Greenhouses are closed environments that require careful climatic control, which can benefit from a system control method to cope with the high nonlinearity, complex coupling, and robustness of unknown disturbances. This paper presents a general framework for an integral sliding mode controller based on a disturbance observer combined with feedback linearization for a greenhouse temperature and humidity system. The first-principle greenhouse climate model is described as a standard affine nonlinear system. The feedback linearization control law is used to achieve a system consisting of two separate integrator channels for temperature and humidity. System compound disturbances are estimated by applying a sliding mode disturbance observer. Based on the observer, an integral sliding mode control is incorporated to enhance the robustness against uncertainties and guarantee satisfactory tracking performance even when there are unknown estimation errors. The validity and efficacy of the proposed control technique for greenhouse climate tracking were verified by comparison with simulation results obtained using the common sliding mode control method using feedback linearization without the disturbance observer. Based on this comparison, the developed controller shows a faster system response speed, higher control precision, and stronger anti-interference ability. This method can be applied to improve greenhouse climate control systems.

1. Introduction

Greenhouses constitute a closed environment in which climatic variables can be controlled to allow for the optimal growth and development of crops [1]. The creation of a favorable environment inside greenhouses requires the regulation of all relevant variables throughout plant development [2]. Over the past decades, the control design of the climatic conditions in greenhouses, notably temperature and humidity, has received considerable attention, and a wide variety of strategies have been represented. However, difficulties exist in achieving good control performance due to the highly coupled nonlinear dynamic behavior of such systems [3, 4]. To address this problem, several control approaches have been developed by approximating the nonlinear model to a linear model. In particular, accurate feedback linearization (FL) approach based on geometric nonlinear control theory has attracted the attention of many researchers. In [5–8], this technique was successfully employed to linearize and decouple the complex greenhouse climate system, and the linearized system-based control method design was greatly simplified.

FL has proven to be an efficient control for nonlinear systems. However, FL must rely on a detailed dynamic model and is unable to cope with unknown changes in systems. It is difficult to obtain accurate knowledge of greenhouse climate systems, which usually suffer from the integrated effects of system uncertainty, unknown disturbances, and time-varying parameters [9, 10]. Sliding mode control (SMC) is a powerful control method because of its strong robustness with respect to internal and external bounded disturbances, as well as parameter uncertainties [11]. He et al. [12] designed greenhouse climate robust control schemes by employing traditional sliding mode reaching laws. Luan et al. [13] combined a radial basis function neural network with SMC to cope with the problem of following varying desired climate trajectories in greenhouses. Although various greenhouse climate SMC schemes have been proposed, the dynamic information of the system disturbances has not been fully considered. SMC uses a switching control signal at a high
frequency to enforce the system trajectories onto a surface [14]. This is the main reason why the approach has the attractive feature of keeping the system insensitive to uncertainties. Conversely, if these disturbance and uncertain terms cannot be effectively estimated, there will be severe chattering and poor dynamic behavior, hindering SMC from widespread application.

The integral sliding mode control (ISMС) strategy has been intensively studied to enhance robustness and reduce the influence of unknown disturbance terms that act on a closed-loop system. Oliveira et al. [15] applied the ISMC to the three-phase induction motor and achieved results of low overshoot and no steady-state error. To further enhance the antidisturbance ability of the system, the disturbance observer has been introduced for combination with ISMC to fully use the dynamic information of unknown disturbances. In particular, the sliding mode disturbance observer (SMDO) is a popular choice because of its independence on the detailed mathematical model of disturbances. This technique allows for model uncertainties to be computed without the use of high control gain or extensive computational power. Hall and Shtessel [16] proposed an SMDO-based control for a reusable launch vehicle. Besnard et al. [17] used a SMC driven by SMDO approach to design a robust flight controller for a small quadrotor vehicle and achieved the desired output tracking performance in the presence of the bounded disturbances. Chen et al. [18] estimated the unknown compound disturbance by developing a new SMDO to deal with the multi-input and multi-output nonlinear systems with uncertainty and dead-zone. The universal disturbance approximation capabilities of SMDO have made it an important choice for implementing in general-purpose control designs in the field of industry. However, it is rarely used for greenhouse climate control.

This paper provides a general framework for SMDO-based adaptive ISMC of greenhouse temperature and humidity using an FL technique to cope with system nonlinearities, complex coupling, and modeling uncertainties and unknown disturbances in the process of tracking set points. The aim of this proposed overall control technique is to combine FL and ISMC driven by SMDO to realize their individual advantages. The use of FL simplifies and decouples the overall system model significantly. The ISMC is able to reduce the chattering and improve the transient response due to the implementation of SMDO and possesses the desired characteristics of robustness and good performance. The organization of the paper is as follows. Section 2 describes the greenhouse dynamics physical model. Section 3 provides a discussion of the control system structure. The closed-loop system stability is proven using the Lyapunov method. Section 4 shows the results of several simulations to evaluate the performance of the proposed technique. Finally, conclusions are drawn in Section 5.

2. Physical Model of Greenhouse Dynamics

Greenhouse climates are a combination of physical processes involving energy transfer and mass balance, which are generated from the differences between inside and outside climatic conditions and the effect of the actuators [19, 20]. For simplicity, the air and mass in the greenhouse is considered to have a homogenous distribution. The temperature and humidity model based on energy and mass conversation is described as follows [21]:

\[
\frac{dT_{in}(t)}{dt} = \frac{1}{\rho C_p V_T} \left( Q_{heat}(t) + S_r(t) - \lambda Q_{fog}(t) \right) - \frac{V_R(t)}{V_T} (T_{in}(t) - T_{out}(t)) \\
- \frac{U_A}{\rho C_p V_T} (T_{in}(t) - T_{out}(t)),
\]

\[
\frac{d\omega_{in}(t)}{dt} = \frac{1}{V_H} Q_{fog}(t) + \frac{1}{V_H} (E(S_r(t), \omega_{in}(t))) - \frac{V_R(t)}{V_H} (\omega_{in}(t) - \omega_{out}(t)),
\]

\[
E(S_r(t), \omega_{in}(t)) = \frac{\alpha S_r(t)}{\lambda} - \beta \omega_{in}(t),
\]

where $T_{in}$ (°C) and $T_{out}$ (°C) are the temperatures inside and outside the greenhouse, respectively, $\rho$ (1.2 kg/m$^3$) is the air density, $C_p$ (1006 J/(kg·K)) is the specific heat of air, $Q_{heat}$ (W) is the input heating provided by the greenhouse heater, $S_r$ (W) is the intercepted solar radiant energy, $\lambda$ (2257 J/g) is the latent heat of vaporization, $Q_{fog}$ (g H$_2$O/s) is the water capacity of the fog system, $V_R$ (m$^3$/s) is the ventilation rate, $U_A$ (W/K) is the heat transfer coefficient of enclosure, $\omega_{in}$ (g H$_2$O/m$^3$) and $\omega_{out}$ (g H$_2$O/m$^3$) are the inside and outside absolute humidities, respectively, $V_P$ (m$^3$) and $V_H$ (m$^3$) are the temperature and humidity active mixing air volumes, respectively, $E$ (g H$_2$O/s) is the evapotranspiration rate of the crop leaf, $\alpha$ is the shading and leaf area index coefficient, and $\beta$ is the coefficient for thermodynamic constants and other factors affecting evapotranspiration.

Only operation during summer is considered herein; therefore, $Q_{heat}$ in (1) is set to zero, and the term $\beta \omega_{in}$ can be neglected due to the fact that the ventilation-cooling operating conditions are dominated by solar radiation alone [22]. We define $C_0 = (\rho C_p V_T)$ and $\alpha_0 = \alpha (V_H)^{-1}$ and normalize the control variables by using the convention that $V_R, % = V_R / V_{R, max}$, $Q_{fog, %} = Q_{fog} / Q_{fog, max}$, $\lambda_0 = \lambda Q_{fog, max}$, and $V_0 = V_H Q_{fog, max}$ in which $V_{R, max}$ represents the maximum ventilation rate and $Q_{fog, max}$ represents the maximum capacity of the fog system. Then, models (1)–(3) can be rewritten in the following simpler form [22]:

\[
\frac{dT_{in}(t)}{dt} = \frac{1}{C_0} (S_r(t) - \lambda_0 Q_{fog, %}(t))
\]
3. Greenhouse Climate System Controller Design

3.1. Feedback Linearization Strategy. As shown in (4), the greenhouse climate system is characterized by high nonlinearity and coupling, but it is linear to the control inputs. Defining the state variable \( x = [x_1, x_2]^T = [T_{in}, w_{in}]^T \), control variable \( u = [u_1, u_2]^T = [V_{R,n}, Q_{log,n}]^T \), and outside disturbance variable \( v = [v_1, v_2, v_3]^T = [S_T, T_{out}, w_{out}]^T \) can yield the inside temperature and humidity system in a normal form of a multiple-input, multiple-output affine nonlinear system, as shown in the following:

\[
\begin{align*}
\dot{x} &= F(x, v) + G(x, v) u, \\
y &= x,
\end{align*}
\]

where \( y \) is the system output, \( F(x, v) \) is the state function vector, and \( G(x, v) \) is the control gain matrix, which are given as

\[
F(x, v) = \begin{bmatrix} F_1(x, v) \\ F_2(x, v) \end{bmatrix} = \begin{bmatrix} -\frac{U_A}{C_0} x_1 + \frac{1}{C_0} v_1 + \frac{U_A}{C_0} v_2 \\ \frac{1}{t_v} x_2 - \frac{1}{V_0} \lambda_0 \end{bmatrix},
\]

\[
G(x, v) = \begin{bmatrix} G_1(x, v) \\ G_2(x, v) \end{bmatrix} = \begin{bmatrix} -\frac{1}{t_v} (x_1 - v_2) - \frac{\lambda_0}{C_0} \\ \frac{1}{t_v} (x_2 - v_3) - \frac{1}{V_0} \end{bmatrix}.
\]

Assuming that \( G(x, v) \) is nonlinear, applying the FL method results in the following integrator system:

\[
\dot{x} = r, 
\]

where \( r = [r_1, r_2]^T \) is the new control input vector in the transformed coordinate system. Finally, the FL control law takes the following form:

\[
u = G^{-1}(x, v) [-F(x, v) + r].
\]

3.2. Adaptive Sliding Mode Control Based on Disturbance Observer. The dynamic model of energy and mass balance of greenhouse climate is shown to be linearized accurately and decoupled absolutely by FL. The equivalent system consists of two independent integrator channels for temperature and humidity, which makes it suitable for designing adaptive ISMC based on SMDO separately. The control system structure for the entire greenhouse climate is illustrated in Figure 1, where \( x_T \) and \( x_w \) are the desired temperature- and humidity-tracking trajectories, respectively. The control structure behaves as two decoupled control loops. The controller design process is the same for greenhouse temperature and humidity channels. The ISMC based on SMDO has been derived in its continuous form by Chen et al. [18]. This approach has been applied successfully to the attitude control of the near space vehicle. In the present study, using the temperature controller design as an example, the algorithm is briefly presented based on the work of the authors.

3.2.1. Designing of Sliding Mode Disturbance Observer. The FL technique will perform properly if the nonlinear functions \( F(x, v) \) and \( G(x, v) \) are known precisely. However, in practice, \( F(x, v) \) and \( G(x, v) \) are often only known with uncertainty and there are other unknown time-varying disturbances in the system. In this study, the SMDO is developed to approximate the unknown compound disturbance of the equivalent system (7).

The uncertain linearized greenhouse temperature system is considered based on (5) and (7), as follows:

\[
\dot{x}_1 = r_1 + \Delta F_1(x_1, v) + \Delta G_1(x_1, v) u_1 + d_1,
\]

where \( \Delta F_1(x_1, v) \) and \( \Delta G_1(x_1, v) \) are the continuous system uncertainties and \( d_1 \) is the unknown time-varying disturbance. It is reasonable to assume that \( \Delta F_1(x_1, v), \Delta G_1(x_1, v), \)
and \( d_1 \) are all bounded. For convenience, the bounded compound disturbance is defined as \( D_1(x_1, v) = \Delta F_1(x_1, v) + \Delta G_1(x_1, v)u_1 + d_1 \). Then, it is assumed that an unknown positive constant exists, \( \theta \), such that \( |D_1| \leq \theta \).

Let the tracking error be \( e_1 = x_1 - \hat{x}_T \). Invoking (9), we obtain

\[
\dot{e}_1 = r_1 + D_1(x_1, v) - \hat{x}_T. \tag{10}
\]

The SMDO is designed as follows [18]:

\[
\begin{align*}
\sigma_0 &= z - e_1, \\
\dot{z} &= r_1 + \hat{D}_1 - \hat{x}_T, \\
\bar{s} &= \sigma_0 + L\tilde{\sigma}_0, \\
\hat{D}_1 &= -L^{-1}(\sigma_0 + a_1\sigma_1 + a_2 \text{sgn} (\sigma_1) + \tilde{a}_3 \text{sgn} (\sigma_1)),
\end{align*}
\]

where \( \sigma_0, \sigma_1, \) and \( z \) are the auxiliary variables, \( \hat{D}_1 \) is the estimated value of \( D_1 \), and \( L > 0, a_1 > 0, \) and \( a_3 > 0 \) are the parameters to be designed. \( L \) is designed to satisfy the Hurwitz stability theorem. The parameters \( a_1 \) and \( a_3 \) affect the system chattering and the convergence speed. Generally, the larger \( a_1 \) and \( a_3 \) result in higher response speed and stronger chattering, and vice versa. In practice, the parameters can be chosen based on the designers' demand for the observer output performance. \( \bar{s} \) is the estimate of \( a_3 \) with \( a_3 \) being the maximum of \( L\bar{b} \) and \( \text{sgn} (\cdot) \) is the sign function. Note that the differential term \( \tilde{\sigma}_0 \) can be calculated using the well-known, practical, real-time sliding mode differentiator [23].

The adaptive parameter updated law of \( \tilde{a}_3 \) is given as follows:

\[
\dot{\tilde{a}}_3 = y_0 |\sigma_1|, \tag{12}
\]

where \( y_0 > 0 \) is the constant to be designed.

The derivatives of \( \sigma_0 \) and \( \sigma_1 \) are formulated as follows:

\[
\begin{align*}
\dot{\sigma}_0 &= \dot{z} - \dot{e}_1 = r_1 + \hat{D}_1 - \hat{x}_T - (r_1 + D_1 - \dot{x}_T) \\
&= \hat{D}_1 - D_1 = -\hat{D}_1, \\
\sigma_1 &= \bar{s} + L\tilde{\sigma}_0 = \bar{s} + L(\hat{D}_1 - \hat{D}_1) \\
&= \bar{s} - (\sigma_0 + a_1\sigma_1 + a_2 \text{sgn} (\sigma_1) + \tilde{a}_3 \text{sgn} (\sigma_1)) \\
&- L\hat{D}_1 \\
&= -(a_1\sigma_1 + a_2 \text{sgn} (\sigma_1) + \tilde{a}_3 \text{sgn} (\sigma_1) + L\hat{D}_1). \tag{13}
\end{align*}
\]

To analyze the stability of the disturbance approximation error \( \hat{D}_1 \) and the parameter estimate error \( \tilde{a}_3 \) with \( \tilde{a}_3 = a_3 - \tilde{a}_3 \), choose the Lyapunov function as follows:

\[
V_0 = \frac{1}{2}\sigma_1^2 + \frac{1}{2y_0}\tilde{a}_3^2. \tag{15}
\]

Considering (12)–(14) and differentiating \( V_0 \), we obtain

\[
\begin{align*}
\dot{V}_0 &= \sigma_1\dot{\sigma}_1 + \frac{1}{y_0}\tilde{a}_3\dot{\tilde{a}}_3 \\
&= -a_1\sigma_1^2 - a_2|\sigma_1| - \tilde{a}_3|\sigma_1| - \sigma_1L\hat{D}_1 + \frac{1}{y_0}\tilde{a}_3\dot{\tilde{a}}_3 \\
&\leq -a_1\sigma_1^2 - a_2|\sigma_1| - \tilde{a}_3|\sigma_1| + a_3|\sigma_1| + \frac{1}{y_0}\tilde{a}_3\dot{\tilde{a}}_3 \tag{16} \\
&= -a_1\sigma_1^2 - a_2|\sigma_1| + \tilde{a}_3|\sigma_1| + \frac{1}{y_0}\tilde{a}_3\dot{\tilde{a}}_3 \\
&= -a_1\sigma_1^2 - a_2|\sigma_1|.
\end{align*}
\]

The applied disturbance observer (11) and adaptive parameter updated law (12) can guarantee that the disturbance estimated error reaches zero in a limited time [18]. It is worth noting that the designed SMDO only requires the bounded derivative of the unknown disturbance to ensure the asymptotic convergence of the disturbance estimate error. Compared with other SMDOs reported in the literature with the requirement of providing the upper boundary of the disturbance, the restrictive condition imposed on the disturbance is relaxed.

### 3.2.2. Designing of Integral Sliding Mode Controller

To alleviate chattering and improve the control performance, the adaptive ISMC using the disturbance estimated value based on the SMDO is developed. The problem is to design continuous control \( r_1 \) that provides asymptotic output tracking as time increases in the presence of bounded disturbances. First, the switching surface is defined, which should be designed to provide optimal system performance and satisfy the desired control purposes [24]. The sliding surface is usually defined by a linear combination of state variables error and its derivative. Considering that the state variable number is one, to cope with the tracking error and disturbance approximation error, the sliding surface of the ISMC is defined as follows:

\[
s = a_4e_1 + a_4\sigma_0 + \int_0^t a_4e_1ds, \tag{17}
\]

where \( a_4 \) and \( a_5 \) are positive constants with values that need to be designed. \( a_4 \) and \( a_5 \) decide the dynamic behavior of the final sliding mode. They can be chosen by ways of pole assignment, optimal method, and Lyapunov function, among others. Here, \( a_4 \) and \( a_5 \) are determined by using Hurwitz theorem to maintain stability condition of the sliding mode. With respect to the integral sliding mode surface, the condition that the system is in sliding mode at the initial time is required.

To obtain the control signal \( r_1 \), the sliding surface and its derivative must be considered as zero. By performing this, the steady-state error will approach zero. Substituting the exponential reaching law \( \dot{s} = -ks - \epsilon \text{sgn}(s) \) into (17) generates the control law:

\[
r_1 = -a_4^{-1}(ks + \epsilon \text{sgn}(s) + a_4\hat{D}_1 - a_5\dot{x}_T + a_5e_1), \tag{18}
\]
A positive definite Lyapunov function is considered as follows:

\[ V_1 = \frac{1}{2} s^2. \]

Considering (10), (13), and (17)-(18) and differentiating \( V_1 \), we obtain

\[
\dot{V}_1 = ss = (a_4 \dot{e}_1 + a_4 \dot{\sigma}_0 + a_5 e_1) = s(a_4 r_1 + a_4 \dot{D}_1 - a_4 \ddot{x}_T + a_5 e_1) = s(-ks - \epsilon \text{sgn}(s))
\]

\[
- a_4 \ddot{D}_1 + a_4 \dot{\dot{x}}_T - a_4 \dot{e}_1 + a_4 \dot{D}_1 - a_4 \dot{x}_T + a_5 e_1 = -ks^2 - \epsilon |s|.
\]

If coefficients \( k \) and \( \epsilon \) are selected as positive, \( \dot{V}_1 \) must always be negative to maintain the stability of the Lyapunov theorem. The negative \( \dot{V}_1 \) shows that, under the control law of (18), the sliding mode exists and can be reached. According to (16) and (20), it can be deduced that \( V = V_0 + V_1 \) is negative and that the applied control signal can guarantee that the system tracking error approaches zero asymptotically. In all, the designed overall control technique can guarantee the robustness, stability, and convergence of the closed-loop system.

Figure 2 shows the control structure of the ISMC based on SMDO for temperature system. It can be seen that the proposed algorithm combines the classical ISMC and the SMDO. The control law of the classical ISMC without the SMDO is defined as follows:

\[
s = a_4 e_1 + \int_{0}^{t} a_4 e_1 dt,
\]

\[
r_{1x} = a_4^{-1} (ks + \epsilon \text{sgn}(s) - a_4 \ddot{x}_T + a_5 e_1).
\]

The ISMC strategy using the SMDO based on the FL control law, which depends on physical models (4), a set point tracking simulation experiment was performed. For the simulation, the total simulation time was 300 min. The initial values of the greenhouse temperature and humidity were 30°C and 18 g/m³, respectively. The model parameters described by (4) were set as \( C_0 = -324.67 \text{ min-W/C} \), \( U_A = 29.8 \text{ W/C} \), \( t_r = 3.41 \text{ min} \), \( \lambda_0 = 465 \text{ W} \), \( a_0 = 0.0033 \text{ g/(m}^3\text{-min-W)} \), and \( V_0^{-1} = 13.3 \text{ g/(m}^3\text{-3-min}) \), and they were expressed per square meter of greenhouse area [22]. The outside weather conditions were assumed to be \( S_r = 300 \text{ W/m}^2 \), \( T_{\text{out}} = 35^\circ \text{C} \), and \( w_{\text{out}} = 4 \text{ g/m}^3 \). The temperature set point remained constant at 30°C until 100 min, when it changed to 26°C. At 200 min, the humidity set point changed from 18 to 24 g/m³. It was assumed that the greenhouse climate system integrated unknown time-varying disturbances varied on the time scale of minutes and had a correlation with the outside weather conditions variations. As the outdoor solar radiation had an important effect on the indoor temperature and the outdoor humidity on the indoor humidity, the disturbances for temperature and humidity system were set related to these two outdoor variables, respectively. Figure 3 shows the disturbances.
According to the aforementioned parameters regulation method, after a series of simulation debugging, to get a good control performance, the parameters of ISMC driven by SMDO for temperature were chosen as $L = 0.5$, $a_1 = 0.02$, $a_2 = 0.03$, $y_0 = 0.05$, $a_4 = 100$, $a_5 = 0.01$, $k = 0.08$, and $\varepsilon = 0.8$. The parameters of ISMC driven by SMDO for humidity were chosen as $L = 1$, $a_1 = 0.01$, $a_2 = 0.01$, $y_0 = 0.02$, $a_4 = 100$, $a_5 = 0.008$, $k = 0.5$, and $\varepsilon = 2$.

To further demonstrate the control performance of the developed control strategy, it was compared with an ISMC based on FL without using an SMDO. For convenience, the approaches with and without SMDO are denoted as systems 1 and 2, respectively. The control law in system 2 is defined as (21). The parameters selection strategy is the same as system 1. By some tests, the parameters were chosen as $a_4 = 1$, $a_5 = 0.01$, $k = 0.02$, and $\varepsilon = 0.65$ for the temperature controller design and $a_4 = 1$, $a_5 = 0.008$, $k = 0.09$, and $\varepsilon = 0.95$ for the humidity controller design.

The obtained simulation comparison responses of the two systems are shown in Figures 4 and 5. Figure 4 presents the tracking results of the inside temperature and humidity. Both controllers were able to regulate the greenhouse climate around the given set point while rejecting disturbances. However, it costs less time for system 1 to reach the steady state, and chattering was clearly alleviated in system 1. There was severe chattering in system 2 due to the inability to observe the disturbances online. System 1 achieved better static and dynamic tracking performance than system 2.

Figure 5 presents the compared control inputs for greenhouse climate. The control inputs of the two systems exhibited the same variation trend. However, the values of the control variables of system 1 were more stable than those of system 2.
Figure 6: Comparison of real disturbances and estimated disturbances by system 1 for (a) temperature and (b) humidity.

5. Conclusions

A FL-based ISMC using a SMDO technique for greenhouse climate systems is proposed in this paper. FL can be applied to linearize and decouple greenhouse climate systems and simplify the SMDO and ISMC design based on the achieved integrator system. To facilitate the use of the FL without prior knowledge of the greenhouse climate dynamics, the ISMC driven by SMDO is used to strengthen the robustness to external disturbance and model uncertainty, enabling the FL technique to be used in an adaptive manner. The whole method can guarantee uniformly stable adaptation and asymptotic tracking even if there are inherent disturbance estimated errors. Finally, the results of the proposed method in this paper and a standard ISMC using FL without the SMDO are compared in terms of chattering magnitude, steady-state error, and dynamic performance. The results show that the developed technique offers adequate control performance in regulating greenhouse climate around the desired reference in the presence of system uncertainty and external disturbance. The proposed method is expected to gain wide acceptance in modern climate control systems. In future work, the described study will be applied in practical implementation to evaluate the system effectiveness.

Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this article.

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