td>
<td>m</td>
<td>Bladder</td>
<td>Lung</td>
<td>1</td>
<td>GC (1), GCar (1)</td>
</tr>
<tr>
<td>16</td>
<td>74</td>
<td>m</td>
<td>Bladder</td>
<td>Abdominal LN</td>
<td>2</td>
<td>GC (4), TIN (2)</td>
</tr>
<tr>
<td>17</td>
<td>53</td>
<td>m</td>
<td>UUT</td>
<td>Lung, subcutaneous</td>
<td>2</td>
<td>None</td>
</tr>
<tr>
<td>18</td>
<td>61</td>
<td>m</td>
<td>Bladder</td>
<td>Lung</td>
<td>1</td>
<td>GC (6), TIN (3)</td>
</tr>
<tr>
<td>19</td>
<td>56</td>
<td>m</td>
<td>Bladder</td>
<td>Abdominal LN, liver</td>
<td>1</td>
<td>GCar (2)</td>
</tr>
<tr>
<td>20</td>
<td>63</td>
<td>f</td>
<td>Bladder</td>
<td>Abdominal LN, lung, and liver</td>
<td>1</td>
<td>GC (4)</td>
</tr>
<tr>
<td>21</td>
<td>73</td>
<td>m</td>
<td>Bladder</td>
<td>Abdominal LN, liver</td>
<td>0</td>
<td>GC (4)</td>
</tr>
</tbody>
</table>

UUT: upper urinary tract; LN: lymph node; ECOG PS: Eastern Cooperative Oncology Group performance status; MVAC: methotrexate, vinblastine, adriamycin, and cisplatin; TIN: paclitaxel, ifosphamide, and nedaplatin; GC: gemcitabine and cisplatin; MEC: methotrexate, etoposide, and cisplatin; GEM: gemcitabine; TG: paclitaxel and gemcitabine; GCar: gemcitabine and carboplatin.

2.5. Toxicity Evaluation. Patients were examined closely for signs of toxicity during and after vaccination. Adverse events were recorded using the National Cancer Institute Common Terminology Criteria for Adverse Events version 4.0 (CTCAE v4.0) [15].

2.6. Clinical Response Evaluation. Physical examinations and hematological examinations were conducted before and after each vaccination [12–14]. Immunohistochemical study of the HLA class I expression in patients’ primary urothelial cancer tissues was done with anti-HLA class I heavy chain monoclonal antibody EMR-8-5 (Funakoshi Co., Tokyo, Japan). We evaluated tumor size using CT scans or MRI by comparing the size before the first vaccination with that after the fourth vaccination. A complete response (CR) was defined as complete disappearance of all measurable and evaluable diseases. A partial response (PR) was defined as a ≥30% decrease from baseline in the size of all measurable lesions (sum of maximal diameters). Progressive disease (PD) was defined as an increase in the sum of maximal diameters by at least 20% or the appearance of new lesions. Stable disease (SD) was defined as the absence of criteria matching those for CR, PR, or PD [12–14].

2.7. In Vitro Stimulation of PBMC. PBMCs were isolated from blood samples by Ficoll-Conray density gradient centrifugation. They were then frozen and stored at −80°C. As needed, frozen PBMCs were thawed and incubated in the presence of 30 μg/mL survivin-2B80–88 in AIM-V medium containing 10% human serum at room temperature. Next, interleukin-2 was added at a final concentration of 50 U/mL 1h, 2 days, 4 days, and 6 days after the addition of the peptide. On day 7 of culture, the PBMCs were analyzed by tetramer staining and ELISPOT assay.

2.8. Tetramer Staining. FITC-labeled HLA-A*2402-human immunodeficiency virus (HIV) peptide (RYLRDQQLL) and PE-labeled HLA-A*2402-survivin-2B80–88 peptide tetramers were purchased from MBL, Inc. (Nagoya, Japan). For flow cytometric analysis, PBMCs, which were stimulated in vitro as above, were stained with the PE-labeled tetramer at 37°C for 20 min, followed by staining with an FITC-conjugated anti-CD8 mAb (Beckton Dickinson Biosciences, San Jose, CA, USA) at 4°C for 30 min. Cells were washed twice with PBS before fixation in 1% formaldehyde. Flow cytometric analysis was performed using FACSCalibur and CellQuest software (Beckton Dickinson Biosciences, San Jose, CA, USA). The frequency of CTL precursors was calculated as the number of tetramer-positive cells divided by the number of CD8-positive cells [12–14].

2.9. ELISPOT Assay. ELISPOT plates were coated steriley overnight with an IFN-γ capture antibody (Beckton Dickinson Biosciences) at 4°C. The plates were then washed once and blocked with AIM-V medium containing 10% human serum for 2h at room temperature. CD8-positive T cells separated from patients’ PBMC (5 × 10^5 cells/well), which were stimulated in vitro as above, were then added to each
Table 2: Summary of clinical and immunological responses to vaccination with survivin-2B80-88 peptide, IFA, and IFN alpha.

<table>
<thead>
<tr>
<th>No.</th>
<th>Adverse events (Grade)*</th>
<th>Tetramer staining †</th>
<th>ELISPOT ‡</th>
<th>Clinical response</th>
<th>Followup (months)</th>
<th>Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Fever (1)</td>
<td>3100/3300</td>
<td>98/3</td>
<td>PD</td>
<td>6.5</td>
<td>DOD</td>
</tr>
<tr>
<td>2</td>
<td>Fever (1)</td>
<td>2700/600</td>
<td>31/20</td>
<td>SD</td>
<td>14.5</td>
<td>DOD</td>
</tr>
<tr>
<td>3</td>
<td>Fever (1)</td>
<td>4400/600</td>
<td>62/36</td>
<td>SD</td>
<td>17.0</td>
<td>DOD</td>
</tr>
<tr>
<td>4</td>
<td>Induration at injection site (1)</td>
<td>16400/1700</td>
<td>49/12</td>
<td>SD</td>
<td>32.5</td>
<td>AWD</td>
</tr>
<tr>
<td>5</td>
<td>Fever (1)</td>
<td>500/10900</td>
<td>29/8</td>
<td>PD</td>
<td>2.0</td>
<td>DOD</td>
</tr>
<tr>
<td>6</td>
<td>Fever (1)</td>
<td>2000/300</td>
<td>32/29</td>
<td>PD</td>
<td>4.0</td>
<td>DOD</td>
</tr>
<tr>
<td>7</td>
<td>Induration at injection site (1)</td>
<td>4100/0</td>
<td>41/15</td>
<td>PD</td>
<td>6.5</td>
<td>DOD</td>
</tr>
<tr>
<td>8</td>
<td>Fever (1)</td>
<td>2100/2000</td>
<td>49/33</td>
<td>PD</td>
<td>14.5</td>
<td>DOD</td>
</tr>
<tr>
<td>9</td>
<td>Fever (1)</td>
<td>2000/500</td>
<td>65/21</td>
<td>SD</td>
<td>7.0</td>
<td>DOD</td>
</tr>
<tr>
<td>10</td>
<td>Fever (1)</td>
<td>0/4500</td>
<td>53/6</td>
<td>PD</td>
<td>6.5</td>
<td>AWD</td>
</tr>
<tr>
<td>11</td>
<td>None</td>
<td>38900/0</td>
<td>10/0</td>
<td>PD</td>
<td>10.0</td>
<td>DOD</td>
</tr>
<tr>
<td>12</td>
<td>Fever (1)</td>
<td>2400/0</td>
<td>117/80</td>
<td>SD</td>
<td>6.0</td>
<td>AWD</td>
</tr>
<tr>
<td>13</td>
<td>Fever (1)</td>
<td>0/0</td>
<td>28/9</td>
<td>PD</td>
<td>9.5</td>
<td>DOD</td>
</tr>
<tr>
<td>14</td>
<td>None</td>
<td>1200/800</td>
<td>95/14</td>
<td>PD</td>
<td>4.0</td>
<td>AWD</td>
</tr>
<tr>
<td>15</td>
<td>Fever (1)</td>
<td>1600/200</td>
<td>616/68</td>
<td>SD</td>
<td>8.5</td>
<td>AWD</td>
</tr>
<tr>
<td>16</td>
<td>None</td>
<td>700/300</td>
<td>11/63</td>
<td>PD</td>
<td>5.5</td>
<td>DOD</td>
</tr>
<tr>
<td>17</td>
<td>Fever (1)</td>
<td>200/400</td>
<td>39/0</td>
<td>PD</td>
<td>5.5</td>
<td>AWD</td>
</tr>
<tr>
<td>18</td>
<td>Induration at injection site (1)</td>
<td>3700/4600</td>
<td>61/32</td>
<td>PD</td>
<td>4.0</td>
<td>AWD</td>
</tr>
<tr>
<td>19</td>
<td>None</td>
<td>1400/200</td>
<td>7/5</td>
<td>PD</td>
<td>1.0</td>
<td>AWD</td>
</tr>
<tr>
<td>20</td>
<td>None</td>
<td>900/800</td>
<td>25/0</td>
<td>PD</td>
<td>2.0</td>
<td>AWD</td>
</tr>
<tr>
<td>21</td>
<td>None</td>
<td>2000/300</td>
<td>0/0</td>
<td>PD</td>
<td>2.0</td>
<td>AWD</td>
</tr>
</tbody>
</table>

* Adverse events were recorded using the National Cancer Institute Common Terminology Criteria for Adverse Events version 4.0 (CTCAE v4.0). † Cytotoxic T-lymphocyte (CTL) frequency before and after treatment in patients was assessed with an HLA-A24-restricted survivin-2B80-88 (AYACNTSTL) peptide tetramer. An HLA-A24-restricted HIV peptide (RYLRDQQLL) tetramer was used as a negative control. The number of survivin-2B80-88 peptide tetramer-positive but HIV peptide-negative CTLs in 10^4 CD8 T cells is shown. ‡ Interferon gamma secretion of pre- and postvaccinated patients’ CD8 T cells was assessed with enzyme-linked immunosorbent spot (ELISPOT) assay using T2-A24 cells pulsed with survivin-2B80-88 peptide. The numbers of spots in 5 × 10^3 CD8 T cells are shown. SD: stable disease; PD: progressive disease; DOD: dead of disease; AWD: alive with disease.

well along with HLA-A24-transfected CIR cells (CIR-A24) (5 × 10^4 cells/well), which had been preincubated with or without survivin-2B80–88 (10 mg/mL) or with an HIV peptide as a negative control. After incubation in a 5% CO₂ humidified chamber at 37°C for 24 h, the wells were washed vigorously five times with PBS and incubated with a biotinylated anti-human IFN-γ antibody and horseradish peroxidase-conjugated avidin. Spots were visualized and analyzed using KS ELISPOT (Carl Zeiss, Jena, Germany). In the present study, the cutoff point for ELISPOT was determined according to previous studies; positive (+) ELISPOT represented a more than twofold increase of survivin-2B80–88 peptide-specific CD8 T cell IFNγ-positive spots compared with HIV peptide-specific CD8 T-cell spots, whereas negative (−) represented a less than twofold increase [13, 14].

2.10. Statistical Analysis. Continuous variables were compared using the Student’s t-test. Given the small size, we confirmed all results with the Mann-Whitney U test. Categorized variables were compared using Fisher’s exact probability test. Overall survival rates (OS) were evaluated by the Kaplan-Meier method, and differences between two groups were compared using the log-rank test and Cox proportional hazards regression models. A value of P < 0.05 was considered to indicate statistical significance. The calculations were performed using Statview 5.0 (SAS Institute, Cary, NC).

3. Results

3.1. Patient Profile. Twenty-one patients were enrolled in Study 2 (Table 1). They consisted of 15 men and 6 women, whose age range was 36–77 years. Three patients did not receive chemotherapy before vaccination because they were unfit for cisplatin-based chemotherapy due to impaired renal function.

3.2. Safety. Six patients (cases 5, 6, 16, 17, 19, and 20) discontinued halfway through the protocol because of disease progression. The remaining 15 patients received the complete regimen including four vaccinations. None of the treatment interruptions was due to adverse effects of the vaccination. Peptide vaccination was well tolerated in all 21 patients. As shown in Table 2, no hematologic, cardiovascular, hepatic, or renal toxicity was observed. No other severe adverse events...
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Figure 2: Representative illustration of immunological analysis in patients 3 and 15 who were treated with survivin-2B80–88 plus IFA with IFN alpha. Tetramer and ELISPOT analyses before and after vaccinations. The number in the tetramer analysis indicates survivin-2B80–88 peptide-specific CD8+ T cells among $10^4$ CD8+ T cells. ELISPOT: enzyme-linked immunosorbent spot; HIV: human immunodeficiency virus; HLA: human leukocyte antigen; IFA: incomplete Freund's adjuvant; IFN: interferon.
were observed during or after vaccination. As minor side effects, 14 patients (cases 1–6, 8–10, 12, 13, 15, 17, and 18) developed grade 1 fever, possibly due to IFN alpha, and 3 patients (cases 4, 7, and 18) developed grade 1 local skin reactions with redness and induration at the injection sites. No other severe adverse events were observed during or after vaccination.

3.3. Immunological and Clinical Responses. Representative illustrations of immunological analysis in cases 3 and 15 are shown in Figure 2, and Table 2 summarizes the immunological and clinical results. HLA-A24/survivin-2B80–88 peptide tetramer analysis revealed a significant increase in the peptide-specific CTL frequency of CD8-positive T cells after vaccination in 13 patients (cases 2, 3, 4, 6, 7, 9, 11, 12, 14, 15, 16, 19, and 21), as shown in Table 2. Of them, however, cases 6, 16, 19, and 21 were negative in the ELISPOT study. Thus, functional peptide-specific CTLs were induced in nine patients (42.8%) by this vaccination protocol. Radiographical examination revealed SD after four vaccinations in six patients (28.6%). All of them had an increase in the peptide-specific CTLs proven in both tetramer analysis and ELISPOT assay.

3.4. Impact of IFN Alpha in Combination with the Survivin-2B80–88 Peptide on Immunological Responses and Survival. To assess the effect of additional IFN alpha, immunological and clinical outcomes were compared between Study 1 and Study 2. Baseline characteristics and immunological and clinical responses are shown in Table 3. There were no significant differences in either the induction of peptide-specific CTLs or radiographical responses. Furthermore, OS showed no significant difference between the two groups (Figure 3).

3.5. Impact of the Survivin-2B80–88 Peptide Vaccination with and without IFN Alpha on Survival. A total of 30 patients underwent the survivin-2B80–88 peptide vaccination in Study 1 and Study 2. During the course of these studies, 14 patients were excluded due to an ineligible HLA type and 4 patients eventually decided not to receive vaccination although eligible. These 18 patients were evaluated as a control group. Clinical characteristics were comparable between the vaccination group and control group, as shown in Table 4.

![Figure 3: Kaplan-Meier estimated overall survival is shown for patients treated with survivin-2B80–88 peptide plus IFA (Study 1) versus survivin-2B80–88 peptide plus IFA in combination with IFA alpha (Study 2). IFA: incomplete Freund’s adjuvant; IFN: interferon.](image)

The vaccination group had significantly better OS than the control group ($P = 0.0009$), as shown in Figure 4. Median survival times were 10.0 months and 4.5 months in the vaccination group and control group, respectively. Table 5 lists the results of proportional hazards regression analysis used to test the predictive value of each variable for OS. In this multivariate model adjusted for age, ECOG PS, and the presence of visceral metastases, vaccination therapy was an independent predictive factor for better OS ($P = 0.0088$).

4. Discussion

Survivin-2B80–88 vaccination therapy is safe and confers induction of peptide-specific CTLs in patients with metastatic urothelial cancers according to the results of Study 1 [12]. In Study 2, we used a combination protocol of survivin peptide vaccination with IFN alpha in an attempt to enhance the immunogenicity, as with colorectal [13] and pancreatic cancers [14]. The protocol was safe and well tolerated with no
Table 4: Clinical characteristics of vaccination group and control group.

<table>
<thead>
<tr>
<th>Vaccination group</th>
<th>Control group</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>n</td>
<td>30</td>
<td>18</td>
</tr>
<tr>
<td>Age</td>
<td>63.5 ± 10.2</td>
<td>66.4 ± 10.3</td>
</tr>
<tr>
<td>Sex (male/female)</td>
<td>19/11</td>
<td>15/3</td>
</tr>
<tr>
<td>Visceral metastases</td>
<td>15 (50.0%)</td>
<td>12 (66.7%)</td>
</tr>
<tr>
<td>Number of visceral metastatic sites</td>
<td>0.63 ± 0.76</td>
<td>1.00 ± 0.84</td>
</tr>
<tr>
<td>Prior chemotherapy</td>
<td>27 (90.0%)</td>
<td>17 (94.4%)</td>
</tr>
<tr>
<td>ECOG PS 0</td>
<td>13 (43.3%)</td>
<td>8 (44.4%)</td>
</tr>
<tr>
<td>ECOG PS 1</td>
<td>14 (46.7%)</td>
<td>6 (33.3%)</td>
</tr>
<tr>
<td>ECOG PS 2</td>
<td>3 (10.0%)</td>
<td>4 (22.3%)</td>
</tr>
</tbody>
</table>

ECOG PS: Eastern Cooperative Oncology Group performance status.

Table 5: Multivariate proportional hazards regression model for overall survival.

<table>
<thead>
<tr>
<th>Variable</th>
<th>HR</th>
<th>95% CI</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age: &lt;65 versus ≥65 years</td>
<td>0.782</td>
<td>0.343–1.784</td>
<td>0.5591</td>
</tr>
<tr>
<td>ECOG PS: 0 versus ≥1</td>
<td>0.335</td>
<td>0.160–0.703</td>
<td>0.0038</td>
</tr>
<tr>
<td>Visceral metastases: no versus yes</td>
<td>0.599</td>
<td>0.284–1.263</td>
<td>0.1782</td>
</tr>
<tr>
<td>Vaccination: yes versus no</td>
<td>0.308</td>
<td>0.127–0.743</td>
<td>0.0088</td>
</tr>
</tbody>
</table>

ECOG PS: Eastern Cooperative Oncology Group performance status; HR: hazard ratio; CI: confidence interval.

Figure 4: Kaplan-Meier estimated overall survival (OS) is shown for patients who received survivin-2B80–88 peptide vaccination with and without IFN alpha and did not receive survivin-2B80–88 peptide vaccination. A statistically significant difference in OS was identified between the two groups. Figure also shows that patients in the vaccination group had a higher survival rate compared to those in the control group. The P value for this comparison is 0.0009.

In cancer vaccination therapy, tumor shrinkage is not expected and may not be an appropriate endpoint for evaluation of the efficacy of cancer immunotherapy [22]. Although neither CR nor PR after the vaccination was observed in our series, all six patients with SD also had increases in CTLs. SD can be considered to be a result of immunological responses to the survivin-2B80–88 peptide vaccine. Therefore, the results of the current study suggest that survivin-2B80–88 peptide vaccination therapy potentially provides survival benefit for patients with metastatic urothelial cancer. However, this study had only a small number of subjects. Although the control group was comparable, patients were not randomized. To confirm the efficacy for survival, a larger randomized clinical trial is necessary.
There is no standard therapy for metastatic urothelial cancers refractory to standard chemotherapy [2, 3]. In addition, most second-line chemotherapy regimens under investigation have severe adverse events, which can impair patients’ quality of life and are often associated with life-threatening adverse effects [4–6]. On the other hand, the results of the current study suggest that survivin-2B80–88 peptide vaccination therapy is safe and well tolerated and may potentially have clinical benefits in selected patients. Thus, survivin-2B80–88 peptide vaccination appears to be a promising treatment strategy for metastatic urothelial cancers refractory to standard chemotherapy.

5. Conclusions

Although survivin-2B80–88 peptide vaccination in combination with IFN alpha is safe and well tolerated, the effects of additional IFN alpha are unclear. According to the results of pooled data analysis of Study 1 and Study 2, survivin-2B80–88 peptide vaccination therapy potentially has clinical effects; thus, it may be a promising therapy for selected patients with metastatic urothelial cancers refractory to standard chemotherapy.

Conflict of Interests

All authors of this paper reported no financial interests or potential conflict of interests.

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