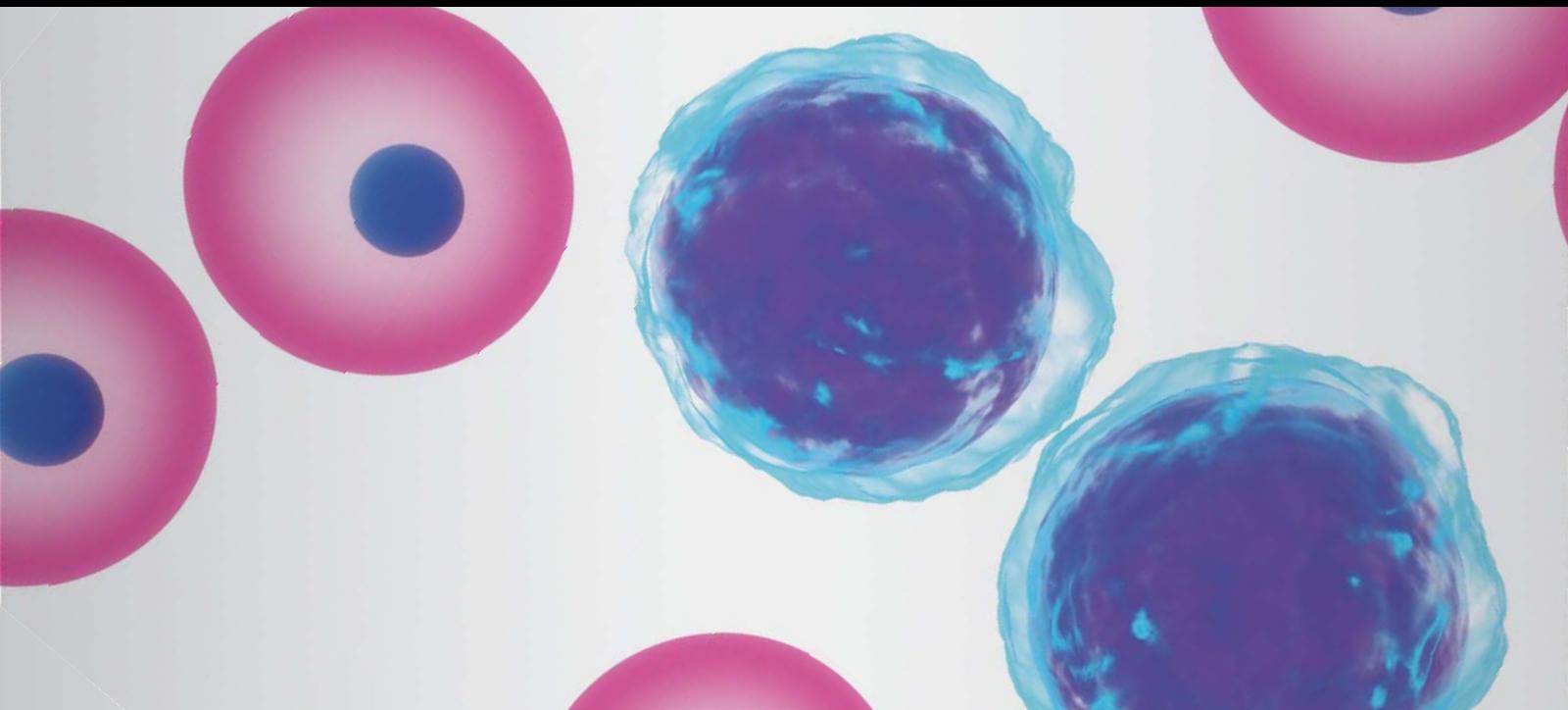


Differentiation and Cell Survival of Myeloid Leukemia Cells

Guest Editors: George P. Studzinski, Geoffrey Brown,
Michael Danilenko, Philip Hughes, and Ewa Marcinkowska





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Leukemia Research and Treatment

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Guest Editors: Krasimir Vasilev, Haifeng Chen,
and Patricia Murray



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Editorial

Differentiation and Cell Survival of Myeloid Leukemia Cells

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The landscape of treatment for acute myeloid leukemia (AML) is currently a grim one. Apart from the AML subtype characterized by the 15:17 chromosome translocation known as acute promyelocytic leukemia (APL), which has shown lasting remissions when treated with all-trans retinoic acid (ATRA), especially when supplemented with the mildly toxic compound arsenic trioxide (ATO), mortality from the disease remains high. Thus, research into novel regimens of therapy is needed to supplement the current reliance on toxic compounds such as AraC and daunorubicin in the treatment of these diseases. Despite some spectacular clinical successes, ATRA-based differentiation therapy is not without its problems due to the induction of potentially life-threatening toxicities and the acquisition of therapeutic resistance in some patients. G. Brown and P. Hughes summarize the current state of knowledge in a comprehensive review entitled “*Retinoid differentiation therapy for common types of acute myeloid leukemia*” and suggest ways in which retinoid-based therapies can be improved by the inclusion of additional agents to increase the sensitivity of APL cells towards ATRA. This is followed in this issue by an example of cutting-edge research into the molecular basis for the efficacy of ATRA/ATO therapy for APL. B. Ozpolat et al. describe that at least part of the anti-APL effect of ATRA/ATO treatment can be explained by the inhibition of protein translation by these compounds. The report of these studies, entitled “*PKC δ regulates translation initiation through PKR and eIF2 α in response to retinoic acid in acute myeloid leukemia cells*” also indicates that ATRA/ATO inhibit the PI3K/AKT/mTOR

pathway, leading to an upregulation of the PKC delta/PKR axis. Both these articles add to our knowledge of the mechanism of ATRA in the treatment of myeloid leukemias and add to the debate on how this treatment may be improved. These new approaches may have importance outside the realms of leukemia treatments and may also lead to improved use of retinoids as therapies for other solid tumors.

Chronic myeloid leukemia (CML) has considerably better prognosis than AML, and as with APL, treatment can be targeted to a hybrid gene, here Bcr-Abl, which results from a reciprocal translocation between chromosomes 9 and 22. Specific kinase inhibitors, such as Imatinib, have been identified and offer front-line treatment for CML. Unfortunately, resistance to kinase inhibitors frequently develops, and G. N. de Moraes et al. in a review entitled “*The interface between BCR-ABL-dependent and -independent resistance signaling pathways in chronic myeloid leukemia*” analyze the known causes for this resistance and offer several feasible molecular targets, which may overcome the development of resistance to kinase inhibitors in CML.

The lessons from these two therapeutic successes are not easily transferred to other subtypes of myeloid leukemia, as the molecular lesions that can be attacked to eradicate the malignant cells appear to be “moving targets.” The mutations vary from AML case to case; secondary mutations appear and are often multiple, so current therapy largely depends on “brute-force” cell killing by administration of highly toxic agents, which have varying differential sensitivity for leukemic and normal cells. It seems, therefore, that alternate

strategies are needed. The “by-pass the genetic lesion” suggested approach (G. P. Studzinski et al., PMID: 16046262) is based on the induction of cell differentiation by compounds such as vitamin D derivatives (VDDs). Here, instead of targeting a genetic lesion, the therapeutic agent induces the expression of transcription factors, which activate alternative but dormant routes to cell differentiation. Unfortunately, as pointed out by Harrison and J. A. Bershadsky in a clinical perspective *“Clinical experience using vitamin D and analogs in the treatment of myelodysplasia and acute myeloid leukemia: A review of the literature,”* attempts to improve the therapy of AML with VDDs alone have not been successful so far. Further research on the mode of action of differentiation-inducing agents is, therefore, needed and the mechanisms involved should be explored in depth, to gain insights how to improve the differentiation regimens. One example of such exploration is provided in this issue by E. Gocek et al., who show multiple levels of regulation of the differentiation process in the report *“Regulation of leukemic cell differentiation through the vitamin D receptor at the levels of intracellular signal transduction, gene transcription, protein trafficking and stability.”* Another report on VDD action *“Cell-type-specific effects of silibinin on vitamin D-induced differentiation of acute myeloid leukemia cells are associated with differential modulation of RXR α levels”* by R. Wassermann et al. is more directly translational. This article addresses the potential pitfall of cell type specificity of biological responses. When attempts are made to enhance the effects of VDDs by combining them with an antioxidant silibinin, enhanced differentiation is seen in one AML subtype, but inhibition of differentiation is seen in another AML subtype. Advance knowledge of such antagonism is essential for future clinical trials.

An exciting recent development in our understanding of the molecular basis of myeloid disorders is the realization that small noncoding RNAs play a role in these diseases. Y. Yuan et al. summarize a variety of such recent data in an article *“MicroRNAs in acute myeloid leukemia and other blood disorders.”* It seems that the information these and other studies described in this issue will provide a beginning of a long road that will eventually lead to much improved therapy, targeted or not, for myeloid leukemia.

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Review Article

Clinical Experience Using Vitamin D and Analogs in the Treatment of Myelodysplasia and Acute Myeloid Leukemia: A Review of the Literature

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Despite progress in understanding the biology of acute myeloid leukemia (AML), and despite advances in treatment, the majority of patients with AML die from the disease. The observation that Vitamin D can induce AML blast cells *in vitro* to differentiate along the monocytic lineage was made 30 years ago; however, it remains to translate this into a clinically meaningful strategy. This is a review of published clinical experience regarding the use of Vitamin D and its analogs, either alone or in combination with other agents, to treat AML. In many of these reports, investigators included patients with myelodysplasia (MDS) as well as AML patients in their treatment cohorts; therefore reports of Vitamin D and its analogs in treating MDS are included. This review documents heterogeneity in selection criteria for patients treated in these studies, the spectrum of Vitamin D analogs used in various studies, and the differing dosing strategies employed by investigators. Despite examples of occasional clinical efficacy, barriers remain to the successful application of Vitamin D in the treatment of MDS and AML. These include the lack of definition of a particularly sensitive target population, and the as yet unknown optimal choice of Vitamin D analog and dosing schedule.

1. Introduction

Despite significant progress over the past several decades in understanding the biology of acute myeloid leukemia (AML) and despite important advances in treatment approaches, the majority of patients who develop acute myeloid leukemia will still die from their disease. A recent analysis by Southwest Oncology Group investigators of data from 1344 patients with newly diagnosed AML enrolled into SWOG studies between 1986 and 2009, excluding Acute Promyelocytic Leukemia, illustrated this point dramatically. In that study, overall survival at 4 years was only 49% for patients with favorable cytogenetics; overall survival was approximately 25% for those with intermediate-prognosis cytogenetics, and only 9% for those with unfavorable cytogenetics [1]. Among the most important advances made in recent decades in the treatment of AML was the recognition of the exquisite sensitivity of Acute Promyelocytic Leukemia (APL) to differentiation therapy using all-trans-retinoic acid (ATRA).

This observation was, shortly thereafter, accompanied by the identification of the Retinoic Acid Receptor Alpha (RARA) gene as a partner in the balanced translocation that drives APL. The dual recognition of a novel mechanism of disease—in the case of APL, overexpression of RARA, a protein that participates in proliferation and differentiation of hematopoietic cells—along with the identification of a compound, ATRA, that is a derivative of a vitamin, has provided a powerful paradigm for cancer therapy. This parallels the dramatic progress that has been made in the past fifteen years in the use of rationally designed, small molecules that target specific intracellular signal transduction pathways in cancer, best exemplified by the development of Imatinib, and followed by many new agents effective in a variety of solid tumors. The remarkable improvement in the rates of remission and survival for patients with APL has stimulated our laboratory, and many others to explore a similar approach for the treatment of non-APL subsets of AML, using vitamin D and analogs (collectively referred

to henceforth as vitamin D and Deltanoids—“VDD”). Investigations have focused on either VDD alone, or in combination with other compounds, in an effort to induce differentiation of leukemia cells, and allow for less toxic chemotherapy, as is the case now in the treatment of patients with APL. The observation that vitamin D can induce acute myeloid leukemia blast cells *in vitro* to differentiate along the monocytic lineage was made approximately 30 years ago [2]; however, it remains to translate this observation into a clinically meaningful strategy. The barriers to translating this observation from the bench to the bedside include the induction of hypercalcemia VDD, as well as the need to identify which, if any, subset of patients with AML will be sensitive to VDD. The current understanding of the biologic basis for VDD effects in AML *in vitro* and *ex vivo* has recently been reviewed in detail [3]. The following is a review of published clinical experience that has accumulated to date regarding the use of VDD either alone or in combination with cytotoxic agents, other differentiating agents, or both. In many of these reports, the investigators included patients with a diagnosis of myelodysplasia (MDS), as well as AML, in their treatment cohorts, and for this reason, the effects of VDD in MDS patients are included in the discussion below.

2. Clinical Reports of Single-Agent VDD Treatment

Given the *a priori* expectation that there would be a low probability of a favorable clinical response to the use of single agent vitamin D or its derivatives to treat AML, it is readily understandable that there are few published reports of their use as single agents in such patients. However, in the late 1980's investigators at Kagawa Medical School in Japan reported a series of 3 patients treated using oral administration of from 4.5 up to 15 micrograms/day of 1 alpha (OH) vitamin D3 [4]. Two of these patients carried a diagnosis of AML, and the third patient had a diagnosis of the myelodysplastic syndrome refractory anemia with excess blasts. The authors reported a decrease in bone marrow blast percentage for each of the three patients, with transient hypercalcemia developing in one patient and resolving within three days of cessation of vitamin D3. Shortly thereafter, Takahashi and colleagues [5] reported their experience using alfalcacitol in a cohort of 13 patients, of whom two patients had AML—one patient with APL, and one with FAB-M4 histology by report. Patients received single-agent alfalcacitol at doses that ranged from 0.25 microgram/day up to 10 microgram/day orally, for at least one month of therapy; drug was administered only intermittently in order to avoid hypercalcemia. The authors reported that the patient with AML FAB-M4 had a minor response, utilizing response criteria developed by Koeffler [6]; the patient with APL did not respond. Of note, among the patients with a diagnosis of MDS, there were 3 partial responses and 2 minor responses. Nakayama reported a single case of AML responding favorably to treatment using 1 alpha(OH)D3 in a brief communication [7]. In this report, the patient was an 81-year-old man with pancytopenia on presentation, whose initial marrow exam reportedly showed 20% myeloblasts.

He was treated using 1 alpha (OH) vitamin D3 at a dose of 6 micrograms daily by mouth as single-agent therapy. He achieved a normalization of blood counts by 4 weeks of therapy, with normalization of marrow morphology. The response was lost after the dose of 1 alpha (OH) vitamin D3 was tapered, and reescalation of the dose failed to achieve a second response. Hypercalcemia did not occur. These appear to be the only reports published to date of single agent VDD used as therapy for AML.

There are at least six reports in the literature of single agent VDD treatment of patients with a diagnosis of myelodysplastic syndrome. In 1985, Koeffler, a leading investigator in this field, and colleagues administered 2 microgram/day of 1,25(OH)2D3 to 18 patients with myelodysplastic syndrome in an attempt to improve their hematopoiesis [6]. They reported that during therapy, peak peripheral blood granulocyte, platelet, and macrophage concentrations were slightly elevated as compared to baseline levels. Eight patients had a partial or minor peripheral blood response during the administration of 1,25(OH)2D3. However, no patient showed significant improvement of peripheral blood cell or marrow blast cell counts by the end of the study (greater than or equal to 12 weeks) as compared to their starting levels. Nine of the 18 patients developed hypercalcemia. In this paper, *ex vivo* treatment of dysplastic myeloid cells did demonstrate differentiation in response to 1,25(OH)2D3. In the 1989 report of Takahashi and colleagues from Japan discussed earlier, in addition to treating two patients with AML using alfalcacitol at doses ranging from 0.25 microgram/day up to 10 microgram/day orally for at least one month, there were 11 patients with various subtypes of myelodysplastic syndromes in the FAB classification schema. Of these, three patients showed partial responses, 2 patients showed minor responses and remainder of the patients did not respond. The hematological improvement of 6 responders was transient, reported by the authors to range from 1 to 2 months; however, one patient with low-risk MDS demonstrated an improvement in blood counts that persisted for more than 1 year ([5], op cit). In 1991, Motomura and colleagues published a clinical trial in a series of 30 patients with myelodysplasia. In 15 patients, 4–6 micrograms/day of 1-hydroxyvitamin D3 was administered, for a median duration of 17 months; a control group had no therapy. Leukemia-free survival of the D3-treated group was reported to be statistically superior to the control group; 7 patients in the control group developed acute leukemia, in contrast to only one in the D3 treated group [8]. In 1998, Mellibovsky and colleagues [9] described their experience in treating a series of 19 patients with low-to-intermediate grade MDS, as determined by the Bournemouth criteria [10], using single agent VDD. The first five patients in this study received 266 microgram calcifediol three times per week; the remaining twelve patients received Calcitriol, in doses ranging from 0.25 microgram/day increasing up to a maximum of 0.75 microgram/day by mouth, provided that plasma calcium concentrations remained in the normal range. Of the total of nineteen patients, 12 were men and seven women; mean patients age was 74 years. Patients were categorized by

the FAB classification scheme; seven had refractory anaemia with ringed sideroblasts, five had refractory anaemia, one had refractory anaemia with excess of blasts, and six had chronic myelomonocytic leukemia. All the patients were in a low to intermediate risk group. Mean follow-up period was 26 months, range 9–75. Responders were defined as having experienced either a granulocyte or platelet count increase by 50%, hemoglobin increase of 1.5 g/dL, or transfusion needs decreased by 50%. Response was observed in 11 of the 19 patients. In the calcifediol treated group, one case responded, three were nonresponders, and one showed progression of disease. One responder was maintained on calcifediol for two years, stopped at his own request, and experienced a fall in hemoglobin, which then improved after resuming calcifediol. In the calcitriol group, 10 were responders (two with major response), and four were nonresponders. No correlation was observed between baseline levels of vitamin D metabolites and the presence of response. No hypercalcemia was observed. These authors concluded that treatment with VDD could induce long-standing responses in blood counts in some low-intermediate risk MDS patients without inducing hypercalcemia.

In 1993, Yoshida and colleagues published a multicenter randomized clinical trial of alfalcacidol (1 alpha hydroxyvitamin D3) meant to evaluate the therapeutic effect of alfalcacidol in patients with MDS. Twenty-three evaluable patients were randomized to receive either a single daily oral dose of 6 micrograms of alfalcacidol, or supportive care as a control. Treatment was continued, whenever possible, for a period of 6 months. Response was assessed by weekly blood counts, clinical course, and repeated marrow examinations. No significant difference was noted between the alfalcacidol and control groups [11]. Three of the 13 patients in the alfalcacidol group and two of the 10 patients in the control group experienced progression of disease. One patient with refractory anemia showed a favorable response to alfalcacidol in all three hematopoietic cell lineages; the response, however, was not maintained, and discontinuation of the drug resulted in a worsening of pancytopenia which was refractory to a second course of alfalcacidol therapy. Hypercalcemia was the major toxic side effect of alfalcacidol therapy. These authors concluded that single-agent alfalcacidol therapy does not yield a significant clinical benefit in patients with MDS. Most recently, Juckett and colleagues at the University of Wisconsin published a phase II clinical trial of single agent doxercalciferol, a vitamin D2 analogue, in 15 patients with MDS [12]. Patients were treated using doxercalciferol 12.5 microgram by mouth daily for 12 weeks. Nine of 15 patients completed the prescribed course, and of these six had stable disease. No patient had a favorable clinical response based upon International Working Group criteria, and eight patients experienced progressive disease while on therapy. Of interest, however, two patients with chronic myelomonocytic leukemia (CMML) had a marked rise in monocytes documented while on study, and one patient experienced hypercalcemia. These authors concluded that a twelve-week course of therapy using single-agent doxercalciferol has very limited activity in patients with MDS.

3. Clinical Reports of VDD Combined with Other Agents

Several groups have reported the clinical use of VDD in combination with other agents intended to force differentiation of pathologic myeloid progenitor cells, in a few series without the inclusion of classical cytotoxic antineoplastic agents. Most commonly, a VDD has been combined with a retinoid, with or without, a third agent or even a fourth agent. In 1991, Blazsek and colleagues in France reported their experience in treating two patients; one patient had APL in relapse and responded to single-agent all-trans-retinoic acid (a relatively new observation as of that writing). However, the second patient that they described in that report carried a diagnosis of MDS, and experienced a sustained hematologic response to treatment using the combination of prednisone with 1 alpha,25-dihydroxyvitamin D3 (1 alpha,25D3) as well as 13-cis-retinoic acid [13]. In this paper, 1 alpha,25D3 was administered at a dose of 0.25 micrograms by mouth three times per day for 30 days, in combination with prednisone 40 mg per day for the first 15 days and cis-Retinoic Acid 20 mg by mouth daily for 30 days. This patient continued the vitamin D for an 8-month period and the retinoic acid for a total of two and one-half years, apparently with a sustained response.

In 2007, the Finnish Leukemia Group reported the results of a phase II clinical trial of the combination of valproic acid together with 13-cis-retinoic acid and 1,25-dihydroxyvitamin D3 in the treatment of MDS, including several cases chronic myelomonocytic leukemia and several cases of refractory anemia with excess blasts-2 (RAEB-2). Valproic acid, an antiseizure medication, has pleotropic effects, including inhibition of the P-glycoprotein; however, it was chosen in this instance because of the observation that Valproic Acid can inhibit histone deacetylase activity. Oral Valproic acid was titrated to achieve serum concentrations between 500 and 700 micromolar, and 13-cis-retinoic acid was administered at a dose of 10 mg by mouth twice daily. The VDD used in this study was Etaalpha at a dose of 13 microgram by mouth daily. There were no episodes of hypercalcemia observed, although the majority of patients experienced the hypertriglyceridemia associated with the use of 13-cis-retinoic acid. Three of the nineteen patients experienced some hematologic improvement—a rise in hemoglobin and neutrophil count in one patient with chronic myelomonocytic leukemia, an improvement in platelet count in one patient with RAEB-2, and an improvement in hemoglobin level in one patient with refractory cytopenias with multilineage dysplasia [14].

A number of groups have attempted to combine vitamin D or its analogs with low-dose conventional antineoplastic chemotherapy in an effort to improve blood counts in patients with either AML, MDS, or both. Most commonly, Cytarabine has been used in combination with a VDD, sometimes with additional agents added to the regimen. In 1988, Hellström and colleagues in Sweden reported a series of 62 evaluable patients with either MDS or AML who were treated with various combinations of lowdose Cytarabine, alpha-interferon (IFN), 1 alpha-hydroxyvitamin D3 (vit D3),

and retinoic acid. The Cytarabine was dosed initially at 15 mg/meter squared body surface area subcutaneously daily, and the interferon was administered initially at 3 million units subcutaneously daily. The 1 alpha-hydroxyvitamin D3 was dosed at 1 microgram per day by mouth in two divided doses, escalated until mild hypercalcemia was noted, with the dose then adjusted to maintain a serum calcium below 2.90 millimoles/liter. The overall response rate was 44%. Of these, 50% responded favorably to the combination of IFN, vitamin D3, and retinoic acid, a combination that they termed IDR. This was felt by the authors to be comparable to the response rate of 43% for low-dose Cytarabine alone [15].

The same group then performed a prospective, randomized Phase III clinical trial, in which 63 evaluable patients with myelodysplastic syndromes and 15 with acute myeloid leukemia were randomized between low-dose Cytarabine (arm A) as a single agent, and low-dose Cytarabine in combination with 13-*cis*-retinoic acid (13-CRA) and 1 alpha-hydroxy-vitamin D3 (1 alpha D3) (arm B). The doses of these agents were the same as used in their prior report described above ([15], op cit). The authors stated that 69 patients were evaluable and of these 18 (26.1%) responded to therapy [16]. The addition of 13-CRA and 1 alpha D3 had no significant favorable influence on neither survival of the patients, remission rates, nor duration of remissions. 12/27 patients (44%) in arm A and 6/29 patients (20%) in arm B progressed from MDS to AML during the course of the study ($P = 0.0527$). Arm B gave significantly more sideeffects than arm A ($P = 0.005$). The authors concluded that a clear cut therapeutic effect of the addition of 13-CRA and 1 alpha D3 to Cytarabine on MDS was not supported by this study. However, they also stated their interpretation that an inhibitory effect on AML development—that is, progression from MDS to AML—in some MDS subgroups could not be excluded by their data [16].

De Rosa and colleagues in Italy reported, in 1992, their experience treating forty-four patients with high-risk primary myelodysplastic syndromes. This cohort was treated with a combination of low-dose Cytarabine, retinoic acid, and vitamin D3 [17]. Morphological subtypes, using the FAB classification schema, were refractory anemia with excess of blasts (RAEB) in 16, RAEB in transformation (RAEB-T) in 20, and chronic myelomonocytic leukemia (CMML) in eight patients. In this series, Cytarabine was administered at a dose of 10 mg per meter squared body surface area twice daily for 15 consecutive days. The 13-*cis*-retinoic acid was given at a dose of 20 mg per meter squared body surface area for 21 days by mouth, and vitamin D3 was given at 0.75 microgram per day in three divided doses of 0.25 microgram per oral dose, also for 21 days. Cycles were repeated every four to six weeks. The therapy was continued in responders until relapse or death. The results were compared to those of a matched control group of 44 patients given supportive care only. In the treated group the overall response rate was 50% (75% in RAEB, 50% in RAEB-T, and 0% in CMML) and the survival was significantly better in the control group ($P < 0.025$). Comparing separately each FAB subgroup suggested that the treatment prolonged the survival in the RAEB-T subgroup ($P < 0.002$), but not the other two groups. The

median duration of response was 15 months and the survival in responders was statistically better than in nonresponders ($P < 0.0001$). Myelosuppression was the most important side effect; however, no deaths related to treatment were noted. These authors concluded that this approach was useful for treatment of patients with high-risk myelodysplasia.

Several years later, Ferrero and colleagues from Italy described their results in treating 53 MDS patients with a combination of *cis*-retinoic acid (cRA, 20 to 40 mg/day) and 1,25 alpha (OH)2 cholecalciferol [(OH)2D3, 1–1.5 micrograms/day] with or without intermittent 6-thioguanine (30 mg/m²/day). The 6-thioguanine was administered only to patients with bone marrow blast counts greater than or equal to 5%. The authors reported that treatment was well tolerated, without major toxicity. Among 25 patients with bone marrow blasts less than 5%, they observed one complete response, eight partial responses, and four minor responses (overall response rate 52%) with a median response duration of 8 months (2 ± 24). Median survival, which did not correlate with response, was projected by the authors, as of the time of publication, to be 76 months overall [18]. Thirty-one patients with BM blast excess (> or = 5%), including three of the previous group who progressed to refractory anemia with excess of blasts (RAEB), were treated with the three-drug protocol. One complete, 12-partial, and six minor responses were obtained (response rate 61%) with a median response duration of 6 months (2–29+). A significant difference in survival ($P < 0.005$) was observed between the 19 responders (median 25 months) and the 12 nonresponders (median 9 months). A reduction in the transfusion need was observed in 41% of the transfusion-dependent patients with blast excess and in 53% of those without blast excess. Therefore, these authors did conclude that combined differentiating therapy seems more effective than single-agent treatments.

The same group subsequently reported their experience treating 26 patients with a diagnosis of AML, as well as 4 patients with MDS ineligible for standard chemotherapy. The regimen used was a combination of 13-*cis*-retinoic acid at 20 to 40 mg by mouth daily, together with dihydroxy-vitamin D3 (Rocaltrol) 1 microgram by mouth daily, along with 6-thioguanine 40 mg by mouth daily, and Cytarabine 8 mg per meter squared body surface area twice weekly by subcutaneous injection. The Cytarabine was administered during the initial two to three weeks of treatment. The median age of this group of patients was 72.5 years, and they had been deemed ineligible for standard chemotherapy. The response rate was 50%, with 27% complete remission. The median survival of the whole group and responders was 7.5 (1–47+) and 16.5 months (3.5–47+), respectively [19].

In a somewhat similar approach, Slapek and colleagues at Tufts University Medical Center published their experience in treating a series of twenty-nine patients, ranging from 62 to 82 years of age, all with a diagnosis of AML, treated using a 21-day course of continuous infusion Cytarabine at 20 mg per meter squared body surface area per day, together with 1,25-dihydroxyvitamin D3 (calcitriol) at a dose of 0.25 microgram by mouth twice daily. The calcitriol was continued until progression of disease or until the patient

went off study. Hydrea was also given daily by mouth at a dose of 500 mg, started one day prior to the initiation of the Cytarabine infusion, and continued for 21 days as well. Ten patients had an antecedent myelodysplastic syndrome. Calcitriol was continued as the only postremission therapy. Thirteen patients (45%) obtained a complete remission, and 10 patients (34%) had a partial response for an overall 79% response rate [20]. There were three early deaths. The median remission duration was 9.8 months. Overall median survival was 12 months for all patients and 14 months for responding patients. All responding patients had marked bone marrow hypoplasia. Twenty patients received part or all of their chemotherapy as outpatients. These authors concluded that this regimen had acceptable toxicity and can result in prolonged remissions in elderly, high-risk patients with AML.

Building upon work described above, Ferrero and colleagues in Europe recently reported on the use of recombinant human erythropoietin in combination with the previously investigated regimen of 13-*cis*-retinoic acid and dihydroxy-vitamin D3 in the management of anemia in MDS patients. They treated 63 MDS patients (excluding refractory anaemia with excess blasts, type 2 (RAEB2)) with the combination of 13-*cis*-retinoic acid and dihydroxy-vitamin D3 with, or without, the further addition of 6-thioguanine. Most patients were categorized as refractory cytopenia with multilineage dysplasia and RAEB1, in the WHO classification scheme, with intermediate 1 International Prognostic Scoring System (IPSS) score [21]. All patients had, at baseline, a hemoglobin of <9.5 gm/dL, and 70% required regular erythrocyte transfusions prior to beginning this therapy. The treatment dosing schedule included 13-*cis*-retinoic acid at 20 mg/day by mouth, and 1,25 di(OH) vitamin D3 at 1 microgram/day by mouth. Eleven of the 16 RAEB1 patients also received intermittent, low-dose 6-thioguanine (40 mg/d for 21 days every 5 weeks). rHuEPO (alpha epoetin in the majority, beta epoetin in a minority of patients) was added at different dosages and schedules according to practices of the different participating institutions and different time periods of treatment. Until 2002, 10,000 unit formulations only were available, and patients received weekly doses ranging from 10,000 units subcutaneously three times weekly up to 10,000 units subcutaneously daily. From 2002 onwards, 40,000 unit formulations of alpha erythropoietin became available, and dosages ranged from 40,000 units/week to 40,000 U every 3 to 4 days. Median weekly dose overall was 60,000 units per week (range: 30,000–80,000 units/week). All patients were treated for at least 6 months, and in the case of response, until disease progression or death. The treatment started within 12 months from diagnosis in 52 patients and between 15 and 48 months (median: 21) from diagnosis in 11 patients was well tolerated, and erythroid response rate according to new International Working Group criteria [23] was 60%:50% in RAEB1 and 64% in non-RAEB patients. The weekly recombinant human erythropoietin dose administered ranged from 30,000 units per week to as much as 80,000 units per week. Median response duration was 16 months, and median survival reached 14 months for RAEB1 and 55 months for non-RAEB patients, with

a significant difference in the latter between responders and nonresponders (median 82 months versus 44 months; $P = 0.036$). No patient experienced clinically significant hypercalcemia.

In a recent novel approach, Akiyama and colleagues in Japan recently reported the results of a Phase II trial of the combination of either vitamin K monotherapy, or vitamin K in combination with 1-alpha hydroxy-vitamin D3 for the treatment of patients with either low or intermediate-1 risks MDS. A total of 24 patients were enrolled into this study in total [22]. The overall response rate to vitamin K monotherapy (45 mg/day) after 16 weeks was 13% (5/38) including 4 cases with improvement of both anemia and thrombocytopenia and improvement in 1 case with thrombocytopenia. They then enrolled and evaluated 20 out of 33 vitamin K-monotherapy nonresponders for vitamin K plus vitamin D3 (0.75 microg/day) combination therapy. The overall response rate at 16 weeks after initiation of vitamin K plus vitamin D3 was 30% (6/20). Hematologic improvement for hemoglobin (Hb) was observed in 6 out of 11 patients (55%) and Hematologic improvement for thrombocytopenia in 3 out of 11 patients (27%), respectively. No Hematologic Improvement was observed for neutropenia in vitamin K monotherapy, nor in response to vitamin K plus vitamin D3 combination therapy. There was a suggestion in the data that International Prognostic Scoring System for MDS scores and absolute neutrophil counts positively correlated with favorable response, and Hemoglobin levels inversely correlated with the response to vitamin K plus vitamin D3 combination therapy. These authors concluded that vitamin K plus vitamin D3 combination therapy appears to be a promising treatment approach for improving anemia and thrombocytopenia in MDS patients with low/intermediate-1 MDS.

4. Discussion

A summation of the numbers of patients treated in the reports cited above documents that more than 85 patients with a diagnosis of AML, and a total of more than 350 patients with a diagnosis of MDS, have been treated using a vitamin D derivative either alone, or in combination with additional agents—as is evident from Table 1. Among this population, response rates have been highly variable, and this may be attributed to several factors. AML is a heterogeneous disease, with dramatic differences in the biologic subtypes, both with respect to the mutational events that drive the disease, as well as the prognosis of the disease based upon cytogenetics, molecular features, and the treatment paradigm employed. At one end of the spectrum, AML with core binding factor mutations generally have a very favorable prognosis when treated using high-dose Cytarabine as a part of therapy; at the other end of the spectrum, AML with MLL-1 gene mutations generally have an abysmal prognosis in the absence of allogeneic hematopoietic transplantation. Many of the reports reviewed herein were published prior to the recognition in the late 1990's of the core-binding factor mutations and their prognostic and treatment implications;

TABLE 1: Clinical reports of vitamin D in the treatment of patients with AML and MDS.

Vitamin D derivative	Dose schedule	Duration of therapy	Concurrent agents	Disorder treated	Number treated	Response	First author	Reference
1 alpha(OH) vitamin D3	4.5 to 15 microgram/day	4 weeks	Single agent	AML	2	Transient decline in marrow blasts	Irino	[4]
Alfacalcidol	0.25 to 10 microgram/day	≥4 weeks	Single agent	MDS	1	Transient decline in marrow blasts	Takahashi	[5]
1 alpha(OH) vitamin D3	1 microgram/day	>4 weeks	Single agent	AML	2	1 minor response		
1,25(OH) ₂ vitamin D3	2 microgram/day	12 weeks	Single agent	MDS	11	3 partial and 1 minor response	Nakayama	[7]
1 hydroxy vitamin D3	4 to 6 microgram/day	17 months	Single agent	MDS	1	1 major response	Koeffler	[6]
Calcifediol	266 microgram 3 days/week	Up to 2 years	Single agent	MDS	18	8 transient, partial responses	Motomura	[8]
Calcitriol	0.25 to 0.75 /day	Up to 2 years	Single agent	MDS	15	Improved PFS compared to control		
Alfacalcidiol	6 microgram/day	6 months	Single agent	MDS	5	1 major response	Melibovsky	[9]
Doxercalciferol	12.5 microgram/day	12 weeks	Single agent	MDS	14	10 responders, 2 major	Melibovsky	[9]
1,25(OH) ₂ vitamin D3	0.75 microgram/day	12 weeks	Prednisone + 13 cis-Retinoic Acid	MDS	13	1 transient response	Yoshida	[11]
1,25(OH) ₂ vitamin D3	13 microgram/day	16 weeks	Valproic acid	MDS	15	No formal response	Petrich	[12]
1 alpha(OH) vitamin D3	1 microgram/day	Variable	Cytarabine ± IFN ± Retinoids	MDS	1	1 major response	Blazek	[13]
1 alpha(OH) vitamin D3	1 microgram/day	Variable	Cytarabine ± IFN ± Retinoids	MDS	19	3 major response	Siionen	[14]
1 alpha(OH) vitamin D3	1 microgram/day	Variable	Cytarabine + 13 cis-Retinoic Acid	AML	15	5 responses (including stable disease)	Hellström	[15]
1,25(OH) ₂ vitamin D3	1 microgram/day	Variable	Cytarabine + 13 cis-Retinoic Acid	MDS	47	22 responses (including stable disease)	Hellström	[15]
1 alpha(OH) vitamin D3	1 microgram/day	Variable	Cytarabine + 13 cis-Retinoic Acid	MDS	69	13 responses	Hellström	[16]
1 alpha(OH) vitamin D3	1 microgram/day	Variable	Cytarabine + 13 cis-Retinoic Acid	AML	15	5 responses	Hellström	[16]
1,25(OH) ₂ vitamin D3	0.75 microgram/day	Until progression	Cytarabine + 13 cis-Retinoic Acid + 6TG	MDS	44	22 responses	De Rosa	[17]
1,25(OH) ₂ vitamin D3	1 to 1.5 microgram/day	Until progression	13 cis-Retinoic Acid + 6TG	MDS	31	19 responses (patients with >5% blasts)	Ferrero	[18]
Dihydroxy-vitamin D3	1 microgram/day	Until progression	Cytarabine + 13 cis-Retinoic Acid	AML	26	15 responses among all treated	Ferrero	[19]
Dihydroxy-vitamin D3	1 microgram/day	Until progression	Cytarabine + 13 cis-Retinoic Acid	MDS	4	15 responses among all treated	Ferrero	[19]
1,25(OH) ₂ vitamin D3	0.5 microgram/day	Until progression	Cytarabine	AML	29	23	Slapak	[20]
1,25 di(OH) vitamin D3	1 microgram/day	>6 months	13-cis retinoic acid, 6TG, Epo	MDS	63	38	Ferrero	[21]
1-alpha hydroxy-vitamin D3	0.75 microgram/day	16 weeks	Vitamin K	MDS	20	6	Akiyama	[22]

this is similarly so for the impact of the MLL-1 gene, to identify just two of many important observations regarding AML. The heterogeneity of MDS is even greater with respect to biologic features, disease natural history, and prognosis, as compared to AML. How the biologic heterogeneity of these diseases impacts upon the clinical responsiveness of an individual patient has not been investigated to date, but it is very likely that adverse molecular events play a role in the relative unresponsiveness of some patients disease to vitamin D therapy. It is clear that some patients described in the clinical treatment reports above have benefited from vitamin D, or vitamin D analog therapy; however, dissecting the specific features that could predict responsiveness is difficult based on the relatively limited biologic data provided in the reports above. *A priori*, one might expect that patients with lower-grade (low IPSS score) MDS would be more likely to benefit from vitamin D therapy than patients with higher risk MDS; however, in the report of De Rosa and colleagues ([17], op cit), that was not the case, and patients with RAEB-T appeared more likely to benefit from vitamin D therapy, when compared to historical controls. However, this has not been formally tested to date in a prospective, randomized clinical trial with fully matched controls. The only prospective, randomized clinical trial summarized above was a small study, not powered to detect a modest impact of vitamin D, and randomized patients between low-dose Cytarabine (arm A) as a single agent, and low-dose Cytarabine in combination with 13-cis-retinoic acid (13-CRA) and 1 alpha-hydroxy-vitamin D3 ([16], op cit). Consequently, the effects, if any, of the vitamin D agent would have been obscured by the use of 13-CRA even if the numbers of patients had been larger.

A further issue in determining the efficacy of a VDD in the treatment of AML and MDS is the heterogeneity in the vitamin D agent chosen, which has varied from one report to another, as well as the dose and dosing schedule variations. The heterogeneity in the choice of vitamin D agent and the dosing schedule makes aggregating the data from the disparate trials extremely difficult. In order to glean more meaningful insight into the potential role for VDD in the treatment of AML and MDS, an optimal VDD, as well as an optimal dosing regimen, will ideally need to be defined in formal Phase I and Phase II studies, and then subjected to testing in a large, randomized Phase III clinical trial. *In vitro*, concentrations of vitamin D that induce differentiation are typically in the range of 1 nanomolar 1,25(OH)2 vitamin D3 [24]; however, conventional VDD administered in a dose that would achieve such a blood level carries a significant risk of inducing clinically significant hypercalcemia. Noncalcemic VDD agents have been developed [25], but to date have not been tested in patients with MDS or AML.

5. Summary

There is extensive preclinical data establishing the ability of vitamin D and its analogs to induce immature myeloid hematopoietic cells to terminally differentiate into mature monocytic cells, at which point these cells are no longer able to proliferate. However, in the 19 reports summarized

in this paper, only a minority of patients experienced either a transient or a persistent improvement in blood counts in response to VDD-based therapy. The observation that a meaningful number of patients did experience some degree of objective, favorable response demonstrates the potential for clinical use of VDD as differentiation therapy in the management of MDS and AML. However, substantial progress remains to be made before this approach can be included in the formal armamentarium of treatments for MDS and AML.

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Research Article

PKC δ Regulates Translation Initiation through PKR and eIF2 α in Response to Retinoic Acid in Acute Myeloid Leukemia Cells

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Translation initiation and activity of eukaryotic initiation factor-alpha (eIF2 α), the rate-limiting step of translation initiation, is often overactivated in malignant cells. Here, we investigated the regulation and role of eIF2 α in acute promyelocytic (APL) and acute myeloid leukemia (AML) cells in response to all-trans retinoic acid (ATRA) and arsenic trioxide (ATO), the front-line therapies in APL. ATRA and ATO induce Ser-51 phosphorylation (inactivation) of eIF2 α , through the induction of protein kinase C delta (PKC δ) and PKR, but not other eIF2 α kinases, such as GCN2 and PERK in APL (NB4) and AML cells (HL60, U937, and THP-1). Inhibition of eIF2 α reduced the expression of cellular proteins that are involved in apoptosis (DAP5/p97), cell cycle (p21Waf1/Cip1), differentiation (TG2) and induced those regulating proliferation (c-myc) and survival (p70S6K). PI3K/Akt/mTOR pathway is involved in regulation of eIF2 α through PKC δ /PKR axis. PKC δ and p-eIF2 α protein expression levels revealed a significant association between the reduced levels of PKC δ ($P = 0.0378$) and p-eIF2 α ($P = 0.0041$) and relapses in AML patients ($n = 47$). In conclusion, our study provides the first evidence that PKC δ regulates/inhibits eIF2 α through induction of PKR in AML cells and reveals a novel signaling mechanism regulating translation initiation.

1. Introduction

Differentiation block or arrest is one of the major characteristics of acute myeloid leukemia (AML) [1]. All-trans retinoic acid (ATRA), an active metabolite of vitamin A, is a potent inducer of cellular differentiation and growth arrest in various tumor cell lines and has been successfully used in the treatment of acute promyelocytic leukemia (APL) [1–5]. The success of ATRA in the treatment of APL introduced the concept of differentiation therapy in treating malignant diseases [1]. Arsenic trioxide (ATO), an FDA approved drug, induces both differentiation and apoptosis in APL and AML cells [5]. The molecular events that are

involved in underlying mechanism of these drugs are not completely elucidated. Understanding the pathways regulating cell proliferation and differentiation may help designing new molecularly targeted therapies in AML.

Translation initiation is a highly regulated process of translation in response to cellular stress and mitogenic stimulation [6–11]. Increased translation and protein synthesis are associated with cell proliferation and malignant disease [6, 7]. Translational regulation plays a vital role in the expression of oncogenic, and growth-regulatory, differentiation, and apoptosis related proteins and is considered one of the important but understudied feature of malignant phenotype [6–10, 12, 13].

Increased activity of eukaryotic translation initiation factor-2 α (eIF2 α) is the rate-limiting step of translation initiation and phosphorylation of eIF2 α at serine 51 converts eIF2 to a competitive inhibitor of eIF2B, resulting in the inhibition of translation [6, 13–16]. Transfection of cells with eIF2 α has been shown to cause malignant transformation of normal cells, suggesting that eIF2 α plays a critical role in cellular pathways controlling cell proliferation [10, 11, 17–27]. Phosphorylation of eIF2 α on serine 51 (Ser51) by eIF2 α kinases, such as PKR, GCN2, and PERK, leads to the increased affinity of eIF2 α for eIF2B and converts the phosphorylated eIF2 α into an inhibitor of the GDP-GTP exchange factor, thereby inhibiting eIF2 α activity and translation initiation [14]. While reducing global translation, phosphorylation of eIF2 α also induces preferential translation of specific mRNAs that assist in the regulation of genes involved in metabolism and apoptosis [25].

We and others reported that ATRA and ATO inhibit translation initiation through multiple posttranscriptional mechanisms, including downregulation of translation factors and upregulation of repressors of translation initiation, such as PDCD4 and DAP5/p97 in APL cells [28, 29]. However, the posttranscriptional mechanisms regulating in APL and AML cells remain largely unknown.

Protein kinase C (PKC) is a family of serine/threonine protein kinases that are key regulatory enzymes in signal transduction [30]. The PKC family is divided in three groups, based on the differences in their sequence homology and cofactors required for their activation. The conventional PKCs (α , β I, β II, and γ) are activated by calcium and 1,2-diacyl-sn-glycerol (DAG), whereas the novel class of PKCs (δ , ϵ , θ , η) are calcium independent but DAG dependent. The atypical PKCs (λ , ζ , and ν) do not require calcium or DAG for their activation. Depending on the cell type, PKC δ can function as a tumor suppressor, a proapoptotic factor, and can regulate cell proliferation and cell survival functions [30]. The role of PKC δ in regulation of translational machinery is not well understood.

In the present study, we investigated the regulation of eIF2 α in APL and AML cells. We found that PKC δ regulates eIF2 α activity by phosphorylating it at Ser-51 through PKR, an eIF2 α kinase. We also found PI3K/Akt/mTOR pathway is involved in regulation of eIF2 α through PKC δ /PKR. Overall, our data provided the first evidence that PKC δ regulates phosphorylation/activity of eIF2 α through PKR in APL and AML cells, revealing a novel role of PKC δ signaling and regulation of translation initiation.

2. Materials and Methods

2.1. Cell Lines and Culture Conditions. The human promyelocytic cell line NB4, (AML-M3 type by the FAB classification) harboring *t*(15;17), was purchased from German Collection of Microorganisms and Cell Cultures (Braunschweig, Germany). AML cell lines including myeloblastic HL60 (M2-AML), myelomonocytic U937 (M4/M5-AML), and THP-1 (M4-AML) cells were purchased from American Type Culture Collection (Manassas, VA). Primary human hematopoietic progenitor cells (CD34+ bone

marrow progenitor cells) were purchased from Cambrex Bioscience Inc. (Walkersville, CA). The cells were grown in RPMI 1640 medium (Life Technologies, Carlsbad, CA) supplemented with 10% heat-inactivated fetal bovine serum at 37°C under 5% CO₂ in a humidified incubator. ATRA, arsenic trioxide (ATO), and rottlerin were purchased from Calbiochem, La Jolla, CA.

2.2. Evaluation of Cell Differentiation. Cell differentiation was identified by examining expression of granulocytic (CD11b and CD11c) and monocytic (CD14) differentiation markers, morphologic changes, electron microscopy images, and reformation of PML nuclear bodies. Cells were collected from 2 to 5 days after treatment and washed with phosphate-buffered saline solution (PBS). Cells (5×10^5) in 100 μ L of PBS were incubated for 30 min with fluorescein isothiocyanate (FITC)—labeled or phycoerythrin (PE)—labeled anti-CD11b, anti-CD11c, or anti-CD14 antibodies (1 : 200; BD Biosciences, San Jose) and for 20 min with PE-labeled isotope control IgG2a (Becton Dickinson) on ice in the dark, as described by the manufacturer. The percentages of CD11b $^+$, CD11c $^+$, and CD14 $^+$ cells were determined by fluorescence-activated cell sorting (FACS) analysis at the Flow Cytometry and Cellular Imaging Facility, The University of Texas MD Anderson Cancer Center. Background staining was determined from the cells stained with the isotype control antibodies.

2.3. Cell Growth Assays. Cells were seeded at 1×10^5 cells/mL in RPMI medium in six-well tissue culture plates (Costar, Cambridge, MA). After dilution with saline from a 10 mM stock in DMSO, cells were treated with ATRA at a final concentration of 1 μ M and incubated for 3 days. The maximum concentration of DMSO was kept at less than 0.001% (v/v). Cell viability was determined by two methods: trypan blue (Sigma) exclusion and the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT; Sigma) dye reduction assay [31]. Briefly, after cells were incubated with ATRA or ATO, 10 μ L of MTT solution (10 mg/mL in PBS) was added to each well (in triplicate). The plates were then incubated for 4 h at 37°C, and the formazan crystals formed were dissolved by adding 100 μ L of 0.04 N HCl in 2-propanol. Plates were read at 490 nm by a microplate reader (Molecular Devices, Sunnyvale, CA). In the trypan blue exclusion test, cells with intact membranes exclude the dye, whereas cells without intact membranes take up the coloring agent. For this assay, a cell suspension was prepared and mixed with an equal amount of a 0.4% trypan blue solution. Cell viability was assessed within 1–2 min by calculating the percentage of unstained (i.e., viable) cells. Untreated controls were used to determine the relative viability in both assays.

2.4. Western Blot Analysis. NB4, HL60, THP-1, and U937 cells were harvested from exponentially growing cell cultures. After treatment, the cells were collected, centrifuged, and lysed with a lysis buffer. Total protein concentration of the resulting whole-cell lysates was determined using a DC protein assay kit (Bio-Rad, Hercules, CA). In the experiments

in which the PKC δ inhibitor rottlerin (4 μ M) were used, the cells were incubated with the inhibitor for 4 h and then with ATRA for the indicated time periods. Aliquots containing 30 μ g of total protein from each sample were subjected to sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE) and electrotransferred to the membranes as described previously [32]. The membranes were blocked with 5% dry milk in TBST (100 mM Tris-HCl [pH 8.0], 150 mM NaCl, and 0.05% Tween-20), probed with primary antibodies diluted in TBST containing 5% dry milk, and incubated at 4°C overnight. We used primary antibodies against eIF2, phosphorylated (p) eIF2e (Ser51), p-eIF4E (Ser209), p-4E-BP1 (Thr37/40), Akt (Ser473), P-PERF (The981), p-P70S6K (Thr421/Ser424) (all, Cell Signaling Technology, Danvers, MA), and p-PKR (Thr446) (Santa Cruz Biotechnology, Santa Cruz, CA); p21^{Waf1/Cip1}, tissue transglutaminase 2 (TG2), and GCN2 also were purchased from Santa Cruz Biotechnology. eIF2 α antibodies were diluted 1 : 1,000 in TBST. After being washed, the membranes were incubated with horseradish peroxidase-conjugated anti-rabbit secondary antibody (Amersham Life Science, Cleveland, OH). Mouse anti- β -actin and donkey anti-mouse secondary antibodies were purchased from Sigma to examine β -actin expression for equal loading. The bands were visualized by the enhanced chemiluminescence method (KPL, Gaithersburg, MD). Images were scanned and quantitated by a densitometer using the Alpha Imager application program (both from Alpha Innotech, San Leandro, CA). All experiments including treatments were repeated at least three times.

2.5. RNA Isolation and RT-PCR Analysis. Cells were seeded in six-well plates (1×10^6 cells/mL) and treated with ATRA at a final concentration of 1 μ M. The cells were collected at various time points, and total cellular RNA was isolated with Trizol reagent (Life Technologies). cDNA was obtained from 5 μ g of total RNA using a Superscript II RT kit (Life Technologies) as previously described [32]. Briefly, 5 μ L of the total 20 μ L of reverse-transcribed product was used for polymerase chain reaction (PCR) in 1 \times PCR buffer containing 1.5 mM MgCl₂, 250 μ M dNTPs, 0.5 units of Taq polymerase (Life Technologies), and 100 ng of primers for PKC δ (Santa Cruz Biotechnology) or β -actin (Sigma-Genosys, Houston, TX). The following programs were used for PCR amplification of PDCD4: 1 cycle at 94°C for 2 min; 35 cycles of denaturation at 94°C for 1 min; annealing at 55–65°C for 1 min; extension at 72°C for 1 min. A cycle of 72°C for 7 min was added to complete the reaction. The reaction products were analyzed on 2% agarose gels containing ethidium bromide, and cDNA synthesis was verified by detection of the β -actin transcript.

2.6. Knockdown of PKC δ and eIF2 α by siRNA. Targeted downregulation of eIF2 α and PKC δ was achieved by using double-stranded small-interfering RNA (siRNA), which were purchased from Santa Cruz Biotechnology and Invitrogen Inc. The control and FITC-labeled siRNA were purchased from Qiagen (Valencia, CA). Transfection of siRNA was

performed by using an optimized nucleofection protocol according to the manufacturer's instructions (Amaxa Inc., Gaithersburg, MD). Exponentially growing NB4 cells were harvested and 2×10^6 cells were used for siRNA transfection experiments. Cells were also transfected with control (nonsilencing) siRNA [35]. Under these conditions, we consistently reached a transfection efficiency of 70% without significant reduction of viability in the cell lines. Untransfected cells and cells treated with transfection reagent or control siRNA were used as controls. Target protein expression was determined 24, 48, 72, and 96 h after transfection by Western blot analysis. Fresh medium containing 1 μ M ATRA was added for the analysis at 72 h. After the treatment, the cells were harvested to assess differentiation markers by FACS analysis.

2.7. Reverse Phase Protein Array (RPPA). The assay was performed at MD Anderson Cancer Center in collaboration with Dr. Steven Kornblau using AML samples under an approval protocol and consent. Paired samples from the same patients isolated at the time of diagnosis and relapse from the same patients were analyzed by RPPA assay as previously described [36, 37].

2.7.1. Statistical Analysis. The results are expressed as means \pm standard deviations of three or more experiments. Statistical analysis was performed using the two-tailed Student's *t*-test for paired data. *P* values less than 0.05 were considered significant. Comparison of the protein levels detected by reverse phase protein array (RPPA) in pairs (each pair are from the same patient) of "newly diagnosed" and "relapsed" AML Samples was analyzed by paired *t*-test to assess the difference.

3. Results

3.1. Evaluation of ATRA- and ATO-Induced Effects. We first determined the effects of ATTA and ATO on cell differentiation and apoptosis of NB4 cells. NB4 cells (M3-AML) are true APL cells that express *t*(15:17) PML-RAR α . NB4 cells that were treated with ATRA (1 μ M) at the indicated time points underwent granulocytic differentiation, as indicated by induction of CD11b and CD11c expression detected by FACS analysis (Figures 1(a), 1(b), and 1(c)). Induction of differentiation was also evidenced by PML nuclear body reformation (data not shown), May-Grünwald-Giemsa staining and transmission electron microscopy that ATRA-treated cells acquired granulocytic morphology: decreased nuclear/cytoplasm ratio, the appearance of cytoplasmic granules, and nuclear lobulation (Supplementary Figure 1). ATO induced growth inhibition by cell toxicity assay (Figure 1(d)) and apoptosis by activation of caspases 9 and 3 as well as cleavage of PARP was detected (Supplementary Figure 2) [5, 38].

3.2. ATRA and ATO Induce Ser-51 Phosphorylation of eIF2 α in APL Cells. Previous studies suggest that growth inhibition and terminal differentiation are associated with inhibition of total protein synthesis and translational suppression by

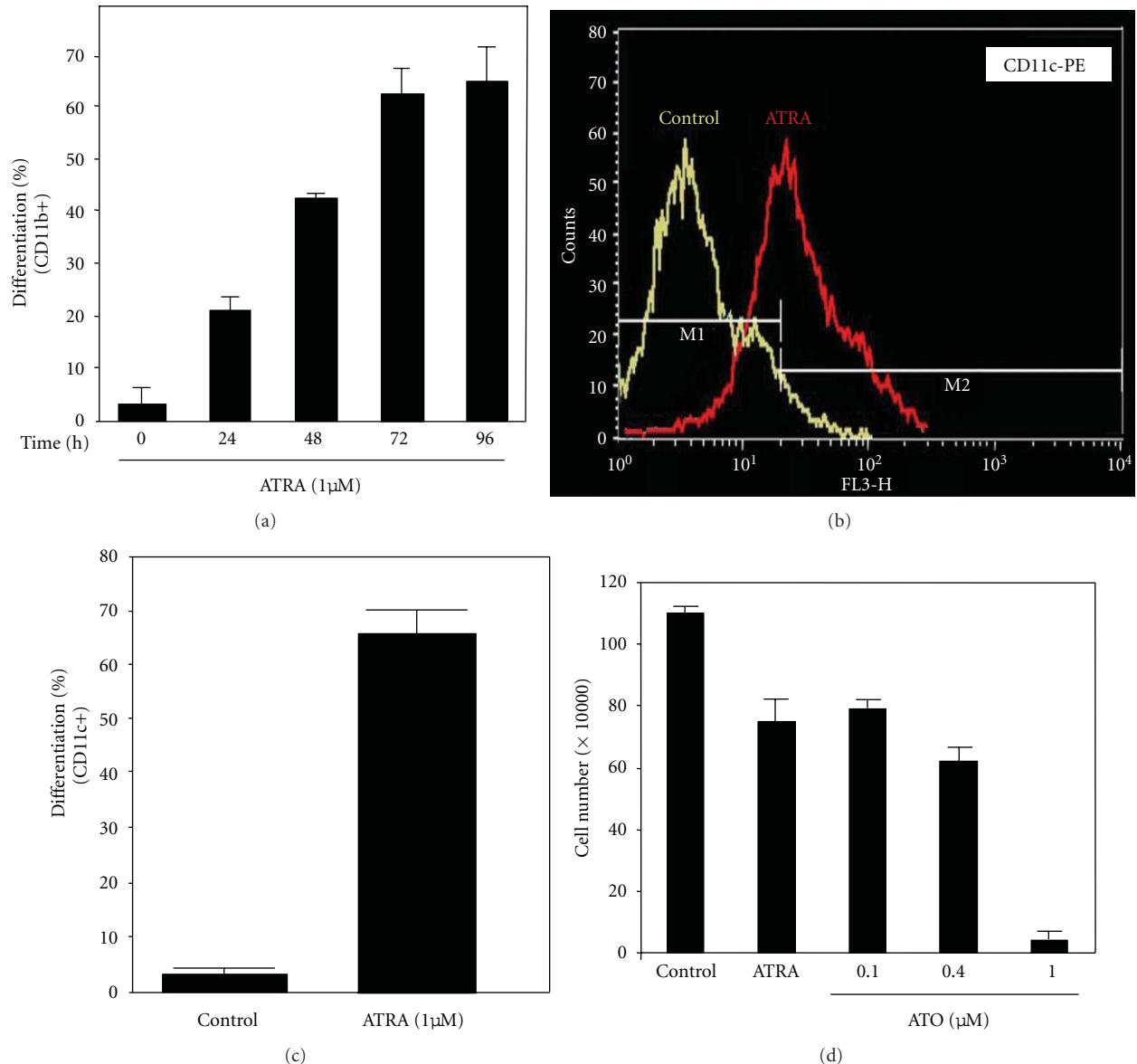


FIGURE 1: ATRA- and ATO-mediated effects in APL cells. APL (NB4) cells were kept in growth medium with ATRA (1 μ M) or without ATRA for the indicated time periods. The cells were stained with monoclonal anti-CD11b (a) or anti-CD11c ((b) and (c)) antibodies to detect induction of granulocytic differentiation and analyzed by flow cytometry. (d) ATO inhibits growth of NB4 cells. NB4 cells were treated with ATO at the indicated concentrations for 48 h and viable cells were counted as described in Section 2. ATO-induced apoptosis was determined by the activation of caspases 9 and 3 as well as cleavage of PARP in NB4 cells (see Supplementary Figure 2 in Supplementary Material available online at doi: 10.1155/2012/482905).

differentiation-inducing agents in APL, AML, and other cells [28, 33, 34, 39, 40]. We have previously reported the evidence that ATRA modulates the expression of proteins involved in suppression of translation initiation during granulocytic differentiation of APL cells [32–34]. Phosphorylation of serine 51 on the alpha subunit of eukaryotic initiation factor eIF2 is a well-documented mechanism of inhibition of translation and global inhibition of protein synthesis under a variety of conditions in variety of cells including APL and AML cells [14–16, 40, 41]. Therefore, we first investigated whether ATRA induces phosphorylation of eIF2 α for inhibition of

translation and protein expression in APL cells. ATRA treatment induced marked phosphorylation (Ser 51) of eIF2 α in the cells at the differentiation-inducing concentrations of ATRA (0.1 or 1 μ M) in NB4 cells (Figure 2(a)). ATRA-induced phosphorylation of eIF2 α started at 24 h, reached its maximum at 48 h to 72 h of treatment, and correlated well with the level of differentiation observed in NB4 cells (Figure 1(a)). Total and unphosphorylated eIF2 α expression were also elevated during differentiation. Densitometric analysis showed that p-eIF2 α /eIF2 α and p-eIF2 α / β -actin ratios were increased about 7- to 10-fold (Figure 2(a), lower

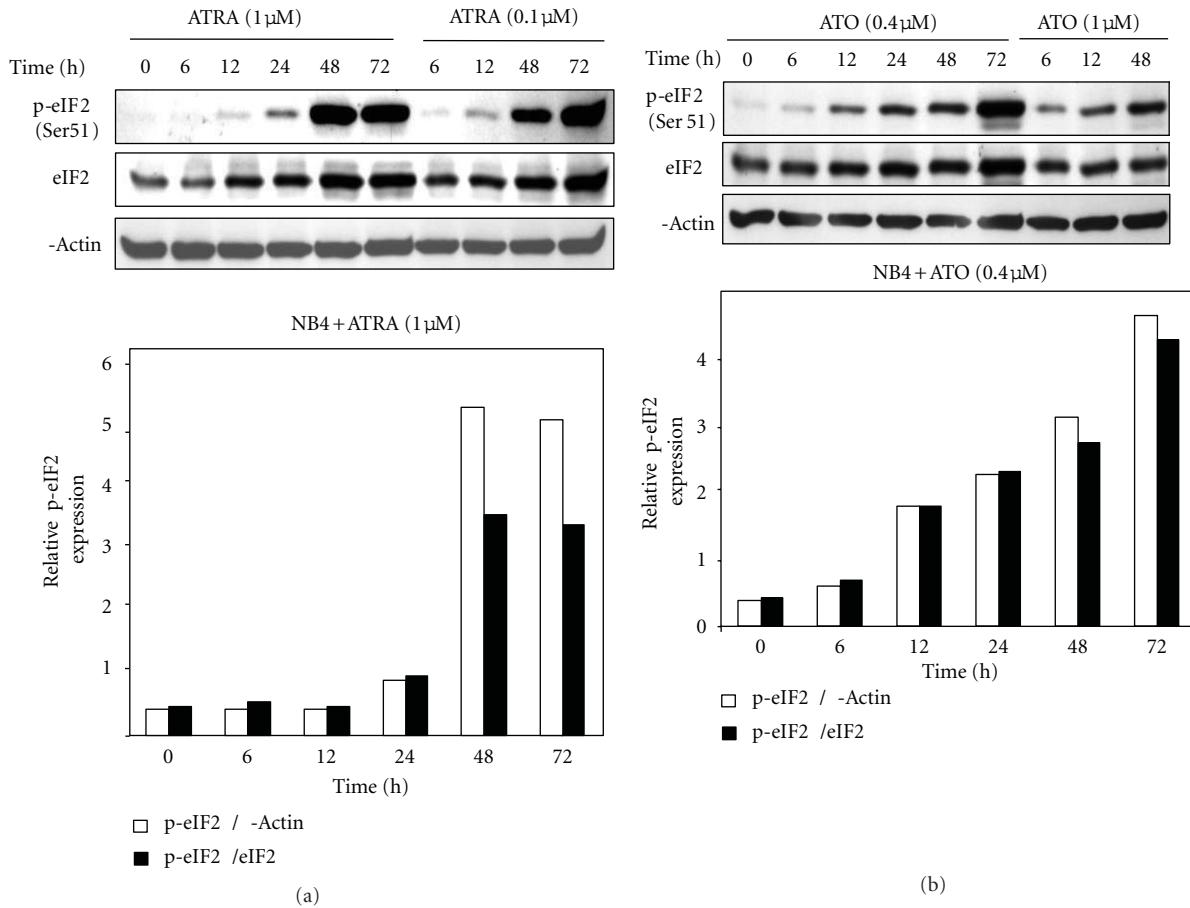


FIGURE 2: ATRA and ATO induce phosphorylation of eIF2 α . (a) NB4 cells were treated with ATRA (0.1 μ M or 1 μ M) for the indicated time periods or left untreated. Equal amounts of total cell lysate were analyzed by SDS-PAGE and immunoblotted with antiphospho (Ser51) eIF2 α or eIF2 α antibodies. β -Actin was used as loading control for the Western blots. The panel (below) represents densitometric analysis shows relative expression of p-eIF2 α after normalization to total eIF2 α and actin levels. (b) ATO (0.4 μ M or 1 μ M) induces phosphorylation (Ser51) of eIF2 α and total eIF2 α in a time-dependent manner in NB4 cells. Densitometry analysis (below) represents relative p(Ser51)-eIF2 α expression seen in the blots.

panel). Exposure of NB4 cells to ATO (0.4 and 1 μ M) for 6–72 h also resulted in Ser-51 phosphorylation of eIF2 α in NB4 cells as indicated by Western blot analysis (Figures 2(b) and 2(c)), suggesting that ATRA and ATO have similar effects in inducing phosphorylation of eIF2 α .

3.3. ATRA- and ATO-Induced Phosphorylation of eIF2 α Is Mediated PKR but Not PERK and GCN2. PKR is an eIF2 α kinase that is known to phosphorylates eIF2 α on the Ser51 residue [25, 42–44]. To determine whether ATRA- and ATO-induced phosphorylation of eIF2 α is mediated by PKR activity in APL cells we examined PKR expression. We found that ATRA and ATO induce marked PKR expression in NB4 cells (Figure 3(a)). We also observed activity of PKR as indicated by p-PKR (Thr448) was also induced (Figure 3(b)). We also observed similar effects in HL60 cells that undergo granulocytic differentiation by ATRA and ATO (Figure 3(c)).

GCN2 and PERK induce eIF2 α phosphorylation in response to stress and unfolded protein response (UPR) [25]. We next examined whether other eIF2 α kinases, including GCN2 and PERK, are involved eIF2 α regulation

during ATRA. Neither ATRA nor ATO treatment resulted in induction of GCN2 and PERK in NB4 cells (Figures 3(d) and 3(e)). We did not detect any change in PERK levels, suggesting no UPR type of response is involved in the process (Figure 3(e)).

To show a link between PKR and phosphorylation of eIF2 α , we knocked down PKR by a specific siRNA and found that inhibition of PKR completely blocked ATRA-induced phosphorylation (Ser51) of eIF2 α in NB4 cells (Figure 3(f)). To further eliminate possibility that PERK is not involved in ATRA-induced regulation of eIF2 α , we knocked down of PERK by a specific siRNA and did not detect any change in phosphorylation status of eIF2 α (Supplementary Figure 3). Overall, these findings suggested that PKR plays a major role in the phosphorylation of eIF2 α .

3.4. ATRA and ATO Induce p-Ser51 eIF2 α and PKR in AML Cells. We next investigated whether ATRA induces phosphorylation of eIF2 α in AML cells during terminal differentiation. It is well established that ATRA induces monocytic differentiation of U937 (M4/M5-AML) [45] and

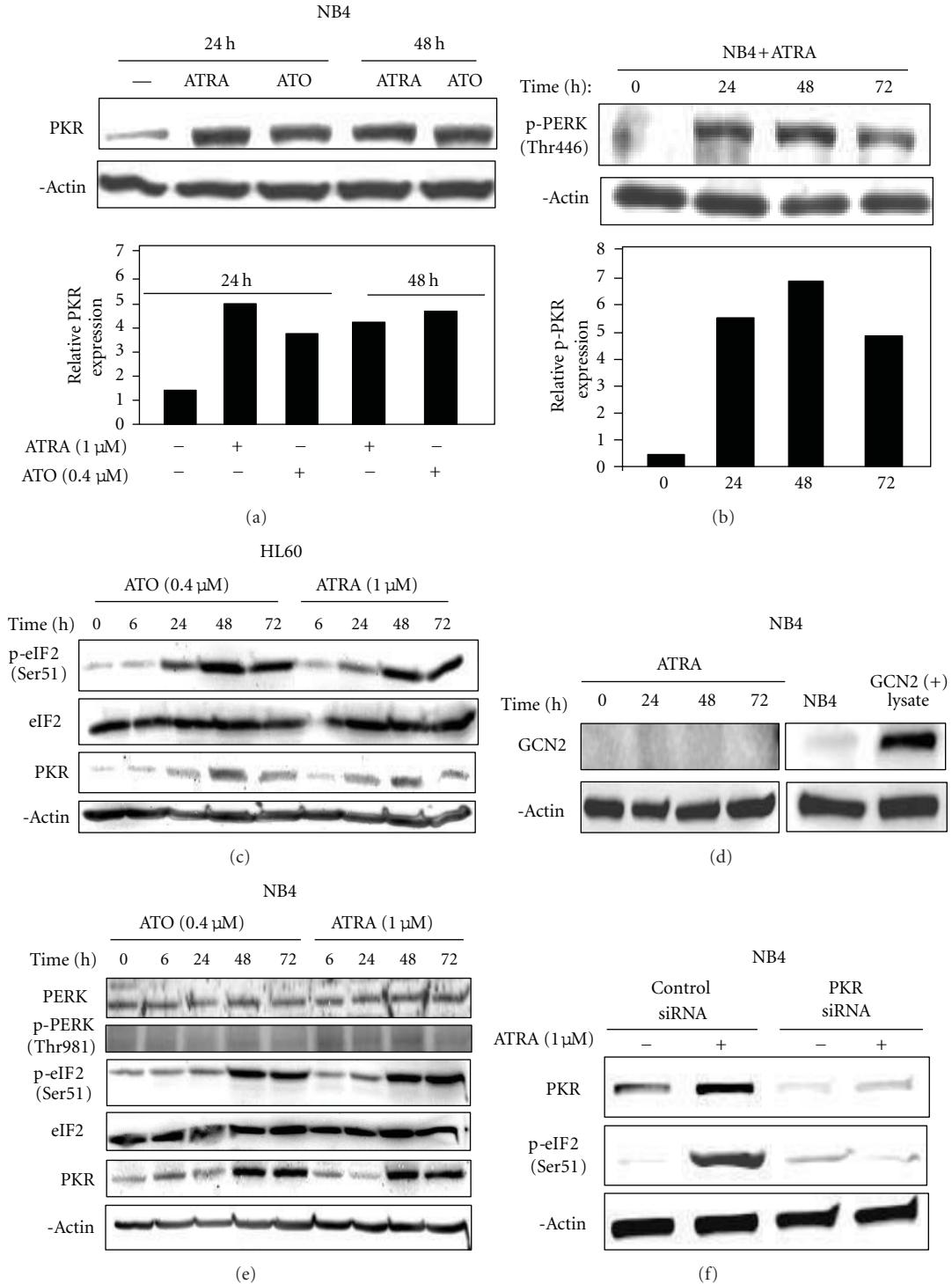


FIGURE 3: PKR regulates phosphorylation (Ser51) of eIF2 α . (a) NB4 cells were treated with either ATRA (1 μ M) or ATO (0.4 μ M) at the indicated time points and PKR levels were detected by Western blot using PKR specific antibody. Densitometry analysis (lower panel) represents relative PKR expression after normalizing to actin expression. (b) NB4 cells were treated with either ATRA (1 μ M) at the indicated time points and p-(Thr446) PKR levels were detected by Western blot. (c) HL60 cells were treated with either ATO (0.4 μ M) or ATRA (1 μ M) at the indicated time points and PERK, p-(Thr981)PERK, p-eIF2 α , and PKR levels were detected by Western blot. (d) Expression of GCN2, an eIF2 α kinase, is not induced by ATRA in NB4 cells. GCN2 positive cell lysate were used as positive controls for anti-GCN2 antibody and Western blot analysis. (e) ATO and ATRA do not induce PERK/eIF2 α kinase. NB4 cells were treated with either ATO (0.4 μ M) or ATRA (1 μ M) at the indicated time points and PERK, p-(Thr981)PERK, p-eIF2 α , and PKR levels were detected by Western blot. (f) Knockdown of PKR by a specific siRNA blocks the ATRA-induced phosphorylation of eIF2 α in NB4 cells by Western blot. Bar graph represents inhibition and the relative expression of PKR (PKR/ β -actin) and p-eIF2 α (p-eIF2 α / β -actin) after siRNA treatments by densitometry analysis of Western blot bands.

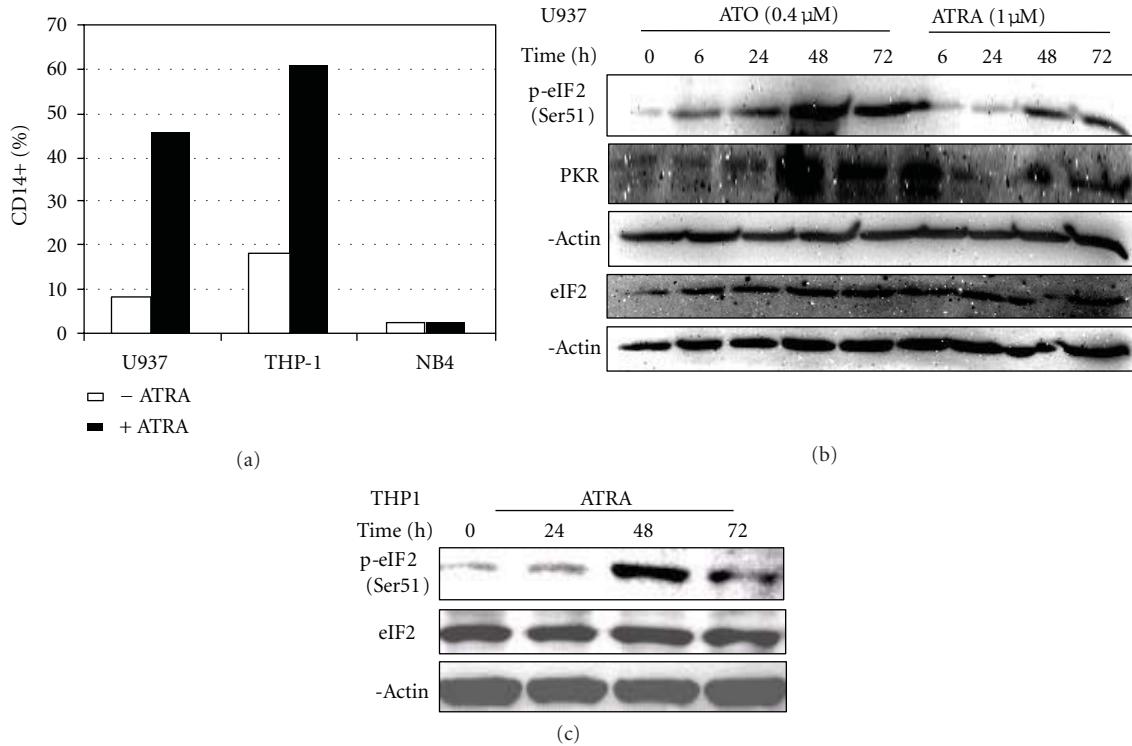


FIGURE 4: ATRA and ATO induce p-eIF2 α during monocytic differentiation of AML cells. (a) ATRA (1 μ M) induces monocytic differentiation in U937 and THP-1 cells but not in NB4 cells as indicated by induction of CD14 expression on the cells detected by FACS analysis. (b) ATRA and ATO induce phosphorylation (Ser51) of eIF2 α expression in U937 AML cells. The U937 cells were treated with either ATRA (1 μ M) or ATO (0.4 μ M) for the indicated time periods and analyzed by Western blot. (c) ATRA (1 μ M) also induces phosphorylation (Ser51) of eIF2 α expression in THP-1 monocytic AML.

THP-1 (M4-AML) [46, 47] cells. Monocytic differentiation of ATRA (1 μ M) treatment of U937 and THP-1 cells was shown by CD14 expression by FACS analysis (Figure 4(a)). ATRA (1 μ M) treatment of THP-1 and U937 cells led to an induction of phosphorylation (Ser51) of eIF2 α , which is associated with marked PKR expression (Figures 4(b) and 4(c)). ATO (0.4 μ M) also induced p-Ser51 eIF2 α and PKR expression. These findings provided further evidence that phosphorylation of eIF2 α is regulated by PKR by ATRA and ATO.

3.5. PKC δ Is Involved in Ser51-Phosphorylation of eIF2 α in APL Cells. To identify the signaling mechanism that regulates/activates PKR in response to ATRA or ATO we examined PKC δ , a serine/threonine kinase that is induced during ATRA-induced differentiation in APL cells [30, 48]. We found that ATRA and ATO induce PKC δ expression, which is closely correlated with the increased phosphorylation (Ser 51) of eIF2 α in NB4 cells by Western blot analysis (Figure 5(a)). Upregulation of PKC δ expression was also associated with phosphorylation of PKC δ on threonine 505 (Thr-505) in the activation loop (Figure 5(b)). RT-PCR analysis suggested that ATRA (1 μ M) induces PKC δ expression at the transcriptional level (Figure 5(c)). ATRA also induced PKC δ expression also correlated with increased phosphorylation of eIF2 α in U937 AML cells (Figure 5(d)),

indicating that PKC activation is not APL cell line specific event.

To determine whether PKC δ plays a role in the regulation of eIF2 α phosphorylation (Ser-51), we inhibited PKC δ using a PKC δ inhibitor rottlerin (at 4 μ M specifically inhibits PKC δ) [49, 50]. Rottlerin treatment blocked basal levels and ATRA-induced phosphorylation of eIF2 α in NB4 cells (Figure 5(e)). Densitometric analysis revealed that inhibition of PKC δ led to 5-fold reduction in p-eIF2 α levels (lower panel). Overall, these findings suggest that PKC δ is involved in regulation of phosphorylation of eIF2 α .

3.6. PKC δ Regulates Phosphorylation of eIF2 α in HSC and AML Cells. We also investigated whether PKC δ regulates eIF2 α activity in normal human hematopoietic stem cells (HSC) isolated from bone marrow of a healthy donor. Western blot analysis showed that inhibition of PKC δ by rottlerin (4 μ M) markedly blocked ATRA-induced phosphorylation of eIF2 α in HSCs, HL60 myeloblastic (AML-M2), and THP1 monocytic AML cells (AML-M5) (Figures 6(a)–6(c)). Rottlerin treatment also caused a slight inhibition of total eIF2 α . These findings indicated that PKC δ plays a role in regulation of eIF2 α not only in APL and AML as well as normal HSCs.

3.7. PKC δ Regulates Phosphorylation of eIF2 α through PKR. PKR, a component of the antiviral defense, is of the kinases

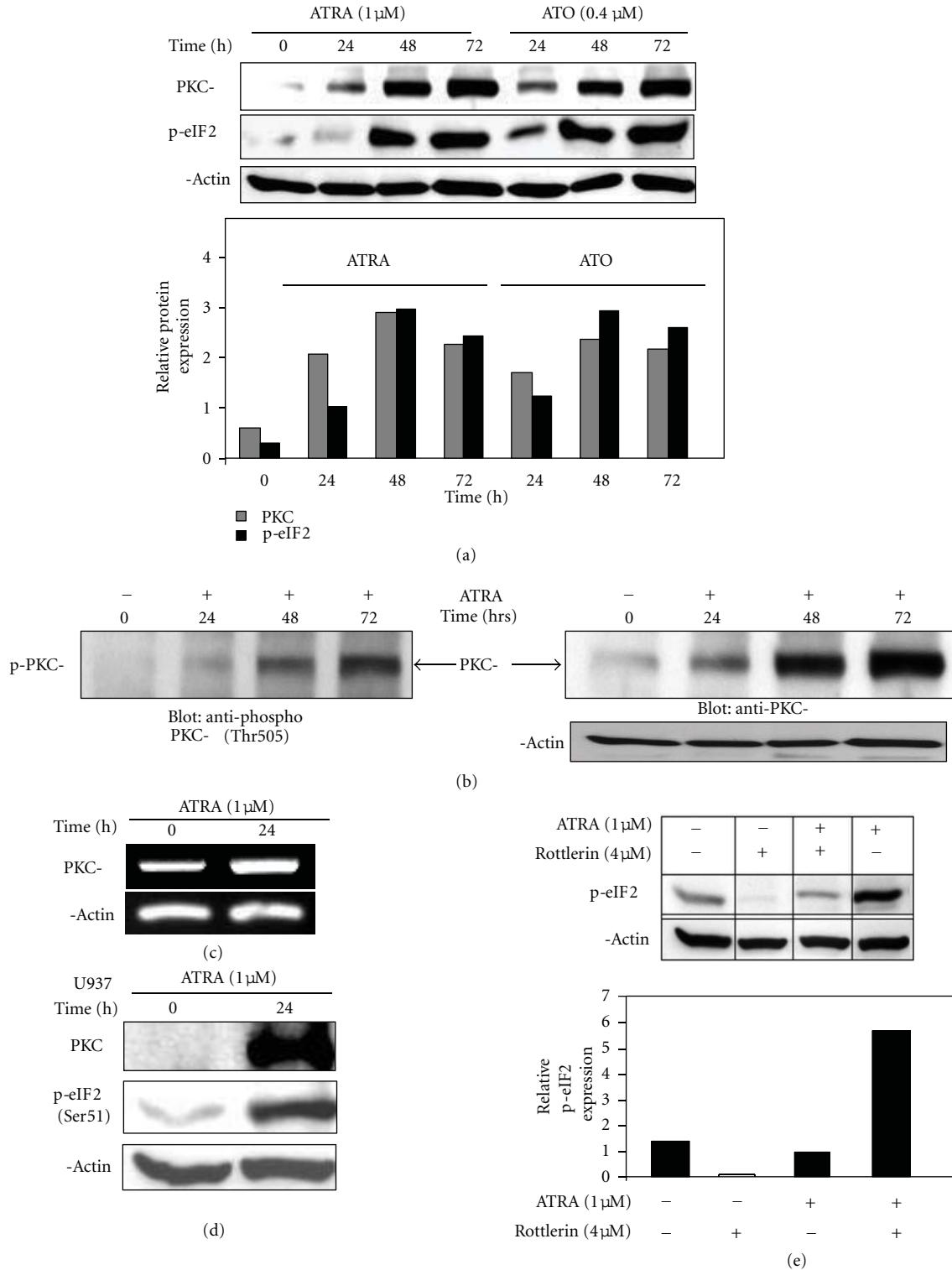


FIGURE 5: PKC δ regulates the phosphorylation (Ser51) of eIF2 α by ATRA and ATO in APL cells. (a) NB4 cells were left untreated or incubated with ATRA (1 μ M) or ATO (0.4 μ M), for the indicated time periods. Equal amounts of total cell lysate were analyzed by SDS-PAGE and immunoblotted with specific antibodies against PKC δ or p-eIF2 α . β -Actin was used as a loading control. (b) ATRA (1 μ M) induces phosphorylation (Thr505) of PKC δ at the activation domain detected by Western blot in NB4 cells. (c) ATRA (1 μ M) induces PKC δ mRNA expression. After 24 h of ATRA treatment, NB4 cells were collected and total cellular RNA was extracted to detect PKC by RT-PCR using specific primers. The reaction products were analyzed on 2% agarose gels. cDNA synthesis and equal loading were verified by detection of the β -actin transcript. (d) ATRA (1 μ M) induces phosphorylation of eIF2 α in U937 (M4/M5-AML) cells. (e) Inhibition of PKC δ by rottlerin inhibits basal and ATRA-induced phosphorylation (Ser51) of eIF2 α . Exponentially growing NB4 cells were collected and pretreated with specific PKC δ inhibitor rottlerin (4 μ M) for 4 h before adding ATRA (1 μ M) into the culture medium. Equal amount of total cell lysates were analyzed by SDS-PAGE and immunoblotted with specific antibodies against p-eIF2 α , as described in Section 2.

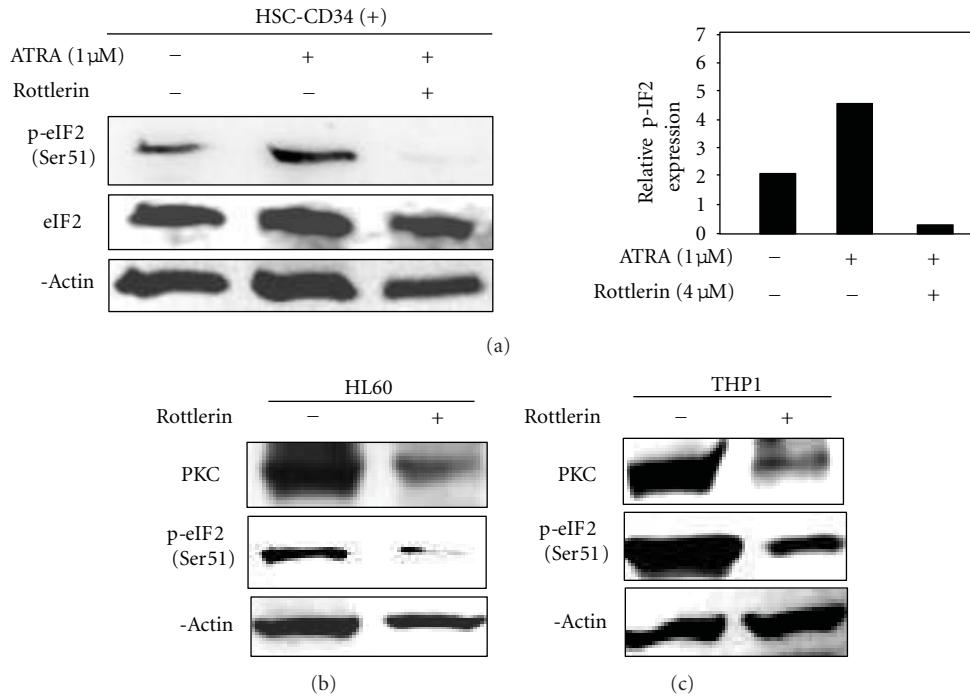


FIGURE 6: PKC δ mediates ATRA-induced phosphorylation (Ser51) of eIF2 α in normal CD34+HCC and AML cells. (a) All cells were treated with ATRA (1 μ M) in the presence or absence of rottlerin for 24 h and p-(Ser51)-eIF2 α was examined by Western blot analysis. ATRA induced-eIF2 α phosphorylation in human normal CD34+ bone marrow HCC cells. Densitometric analysis shows (right panel) relative expression of p-eIF2 α protein levels after normalization to β -actin expression level. Inhibition of PKC δ by rottlerin blocked the Ser51-phosphorylation eIF2 α in HCC cells (a), HL60 (M2-AML) (b), and THP1 (M4-AML) cells (c).

known to phosphorylate eIF2 α at Ser51 to inhibit its activity and translation initiation and protein synthesis [25]. Because our data previously indicated that inhibition of PKR (Figure 3(f)) or PKC δ (Figure 5(d)) prevented basal and ATRA-induced phosphorylation of eIF2 α , we hypothesized that PKC δ , a Serine/Threonine kinase, may be responsible for activation of PKR through phosphorylation on Thr446. Therefore, we next examined whether PKC δ regulates PKR phosphorylation. Pretreatment of NB4 cells with PKC δ inhibitor rottlerin significantly inhibited ATRA-induced Thr446-phosphorylation of PKR (Figure 7(a)). The bar graph next to Figure 7(a) shows densitometric analysis of Figure 7(a). Figure 6(b) confirms that rottlerin treatment inhibited PKC δ in response to ATRA in NB4 cells. To provide further link between PKC δ and PKR we knockdown PKC δ by a specific siRNA and found that inhibition of PKC δ expression and reduced activity (phosphorylation) of PKR (Thr446) (Figure 7(c)) and densitometry, right panel), indicating that PKC δ regulates PKR activity/phosphorylation in APL cells.

To evaluate the role of PKC δ signaling in cell differentiation of APL cells we specifically inhibited PKC δ and assessed ATRA-induced (48 h) differentiation in NB4 cells. Inhibition of PKC δ by rottlerin significantly inhibited ATRA-induced granulocytic differentiation in cells (Figure 8). While ATRA (1 μ M for 48 h) treatment led to about 43.4% cell

differentiation in NB4 cells, preincubation with rottlerin reduced ATRA-induced differentiation to 5.2% ($P < 0.05$). This finding suggested that PKC δ plays a key role in ATRA-induced granulocytic differentiation.

3.8. Inhibition of Mammalian Target of Rapamycin (mTOR) Induces p-(Ser51) eIF2 α through PKC δ /PKR Axis. Inhibition of mammalian target of rapamycin (mTOR) signaling has been shown to potentiate the effects of ATRA and ATO to induce growth arrest and differentiation of APL (NB4) and AML cells in vitro and in vivo models [51, 52]. mTOR signaling is one of the major regulators of translation in cancer cells by altering 4EBP-1 and eIF4E activity [53]. We have previously shown that ATRA and ATO inhibit the activity PI3K/Akt/mTOR and p70S6 kinase [33, 34]. To investigate whether mTOR regulates eIF2 α through PKR we inhibited the PI3K/Akt/mTOR pathway by a specific mTOR inhibitor rapamycin (20 nM). Treatment of NB4 cells with rapamycin for 24 h markedly induced p-PKR and total PKR expression that is closely associated with phosphorylation (Ser51) of eIF2 α (Figure 9(a)). Inhibition of PI3K pathway by a specific inhibitor LY294002 (20 μ M) [33] also resulted in increased p-(Ser51) eIF2 α and PKC δ in NB4 cells (Figure 9(b)), indicating that the PI3K/Akt/mTOR pathway is involved in phosphorylation of eIF2 α by PKC δ /PKR in APL cells.

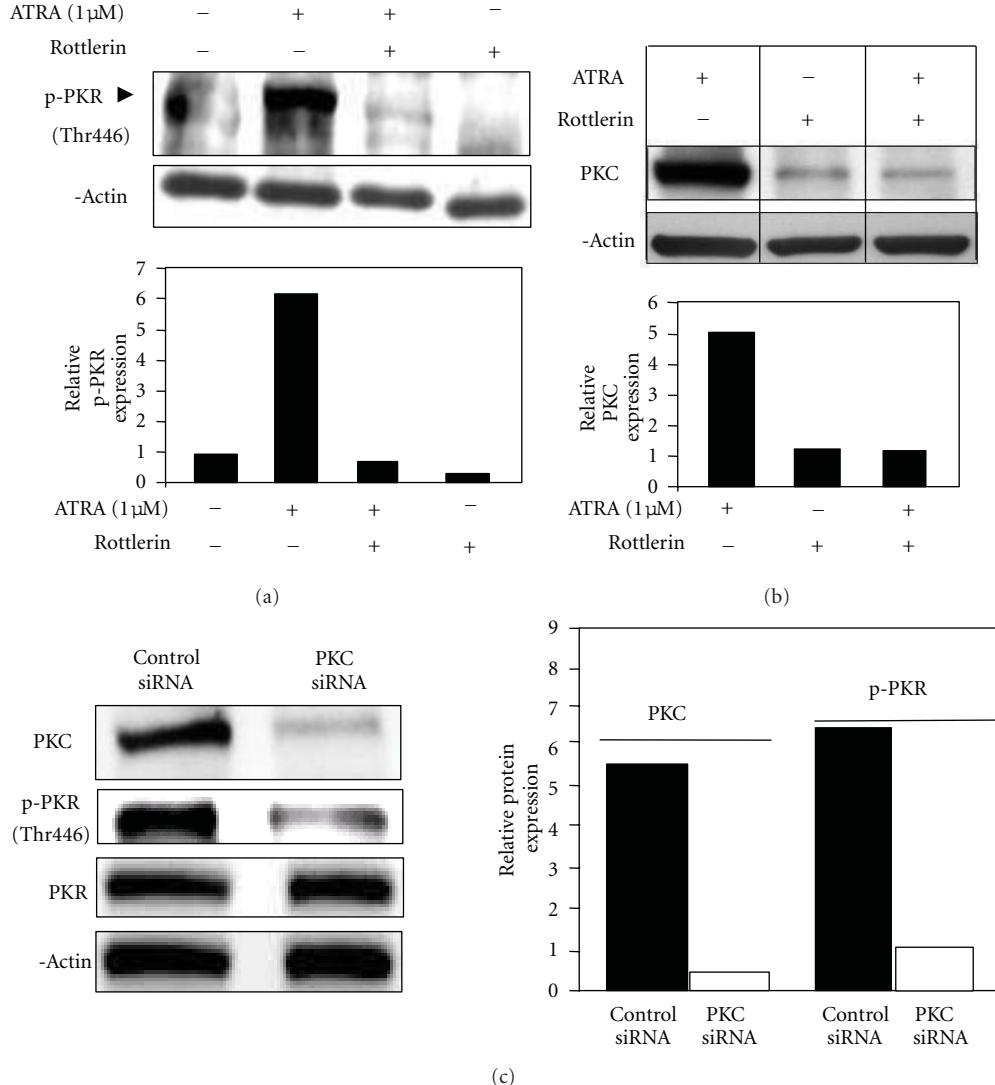


FIGURE 7: Inhibition of PKC δ blocks ATRA-induced PKR and Ser51-phosphorylation of eIF2 α . (a) Inhibition of PKC δ blocked ATRA-induced phosphorylation (Thr446)/activation of PKR. NB4 cells were pretreated with PKC δ inhibitor rottlerin (4 μ M) for 4 h before adding ATRA (1 μ M). (b) Rottlerin (4 M) inhibits ATRA-induced expression of PKC δ in NB4 cells detected by Western blot analysis. (c) Knockdown of PKC δ by a specific siRNA results in reduction of phosphorylation (Thr446) of PKR in NB4 cells. Bar graph represents inhibition of relative expression of PKC δ (PKC δ / β -actin) and p-PKR (p-PKR/ β -actin) based on densitometric analysis of Western blots.

3.9. eIF2 α Phosphorylation Leads to Differential Expression of Important Cellular Proteins. Studies have shown that ATRA regulates important regulatory proteins such as cyclin-dependent kinase inhibitor p21^{Waf1/Cip1} [54], death-associated protein 5 (DAP5/p97) [55, 56], c-myc [23, 57], p-P70S6K [58], and TG2 [46, 47, 59]. To gain some insight about the ATRA-mediated downstream changes in response to eIF2 α regulation we knocked down eIF2 α by siRNA and examined if expression of these proteins is altered. Knockdown of eIF2 α inhibited ATRA-induced upregulation of p21^{Waf1/Cip1}, DAP5/p97, and TG2 (Figure 10(a)). We also observed inhibition of ATRA-induced downregulation of c-myc and p-P70S6K, a downstream mediator of PI3K/Akt/mTOR pathway (Figure 10(a)). These findings

suggest that eIF2 α in fact is involved in expression of some of the proteins involved in cell cycle arrest, proliferation, survival, differentiation, and apoptosis.

3.9.1. PKC δ and p-eIF2 α Protein Expressions Are Associated with Relapses in AML Patient. PKC δ and p-eIF2 α protein expression was assessed by RPPA assay in 47 paired samples from newly diagnosed and relapsed AML patients to determine if the protein level changes when the disease status changes. The comparison of PKC δ (Figures 11(a) and 11(c)) and p-eIF2 α protein (Figures 11(b) and 11(d)) expression and distributions of the protein levels between pairs (newly diagnosed and relapsed) were analyzed. Data suggest that there is a significant relationship between the reduced levels

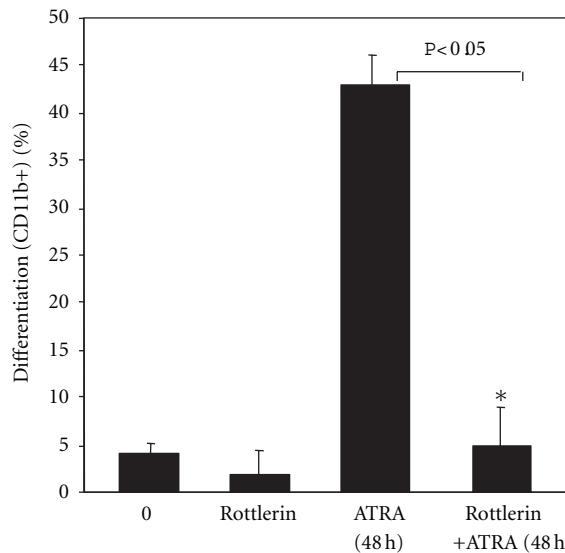


FIGURE 8: PKC δ plays a critical role in ATRA-induced terminal differentiation of APL cells. Inhibition of PKC δ blocked ATRA-induced granulocytic differentiation of NB4 cells. NB4 cells were pretreated with rottlerin ($4\ \mu\text{M}$) for 4 h before adding ATRA ($1\ \mu\text{M}$). After 48 h of ATRA treatment, cells were collected and analyzed for CD11b positivity as a measure of granulocytic differentiation.

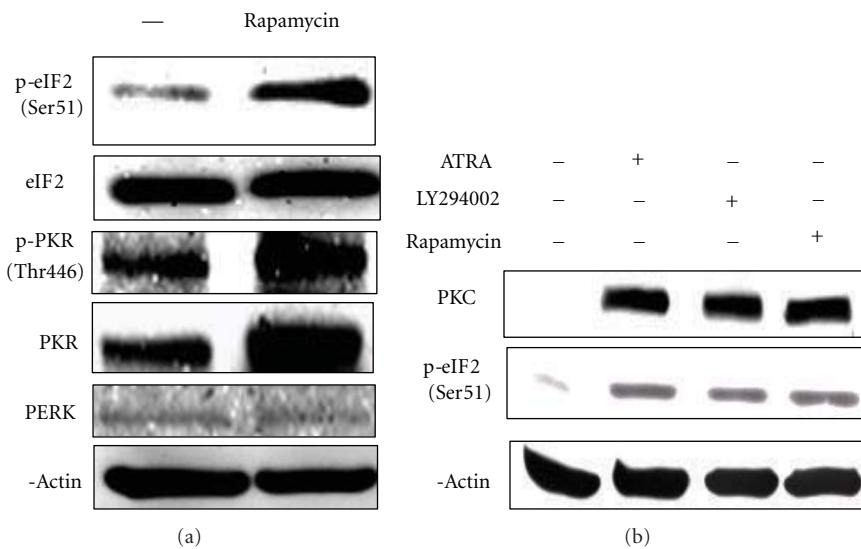


FIGURE 9: mTOR signaling is involved in regulation of (Ser51) of eIF2 α through PKC and PKR. (a) Inhibition of PI3K/Akt/mTOR signaling pathway by rapamycin ($20\ \text{nM}$), a specific mTOR inhibitor, led to induction of phosphorylation eIF2 α in NB4 cells. Rapamycin treatment results in induction of p-(Ser51)eIF2 α and PKR and p(Thr446)-PKR in NB4 cells. (b) NB4 cells were pretreated with a specific PI3K inhibitor (LY294002, $20\ \mu\text{M}$) induced PKC δ and p-eIF2 α levels. ATRA Equal amounts of total cell lysate were subjected to Western blot analysis to detect p-eIF2 α , p-Akt, and PKC δ expression.

of PKC δ ($P = 0.0378$) and p-eIF2 α ($P = 0.0041$) and relapses in AMP patients, suggesting that higher levels of PKC δ and p-eIF2 α is associated with better response.

4. Discussion

Overactivity of translation initiation factors, such as eIF2 α , eIF4E, and eIF4G, results in malignant transformation, indicating that regulation of the activity of these factors is critical

in controlling survival pathways and cell proliferation [6–13, 22]. Previous reports including ours suggest that growth inhibition and terminal cell differentiation are associated with suppression of translation initiation [28, 32–34, 39, 60]. However, molecular mechanisms responsible for regulation of eIF2 α during these events have not been elucidated.

The present study provides the first evidence that PKC δ regulates activity of eIF2 α through induction of PKR, an eIF2 α kinase, but not PERK and GCN2, leading to inhibition

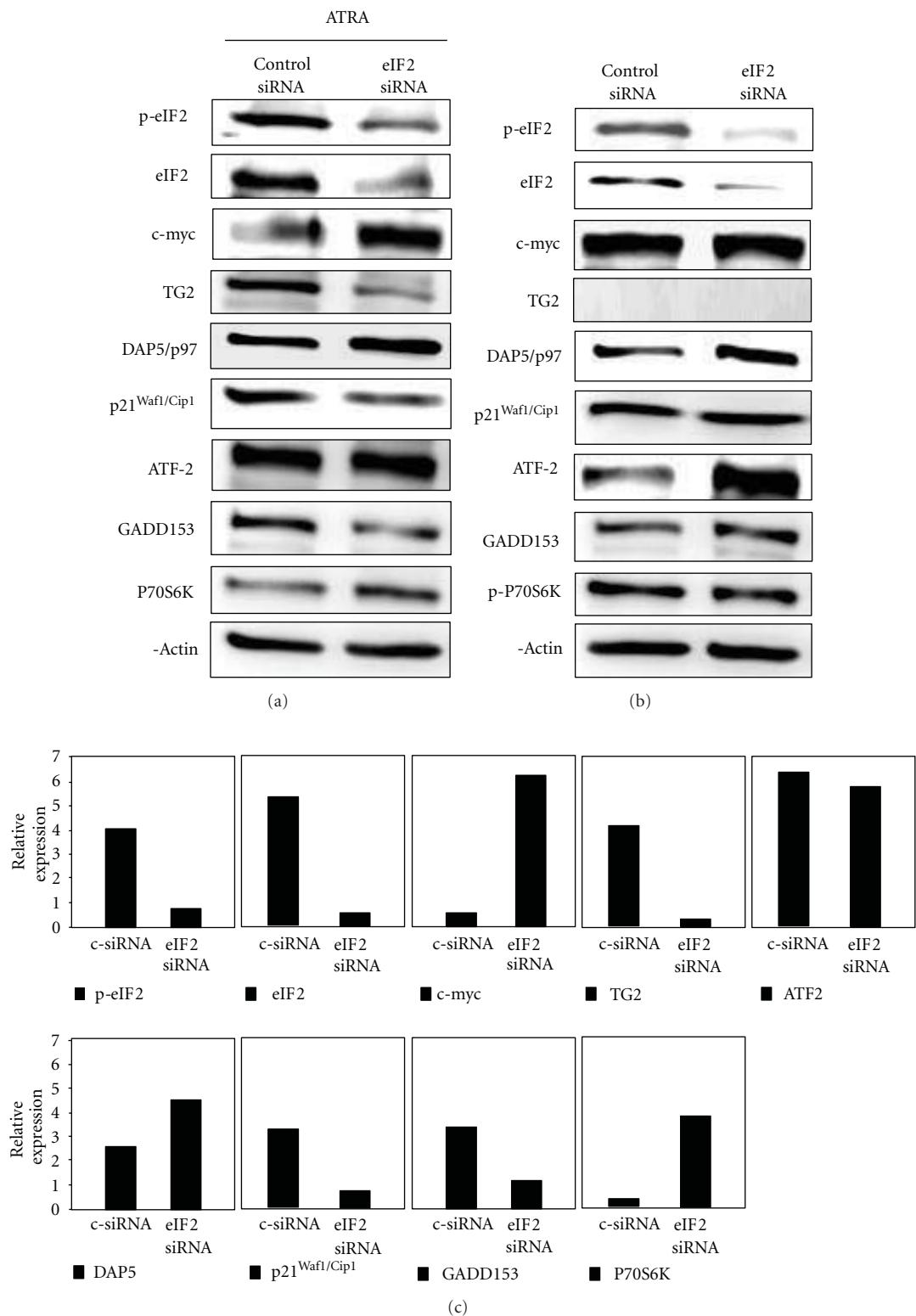


FIGURE 10: eIF2 α is involved in ATRA-mediated expression of c-myc, DAP5, TG2, and P70S6 in NB4 cells. NB4 cells were transfected with control or eIF2 α siRNA for 48 h in the absence (a) or in the presence of ATRA (1 μ M, 48 h) (b). (c) Densitometry analysis (lower panel) represents relative expression of Western blot bands after treatments with control or eIF2 α siRNA in the presence of ATRA. Protein bands were normalized expression based actin levels.

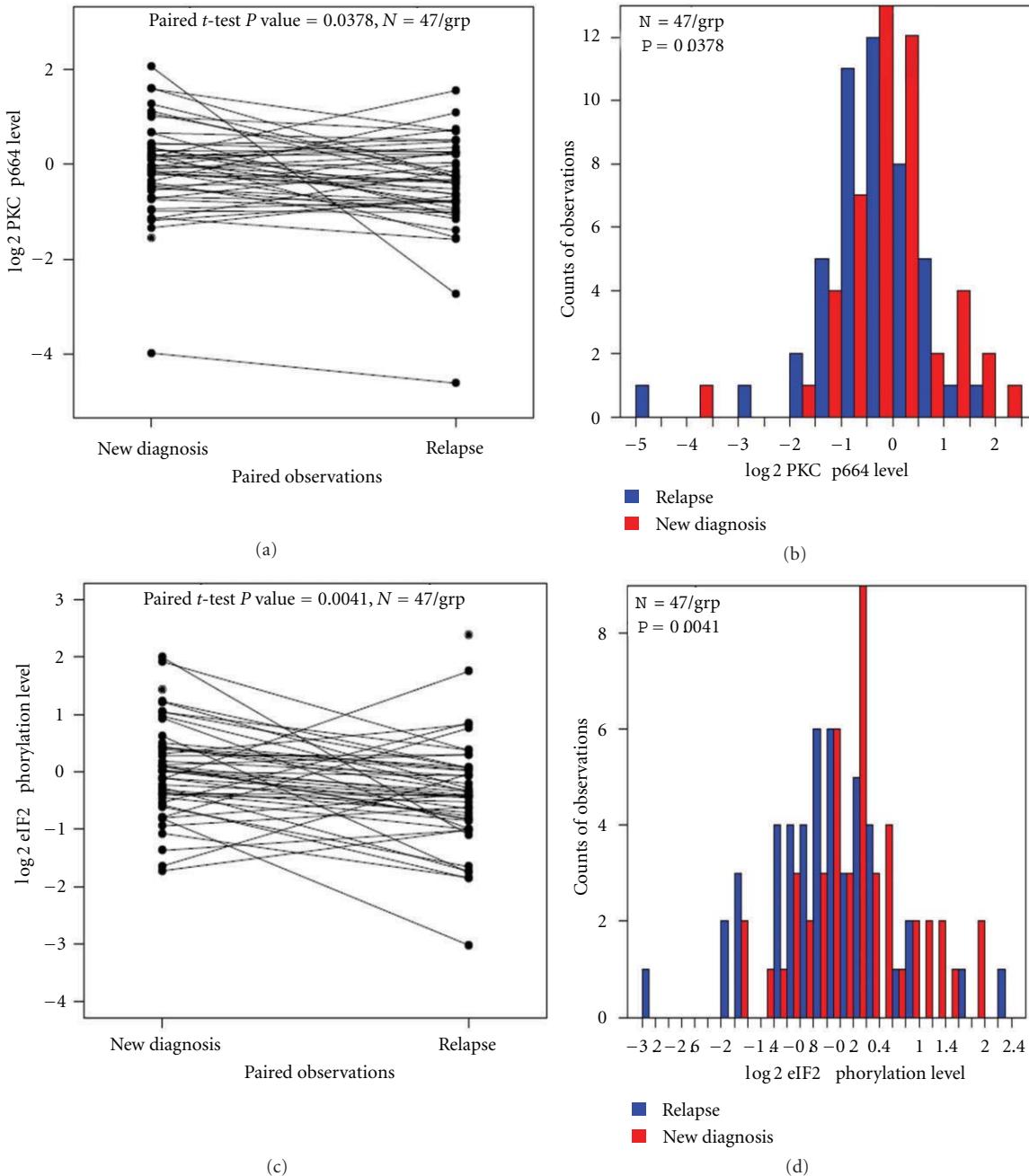


FIGURE 11: Reduced PKC δ and p-eIF2 α protein expression is associated with relapses in AM patients. PKC δ and p-eIF2 α protein expression was assessed by RPPA in 47 paired samples from newly diagnosed and relapsed AML patients to determine if the protein level changes when the disease status changes. The comparison of PKC δ and p-eIF2 α protein expression ((a) and (c)) and distributions ((b) and (d)) of the protein levels between pairs (newly diagnosed and relapsed) were plotted. Data suggest that there is a significant association between the reduced levels of PKC δ ($P = 0.0378$) and p-eIF2 α ($P = 0.0041$) and relapses in AMP patients.

of translation initiation during terminal differentiation of APL and AML cells (Figure 12). Moreover, our study suggested that PI3K/Akt pathway and its downstream mediator mTOR, a major hub of translational control, is involved in regulation of eIF2 α through PKC δ /PKR axis. Overall our findings revealed a novel mechanistic insight on actions of

ATRA and ATO in regulation of eIF2 α , the rate limiting step of translation initiation in APL and AML cells.

PKC δ , a serine/threonine kinase, can function as a tumor suppressor and a proapoptotic factor and can regulate cell proliferation and cell survival functions [30]. Induction of PKC δ has been previously shown in APL cells [48]. PKC δ

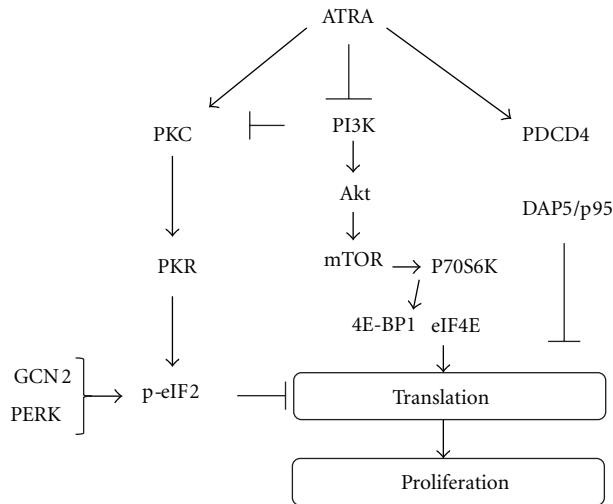


FIGURE 12: PKC δ is involved in regulation of translational initiation by eIF2 α through PKR axis in AML cells. ATRA and ATO inhibit translation initiation by inducing inactivation (phosphorylation at Ser-51) of eIF2 α . Suppression of translation initiation is also regulated by other mediators, including induction of translational suppressors (PDCD4 and DAP5) and inhibition of 4E-BP1 [32–34]. The eIF2 α kinases other than PKR, such as GCN2 and PERK, are not involved in regulation of eIF2 α during ATRA-induced differentiation. eIF2 α is involved in regulation of ATRA-regulated cellular proteins in cell cycle arrest ($p21^{Waf1/Cip1}$), proliferation (c-myc), survival (p70S6K), and apoptosis (DAP5/p97) and differentiation (TG2).

is critical to ATRA-induced terminal differentiation because inhibition of PKC δ by rottlerin resulted in almost complete blockage of ATRA-induced differentiation (Figure 8). Our data also indicated that induction of PKC δ signaling is critical for regulation of eIF2 α , linking for the first time PKC δ with the regulation of translation initiation, which is often over activated in AML cells. Most importantly, our data suggested that PKC δ regulates PKR and eIF2 α and thereby revealing a novel function of PKC δ .

PKR, GCN2, and PERK are eIF2 α kinases that are known to phosphorylate and inhibit the activity of eIF2 α [9, 25]. However, we found that ATO and ATRA treatments induce PKR but not GCN2 and PERK. The activation of unfolded protein response (UPR) is manifested by phosphorylation of protein kinase RNA-like endoplasmic reticulum kinase (PERK) and eIF2 α . In our study we did not detect any change in the activity (or phosphorylation) of PERK on phosphorylation of eIF2 α , suggesting that ATRA and ATO do not induce UPR response. PKR has been shown to be induced by interferon in myeloid leukemia cells [44]. Interferon is known to inhibit translation and protein synthesis during viral infections, limiting production of viral particles. It is possible that ATRA-induced interferon secretion from the cells may lead to upregulation and activation of PKR in APL by autocrine mechanisms. This hypothesis remains to be tested by future studies.

PI3K/Akt/mTOR signaling pathway is overactivated in APL and AML cells and plays an important role in proliferation, drug resistance, inhibition of apoptosis in cancer cells [50, 61]. mTOR signaling is a critical inducer of translational activity by phosphorylating 4EBP-1 and releasing eIF4E from 4EBP1 and increasing activity translation initiation complex [53]. We found that ATRA and ATO inhibits phosphorylation of 4E-BP1 in NB4 APL cells (unpublished observation).

Dephosphorylated 4E-BP1 inhibits translation initiation by binding to eIF4E, which normally binds to the cap-structure of mRNA to form an initiation complex [62, 63]. Reduced phosphorylation of 4E-BP1 by ATRA facilitates its binding to eIF4E, leading to inhibition of eIF4E. We have also shown that ATRA and ATO inhibit the activity PI3K/Akt/mTOR and p70S6 kinase in APL cells [33, 34]. The current study is in agreement with our previous findings that ATRA inhibits translation initiation by multiple mechanisms, including inhibition of initiation factors and induction of PDCD4 and DAP5 (inhibitors of translation initiation), inhibition of p-4E-BP1 and EF4E (Figure 11) [32–34]. DAP5 and PDCD4, a novel tumor suppressor protein, were recently identified as inhibitors of translation initiation. PDCD4 binds to eIF4A and specifically inhibits its helicase activity [64]. DAP5 functions as a repressor of translation initiation by forming translationally inactive complexes with eIF4A and eIF3 [56]. Overall, data suggest that translation initiation and protein synthesis are suppressed by several mechanisms by ATRA and ATO in APL cells.

Several specific mRNAs have been found to be selectively regulated in response to inhibition of eIF2 α . These selectively upregulated proteins include ATF-2, ATF-3, ATF-4, GADD34, and CHOP/GADD153 [25, 28, 65], suggesting that even during inhibition of translation some of the mRNAs are being translated. Our study suggest that eIF2 α is involved in expression of $p21^{Waf1/Cip1}$, DAP5, and TG2 in response to ATRA during differentiation, supporting the hypothesis that subset of mRNAs encoding critical proteins are differentially regulated by eIF2 α . On the other hand, increased expression of eIF2 α in response to growth induction by c-myc [23] and transformed cells [24] suggest that eIF2 α plays a critical role in regulation of cell proliferation and is strictly regulated because of its oncogenic potential.

In conclusion, a better understanding of translation initiation and posttranscriptional mechanisms may help identify novel targets for targeted therapies. Antitumor agents such as rapamycin, a specific mTOR inhibitor, or related compounds that inhibit translation by inhibiting phosphorylation of 4E-BP1 and P70S6K have been shown to induce remissions even in AML patients with relapsed disease, suggesting that the targeted inhibition of mRNA translational pathways might offer therapeutic benefits for patients with certain malignancies.

Authors' Contribution

B. Ozpolat and U. Akar contributed equally to this work.

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Review Article

MicroRNAs in Acute Myeloid Leukemia and Other Blood Disorders

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Common blood disorders include hematopoietic cell malignancies or leukemias and plasma cell dyscrasia, all of which have associated microRNA abnormalities. In this paper, we discuss several leukemias including acute myeloid leukemia (AML) and chronic lymphocytic leukemia (CLL) and identify altered microRNAs and their targets. Immune disorders with altered blood levels of antibodies include autoimmune disorders, such as systemic lupus erythematosus (SLE) with associated anti-self-autoantibodies and immunoglobulin A nephropathy (IgAN) also have related microRNA abnormalities. The alterations in microRNAs may serve as therapeutic targets in these blood disorders.

1. Introduction

MicroRNAs are small (20–22 nt), evolutionarily conserved, noncoding single-stranded RNAs discovered in the 1990s [1, 2], functioning to target 3' untranslated region (UTR) of mRNAs in antisense sequence specific way and regulate genes posttranscriptionally for degradation or translation suppression. MicroRNAs target 1–3% of all eukaryotic genes yet regulating ~30% of protein-coding genes [3]. The miRNAs are first transcribed by RNA polymerase II in the nucleus as large primary transcript (pri-miRNA) [4], either from independent genes or from clustered genes encoding several miRNAs [5] and further processed into ~70 nt pre-miRNA with hairpin structure by Drosha, a RNase III type endonuclease (RN3) in the nucleus. Alternatively, in the nucleus, a small class of “mintron” without the stem-loop and the flanking single-strand structure as in pri-miRNA required for Drosha processing, could be generated by passing Drosha-dependent pathway [6]. In the cytoplasm, ~20 bp miRNA/miRNA* duplex are generated by Dicer, another RN3 endonuclease. One of the miRNA duplex strands is further incorporated into protein-RNA complex called RNA-induced silencing complex (RISC), although in some cases, both arms of the pre-miRNA hairpin could generate mature

miRNAs [7–9]. miRNAs interact with target mRNA by sequence complementarity, and in perfect base pairing usually triggers endonucleolytic mRNA cleavage [10]; however, in most situations, such base pairing is imperfect, resulting in translational suppression. The key component of this RISC machinery is Ago protein family (Ago 1–4), but only Ago 2 is known to have the catalytic enzyme function [11, 12]. Besides Ago proteins, GW182 protein is also recruited to the RISC complex and together localize in cytoplasmic foci called processing bodies (P bodies or GW bodies), where mRNA is sequestered from being translated [13–16]. There are different experimental and bioinformatics approaches to predict miRNA targets. At a minimum, the precise matching to 3'UTR of mRNA in multiple copies should be within the first 2–8 bases from the 5' end of the mature miRNA, called the “seed region” [17–20]. To date, over 2000 human miRNAs have been annotated in the Sanger miRBASE (Release 18, <http://www.mirbase.org/cgi-bin/browse.pl?org=hsa>). The miRNA network is highly redundant, since a single miRNA may have multiple target mRNAs, and in turn, a single mRNA could be targeted by many miRNAs [21]. Various miRNAs have been shown to be involved in a myriad of cellular processes including

differentiation, metabolism, apoptosis, and development [22]. Physiologically, and pathologically, miRNAs have been reported to play roles in cancers, inflammatory responses, diabetes, and autoimmunity [23, 24].

2. MicroRNAs in Hematopoietic Stem Cells

Multiple evidence suggest that microRNAs play a significant role in the posttranscriptional genetic regulation in stem and progenitor cells. They are involved in a number of hematological malignancies such as acute lymphoblastic leukemia, acute myeloid leukemia, chronic lymphocytic leukemia, chronic myelogenous leukemia, diffuse large-B-cell lymphoma, and others [25]. Therefore, miRNA profiling is critical in order to distinguish stem cells of the different origins, developmental stages, and genetic conditions [26]. Furthermore, it can help classify cancer cell samples and develop appropriate therapeutic strategies [27]. Recent studies have demonstrated a causative role for miRNAs in malignant diseases development in the hematopoietic system. For instance, overexpression of miR-155 or miR-29a in the mouse hematopoietic system leads to a myeloproliferative disorder [28] or leukemia [29], respectively. On the other hand, tumor suppressor miRNAs such as miR-15a/16-1 are found to be deleted in a subset of lymphomas [30] and have been shown to cause chronic lymphocytic leukemia in mice [31, 32]. MicroRNA-125b has been demonstrated to cause pathological myeloid cells expansion in a dose-dependant manner [33], and miR-155 is known to induce polyclonal expansion followed by B-cell malignancy development [34]. In another study on human umbilical cord blood, two particular miRNAs-hsa-miR-520h and hsa-miR-526b*- levels appeared to be elevated. Interestingly, ABCG2, an important factor of stem cells maintenance, is a known target of hsa-miR-520h [35].

3. MicroRNAs in the Immune System

Proper regulation of immune response is critical in preventing immunopathology and autoimmune disorders. Studies have implicated important functions of miRNA on hematopoietic development as well as innate and adaptive immune responses. Toll-like receptor (TLR) signaling leads to transcriptional activation of a large class of proinflammatory cytokines as well as multiple miRNAs. For example, miR-146a and miR-155 have been shown to be upregulated upon exposure to LPS in the monocytic leukemia cell line THP-1. More importantly, two key components of TLR4 signaling pathway, TRAF6 and IRAK1 have been verified to be targets for miR-146a [36]. This study for the first time profiled the miRNAs alterations in TLR signaling and proposed the miRNAs as negative regulators of TLR activation. MiR-155 is another well-studied microRNA reported to be activated by several TLR pathways [36, 37], and its negative regulatory role during TLR-mediated activation has also been addressed [38, 39]. More interestingly, IL-10 is shown to inhibit TLR-induced miR-155 [40]. To understand global miRNAs' importance in B and T development, studies were performed in which knocking out Dicer at different stages of B and T

development resulted in blockage of further differentiation [41–43]. In addition, miR-155 has been found to be one of the most important miRNAs in both B and T cells as well as antigen presentation by dendritic cells (DCs) and is required for normal germinal center (GC) response [44, 45], B-cell class switching [46], Th1/Th2 polarization, and Treg development both in the thymus and peripheral [47].

4. MicroRNA in Autoimmune Diseases

Considering the importance of miRNAs in the immune system raises the question whether or not there is direct link between miRNAs abnormalities and immune disorders or autoimmune diseases. Interestingly, the discovery in 2002 of GW bodies (GWBs), where miRNA-mRNA reside for degradation was from serum from an autoimmune patient with motor and sensory neuropathy [48]. Subsequently, anti-GWB autoantibodies in the serum have been identified from patients with various autoimmune disorders [49], indicating an involvement of general miRNA pathway and autoantibody production. Dysregulated miRNA expression has been associated with autoimmunity, for example, miR-146a was underexpressed in PBMC from SLE patients when compared with healthy control. The study further showed that miR-146a is a negative regulator of type I interferon (IFN) pathway by targeting interferon regulatory factor (IRF) 5 and signal transducers and activators of transcription (STAT) 1, thus the decrease in miR-146a may contribute to the increased type I IFN signaling pathway observed in SLE [50]. A recent study in murine models (MRL-lpr, C57BL/6-lpr, and NZB/NZW F1) of SLE using a combination of microarray and real-time RT-PCR approaches, Dai et al. identified that miR-182-96-183 cluster, miR-31, and miR-155 are among those consistently upregulated miRNAs across different genetic background strains of mice [51]. In addition to important contribution of miR-155 to physiological immune response, its activity in autoimmune circumstances was also investigated. A murine experimental autoimmune encephalomyelitis (EAE) model with *mir-155* $^{-/-}$ was shown to be resistant to EAE pathology. Thus, unregulated miR-155 may be a link between inflammation and cancer via inducing a high proliferation rate resulting in increased mutations [52]. The miR-17-92 cluster locating in human chromosome 13q31 is known as an onco-miR, and this genomic region is often amplified in lymphomas and other cancer, and the mature miR-17-92 expression is highly elevated in malignant cells [27, 53–55]. Results showed that miR-17-92 targets phosphatase and tensin homolog (PTEN, tumor suppressor) and Bim (proapoptotic molecule) mRNA directly resulting in lymphoproliferative and autoimmune diseases [56]. In a current study from our lab on microRNA abnormalities in NZB/NZW F1 lupus model by using type I and type III interferons (IFN- α and IFN- λ) as exogenous disease accelerators, we identified upregulation of several microRNAs correlated to disease severity, yet not with the IFN treatment. MiR-15a was one of the most significant elevated microRNAs as autoimmunity developed in these mice and the level of splenic miR-15a was correlated to the level of anti-dsDNA IgG, in addition, the cellular level of miR-15a

was also reflected in the plasma (manuscript accepted for publication).

5. MicroRNAs in Hyperimmunoglobulinemias

Multiple myeloma (MM) is characterized by a clonal expansion of plasma B cells in the bone marrow or in extra-medullary sites which results in high levels of monoclonal immunoglobulins in the serum [57]. Cytogenetic abnormalities are present in many MM cases, characterized by either hyperdiploidy with the presence of trisomies of odd chromosomes or nonhyperdiploidy with chromosomal aberrations and translocations involving the IgH locus on chromosome 14. In addition to these advancements in understanding MM pathogenesis, studies on the role of microRNAs in recent years have shown them to be key players in MM development not only in the sustenance of malignant cells but also in the initiation of malignancy due to methylation of microRNAs that function as tumor suppressors. Studies investigating microRNAs in MM began in 2007 with the discovery that interleukin 6 (IL-6) indirectly induces the transcription of miR-21 through signal transducer and activator of transcription 3 (STAT3) transcription in the human myeloma cells line. The same upstream enhancer controls miR-21 and STAT3 transcription, and STAT3 controls the transcription of survivin, Bcl2, and Mcl-1. Thus, Stat3 exerts its antiapoptotic affect through the induction of miR-21 [58]. A microRNA microarray analysis in 20 myeloma samples revealed that miR-335 and miR-342-3p were upregulated and may be involved in plasma cell homing and other interactions in the bone marrow [59]. Subsequent studies uncovered various microRNAs that are key players in MM. For example, miR-106b-25 cluster, miR-181a/b, and miR-32 target a histone acetyltransferase, P300/CBP-associated factor (PCAF) that reversibly acetylates transcriptional regulators including p53, thus accounting for the low levels of PCAF observed in MM cells. Also miR-17–92 downregulates Bim, a proapoptotic molecule, and miR-19a/b target SOCS-1, a silencer of the STAT3, thus enhancing the oncogenicity of MM cells [60, 61]. As seen in CLL, miR-15a/16-1 is seen to be downregulated in multiple myeloma [62]. Normally encoded within the DLEU2, a gene frequently deleted in lymphocytic leukemia, miR-15a/16-1 activity is central to the antiproliferative activity of DLEU2 [63], as miR-15a/16-1 inhibits cyclinD1, cyclinD2, and CDC25a [62]. Also, several microRNAs have been seen to target the p53 gene. For example, miR-25 and miR-30d are increased in MM and target the 3'UTR of the p53 gene [64]. Also, MM cells have low levels of miR-192, miR-194, and miR-215 which targets MDM2, a p53 antagonist, thereby lowering p53 levels and increasing oncogenic potential [65]. Moreover, the promoter of miR-34b/c, a transcriptional target of p53 was found to be hypermethylated and thus inactivated in multiple myeloma cell lines. Such epigenetic modifications are observed to be causal in other microRNAs as well. Hypermethylation of the promoters of various tumor suppressors such as miR-124-1 (a target of CDK6) [66], miR-203 (a target of cyclic-responsive element-binding protein which increases proliferation) [67], and miR-29b (a target of Mcl-1 which

antagonizes IL-6) increase the tumorigenicity of myeloma cells [68]. Extranodal marginal zone lymphomas are most associated with mucosal-associated lymphoid tissue (MALT) and are characterized by clonal proliferation of plasma cells that produce the immunoglobulin A isotype. Investigations involving microRNAs have found the miR-203 promoter to be hypermethylated in samples of gastric lymphoma, and this microRNA targets the c-abl1 oncogene, thus enabling tumor growth and proliferation [69]. In addition, miR-150 and miR-155 were upregulated, while miR-184, miR-205 and miR-200a/b/c (which targets cyclin E2) were downregulated [70]. In another hyperimmunoglobulin disorder, Immunoglobulin A nephropathy (IgAN) is characterized by the deposition of immune complexes in the kidney mesenchyme causing renal injury and usually coincides with mucosal infections [57]. These immune complexes are composed of IgA1 molecules that are galactose-deficient, causing a conformational change in the molecule, and autoantibodies (IgA or IgG) form to its exposed epitopes [71]. Since miR-155 and miR-146 are involved in B lymphocyte development, their levels were examined in 43 IgAN biopsy specimens and urine samples. The results showed that miR-146 and miR-155 were high in IgAN biopsy and urine sediment, suggesting their role in IgAN pathogenesis [72].

6. MicroRNAs in Acute Myeloid Leukemia (AML)

MicroRNAs (miRNAs) have been well studied in various cancers including leukemias [73, 74]. Acute myeloid leukemia (AML) is a hematopoietic progenitor cell-originated malignant disorder affecting the myeloid lineage, which could be classified into subtypes based on the differentiation stages of the malignant cells found in peripheral blood and in bone marrow [75]. Among various symptoms and manifestations identified in association with AML, one of the most common characteristics involved in ~50% of AML patients is a group of cytogenetic abnormalities, which is considered to be contributing to the disease heterogeneity and with prognostic significance [76]. Other AML patients without detectable chromosomal abnormalities may display mutations or dysregulations in specific genes, a signature ubiquitously found in cancers [77–79]. MicroRNA signatures in AML have been sought, and many groups of researchers performed large-scale profiling of miRNA expression in different populations of AML patients. In the first study where AML patient samples were compared to acute lymphoblastic leukemia (ALL), both groups with similar chromosomal alterations, 27 miRNAs were reported to be different between the two groups [80]. Importantly, miR-146a was inversely correlated to overall survival in both AML and ALL [81]. However, these studies focused on miRNA profile distinguishing AML from ALL, which was not sufficient for understanding the abnormalities of miRNAs expression exclusive to AML.

Another study compared 122 AML samples to CD34+ cells from 10 normal controls. Among the 122 AML samples, 60 cases were untreated and 54 relapsed or refractory [82]. By microarray profiling, 26 microRNAs were downregulated

in AML samples. Several of these downregulated miRNAs in AML were also underexpressed in mature myeloid cells suggesting that miRNAs related to the differentiation patterns in AML (miR-126, miR-130a, miR-93, miR-125a, and miR-146). In correlating cytogenetic abnormalities with miRNA expression, 14 downregulated and 8 upregulated miRNAs were associated with 11q23 translocation versus all other AML, including the downregulation of miR-196 and miR-15a, and overexpression of miR-21 in *t*(6;11) with worse prognosis [82]. In AML patients (*n* = 36) achieving complete remission the levels of miR-15a/16 were upregulated. Subsequently, in 2 patients in which relapse occurred, miR-15a decreased. All-trans retinoic acid (ATRA) *in vitro* treatment in AML cell lines and primary leukemic cells induced miR-15a/16 upregulation, in addition, miR-15a/16 enhanced the effects of ATRA inducing leukemic cell differentiation [83]. Despite the poor overall survival of these AML patients, the study showed several associations between miRNA expression and the outcome of patients, especially the overexpression of miR-199a and miR-191, identified in AML with trisomy 8 and associated with poor outcome. This study was the first to identify the distinct miRNAs profile between AML patients and normal control, and the subsets of miRNAs related to cytogenetic groups and disease outcome [82].

Almost at the same time, a study with 215 heterogeneous AML samples was performed to demonstrate the signatures of miRNAs expression in cytogenetic and molecular subtypes [84]. A group of upregulated miRNAs were prominent in *t*(15;17) cases. In contrast, *t*(8;21) was characterized by downregulated miRNA alterations, for example, tumor suppressor let-7. In molecular subgroups of AML, nucleophosmin (*NPM1*) mutations, which represent the most common molecular abnormality in AML, are associated with overexpression of homeobox genes (*HOX*) [85]. Upregulation of miR-10a, miR-10b, miR-196a and miR-196b, was identified in AML carrying *NPM1* mutations, and these miRNAs were located within the *HOX* genes. Although miR-196a directly targets *HOXB8* mRNA [86], the upregulated miR-196a in this AML subgroups may represent a breakage in the regulation loop between miRNAs and *HOX* genes [84]. Consistent with other studies, miR-155 was significantly upregulated in AMLs with internal tandem duplications of Flt3(*FLT3-ITD*), corroborating the oncogenic effect of miR-155 in myeloid cells in addition to such effects in lymphoid lineages [84, 87, 88]. In comparing AML to normal CD34+ cells, upregulation of miR-21 in AMLs was found, consistent with other studies and further strengthening the importance of miR-21 in AML [82, 84].

Interestingly, in an analysis of AML subgroups the *t*(8;21) and inv(16) were grouped together by miRNA profile, supporting the notion that both subgroups belong to core-binding factor (CBF) AMLs, suggesting some common pathways shared by CBF-AMLS [89]. Overexpression of miR-224, miR-368, and miR-382 was restricted to the *t*(15;17) samples, while miR-17-92 cluster was overexpressed exclusively in mixed-lineage leukemia (MLL) rearrangements [89]. In addition, in a study of 100 AMLs, comparing leukemic samples to normal bone marrow, miR-155 and miR-181a

were upregulated [90]. MiR-181a has been reported to target p27^{Kip1} in AML cell lines, resulting in an abrogation of 1, 25-dihydroxyvitamin D3 (1,25D) induced differentiation in AML cell lines [91]. A recent study classified AML cases into favorable, moderate, and poor as the predicted outcome according to the karyotype. MiR-181a high expression was suggested to be associated with better-risk groups suggesting a potential therapeutic approach involving manipulation of miR-181a level in AML patients. In contrast to elevated miR-181a as favorable prognostic factor, miR-155 upregulation predicts poor prognosis in AML [92].

7. MicroRNAs in Chronic Lymphocytic Leukemia (CLL)

CLL is characterized by the accumulation of malignant B-1 cells (CD5⁺CD19⁺CD20^{dull}CD23⁺IgM^{dull}) in peripheral lymphoid organs, bone marrow, and peripheral blood [93]. It accounts for 30% of all leukemias in the Western world, making it the most common lymphoid malignancy with mainly elderly with disease. CLL is broadly classified into aggressive (Zap70^{hi}-unmutated IgH) and indolent (Zap70^{low}-mutated IgH) [94]. CLL cells have genomic instability, chromosomal alterations and have several characteristic genetic abnormalities. Prominent among them are 11q23 deletions (ATM; miR-34b/c cluster), trisomy 12 (increased MDM2), 17p deletion (TP53), and 13q14 deletions (miR-15a/16-1) [95]. Dysregulation of several microRNAs like miR-15a/16-1, miR-34 cluster, miR-155, miR-29, and miR-181b has been implicated in the pathogenesis of CLL. The most common genetic abnormality in CLL patients is the deletion of 13q14 region (50–60% of CLL cases) that encodes a crucial microRNA locus, miR-15a/16-1 [30, 96]. Decreased miR-15a/16-1 confers a growth advantage as these microRNAs target key cell cycle regulatory and antiapoptotic proteins such as cyclin D1 and Bcl2 [97, 98]. It is interesting to note that NZB mice (spontaneously occurring mouse model of CLL) also exhibit a 50% reduction in the level of miR-15a/16-1, that is associated with a point mutation and deletion in the 3' flanking region of miR-16-1 [99]. Moreover targeted deletion of the miR-15a/16-1 locus or a larger surrounding minimal deleted region (MDR) led to the development of CLL in mice, further confirming the tumor suppressor function of this locus [32]. Other microRNAs are abnormal in CLL including miR-29 and miR-181, which target *Tcl1*, a gene that is highly elevated in aggressive CLL [100]. MiR-29 expression is decreased in aggressive CLL, while it is increased in indolent CLL as compared to normal volunteers [100, 101]. Thus, the same microRNA can function as both an oncogene and as a tumor suppressor in CLL. MiR-34a/b/c is decreased in patients with 11q deletions. Normally, upon transactivation by TP53, miR-34 expression would result in decreased Zap70 [102]. MiR-34a has also been shown to target E2F1 and B-Myb oncogenes in CLL as well as AML [103]. MiR-155, miR-150, and miR-21 expression is increased in B-CLL cells as compared to normal B cells [23, 104]. Increased miR-155 levels are associated with increased Zap70 expression and faster progression. v-Myb is

TABLE 1: MiR-15/107 group involvement in common blood disorders[§].

Blood disorders	MiR-15/107 group alterations	Abnormalities associated	Effects of miRNAs
AML	MiR-15a/16 decreased in <i>t</i> (11q23) AML patients [82], elevated in patients with complete remission, and decreased with relapse [83]		MiR-15a/16 enhanced ATRA effects inducing AML cell differentiation [83]
APL	MiR-15a/16 upregulation and miR-107 downregulation in a cohort of APL patients [135]		Patients showed increased miR-15/107 during remission, and miR-15/107 upregulation was induced by ATRA <i>in vitro</i> in APL cells [135]
CLL	MiR-15a/16 underexpressed in CLL patients with 13q14 deletion and NZB mice (CLL model) [96, 99]	Uncontrolled B-1 cell proliferation [97]	Overexpression of miR-15a/16 in CLL murine model resulted in exclusive elimination of malignant B-1 cells [136]
	MiR-195 upregulation reported from a study of 9 CLL patients compared to normal controls [137]		Not determined
	MiR-107 downregulated in CLL patients [138]		Underexpression of miR-107 resulted in overexpression of oncogenic PLAG-1 protein [138]
MM	MiR-15a/16 decreased in MM patients [62]	Uncontrolled clonal plasma cell proliferation and proangiogenesis in bone marrow [62]	MiR-15a/16 targeted cell cycle regulators, inhibited NF-κB pathway, and downregulated proangiogenic genes [62]
SLE	miR-15a upregulated in spleen cells from NZB/NZW F1 mice (SLE model), when disease fully developed (manuscript accepted for publication)	Elevated autoreactive antibody producing cells terminally differentiated plasma cells	MiR-15a enhanced plasma cell differentiation

[§] AML: acute myeloid leukemia; APL: acute promyelocytic leukemia; CLL: chronic lymphocytic leukemia; MM: multiple myeloma; SLE: systemic lupus erythematosus; PLAG-1: pleomorphic adenoma gene.

found to be elevated in CLL patients, and it stimulates the miR-155 host gene [105]. The oncogenic potential of miR-155 is further supported by the development of B-cell malignancies in *Eμ-mmu-miR-155* transgenic mice [34]. Using a poorly understood mechanism, microRNAs are secreted into body fluids such as serum and urine, and their levels can be used as noninvasive biomarkers for diagnosis and monitoring of cancer and various other diseases [106, 107]. In a recent, study it was shown that elevated miRNA levels in serum may offer early CLL detection and differentiation between Zap70 status [108]. The authors further concluded that increased expression of miR-150, miR-29a, miR-222, and miR-195 can be used as a highly sensitive diagnostic test for CLL.

8. Conclusion

In this paper, a variety of blood disorders were discussed in terms of microRNA abnormalities observed. One microRNA family of interest stood out as a potential regulator of cell fate (Table 1 and Figure 1). Recently, miR-15 family members (miR-15a/b, miR-16, miR-103, miR-107, miR-195, and miR-497) have been grouped together due to their identical “AGCAGC” sequence at 5' end “seed region (nucleotides 2–7)” [109, 110], which offers this miRNA group various overlapping functions in gene-regulatory pathways and disease scenarios, especially in cancers. MiR-15/107 gene group could be upregulated by tumor suppressor p53 [102], altered

by various cell stress [111–115], or inhibited by Myc [116, 117]. A broad spectrum of mRNAs is targeted by miR-15/107, importantly, miR-15/16 paralogs regulate cell cycle via targeting of Cyclin D1 [97] and induce apoptosis via targeting of Bcl-2 [118], and miR-107 also induces cell cycle arrest [119]. The tight involvement of miR-15/107 in cell growth and cell fate control, and their upstream regulators, such as p53 and Myc, which by themselves are important players in tumorigenesis [120, 121], revealed critical mechanisms for abnormalities in cancer development, including leukemias. Indeed, all members from miR-15/107 group have been identified to be altered in various tumor cells [122–125]. Specifically, underexpression of miR-15a/16 as a result of deletion or mutation of *mir-15a/16* loci has been linked to the pathogenesis of CLL [96, 99, 118], similarly in AML and MM, where the downregulation of miR-15a/16 was associated with the loss of control for malignant cells differentiation and proliferation [62, 83]. In contrast, in SLE which is characterized by elevated plasma cell differentiation contributing to increased autoantibody production [126, 127], splenic miR-15a was increased, and this was significantly correlated with autoantibody levels in lupus-like autoimmune mouse model (manuscript accepted for publication), suggesting a role of miR-15a upregulation in cell cycle arrest in order for plasma cell differentiation.

Future directions may be directed toward stem cell transplantation for many of these blood disorders. Cellular transplantation therapy holds a huge potential for a variety of

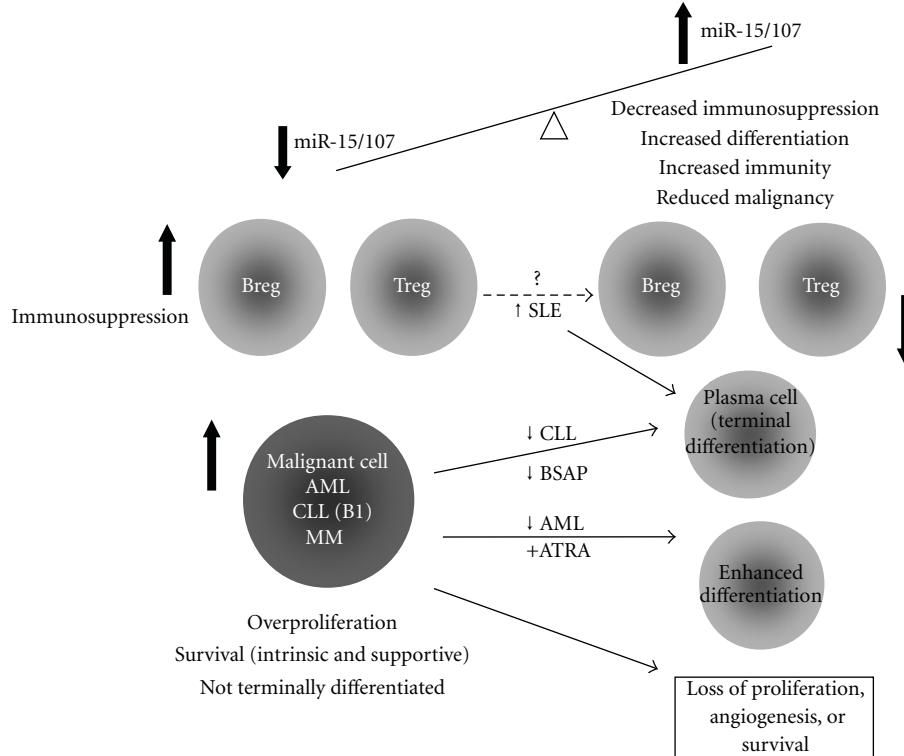


FIGURE 1: Schematic mechanism of miR-15/107 family alterations in hematopoietic disorders. Decreased expression of miR-15/107 family members is found in malignant cells from AML (acute myeloid leukemia), CLL (chronic lymphocytic leukemia), and MM (multiple myeloma) patients. The underexpression of miR-15/107 may also contribute to increased immunosuppressive regulatory B (Breg) and T cells (Treg), which further promote the expansion and survival of malignant cells. In contrast, with increased miR-15/107, there may be a loss of immunosuppression that leads to SLE (systemic lupus erythematosus) development and antitumor responses. In the therapeutically induced differentiated AML cells, and terminally differentiated B cells, plasma cells (with decreased B-cell-specific activator protein (BSAP), the negative regulator of miR-15a/16-1), miR-15/107 family members would be upregulated, leading to the loss of malignant potential and an increase in differentiation function (SLE).

degenerative, genetic, and malignant conditions treatment. Hematopoietic stem cell transplantation is the most widely used form of such a therapy, but many patients do not benefit from that because of the lack of a suitable HLA-matched donor [128]. In this sense, patient-specific autologous pluripotent stem cells generation would provide a great opportunity to combine gene therapy with autologous cell transplantation to treat different human conditions including hematological disorders such as AML. For this reason, robust protocols for the generation of safe autologous induced pluripotent stem (iPS) cells are strongly needed. To this end, microRNAs represent an attractive tool for both iPS generation efficiency enhancement and gene targeting approaches. It is known that expression of embryonic stem (ES) cell-specific microRNAs such as miR-294 promotes iPS cells induction from somatic cells [129]. Recently, it has even been demonstrated that the expression of miR-302/367 cluster can directly reprogram mouse and human somatic cells to a pluripotent stem cell state in the absence of the commonly used reprogramming factors [130]. Alternatively, inhibition of tissue-specific miRNAs would also enhance iPS generation, which has been confirmed by antisense silencing of a prodifferentiation let-7 miRNA [131]. Another

application of microRNAs lies in promoting patient-specific iPS differentiation towards the required cell lineage, for example HSC expansion. MiR-145 has been shown to induce ES cell differentiation by inhibiting the expression of Sox2, Oct4, Klf4, and c-Myc, key reprogramming factors, and led to an increase of HSC number *in vivo* by more than 8 fold [132–134]. Nevertheless, HSC expansion from iPS cells by means of microRNAs needs to be further developed. Hopefully, the recently achieved success in the production of iPS cells with the use of miRNAs will pave the way for successful *in vitro* expansion of HSCs with miRNAs.

Abbreviations

miRNA/miR:	MicroRNA
AML:	Acute myeloid leukemia
ALL:	Acute lymphoblastic leukemia
CLL:	Chronic lymphocytic leukemia
SLE:	Systemic lupus erythematosus
IgAN:	Immunoglobulin A nephropathy
MM:	Multiple myeloma
TLR:	Toll-like receptor
IFN:	Interferon

3'UTR:	3'untranslated region
NZB:	New Zealand black
ATRA:	All-trans retinoic acid
HOX:	Homeobox genes
NPM:	Nucleophosmin
FLT3-ITD:	Internal tandem duplications of Flt3
CBF:	Core-binding factor
iPS cell:	Induced pluripotent stem cell
HSC:	Hematopoietic stem cells
ES cell:	Embryonic stem cells.

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Review Article

Retinoid Differentiation Therapy for Common Types of Acute Myeloid Leukemia

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Many cancers arise in a tissue stem cell, and cell differentiation is impaired resulting in an accumulation of immature cells. The introduction of all-*trans* retinoic acid (ATRA) in 1987 to treat acute promyelocytic leukemia (APL), a rare subtype of acute myeloid leukemia (AML), pioneered a new approach to obtain remission in malignancies by restoring the terminal maturation of leukemia cells resulting in these cells having a limited lifespan. Differentiation therapy also offers the prospect of a less aggressive treatment by virtue of attenuated growth of leukemia cells coupled to limited damage to normal cells. The success of ATRA in differentiation therapy of APL is well known. However, ATRA does not work in non-APL AML. Here we examine some of the molecular pathways towards new retinoid-based differentiation therapy of non-APL AML. Prospects include modulation of the epigenetic status of ATRA-insensitive AML cells, agents that influence intracellular signalling events that are provoked by ATRA, and the use of novel synthetic retinoids.

1. Introduction

There is an increasing need to devise milder treatments for older patients with cancer. The proportion of older people in the population is steadily increasing, and 23% of the UK population is projected to be aged ≥ 65 by 2034, with 5% aged ≥ 85 [1]. More than half of cancer patients are aged ≥ 65 years, and around 40% of older persons will be diagnosed with some form of cancer. Treatment of these patients poses a real challenge to the health care service, more so as the population ages. For older persons, an immediate resort to eradicating cancer *via* aggressive chemotherapy is neither well tolerated nor necessary. Differentiation therapy to reduce tumour load followed by milder chemotherapy provides an alternative approach. It is also important to bear in mind that many patients aged ≥ 65 years are excluded from aggressive trials, by coexisting age-related conditions, for example, declining bone marrow [2, 3] and hepatic function

[4]. Trials of new combinations of drugs in leukemia include only younger patients able to tolerate multidrug chemotherapy. For patients in their 80s with malignancies, differentiation therapy that might merely aim to control disease for the patient's natural lifespan is perhaps a more realistic target.

AML, which accounts for ~80% of adult acute leukemias [5], involves the proliferation, abnormal survival, and arrest of cells at a very early stage of myeloid cell differentiation. Alongside this expansion of leukemia cells, the production of normal red cells, platelets, and neutrophils is reduced: these deficits are also life threatening as in the case of infections relating to neutropenia. Current cytotoxic chemotherapy for AML results in a remission rate of 60 to 80% for patients <60 years of age. However, most patients relapse with treatment-resistant disease, and 5-year survival rates are low around 30% [6, 7]. Survival is worse for patients >60 years of age, largely because these patients cannot tolerate intensive treatment and the ensuing further ablation of normal

haematopoiesis [8–10]. Thirty-five percent of AML patients are aged ≥ 75 years, and the median age at diagnosis is 72 [11]. These patients are very hard to treat with current regimens, and whilst younger patients have benefited from more intensive approaches to treatment, there have not been substantial improvements to outcomes for the elderly [12]. Only around 5% of elderly patients survive long-term when treated by conventional means [13], and stem cell transplantation is not an option for many patients [14].

There is a pressing need to improve survival rates between 5 to 30% and particularly the outcome for elderly patients which has not changed much during the last 20 years [8]. One of the reasons why current chemotherapies for AML are failing is that in endeavoring to eradicate the leukemia cells normal haematopoiesis is compromised substantially, with patients requiring blood and platelet transfusion. Hence, there is a compelling case for persevering with the development of new therapies that target the failure of AML cells to differentiate, are more efficacious in this regard, and have low haematological toxicity. Though AML is somewhat a rare malignancy, the various subtypes of AML provide an excellent test bed for new differentiation therapies, and proven new regimens might have a beneficial effect in treating more prevalent cancers that are presently incurable.

2. The Success of ATRA in Acute Promyelocytic Leukaemia

Retinoids are a class of naturally occurring compounds that are structurally related to vitamin A (or retinol). Retinoids regulate a wide range of biological processes, including development, differentiation, proliferation, and apoptosis [15]. ATRA is the active metabolite of vitamin A and mediates its biological effects by activating one or more of the closely related retinoic acid receptors ($RAR\alpha$, $RAR\beta$, and $RAR\gamma$) that function as ligand-dependent transcriptional regulators. These receptors form heterodimers with retinoid receptors ($RXR\alpha$, β , and γ) and bind to retinoid responsive response elements (RAREs) located in the promoter region of retinoid target genes to stimulate gene transcription [15]. Primitive human haematopoietic cells, such as $CD133^{+ve}/CD34^{+ve}/\text{lineage}^{-ve}$ cells that are used to restore haematopoiesis after ablation of leukemia cells, express $RAR\alpha$, $\alpha 2$, and $\gamma 1$. It is well known that activation of $RAR\alpha$ drives the differentiation of normal myeloid progenitor cells and myeloid cell lines towards neutrophils, and examination of *in vitro* models of ATRA-driven myeloid differentiation has identified genes that play important roles in this process, including transcription factors and regulators of survival versus apoptosis [16].

APL accounts for around 5–10% of cases of AML. This subtype of AML is characterised by cells having a promyelocytic morphology [17] and the chromosome translocation t(15; 17), resulting in fusion of the retinoic acid receptor α ($RAR\alpha$, on chromosome 17) and promyelocytic leukemia (PML, on chromosome 15) genes [18]. The $RAR\alpha$ gene fuses with other genes in variants of APL, for example, the promyelocytic leukemia zinc finger gene (PLZF, on chromosome 11) as a result of t(11; 17) to generate PLZF- $RAR\alpha$ [19].

The $RAR\alpha$ fusion proteins block differentiation at the promyelocytic stage, by disrupting wild-type $RAR\alpha$ cellular signalling and promoting survival of myeloid precursor cells [20]. Cells from APL patients are exquisitely responsive to induction of cell differentiation by ATRA by virtue of this treatment leading to degradation of the $RAR\alpha/PML$. ATRA combined with chemotherapy is a longstanding and highly successful way of treating APL. This has been well documented [21–25] and will only be described briefly here.

Treatment of APL patients with ATRA- and anthracycline-based chemotherapy results in cure rates up to 80%. Patients who relapse (up to 15%) receive intensive chemotherapy, with or without ATR, and around 90% of patients achieve a second remission. However, in most cases this is not durable and allogeneic or autologous stem cell transplantation is then recommended [26–28]. Arsenic trioxide drives rapid degradation of $RAR\alpha/PML$ within APL cells and induces differentiation and apoptosis and inhibits the proliferation of a variety of neoplastic cells [29–31]. In 2002, arsenic trioxide was introduced to treat APL patients who had relapsed and refractory APL, and more recent studies have revealed the effectiveness of arsenic trioxide as a primary and single curative agent [32–34].

ATRA has been highly successful in the treatment of APL, but the promise of extending the efficacy of ATRA-based differentiation therapy to other types of AML, and other leukemias and cancers, has still to be fulfilled. In the following sections we examine some of the recent research strategies that are seeking to extend and improve differentiation therapy for AML.

3. Aberrant Epigenetic Gene Regulation and Unresponsiveness of AML Cells to ATRA

One of the reasons why non-APL AML cells respond poorly to ATRA is that target genes that are important to the ATRA-driven differentiation pathway are not properly activated as to their transcription. In the case of non-APL AML cells, ATRA fails to activate the $RARA2$ gene which is important for differentiation [35]. Also, $RAR\alpha 2$ expression is reduced in AML cells, relative to normal $CD33^{+ve}$ cells, and this is related to a diminution in histone H3K4 dimethylation (H3K4 me^2) on the $RARA2$ gene promoter [36], whereby dimethylation is associated with activation of transcription. The H3K4me1/me2 lysine-specific demethylase 1 (LSD1/KDM1) is highly expressed in AML cells [37] and various other tumour cells [38, 39]. ASXL1, a cofactor for RAR, recruits LSD1 to repress RAR target gene promoters.

Recently, Zelent and coworkers have examined the importance of LSD1 in the lack of responsiveness of non-APL AML cells to ATRA [40]. Inhibitors of LSD1 include tranylcypromine (*trans*-2-phenylcyclopropamine) [41] and the biguanide polyamine analogue 2d (1,15-bis{N5-[3,3-(di-phenyl)propyl]-N1-biguanido}-4,12-diazapentadecane) [42]. Tranylcypromine is an antidepressant (sold under the brand names Parnate and Jatrosom) and is well tolerated. Inhibitors of LSD1 and ATRA synergised to drive differentiation of primary human AML cells and enhance H3

lysine-4 dimethylation and the expression of myeloid differentiation-associated genes. ATRA and inhibitors of LSD1 when used alone had a limited effect on primary AML cells. Knockdown of LSD1 (shRNA) in HL-60 and TEX (human cord blood immortalised by expression of the *TLS-ERG* oncogene) cells confirmed that this enzyme attenuates the responsiveness of AML cells to ATRA. Importantly, ATRA plus tranylcypromide target leukemia-initiating cells as revealed by diminished engraftment when primary AML samples were treated with the two agents before and after transplantation into NOD.*SCID* gamma mice. The ability of inhibitors of LSD1 to restore responsiveness of non-APL AML cells to ATRA and the anti-leukemic effect of ATRA in combination with an inhibitor of LSD1 indicate a promising new way forward to differentiation therapy of non-APL AML.

DNA methylation at certain gene promoter regions, by DNA methyltransferase enzymes adding methyl groups to CpG sites, may contribute to leukemogenesis by silencing tumour suppressor genes [43, 44]. Such aberrant gene silencing may also be more common in older persons [45]. The use of inhibitors of DNA methyltransferases in the treatment of myelodysplastic syndromes and AML has focused on the nucleoside analog of cytidine azacitidine. The US Food and Drug Administration has approved the use of this agent to treat myelodysplastic syndromes and treatment of patients with higher-risk myelodysplastic syndrome with azacitidine results in a significant survival advantage as compared with conventional care regimens [46]. Azacitidine also prolongs overall survival in elderly AML patients with a low blast count in their bone marrow [47], and treatment of elderly AML patients with outpatient azacitidine resulted in a response rate of around 20% [48].

Lenalidomide, an immunomodulatory agent approved for use in myelodysplastic syndromes and myeloma [49], has epigenetic modifying properties [50] and appears to upregulate tumour suppressor genes that are activated by azacitidine [51]. Treatment of high-risk myelodysplastic syndrome patients with a combination of azacitidine and lenalidomide resulted in a complete response in 44% of patients [52]. Recently, Pollyea and co-workers have examined the prospect of using azacitidine and lenalidomide sequentially to induce remission in elderly and previously untreated patients with AML [53]. Eighteen patients received treatment to determine safety, efficacy, and biological predictors of response. Marked genome-wide DNA demethylation occurred, and ten of the sixteen evaluable patients responded with seven patients achieving a complete remission or remission with incomplete recovery of blood counts. These results as to biological and clinical activity are very promising, and the extent to which sequential azacitidine and lenalidomide will be beneficial in elderly and untreated AML patients, and such patients with a low disease burden, awaits the outcome from an ongoing phase 2 study.

Epigenetic therapies are an important consideration as to rationales for the induction and maintenance of responses in elderly AML patients and for treatments that are tolerable. There is still more to unravel in the use of histone demethylase inhibitors, to drive expression of myeloid

differentiation-associated genes, and/or inhibitors of DNA methyltransferases, to drive growth arrest. Interestingly, lenalidomide-provoked epigenetic modifications appear to involve a LSD1-mediated process [49]. Lenalidomide provokes cell cycle arrest in cell lines that typify Burkitt's lymphoma and multiple myeloma by increasing the level of expression of p21(WAF-1), and transcription factors that bind to CpG-rich promoter regions are involved in this process. Lenalidomide-induced up regulation of p21(WAF-1) was reduced by silencing of LSD1, suggesting the involvement of this lysine-specific histone demethylase in a priming switch from methylated to acetylated H3 on the p21(WAF-1) promoter.

4. Improving ATRA Sensitivity by Inhibiting the Activity of an Aldoketoreductase

ATRA can be used to drive differentiation of non-APL myeloid leukemia cell lines, but this often requires a much higher concentration to obtain the same degree of differentiation as APL cell lines. One way in which the concentration of ATRA required for differentiation of both APL and non-APL cells can be reduced is by inhibiting the activity of the aldoketoreductase AKR1C3. Our laboratory first became interested in this enzyme following observations that inhibition of AKR1C3 with the nonsteroidal anti-inflammatory drug indomethacin or the progestogen medroxyprogesterone acetate (MPA) increased the responsiveness of the human promyeloid cell line HL-60 to both ATRA and 1 α ,25-dihydroxyvitamin D₃ [54, 55] and that AML cell lines express AKR1C3 at a high level [56]. Overexpression of AKR1C3 in HL-60 cells led to resistance to ATRA- and 1 α ,25-dihydroxyvitamin D₃-mediated differentiation, confirming the enzyme as a novel regulator of nuclear receptor-regulated cell differentiation [57].

AKR1C3 is a multifunctional NADPH-dependent oxidoreductase that plays a role in the metabolism of androgens, oestrogens, prostaglandins, retinoids, and xenobiotics [58]. Hence, AKR1C3 can potentially control the supply of ligands to several classes of nuclear hormone receptors that modulate the survival, proliferation, and differentiation of hematopoietic cells. There is also evidence to implicate AKR1C3 in leukemogenesis. Activating polymorphisms of the AKR1C3 gene has been associated with an increased chance of developing childhood myeloid leukaemia [59], and increased expression of AKR1C3 has been observed in a patient with myelodysplastic syndrome who went on to develop AML-M2 [60]. Workers in the petrochemical industry have a higher-than-normal risk of developing myeloid leukemia, and smoking is a risk factor for myelodysplastic syndromes and AML [61]. A main factor appears to be an increased production of carcinogenic activated polycyclic aromatic hydrocarbon metabolites which cause oxidative DNA damage and DNA strand breakage. Birtwistle and co-workers [62] have recently shown for primary AML cells and in a model system that elevated AKR1C3 expression leads to conversion of model polycyclic aromatic hydrocarbons into compounds that induce DNA damage.

AKR1C3-mediated metabolism of prostaglandins (PGDs) provides a rationale to the influence of this enzyme on cell differentiation (Figure 1). PGD₂ is a substrate for AKR1C3, due to its 11-ketoprostaglandin reductase activity, and would be preferentially metabolised to its 9 α ,11 β -epimer PGF₂ [58], which enhances proliferation of several myeloid leukemic cell lines. However, endogenous PGD₂ is also relatively unstable and will be rapidly and efficiently non-enzymatically converted first to PGJ₂ and then, in a stepwise manner, to 15-Deoxy- Δ 12,14-PGJ₂. 15-deoxy- Δ 12,14-PGJ₂ is a ligand for the peroxisome proliferator-activated receptor- γ (PPAR γ) and can suppress cell proliferation and enhance differentiation of myeloid leukemic cells [57]. Desmond and co-workers [57] have shown for myeloid progenitor cells that express a high level of AKR1C3 that PGD₂ catabolism can be switched from the generation J-series prostanoids that would enhance differentiation and suppress proliferation towards the production of the pro-proliferative PGF₂.

The PPAR γ ligand troglitazone can sensitize HL60 cells to the differentiating and anti-proliferative effects of ATRA and 1 α ,25-dihydroxyvitamin D₃ [63]. However, there are concerns about toxicity associated with high-dose PPAR γ agonist therapy. Fibrate such as clofibrate and bezafibrate are agonists of PPAR α [64, 65] and modest potentiating agents. Importantly, fibrates have a good toxicity profile and are well tolerated by patients. In this regard, Murray and co-workers have used a combination of bezafibrate (to agonise PPAR α) and medroxyprogesterone acetate (to inhibit AKR1C3) to treat a small number of elderly patients with myelodysplastic syndrome and AML [66]. Improvements in the hematological profile were observed, and there were limited signs of toxicity. Whether the addition of ATRA would further improve the therapeutic outcome has still to be examined.

AKR1C3 may have a direct affect on ATRA-provoked cell differentiation by lowering the intracellular concentration of ATRA. Low cellular levels of ATRA have recently been shown to be a feature of a variety of malignant cells [67]. In HL-60 cells AKR1C3 can act as a retinaldehyde reductase, to promote conversion of retinaldehyde into retinol and, as such, decrease the level of cellular ATRA [68]. Ruiz and co-workers have suggested that activity of AKR1C3 plays a role in driving proliferation of HL-60 cells: this can be blocked by a combination of an AKR1C3 inhibitor and a retinoid acid receptor antagonist [68]. The pro-proliferative retinoid signal might well be an extremely low concentration of endogenous ATRA, provoked by activity of AKR1C3, and for the following reasons. When HL-60 and NB4 cells are grown serum-free (that is free of exogenous retinoid), sub-nanomolar concentrations of ATRA enhance colony formation by single cells and the proliferation of cells in bulk cultures [69–71]. At very low ATRA concentrations it is likely that any RAR signalling is mediated via preferential activation of RAR γ , favouring cell proliferation/survival as opposed to differentiation [72, and see later novel synthetic retinoids]. In this case and as observed by Ruiz and co-workers, an antagonist of RAR, particularly of RAR γ , would be expected to interfere with cell growth [73]. As to this possible mode of action of AKR1C3, again inhibiting enzyme

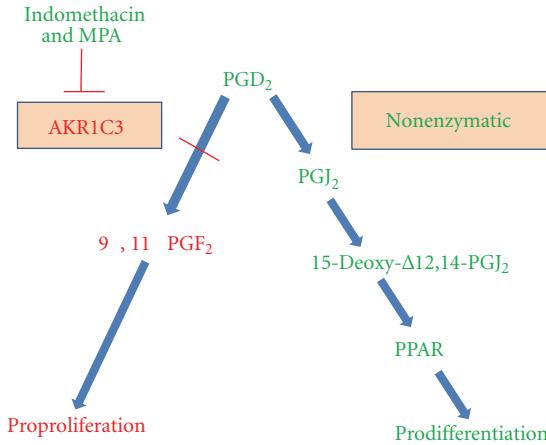


FIGURE 1: Influence of inhibiting the activity of AKR1C3 on PGD₂ metabolism. Inhibiting the activity of AKR1C3 by the use of indomethacin or medroxyprogesterone acetate (MPA) interferes with prostaglandin D₂ (PGD₂) metabolism towards 9 α ,11 β PGF₂ and favours nonenzymatic metabolism towards J-series prostanoids and the PPAR γ ligand 15-deoxy- Δ 12,14-PGJ₂.

activity is important for increasing the sensitivity of myeloid leukemia cells to ATRA.

5. Potentiating ATRA-Stimulated Differentiation by Inhibiting Glycogen Synthase Kinase

As the name suggests, the constitutively active serine/threonine kinase glycogen synthase kinase 3 (GSK-3) plays a role in glycogen biosynthesis and insulin action by phosphorylating and inactivating glycogen synthase. However, GSK-3 is now known to phosphorylate a wide range of proteins and play a role in intracellular signalling that is initiated by various stimuli, as GSK-3 is functionally inactivated when phosphorylated via the growth factor receptor-activated RAS-MAP kinase, ERK5/RSK-2 and PI3K-PKB/AKT signalling pathways [74]. Therefore, compounds that inhibit the activity of GSK-3 are very likely to affect many biological processes. Of importance to differentiation therapy is that inhibitors of GSK-3 appear to have opposing effects on the proliferation and be survival and commitment to differentiation of normal and leukemic hematopoietic stem cells (HSCs). This may provide a treatment that targets transformed cells and spares their normal counterpart.

Pharmacological inhibition or genetic depletion of GSK-3 has been associated with increased self-renewal and reduced commitment to differentiation of HSCs in normal mice, and Huang and co-workers have shown that GSK-3 activity inhibits signalling through the WNT pathway to enhance lineage commitment of HSC [75]. In transformed hematopoietic cells, the situation appears to be different. Overexpression and overactivation of GSK-3 are associated with an unfavorable prognosis in AML [76]. Sustained proliferation of MLL-transformed leukemia cells is dependent on activity of GSK-3, leading Wang and co-workers [77] to

propose that GSK-3 acts as a tumor promoter in this model system. In keeping, treatment of MLL-transformed cells *ex vivo* with inhibitors of GSK-3 led to arrest of growth in G1 and an increase in the rate of spontaneous differentiation towards myeloid cells. GSK-3 inhibitors also enhanced the survival of mice with these leukemias. In a follow-up study, Wang and co-workers showed that GSK-3 controls the formation of a HOX/MEIS1/CREB complex which recruits the coactivators CBP and TORC to form a molecular complex that appears to promote self-renewal and survival of the transformed cells [78]. The transformed cells were driven into apoptosis following treatment with the GSK-3 inhibitor lithium chloride. Other workers have confirmed that leukemic HSCs are more sensitive to induction of apoptosis by GSK-3 inhibitors than normal HSCs [79, 80].

The possible use of GSK-3 inhibitors in differentiation therapy for acute myeloid leukemia dates back to around 1993. Sartorelli's group showed that high concentrations of lithium chloride induced growth arrest and myeloid differentiation of the murine myelomonocytic cell line WEHI-3B D+ and HL-60 cells [81]. In the case of HL-60 cells, lithium chloride induction of neutrophil differentiation was markedly enhanced by the addition of a low amount of ATRA, and no such interaction was seen with agents that drive HL-60 cells to differentiate towards monocytes [81]. These findings have been revisited in recent years, and several structurally unrelated GSK-3 inhibitors have now been shown to potently inhibit the growth and drive differentiation of a variety of primary leukemic cells and leukemic cell lines [82–87]. Importantly, genes that are upregulated (Id1, CEBPe, Stat1, p21, and p27) or downregulated (CDK8, c-myc) during ATRA-stimulated myeloid differentiation of HL60 and NB4 cells and whose products are important for cell differentiation, are similarly regulated by inhibitors of GSK-3 [86, 87].

Levels of RAR α are linked to the activity of GSK-3 β . Sartorelli's group has shown that inhibitors of GSK-3 prevent ATRA-mediated degradation of RAR α , thereby potentiating ATRA-stimulated differentiation of AML cell lines [88, 89]. A recent study provides a molecular basis for this observation. Si and co-workers have shown that GSK-3 β phosphorylates RAR α on multiple serine residues, and phosphorylation of RAR α reduces its transcriptional activity. GSK3 phosphorylation of certain proteins enhances their proteasomal degradation, and it is possible that this is the case for RAR α as treatment of myeloid leukemia cells with GSK-3 inhibitors led to enhanced expression of RAR α [86]. Accordingly, GSK-3 inhibitors potentiated RAR α -mediated transcriptional activity which could be explained by increased expression of RAR α and by GSK-3 β inhibitor-mediated upregulation of the expression of the RAR transcriptional coactivators p300, SRC-1, and CBP [86]. Transcriptional activity of RAR α and kinase activity of GSK-3 β are also linked as follows (Figure 2). In the retinoid-sensitive AML lines HL-60 and NB4, but not the retinoid insensitive K562 cell line, ATRA treatment was associated with a time- and concentration-related phosphorylation of an N-terminal serine of GSK-3 β [86]. This inhibitory phosphorylation of GSK-3 β is an early step in the ATRA-induced differentiation of myeloid

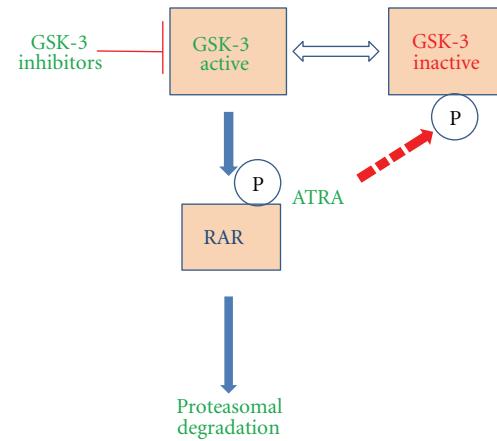


FIGURE 2: Inhibition of the activity of GSK-3 β is important for ATRA sensitivity. The figure shows one way in which activity of GSK-3 β affects ATRA responsiveness of myeloid cells and how transcriptional activity of RAR α and kinase activity of GSK-3 β are linked via ATRA-related inhibitory phosphorylation (P) of GSK-3 β .

leukemia cells, in keeping with the importance of inhibition of activity of GSK-3 β to cell differentiation.

The GSK-3 inhibitors lithium chloride and valproate are already in clinical use, and the above studies indicate that a combination of these agents and a RAR α agonist may enhance the effectiveness of differentiation therapy for both ATRA-sensitive and insensitive AML. In addition, beneficial effects from inhibiting the activity of GSK-3 β might also relate to the involvement of this enzyme in sustaining proliferation of leukemia cells (see above).

6. Potentiation of ATRA-Stimulated Differentiation of Myeloid Leukemic Cells by Inhibitors of Inosine 5'-Monophosphate Dehydrogenase

Inosine 5'-monophosphate dehydrogenase (IMPDH) is the rate limiting step in the *de novo* synthesis of guanine nucleotides. This enzyme catalyses NAD $^+$ -dependent oxidation of inosine 5'-monophosphate to xanthosine 5'-monophosphate, which is subsequently aminated to guanosine-5'-monophosphate which is converted to guanosine triphosphate (GTP) and deoxyguanosine triphosphate (dGTP). As such, IMPDH plays an important role in the maintenance of the intracellular levels of guanosine nucleotides; especially GTP, and GTP, and dGTP are essential for the synthesis of DNA and RNA. It has long been known that depletion of guanosine nucleotides accompanies differentiation of a variety of cell types. For example, myeloid differentiation of HL-60 and other myeloid leukemic cells is accompanied by a reduction in the cellular GTP content and differentiation can be blocked by the addition of exogenous guanosine [90]. IMPDH activity and GTP levels appear to be much higher in leukemic blast cells than in their normal counterparts, which might contribute to the failure of blast cells to complete their differentiation programme.

TABLE 1: Preferential binding of synthetic retinoid analogs to subtypes of RAR. The equilibrium binding affinities of each retinoid analog were determined against baculovirus expressed RAR or RXR isoforms by displacement of [³H]-ATRA.

Retinoid analog	Binding affinities (ED ₅₀ in nM) against RARs and RXRs			
	RAR α	RAR β	RAR γ	RXRs
RAR agonists				
Pan-RAR (AGN191183)	15.7	7.2	6.7	9,113 (α)–2,556 (γ)
RAR α (AGN195183)	20.1	>5,000	>5,000	>10,000
RAR $\beta\gamma$ (AGN190168)	>1,000	14.2	135	>10,000
RAR γ (AGN205327)	3,766	734	32	>10,000
RAR antagonists				
Pan-RAR (AGN194310)	4.3	5	2	>10,000
RAR α (AGN196996)	3.9	4,036	>10,000	>10,000
RAR $\beta\gamma$ (AGN194431)	300	6	20	>10,000
RAR γ (AGN205728)	2,400	4,248	3	>10,000

Twenty-five years ago Knight and co-workers [91] showed that ATRA-mediated myeloid differentiation of HL-60 and RFD2-25 myeloid leukemic cells was associated with a rapid decrease in IMPDH activity. A fall in IMPDH activity was not observed in a retinoid-insensitive variant of the RFD2-25 cell line, which suggests that the fall in IMPDH activity is an important aspect of the differentiation process. Commensurate with this finding is that depletion of intracellular GTP levels *via* the use of a variety of IMPDH inhibitors, such as mycophenolate mofetil, tiazofurin and 3-hydrogenkawadaphnin, leads to differentiation and/or apoptosis of myeloid leukemic cell lines [92–94]. Also, treatment of HL-60 and NB4 cells with a combination of ATRA and an IMPDH inhibitor resulted in a greater degree of neutrophil differentiation, followed by apoptosis, than when either agent was used alone [95, 96]. Overall, these studies suggest that a combination of IMPDH inhibitors (e.g., mycophenolate mofetil), which are already in clinical use, with ATRA may provide a more effective treatment for both APL and non-APL AML.

7. Enhancing ATRA Responsiveness by Inhibiting Telomerase Activity

Recent studies suggest that sensitivity of cell lines that typify APL to the combination of ATRA and arsenic trioxide is due to a synergy at the level of inhibition of telomerase activity. Myeloid leukemic stem and progenitor cells exhibit a higher degree of telomerase activity than their normal counterparts which may contribute to their increased capacity for self-renewal and reduced sensitivity to differentiating agents. Shortened telomere length and elevated telomerase activity in cells from APL patients are indicative of extensive proliferative capacity and correlate with disease progression and relapse. Thus, elevated telomerase activity may serve as prognostic factors for a subset of APL patients with more aggressive disease and poor outcome and those who may not respond favourably to arsenic therapy [97].

Overexpression of telomerase in normal HCSs changes these cells into ones resembling a leukemic stem cell,

suggesting a role for telomerase in the leukemogenic process. The catalytic subunit of telomerase is telomerase reverse transcriptase (TERT). In the case of HL-60 cells, a decrease in the expression of the hTERT gene and a concomitant reduction in telomerase activity is a relatively early event following exposure to differentiating concentrations of ATRA. Genetic knockdown of hTERT is sufficient to induce growth arrest and eventually drives HL-60 cells into apoptosis [98–102]. Downregulation of hTERT expression following retinoid treatment has also been observed in maturation-resistant APL cell lines and non-APL AML cell lines, but in these cell lines cotreatment with a specific RAR α agonist and a retinoid X receptor- (RXR-) specific agonist (a rexinoid) was required. In non-APL AML cells there was no indication of an increased level of granulocytic differentiation following retinoid/rexinoid treatment, suggesting that retinoid/rexinoid-mediated down-regulation of telomerase was targeting a pathway that is important for survival [103, 104]. That down regulation of hTERT gene expression appears to be a key early event in ATRA-mediated growth arrest, and apoptosis induction of both retinoid-sensitive and insensitive APL cell lines and non-APL myeloid leukemic cells, suggests that a combination of ATRA (\pm a rexinoid) with telomerase inhibitors may have enhanced antileukemic properties in APL patients and also be beneficial in non-APL AML.

8. The Use of Novel Synthetic Retinoids

A complication during ATRA treatment of APL is retinoic acid syndrome (RAS). The full-blown syndrome is life-threatening as patients may develop renal failure or respiratory distress and require admission to intensive care. At the earliest sign of RAS, treatment with intravenous dexamethasone is the recommended course of action, and ATRA is temporarily discontinued in the case of severe RAS [21, 105]. Respiratory distress and fever can be very common; in a retrospective analysis of 102 APL patients who received ATRA as an induction regimen with or without conventional chemotherapy, 87.5% of patients developed respiratory distress and fever [106].

ATRA is promiscuous as regards binding to retinoid receptors. ATRA binds with high affinity to the α , β , and γ sub-types of RARs, and to RXRs by virtue of isomerising within cells to 9-*cis*-retinoic acid. As to the importance of ligand-activation of RAR α for myeloid cell differentiation [107], synthetic retinoids have been developed that only bind to this RAR sub-type. Even though there are toxicities associated with ATRA, the success in treating APL somewhat precludes using a RAR α -specific agonist instead of ATRA. However, a RAR α specific agonist and also synthetic antagonists of RARs are worthy of consideration for future new therapies.

We and others have examined the biological activity of isoform-selective synthetic agonists and antagonists of RARs with a view to widening the scope as to the use of retinoids to drive growth arrest, differentiation, and/or apoptosis of malignant cells [108, 109]. The extents to which the retinoids we have studied over a number of years are selective for a particular receptor subtype(s) are shown in Table 1.

As shown in Table 1, the compound AGN195183 is a highly selective agonist of RAR α ; it binds to RAR α with a low nanomolar affinity (ED_{50} 20.1 nM), affinities to RAR β and γ are much higher ($ED_{50} > 5,000$), and it does not bind to RXRs ($ED_{50} > 10,000$). Treatment of HL-60 cells with the RAR α agonist, rather than agonising all RARs, is sufficient to drive differentiation towards neutrophils [108]. Hence, AGN195183 is suitable for use in differentiation therapy of AML and might circumvent some of the toxicities that are associated with the use of ATRA.

Antagonising, rather than agonising, RARs may be important for the development of milder treatments for elderly patients with leukemia and other cancers. Some time ago we showed that antagonising all RARs (AGN194310) inhibited the growth of patients' prostate cancer cells more effectively than normal prostate epithelium. Treatment of prostate cancer cells in liquid culture led to growth arrest in the G1 phase of the cell cycle followed by apoptosis. Moreover, the pan antagonist appears to be effective against tumor-initiating cells as nM concentrations of compound inhibited colony formation on plates by the small fraction of clonogenic cells [73, 110, 111]. Recently, we have shown that antagonising RAR γ is sufficient to inhibit the growth of prostate cancer cells [*manuscript submitted*]. In keeping with this perceived importance of RAR γ for survivability and/or proliferation of primitive cells, Purdon and coworker have shown that activation of RAR γ plays a role in self-renewal of HSC as these cells are reduced in RAR γ -null mice [72]. The use of a demethylase inhibitor (that is in clinical use and which restores ATRA responsiveness), plus an RAR α agonist (for differentiation) and an RAR γ antagonist (to inhibit growth) or the inhibitor plus either single retinoid, is interesting considerations to enhance the efficacy of differentiation therapy for ATRA-unresponsive AML.

9. Concluding Remarks

Healthcare authorities are already considering how to provide an appropriate standard of care for a population that in the future will overall be much older. A key aspect to this

issue is the treatment of leukemia and other malignancies, particularly the extent to which elderly patients are able to tolerate current intensive therapeutic regimens. Differentiation therapy, and combined with less-aggressive chemotherapy, may provide milder treatments, and as outlined above there are promising ways forward for rendering differentiation therapy more efficacious.

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Research Article

Cell-Type-Specific Effects of Silibinin on Vitamin D-Induced Differentiation of Acute Myeloid Leukemia Cells Are Associated with Differential Modulation of RXR α Levels

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Plant polyphenols have been shown to enhance the differentiation of acute myeloid leukemia (AML) cells induced by the hormonal form of vitamin D₃ ($1\alpha,25$ -dihydroxyvitamin D₃; 1,25D). However, how these agents modulate 1,25D effects in different subtypes of AML cells remains poorly understood. Here, we show that both carnosic acid (CA) and silibinin (SIL) synergistically enhanced 1,25D-induced differentiation of myeloblastic HL60 cells. However, in promonocytic U937 cells, only CA caused potentiation while SIL attenuated 1,25D effect. The enhanced effect of 1,25D+CA was accompanied by increases in both the vitamin D receptor (VDR) and retinoid X receptor alpha (RXR α) protein levels and vitamin D response element (VDRE) transactivation in both cell lines. Similar increases were observed in HL60 cells treated with 1,25D + SIL. In U937 cells, however, SIL inhibited 1,25D-induced VDRE transactivation concomitant with downregulation of RXR α at both transcriptional and posttranscriptional levels. These inhibitory effects correlated with the inability of SIL, with or without 1,25D, to activate the Nrf2/antioxidant response element signaling pathway in U937 cells. These results suggest that opposite effects of SIL on 1,25D-induced differentiation of HL60 and U937 cells may be determined by cell-type-specific signaling and transcriptional responses to this polyphenol resulting in differential modulation of RXR α expression.

1. Introduction

Acute myeloid leukemia (AML) is a hematologic cancer which results from blocked differentiation of hematopoietic stem and/or progenitor cells due to various genetic and epigenetic errors and is characterized by the uncontrolled proliferation of myeloid blasts. The standard approach for AML treatment is chemotherapy with cytarabine and anthracyclines; however, even after aggressive chemotherapy about 75% of AML patients relapse within 2 years of remission [1, 2]. Recently, several targeted cytotoxic approaches to treat AML have been developed, for example, the use of kinase and histone deacetylase inhibitors [3], but such strategy is difficult to apply to AML, since the molecular lesions in AML are highly heterogeneous.

Differentiation therapy is an alternative or complementary treatment of AML which aims at inducing maturation of poorly differentiated leukemic blasts. The hormonal form of vitamin D₃ ($1\alpha,25$ -dihydroxyvitamin D₃) is a strong differentiation inducer which has potential for the treatment of AML [4]. However, at concentrations capable of differentiating AML cells in culture 1,25D may cause fatal hypercalcemia *in vivo*. Many low-calcemic vitamin D analogs have been synthesized to date [5], but none has yet been shown to be appropriate for human use at concentrations required to induce differentiation of AML blasts. One way to overcome this problem is to combine low concentrations of 1,25D with other compounds which would enhance its anticancer, but not toxic, effects [6]. We have previously shown that carnosic acid (CA), the major phenolic diterpene

of rosemary, potentiates the differentiation effects of 1,25D in AML cell lines representing different developmental blocks in myeloid differentiation, such as myeloblastic (HL60) [7–9], promye- locytic (NB4) [10], and (myelo)monocytic (U937, OCL- AML3, MOLM-13, THP-1) [9–12] leukemia cells, as well as in leukemic blasts derived from patients with AML [11]. Furthermore, combined treatments with either CA or CA-rich rosemary extract and different vitamin D derivatives resulted in enhanced differentiation and growth arrest of WEHI-3B D-murine myelomonocytic leukemia cells *in vitro* and—in case of rosemary extract—in cooperative antileukemic effects in syngeneic mouse AML models *in vivo*, without inducing hypercalcemia [13, 14]. Another plant polyphenol, the flavonolignan silibinin (SIL) found in milk thistle, was also demonstrated to potentiate the differentiation effect of 1,25D in HL60 and OCL-AML3 cells [7, 12, 15]; however, this polyphenol tended to attenuate differentiation in U937 and THP-1 cells [16]. In addition, SIL exerted both potentiating and inhibitory effects on the differentiation of leukemic blast samples obtained from different patients with AML [17].

In this study we attempted to clarify the nature of the opposite effects of SIL on 1,25D-induced differentiation of HL60 and U937 cells using CA for comparison. We found that the differentiation-enhancing effect of the 1,25D/SIL combination in HL60 cells was associated with upregulation of vitamin D receptor (VDR) and retinoid X receptor (RXR α) levels compatible with increased transactivation of the vitamin D response element (VDRE). On the other hand, the inhibitory effect of SIL on 1,25D-induced differentiation of U937 cells was accompanied by downregulation of RXR α expression and attenuated VDRE transactivation.

2. Materials and Methods

2.1. Chemicals, Antibodies, and Plasmids. Tissue culture media and reagents were from Invitrogen (Grand Island, NY), Biological Industries (Beit Ha'Emek, Israel), and HyClone (Logan, UT). Carnosic acid was purchased from Alexis Biochemicals (Läufingen, Switzerland). 1,25D was a gift from Dr. Andrzej Kutner (Pharmaceutical Research Institute, Warsaw, Poland). Silibinin, cycloheximide, tert-butylhydroquinone (tBHQ), and DMSO were from Sigma (Rehovot, Israel). Stock solutions of CA (10 mM), SIL (30 mM), 1,25D (0.25 mM), and tBHQ (30 mM) were prepared in absolute ethanol. The precise concentrations of 1,25D in stock solutions were verified spectrophotometrically at 264 nm ($\epsilon = 19,000$). The antibodies against NQO1 (C-19), VDR (C-20), RXR α (D-20), and TrxR1 (H-270) were from Santa Cruz Biotechnology Inc. (Santa Cruz, CA). Calreticulin antibody (PA3-900) was from Affinity BioReagents (Goden, CO). Peroxidase-conjugated donkey anti-rabbit and donkey anti-goat IgG were from Jackson ImmunoResearch Laboratories Inc. (West Grove, PA). The 4xARE-Luc reporter construct containing four tandem repeats of the antioxidant response element (ARE) sequence from the glutathione S-transferase Ya subunit was a gift from Dr. M. Hannink (University of Missouri, Columbia, MO) [18]. The VDREx6-Luc reporter

construct containing a 6-fold direct repeat 3 (DR3) sequence was kindly provided by Dr. L.P. Freedman (Memorial Sloan-Kettering Cancer Center, New York, NY, USA). *Renilla* luciferase expression construct (pRL-null vector) was purchased from Promega (Madison, WI) and served as an internal transfection standard.

2.2. Cell Culture, Treatment, and Enumeration. HL60-G cells, subcloned from HL60 human myeloblastic leukemia cells [19], and U937 human myelomonocytic leukemia cells (American Type Culture Collection, Rockville, MD) were grown in RPMI 1640 medium supplemented with 10% heat-inactivated fetal calf serum or bovine serum in a humidified atmosphere of 95% air and 5% CO₂, at 37°C. Cell cultures were passaged two to three times weekly to maintain a log phase growth. For experiments, cells were seeded in 6-well plates (Greiner Bio-One GmbH, Solingen, Germany) at densities indicated in legends to figures followed by the addition of vehicle (0.1% ethanol), 1,25D (1 nM), polyphenols, or their combinations under dim lighting conditions. Cell cultures were then incubated, as described above, for the indicated time periods. 1,25D alone at the higher concentration of 100 nM was used as the positive control. Cell numbers and viability were estimated on the basis of trypan blue exclusion by counting in Vi-Cell XR cell viability analyzer (Beckman Coulter Inc., Fullerton, CA).

2.3. Determination of Markers of Differentiation. Aliquots of 1×10^6 cells were harvested, washed twice with phosphate-buffered saline (PBS), and suspended in 10 μ L 1× PBS. The cell suspensions were incubated for 45 minutes at room temperature with 0.3 μ L MO1-FITC and 0.3 μ L MY4-RD-1 to analyze the expression of the cell surface markers CD11b and CD14, respectively. The cells were then washed three times with ice-cold 1× PBS and resuspended in 1 mL of PBS. Analysis was performed using Cytomics FC500 flow cytometer equipped with CXP software (Beckman Coulter). Isotypic mouse IgG1 was used to set threshold parameters.

2.4. Quantitative Reverse Transcription-Polymerase Chain Reaction (qRT-PCR). Total RNA was extracted from cells with the PerfectPure RNA Tissue Kit (5PRIME, Gaithersburg, USA) and cDNA was prepared with Verso cDNA kit (ABgene, Epsom, UK), according to the manufacturer's instructions. The following primers were used for the determination of CD11b, CD14, and RXR α mRNA expression: CD11b, forward primer (5'-CTGTCTGCCAGAGAATCCACTG-3'), reverse primer (5'-GAGGTGGTTATGCGAGGTCTTG-3'); CD14, forward primer (5'-GCCCTTACCAAGCCTAGACCT-3'), reverse primer (5'-CCCGTCCAGTGTCAAGGTTAT-3'); RXR α , forward primer (5'-CAAACATGGGGCTGAACC-3'), reverse primer (5'-AAGTGTGGGATCCGCTTG-3'); ARP0, forward primer (5'-AGATGCAGCAGATCCGCAT-3'), reverse primer (5'-GTGGTGTACCTAAAGCCTG-3'). cDNA samples (7 μ L) were diluted ninefold, mixed with the specific primers (0.2 mM) and ABsolute Blue SYBR Green ROX Mix

(ABgene, Epsom, UK) was then added to the reaction mixture. Reactions were carried out in the Rotor-Gene Real-Time PCR machine (Corbett-Research, Northlake, Australia). Standard cycling conditions for this instrument were 15 min initial enzyme activation at 95°C then 35 cycles as follows: 10 sec at 95°C, 15 sec at the annealing temperature, and 15 sec at 72°C. The results were normalized by ARP0 mRNA content and quantified using the $2^{-\Delta\Delta C_t}$ method.

2.5. Preparation of Whole Cell Extracts and Western Blot Analysis. Cells were lysed in ice-cold lysis buffer containing 50 mM HEPES (pH 7.5), 150 mM NaCl, 10% (v/v) glycerol, 1% (v/v) Triton X-100, 1.5 mM EGTA, 2 mM sodium orthovanadate, 20 mM sodium pyrophosphate, 50 mM NaF, 1 mM DTT, and 1 : 50 Complete Protease Inhibitors Cocktail (Roche Molecular Biochemicals, Mannheim, Germany) and centrifuged at 20,000 $\times g$, 10 min, 4°C. Supernatant samples (30 μ g protein) were subjected to SDS-PAGE and then electroblotted onto nitrocellulose membrane (Whatman, Dassel, Germany). The membranes were blocked with 5% milk for 2 h and incubated with primary antibodies overnight at 4°C followed by incubation with HRP-conjugated secondary antibodies for 2 h. The protein bands were visualized using the Western Lightning Chemiluminescence Reagent Plus (PerkinElmer Life Sciences, Inc., Boston, MA). The blots were stripped and reprobed for the constitutively present protein, calreticulin, which served as the loading control. The optical density (OD) of protein bands was quantitated using Image Gauge 3.11 software (Fuji Photo Film Co., Tokyo, Japan). OD values for each protein were normalized to calreticulin and are displayed beneath each protein band.

2.6. Transient Transfection and Reporter Gene Assay. HL60 cells were transiently cotransfected with 4xARE-luc reporter plasmid (1.35 μ g) and *Renilla* luciferase vector (0.15 μ g) or VDREx6-luc reporter plasmid (0.95 μ g) and *Renilla* luciferase vector (0.05 μ g) using Microporator (Digital Bio Technology, Seoul, Korea) under the following conditions: 1 pulse, 1400 Volts, pulse width 30 msec. U937 cells were transiently cotransfected with 4xARE-luc or VDREx6-luc reporter plasmids (0.8 μ g) and *Renilla* luciferase vector (0.2 μ g) using JetPEI Reagent (POLYplus-Transfection, Illkirch Cedex, France), according to the manufacturer's instructions. Transfected cells were exposed to the indicated treatments for 24 h followed by measurement of luciferase activity using the Dual Luciferase Reporter Assay system (Promega, Medison, WI, USA). The data are presented as the normalized ratios of firefly luciferase to *Renilla* luciferase activity (relative luminescence units, RLU).

2.7. Statistical Analysis. The significance of the differences between the means of the various subgroups was assessed by unpaired two-tailed Student's *t*-test. Two compounds (*A* and *B*) were considered to show enhancement in the particular experiment if the effect of their combination (*AB*) was larger than the sum of their individual effects ($AB > A + B$), the data being compared after subtraction of the respective control values from *A*, *B*, and *AB* [8]. The statistical analysis was

performed with the GraphPad Prism 5.0 Program (GraphPad Software, San Diego, CA). Data are presented as the mean \pm SE. $P < 0.05$ was considered statistically significant.

3. Results

3.1. Silibinin Potentiates 1,25D-Induced Differentiation in HL60 Cells While Attenuating It in U937 Cells. We first compared the effects of CA and SIL on the expression of two cell surface markers of myeloid differentiation, CD11b and CD14, induced by a low concentration of 1,25D (1 nM) in HL60 and U937 cells (Figure 1). To exclude potential interference of reagent cytotoxicity in the determination of the vitamin D receptor expression and activity, as described in the following sections, we used noncytotoxic concentrations of the two polyphenols: 10 μ M CA for both cell lines [7, 8, 20], 60 μ M SIL for HL60 cells [7, 16, 17, 20], and 30 μ M SIL for U937 cells. Under these conditions, cell viability was maintained at 95–98% throughout the course of the experiments performed here. Concentrations of SIL higher than 30 μ M caused a dose-dependent decrease in the viability of U937 cells (up to ~20% dead cells at 60 μ M SIL; data not shown). The addition of CA to 1,25D not only synergistically increased the percentage of CD11b- and CD14-positive HL60 and U937 cells, as measured by flow cytometry following 96 h treatment (Figures 1(a), 1(b)), but also markedly enhanced the mRNA expression of these markers after 24 h (Figures 1(c), 1(d), 1(e), and 1(f)), as compared to 1,25D alone. These potentiating effects of CA were more pronounced in HL60 cells than in U937 cells. On the other hand, while being even a stronger differentiation enhancer than CA in HL60 cells (Figures 1(a), 1(c), and 1(d)), SIL appreciably reduced 1,25D-stimulated expression of CD11b and CD14 in U937 cell, as determined by both flow cytometry (Figure 1(b)) and qRT-PCR (Figures 1(e), 1(f)).

3.2. Silibinin Cooperates with 1,25D to Induce Growth Arrest in HL60 Cells but Not in U937 Cells. In parallel with flow cytometric determination of CD11b and CD14 expression (Figures 1(a) and 1(b)), cells from the same wells were enumerated to examine the effects of 1,25D and polyphenols on cell proliferation and viability. As shown in Figure 2(a), both CA and SIL administered alone for 96 h significantly decreased the number of proliferating HL60 cells while 1 nM 1,25D alone had a minor effect. However, the addition of 1,25D to either of the two polyphenols resulted in a marked cooperative inhibition of cell growth comparable to that produced by high-dose 1,25D (100 nM). U937 cells exhibited lower sensitivity to the antiproliferative effect of 1,25D, as compared to HL60 cells. CA produced similar effects in both cell lines, whereas SIL caused somewhat stronger growth inhibition in U937 even at a 2-fold lower concentration than used in HL60 cells (Figure 2(b) versus Figure 2(a)). Interestingly, while treatment with the 1,25D/CA combination resulted in a more pronounced reduction in U937 cell numbers than that exerted by single compounds, the antiproliferative effect of SIL was not significantly altered by

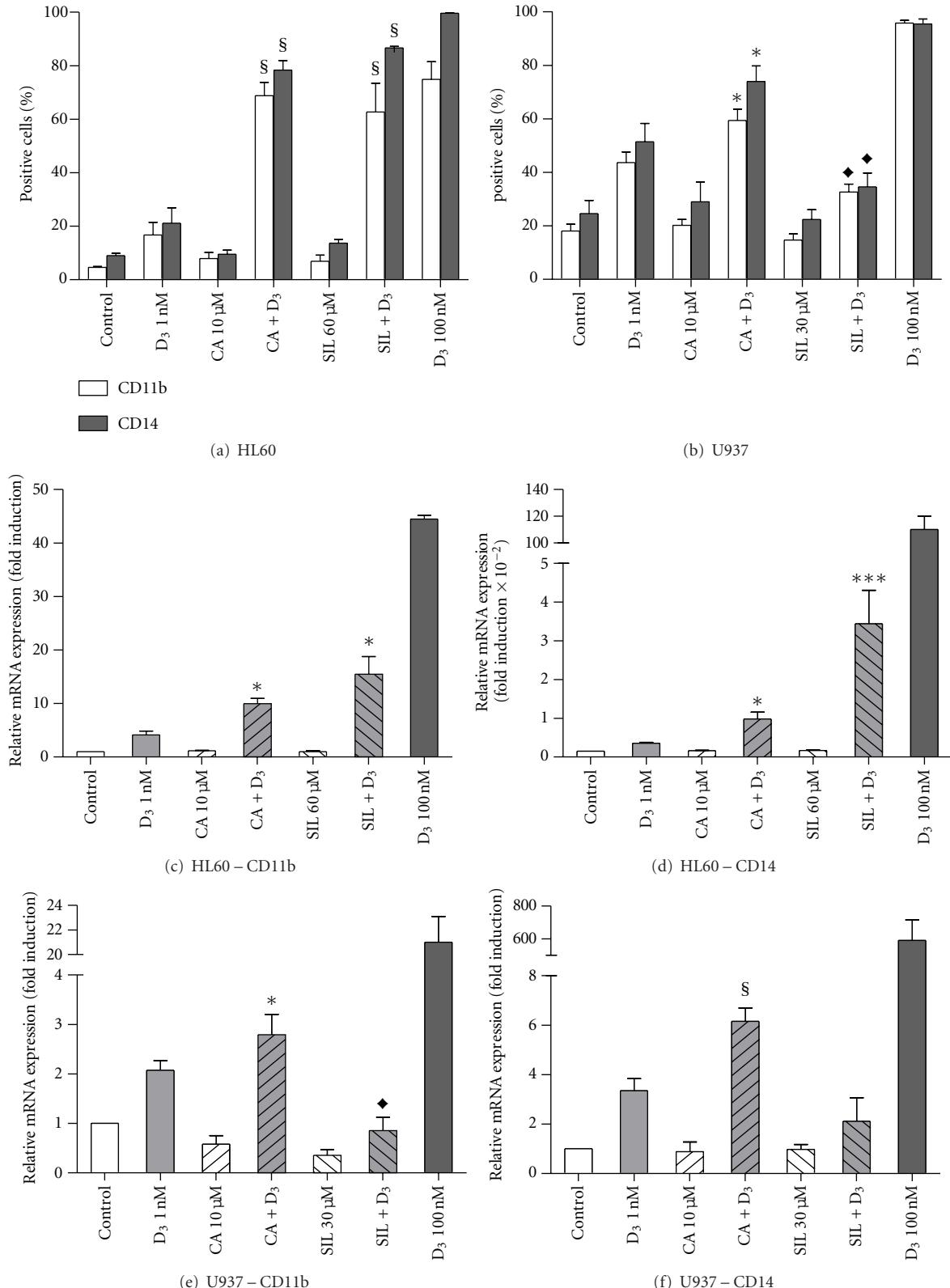


FIGURE 1: Carnosic acid enhances while silibinin differentially affects 1,25D-induced differentiation of HL60 and U937 cells. Cells were incubated at 4×10^4 cells/mL (a), (b) or 5×10^4 cells/mL (c-f) with 0.1% ethanol (vehicle control) or the indicated test agents, alone or in combination, for 96 h (a), (b) or 24 h (c-f). CD11b and CD14 expression was then determined by flow cytometry (a), (b) or qRT-PCR (c-f). The data are the means \pm SE of 5 (a), (b) or 3 (c-f) independent experiments. *P < 0.05; \$P < 0.01, or ***P < 0.001, combination versus sum of single agents for enhancing effects. ♦P < 0.05, combination versus 1 nM 1,25D alone for inhibitory effects.

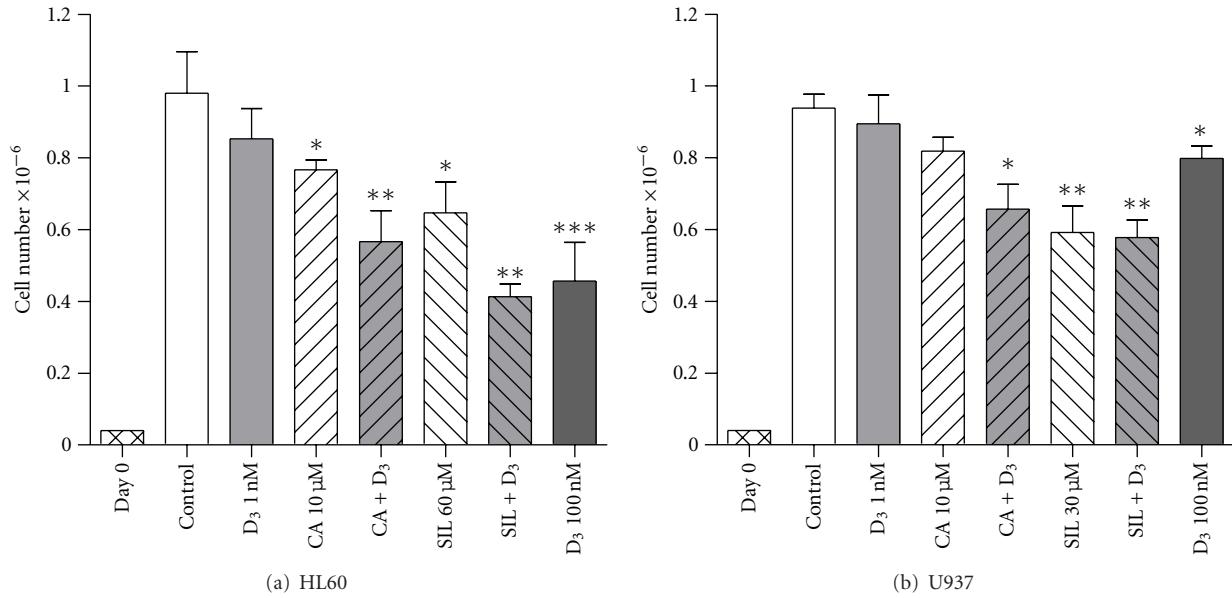


FIGURE 2: Carnosic acid and silibinin, alone and in combination with 1,25D, inhibit proliferation of HL60 and U937 cells. Cells were seeded at 4×10^4 cells/mL (day 0) and treated with test agents, for 96 h, as described in legend to Figure 1. Following incubation, cells were counted using the trypan blue exclusion assay. The numbers of viable cells are presented as the means \pm SE of at least 5 independent experiments. * $P < 0.05$; ** $P < 0.01$, or *** $P < 0.001$, treatments versus control.

1,25D (Figure 2(b)). In the previous experiments, no treatment tested significantly affected cell viability (93–96% in all samples). These findings are consistent with our previous data showing antiproliferative effects of CA and SIL, alone and in combination with different vitamin D derivatives, in murine and human AML cell lines [8, 9, 13, 14, 20, 21].

3.3. Silibinin Potentiates 1,25D-Induced VDRE Transactivation in HL60 Cells While Inhibiting It in U937 Cells: Association with RXR α Protein Levels. To examine the mechanism underlying the differential effects of SIL on 1,25D-induced differentiation in HL60 and U937 cells we tested the hypothesis that, similar to CA, SIL promotes the functional activation of the vitamin D receptor by 1,25D in HL60 cells while, in contrast to CA, attenuating it in U937 cells. To this end, we first compared the effects of CA and SIL on 1 nM 1,25D-induced VDRE transactivation in transiently transfected HL60 and U937 cells using VDRE-Luc reporter gene assay. As expected, both CA and SIL markedly enhanced 1,25D-stimulated transcription from VDRE in HL60 cells (Figure 3(a)). A similar potentiating effect of CA was observed in U937 cells; however, SIL significantly reduced VDRE transactivation by 1,25D in this cell line (Figure 3(b)). The above differential effects of CA and SIL on VDRE activation correlated with their corresponding modulation of 1,25D-induced differentiation in the two cell lines (see Figure 1).

We then performed Western blot analysis of VDR and RXR α protein expression in untransfected HL60 and U937 cells following incubations with 1,25D, polyphenols, and their combinations for 48 h and 96 h. The data demonstrated that 1,25D alone induced a dose- and time-dependent

elevation of VDR levels in both HL60 and U937 cells (Figures 4(a–d)) and of RXR α levels in HL60 cells (Figures 4(a) and 4(b)). When added alone, CA and SIL caused only modest increases in VDR levels in both cell lines but cooperated with 1 nM 1,25D in this effect. This cooperative VDR upregulation tended to strengthen with time and was much stronger in HL60 cells than in U937 cells (compare panels (a), (b) and (c), (d) in Figure 4). A similar, though less pronounced, positive cooperation between both polyphenols and 1,25D was also observed for RXR α levels in HL60 cells. However, in U937 cells, only CA, alone or in combination with 1,25D, positively affected RXR α expression. In contrast, treatment with SIL or 1,25D alone tended to decrease RXR α levels with time (Figures 4(c) and 4(d)) and their combination caused a marked time-dependent RXR α downregulation in these cells (Figures 4(c) and 4(d)). The latter inhibitory effect may, at least in part, account for the attenuated VDRE transactivation observed in 1,25D/SIL-treated U937 cells (Figure 3(b)).

3.4. Silibinin in Combination with 1,25D Downregulates RXR α mRNA Expression and Decreases RXR α Protein Stability in U937 Cells. To determine the mode by which treatments with SIL and its combination with 1,25D reduce RXR α protein levels in U937 cells, we first compared the effects of SIL, CA, 1,25D, alone and together, on RXR α mRNA expression in both HL60 and U937 cells. As shown in Figure 6(a), neither treatment significantly affected RXR α mRNA levels in HL60 cells following 24-h incubations. A similar pattern was observed in U937 cells for all of the treatments except for the 1,25D/SIL combination which markedly

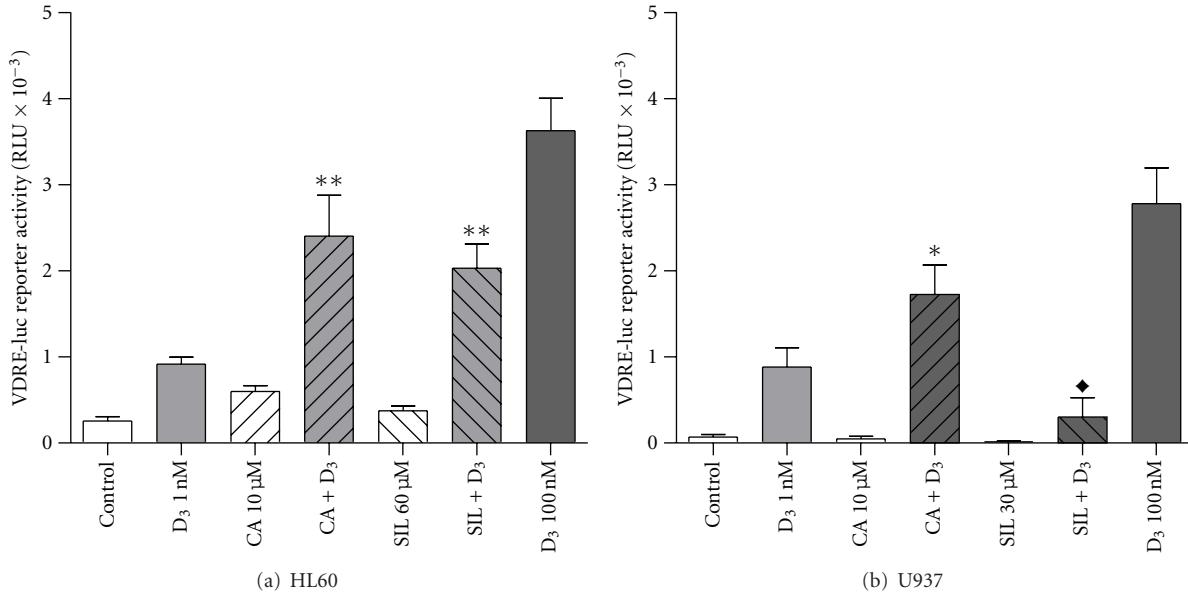


FIGURE 3: Carnosic acid enhances while silibinin differentially affects 1,25D-induced VDRE transactivation in HL60 and U937 cells. Cells (5×10^5 cells/ml) were transiently transfected with VDRE \times 6-luc and *Renilla* luciferase reporter constructs followed by treatment with 0.1% ethanol (control) or indicated test agents for 24 h. The relative VDRE \times 6-luc activity (means \pm SE) was calculated from the data of 3 individual experiments performed in triplicate. *P < 0.05 and **P < 0.01, combination versus sum of single agents for enhancing effects. ♦P < 0.05, combination versus 1 nM 1,25D alone for inhibitory effects.

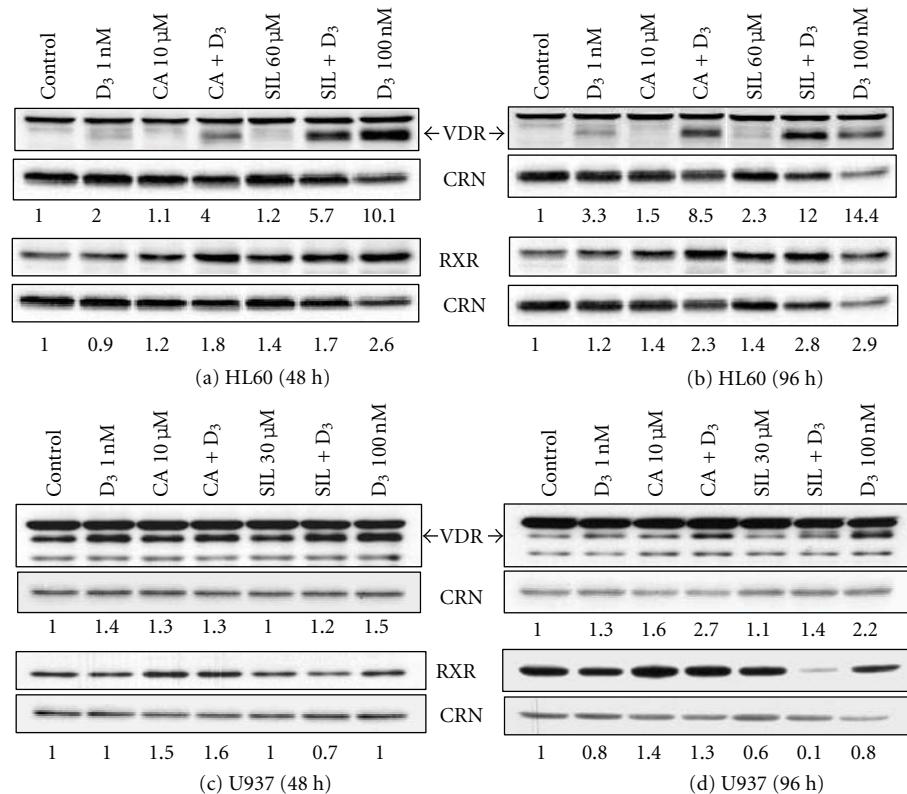


FIGURE 4: Effects of 1,25D, carnosic acid and silibinin, alone and in combination, on VDR and RXR α protein expression in HL60 and U937 cells. Cells were treated for 48 h (1×10^5 cells/mL; (a), (c)) or 96 h (4×10^4 cells/mL; (b), (d)) with 0.1% ethanol (control) or the indicated test agents. Protein expression was then determined by Western blotting. Calreticulin (CRN) was used as the protein loading control. Representative blots of at least 3 independent experiments are shown.

lowered RXR α mRNA expression levels compared to either untreated cells or treatments with single agents. The latter effect correlated with a strong reduction in RXR α protein levels following 1,25D/SIL treatment (e.g., Figure 4(d)). To examine if this reduction can also result from a decrease in RXR α protein stability, we performed cycloheximide chase experiments. U937 cells were preincubated for 24–72 h with or without SIL or SIL + 1,25D, washed, and exposed to 400 μ M cycloheximide [22] to block protein synthesis. The time course of RXR α protein degradation in different samples was then followed for up to 8 h by Western blot analysis of relative protein levels. Cycloheximide did not significantly affect cell viability during the treatment. Interestingly, as shown in Figures 6(c) and 6(d), higher rates of reduction in RXR α levels were obtained in cells pretreated for 72 h with SIL alone (Figure 6(c), lanes 7–9) and, particularly, in combination with 1,25D (Figure 6(c), lanes 10–12), as compared to control cells (Figure 6(c), lanes 4–6). However, shorter preincubations revealed either less pronounced (48 h) or no (24 h) appreciable effects of SIL or SIL + 1,25D on RXR α degradation rates in the presence of cycloheximide (data not shown). Therefore, it appears that the time-dependent reduction in RXR α protein levels following treatment of U937 cells with SIL and, especially, its combination with 1,25D (Figures 4(c) and 4(d)), may result from both the earlier inhibition of mRNA expression (Figure 6(b)) and later decrease in protein stability (Figures 6(c) and 6(d)).

3.5. Silibinin Activates the Nrf2/Antioxidant Response Element (Nrf2/ARE) Signaling Pathway in HL60 Cells but Not in U937 Cells. Our recent data have demonstrated that CA and 1,25D can synergistically activate the Nrf2/ARE signaling pathway and that Nrf2 acts as an upstream positive regulator of VDR and RXR α expression in U937 cells [11]. We, thus, determined whether SIL, alone or together with 1,25D, is capable of activating this pathway in HL60 and U937 cells, as compared with CA \pm 1,25D. Using ARE-Luc reporter gene assays in transiently transfected cells, we found that both CA and, to a lesser extent, SIL induced ARE transactivation in HL60 cells and that the addition of 1 nM 1,25D, which alone had only a slight effect, synergistically potentiated the effects of the two polyphenols (Figure 5(a)). Likewise, both CA and SIL as well as 1,25D/CA and 1,25D/SIL combinations induced the expression of the Nrf2/ARE-responsive gene products, NAD(P)H quinone oxidoreductase-1 (NQO1) and thioredoxin reductase-1 (TrxR1), in these cells to different extent (Figure 5(c)). On the other hand, only CA, alone or together with 1,25D, was capable of transactivating the ARE reporter (Figure 5(b)) and inducing NQO1 and TrxR1 expression, whereas SIL \pm 1,25D even tended to decrease the levels of these proteins (Figure 5(d)).

4. Discussion

In this study we characterized the distinct modulatory effects of SIL on myeloid differentiation of HL60 and U937 human AML cells induced by a low, near physiologic concentration

of 1,25D. For comparison, we utilized another plant polyphenol, CA, which differentiation-enhancing activity has been consistently demonstrated in both cell lines [7–9, 11, 20]. SIL had also been first described as phytochemical which can synergistically potentiate 1,25D-induced differentiation of HL60 myeloblastic leukemia cells [15] and this finding was later confirmed in our studies [7, 20]. However, when testing the promonocytic leukemia cell lines U937 and THP-1, which can also be induced to differentiate by 1,25D, we observed a surprising inhibition of the 1,25D effect by this polyphenol ([16] and this study). This inhibition seems to be a rather unusual phenomenon in view of a number of reports consistently showing differentiation-enhancing activity of various plant-derived bioactive compounds. Among these are different polyphenols (besides CA and SIL) [7, 24–26], sesquiterpene lactones [27–29], carotenoids [30, 31], and other phytochemicals, such as genistein [32], capsaicin [33], or cotyledenin A [34].

Mechanisms underlying the potentiating effects of the above compounds on 1,25D-induced differentiation of AML cells have been extensively studied and shown to involve the activation of various signaling kinases, such as protein kinase C [15, 28, 29], phosphatidylinositol 3-kinase [28]; MAPKs, including ERK [7, 15, 28, 29] and JNK [20], as well as the transcription factors AP-1 [7, 11, 20], Egr-1 [8, 20], and Nrf2 [11]. Furthermore, the differentiation-enhancing effects of some phytochemicals, for example, curcumin [24] and parthenolide [27], or unrelated antioxidants, for example, α -tocopherol succinate [30], were associated with their inhibition of NF κ B, the transcription factor which has been reported to antagonize VDR-mediated 1,25D actions [35, 36].

Interestingly, activation of at least some of the above signaling and transcriptional pathways has been shown to promote VDR, and RXR α expression, which may eventually represent a major cause for the sensitization of cancer cells to lower doses of 1,25D in the presence of phytochemicals or other enhancers of 1,25D action. For instance, activation of the p38 and JNK MAPK pathways in breast cancer cells was found to increase VDR expression via upregulation of AP-1 which can bind to and transactivate the VDR gene promoter [37]. In addition, AP-1 was found to play an important role in regulation of RXR α expression in osteoblastic cells [38]. Our recent study has demonstrated that in U937 cells the expression of AP-1, VDR, and RXR α can be controlled by Nrf2 activity [11]. Correspondingly, we have previously shown that the synergistic differentiation effects of 1,25D and CA in AML cells correlated with a marked cooperative increase in VDR and RXR α levels [8, 11]. A similar VDR protein upregulation in leukemia cells was observed when 1,25D was combined with other inducers or enhancers of differentiation, such as all trans retinoic acid (ATRA) [39] and bufalin [40], a major digoxin-like component of toad venom. Likewise, enhanced antiproliferative effects of 1,25D combinations with the soy isoflavone genistein [41–43] or the RRR stereoisomer of α -tocopherol [44] in nonleukemic cancer cells were associated with elevated VDR expression.

In view of the above findings we hypothesized that the mechanism by which SIL attenuates 1,25D-induced

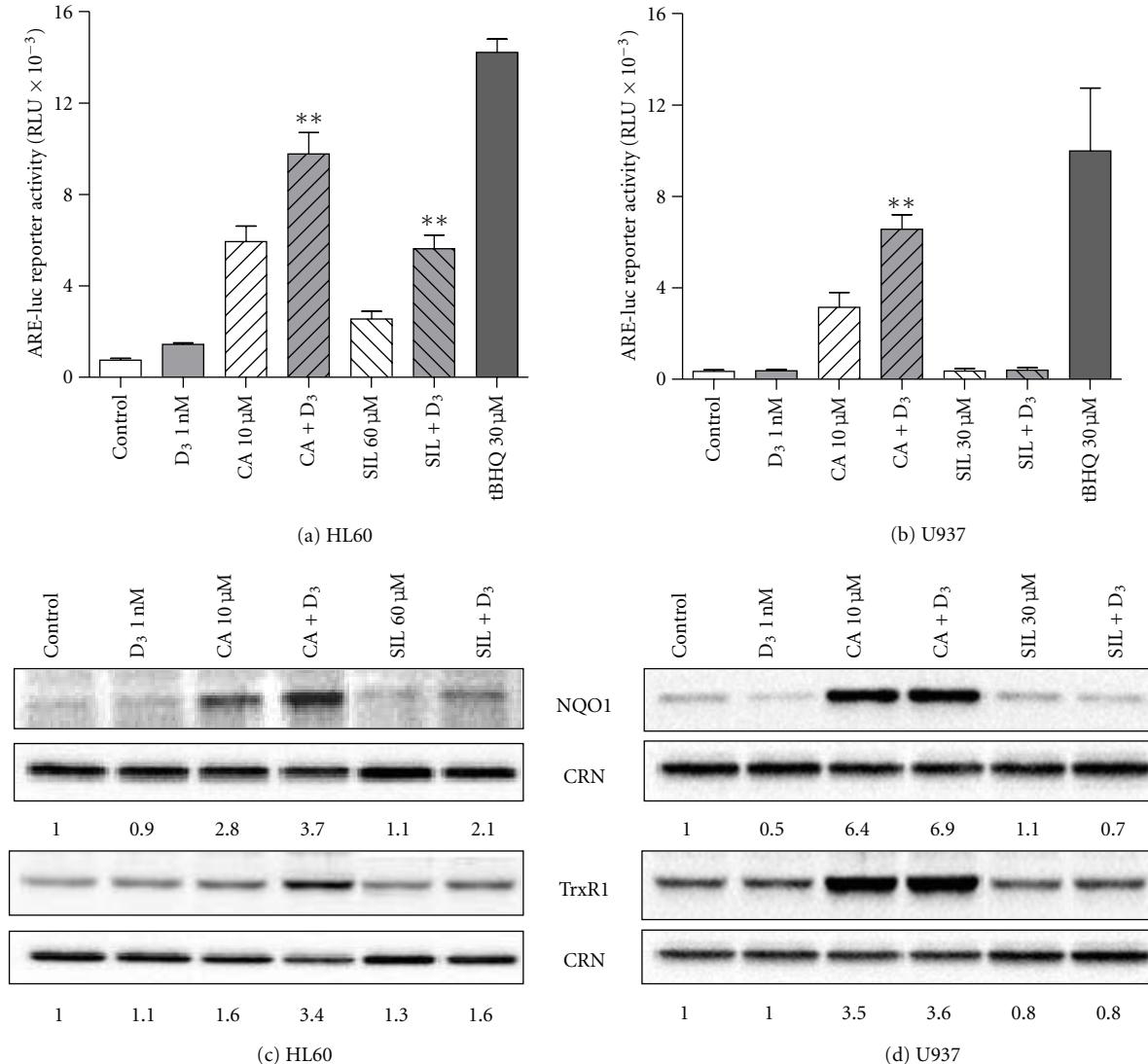


FIGURE 5: Effects of carnosic acid, silibinin, and 1,25D, alone and in combination, on the Nrf2/ARE signaling pathway in HL60 and U937 cells. (a), (b) Cells were transiently transfected with 4xARE-luc and *Renilla* luciferase reporter constructs followed by treatment with 0.1% ethanol (control) or indicated test agents for 24 h. *tert*-Butylhydroquinone (tBHQ), a classical Nrf2/ARE activator [23], was used as the positive control. The relative 4xARE-luc activity (means ± SE) was calculated from the data of 4 individual experiments performed in triplicate. **P < 0.01, combination versus sum of single agents. (c), (d) Cells (1×10^5 cells/mL) were treated with 0.1% ethanol (control) or the indicated test agents, for 48 h. Protein expression was then determined by Western blotting. Calreticulin (CRN) was used as the protein loading control. Representative blots of at least 3 independent experiments are shown.

differentiation in U937 cells could result from a decrease in VDR/RXR α expression and/or activity. Indeed, we obtained several lines of evidence indicating a strong correlation between the attenuated response to 1,25D and reduced vitamin D receptor activity, namely, the SIL inhibition of 1,25D-induced VDRE transactivation that was consistent with a marked decrease in RXR α expression levels and protein stability. Of note, SIL also inhibited ATRA-induced differentiation of U937 cells in our experiments (manuscript in preparation). Since the retinoic receptor alpha (RAR α) heterodimerizes with RXR α to produce a functionally active receptor for ATRA (e.g., [45]), these data suggest that RXR α can serve as a common target for the negative effect of SIL

on maturation of these cells induced by both 1,25D and ATRA. Surprisingly, in contrast to HL60 cells, 1,25D itself tended to downregulate RXR α expression in U937 cells and, thus, the greatest reduction in RXR α levels was observed in cells treated with the 1,25D/SIL combination. One possible explanation for the “anti-differentiation” behavior of SIL in these cells is that it may activate some differentiation-antagonizing factor(s) and cooperate with 1,25D in this effect. Indeed, it has been shown that 1,25D and SIL can concurrently upregulate and activate ERK5 (big MAPK-1) and its upstream regulator, Cot1 kinase [16], which has a negative effect on 1,25D-induced differentiation of AML cells [46]. In addition, high expression levels of at least

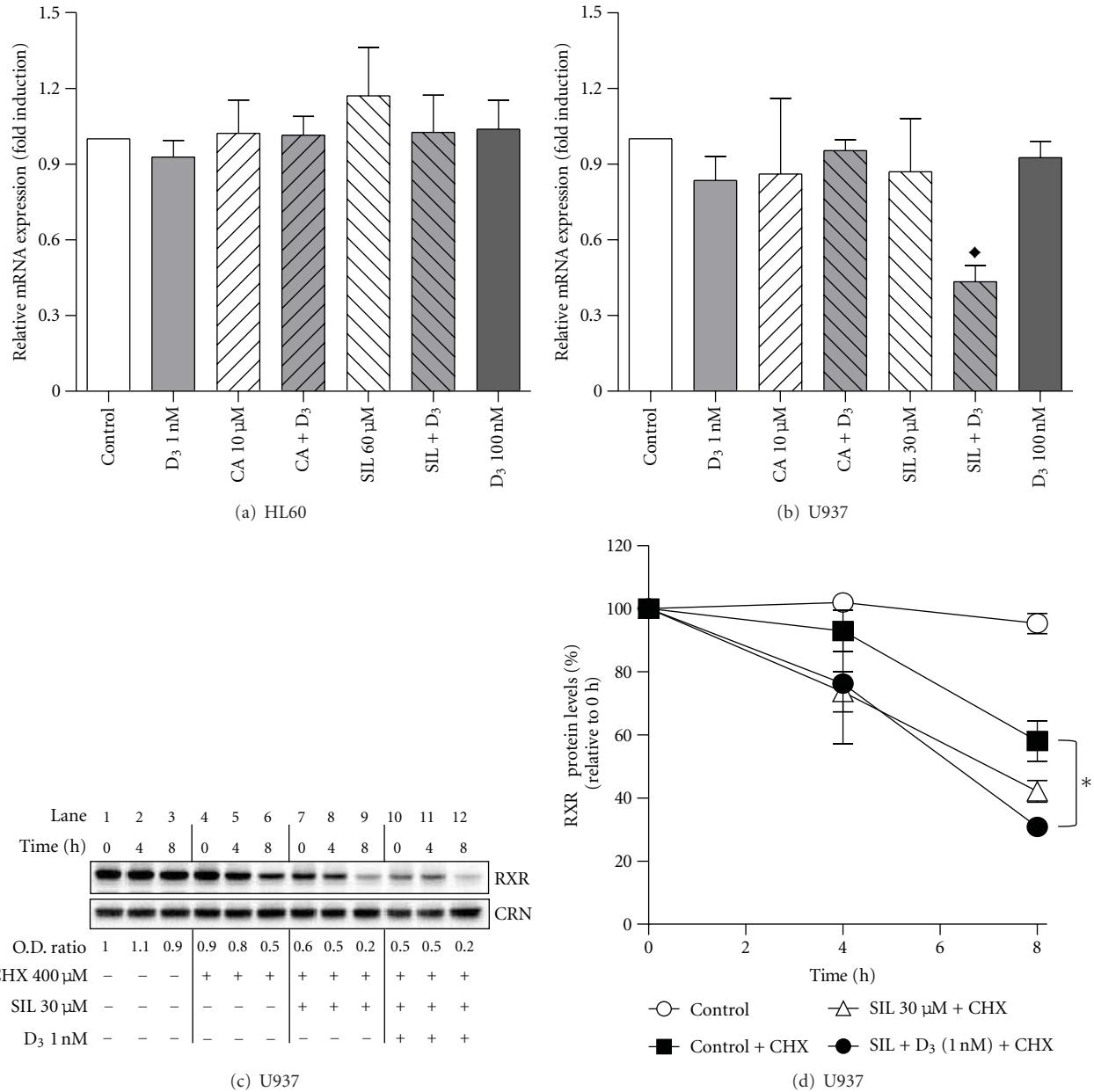


FIGURE 6: Silibinin promotes downregulation of RXR α in U937 cells but not in HL60 cells. (a), (b) HL60 and U937 cells (5×10^4 cells/mL) were treated with 0.1% ethanol (control) or the indicated test agents, for 24 h. RXR α mRNA expression was determined by quantitative RT-PCR. The data are the means \pm SE of 3 independent experiments performed in duplicate. $\blacklozenge P < 0.05$, combination versus control. (c) U937 cells (5×10^4 cells/mL) were preincubated for 72 h with 0.1% ethanol (lanes 1–6), silibinin alone (lanes 7–9), or in combination with 1,25D (lanes 10–12) followed by treatment with vehicle (H_2O , lanes 1–3) or cycloheximide (CHX, lanes 4–12) for 0–8 h, as indicated. Cells were then lysed and protein levels were determined by Western blotting. Calreticulin (CRN) was used as the protein loading control. Representative blots of 3 independent experiments are shown. (d) Graphical representation of changes in RXR α protein levels (determined as shown in panel (c)) relative to 0 h (lanes 1, 4, 7, 10, resp., for corresponding treatments); means \pm SE; $n = 3$. $\blacklozenge P < 0.05$.

a few transcription factors, for example, lymphoblastic-leukemia-derived sequence 1 (LYL1) [47] and homeobox B6 (HOXB6) [48], were associated with inhibition of monocytic differentiation of myeloid precursors. Although it was found that ERK5 does not directly phosphorylate RXR α in response to 1,25D [49], the relationship between the other negative regulators of monocytic differentiation and RXR α expression

or function remains to be elucidated. Since Nrf2 may function as a positive regulator of RXR α expression [11], the failure of SIL to stimulate the Nrf2/ARE in U937 cells may contribute to its negative effect on RXR α levels and, thereby, on 1,25D-induced differentiation of these cells. The reason why, in contrast to CA, SIL can activate Nrf2/ARE in HL60 cells but not in U937 cells is unclear and may be related to

possible differences in the metabolic fate of SIL in the two cell types.

In conclusion, our results demonstrate that the contrasting effects of SIL on the differentiation of myeloblastic (HL60) and promonocytic (U937) AML cells are related, at least in part, to its opposing modulation of the VDR signaling pathway. We suggest that U937 cells may present a model for patient-derived leukemic blasts which respond to SIL [16, 17] and similarly acting compounds by inhibition of 1,25D-induced differentiation. SIL has been shown to inhibit proliferation of various types of cancer cells (see [50, 51] for recent reviews). Likewise, this polyphenol demonstrated a marked antiproliferative activity in both AML cell lines tested here, even though it negatively affected the differentiation of U937 cells. Therefore, further preclinical studies are required to determine the potential use of different plant polyphenols for AML treatment and/or prevention.

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Review Article

Regulation of Leukemic Cell Differentiation through the Vitamin D Receptor at the Levels of Intracellular Signal Transduction, Gene Transcription, and Protein Trafficking and Stability

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1 α ,25-Dihydroxyvitamin D₃ (1,25(OH)₂D) exerts its biological activities through vitamin D receptor (VDR), which is a member of the superfamily of steroid receptors, that act as ligand-dependent transcription factors. Ligated VDR in complex with retinoid X receptor (RXR) binds to regulatory regions of 1,25(OH)₂D-target genes. 1,25(OH)₂D is able to induce differentiation of leukemic blasts towards macrophage-like cells. Many different acute myeloid leukemia (AML) cell lines respond to 1,25(OH)₂D by increasing CD14 cell surface receptor, some additionally upregulate CD11b and CD11c integrins. In untreated AML cells VDR protein is present in cytosol at a very low level, even though its mRNA is continuously expressed. Ligation of VDR causes protein stabilization and translocation to the cell nuclei, where it regulates transcription of target genes. Several important groups of genes are regulated by 1,25(OH)₂D in HL60 cells. These genes include differentiation-related genes involved in macrophage function, as well as a gene regulating degradation of 1,25(OH)₂D, namely CYP24A1. We summarize here the data which demonstrate that though some cellular responses to 1,25(OH)₂D in AML cells are transcription-dependent, there are many others which depend on intracellular signal transduction, protein trafficking and stabilization. The final effect of 1,25(OH)₂D action in leukemic cells requires all these acting together.

1. Introduction

The primary role of 1 α ,25-dihydroxyvitamin D₃ (1,25(OH)₂D) is to maintain calcium and phosphate homeostasis in vertebrate organisms via actions in the intestine, bones, kidneys, and parathyroid glands. However, it is well known that physiological roles of 1,25(OH)₂D reach much beyond calcium and phosphate homeostasis. For example, 1,25(OH)₂D induces differentiation and inhibits proliferation of various normal and cancer cells, including osteoclasts, keratinocytes, and monocytes. In 1981 the group of Suda observed that 1,25(OH)₂D was able to induce differentiation in the M1 murine myeloid cell line [1] and that it extended the survival of mice inoculated with leukemia cells [2]. Since then many research projects have been performed in order to prepare ground for clinical use of 1,25(OH)₂D or of its low calcemic analogs in leukemia treatment [3–5].

There are two major signal transduction pathways activated by 1,25(OH)₂D in target cells. The most important and the best documented is the so-called “genomic pathway,” with its most important player a vitamin D receptor (VDR). The less well described is “nongenomic pathway,” which consists of intracellular signalling molecules, such as mitogen-activated protein kinases (MAPKs), phosphatidylinositol 3-kinase (PI3K), and others, activated by mechanisms that are not fully understood now [6]. It is believed that both pathways need to be activated for full biological activity of 1,25(OH)₂D and that the most probable mediator of these actions is a putative membrane VDR (mVDR) [6].

VDR belongs to the superfamily of intracellular receptors for steroid and thyroid hormones. 48 members of the superfamily have been identified in humans; they act as ligand-induced transcription factors [7]. Most of the superfamily

members, in order to be biologically active, form homo- or heterodimers. For VDR, retinoid X receptor (RXR) is a dimerization partner. VDR upon ligation undergoes conformational changes that allow binding to specific sequences in promoter regions of target genes, called vitamin D response elements (VDREs). VDREs are composed of two repeated half-sites with the consensus sequence AGGTCA-*c*-AGGTCA (VDRE-DR3). Binding of 1,25(OH)₂D to VDR enhances heterodimerization with RXR and allows binding of the coactivator complex, known as vitamin D receptor-interacting protein complex (DRIP) [8] and of other proteins, histone acetylase among them. Acetylated histones relax chromatin structure to make DNA accessible and permit initiation of transcription of target genes [9]. VDR may be ligated not only with 1,25(OH)₂D but also with other compounds such as lithocholic acid, docosahexaenoic acid, arachidonic acid, or curcumin [10]. Moreover, there are about 300 compounds closely related to 1,25(OH)₂D, called 1,25(OH)₂D analogs, which can bind VDR and exert changed biological properties. Subtle conformational changes in VDR structure caused by analogs can produce antagonistic, agonistic, or even superagonistic effects. There are even some analogs that exert semiselective activities, with lowered calcemic and increased antiproliferative and cell differentiating effects [11].

The VDR protein is expressed at low concentrations in target tissues and cultured cells with the level of receptor expression ranging from a few copies of the VDR/cell to 25 000 copies/cell [7]. Among blood cells VDR is expressed in Tcells, Bcells, monocytes, neutrophils, platelets, macrophages, and dendritic cells. Also many different myeloid leukemia cell lines, blocked at various stages of maturation, expressed mRNA for VDR; however, the expression levels were variable [12]. Addition of 1,25(OH)₂D to certain acute myeloid leukemia (AML) cells induces dramatic changes in their phenotype and function; however, the extent of these changes is various in various cell lines.

The activation of MAPK/Erk1,2 signal transduction pathway in AML cells in response to 1,25(OH)₂D was for the first time reported in 1997 [13], and it was later shown to be important for the process of AML cell differentiation [14]. The exact mechanisms of how MAPK/Erk1,2 participate in the differentiation process are not known; however, they are being connected with a proliferative phase of AML cells differentiation [15]. MAPK/JNK pathway, whose activation was reported later [16], appeared to be involved in a subtle way in regulation of 1,25(OH)₂D-dependent transcription factors [17]. Another MAP kinase, p38, has antagonistic effects to both MAPK/Erk1,2 and MAPK/JNK [16, 18]. Also activation of PI3K signal transduction pathway in AML cells exposed to 1,25(OH)₂D has been reported [19] and was later shown to be responsible for activation of myeloid zinc finger-1 (MZF-1) transcription factor, which in turn participates in regulation of proteins crucial for macrophage function [20].

2. Nuclear Trafficking of VDR

VDR to fulfil its function of nuclear receptor must be transported into the nucleus [21]. In eukaryotic cells nucleoplasm

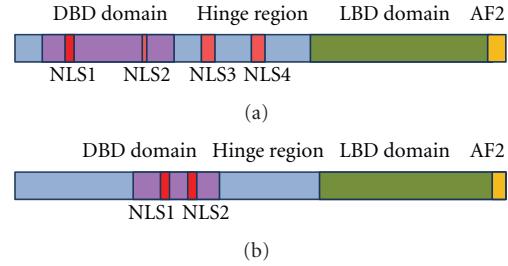


FIGURE 1: Localization of nuclear import domains (NLS) in VDR and RXR. NLS segments in VDR (a) and in RXR (b). Based on [26].

and genetic material are separated from the cytosol by a double membrane which contains highly selective, bidirectional transporter channel called nuclear pore complex (NPC) [22]. NPC is composed of nearly 30 proteins termed nuclear pore complex components or “nucleoporins” (NUPs) [22] which occur in multiple copies [23]. Nuclear import of proteins through NPC is mediated by transporter proteins, such as importin α and importin β , which bind cargoes through nuclear localisation signal (NLS) and interact with NUPs. Complex cargo-importin α binds importin β which interacts with Ran-GDP protein [21]. Ran-GDP exists mostly in cytoplasm, whereas Ran-GTP in nuclei and this GTP gradient ensures the right direction of nuclear transport [21]. High concentration of Ran-GDP promotes the formation of import complex, while high concentration of Ran-GTP dissociates them and promotes formation of export complexes [24]. To complete the cycle importin α and importin β must be transported back to the cytoplasm. To ensure Ran-GTP gradient, in the cytosol Ran-guanosine triphosphatase activating protein (RanGAP) stimulates the intrinsic GTP-hydrolyzing activity of Ran to form Ran-GDP. Hydrolysis of Ran-GTP to Ran-GDP causes release of importin β for the next cycle.

To overcome the NPC, large molecules, such as nuclear receptors, harbour NLSs recognized by the transporter proteins which interact with NUPs in NPC. In VDR four NLSs were identified (Figure 1(a)). First (NLS1) is localized between two zinc fingers within DNA-binding domain (DBD) [29]. The second NLS is in the second zinc finger of the DBD but data show that NLS2 does not function as an obligatory NLS. The next two are localized in the hinge region of VDR. NLS3 (102–110) is important for ligand-induced nuclear localisation of VDR but has no effect on unligated VDR nuclear import. NLS4 (154–173) is a short segment without a confirmed function. The RXR which is a partner protein for VDR has two NLSs, the first localized between two zinc fingers in DBD and the second in the second zinc finger (Figure 1(b)) [26]. Some data demonstrated that VDR shuttles between nucleus and cytoplasm in the absence of ligand, but unligated VDR weakly interacts with importin α [27]. However, nuclear trafficking of unligated VDR involves importin 4 through the interaction with the aminoterminal of VDR [21]. Binding the ligand promotes heterodimerization with RXR and enhances nuclear localization. Whereas RXR is predominantly localized in the nuclei even in the absence

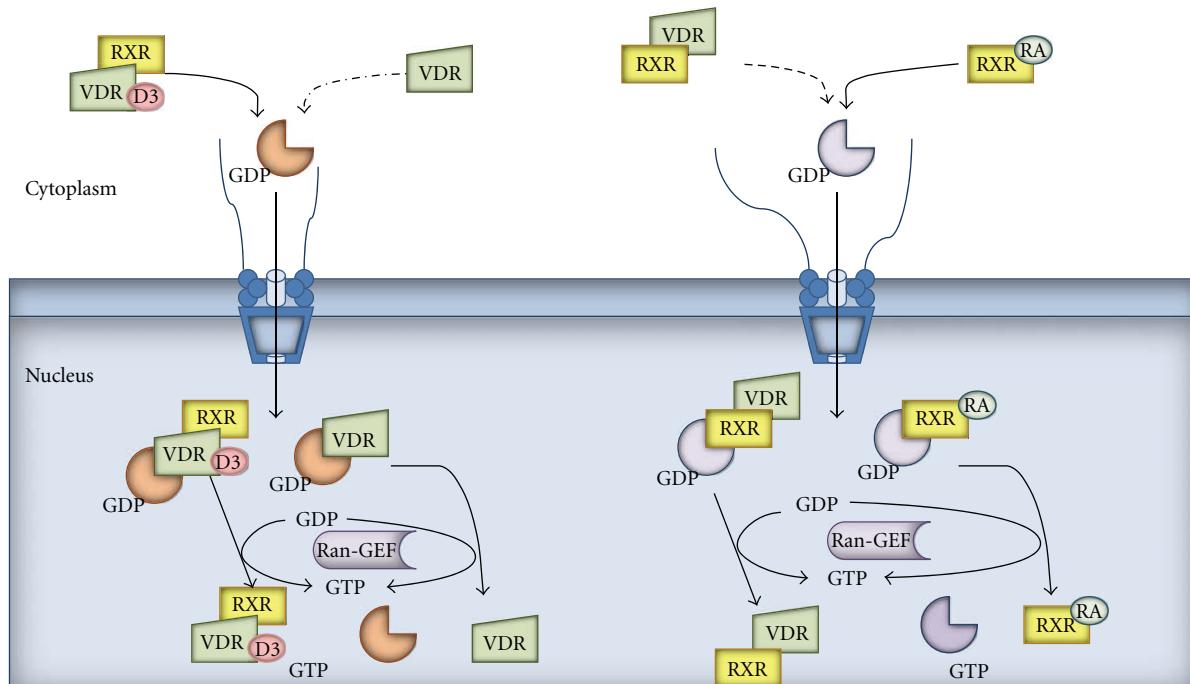


FIGURE 2: Nuclear import of VDR, RXR, and their heterodimer. GTP: guanosine 5'-triphosphate, GDP: guanosine diphosphate, α : importin α , β : importin β , and RA: retinoic acid. Based on [27, 28].

of ligand, there is also a cytoplasmic fraction of RXR that is translocated to the nucleus in the presence of its ligand. The nuclear import of RXR is mediated through importin β , while that of VDR is mediated through importin α (Figure 2) [27]. In their publication Prüfer and Barsony showed that VDR is imported using two different pathways: ligand-dependent and ligand-independent. In ligand independent pathway RXR is the dominant partner for nuclear translocation, whereas ligated VDR dominates the mobility of RXR [28]. Binding 1,25(OH)₂D stabilizes heterodimer VDR:RXR and its interaction with importin α but inhibits interaction of RXR with importin β [27].

In order to let proteins out of the nucleus, exportin named the chromosomal region maintenance 1 protein (CRM1) recognizes proteins containing leucine-rich nuclear export signals (NESs). Export complex formation is favored by high concentrations of RanGTP in the nucleus, which facilitate specific interactions with nucleoporins at the nuclear basket for appropriate translocation through the NPC [25]. VDR utilizes two pathways of nuclear export: ligand dependent and ligand independent. Unligated VDR uses a CRM1 export mechanism using NES localized in position 320–325 in LBD [28]. Mechanism for liganded VDR is CRM1 independent and requires DBD which functions as NES [30].

3. Differentiation of Human AML Cell Lines in Response to 1,25(OH)₂D

One of the major processes in the array of anticancer actions of 1,25(OH)₂D is differentiation of AML cells. Differentiation in AML cells consists of a G0/G1 cell cycle block [32], which is connected to an increase of proteins p21 and p27

[33], an increase in expression of antiapoptotic proteins [34], and acquisition of functional and phenotypic features characteristic for normal macrophages. Functional features are connected with an ability to phagocytose [35], with increased activity of monocyte specific esterase (MSE) [36], and with an ability to generate reactive oxygen species (ROS) and reduce nitroblue tetrazolium (NBT) [37]. Differentiation is also accompanied by upregulation of certain cell surface molecules, which are necessary for macrophage function, such as CD14, which is a coreceptor for lipopolysaccharide (LPS), as well as CD11b, which is a subunit of $\alpha_M\beta_2$ integrin or CD11c, an integrin α_X , both involved in the cell adhesion [31, 38]. The process of 1,25(OH)₂D-induced AML cell differentiation is not fast, it requires 3–4 days to reach plateau in expression of cell surface antigens, but differences in cell phenotype and function are spectacular (Figure 3). Since differentiation of blood cells may have beneficial effects, therapeutic applications for 1,25(OH)₂D have been postulated. However, a major limitation for therapeutic use of 1,25(OH)₂D is its potent calcemic and phosphatemic activity. The doses of 1,25(OH)₂D, which are necessary to inhibit cell proliferation and induce differentiation, produce *in vivo* hypercalcemia and hyperphosphatemia that may be life threatening. Therefore there is a need for new 1,25(OH)₂D analogs that retain high differentiating and antiproliferative activities with minimal or tolerable calcemic and phosphatemic effects [39]. Many such analogs were tested in our laboratory and their activities in inducing differentiation of various AML cell lines, as well as differentiation of leukemic blasts from the peripheral blood of AML patients, were studied.

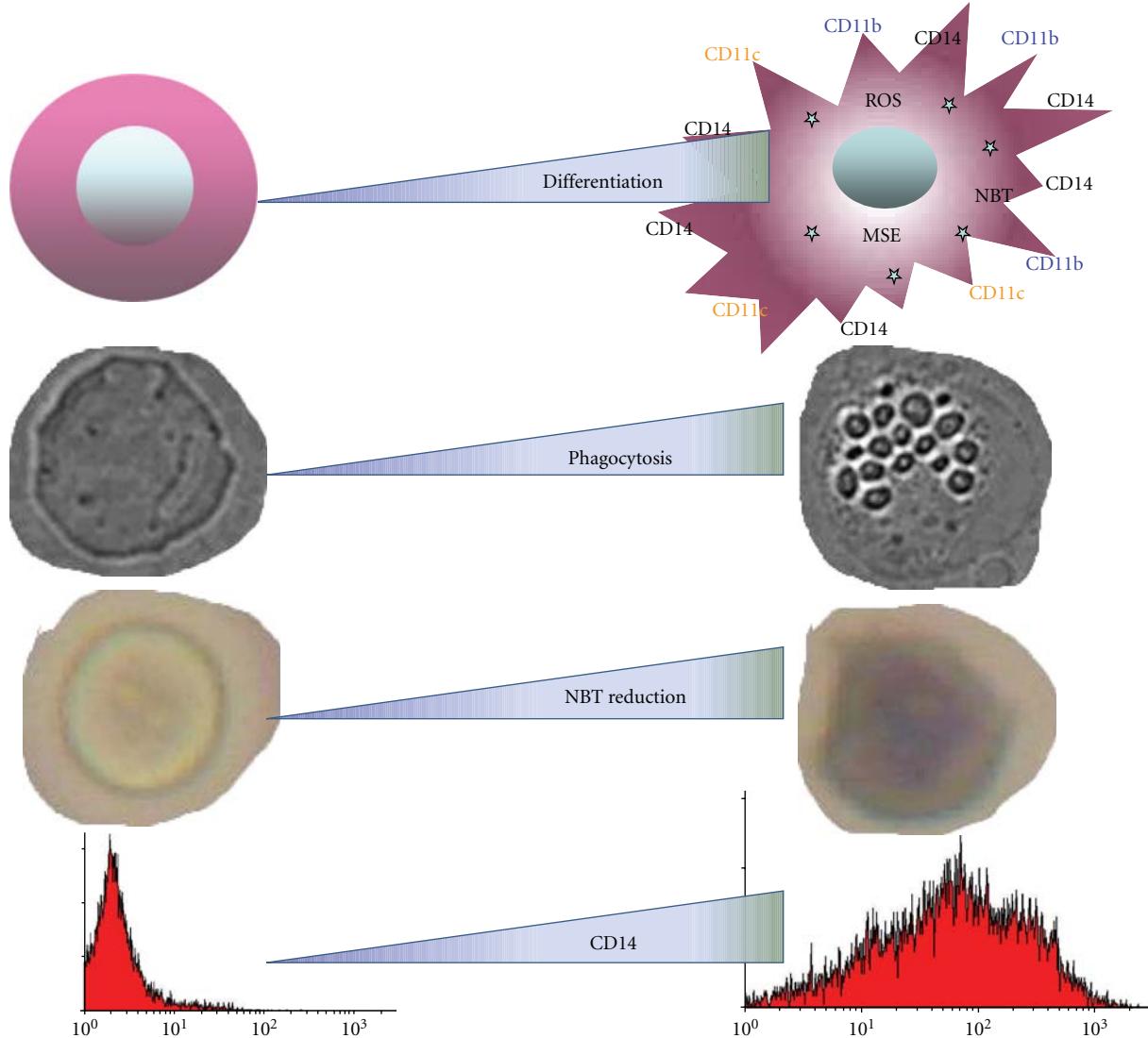


FIGURE 3: 1,25(OH)₂D-induced changes in AML cells. MSE: monocyte specific esterase; ROS: reactive oxygen species; NBT: nitroblue tetrazolium, CD14: co-receptor for LPS, CD11b: subunit of $\alpha_M\beta_2$ integrin, CD11c: integrin α_X . Based on [31].

4. Side-Chain Modified Analogs of Vitamin D₂ and Vitamin D₃

In our previous papers we described prodifferentiating activities of various side-chain modified analogs of vitamin D₃, PRI-2191, PRI-2201, PRI-2202, and PRI-2205 and of vitamin D₂, PRI-1906, PRI-1907, PRI-1908, and PRI-1909 [40, 41, 45–48]. We studied their pro-differentiating activities towards various human AML cell lines with genetic lesions characteristic for AML. Our studies indicated that some of the tested analogs were more potent than 1,25(OH)₂D in induction of cell differentiation. The most efficient were the two analogs of vitamin D₃, named PRI-2191 and PRI-2201, and the two analogs of vitamin D₂, PRI-1906 and PRI-1907 [40–42]. Interestingly, one of the most active analogs tested by our group was PRI-2191, which in fact is a 1,25(OH)₂D metabolite, already in use for treatment of psoriasis [41, 49]

and a potential drug for vitiligo [50]. The four analogs mentioned above were tested *in vivo* for their calcemic activity. Results of these studies showed that PRI-2191, PRI-2201, and PRI-1906 were less calcemic than 1,25(OH)₂D, while PRI-1907 was comparable to 1,25(OH)₂D [48, 51, 52].

The cell lines used in our studies were derived from various AML subtypes. HL60 cells originate from M2 subtype of AML and are the most sensitive to 1,25(OH)₂D-induced differentiation out of all cell lines used in our tests. NB-4 cells carry the t(15;17) PML-RARA fusion gene, which is characteristic for AML M3, U937 cells carry translocation t(10;11) often seen in AML M5, MV4-11 cells express fusion gene MLL-AF4, and MOLM13 cells express fusion gene MLL-AF9. Moreover, MOLM13 and MV4-11 have an internal tandem duplication in Flt3 gene (Flt3-ITD), in one or in both alleles, respectively [53]. Our studies revealed that in all cell lines studied, 1,25(OH)₂D and its active analogs were

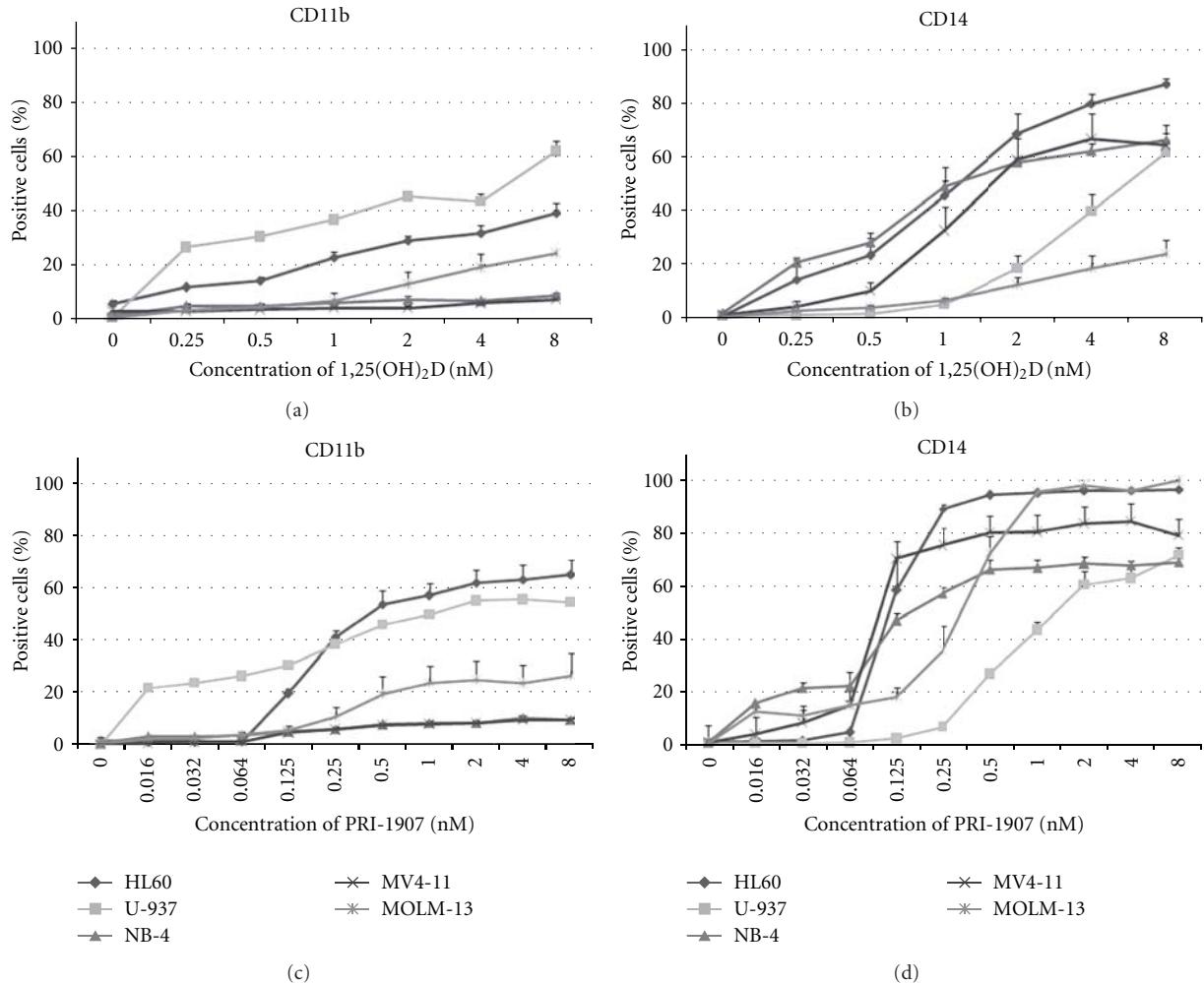


FIGURE 4: Expression of monocytic differentiation markers in AML cell lines exposed to either 1,25(OH)₂D or to PRI-1907. HL60, U-937, NB-4, MV4-11, and MOLM-13 cells were exposed either to 1,25(OH)₂D (a, b) or to PRI-1907 (c, d) for 96 h, and then the expression of CD11b and CD14 was tested in flow cytometry. The graphs show mean percentages (\pm SEM) of cells expressing cell differentiation markers. Based on [40].

able to upregulate CD14 cell surface antigen, while only in some cell lines CD11b integrin level was elevated (Figure 4). These data demonstrate that the expressions of CD14 and CD11b are controlled by two different signal transduction pathways, and in some AML cells CD11b pathway is blocked and cannot be overcome by 1,25(OH)₂D.

5. VDR in Human AML Cell Lines

Experiments made by our group showed that AML cell lines have very low constitutive level of VDR protein, which increases significantly after exposure of the cells to 1,25(OH)₂D. Therefore if the proposed nuclear import and export of unligated VDR exists in AML cells, it remains at a very low level, which is difficult to detect. 1,25(OH)₂D-induced changes in nuclear trafficking of VDR could be observed using confocal microscopy, but western blotting of cell lysates fractionated into cytosol and nuclei appeared to be a much more sensitive method of VDR detection [54]. The kinetics of VDR accumulation in AML cells is surprisingly

fast after exposure of the cells to 1,25(OH)₂D. In HL60 cells, VDR starts to accumulate in the cell nuclei after few minutes from exposure to 1,25(OH)₂D [42] and after half of an hour the difference is significant (Figure 5(a)). However, most of our studies were performed using HL60 cell line; also in other cell lines (Figure 5(b)) and in AML blasts (Figure 5(c)) accumulation of VDR in cell nuclei was observed [42, 54]. Obviously, the increase has not been caused through transcription of new mRNA for VDR since mRNA levels remained almost unchanged by 1,25(OH)₂D, as confirmed by real-time PCR (not shown). Therefore the mechanism of accumulation of VDR must be regulated at post transcriptional level, and according to our data it is caused by ligation-induced protection of VDR protein from degradation [42]. It seems that VDR is continuously produced in the cytosol, and as long as it is unligated, it undergoes degradation. Ligation of VDR with 1,25(OH)₂D induces rapid translocation of the receptor to the cell nuclei, where degradation process is slower. For effective nuclear trafficking, active p42,44/MAPK pathway and active PI3-K pathway are needed; however,

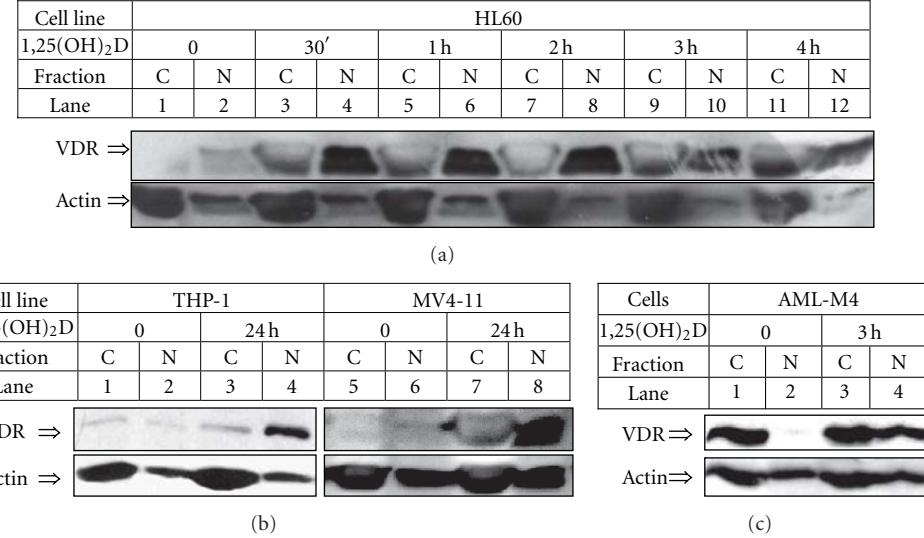


FIGURE 5: Expression of VDR protein in AML cells exposed to 1,25(OH)₂D. AML cells after incubation for indicated times with 10 nM 1,25(OH)₂D were lysed and fractionated into the cytoplasmic (C) and nuclear (N) fractions. The lysates from equal numbers of cells were separated in SDS-PAGE and blotted to the membrane. The membrane was probed with anti-VDR. Actin was probed as a control of equal loading and transfer of proteins. (a) HL60 cells, (b) THP-1, MV4-11 cells, and (c) AML-M4 blasts from patient's peripheral blood. Based on [41, 42] and on unpublished data.

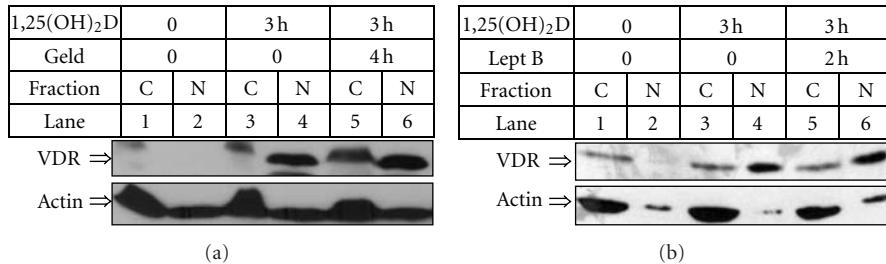


FIGURE 6: Nuclear trafficking of VDR in presence of geldanamycin (a) or leptomycin B (b). HL60 cells were exposed to 10 nM 1,25(OH)₂D for 3 h. One sample was pretreated with 1 μM geldanamycin (geld) for 1 h before exposure to 1,25(OH)₂D (a). Another sample was treated with 5 mg/ml of leptomycin B (lept B) for the last two hours of incubation (b). After incubation the cells were lysed and fractionated into the cytoplasmic (C) and nuclear (N) fractions. The lysates from equal numbers of cells were separated in SDS-PAGE and blotted to the membrane. The membrane was probed with anti-VDR. Actin was probed as a control of equal loading and transfer of proteins.

the mechanism of this effect is not known [54]. It was surprising that in most of freshly isolated AML cells from patients constitutive level of VDR in cytosol was higher than in established cell lines [41]. Unfortunately we were unable to test this phenomenon in a more detailed manner, because neither of the patient's samples was possible to be cultured *in vitro* for longer than two-three weeks. It is known; however, that other nuclear receptors become stabilized in the cytosol by heat shock proteins (Hsp) [55, 56], so the involvement of this class of proteins was addressed. We demonstrated recently that, in HL60 cells, VDR interacts with Hsp90 and that activation of Hsp90 is necessary for the differentiation process [57], but our new experiments documented that activation of Hsp90 is not necessary for nuclear translocation of VDR. Geldanamycin, which inhibits activity of Hsp90, was not able to block 1,25(OH)₂D-induced nuclear accumulation of VDR (Figure 6(a)). VDR protein appears in the nuclei of 1,25(OH)₂D-treated cells

very fast but disappears slowly. Our experiments in which HL60 cells were exposed to 1,25(OH)₂D and an inhibitor of CRM1, namely, leptomycin B, confirmed that nuclear export of ligated VDR is CRM1 independent. As presented in Figure 6(b), presence of leptomycin B did not cause further accumulation of VDR in cells exposed to 1,25(OH)₂D. As it was presented before, VDR protein levels remain elevated even after 4 days from exposure of HL60 cells to 1,25(OH)₂D [42]. During this time VDR activates transcription of its target genes. One of them is CYP24A1, which encodes an enzyme, 24-hydroxylase of 1,25(OH)₂D, responsible for degradation of 1,25(OH)₂D to calcitriol acid. As presented in our previous publication, 1,25(OH)₂D increased in HL60 cells levels of CYP24A1 mRNA significantly; however, kinetics of induction was very slow [40]. CYP24A1 protein is localized exclusively in an inner membrane of mitochondria, where its levels also increase slowly after exposure to 1,25(OH)₂D (not shown here).

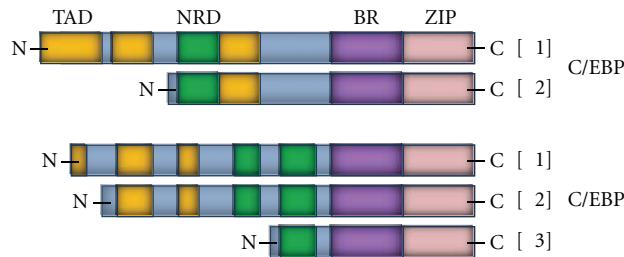


FIGURE 7: Schematic representation of the C/EBP α and C/EBP β isoforms. TAD: transcription activation domain, NRD: negative regulatory domain, BR: basic leucine zipper, and ZIP: leucine zipper. Based on [43, 44].

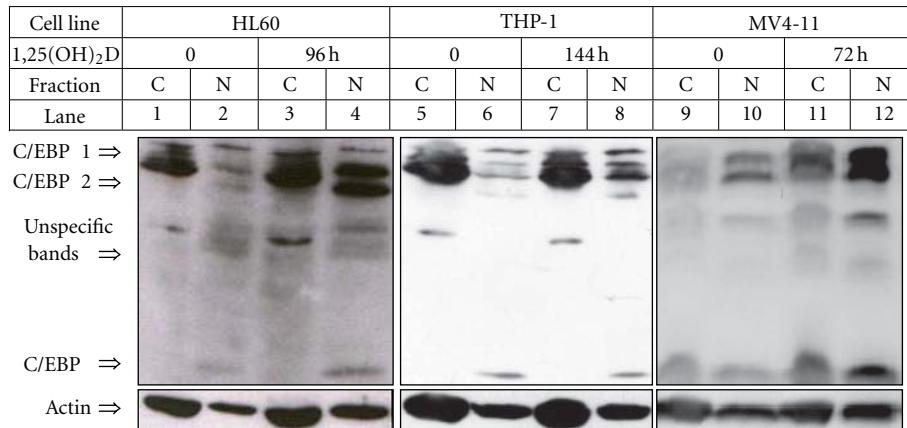


FIGURE 8: Subcellular localization of C/EBP β isoforms in AML cells. The cells were exposed to 10 nM 1,25(OH) $_2$ D for given times and then were fractionated into cytoplasmic (C) and nuclear (N) fractions. The lysates from equal numbers of cells were separated in SDS-PAGE and blotted to the membrane. The membranes were probed with anti-C/EBP β antibody. Actin was probed as a control of equal loading and transfer of proteins.

6. Regulation of C/EBP Transcription Factors by 1,25(OH) $_2$ D in AML Cells

There are several important groups of genes regulated by 1,25(OH) $_2$ D in AML cells, including differentiation-related genes that encode proteins important for function of monocytes or macrophages. The examples of such are genes encoding CAAT-enhancer binding proteins (C/EBPs), belonging to the family of basic leucine zipper (bZIP) transcription factors [44, 58, 59]. There are six genes for different C/EBPs (α , β , γ , δ , ϵ , and ζ) which are expressed in hematopoietic cells, hepatocytes, adipocytes, spleen, kidney, brain, and others. They can form homodimers and heterodimers with other family members and with other transcription factors. The C/EBP proteins contain highly conserved bZIP domain at the C-terminus, an activation domain at the N-terminus and some other regulatory domains (Figure 7) [43]. In hematopoietic cells C/EBP α is necessary mainly for differentiation from lymphoid-myeloid progenitors to granulocytes [60], while C/EBP β is crucial for specialization of normal and 1,25(OH) $_2$ D-induced monocytes and macrophages, as well as for their proper functions [61–64], as it regulates transcription of many monocyte-specific proteins, such as CD14, lactoferrin, or lysozyme [43]. Recently an involvement of C/EBP β in differentiation-related inhibition of proliferation was reported [65]. Because of alternative translation

initiations sites, two different products of C/EBP α (42 kDa, 30 kDa) and three products of C/EBP β genes are translated (55 kDa, 49 kDa, 20 kDa) [43, 66]. As presented in Figure 7, 30 kDa form of C/EBP α and 20 kDa form of C/EBP β are devoid of portions of N-termini where transactivation domains are localized. They are, however, still able to dimerize, and therefore they can play an inhibitory function. Experiments done by groups of Studzinski and ours have shown that after exposure of HL60 to 1,25(OH) $_2$ D C/EBP α was only transiently upregulated in an early phase of differentiation, whilst upregulation of C/EBP β was strong, long-lasting and correlated with the differentiation process [67]. Specially two shorter C/EBP β isoforms were abundant in differentiating cells and their increase correlated with acquisition of monocytic differentiation markers, such as CD11b, CD14 [67], or CD11c (not shown).

Transcriptional activity of C/EBP proteins is regulated not only by their length and dimerization but most importantly by their intracellular localization. As transcription factors, C/EBPs must enter the cell nuclei to bind CCAAT box motif in their target gene promoters [44, 66]. Therefore, cellular trafficking of C/EBPs was extensively studied by our group. Again, studied cells were fractionated into cytosol and nuclei. As shown in Figure 8, after exposure of the cells to 1,25(OH) $_2$ D, the full length isoform of C/EBP β -1 (55 kDa) is present in either cytoplasmic or nuclear fraction, whilst

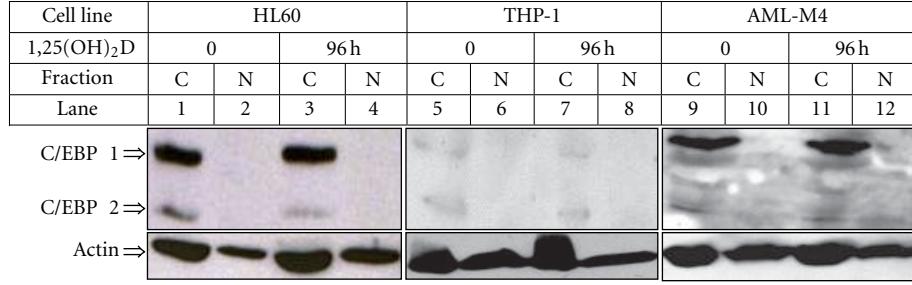


FIGURE 9: Subcellular localization of C/EBP α isoforms in AML cells. HL60 and AML-M4 blasts from patient's peripheral blood were exposed to 10 nM 1,25(OH)₂D for 96 h and then were fractionated into cytoplasmic (C) and nuclear (N) fractions. The lysates from equal numbers of cells were separated in SDS-PAGE and blotted to the membrane. The membrane was probed with anti-C/EBP α antibody. Actin was probed as a control of equal loading and transfer of proteins.

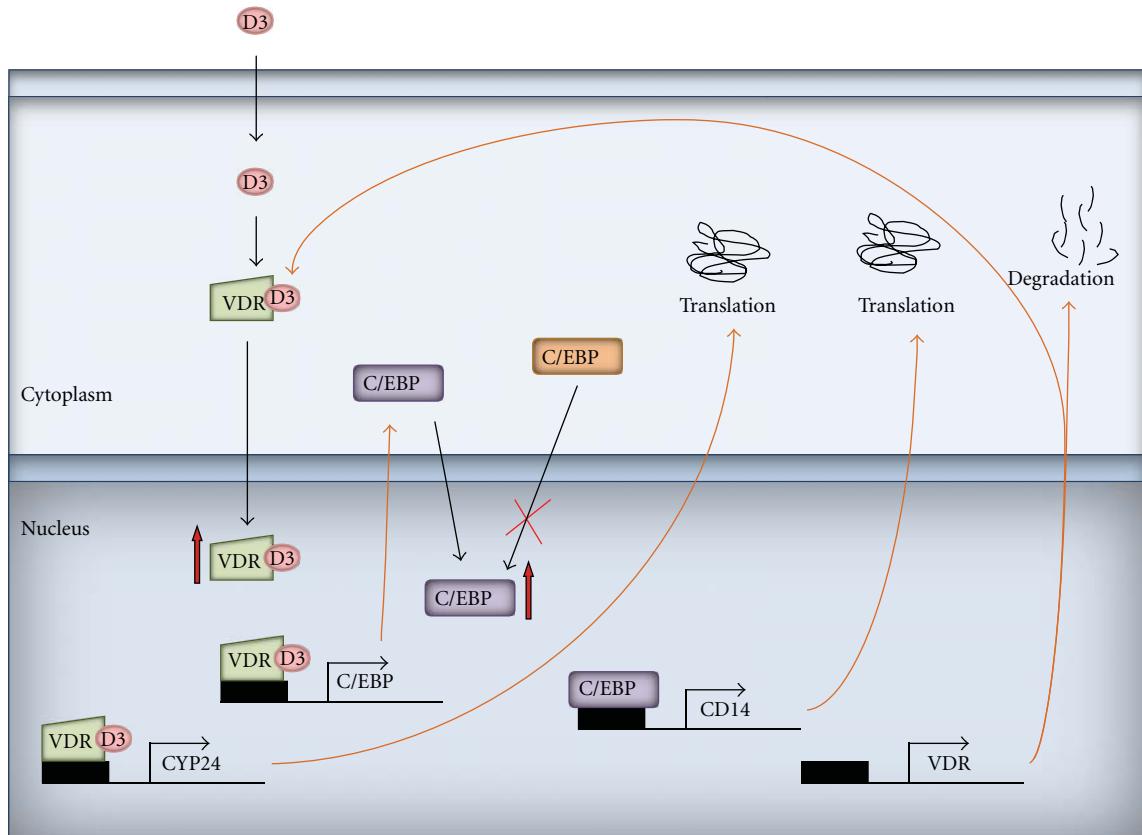


FIGURE 10: Overview of 1,25(OH)₂D-induced intracellular events in AML cells. Description is in the text.

the majority of shorter isoforms C/EBP β -2 (49 kDa) and C/EBP β -3 (20 kDa) are placed in the nuclei of the cells. Similar results were observed in various AML cell lines, such as THP-1, MV4-11, or MOLM-13 and in some samples of AML blasts isolated from patients. But when localization of C/EBP α was tested in fractionated cells, it appeared to be cytoplasmic, and no translocation was noticed after various times of exposure of the cells to 1,25(OH)₂D. As examples, HL60, THP-1 cell lines, and AML blasts from patient's peripheral blood are presented (Figure 9). These findings suggest that, in AML cells, even if not mutated, C/EBP α is transcriptionally inactive what leads to the disturbances

in granulopoiesis. Elevated expression of C/EBP β and its nuclear translocation induced by 1,25(OH)₂D can possibly allow the cells to bypass this block and switch differentiation into monocyte/macrophage pathway. The above hypothesis was presented before [68] and is further supported by the findings shown here, that in AML cells C/EBP α is localized in the cytosol, where it cannot exert its transcriptional activity.

7. Conclusions

Exposure of AML cells to 1,25(OH)₂D or to its analogs triggers a long series of events which eventually lead to

acquisition of monocyte/macrophage phenotype and function (some of them presented in Figure 10). The detailed description of 1,25(OH)₂D-induced differentiation in cell line models, as well as in AML blasts isolated from patients might be important for future therapeutic applications of 1,25(OH)₂D analogs. It is especially significant to learn which differentiation pathways are blocked in certain AML subtypes and how they could be bypassed with help of pharmacological agents.

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Review Article

The Interface between BCR-ABL-Dependent and -Independent Resistance Signaling Pathways in Chronic Myeloid Leukemia

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Chronic myeloid leukemia (CML) is a clonal hematopoietic disorder characterized by the presence of the Philadelphia chromosome which resulted from the reciprocal translocation between chromosomes 9 and 22. The pathogenesis of CML involves the constitutive activation of the BCR-ABL tyrosine kinase, which governs malignant disease by activating multiple signal transduction pathways. The BCR-ABL kinase inhibitor, imatinib, is the front-line treatment for CML, but the emergence of imatinib resistance and other tyrosine kinase inhibitors (TKIs) has called attention for additional resistance mechanisms and has led to the search for alternative drug treatments. In this paper, we discuss our current understanding of mechanisms, related or unrelated to BCR-ABL, which have been shown to account for chemoresistance and treatment failure. We focus on the potential role of the influx and efflux transporters, the inhibitor of apoptosis proteins, and transcription factor-mediated signals as feasible molecular targets to overcome the development of TKIs resistance in CML.

1. Introduction

Chronic myeloid leukemia (CML) is a myeloproliferative disorder that results from the reciprocal translocation of the *ABL1* oncogene on chromosome 9 with the breakpoint cluster region (*BCR*) gene on chromosome 22 [*t*(9; 22)], leading to the formation of the BCR-ABL oncprotein. The shortened chromosome 22 formed by this translocation is the Philadelphia (Ph) chromosome. The *BCR-ABL* fusion oncogene, which is responsible for the pathogenesis of CML, has greatly enhanced *ABL1* tyrosine kinase constitutive activity [1]. CML is characterized by a biphasic evolutive course. Most patients are diagnosed in the chronic phase (CML-CP), which is characterized by the absence of symptoms in half of the patients. However, a prominent leukocytosis is frequently observed by routine testing. In the other half of patients, symptoms are common and include splenomegaly, weight loss, lethargy, and anemia [2]. The disease may progress either directly to blast phase (BP) or through an intermediate accelerated phase (AP). The time course for

progression to BP is variable and the molecular mechanisms underlying disease progression are extremely complex. BCR-ABL-dependent pathways to blast transformation include an increase in genomic instability, telomere shortening, loss of tumor-suppressor function, and inhibition of tumor suppressors with cell regulatory functions [2, 3].

In order to identify prognostic factors for CML patients, many clinical and biological characteristics have been analyzed. Sokal risk score (based on spleen size, age, platelet count, and peripheral blood blast) is a prognostic factor widely used for prediction of cytogenetic response and of progression-free and overall survival in CML-CP with imatinib as front-line therapy. Other factor predictors for therapy response include OCT-1 activity, *ABCB1*/P-glycoprotein overexpression and polymorphisms, *in vivo* measurement of the Crkl phosphorylation, and molecular response [4].

The treatment of CML-CP can be divided into pre-imatinib and post-imatinib era. Prior to the imatinib era, busulphan and interferon- α recombinant [5, 6] were used to

control and to prolong CML survival in the CP phase, but allogenic stem-cell transplantation was, and is still, the only therapy with potential for curing CML patients [7]. After the introduction of imatinib, a potent tyrosine kinase inhibitor (TKI), there was a dramatic change in the CML outcome. Imatinib acts by binding to the BCR-ABL protein in the inactive conformation and is unable to bind to the active configuration [8]. The survival rate attributed to imatinib is arguably more elevated than interferon-based therapy [9]. In addition, imatinib is generally well tolerated [10]. Imatinib treatment is associated with high rates of complete cytogenetic and major molecular responses in patients with CML-CP. On the other hand, despite improvements related to survival by using imatinib or other TKIs, CML-BP prognosis remains disappointing [11].

Currently, imatinib is the standard therapy for all CML phases [12–14]. Despite the clinical success with imatinib demonstrating long-term survival for the majority of patients, one-third of patients need an alternative therapy, frequently a second-generation TKI, such as dasatinib and nilotinib. Patients who need second-line therapy include those with imatinib intolerance [10] or mainly primary or acquired imatinib resistance [15, 16].

The most common mechanism of resistance to imatinib is the development of point mutations or amplification of the *BCR-ABL* gene, which alters the kinase domain (KD) of BCR-ABL and is responsible for imatinib loss of efficacy [17]. KD mutations can be found at any phase of CML. Not all KD mutations are responsible for TKI resistance. However, T315I mutation is generally resistant to all TKIs [18].

BCR-ABL acts with other multiple cellular and genetic events that accumulate progressively to drive the disease into the blast phase. Therefore, additional mechanisms—dependent or independent to BCR-ABL—may also account for resistance to imatinib treatment and result in a poor outcome. In this review, the role of efflux and influx transporters, inhibitor of apoptosis proteins (IAP), and transcription factors as additional mechanisms responsible for chemoresistance in CML will be discussed.

1.1. Efflux and Influx Transporters. The multidrug resistance (MDR) phenotype related to increased expression of efflux pumps, such as ABCB1/P-glycoprotein (Pgp) and ABCG2/breast-cancer-related protein (BCRP), is one of the most studied mechanisms of resistance in CML. More recently, the decrease in influx transporters, such as the organic cation transporter-1 (Oct-1), has also emerged as a mechanism responsible for inefficient drug uptake and consequent treatment failure [16, 19].

1.1.1. ABCB1/P-Glycoprotein. The most common mechanism developed by tumor cells to escape a drug-induced death is displayed in intrinsic or acquired MDR phenotype by the overexpression of the drug-efflux protein ABCB1 [20, 21]. ABCB1, a product of the *ABCB1* gene, was first described in 1976 by Juliano and Ling, who observed a cell surface glycoprotein that altered drug permeability in hamster drug-resistant cells. Human cells also express ABCB1 on the cell

surface, acting as a drug efflux pump and, consequently, decreasing intracellular drug concentration [22, 23]. Meanwhile, physiological ABCB1 expression has been identified in some tissues, particularly on the membranes of kidney tubules, in the canalicular membranes of hepatocytes, in the gastrointestinal tract, at blood tissue barriers, in the placenta, and in blood cells including CD34⁺ hematopoietic stem cells, natural killer cells, antigen-presenting dendritic cells (DC), and T and B lymphocytes [24–28]. Its physiological function suggests a protection against potentially toxic compounds and harmful substances found in the blood stream. Studies on ABCB1 knockout mice showed no physiological abnormalities under normal conditions, although these animals display hypersensitivity to drugs and an increase in ABCB1 substrate accumulation [27, 29–31].

Clinical insensitivity to anticancer agents is mainly attributed to an elevated expression of ABCB1, which is related to treatment failure associated with lower remission and survival rates in some types of cancer, including leukemias [32–34]. Meanwhile, gene and protein expressions of ABCB1 are commonly acquired or increased during the course of chemotherapy, which make drug treatment a responsible factor for MDR [35, 36]. Other extrinsic factors may induce MDR by acquisition of ABCB1 expression. Levchenko et al. [37] showed that ABCB1, and, consequently, MDR are transferred by direct membrane contact of tumor cells. Moreover, resistant tumor cells may release membrane microparticles carrying surface ABCB1. The shared microparticles can bind to receptor cells, spread ABCB1 and, consequently, induce MDR phenotype [38].

Even though the ABCB1 efflux functions, other functions for this transporter have been studied. Studies have shown that the resistance induced by ABCB1 is also associated with the inhibition of cell death, and ABCB1 promotes additional protection to caspases-dependent apoptosis, UV radiation, serum starvation condition, and spontaneous apoptosis [39–42]. Recently, our group demonstrated that ABCB1 expression induced by drug treatment promotes resistance to apoptosis in BCR-ABL cells independently of its drug-efflux activity [43].

ABCB1 is related to resistance phenotype in some leukemias and it has been studied in advanced CML. A randomized trial evaluated the relevance of ABCB1 expression in CML patients. The authors observed that the response to cytarabine and daunorubicin was significantly related with both ABCB1 expression and function mainly in the blast phase. For this reason, chemotherapy resistance in CML-BP patients should be considered multifactorial and cannot be associated only with BCR-ABL [44–47]. Our group recently demonstrated that CML patients show high levels of ABCB1 expression independently of CML phases. Nevertheless, we showed that ABCB1 expression is more frequent than multidrug-resistant protein 1 (MRP1) in CML-BP [48].

In vitro data suggest that imatinib is able to induce ABCB1 in sensitive CML cell lines and, as a result, ABCB1 activity may confer resistance to this drug [49–51]. Mahon et al. [52] demonstrated that a multidrug-resistant CML cell line displayed resistance to many drugs including imatinib and the induced overexpression of *ABCB1* gene by retroviral

transduction in BCR-ABL cell line also leads to imatinib resistance. Moreover, Rumpold et al., [53] showed that a stable silencing of ABCB1 in imatinib-resistant CML cell lines abolished ABCB1-efflux substrates and induced sensibility to imatinib. Regardless of the *in vitro* data, there is no consistent evidence for this resistance *in vivo*, although several studies have discussed the role of ABCB1 in imatinib-resistant CML patients [54]. Zong et al. [55] demonstrated that bone marrow mice cells Mdr1a/1b-null transduced with *BCR-ABL* display a similar response to imatinib, which is related to increased peripheral white blood cells counts and marked hepatosplenomegaly, compared with *BCR-ABL*-transduced wild-type bone marrow. The authors concluded that the expression of ABCB1 in hematopoietic stem cells does not interfere with imatinib resistance. Another *in vivo* study revealed that imatinib treatment in CML patients in the accelerated phase induced an increase of ABCB1-positive cells with efflux activity. However, in imatinib-resistant CML patients, the efflux activity was independent of ABCB1 expression, suggesting participation of other ABC transporters [56]. Hatzieremia et al. [57] inhibited ABCB1 using PSC833 in CD34⁺ cells from CML-CP patients and did not observe imatinib efficiency in eliminating CML cells.

Although these previously described works do not identify the role of ABCB1 in imatinib resistance, studies in polymorphisms of ABCB1 have shown the importance of ABCB1 in CML treatment resistance. Moreover, this kind of study may provide information for the prediction of drug disposition in a specific way and promote better response to imatinib in CML patients [58, 59]. Dulucq et al. [60] analyzed 1236C>T, 2677G>T/A, and 3435C>T ABCB1 single nucleotide polymorphisms (SNPs) in CML patients treated with imatinib. The authors observed that allele G in 2677G>T/A polymorphism was associated with the worst response to imatinib. In a Chinese population, Ni et al. [61] observed more imatinib resistance in patients homozygous for 1236T allele and 3435 TT/CT genotypes.

Studies have suggested that second- and third-generation TKIs can overcome imatinib resistance [62, 63]. There are studies suggesting that nilotinib does not induce resistance in CML cells through ABCB1 overexpression [64]. Nevertheless, Mahon et al. [65] developed nilotinib-resistant CML cell lines and observed that nilotinib is a substrate for ABCB1. Moreover, concomitant overexpression of ABCB1 and BCR-ABL provides nilotinib resistance in CML cells. Studies also revealed the interaction of dasatinib and ABCB1 efflux protein. Giannoudis et al. [66] showed that cell lines BCR-ABL (positive or not) are able to extrude dasatinib through ABCB1 activity. In concordance, Hiwase et al. [67] demonstrated that ABCB1 is able to transport dasatinib from CML cells. These studies show the importance of researching more about ABCB1 expression, function, and inhibition.

An important strategy to try reversing clinical MDR involves modulation or inhibition of ABCB1. The cyclosporine A (CsA) is capable of regulating the efflux function of ABCB1 dependently on its concentration in cancer cells [68, 69]. Some studies in hematological cancer have shown the benefits of CsA on reversing MDR or potentiating drug effects [70]. In a clinical study of our group, we evaluated

the effect of CsA on the circumvention of leukemia patients MDR *in vitro*. Our data showed that combination of CsA and etoposide (VP-16) could induce a good response in ABCB1-positive CML patients [71]. In the same year, we also published a case report showing that the cytotoxic effect of VP-16 was enhanced in combination with CsA in blast cells of CML. Moreover, the patient returned from blast phase to chronic phase [72]. All these studies and others emphasized the importance of reversing the MDR phenotype.

1.1.2. BCRP/ABCG2. Another important efflux pump associated with chemotherapy resistance in CML is BCRP or ABCG2, coded by the gene ABCG2. ABCG2 is a 72-kDa protein composed of 665 amino acids. It has an N-terminal ATP-binding domain (NBF) and a C-terminal transmembrane domain (TMD), a structure half the size and in reverse configuration to most other ABC proteins comprising two NBFs and two TMDs. Because ABCG2 is a half-transporter, it is believed to homodimerize, or possibly oligomerize, in order to function [73].

Fetsch et al., [74] reported high levels of ABCG2 expression in normal placenta, interstitial cells of testes, endocervical cells of uterus, squamous epithelium of cervix, kidney, hepatocytes, pancreas, and small and large intestinal mucosa/epithelial cells. The first reported chemotherapy agent substrate of ABCG2 was mitoxantrone [75]. Other chemotherapeutic substrates include flavopiridol, topotecan, methotrexate, and the TKIs imatinib, gefitinib, and erlotinib [76]. If the amino acid at position 482 is mutated, mitoxantrone transport is more efficient and ABCG2 can additionally transport rhodamine 123 and anthracyclines such as doxorubicin and bisantrene [77, 78].

It was demonstrated that TKI had high-affinity interaction with ABCG2 and that it occurs at submicromolar concentrations [79, 80]. Although other TKIs promote ATPase activity, imatinib was the only one able to inhibit it, suggesting that this drug acts as a modulator agent. In addition, imatinib has promoted the accumulation of a fluorescent substrate inside the cells which reinforced its role as a modulator. Using a different methodology, Houghton et al. [81] demonstrated that overexpression of ABCG2 was not able to confer resistance to imatinib, suggesting that it is not a substrate for this transporter. In addition, imatinib promoted the accumulation of topotecan in functional ABCG2-expressing cell lines, indicating a role of imatinib as a modulator but not as a competitor. Conversely, Ko-143, an ABCG2 specific modulator, could increase the imatinib accumulation in ABCG2-overexpressing cell lines, suggesting its role as a competitor. Interestingly, mitoxantrone accumulation in the same cell lines was increased by the addition of imatinib, suggesting its role as a modulator. These findings suggest that imatinib can be both a substrate and a modulator [82]. Furthermore, Brendel et al. [83] confirmed that ABCG2 expression confers imatinib resistance and reduces imatinib accumulation in K562 cells, effects that are abrogated by the ABCG2 inhibitor fumitremorgin C (FTC). More importantly they observed that differences on imatinib accumulation were only seen when imatinib was used at low

concentrations but not at high concentrations. These data support the idea that imatinib may act as a modulator or a substrate depending on the concentration level. However, there is still no consensus on whether imatinib is a substrate or a modulator of ABCG2 transport. Regardless of its role as a substrate or modulator, imatinib interacts with ABCG2, which may have their effectiveness limited by the overexpression of this protein.

It is believed that CML is a clonal disorder originating from the hematopoietic stem cell (HSC). Graham et al. [84] demonstrated that primitive HSCs expressing BCR-ABL in CML-CP patients were resistant to imatinib. This discovery led to the hypothesis that the resistant population could contribute to the failure of treatment with imatinib. Nakanishi et al. [85] studied the interaction of ABCG2 and imatinib on a cell line expressing BCR-ABL. This cell line was resistant to substrates of ABCG2 as well as imatinib, and the resistance was reversed by inhibiting ABCG2. Another interesting finding was that the initial resistance to imatinib caused by ABCG2 was attenuated by the inhibition of BCR-ABL, suggesting that BCR-ABL regulates the expression of ABCG2 at a later stage of transcription.

Some authors have identified the presence of ABCG2 in a particular group of HSC called “side population” (SP) due to its efflux of the fluorochrome Hoechst 33342 and its ability to reconstitute bone marrow in irradiated mice [86]. Afterward, it was demonstrated that ABCG2 was responsible for the SP in mouse and human bone marrow [87, 88]. ABCG2-deficient mice are viable with normal numbers of stem cells. Despite the absence of SP, these data suggest that ABCG2 protein is not necessary for normal hematopoiesis [89]. However, ABCG2 may play a protective role for stem cells, because Zhou et al. [90] demonstrated that stem cells derived from ABCG2-deficient mice were more sensitive to cytotoxic substrates.

Once ABCG2 is expressed in the apical membrane of cells in the epithelium of the small intestine and colon, it is very likely that ABCG2 is involved in the active return of drug entering the intestine. This role would be important in reducing the systemic bioavailability of oral drugs such as imatinib. Studies in ABCG2 knockout mice indicate that ABCG2 and ABCB1 appear to regulate the penetration of imatinib into the brain tissue. Imatinib brain penetration in ABCG2 knockout mice was found to be increased [91].

The SNP 421C>A is responsible for decreased plasma membrane expression of ABCG2, reduced ATPase activity, or decreased drug transport [92–94]. Therefore, the daily imatinib dose for patients with the ABCG2 421C/C genotype might be higher than for those with the 421C/A or 421A/A genotype [95]. Knowledge of the ABCG2 421 genotype could be useful when making dosing decisions aimed at achieving the optimal imatinib exposure.

1.2. SLC22A1/OCT-1 Influx Transporter Protein. Members of the solute carriers (SLCs) superfamily of transporters are known as passive facilitator carriers that allow the passage of solute through the membrane without spending energy [96]. This superfamily is divided into 43 families according

to the type of substrate transported and the type of transport. Some families carry specific substrates such as oligopeptides, sugars, phosphatases, or metals, whereas other families are polyspecific, transporting substrates with different sizes and structures [97].

SLC transporters are mostly expressed in the plasma membrane and play a critical role in a variety of physiological cellular processes such as import/export neurotransmitters, nutrients, or metabolites [96]. The family 22 of solute carrier proteins is composed of 12 members mostly of poly-specific transporters. Many members of this family are expressed in the intestine, liver, and kidney, indicating an important role in the absorption and excretion of drugs, xenobiotics, and endogenous compounds that exist as cations at physiological pH. The family is further divided into subgroups according to the substrate and the transport mechanism [96].

A growing number of scientific papers have shown that some chemotherapeutics are substrates for influx transporters. Recently, it was reported that imatinib is transported into the cell, preferably via SLC22A1 (also called OCT-1), and the expression of this transporter is predictive of achieving a complete cytogenetic remission after 6 months of treatment with imatinib [98].

It was reported that the influx of imatinib is temperature dependent, indicating the involvement of an active process of influence. When the cells were incubated with inhibitors of the transporter SLC22A1, the influx of imatinib was significantly reduced [99]. Since then, other studies have been published supporting the hypothesis that imatinib is a substrate for the transporter SLC22A1. White et al. [100] analyzed the activity of SLC22A1 in samples from CML patients before starting treatment with imatinib and compared this with getting a major molecular response at 24 months. In this study, the activity of SLC22A1 was an important determinant of molecular response to imatinib with strong predictive value on the dose. The analysis of SLC22A1 activity before the start of treatment with imatinib was able to identify patients who would need a higher dose of the drug to respond to medical treatment with imatinib.

Besides the SLC22A1 activity, the levels of expression of SLC22A1 may be related to a decreased influx of imatinib. Crossman et al. [101] analyzed the expression of the *SLC22A1* gene in samples from CML patients before starting treatment and observed that the expression of *SLC22A1* was variable and did not differ significantly from levels found in samples of bone marrow healthy individuals. However, patients who responded to treatment with imatinib had significantly higher levels of expression of the *SLC22A1* gene as compared to the group of nonresponders. Despite this and other articles suggesting a direct correlation between SLC22A1 and response to treatment with imatinib, Hu et al. [102] believe that SLC22A1 *per se* is not able to influence the retention of imatinib, as this drug would be a poor substrate for SLC22A1. On the other hand, Wang et al. [98] suggested that clinical responses to imatinib could be affected by transporters SLC22A1, ABCB1, and ABCG2. Patients with high pretreatment SLC22A1 expression had a higher probability of achieving a cytogenetic response and a

superior progression-free and overall survival. The same was not observed when analyzing ABCB1 and ABCG2.

The contribution of the SLC22A1 transporter to the clinical response to imatinib has not yet been elucidated. Therefore, further studies are needed to evaluate the role of this influx transporter in the clinical outcome of imatinib treatment.

2. Inhibitor of Apoptosis Proteins (IAPs)

The IAP family members are characterized by a common baculoviral IAP repeat (BIR) domain [103] and by the ability to block apoptosis through the inhibition of both mitochondrial-dependent and -independent apoptotic pathways [104, 105]. Among IAPs, much attention has been focused on survivin and XIAP due to their potential role as therapeutic targets.

2.1. XIAP. XIAP (X-linked inhibitor of apoptosis protein) is a singular IAP because it is the only member of the family known to directly inhibit caspases-3, -7, and -9 [106, 107]. XIAP is able to bind their target caspases by a two-site interaction mechanism, which inhibits the apoptotic pathway by blocking the active caspase site or by dissociating the dimer of caspases [108].

There are at least two proteins, Smac/DIABLO [109] and XAF1 (XIAP-associated factor 1) [110], known to interact with XIAP and modulate its antiapoptotic activity, which suggests a significant role of XIAP in the maintenance of the cellular homeostasis [111]. Other relevant XIAP properties are the involvement in copper metabolism [112] and the capacity of self-ubiquitination and of other targets involved or not in the control of the cell death [113], demonstrating its versatility in the cellular physiologic processes. Studies using knockout murine models for XIAP (*XIAP/BIRC4^{-/-}*) showed that its absence does not alter caspases-dependent or -independent apoptosis, but increases the expression of other IAPs, possibly as a compensatory mechanism [114].

XIAP is widely expressed in normal tissues [115]; however, its overexpression in cancer is usually associated with an unfavorable prognosis [116–119]. Although it has been demonstrated that the nuclear localization of XIAP is an independent prognostic marker in breast cancer [120], little is known about the expression and subcellular localization relevance of XIAP in CML patient samples.

Increasing evidence demonstrates that treatment of CML cells with chemotherapeutic agents can overcome resistance through negatively regulating XIAP levels. Fang et al. [121] have observed that one of the mechanisms involved in BCR-ABL-positive cells sensitivity to imatinib is XIAP downregulation. Corroborating this data, a study conducted in K562 cells and leukemic blasts obtained from patients with CML in blast crisis showed that apicidin, a histone deacetylase inhibitor, was able to potentiate imatinib effects on apoptosis through XIAP degradation and the release of the proapoptotic protein Smac/DIABLO into cytosol [122]. These events were associated with reduced BCR-Abl protein expression and decreased phosphorylated Akt levels and were

caspase dependent [122]. Imatinib-induced apoptosis could also be potentiated when coadministered with ABT-737, a Bcl-2 and Bcl-xL inhibitor [123]. Cotreatment of K562 cells and primary CML samples led to caspase-3 activation and HtrA2/Omi-mediated decreased XIAP levels both in K562 cells and TKI-insensitive CML hematopoietic progenitors [123]. In addition to these findings, treatment of K562 cells with TRAIL led to an apoptosis-resistant phenotype through the upregulation of antiapoptotic proteins, including XIAP [124], further emphasizing its role in chemoresistance in CML.

Many strategies have been used to inhibit both the expression and function of XIAP and resensitize cancer cells to different cytotoxic stimuli [125–127]. One study demonstrated that the downregulation of XIAP expression using antisense oligonucleotides increased the sensitivity to cytotoxic stimuli, inducing apoptosis and decreasing cell viability in the K562 cell line [128]. Recently, the same group showed that the simultaneous inhibition of XIAP and P-glycoprotein in cells that overexpress this efflux pump decreases imatinib resistance [129]. Consistent with this, a recent work published by our group found that cyclosporine-A-mediated Pgp modulation was associated with XIAP inhibition and an increased apoptotic index as a response of resistant CML cells to vincristine [130]. Altogether, these findings point XIAP as an interesting therapeutic target and suggest that combining chemotherapeutic agents with XIAP-targeted therapy seems to represent a promising strategy in CML.

2.2. Survivin. Survivin, another IAP member, is an antiapoptotic protein [131], which also regulates cell division by controlling mitotic spindle checkpoint [132]. *Survivin* gene generates five different splice variant mRNAs, which encodes different proteins: wild-type survivin, survivin-2B, survivin-3B, survivin- δ Ex3, and survivin-2 α [133]. Compared to wild-type survivin, little prognostic information is known about the functions of alternative splicing forms, which are generally expressed at lower levels than the wild-type survivin. In a recent study, it was found that patients in blast and accelerated phases displayed significantly lower levels of survivin-2B and - δ Ex3, compared to patients in CML-CP. However, there was no correlation between the isoform expression and clinical parameters or response to imatinib treatment [134].

Undetectable in normal differentiated tissues, survivin is abundantly expressed in all the most common human cancers [131, 135], which makes this protein a potential target for drug discovery and new anticancer interventions. Survivin can also be found in normal tissues characterized by self-renewal and proliferation [136], but its expression is significantly lower than in tumor cells. In CD34 $^{+}$ hematopoietic progenitor stem cells, survivin was found to be expressed and associated with the inhibition of apoptosis [137]. However, a recent report showed that despite survivin being quite expressed in CD34 $^{+}$ cells, its levels are low in more precursor leukemia stem cells [138], indicating that survivin is not an optimal therapeutic target for CML stem cells compartment

and suggesting that it may not be the main factor accounting for resistance to targeted therapy in CML [139].

In CML patient samples, several studies have reported that survivin was expressed in the accelerated and blast phases but it was low or undetectable in the chronic phase [4, 140–143], suggesting that survivin may be involved in the pathogenesis of progression from the CML-CP to the CML-BP. In addition, survivin overexpression in CML patients was correlated with the percentage of Ph chromosome positive cells and BCR-ABL expression [142], indicating that it can be regulated by BCR-ABL tyrosine kinase. In fact, Carter et al. [144] demonstrated that BCR-ABL and its downstream effector mitogen-activated protein kinase (MAPK) could target survivin expression at both RNA and protein levels in cells derived from a patient with CML-BP Ph chromosome positive. Survivin downregulation resulted in reduced cell viability in imatinib-sensitive CML cells, but not in imatinib-resistant CML cells or Ph chromosome negative cells, showing that survivin is regulated by the BCR-ABL/MAPK cascade in Ph positive CML. The prognostic importance of survivin in CML was also evaluated in a study from our group, where a correlation between survivin highest levels and high/intermediate Sokal score patients could be observed [145]. In addition, it was reported that survivin overexpression at diagnosis correlated with a low probability to achieve an optimal response to imatinib [134]. These data suggest that survivin may be closely involved in a more aggressive evolution of CML.

Growing evidence suggests that survivin plays an important role in chemoresistance phenotype of human malignancies [146], including CML. It has been demonstrated by our group that treatment of K562 CML cells with imatinib resulted in survivin downregulation and cell death [147]. Consistent with this, imatinib-induced apoptosis was increased when survivin expression was disrupted in BCR-ABL cells, as shown by enhanced cytochrome *c* release, caspase-9 activity, and BCR-ABL cleavage 199, which indicate that targeting survivin might be a useful tool to sensitize BCR-ABL cells to imatinib. Survivin has also been shown to play a resistant factor to agents other than imatinib in CML cells. In a recent publication, our group showed that survivin overexpression was involved in the resistance to idarubicin, an anthracycline commonly used to treat acute leukemia. On the other hand, idarubicin could induce DNA fragmentation and caspase-mediated apoptosis in K562 cells when survivin levels were down-regulated [148]. In addition, other groups have demonstrated that survivin inhibition is a common mechanism of apoptosis induced in CML cells by different classes of anticancer agents such as aurora kinase inhibitors, histone deacetylase inhibitors [149], microtubule targeting agents (MTAs), and cyclin-dependent kinase (CDK1) inhibitors [150]. Altogether, this amount of data shows that the modulation of survivin expression seems to be an interesting approach to overcome resistance and induce cell death in CML cells.

In recent years, considerable efforts have been made to validate survivin as a new target in cancer therapy. YM-155,

a small-molecule inhibitor of survivin, was the first survivin-targeted therapy to be developed and tested in clinical trials. In CML, YM-155 anticancer efficacy has been recently assessed in a preclinical study, where CML-derived cell lines showed great sensitivity to the molecule [151]. This effect has also been demonstrated for sheperdin, which is a novel antagonist of the interaction between hsp90 and survivin, known to be important for stabilizing survivin cytoprotective functions [152]. Although sheperdin did not decrease the viability of phytohemagglutinin-stimulated peripheral blood mononuclear cells or induced organ toxicity in a xenograft acute myeloid leukemia (AML) model, it could inhibit viability in K562 cells and in patient-derived AML peripheral blasts [153], demonstrating that it is a highly selective molecule. Antisurvivin therapies developed, to date, have not revealed major systemic toxicities in animal models and clinical trials and are extremely encouraging. Targeting survivin alone or in conjunction with chemotherapeutic agents has a great potential as a novel therapeutic regimen in CML.

3. Transcription Factors

Signal transduction pathways within the cell act by transmitting the extracellular signals to transcription factors, which result in changes in gene expression. However, it is well known that most key signaling pathways are deregulated in cancer, leading to altered expression and function of transcription factors. The constitutive activation of the nuclear factor kappa B (NF κ B) [169] and the inactivation of the forkhead box O (FoxO) factors [170] were shown to be important steps in carcinogenic transformation. Therefore, modulating the activity of FoxO and NF κ B seems to represent a reasonable therapeutic strategy.

3.1. NF κ B. Nuclear Factor κ B (NF κ B) was discovered in 1986 as a factor in the nucleus of B cells that bind to the enhancer of the kappa light chain of immunoglobulin [171]. It has been shown to be expressed in the cytoplasm of all cell types and, once activated, it translocates to the nucleus, where it regulates the expression of over 200 genes [172]. NF κ B is an important transcription factor typically activated by proinflammatory cytokines and other specific stimuli, and is involved in the regulation of a variety of biological responses, such as inflammatory, apoptotic, and immune processes. It achieves this by regulating the expression of proteins such as cytokines, chemokines, adhesion molecules, and the cellular death cascade [173]. The members of NF κ B protein family form dimers (usually heterodimers of p50 and p65 subunits) that interact in the cytoplasm with inhibitor of NF κ B (I κ B) proteins. When I κ B is phosphorylated by I κ B kinases (IKKs), it is degraded by the ubiquitin-proteasome pathway, liberating NF κ B dimers from their inhibition and allowing them to migrate to the nucleus and to activate NF κ B target genes [174].

In addition to its function as a central mediator of human immune responses, NF κ B plays a major role in

activating genes involved in cellular survival, transformation, and oncogenesis. Loss of the normal regulation of NF κ B has become apparent as a major contributor to the deregulated growth, resistance to apoptosis, and propensity to metastasize observed in many cancers [175]. The over-expression of p65- or c-Rel-containing dimers can impair apoptosis, whereas the inhibition of NF κ B/Rel activity can enhance death induced by TNF-alpha, ionizing radiations, or chemotherapeutic agents in many cell types. Aberrant activation of NF κ B/Rel factors contributes to reduce the sensitivity to apoptosis in a vast range of hematologic malignancies. Although alterations in *NF κ B* or *I κ B* genes are documented in some neoplasms, in other cases, dysfunctions in components of the NF κ B/Rel-activating signaling pathways or influences of other mutated proteins on NF κ B/Rel can be recognized [176]. Constitutively active NF κ B has been detected in malignant cells derived from patients with multiple myeloma, AML, ALL, CML, and, most recently, in myelodysplastic syndromes. Targeting NF κ B in these hematopoietic malignancies leads to apoptosis, corroborating the role of NF κ B in the survival and clonal expansion of malignant cells [174].

The expression of BCR-ABL leads to the activation of NF κ B-dependent transcription by causing nuclear translocation of NF κ B and by increasing the transactivation function of the RelA/p65 subunit of NF κ B. Importantly, this activation is dependent on the tyrosine kinase activity of BCR-ABL that partially requires Ras. It has also been demonstrated that NF κ B is required for BCR-ABL-mediated tumorigenicity in nude mice and for transformation of primary bone marrow cells [169]. This activation regulates the transcription of important genes, such as c-myc, which are necessary for the transformation of BCR-ABL⁺ cells, as well as surface molecules, which are necessary for cellular adhesion and interaction, giving advantages for cellular growth [161, 177]. In particular, the constitutive activation of NF κ B exists selectively in leukemia stem cells but not in normal HSC [178].

Alterations in NF κ B regulation and in the signaling pathways that control its activities are involved in cancer progression, as well as in the treatment resistance during chemo- and radiotherapy. NF κ B blocking can stop the proliferation of tumor cells or cause the tumor cells to become more sensitive to antitumor agents. This way, drugs that are capable of suppressing NF κ B activation have important therapeutic potential in the carcinogenesis inhibition [179]. Several studies have demonstrated that the expression of BCR-ABL kinase activity in CML cell lines leads to a constitutive activation of NF κ B through IKK β downstream of BCR-ABL and the suppression of NF κ B activation by the expression of I κ B α blocked BCR-ABL-dependent xenograft tumor formation [161–163].

Cilloni et al. [161] demonstrated that a selective inhibitor of the I κ B kinase (IKK) was capable of reducing NF κ B binding activity and proliferation, followed by induction of apoptosis in CML cell lines sensitive and resistant to imatinib, as well as in bone marrow cells from sensitive and resistant CML patients. Corroborating with these data, Duncan et al. [162] demonstrated that a selective IKK β

inhibitor strongly suppressed growth and viability and induced cell death of cell lines expressing either wild-type or mutant versions of BCR-ABL, including the T315I mutation. Following the same rationale, Lounnas et al. [163] used another IKK β inhibitor to block NF κ B pathway capable of reducing cell survival and inducing apoptosis of imatinib-sensitive and imatinib-resistant cell lines. This work also demonstrated that cells from patients with T315I mutation appeared sensitive to NF κ B inhibition in terms of proliferation. Furthermore, *in vivo* experiments resulted in a significant regression of the tumors after the administration of the IKK β -inhibitor in nude mice injected with *BCR-ABL* wild-type and T315I mutant cells. Taken together, these results indicate that NF κ B/IKK is essential for BCR-ABL-induced cell growth and survival and that the kinase IKK β represents an attractive therapeutic target in CML.

Among these compounds acting as NF κ B inhibitors, proteasome inhibitors have been widely used. Recently, it has been shown that BCR-ABL induces the activity of the proteasome, supporting the idea of using the proteasome as a suitable target for BCR-ABL-expressing cells [180]. The proteasome inhibition results in the accumulation of I κ B in the cytoplasm, leading to inhibition of NF κ B translocation to the nucleus. The most used proteasome inhibitor is bortezomib/Velcade/PS341, inhibitor of the chymotrypsin-like activity of the β 5 subunit of the proteasome. In several studies, proteasome inhibition induced proliferation arrest and apoptosis in imatinib-resistant cells, providing a rationale for the use of this drug in the subset of patients resistant to imatinib [164, 165]. Hu et al. [165] showed the combined effect of bortezomib and imatinib in CML. The combinatory regimens in CML murine models significantly reduced disseminated disease, decreased tumor growth, and induced apoptosis in tumor sections. In this work, the combination of bortezomib and imatinib repressed the DNA-binding activity of NF κ B. Albero et al. [164] demonstrated that bortezomib reduces proliferation and survival of Bcr-Abl-expressing cells, regardless of their sensitivity to imatinib, and including the highly resistant mutant T315I. In both studies, bortezomib inhibited proteasomal degradation of I κ B, leading to its accumulation. Taken together, these results suggest that an approach combining imatinib and proteasome inhibitors can be a therapeutic strategy in reducing relapse and overcoming imatinib resistance by inactivating the NF κ B pathway.

3.2. FoxO.

FoxO transcription factors belong to the forkhead family of proteins, which are characterized by a conserved DNA-binding domain termed forkhead box (Fox) [181]. The FoxO class contains four members: FoxO1, FoxO3a, FoxO4, and FoxO6, whose expression can be found in a variety of different tissues [182]. FoxO proteins are implicated in crucial cellular functions including cell cycle regulation, stress response, glucose metabolism, and apoptosis [183]. Accumulating evidence suggests that FoxO act as tumor suppressors, inhibiting tumor growth by the activation of genes such as Bim, FasL, p27kip, cyclin D, GADD45a,

glucose-9-phosphatase, and manganese dismutase [184]. Except for FoxO6, which is constitutively nuclear [185], phosphorylation by kinases, mainly Akt, ERK, (I κ B kinase) IKK, and serum and glucocorticoid-regulated kinase (SGK), regulates FoxO nuclear/cytoplasmic shuttling [186], leading to its nuclear exclusion, retention in the cytoplasm, and subsequent proteasome degradation and inactivation [187]. FoxO transcription factors can also be regulated by other posttranslational modifications such as acetylation, methylation, ubiquitination, and glycosylation [188].

Because BCR/ABL activity requires an activated PI3K/Akt pathway [189] and the inactivation of FoxO transcription factors was shown to be essential for tumorigenesis and resistance to treatment [190], the activation of FoxO by chemotherapeutic drugs seems to be a great strategy to overcome resistance [191]. Komatsu et al. [157] showed that BCR-ABL-positive cells have FoxO3a in a constitutively phosphorylated status and p27/kip1 downregulated. In agreement, exposure of CML cells to imatinib inhibited FoxO3a phosphorylation and induced p27/kip1 expression and G0/G1 arrest, blocking cell cycle progression. Essafi et al. [156] also showed that BCR-ABL inhibition induced by imatinib in CML cells resulted in FoxO3a activation. As a consequence, the induction of the FoxO3a-direct transcriptional target Bim was observed concomitantly with increased apoptosis. More recently, it was demonstrated that BCR-ABL-mediated FoxO3a inactivation was proteasome dependent [160]. Bortezomib treatment was able to restore FoxO3a expression, sensitize BCR-ABL T315I expressing cells to apoptosis, and inhibit CML-like disease in leukemic mice [160]. Regulation of FoxO3a expression affects the expression not only of Bim and p27/kip1, but also of cyclin D [155]. Imatinib-mediated inhibition of BCR-ABL represses cyclin D4 expression, upon FoxO3a activation and binding to cyclin D4 promoter. However, this effect can be prevented after FoxO3a silencing, indicating that FoxO3a is a key signaling molecule for BCR-ABL pathway and a relevant factor for apoptosis and cell cycle arrest in CML cells [155]. Imatinib can also exert its antileukemic effects through the concomitant activation of FoxO3a and the down-regulation of the inhibitor of DNA binding 1 (Id1) in K562 cells [159]. This study demonstrated that Id1 promoter is transcriptionally inhibited by FoxO3a, leading to differentiation of BCR-ABL transformed cells [159], suggesting that Id1 is essential for maintaining the leukemia phenotype. Moreover, experimental data suggest that FoxO3a activation can overcome imatinib resistance by increasing tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) expression and by inducing apoptosis [158], further emphasizing the importance of the FoxO pathway in determining drug sensitivity.

Although a great amount of evidence demonstrates that FoxO3a functions as a downstream factor for TKI-induced apoptosis, recent data suggest that FoxO3a has a crucial role in maintenance of CML stem cells. In a recent study, it was demonstrated that FoxO3a deficiency is associated with a decreased ability of leukemia-initiating cells (LICs) to provoke CML in FoxO3a^{-/-} mice [192]. Moreover, in CML stem cells, FoxO3a is predominantly nuclear and plays

a resistant factor against TKI therapy [192]. Corroborating these data, the transcription factor Bcl-6 was identified as a target for the FoxO family, responsible for CML stem cells' self-renewal, repression of p53, leukemia initiation and resistance to TKI treatment [193]. As previously discussed [194], these findings reflect a "stem cell paradox" and may explain, in part, why CML stem cells persist after TKI treatment. The mechanisms and implications of these unexpected results regarding differential FoxO dynamics in CML stem cells still remain to be elucidated.

In conclusion, various findings have found that the activation of FoxO3a and its downstream genes are of clinical importance in diverse anticancer therapeutics, including in CML treatment. Different from p53 [17], FoxO mutation has not yet been found in human cancer, favoring FoxO targeted therapy. Clinical drugs which activate FoxO transcription factors can be used in combination with therapeutic agents for sensitizing CML malignant cells to therapy.

4. Molecular Interactions in Chemoresistance

Growing evidence has demonstrated that the development of the MDR phenotype arises as a result of a complex network involving multiple cellular and molecular mechanisms. It is a multifactorial process rather than a consequence of a single and isolated mechanism (Figure 1). As the problem of drug resistance cannot be solved by circumventing only an individual protein, many efforts have been made in order to target diverse mechanisms and enhance cell sensitivity to antineoplastic therapy (Table 1).

Wang et al. [98] had suggested that clinical responses to imatinib treatment could be affected by transporters SLC22A1, ABCB1, and ABCG2; however, a recent work showed no significant differences between *ABCB1*, *ABCG2*, and *SLC22A1* genotypes and imatinib plasma or intracellular concentrations [195].

These data indicate that other transporters may be crucial for determining imatinib intracellular and plasma concentrations in CML patients. By contrast, in experiments using *in vitro* models of acquired resistance, K562 cells displayed upregulated levels of *ABCB1* and *ABCG2* genes, after exposure to increasing concentrations of imatinib [167, 168], which would imply the involvement of these transporters in resistance to TKIs [168]. However, different from the ABCG2 inhibitor, the ABCB1 inhibitor was able to restore imatinib sensitivity, indicating that only ABCB1 is essential for the development of acquired resistance in CML. Regarding the expression of SLC22A1 gene, contradictory data show that K562 resistant-cells had an increased [167] or similar [168] expression compared to their parental ones. Another work has demonstrated that imatinib and nilotinib are capable of inhibiting ABCB1 and ABCG2 and may overcome resistance, despite high levels of these transporters [63].

Current studies have proposed the role of IAPs in MDR phenotype promotion in association with ABCB1 expression [196]. Recently, we evaluated the resistance induced by the overexpression of both ABCB1 and survivin proteins [43].

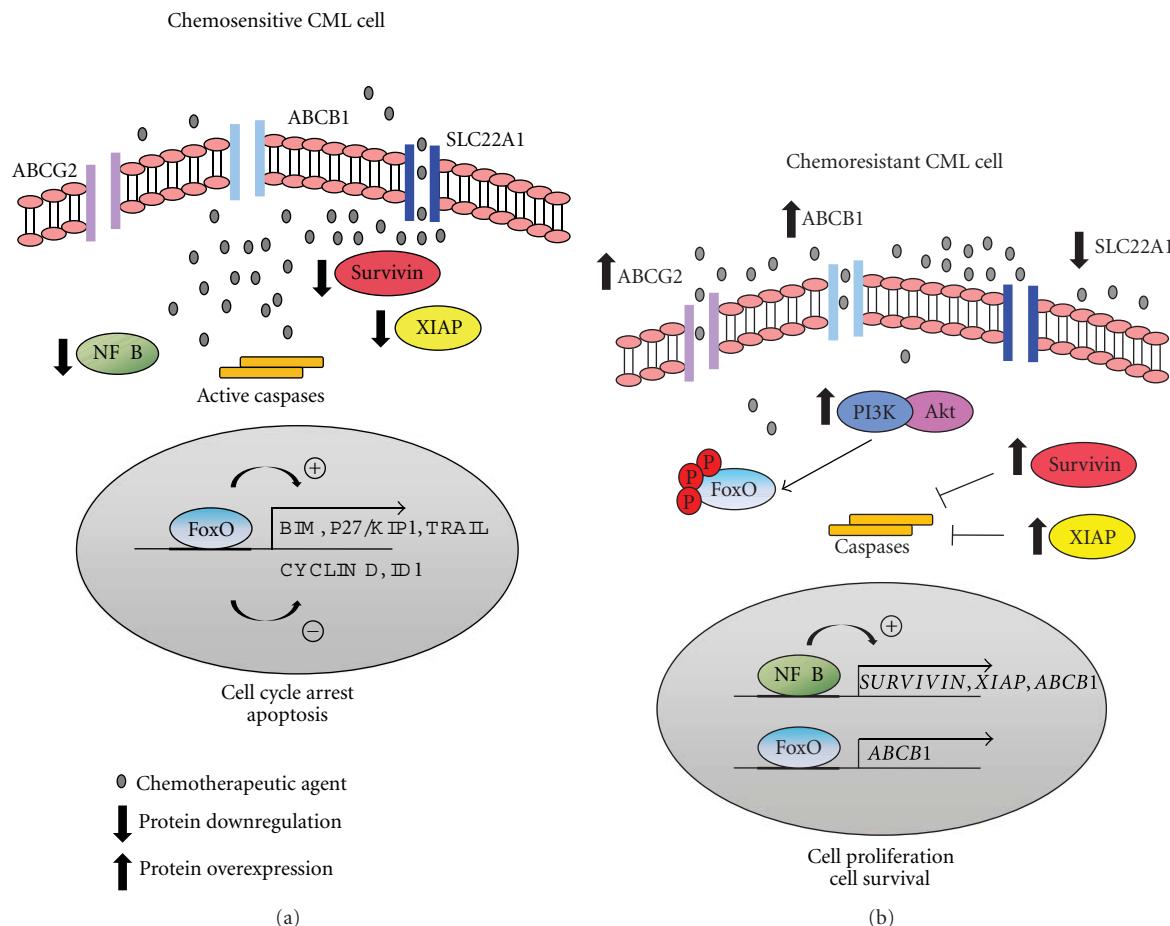


FIGURE 1: Molecular interactions in chemoresistance. Chemoresistant chronic myeloid leukemia (CML) cells display a multifactorial resistance phenotype characterized by deregulation of diverse signaling pathways which may act in concert or individually to prevent chemotherapy sensitivity (b). Resistant cells display constitutively active nuclear expression of NF κ B which contributes to stimulate transcription of the inhibitor of apoptosis proteins (IAPs) survivin and XIAP and also the efflux drug transporter ABCB1. The transcription factor FoxO3a, which usually acts as an apoptosis mediator, may also lead to enhanced ABCB1 transcription when chronically activated. In addition, chemoresistant CML cells display an overexpression of the efflux pump ABCG2 and reduced levels of the influx drug transporter SLC22A1. By contrast, many chemotherapeutic agents may overcome resistance and sensitize cells to apoptosis by modulating these pathways (a). Drug-mediated down-regulation of NF κ B, survivin, XIAP, and ABCB1 is associated with increased apoptotic levels, emphasizing their role as resistance factors. In addition, chemotherapy-induced FoxO3a activation results in cell cycle arrest and apoptosis by up-regulating *BIM*, *P27/KIP1*, and *TRAIL* and inhibiting *CYCLIN D* and *ID1* genes.

In this work, we showed that K562 cells (ABCB1-negative) progressively became resistant to vincristine treatment by simultaneous overexpression of ABCB1 and survivin. We also showed that ABCB1 promoted resistance to cell death independently of its membrane expression. Besides that, we could observe that ABCB1 and survivin colocalize in the cytoplasmatic compartment, suggesting a common regulatory pathway of apoptosis resistance control [43]. In another work, we observed that both ABCB1 and survivin protein expressions are associated in CML patients [145]. We could establish a positive correlation between ABCB1 and survivin expression, but not with ABCB1 activity in samples from late-phase CML-CP patients. These data suggest that ABCB1 and survivin may act in promoting resistance in CML patients and, thus, reinforce the hypothesis that ABCB1 is able to induce resistance independently of its activity function [145]. As discussed above, CML patients usually

develop imatinib resistance, and, therefore, new treatment approaches are necessary to overcome CML resistance. Netto et al. [197] showed that a new compound named LQB-118 was effective against leukemia cell lines with low toxicity to peripheral blood cells. Recently, we evaluated the effect of LQB-118 on CML cell lines and observed that this compound was able to induce apoptosis in both sensitive and resistant CML cells [166]. Moreover, cells treated with LQB-118 also presented decreased levels of survivin, XIAP, and ABCB1 expression. We also analyzed the LQB-118 effect in CML patient samples and observed that this compound was effective in inducing apoptosis in patients displaying the MDR phenotype [166]. Corroborating these data, Seca et al. [129] showed that the simultaneous inhibition of XIAP and ABCB1 in cells overexpressing ABCB1 could decrease imatinib resistance.

TABLE 1: Anticancer drugs sensitize CML cells by targeting IAPs, drug transporters, NF κ B and FoxO proteins.

Drug or therapy	Protein(s) targeted	Signaling pathways affected
Imatinib, idarubicin	Survivin	Imatinib and idarubicin inhibited viability and induced apoptosis in cells derived from a Ph ⁺ patient in blast crisis and K562 cells, respectively, through survivin downregulation [144].
Imatinib	Survivin	Enhanced imatinib-mediated apoptosis by modulating reactive oxygen species [147] and using antisense oligonucleotide or dominant-negative survivin [154] in CML cell lines.
Microtubule stabilizing agents and flavopiridol vorinostat, MK0457	Survivin	The combination of microtubule stabilizing agents and the cyclin-dependent kinase inhibitor flavopiridol [149] as well as the cotreatment with vorinostat and the aurora kinase inhibitor [155] led to survivin inhibition and increased apoptosis levels in K562 cells.
Sheperdin	Survivin	The survivin inhibitor molecule showed great toxicity against CML and AML cells, with no decrease in viability of phytohemagglutinin-stimulated peripheral blood mononuclear cells [153].
Imatinib	FoxO3a	Imatinib-mediated BCR-ABL inhibition resulted in FoxO3a activation, induction of Bim [156], p27kip1 [157] and tumor-necrosis-factor-related apoptosis-inducing ligand (TRAIL) [158], repression of cyclin D4 expression [156] and inhibitor of DNA binding 1 (Id1) [159], and consequent increased apoptosis in CML cell lines.
Bortezomib	FoxO3a	Bortezomib treatment was able to restore FoxO3a expression, sensitize imatinib-resistant T315I expressing cells to apoptosis, and inhibit CML-like disease in leukemic mice [160].
IKKB inhibitors	NF κ B	The IKKB inhibitors led to the induction of apoptosis in cell lines (K562 and KCL) and bone marrow cells sensitive and resistant to imatinib [161], induced cell death in cell lines BaF3 BCR-ABL wild-type or mutant, including T315I mutation [162], suppressed proliferation of cells from patients with T315I mutation and <i>in vivo</i> experiments resulted in a regression of the tumors in nude mice [163].
Bortezomib	NF κ B	Bortezomib reduced proliferation and survival of BCR-ABL-expressing cells, regardless of their sensitivity to imatinib and including the mutant T315I [164], and the combinatory effect with imatinib in CML led to reduced disseminated disease, decreased tumor growth and induced apoptosis in tumor sections [165].
Vincristine	ABCB1 and survivin	Overexpression of ABCB1 and survivin were associated with low apoptosis index induced by vincristine treatment [43].
LQB-118	ABCB1, survivin and XIAP	LQB-118 overcome resistance phenotype through ABCB1, survivin and XIAP downregulation [166].
Imatinib and nilotinib	ABCB1 and ABCG2	K562 cells displayed upregulated levels of SLC22A1, ABCB1, and ABCG2 genes, after exposure to increasing concentrations of imatinib and nilotinib, respectively [167].
Imatinib	ABCB1 and ABCG2	Chronic exposure to imatinib increased ABCB1 and ABCG2 at the protein and gene levels, but SLC22A1 expression remained unaltered [168].
Imatinib and vincristine	XIAP and ABCB1	Simultaneous inhibition of XIAP and ABCB1 in cells that overexpress this efflux pump decreases the resistance to imatinib [129] and vincristine [130].
Imatinib, apicidin and EBT-737	XIAP	Imatinib-induced apoptosis was found to be associated with XIAP downregulation [121] and could be potentiated when combined with apicidin [122] and EBT-737 [123] in K562 cells and CML progenitors.
Etoposide and doxorubicin	XIAP	The downregulation of XIAP expression with antisense oligonucleotides increased apoptosis and enhanced the effects of doxorubicin in K562 cells [128].

AML: acute myeloid leukemia; CML: chronic myeloid leukemia; IAPs: inhibitor apoptosis proteins.

Recent studies reported that *ABCB1* expression can be regulated by the NF κ B transcription factor in hepatocytes and in drug-resistant cells. Moreover, the inhibition of NF κ B activity sensitizes resistant colon cancer cells through a decreased *ABCB1* expression, providing a link between NF κ B and resistance to chemotherapy through the regulation of

human *ABCB1* gene expression [198]. In CML, Assef et al. [51] demonstrated that the resistance to imatinib exhibited in multidrug-resistant human leukemic K562 cells mediated by ABCB1 was reversed by the blockade of the NF κ B pathway using a specific NF κ B inhibitor [51]. Moreover, experimental evidence demonstrated the enhanced binding of NF κ B to

the promoter region of *ABCB1* after K562 treatment with doxorubicin [199], further confirming the regulation of *ABCB1* by NF κ B in the promotion of chemoresistance. In accordance to that, FoxO3a may also interact with *ABCB1* gene and decrease cell sensitivity. Some reports have postulated that chronic induction of Foxo3a expression and nuclear localization may activate mechanisms of resistance in CML cells. By using doxorubicin-sensitive and resistant K562 CML cells, Hui et al. [200, 201] have demonstrated that resistance to doxorubicin is associated with increased activity of PI3K/Akt, through a mechanism of feedback and with the *ABCB1* gene induction. In contrast, it was recently demonstrated that FoxO3a is able to inhibit survivin expression while inducing cell death in melanoma [202] and neuroblastoma-derived cell lines [203]. Moreover, FoxO3a and FoxO1 were able to physically interact and inhibit survivin promoter, confirming the interaction between FoxO transcription factors and the antiapoptotic protein survivin [204]. However, the interaction between survivin and FoxO proteins, and its role in imatinib sensitivity, has not been investigated yet in CML-derived cells.

Survivin can also be targeted by NF κ B [205], although it remains unclear how this interaction occurs. It was reported that inhibitors of the NF κ B pathway, such as the natural compounds triptolide [206] and berbamime [207], have been shown to induce apoptosis in CML imatinib-resistant cells by down-regulating survivin levels. XIAP is another identified NF κ B target, which is also implicated in modulating NF κ B activation, through a feedback loop mechanism, in response to DNA damage and bacterial infection [208]. Studies suggest that XIAP recruits TAK1 in order to achieve NF κ B activation and can mediate NF κ B activation by promoting degradation of COMMD1, a negative regulator of NF κ B [208]. As survivin, the interaction of XIAP and NF κ B in CML remains unclear.

5. Conclusions

Although the introduction of imatinib and other TKIs in CML therapy has brought improvements in survival, CML prognosis still remains unfavorable for a group of patients. In addition to mutations found in the *BCR-ABL* gene, which alter the BCR-ABL kinase domain, there are currently identified secondary mechanisms of TKIs resistance. Multiple factors, such as inhibition of apoptotic signaling pathways, reduction in drug accumulation, and alterations in transcription factors, are known to contribute to the development of MDR and treatment failure in CML. These mechanisms usually act in concert in a multifactorial resistance context and play their role independent of or downstream BCR-ABL tyrosine kinase. Because the inhibition of only one mechanism is not effective enough to overcome clinical TKIs resistance, suppressing simultaneously several proteins must be required to increase the efficacy of the treatment in CML patients. Several questions remain to be answered to understand the interplay between these modes of resistance. For instance, how these proteins interact with each other to promote resistance and which one must be completely

suppressed to antagonize malignancy? Regardless, what we know is that chemoresistance in CML is a multifactorial phenomenon and targeting these molecules seems to represent an interesting and feasible approach to overcome the development of TKIs-resistance in CML.

Abbreviations

ALL:	Acute lymphoid leukemia
AML:	Acute myeloid leukemia
BCR-ABL:	Breakpoint cluster region/V-abl Abelson murine leukemia viral oncogene homolog 1
BCRP:	Breast-cancer-related protein
BIR:	Baculoviral IAP repeat
BP:	Blast phase of chronic myeloid leukemia
CDK1:	Cyclin-dependent kinase 1
CLL:	Chronic lymphoid leukemia
CML:	Chronic myeloid leukemia
FOX:	Forkhead box
HSC:	Hematopoietic stem cell
IAP:	Inhibitor of apoptosis proteins
Id1:	Inhibitor of DNA binding 1
I κ B:	Inhibitor of NF κ B
IKK:	I κ B kinase
KD:	Kinase domain
LIC:	Leukemia initiating cells
MAPK:	Mitogen-activated protein kinase
MDR:	Multidrug resistance
MRP1:	Multidrug resistance protein 1
MTA:	Microtubule targeting agents
NF κ B:	Nuclear factor kappa B
OCT-1:	Organic cation transporter-1
Pgp:	P-glycoprotein
Ph:	Philadelphia
SGK:	Serum and glucocorticoid-regulated kinase
Si-RNA:	Small interfering RNA
SP:	Side population
TKI:	Tyrosine kinase inhibitors
TRAIL:	Tumor-necrosis-factor-related apoptosis-inducing ligand
XIAP:	X-linked of inhibitor of apoptosis protein.

Conflict of Interests

The authors declare that they have no conflict of interests.

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