Treatment of neuropathic pain with 5% lidocaine-medicated plaster: Five years of clinical experience

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BACKGROUND: Neuropathic pain is often severe and adversely affects patients’ quality of life.
OBJECTIVE: To perform a retrospective, observational study investigating the efficacy and safety of treating refractory chronic neuropathic pain with 5% lidocaine-medicated plaster, in patients attending pain centres.
METHODS: Medical records from 467 patients treated with 5% lidocaine-medicated plaster were evaluated for efficacy (maximum and minimum pain intensities and coanalgesic consumption) and adverse events. Data from an initial assessment and at least one follow-up visit had to be available, and separate analyses were conducted for the general population and the subpopulation older than 70 years of age.
RESULTS: Of the patients enrolled, 25.0% were older than 70 years of age. While 20.6% had postherpetic neuralgia, 76.3% had other types of peripheral pain. Approximately 78.1% of cases of peripheral neuropathic pain followed surgery, and 23% were post-traumatic pain. The time from onset to referral was more than one year in two-thirds of cases. All patients experienced pain of at least moderate severity (mean [± SD] 11-point numerical rating scale score 5.2±2.4 to 8.2±1.6). Treatment with 5% lidocaine-medicated plaster reduced pain intensity by more than 50% in 45.5% of patients, and by at least 30% in 82.2%. Of note, the consumption of analgesics and coanalgesics was significantly reduced. Results were similar in both the general population and the subpopulation older than 70 years of age, at high risk and often receiving multiple medications.
CONCLUSIONS: Treatment of refractory neuropathic pain with 5% lidocaine-medicated plaster clearly demonstrated efficacy and an excellent safety profile in patients with refractory neuropathic pain.

Key Words: 5% lidocaine-medicated plaster; Allodynia; Elderly patients; Localized neuropathic pain; Post-traumatic pain; Surgery

Neuropathic pain is a frequent condition in the general population, with an estimated prevalence of 5% to 7% in France, compared with 20% to 31% for chronic pain (1,2). Neuropathic pain is caused by a dysfunction of the nervous system, and is characterized by burning, tingling and/or electric shock-type pain. It may be associated with signs of nerve deficits (hypoesthesia of all types), pain caused by non-noxious stimuli (touch, thermal, dynamic or mechanical allodynia) and/or hyperpathia (an exaggerated response to a painful stimulus). The pain is generally severe and adversely affects patients’ quality of life (3). Other associated comorbidities are sleep disorders, anxiety and depression, and disability.

Neuropathic pain responds to specific treatments such as tricyclic antidepressants, antiepileptics or analgesics (4,5), but the efficacy of these drugs is variable and often accompanied by a poor safety profile (6). Alternatively, a topical local anaesthetic in the form of 5% lidocaine-medicated plaster, applied for 12 h a day to the painful area, is effective in treating postherpetic neuralgia (PHN) (7-9). Several expert guidelines have proposed an algorithm for treating neuropathic pain for which 5% lidocaine-medicated plaster is recommended for localised pain conditions (4,6,10,11).

PHN adversely affects the quality of life of elderly patients, and traditional treatments are not always compatible with age-related reductions in various vital functions (12). Topical treatments, because of their localized efficacy and low incidence of systemic adverse reactions, are very useful in this age group (9,13). In France, the prescription of 5% lidocaine-medicated plaster for neuropathic pain is via a named patient program administered by the Ministry of Health. Patients must either be intolerant to, or have failed, several different treatments for neuropathic pain. Marketing authorization was recently obtained for 5% lidocaine-medicated plaster to be used...
for the symptomatic relief of neuropathic pain associated with herpes zoster infection; its efficacy in this condition led physicians to propose its use in other types of neuropathic pain (14-16). The chosen indications were localized neuropathic pain, and pain in which allodynia predominates and is difficult to relieve.

The purpose of the present investigation was to study clinical practice patterns in the treatment of localized refractory neuropathic pain, for which the 5% lidocaine-mediated plaster represents a new alternative with benefits outweighing risks. This encouraged us to broaden the scope to other etiologies of localized neuropathic pain. Topical treatment in pain centres was analyzed to:

- describe the population and type of neuropathic pain observed;
- evaluate the duration, efficacy and safety of treatment; and
- specify the concomitant administration of coanalgesic and other antineuropathic drugs that may interfere with comorbidity.

### Patients’ characteristics

<table>
<thead>
<tr>
<th>Age, years</th>
<th>Total population (n=431)</th>
<th>Age &gt;70 years (n=102)</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;40</td>
<td>62 (15.2)</td>
<td>–</td>
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<tr>
<td>40–50</td>
<td>86 (21.1)</td>
<td>–</td>
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<tr>
<td>51–60</td>
<td>88 (21.6)</td>
<td>–</td>
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<tr>
<td>61–70</td>
<td>69 (17.0)</td>
<td>–</td>
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<tr>
<td>71–80</td>
<td>62 (15.2)</td>
<td>62 (60.8)</td>
</tr>
<tr>
<td>&gt;80</td>
<td>40 (9.8)</td>
<td>40 (39.2)</td>
</tr>
<tr>
<td>Not recorded, n</td>
<td>24</td>
<td>–</td>
</tr>
</tbody>
</table>

**Sex distribution**

- **Men**: 156 (41.2) vs 32 (36.4)
- **Women**: 223 (58.8) vs 56 (63.6)

**Time since onset of lesion**

- <6 months: 73 (19.5) vs 21 (23.6)
- 1 to 3 years: 112 (29.9) vs 25 (28.1)
- 3 to 5 years: 56 (14.9) vs 8 (9.0)
- 5 to 10 years: 43 (11.5) vs 12 (13.5)
- >10 years: 35 (9.3) vs 13 (14.6)

### Treatments

**Antiepileptics**: 342 (79.4) vs 82 (80.4)

**At least 1 antidepressant**: 194 (45.0) vs 39 (38.2)

**Tricyclic**: 147 (34.1) vs 34 (33.3)

**SSRI**: 69 (16.0) vs 9 (8.8)

**Analgesics**

- **Step I**: 112 (26.0) vs 33 (32.4)
- **Step II**: 247 (57.3) vs 65 (63.7)
- **Step III**: 79 (18.3) vs 21 (20.6)

**Etiologies**

- **Central pain**: 13 (3.0) vs 3 (0.7)
- **Peripheral pain**: 418 (97.0) vs 99 (23.0)
- **PHN**: 89 (20.6) vs 53 (12.3)
- **Post surgery**: 325 (75.4) vs 44 (10.2)
- **Post traumatic**: 99 (23) vs –
- **Cancer treatment related**: 32 (7.4) vs 2 (0.5)
- **Others**: 4 (0.9)

**Allodynia (n=243)**

- **Thermal (hot/cold)**: 8 (3.2)
- **Dynamic mechanical**: 179 (73.6)
- **Both**: 56 (23.0)

**Sensory disorders (n=168)**

- **Hypoesthesia**: 139 (82.7)

Data presented as n (%) unless otherwise indicated. PHN Postherpetic neuralgia; SSRI Selective serotonin reuptake inhibitor

### METHODS

The present study was a retrospective observational investigation of patients with refractory neuropathic pain who had been treated in pain centres, between 2001 and 2006, with 5% lidocaine-mediated plaster (Versatis, Grünenthal GmbH, Germany). Each self-adhesive plaster measures 10 cm × 14 cm and contains 700 mg (5% w/w) of lidocaine. It is applied to intact, dry, nonirritated skin for a period of no longer than 12 h. When the maximum recommended dose (three plasters) is applied, approximately 3±2% of the total applied lidocaine dose becomes systemically available. This produces a local analgesic effect by the stabilization of neuronal membranes, which is believed to cause downregulation of sodium channels.

Inclusion criteria were as follows: male or female older than 18 years of age; consulting a specialized centre for localized neuropathic pain; a history of treatment failure due to insufficient efficacy or poor tolerability of previous therapy; and requiring regular application of 5% lidocaine-mediated plaster. Medical records had to be available, with an initial evaluation and at least one follow-up visit, at which the maximum and minimum pain intensity reached per day had been recorded. Cases with insufficient information to provide an accurate clinical picture or response to treatment were excluded.

A standardized grid was drafted and tested in three centres. This grid comprised the following:

- Demographic details.
- Clinical and diagnostic aspects of neuropathic pain: etiology, period of time since onset, duration, severity assessed using a visual analogue scale or numerical rating scale (NRS), concomitant symptoms (allodynia, sensory disorders), and previous analgesic treatments (two questionnaires were often used: the McGill Pain Questionnaire used in the validated French version – the Questionnaire Douleur Saint Antoine [QDSA; 17,18] – and the DN4 Questionnaire used from 2005 [19]).
- Evaluation of efficacy (maximum and minimum pain intensities assessed on either a visual analogue scale or an NRS, and concomalgesic consumption).
- Evaluation of any adverse effects in patients treated with 5% lidocaine-mediated plaster.

Data were collected by eight investigators in July 2007. Authorization was granted by the National Ethics Consultative Committee, which is responsible for all hospitals in France, and the French Commission for Data Processing, Data Files and Individual Liberties. The statistical study was primarily descriptive. The Mantel-Haenszel method was used to evaluate the impact of age on the various parameters. Changes from previous therapies and coanalgesics, before and after treatment with the 5% lidocaine-mediated plaster, were evaluated by McNemar’s test on both the general population and the subpopulation older than 70 years of age. The analysis was performed using SAS version 9.1.3 (SAS Institute Inc, USA) for Windows (Microsoft Corporation, USA). The quality and the consistency of clinical data were reviewed independently.

### RESULTS

**Populations studied**

Eleven centres, including one academic hospital and a regional pain network of 10 centres, participated in the study. They represent a population of two million people, and cover both rural and urban areas. Recruitment was evenly distributed among centres. A total of 431 of 467 dossiers of identified patients could be evaluated.

The remaining 36 dossiers were excluded because they contained insufficient information about diagnosis or the evaluation of efficacy. Most patients were older than 40 years of age (84.7%) and 25.0% were older than 70 years of age. A total of 58.8% of the study population were women and 41.2% were men (Table 1). Most patients (58.0%) were unemployed. Similar numbers of patients were referred to the pain centres by general practitioners (49.7%) and by specialists (50.3%), one-half of whom (53.0%)...
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were surgeons. Elderly patients were mainly referred by general practitioners and young people by consultant orthopedists.

Type of neuropathic pain (Table 1)
Neuropathic pain was diagnosed during the interview, using both a clinical examination and a questionnaire. For the 331 patient dossiers that included the QDSQA, the items electric shock, burning pain, tingling and cramping were reported by 68.3%, 66.5%, 41.4% and 25.4% of cases, respectively. These items were associated in 73.4% of the patients, particularly electric shock and burning pain. The DN4 score was calculated in 81 patients; it was positive (ie, greater than 4) in 78 patients (96.2%), including 14 of 16 patients older than 70 years of age. Sensory disturbances were reported by 38.9% of patients (168/431); 82.7% of these (139/168) suffered mainly from hypoesthesia. Evoked pain (allodynia) was identified in 56.3% (243/431) of the patients, the predominant type being dynamic mechanical allodynia: 73.6% (179/243).

Etiology of neuropathic pain
Eighty-nine patients (20.6%) had PHN. More than three-quarters of the patients (n=329; 76.3%) experienced other types of peripheral neuropathic pain. Postoperative pain – mainly following orthopedic surgery of the upper and lower limbs, but also visceral or spinal surgery – was present in 325 patients (75.4%) with peripheral neuropathic pain, while 99 patients (23%) had post-traumatic neuropathic pain. Conversely, only 32 cases (7.4%) were related to cancer treatment and there was one case of diabetic peripheral neuropathy. Pain of central origin (13 patients; 3.0%) included the sequelae of strokes and spinal cord lesions, with the 5% lidocaine-medicated plaster applied over a restricted allodynic area (Table 1).

Clinical characteristics
The time since onset of the initial lesion responsible for the pain was more than one year in 65.6% of cases and more than three years in 35.7% (Table 1). The duration of pain was less than one year in 38.3% of patients, from one to three years in 30.2% and more than three years in 31.3%. In patients older than 70 years of age, 62.7% had experienced pain for more than one year. The intensity of pain before the institution of topical treatment ranged from a minimum of 5.2±2.4 to a maximum of 8.2±1.6 on an 11-point NRS (Figure 1).

Previous analgesic treatments
Of the total number of patients in the study, 26.0% had been prescribed WHO step I analgesics, 57.3% step II and 18.3% step III. A tricyclic antidepressant had been prescribed in 34.1% of cases, an antiepileptic in 79.4% and a selective serotonin reuptake inhibitor in 16.0%. Transcutaneous neurostimulation was used in 36.0% of cases and other therapies (pharmacological or nonpharmacological) in 37.4% of cases. Most patients (81.9%) had received between two and five different treatments before the institution of 5% lidocaine-medicated plaster. In patients older than 70 years of age, 32.4% took step I, 63.7% step II and 20.6% step III analgesics. A tricyclic antidepressant was prescribed in 33.3% of cases and an antiepileptic in 80.4% of cases. Transcutaneous neurostimulation was applied in 31.4% of cases. Several treatments were sometimes combined.

Treatment
The survey revealed that the mean duration of treatment with 5% lidocaine-medicated plaster was less than three months in 42.1% of cases (45.5% in patients older than 70 years of age), between three and 12 months in 36.9%, and more than one year in 21.1% (22.7% in patients older than 70 years of age). The dosage was one plaster daily in 74% of patients (80.7% in patients older than 70 years of age) and two plasters daily in 13.5% of the total population (12.5% in patients older than 70 years of age). At the end of the investigation, 59.6% of the patients continued treatment with 5% lidocaine-medicated plaster.

Efficacy was evaluated from the change in pain intensity between the beginning of treatment and the last recorded assessment (corresponding to the end of the survey), as well as changes in medication use (analgesics and concomitant agents for neuropathic pain). There was a statistically significant decrease in mean pain intensity: from 5.2±2.4 to 3.1±2.5 (P<0.001) for the minimum value, and 8.2±1.6 to 5.3±2.6 (P<0.001) for the maximum value (Figure 1). A maximum improvement in pain intensity of more than two points between the first and last recorded visit was noted in 73.2% of patients. This two-point improvement was noted at the second visit in 65.6% of cases. For 294 completed dossiers, 45.5% of patients had a pain intensity reduction of more than 50%, and 82.2% had a decrease of at least 30% (Table 2). Only 7.5% of patients noted no improvement. In the population older than 70 years of age, 54.4% of patients experienced a pain intensity decrease of more than 50%, and 83.8% a decrease of at least 30%; only 11.8% of the patients showed no improvement (Table 2).

The reduction in analgesic consumption was statistically significant: 13.2% (P<0.0001) for WHO step I, 23.7% (P<0.0001) for step II and 9.1% (P<0.0001) for step III analgesics (Table 3). The reduction was even greater in the population older than 70 years of age, with a 16.7% benefit for step I (P=0.001) and 32.4% for step II (P<0.0001), but a moderate and nonsignificant improvement for step III analgesics (4.9%; P=0.37). The reduction was also significant for concomitant agents for neuropathic pain: for tricyclic antidepressants, it was 14.9% (P<0.0001), for antiepileptics 20.8% (P<0.0001) and for serotonin reuptake inhibitors 4.9% (P=0.005). In the population older than 70 years of age, the reduction was significantly greater for tricyclic antidepressants (17.7%; P=0.001) and antiepileptics (25.5%; P=0.0001), but nonsignificant for selective serotonin reuptake inhibitors (2.9%; P=0.32; Table 3).

Treatment-related adverse effects were recorded for 39 patients or 9.0% of the population, and were the cause of treatment discontinuation in 3%. The most common effects were erythema (n=19), pruritis (n=11), burns (n=12) and edema (n=4).
TABLE 3  
Evolution of prescription of coanalgesics from the beginning of treatment to the last recorded assessment

<table>
<thead>
<tr>
<th>Treatments</th>
<th>Total population (n=431)</th>
<th>Age &gt;70 years (n=102)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>%</td>
<td>P</td>
</tr>
<tr>
<td>Antiepileptics</td>
<td>-20.8 &lt;0.0001</td>
<td>-25.5 &lt;0.0001</td>
</tr>
<tr>
<td>At least 1 antidepressant</td>
<td>-16.5 &lt;0.0001</td>
<td>-18.6 0.0009</td>
</tr>
<tr>
<td>Tricyclic</td>
<td>-14.9 &lt;0.0001</td>
<td>-17.7 0.001</td>
</tr>
<tr>
<td>SSRI</td>
<td>-4.9 0.005</td>
<td>-2.9 0.32</td>
</tr>
<tr>
<td>Analgesics</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Step I</td>
<td>-13.2 &lt;0.0001</td>
<td>-16.7 0.001</td>
</tr>
<tr>
<td>Step II</td>
<td>-23.7 &lt;0.0001</td>
<td>-32.4 &lt;0.0001</td>
</tr>
<tr>
<td>Step III</td>
<td>-9.1 &lt;0.0001</td>
<td>-4.9 0.37</td>
</tr>
</tbody>
</table>

P values correspond to McNemar test. All analgesic treatments were administered alone or in combination. SSRI Selective serotonin reuptake inhibitor.

DISCUSSION

The present article describes the follow-up of neuropathic pain patients in specialized units. Although retrospective, the study made it possible to assess the value of 5% lidocaine-mediated plaster in patients with neuropathic pain who met precise clinical criteria (diagnosis, etiology and therapy) and represents a preliminary stage before a prospective clinical evaluation.

It was performed five years after the first prescriptions for 5% lidocaine-mediated plaster (2001); hence, the late and restricted use of the DN4 questionnaire, which became available in 2005, and the lack of information about some comorbidity parameters more readily identified today, such as depression, anxiety, sleep disorders and other associated conditions. Incomplete identification of sensory deficits or evoked pain has to be regarded in the context of the use of nonstandardized documentation. However, these results provide an opportunity for therapists to improve the management of pain, its evaluation and follow-up by use of more homogeneous dossier content.

Population

The large cohort of 467 patients recruited from an urban and rural population is representative of patients consulting a specialized pain centre for refractory chronic pain conditions. The age, sex and activities of the present population were similar to those investigated during recent epidemiological studies conducted in France and in Europe (1,2,20). Approximately 25% of patients were older than 70 years of age.

Equal numbers of patients were referred by general practitioners and specialists. There may be several reasons for elderly patients mainly being referred by general practitioners, and young people by consultant orthopaedists. In the case of young people, for example, one reason may have been the prevalence of localized postsurgical or post-traumatic neuropathic lesions, with an early onset that is responsible for considerable disability and that compromises the short-term outcome and resumption of activity as well as long-established collaborations with the surgical teams.

Neuropathic pain

The main indication for treatment with 5% lidocaine-mediated plaster was peripheral neuropathic pain; only 13 patients with central pain were included because of the very limited painful and allodynic area. The clinical characteristics of patients’ neuropathic pain were consistent with the inclusion criteria and the indication for topical treatment – alldynia, burning and electric shock-type pain were reported in most cases. The diagnosis of neuropathic pain was also defined as a DN4 score of greater than 4. This was used by a small number of patients (n=81) because it became available relatively late in the course of the investigation. The score of greater than 4 correlated particularly well with the high incidence of alldynia. The French version of the McGill Pain Questionnaire (18) is not a specific diagnostic tool for neuropathic pain, but the items that are reported with a high prevalence in neuropathic pain patients in the literature (19,21) – ie, burning, crushing, electric shock-type pain, etc – were also frequently observed.

Regarding the etiology of neuropathic pain, the incidence of PHN in the population older than 70 years of age was higher, as is classically described (22). Most patients did not have PHN (only 20.6%), but a localized neuropathic pain syndrome with alldynia. The etiologies most often reported were trauma and surgery, with a clear majority of orthopedic patients. The pain could be mixed (ie, possess both nociceptive and neuropathic components), but in every case it met the criteria for neuropathic pain. This justifies the indication for 5% lidocaine-mediated plasters, which were prescribed against a background of refractory pain (failure or intolerance to previous therapies), ie, the neuropathic pain had the same symptomatic expression pattern as PHN. The lower prevalence of cancer pain in the present observational study group was not consistent with epidemiological or literature data (23-25). This can be partly explained by the fact that cancer pain in France is often managed outside of pain centres, and also that mixed pain is not identified sufficiently often in cancer patients. Pain caused by diabetic neuropathy is a peripheral polyneuropathy that is not usually treated with 5% lidocaine-mediated plasters in France, unlike in other European countries (14).

All patients in the present study had been treated for neuropathic pain with analgesic treatment that was insufficiently effective or poorly tolerated before a complementary topical treatment was prescribed. The number of previous therapies was high (two to five in three-quarters of the patients).

Efficacy

The decrease in NRS scores from the initial high levels (5 to 8; due to the failure of previous treatment) showed that the reduction in pain intensity was statistically and clinically significant for both the minimum and the maximum values. The high percentage (73.2%) of patients relieved by more than two NRS points corroborated the significance of this treatment effect (26). The efficacy evaluation at one month was predictive of efficacy (68%) at following visits, and justified the therapeutic testing routinely performed in clinical practice, ie, a test after one month of treatment to consider whether it should continue.

Efficacy was also confirmed by the high 30% and 50% responder rates. The significance of these values has been accepted in many studies (27). These results are particularly satisfactory because treatment had failed in the selected population.

A reduction in drug consumption was observed in all population samples and especially in the elderly, high-risk – often polymedicated – population. The benefit was particularly high for step II analgesics and the population older than 70 years of age, with its well-documented risks of adverse drug reactions and iatrogenic problems (28,29). There was also a significant reduction in the consumption of coanalgesics for neuropathic pain such as antidepressants, antiepileptics and psychotropics. Although these agents have a relatively satisfactory number needed to treat in these complex therapeutic situations, they often give rise to severe adverse effects (number needed to harm) (30,31) and poor compliance, particularly in the elderly population (32).

Tolerability was good; only minor adverse reactions were related to the use of 5% lidocaine-mediated plaster, similar to results reported in the literature. The mean duration of treatment, performed at a given time in patients who had received this therapy during the five-year inclusion period, was difficult to analyze by retrospective analysis.

The mean dose used of approximately one plaster/day was very similar to doses reported in the literature (9,14), and demonstrates an economic advantage compared with the average cost of the other medicinal products proposed (33). The results suggest that this plaster provides benefit by reducing the prescription of other analgesics and...
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reducing morbidity; many of the prescriptions authorized by health authorities within the scope of the named-patient program were justified because of major adverse reactions. This is particularly true of the high-risk elderly population and in those with impaired vital functions (renal, hepatic, cardiovascular insufficiency, etc) (34).

CONCLUSION
The present retrospective study investigated a large population of patients, diverse in terms of age, place of residence, profession and patient care pathway, who were suffering from refractory, chronic neuropathic pain and had been referred to a specialized pain centre. From an epidemiological standpoint, the patients who benefited most from therapy with 5% lidocaine-mediated plaster were those who presented with post-surgical and/or post-traumatic localized neuropathic pain, in whom more usual therapies provided inadequate pain relief or produced adverse reactions. Observational study of our clinical practices analyzed the value of this therapy, which has been recommended as a first-line treatment by certain expert groups (4,27) as part of our treatment armamentarium for localized neuropathic pain.

We were able to conduct a de facto analysis of the clinical impressions during empirical use of this topical treatment. The results clearly demonstrate efficacy and an excellent safety profile with very few local adverse reactions, as well as a simplification of treatment patterns and a reduction in concomitant medication. The next step is to confirm these results for different etiologies in complementary prospective studies and to demonstrate a good benefit-risk ratio in specific populations.

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REFERENCES