Research Article

Disc Brake Vibration Model Based on Stribeck Effect and Its Characteristics under Different Braking Conditions

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A 7-degree-of-freedom (DOF) vibration model of a fixed-caliper disc brake system was developed herein based on the Stribeck effect. Furthermore, a dynamometer brake test was conducted to determine the characteristic system parameters of the 7-DOF vibration model. This model was developed to study the effects of braking conditions, such as disc rotational speed and brake pressure on brake noise. The complex eigenvalues of the system were also calculated to analyze the brake model stability under different braking conditions. The acceleration time history diagrams and phase plots were obtained by solving the equations of the system. The numerical calculation results showed that the brake noise increased with an increasing braking force and a decreasing breaking speed. These numerical findings were verified by the results of the dynamometer tests.

1. Introduction

Fixed-caliper disc brakes are widely used in passenger and light commercial vehicles. One or more pairs of opposing pistons are used in such brake systems to clamp both sides of the disc, with the caliper carrying the pistons fixed on a knuckle, such that its position does not change relative to the disc. However, with the increasing demand for driving comfort, a greater need to address the noise, vibration, and harshness (NVH) issues of such brake systems is required. Brake noise, especially “brake squeal,” which occurs with frequencies of 1–16 kHz and reaches the upper human auditory threshold, is of particular importance in current vehicle NVH engineering [1–3].

Studies on brake noise began in the 1930s [4] when researchers conducted diverse investigations from different perspectives, including frictional properties of utilized materials and structures of the brake system parts [5, 6]. These studies revealed a close relationship between brake noise and vibrational excitation caused by friction between the disc and the lining [7, 8]. The theory of this relationship is based on the two following basic characteristics of friction coefficients: (1) the static friction coefficient is higher than the sliding friction coefficient and (2) the friction coefficients decrease with the increasing relative velocity within a certain range. The former characteristic produces the system stick-slip effect, which causes vibration [9]. Meanwhile, the latter induces negative damping, which results in system instability [10–12]. However, previous studies on brake noise that investigated the friction characteristics mainly considered the self-excited vibration at a specific friction coefficient or unstable vibration caused by the negative slope in the relative velocity versus the friction coefficient curve [13–15].

Accordingly, 2-DOF vibration models were employed in most of the previous studies, in which brake squeal was examined based on friction. This made it difficult to simulate the working conditions during braking, thereby hindering the investigation of the interaction between the different parts of the brake assembly [16–18]. Vibration models with more than two DOFs have consequently been developed for the brake squeal investigation. For example, Kinkaid designed a 4-DOF brake model that captured some of the dynamics of a set of brake pads used to stop a rotor [19]. Ahmed built a 10-DOF mathematical prediction model to investigate the effects of different brake component parameters on a ventilated disc brake squeal [20]. Wang et al. developed a
4-DOF model of a disc brake with friction and contact loss nonlinearities to investigate the mechanism and the dynamic characteristics of a brake squeal [21]. The present study developed a 7-DOF vibration model of a fixed-caliper brake system based on the Stribeck effect. A dynamometer test was conducted to determine the characteristic system parameters. Furthermore, the effects of the braking conditions on the system stability were studied.

2. Establishment of the Brake Vibration System

2.1. Establishment of the State Equations. Figure 1 shows a typical structure of a fixed-caliper disc brake for a vehicle. During the braking process, the brake fluid pressure increases, and the rotating disc is clamped by both sides of the brake linings, thereby resulting in the deceleration of the moving vehicle. The vibration model of the brake system, which basically comprises the caliper, disc, and both sides of the brake linings, can be simplified as shown in Figure 2.

In Figure 2, \( m_d \) is the mass of the disc; \( m_c \) is the mass of the caliper; \( m_1 \) and \( m_2 \) are the masses of the inner and outer brake linings, respectively; \( v_0 \) is the absolute linear velocity of the brake disc assumed to be in the \( y^+ \) direction; and \( F \) is the brake pressure on either lining of both sides, considering that the inner and outer pistons are of the same size. The movement along the \( x \)-axis was considered for the disc, while simultaneous movements along the \( x \)- and \( y \)-axes were considered for the caliper and both brake linings. Suppose that the disc moves in the \( x \)-direction during the braking process, the inner lining would move in an \( x^-/y^+ \) direction; the outer lining would move in an \( x^+/y^+ \) direction; and the caliper would move in an \( x^+/y^+ \) direction. This hypothesis was used to define the initial state of the system to facilitate analysis, such that the directions of the reaction forces on the springs and dampers can be obtained. The final results would not be affected by these assumptions because the obtained values of the reaction forces would be negative if their directions are opposite the assumed direction.

Based on the abovementioned assumptions and the relationships among the different parts in the brake system, the equations of the vibration system shown in Figure 2 can be obtained as follows by using Newton’s law:

\[
\begin{align*}
\dot{x}_c &= -c_{cx} \dot{x}_c + c_{dx} (\dot{x}_d - \dot{x}_c) - c_{1cx} (\dot{x}_c - \dot{x}_1) - k_{cx} x_c + k_{2cx} (x_2 - x_c) - k_{1cx} (x_c - x_1), \\
\dot{y}_c &= -c_{cy} \dot{y}_c + c_{dy} (\dot{y}_d - \dot{y}_c) + c_{1cy} (\dot{y}_c - \dot{y}_1) - k_{cy} y_c + k_{2cy} (y_2 - y_c) + k_{1cy} (y_c - y_1), \\
\dot{x}_d &= -c_{dx} \dot{x}_d + c_{dx} (\dot{x}_d - \dot{x}_c) - c_{idx} (\dot{x}_c - \dot{x}_1), \\
\dot{y}_d &= -c_{dy} \dot{y}_d + c_{dy} (\dot{y}_d - \dot{y}_c) + c_{idx} (\dot{y}_c - \dot{y}_1), \\
\dot{x}_1 &= -F + c_{idx} (\dot{x}_d - \dot{x}_1) + c_{1cx} (\dot{x}_c - \dot{x}_1) + k_{1dx} (x_d - x_1), \\
\dot{y}_1 &= F - c_{1cy} (\dot{y}_1 - \dot{y}_c) - k_{1cy} (y_1 - y_c), \\
\dot{x}_2 &= F - c_{idx} (\dot{x}_d - \dot{x}_c) - c_{2cx} (\dot{x}_c - \dot{x}_c) - k_{2dx} (x_2 - x_d) - k_{2cx} (x_2 - x_c), \\
\dot{y}_2 &= F - c_{dy} (\dot{y}_2 - \dot{y}_c) - k_{2cy} (y_2 - y_c),
\end{align*}
\]

where \( F_{f1} \) and \( F_{f2} \) are the frictional forces between the disc and the two brake linings, respectively. The expressions of which were obtained using a Stribeck model and the dynamometer test results (Section 2.2 for a detailed description). Considering the structural symmetry of a fixed-caliper disc brake system, it was assumed that \( c_1 = c_{idx} = c_{dx} \),
In 1903, Stribeck found that the Coulomb friction model could not be used to properly describe the actual friction behavior, especially the relationship between the friction coefficient and the normal pressure [22]. The relative velocity in the classic Coulomb friction model was not considered in the sliding friction. The transition between the static friction and the sliding friction was discrete. Furthermore, the value of the sliding friction coefficient was always smaller than the maximum static friction coefficient. However, Stribeck proposed that the sliding friction coefficient decreased with the increasing relative velocity and presented the former as a continuous function of the latter for low velocities. The friction behavior in the low-velocity region was referred to as the negative-slope friction phenomenon because of the negative slope of the velocity-friction curve. The following exponential model of the phenomenon was proposed by Bo and Pavelescu [23]:

\[
f(v) = f_c + (f_s - f_c) e^{-(v/v_s)^\delta},
\]

where \(f_c\) is the Coulomb friction force; \(v\) is the relative velocity between the two contacting surfaces; \(v_s\) is the Stribeck velocity; and \(v_s\) and \(\delta\) are the empirical constants. Bo and Pavelescu proposed a range of 0.5–1 for \(\delta\) [23], while some other scholars considered \(\delta = 1\) or \(\delta = 2\) as reasonable [24, 25]. The frictional force was directly related to the normal pressure. Therefore, (4) can be rewritten as follows:

\[
f(v) = F \cdot \mu (v),
\]

\[
\mu (v) = \mu_c + \Delta \mu \cdot e^{-(v/v_s)^\delta}.
\]

The friction conditions of the brake linings on both sides were similar because of the structural symmetry of the fixed-caliper brake. Hence, \(F_{f1}\) and \(F_{f2}\) can be expressed as follows by substituting the variables of the state equations into (5) and (6) and setting \(\delta = 1\):

\[
F_{f1} = \text{sgn} \left( v_0 - \frac{60z_8}{2\pi R_E} \right) \cdot F \cdot \left[ \mu_c + \Delta \mu \cdot \exp \left( -\frac{v_0 - (60z_8/2\pi R_E)}{v_s} \right) \right],
\]

\[
F_{f2} = \text{sgn} \left( v_0 - \frac{60z_9}{2\pi R_E} \right) \cdot F \cdot \left[ \mu_c + \Delta \mu \cdot \exp \left( -\frac{v_0 - (60z_9/2\pi R_E)}{v_s} \right) \right].
\]

Finally, the state variable \(z\) was used to rewrite the equations as follows:

\[
z_i = q_i, \quad i = 1, 2, \ldots, 7,
\]

\[
z_{i+7} = q_i, \quad i = 1, 2, \ldots, 7.
\]
Table 1: Parameters determined by fitting of the Stribeck friction model.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Standard error</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \mu_c )</td>
<td>0.27090</td>
<td>0.00278</td>
</tr>
<tr>
<td>( \Delta \mu )</td>
<td>0.14479</td>
<td>0.00230</td>
</tr>
<tr>
<td>( \nu_s )</td>
<td>257.75876</td>
<td>10.03653</td>
</tr>
</tbody>
</table>

Figure 3: \( n-\mu \) curve fitted using the dynamometer test results.

3. Numerical Calculations

3.1. Determination of the System Stability Using the Complex-Eigenvalue Method. A point at which \(|z| \neq 0\) is referred to as an ordinary point in the state space, while one that satisfies (8) is referred to as a balance point.

\[
\{ \dot{z} \} = \{ \dot{z}_1, \dot{z}_2, \ldots, \dot{z}_{14} \}^T = \{ Z_i(z_1, z_2, \ldots, z_{14}) \} = \{0\}. \tag{8}
\]

The coordinates of a balance point should be calculated to determine the stability. The change rates \( \dot{z}_i \) \((i = 1, 2, \ldots, 14)\) of all the state variables should be 0 at a balance point. Hence, the coordinates can be calculated as follows by substituting (8) into the system state equations:

\[
\begin{align*}
z_{1e} &= z_{2e} = F_{f0} \left( \frac{2}{k_6} + \frac{1}{k_2} \right), \\
z_{3e} &= \frac{2F_{f0}}{k_6}, \\
z_{4e} &= \frac{-F}{(k_1 + k_3)}, \\
z_{5e} &= \frac{F}{(k_1 + k_3)}, \\
z_{me} &= 0, \quad m = 6, 7, \ldots, 14, \\
F_{f0} &= F \cdot (\mu_c + \Delta \mu \cdot e^{-\nu_s/v_s}). \tag{9}
\end{align*}
\]

Equation (9) obviously showed that a balance point cannot occur at the origin of the coordinate in this system. Therefore, coordinate transformation was necessary to satisfy the requirements for the system linearization in the process of ascertaining the system stability. McLaughlin’s expansion was applied, with items of the second or higher orders ignored, because the functions of \( F_{f1} \) and \( F_{f2} \) were the only nonlinear terms in the system state equations. The approximate expressions of \( F_{f1} \) and \( F_{f2} \) near the origin are presented as follows:

\[
\begin{align*}
F_{f1} &= F \cdot (\mu_c + \Delta \mu \cdot e^{-\nu_s/v_s}) + F \cdot \Delta \mu \cdot \frac{60}{2\pi R_E v_s} \cdot e^{-\nu_s/v_s} \cdot z_8, \\
F_{f1} &= F \cdot (\mu_c + \Delta \mu \cdot e^{-\nu_s/v_s}) + F \cdot \Delta \mu \cdot \frac{60}{2\pi R_E v_s} \cdot e^{-\nu_s/v_s} \cdot z_9. \tag{11}
\end{align*}
\]

The linearized state equations near the origin can be obtained by substituting (11) into the state equations after coordinate transformation. This can also be used to determine the Jacobian matrix and the equations of the system characteristics shown as (12). The existence of the positive real parts of the eigenvalues is the necessary and sufficient condition for establishing a balance point to be unstable.
Table 2: Vibration model parameters of the fixed-caliper disc brake.

<table>
<thead>
<tr>
<th>Mass</th>
<th>Value (kg)</th>
<th>Stiffness</th>
<th>Value (N⋅m$^{-1}$)</th>
<th>Damping</th>
<th>Value (N⋅s⋅m$^{-1}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$m_1$</td>
<td>0.35</td>
<td>$k_1$</td>
<td>$1.5 \times 10^6$</td>
<td>$c_1$</td>
<td>5</td>
</tr>
<tr>
<td>$m_2$</td>
<td>0.35</td>
<td>$k_2$</td>
<td>$7.5 \times 10^5$</td>
<td>$c_2$</td>
<td>30</td>
</tr>
<tr>
<td>$m_c$</td>
<td>5.56</td>
<td>$k_3$</td>
<td>$7.5 \times 10^5$</td>
<td>$c_3$</td>
<td>15</td>
</tr>
<tr>
<td>$m_d$</td>
<td>7.04</td>
<td>$k_4$</td>
<td>$1.0 \times 10^6$</td>
<td>$c_4$</td>
<td>40</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$k_5$</td>
<td>$1.5 \times 10^6$</td>
<td>$c_5$</td>
<td>50</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$k_6$</td>
<td>$1.5 \times 10^6$</td>
<td>$c_6$</td>
<td>50</td>
</tr>
</tbody>
</table>

Hence, the stability of the system balance point can be determined by calculating the eigenvalues of the system.

$$a_{i,j} = \left. \frac{\partial Z_i(z_1, z_2, \ldots, z_{14})}{\partial z_j} \right|_{z_1=z_2=\ldots=-z_{14}=0}, \quad i, j = 1, 2, \ldots, 14,$$

$$\text{det}([a] - \lambda [1]) = 0.$$ (12)

MATLAB was used to calculate the eigenvalues of the system to qualitatively investigate the stability of the system under different working conditions. Table 3 presents the number of eigenvalues with positive real parts under each working condition.

Several balance points with differing stabilities could be present for a nonlinear vibration system. Accordingly, the stability of a nonlinear system cannot be determined based only on the stability of one balance point. Therefore, McLaughlin’s expansion was used to linearize the nonlinear terms, such that the system equations could be linearized and approximately maintained at the balance point. The stability of the vibration system after linearization could be determined based on the stability of the balance point because there can only be one balance point for a linear vibration system.

The stability of the brake vibration system at any rotational speed was closely related to the pressure applied on the brake lining according to the data in Table 3. The system may become unstable when the brake pressure $F$ reaches a certain threshold. The number of eigenvalues with positive real parts increased with the increase of $F$. In addition, the value of $F$, at which the system became unstable, varied with the rotational speed. This result indicated that the probability of instability of a fixed-caliper disc brake system increased with the increasing brake pressure at a given rotational speed.

Furthermore, the system eigenvalues with positive real parts only occurred when the brake pressure reached the threshold, and the threshold increased with the increasing rotational speed. In other words, the brake system more easily became unstable at a lower vehicle speed. Moreover, a higher brake pressure was required to cause an instability at a higher speed.
3.2. **System Time History Diagrams and Phase Plots.** The determined equations of the brake system were used to obtain the corresponding acceleration time history diagrams and the phase plots for further analysis of the relationship between the working conditions and the system stability. All 72 working conditions were considered. Three representative working conditions were selected to reflect the influence of the disc rotation speed and the brake pressure on the system stability with the obtained diagrams in Figures 4–9. Seven acceleration time history diagrams and seven phase plots were obtained for each working condition considering the seven DOFs and 14-dimensional phase spaces in the system. The caliper and the disc remained static along the \( x \)-axis of the vibration system because of the structural symmetry.
Figure 5: System phase plots for $\nu_0 = 150$ RPM and $F = 500$ N.
of the fixed-caliper brake system, thereby causing the forces applied on the caliper and the disc along the x-axis to always occur in pairs of equal magnitude, but opposing directions. Consequently, the displacement, velocity, and acceleration of $x_c$ and $x_d$ remained 0 during the braking process, which comprised a horizontal line at 0 in the acceleration time history diagrams and a single dot at the origin in the phase plots. Therefore, $x_c$ and $x_d$ figures were omitted.

Figures 4–7 present the effects of the brake pressure on the system vibration characteristics at a given rotational

All the unstable DOFs of the vibration system were concentrated on the y-axis in each acceleration time history diagram. However, though the vibration on the x-axis of the inner and outer linings began after the excitation by the brake pressure $F$, but the amplitude of the acceleration rapidly attenuated and finally reached the steady state.

Figures 6 present the effects of the brake pressure on the system vibration characteristics at a given rotational
Figure 7: System phase plots for $\nu_0 = 150$ RPM and $F = 1000$ N.
speed. The vibrations for all the DOFs of the system can be attenuated and stabilized, with the trajectory rotating inward and gradually converging on the focus of the phase plots in Figure 5, when the brake pressure was not sufficiently high. However, the stabilities of both linings and the caliper along the $y$-axis were lost when the brake pressure increased to the stability threshold for a given speed. Figure 6 shows that their acceleration increased to a certain value. Meanwhile, the trajectory rotates outward, and limit cycles were formed in Figure 7. Thus, the phase plots were consistent with the system stability characteristics determined by calculating the system eigenvalues.

The system stability transition at a given speed was directly related to the negative slope of the Stribeck friction effect, which caused the friction coefficient to decrease with the increasing relative speed. Consequently, in contrary to the
Figure 9: System phase plots for $v_0 = 15$ RPM and $F = 500$ N.
case of the viscous damping, the equivalent damping caused by the velocity feedback was negative, with the negative equivalent damping effect becoming more significant with the increasing brake pressure because the friction force was directly proportional to the normal force. Finally, the stability of the system was lost, and a self-excited vibration was induced when the total damping of the system was negative.

Figures 4, 5, 8, and 9 show the effect of the disc rotational speed on the system vibration for a given brake pressure. The stability of the vibration system was lost at a certain brake pressure with the decreasing disc rotational speed. This observation was related to the trend of the friction coefficient versus the speed curve. According to (4), the Stribeck friction model curve was actually a transformed exponential curve (Figure 3). The slope of the curve decreased with the decreasing disc rotational speed. Hence, the negative equivalent damping caused by the velocity feedback decreased as the speed decreased, thereby consequently decreasing the total damping, with the system becoming unstable when the total damping is negative.

4. Dynamometer Tests

The abovementioned assertion that the stability of a fixed-caliper brake system decreases with an increasing brake pressure and a decreasing disc rotational speed was based on a numerical investigation using a vibration model. An appropriate fixed-caliper disc brake was used to conduct a dynamometer test using the standard SAE J2521 test procedure and validate the numerical findings.

The test data presented in Figure 10 were obtained from three stops along the corresponding drag cycles using the same initial brake temperature condition, as prescribed in Section 3, Module 4 of SAE J2521. A brake squeal with a frequency of 11,100 Hz and a sound pressure level (SPL) of 83 dB was observed at a drag speed of 3 km/h and a brake pressure of 1.5 MPa. However, no squeal was observed, and the maximum sound pressure level was 57 dB when the brake pressure was decreased to 1.0 MPa, or the drag speed was increased to 10 km/h. This finding indicated that the probability of brake noise generation decreased with the decreasing brake pressure and the increasing brake speed. Figure 11 shows the SPL data for all the stops prescribed in Section 3, Module 4 of SAE J2521, which substantiates the abovementioned observations on the brake noise occurrence.

The effects of the disc rotational speed on the brake noise were not only investigated in the drag section of the dynamometer test, but also in the deceleration section. Figure 12 shows the complete brake noise spectrum for the ninth stop prescribed in Section 7, Module 7 of SAE J2521. The disc was forced-braked from 50 km/h to rest under a brake pressure of 2 MPa at this stop. The figure showed no noise at the beginning of the braking process. However, the brake noise with an 11,000 Hz frequency was generated in the final stage of the process as the rotation speed of the disc decreased with time.

5. Conclusion

This study developed a 7-DOF vibration model of a fixed-caliper disc brake based on the Stribeck effect. The eigenvalues of the corresponding Jacobian matrix were calculated to analyze the system stability. Furthermore, the acceleration time history diagrams and the phase plots of each DOF in the vibration system were obtained by solving the system equations, revealing regular relationships among the brake pressure, disc rotational speed, and generated noise. The dynamometer tests were used to determine the system parameters and verify the numerical analysis results. The main conclusions drawn from the findings of the study are as follows:
(1) The probability of brake noise generation increases with the increasing brake pressure because the velocity feedback causes a negative equivalent damping, which increases with the increasing brake pressure.

(2) The probability of brake noise generation increases with the decreasing disc rotational speed because the slope of the curve decreases with the decreasing disc rotational speed, and the negative equivalent damping caused by the velocity feedback decreases as the speed decreases, which also decreases the total system damping.

(3) The 7-DOF brake vibration model based on the Stribeck effect can be used to design and investigate the brake system parameters because its brake noise predictions agree well with the dynamometer test results.

Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this paper.

References


