Review Article

Proton Pump Inhibitors and Dementia: Physiopathological Mechanisms and Clinical Consequences

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Alzheimer’s disease (AD) is the most common type of dementia, mainly encompassing cognitive decline in subjects aged ≥65 years. Further, AD is characterized by selective synaptic and neuronal degeneration, vascular dysfunction, and two histopathological features: extracellular amyloid plaques composed of amyloid beta peptide (Aβ) and neurofibrillary tangles formed by hyperphosphorylated tau protein. Dementia and AD are chronic neurodegenerative conditions with a complex physiopathology involving both genetic and environmental factors. Recent clinical studies have shown that proton pump inhibitors (PPIs) are associated with risk of dementia, including AD. However, a recent case-control study reported decreased risk of dementia. PPIs are a widely indicated class of drugs for gastric acid-related disorders, although most older adult users are not treated for the correct indication. Although neurological side effects secondary to PPIs are rare, several preclinical reports indicate that PPIs might increase Aβ levels, interact with tau protein, and affect the neuronal microenvironment through several mechanisms. Considering the controversy between PPI use and dementia risk, as well as both cognitive and neuroprotective effects, the aim of this review is to examine the relationship between PPI use and brain effects from a neurobiological and clinical perspective.

1. Introduction

Dementia is a clinical syndrome that represents a wide spectrum of cognitive dysfunction and leads to progressive and chronic deterioration of social and occupational activities. According to the World Alzheimer Report, over 46.8 million people worldwide lived with dementia in 2015, with a predicted increase of cases to 74.7 million by 2030 and 131.5 million by 2050. In addition, 63% and 68% of all people with dementia will live in low- and middle-income countries by 2030 and 2050, respectively [1]. Because of this increased number of cases, the high cost of dementia is another issue that health systems will be dealing with in the future. Currently, the cost is estimated at $18 billion per year in the US, with an increase expected over upcoming years. Owing to the economic and social impact caused by dementia, the World Health Organization designated dementia a public health priority [2]. There are different types of dementia, with Alzheimer’s disease (AD) being the most prevalent in humans, accounting for 50–70% of all cases [3]. The prevalence rate for AD increases predominantly with age, surging from 3.5% in people aged 75 years old to 46.3% in people aged 95 years old or older [4]. The histopathological hallmarks of AD include extracellular deposition of amyloid-β (Aβ) plaques, formation of neurofibrillary tangles (NFTs) from hyperphosphorylated tau protein, and...
neurodegeneration caused by progressive loss of neurons and their processes [5]. Moreover, the most accepted theory to explain the pathogenesis of AD is the amyloid hypothesis, which states that the cognitive disease phenotype is due to Aβ dyshomeostasis [6].

Proton pump inhibitors (PPIs) are a class of drugs used to treat gastric acid-related disorders, such as gastroesophageal reflux and peptic ulcer disease, and which act mainly as irreversible inhibitors of the H⁺/K⁺-ATPase pump to decrease gastric acid production [7]. PPIs have an excellent safety profile and have become one of the most prescribed drugs in recent years. According to the National Health and Nutrition Examination Survey, from 1999 to 2012, the percentage of adults aged 40–60 who received a prescription for PPIs almost doubled from 4.9% to 8.3% in the United States, surging concerns about their widespread use among this age group [8, 9]. Furthermore, various studies have shown that 50–70% of patients prescribed PPIs do not have the correct indication, especially in hospitalized elderly patients [10–12]. Overall, long-term use of PPIs has increased, leading to potential adverse effects such as nutritional deficiencies (vitamin B₁₂, magnesium, and iron), renal damage, osteoporotic fracture, infection by Clostridium difficile, rhabdomyolysis, anemia, and thrombocytopenia [13]. Because of these adverse effects, their safety and role in cognitive function (including risk of developing dementia and AD) have been questioned lately. Several studies described association between PPIs and greater risk of developing dementia and AD in older people [9, 14, 15]. However, other study has not shown that PPIs were associated with greater risk of dementia neither AD [7]. In addition, a recent case-control study conducted in German primary care patients reported decreased risk of dementia with PPI use [16]. In fact, neuroprotective effects of PPIs have been recently described [17, 18]. Due to these controversial findings, and also the role of PPIs in progression from mild to severe cognitive dysfunction, the aim of this article is to review the relationship between PPI use and basic mechanisms of neuronal dysfunction. In this regard, we discuss if PPI use is associated with greater susceptibility to developing dementia, focusing on a neurobiological basis of AD. Consequently, we propose new hypothesis regarding the physiopathological mechanisms of cognitive impairment induced by acute and chronic PPI use and examine some associated factors that increase dementia susceptibility after PPI exposure.

2. The Effect of PPIs on the Central Nervous System

One of the human genes encoding H⁺/K⁺-ATPase (ATP12A/ATP1AL1) is expressed in the brain, colon, and placenta, while the other gene (ATP4A) is only expressed in gastric epithelial cells [19]. Accordingly, there is evidence of H⁺/K⁺-ATPase activity in the central nervous system (CNS), with certain isoforms expressed [20]. Proton pumps have several physiological functions in neurons and contribute to acid–base and potassium homeostasis [19]. Vesicular proton pumps (H⁺-ATPases or V-ATPases) create the proton gradient that is required for packaging of neurotransmitters into synaptic vesicles. Furthermore, new evidence indicates that vesicular H⁺/K⁺-ATPase plays an interesting role in both exocytosis and endocytosis in nerve terminals [21, 22]. Ca²⁺-ATPase, Na⁺/K⁺-ATPase, and H⁺/K⁺-ATPase are included in the PII subfamily of P-type ATPases [23]. P-type ATPases share common structural motifs and likely arise from a common ancestral gene [19]. Interestingly, the primary structure of the α subunit of gastric H⁺/K⁺-ATPase is 98% homologous within species and highly homologous to the catalytic subunit of Na⁺/K⁺-ATPase (~63%) and sarcoplasmic/endoplasmic reticulum Ca²⁺-ATPase (SERCA) (~25%) [24, 25].

Proton pump inhibitors (e.g., omeprazole, lansoprazole, dexlansoprazole, rabeprazole, pantoprazole, and esomeprazole) effectively block acid secretion by covalent and irreversible binding to H⁺/K⁺-ATPases on the luminal surface of the parietal cell membrane [26, 27]. The site of reaction on the enzyme differs according to the particular PPI. However, all PPIs react with cysteine 813 in the active E2 configuration (ion-site-out) [27]. Considering the high homology between P-type ATPases, it is possible that PPIs can inhibit other ionic pumps in different organs or even induce systemic physiological changes. Indeed, the CNS may be one system affected, with its interaction facilitated by pathological conditions exhibiting reduced pH in the brain, cerebrospinal fluid, and blood (i.e., metabolic stress).

Passage of PPIs through the blood-brain barrier (BBB) has been calculated. After administering 10 mg/kg intravenous (IV) omeprazole to male Sprague Dawley rats, the area under the curve (AUC) of concentration versus time in the brain divided by AUC in blood was calculated [28]. The resulting blood-to-brain distribution coefficient was 0.15, indicating that up to 15% of a single IV dose of omeprazole can reach the CNS and potentially affect cognitive function with either acute or repetitive long-term use. Corroboratively, in vitro and in vivo pharmacokinetic studies have shown that lansoprazole may also penetrate the BBB [29].

Some PPIs, such as lansoprazole, esomeprazole, and pantoprazole, are reported to cause adverse neurological effects, mainly headaches [30, 31] and dizziness/vertigo [32]. Other adverse effects that involve the CNS (at a frequency of <1%) include depression, diplopia, disturbed sleep, drowsiness, insomnia, nervousness, and tremor. There have also been reports of sensperceptual abnormalities (i.e., hallucinations) [33, 34] and delirium [35]. Neurological side effects induced by chronic PPI use may be related to indirect systemic abnormalities (i.e., magnesium and vitamin B₁₂ deficiency) [36] or direct effects on neurons after passage through the BBB. Although the exact mechanisms on brain circuits have not been fully described, most neurological side effects are reported with chronic administration of PPIs.

3. PPIs and Physiopathological Effects in Dementia

PPI drugs can facilitate tau and Aβ-induced neurotoxicity, which may increase AD progression and cognitive decline. Below, we discuss relevant physiopathological mechanisms.
3.1. PPIs and Aβ Plaques. One of the most described effects in dementia relates to increased production of Aβ plaques by PPIs [37]. As already stated, one of the major hallmarks of AD is extracellular accumulation of Aβ plaques, which lead to oxidative and inflammatory damage in the brain [3]. These Aβ species are produced by cleavage of amyloid precursor protein (APP) by β-secretase (also known as β-site APP-cleaving enzyme 1 (BACE1)) and γ-secretase [38]. Although the total number of Aβ plaques does not correlate well with AD severity, there is a direct effect on cognition and cell death in AD [42]. Onset of AD result from a chronic inflammation, which leads to abnormal tau phosphorylation and induces formation of PHFs and tau protein aggregates, ultimately resulting in cytoskeletal alterations [50]. Consequently, these lesions are present before the presentation of clinical symptoms of AD [51].

3.2. PPIs and Vitamin B12 Deficiency. Gastric acidity is necessary for absorption of vitamin B12, which is an essential water-soluble vitamin obtained from different dietary sources such as fish, meat, dairy products, and fortified cereal [56]. Statistically, approximately 6%–20% of American adults have vitamin B12 deficiency, likely as a natural phenomenon.
Nonetheless, the risk of B12 deficiency increases with age [57]. During digestion, vitamin B12 binds to salivary R proteins and then to intrinsic factors, reaching the whole intestine and ileum terminal intact, where B12 absorption occurs. B12 is firmly bound to proteins and consequently requires acid-activated proteolytic digestion. Use of PPIs causes hypochlorhydria, which results in vitamin B12 malabsorption as B12 remains tightly bound to proteins in the stomach [58]. Several studies have shown controversial results between long-term PPI use and vitamin B12 deficiency. For instance, in a case-control study, patients treated with PPIs for 2 years or longer showed a statistically significant association with increased risk of B12 deficiency [59]. In contrast, in a cross-sectional study, patients prescribed PPIs for 3 years or longer had similar B12 levels as non-PPI users [60]. It is important to note that most of these trials show association and not causation. Likely, other factors will contribute to these findings in addition to acid-suppressive therapy [61].

Vitamin B12 deficiency caused by PPI use has been associated with dementia and cognitive impairment [62]. Vitamin B12 is required for one-carbon transfer reactions such as methylation, which are needed for processing and production of nucleotides, phospholipids, and monoamine neurotransmitters [63]. Usually, vitamin B12 removes a methyl group from tetrahydrofolate, turning it into methylcobalamin. Methylcobalamin then presents its methyl group to homocysteine, which is finally converted to methionine by methionine synthase [62]. Thus, vitamin B12 deficiency is one of the main causes of hyperhomocysteinemia. Both hyperhomocysteinemia and B12 deficiency are considered risk factors for brain atrophy, cognitive impairment, and dementia [64]. Studies have shown that hyperhomocysteinemia may activate several protein kinases, such as glycogen synthase kinase 3β (GSK-3β), cyclin-dependent kinase-5 (Cdk5), c-Jun N-terminal kinase (JNK), extracellular signal-regulated kinase (ERK), and p38 mitogen-activated protein kinase (MAPK), and inhibit protein phosphatase 2A (PP2A) (41), which are all pivotal enzymes in regulating the phosphorylation state of tau protein [65]. Among these enzymes, PP2A plays a crucial role as it is the main brain serine/threonine phosphatase and prevents tau hyperphosphorylation [66]. Decreased methylation may affect PP2A function by leading to hyperphosphorylation and aggregation of tau protein [62]. In animal models, aside from inducing tau protein hyperphosphorylation, hyperhomocysteinemia can increase Aβ production, while folate/vitamin B12 supplementation may attenuate these effects [67–69]. Based on these findings, high homocysteine levels are a strong and independent risk factor for developing AD [70]. Alternative mechanisms for linking AD with vitamin B12 deficiency have also been described, which are distinct from PP2A inactivation and tau hyperphosphorylation. Using the structure and function of vitamin B12 inside cells, Rafiee and colleagues (38) [62] studied direct binding between B12 and tau protein in vitro by fluorometry and circular dichroism. Because vitamin B12 can interact with thiol groups, they determined that cobalamin can directly bind to tau via cysteine residues of tau protein. Hence, the resulting B12/tau protein complex prevents tau protein fibrillation. Besides, tau aggregation is inhibited by vitamin B12 capping on cysteine residues of tau. In summary, the effect exerted on neurodegeneration by vitamin B12 deficiency and hyperhomocysteinemia is not only due to PP2A inactivation and tau hyperphosphorylation but also direct binding of vitamin B12 to tau protein, inhibiting its fibrillation and aggregation [62].

Although different mechanisms have been described to explain the effects of vitamin B12 deficiency in dementia, more clinical trials are needed to understand this relationship. In addition, more studies are required to establish if vitamin B12 deficiency is a causal event in dementia or an associated factor, as shown in other studies.

4. PPIs and Antineurotoxicity

In contrast to the effect of PPIs on Aβ plaque production, tau protein, and vitamin B12 deficiency in AD development, different studies have shown antineurotoxic effects of PPIs on astrocytes and microglia [17]. In AD patients, activated astrocytes exist in close relationship with senile plaques and neuronal degeneration [71]. These activated cells release powerful neurotoxic products, including proinflammatory cytokines, reactive oxygen species, and nitric oxide [72, 73]. Although astrocytes show neuroprotective activity, activated astrocytes may aggravate neurodegenerative diseases [74]. Hashioka et al. [17] demonstrated that lansoprazole and omeprazole decrease interferon- (IFN-) γ-induced astrocytic neurotoxicity as a result of inhibition of signal transducer and activator of transcription 3 (STAT3) activation. They also confirmed that PPIs slightly reduce production of T-cell alpha chemoattractant (I-TAC) by IFN-γ-activated astrocytes, although only lansoprazole shows a significant effect. These findings are in agreement with another study by Hashioka et al. [18] showing that lansoprazole and omeprazole may suppress tumor necrosis factor α (TNF-) production from THP-1 cells and decrease human microglial and monocyte neurotoxicity. In addition, they showed that nonsteroidal anti-inflammatory drugs (NSAID) can increase antineurotoxic effect of PPIs due to their synergic effect. Furthermore, a recent study identified lansoprazole as a liver X receptor (LXR) agonist [75]. LXRα are transcription factors that modify expression of genes related to cholesterol metabolism. Hence, lansoprazole increases ATP-binding cassette transporter (Abca1) and apolipoprotein- (Apo-) E levels in primary astrocytes, both genes regulated by LXR [75]. Moreover, ApoE lipid complexes mediated by ABCA1 inhibit Aβ plaque aggregation, thereby supporting the theory that lansoprazole can act as a therapeutic agent in AD [17].

5. Clinical Association between PPIs and Risk of Dementia, AD, and Cognitive Impairment

Based on a systematic review from 2017, four European observational studies have investigated association between PPI use and dementia. Three studies have found a positive association between dementia and omeprazole, esomeprazole, lansoprazole, and pantoprazole, with an approximately 1.4-fold increased risk of any dementia in cohorts using PPIs
(95% CI, 1.36–1.52; P < 0.001) [76]. Similarly, in a recent prospective cohort study in Asian population (n = 15726, 7863 PPI users), an association with dementia has been found (aHR, 1.22; 95% confidence interval, 1.05–1.42) [15]. In contrast, the fourth European report included in the systematic review found a negative association (OR dementia with PPI use = 0.94 (95% CI, 0.90–0.97) P = 0.0008) [76]. Herghelegiu and colleagues [77] conducted a single-center case-control study that compared 148 PPI users (aged at least 65 years old) with a control group of nonusers during an 8-month period. They confirmed statistically significant association between PPI use and dementia. However, bias was influenced by the small study sample. Further, they did not take into account fundamental cofounders such as age, sex, history of stroke, and smoking status, which are considered risk factors for dementia. Conversely, Booker and coworkers [16] found statistically significant reduction between dementia and PPI use with a case-control study using a database of general practice medical records in Germany and including 11,956 patients with initial dementia diagnoses over a 4-year period. This study was considered to have a moderate risk of bias due to codes being entered by general practitioners. The other two cohort studies performed by Haenisch et al. and Gomm et al. [9, 14] used data from two different German databases (KNDD and AgeCoDe, resp.) which reported positive association between PPI use and dementia. Although both studies included a wide range of cofounders, neither took into account either hypertension or family history of dementia (again well-known risk factors for dementia) nor sugar intake, physical exercise, or air pollution, recently considered risk factors for dementia [76]. In contrast, Haenisch et al. [14] performed the only study to include a subgroup for evaluating AD risk. Their results were in favor of elevated risk for AD associated with PPI use. Besides, exclusion of confounders (such as age, sex, education, ApoE4 allele status, polypharmacy, depression, ischemic heart disease, and stroke) did not influence the effect of PPI use on AD.

In the same systematic review, weak association between PPI use and acute cognitive impairment was demonstrated in a series of case reports and small observational studies [76]. Regarding these, four were hypomagnesemia-associated delirium or confusion cases [78, 79], one was associated with hyponatremic delirium [80], and one was delirium of unknown cause [35]. PPIs were implied as a main cause in these effects because they can lower magnesium and sodium levels, and the majority of studies were associated with omeprazole use [35, 78, 80]. In one case, withdrawing esomeprazole therapy provided symptomatic relief and reestablishment of magnesium levels [78]. With these observational studies, data on PPI use and risk of delirium was incorporated [81, 82]. For instance, Otremba and coworkers performed a cross-sectional study, including 675 patients of 60–100 years old, who were admitted to a subacute geriatric ward over a 12-month period. They found that PPI use was a predictive factor for developing delirium in these patients. The Confusion Assessment Method and Delirium-O-Meter were the scales used for diagnosing delirium and its severity, respectively [82]. However, Fujii and coworkers, who compared incidence and severity of delirium in H(2) blocker users and PPI users, found that delirium can be reduced by switching H(2) blockers to PPIs [81]. However, there are major difficulties in evaluating these studies as the data does not contain reliable information. Also, the majority of studies used different group characteristics, which makes comparisons among them challenging [76].

Other studies have focused on short-term PPI use and its influence on different cognitive functions. For instance, Akter et al. used the Cambridge Neuropsychological Test Automated Battery (the well-known CANTAB software) to evaluate each PPI and its effect in different cognitive domain functions of young patients (20–26 years old) over 7 days [12]. CANTAB software can accurately determine amyloid-related cognitive decline and quantify the severity of impairment in prodromal AD patients [83]. This study found that taking different PPIs may influence several degrees of cognitive capacity. For instance, omeprazole led to deterioration of visual and episodic memory, motor and mental response speed, new learning, short-term and sustained attention, retention and manipulation of visuospatial information, and strategy development [12]. Lansoprazole, in addition to the mentioned effects of omeprazole, can restrict manipulation of remembered memory to generate a complex task or strategy and also limit retention of spatial information. Contrary to lansoprazole and omeprazole, esomeprazole induced difficulties in maintaining sustained attention, retaining and manipulating spatial memory, and planning strategy [12]. In contrast, a very recent study including data from the Nurses’ Health Study II has not shown association between PPI use and cognitive dysfunction or dementia risk. However, this study indicates a modest association for psychomotor speed and attention among PPI users [84]. To explain some acute and chronic cognitive effects, it is possible that PPIs may preferentially affect the hippocampus and associative neocortex via a neuroplasticity mechanism. Still to our knowledge, there is no evidence of in vivo or in vitro experiments using long-term potentiation protocols to confirm this theory.

6. Final Considerations and Conclusion

There is currently no consensus on the role of PPIs and the associated risk of developing dementia. Because of the multifactorial origin of dementia (Alzheimer–vascular spectrum dementia), future studies are required to consider associated environmental and genetic factors, as well as biomarkers (i.e., APOE-ε4) and other covariates (i.e., chronic stress) that may increase the risk of dementia in patients who consume PPIs. It is possible that the cognitive effects of PPIs are due to drug interactions, especially in polymedicated elderly patients. For instance, omeprazole may increase blood levels of diazepam (a γ-aminobutyric acid [GABA]-A agonist) by decreasing plasma clearance (via cytochrome P450) and then increasing neurological side effects [85]. In a retrospective study in six residential care homes in England (n = 133), it was found that 9.2% of older people with dementia were prescribed with two or more
with higher risk for AD (APOE-ε4 allele carriers) [91]. At recommended doses, a pharmacokinetic interaction between benzodiazepines and PPIs is less probable with pantoprazole, lansoprazole, and rabeprazole than with omeprazole [92–94]. Accordingly, those PPI drugs might be considered in polymedicated subjects.

Cumulative evidence indicates that chronic treatment with NSAIDs (e.g., ibuprofen) may delay AD onset and reduce AD rate of progression [95, 96]. As mentioned earlier, in vitro evidence indicates that PPIs might have neuroprotective and anti-inflammatory effects that act synergistically with NSAIDs. Some limitations of in vitro studies include the experimental duration, as well as complex specific microenvironment factors observed only in vivo [97]. In fact, dementia and AD are chronic neurodegenerative diseases with a complex physiopathology and several compensatory neuronal-glial mechanisms after long-term Aβ peptide exposure. To simulate environmental cellular conditions of AD, it is necessary to design durable in vitro studies that involve persistent oxidative stress and metabolic dysfunction.

Vascular and BBB dysfunctions have been observed in AD patients [98]. Taking into account age-related changes of BBB function, as well as vulnerability to disruption by external factors such as hypertension and drugs [99, 100], we suggest that neurological susceptibility to PPIs may be related to changes in BBB permeability, as well as changes in the brain microenvironment related to aging. However, more studies are necessary to identify other factors that contribute to this susceptibility.

Although the mechanisms of brain dysfunction induced by PPIs are not known with certainty, it is possible they influence ionic pumps controlling the membrane potential and electrochemical gradient in neurons. Considering the preferential effects of PPIs on Aβ and tau protein, as well as on endothelial function, further studies are needed to contemplate differential susceptibility between AD and vascular dementia. Taking into account the effects of PPIs on vitamin B12 levels, and possibly indirect effects on membrane ionic transporters, nutritional and electrolyte monitoring is required in patients who chronically use PPIs, mainly older adults and patients with chronic malnutrition or debilitating chronic conditions. Also, it is necessary to determine the previous cognitive status of patients and whether they have risk factors for dementia, as well as pharmacokinetic drug interactions. Altogether, it is necessary to consider the risk–benefit of chronic PPI use and, above all, strictly establish an adequate therapeutic indication.

Conflicts of Interest
The authors declare that they have no conflicts of interest.

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References
Neural Plasticity


