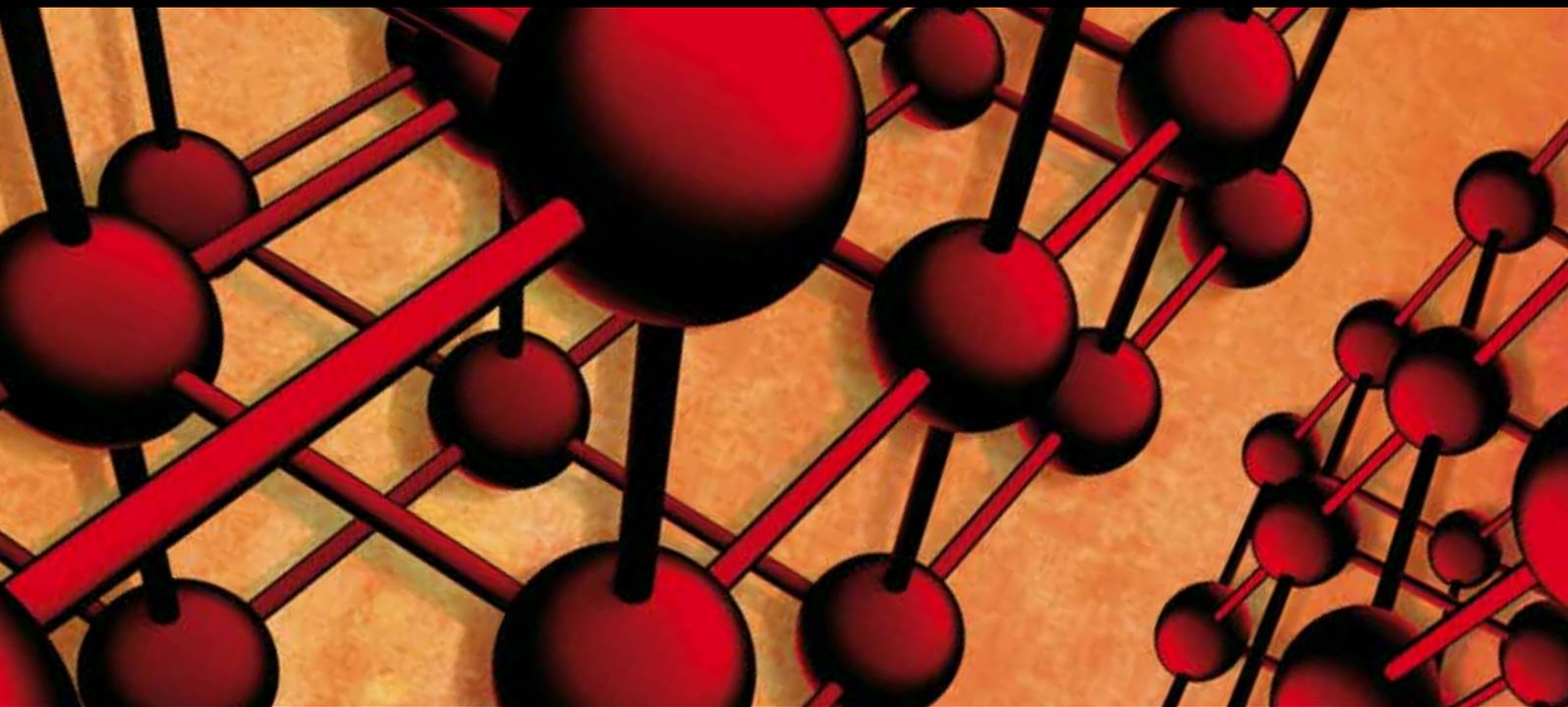


Advances in Materials Science and Engineering

Nanotechnology for Energy and Environment

Guest Editors: J. M. P. Q. Delgado, Andreas Öchsner, and A. G. Barbosa de Lima





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Contents

Nanotechnology for Energy and Environment, J. M. P. Q. Delgado, Andreas Öchsner,
and A. G. Barbosa de Lima
Volume 2014, Article ID 459108, 2 pages

A Review of Removal of Pollutants from Water/Wastewater Using Different Types of Nanomaterials,
M. T. Amin, A. A. Alazba, and U. Manzoor
Volume 2014, Article ID 825910, 24 pages

Thermomechanical Behavior of High Performance Epoxy/Organoclay Nanocomposites,
Artur Soares Cavalcanti Leal, Carlos Jose de Araújo, Antônio Gilson de Barbosa Lima,
and Suédina Maria Lima Silva
Volume 2014, Article ID 102695, 6 pages

**Influence of Processing Type in the Morphology of Membranes Obtained from PA6/MMT
Nanocomposites**, Rodolfo da Silva Barbosa Ferreira, Caio Henrique do Ó Pereira, Rene Anisio da Paz,
Amanda Melissa Damiano Leite, Edcleide Maria Araújo, and Hélio de Lucena Lira
Volume 2014, Article ID 659148, 5 pages

Numerical Analysis of the Energy Improvement of Plastering Mortars with Phase Change Materials,
A. Váz Sá, R. M. S. F. Almeida, H. Sousa, and J. M. P. Q. Delgado
Volume 2014, Article ID 582536, 12 pages

Tunable Nanodielectric Composites, Daniel Qi Tan, Yang Cao, Xiaomei Fang, and Patricia C. Irwin
Volume 2014, Article ID 549275, 6 pages

**Enhanced Performance of Dye-Sensitized Solar Cells with Nanostructure Graphene Electron Transfer
Layer**, Chih-Hung Hsu, Jia-Ren Wu, Lung-Chien Chen, Po-Shun Chan, and Cheng-Chiang Chen
Volume 2014, Article ID 107352, 4 pages

**TiO₂ Photocatalyst Nanoparticle Separation: Flocculation in Different Matrices and Use of Powdered
Activated Carbon as a Precoat in Low-Cost Fabric Filtration**, Carlos F. Liriano-Jorge, Ugur Sohmen,
Altan Özkan, Holger Gulyas, and Ralf Otterpohl
Volume 2014, Article ID 602495, 12 pages

Editorial

Nanotechnology for Energy and Environment

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Nanotechnology is the engineering of functional systems at the molecular scale. While nanomaterials have been a part of our everyday life for quite some time, the past two decades have witnessed a fast growth of the nanotechnology sector. Nanotechnology is being used in several applications to improve the environment and to produce more efficient and cost-effective energy, such as generating less pollution during the manufacture of materials, producing solar cells that generate electricity at a competitive cost, cleaning up organic chemicals polluting groundwater, and cleaning volatile organic compounds (VOCs) from air.

In this special issue the papers presented are devoted to the following. First is nanomaterials for building and construction, namely, a numerical and sensitivity analysis of the enthalpy and melting temperature effect on the inside building comfort sensation potential of the plastering mortar phase change materials (PCM). Building components with incorporated PCM are meant to increase heat storage capacity and enable stabilization of interior buildings surface temperatures whereby influencing the thermal comfort sensation and the stabilization of the interior ambient temperatures [1, 2]. Second is nanotechnology for water treatment, such as a review of the possible applications of the nanoparticles/fibers for the removal of pollutants from water/wastewater. The work presented overviews the availability and practice of different nanomaterials for removal of great number pollutants present in surface water, ground water, and industrial water. Several recommendations are made based on the current

practices of nanotechnology applications in water industry. Third work presents the separation of TiO₂ photocatalyst nanoparticle. The results showed that flocculation of TiO₂ nanoparticles is influenced by ionic strength and pH. Fourth is nanotechnology for electrochemical conversion and energy storage, such as the progress update with the development of nanodielectric composites with electric field tunability for various high energy and high power electrical applications. Fifth is nanostructures, such as the numerical analysis of several zigzag and armchair single-walled carbon nanotubes (CNTs), to study the vibrational behaviour. The results presented showed that natural frequency of straight armchair and zigzag CNTs increases with the increase of the chiral number of both armchair and zigzag CNTs. It was also revealed that the natural frequency of CNTs with higher chirality decreases by introducing bending angles [3, 4]. Sixth is nanomaterials for solar cells, namely, the utilization of nanostructure graphene thin films as electron transfer layer in dye-sensitized solar cells. Those materials are of particular interest in the field of solar energy owing to their low cost and simplicity of fabrication. Seventh is nanocomposites, namely, the influence of processing type in the morphology of membranes obtained from PA6/MMT nanocomposites. Nanocomposites have an extensive use in the current process of membrane preparation, taking into account their unique features as membranes. Eighth paper presents the evaluation of thermomechanical behaviour during heating of nanocomposites of epoxy resin containing bentonite clay.

We hope that readers will find in this special issue not only accurate data and updated reviews on the nanotechnology field area but also important questions to be resolved. This special issue includes both theoretical and experimental developments, providing a self-contained major reference that is appealing to both the scientists and the engineers. At the same time, these topics will be going to the encounter of a variety of scientific and engineering disciplines, such as chemical, civil, agricultural, and mechanical engineering.

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Review Article

A Review of Removal of Pollutants from Water/Wastewater Using Different Types of Nanomaterials

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The rapidly increasing population, depleting water resources, and climate change resulting in prolonged droughts and floods have rendered drinking water a competitive resource in many parts of the world. The development of cost-effective and stable materials and methods for providing the fresh water in adequate amounts is the need of the water industry. Traditional water/wastewater treatment technologies remain ineffective for providing adequate safe water due to increasing demand of water coupled with stringent health guidelines and emerging contaminants. Nanotechnology-based multifunctional and highly efficient processes are providing affordable solutions to water/wastewater treatments that do not rely on large infrastructures or centralized systems. The aim of the present study is to review the possible applications of the nanoparticles/fibers for the removal of pollutants from water/wastewater. The paper will briefly overview the availability and practice of different nanomaterials (particles or fibers) for removal of viruses, inorganic solutes, heavy metals, metal ions, complex organic compounds, natural organic matter, nitrate, and other pollutants present in surface water, ground water, and/or industrial water. Finally, recommendations are made based on the current practices of nanotechnology applications in water industry for a stand-alone water purification unit for removing all types of contaminants from wastewater.

1. Introduction

Water has a broad impact on all aspects of human life including but not limited to health, food, energy, and economy. In addition to the environmental, economic, and social impacts of poor water supply and sanitation [1–4], the supply of fresh water is essential for the safety of children and the poor [5, 6]. It is estimated that 10–20 million people die every year due to waterborne and nonfatal infection causes death of more than 200 million people every year [7]. Every day, about 5,000–6,000 children die due to the water-related problem of diarrhea [8, 9]. There are currently more than 0.78 billion people around the world who do not have access to safe water resources [10] resulting in major health problems. It is estimated that more than one billion people in the world lack access to safe water and within couple of decades the current water supply will decrease by one-third.

The portion of total run-off which constitutes stable run-off flow is considered as the freshwater resource upon

which humans depend. This stable fresh water flow has been estimated at 12,500–15,000 km³ per year [11, 12], from which 4000 km³ per year is considered to be the total freshwater for irrigation, industry, and domestic purposes [13], and which is estimated to increase to a range of 4300–5000 km³ per year in 2025 [14–16]. Alternatively, only accessible fresh water is 0.5% of the world's 1.4 billion Km³ of water which is furthermore poorly distributed across the globe [17].

There is limited possibility of an increase in the supply of fresh water due to competing demands of increasing populations throughout the world; also, water-related problems are expected to increase further due to climate changes and due to population growth over the next two decades [18]. It is estimated that worldwide population will increase by about 2.9 billion people between now and 2050 (according to UN's average projections) [15]. Shortage of fresh water supply is also a result of the exploitation of water resources for domestic, industry, and irrigation purposes in many

parts of the world [19]. The pressure on freshwater resources due to the increasing world's demand of food, energy, and so forth [20, 21] is increasing more and more due to population growth and threats of climate change. Polluting surface/ground water sources is another cause of reduced fresh water supplies [22–24]. Aquifers around the world are depleting and being polluted due to multiple problems of saltwater intrusion, soil erosion, inadequate sanitation, contamination of ground/surface waters by algal blooms, detergents, fertilizers, pesticides, chemicals, heavy metals, and so forth [25–28].

The occurrence of new/emerging microcontaminants (e.g., endocrine disrupting compounds (EDCs)) in polluted water/wastewater has rendered existing conventional water/wastewater treatment plants ineffective to meet the environmental standards. The discharge of these compounds into the aquatic environment has affected all living organisms. The traditional materials and treatment technologies like activated carbon, oxidation, activated sludge, nanofiltration (NF), and reverse osmosis (RO) membranes are not effective to treat complex and complicated polluted waters comprising pharmaceuticals, personal care products, surfactants, various industrial additives, and numerous chemicals purported. The conventional water treatment processes are not able to address adequately the removal of a wide spectrum of toxic chemicals and pathogenic microorganisms in raw water.

This is the right time to address water problems since aquifers around the world are depleting due to multiple factors such as saltwater intrusion and contamination from surface waters. Using better purification technologies can reduce problems of water shortages, health, energy, and climate change. A considerable saving of potable water can be achieved through reuse of wastewater which, in turn, requires the development materials and methods which are efficient, cost-effective, and reliable. Although dilution of complex wastewater effluents can help decreasing the load of micropollutants downstream [29, 30], however, much of them pass through conventional water treatment due to occurrence of these substances in micro- or even in nanograms per liter.

Biological treatment systems such as activated sludge and biological trickling filters are unable to remove a wide range of emerging contaminants and most of these compounds remain soluble in the effluent [31–33]. Physicochemical treatments such as coagulation, flocculation, or lime softening proved to be ineffective for removing different EDCs and pharmaceutical compounds in various studies [34–36]. Chlorination, though providing residual protection against regrowth of bacteria and pathogens [37, 38], results in undesirable tastes and odors [39] in addition to the forming of different disinfection by-products (DBPs) in portable drinking water [40–43]. Ozonation has been considered to be a less attractive alternative due to expensive costs and short lifetime. Both ultraviolet (UV) photolysis and ion exchange, though being advanced type of treatments, are not feasible alternatives for micropollutants removal [44].

Membrane processes like microfiltration, ultrafiltration, NF, and RO, which are pressure-driven filtration processes,

are considered as some new highly effective processes [44–49]. These are considered as alternative methods of removing huge amounts of organic micropollutants [50–52]. Water/wastewater treatment by membrane techniques is cost-effective and technically feasible and can be better alternatives for the traditional treatment systems since their high efficiency in removal of pollutants meets the high environmental standards [53]. NF and RO have proved to be quite effective filtration technologies for removal of micropollutants [54, 55]. RO is relatively more effective than NF but higher energy consumption in RO makes it less attractive than NF where removal of pollutants is caused by different mechanisms including convection, diffusion (sieving), and charge effects [56]. Although NF based membrane processes are quite effective in removing huge loads of micropollutants [57], advanced materials and treatment methods are required to treat newly emerging micropollutants.

Since the water industry is required to produce drinking water of high quality, there is a clear need for the development of cost-effective and stable materials and methods to address the challenges of providing the fresh water in adequate amounts. There are inventions of new treatment methods; however, they need to be stable, economical, and more effective as compared with the already existing techniques. For this, traditional treatment technologies have to be modernized, that is, updated or modified or replaced by developing materials and methods which are efficient, cost-effective, and reliable. This is particularly important to achieve a considerable potable water savings through reuse of wastewater in addition to tackling the day-by-day worsening quality of drinking water.

Nanotechnology has been considered effective in solving water problems related to quality and quantity [58]. Nanomaterials (e.g., carbon nanotubes (CNTs) and dendrimers) are contributing to the development of more efficient treatment processes among the advanced water systems [59]. There are many aspects of nanotechnology to address the multiple problems of water quality in order to ensure the environmental stability. This study provides a unique perspective on basic research of nanotechnology for water/wastewater treatment and reuse by focusing on challenges of future research.

The paper has three main sections following the introduction which briefly discusses the traditional and current practices in water/wastewater treatment. Section 2 describes mainly the properties and types of nanomaterials and their importance in water/wastewater treatment. Section 3 discusses different types of nanomaterials focusing on membranes for treating a variety of pollutants in water/wastewater. The application of nanomaterials is reviewed based on their functions in unit operation processes. Section 4 provides a summary and outlook in the form of conclusions and recommendations for their full-scale application.

2. Nanotechnology for Water/Wastewater Purification

There are rising demands of clean water throughout the world as freshwater sources/resources are depleting due to

prolonged droughts, increasing population, climate changes threats, and strict water quality standards [60, 61]. Masses in developing countries are using unconventional water sources (e.g., stormwater, contaminated fresh water, brackish water) due to limited and depleting fresh water supplies. The existing water treatment systems, distribution systems, and disposable habits coupled with huge centralized schemes are no more sustainable. The current researches do not adequately address the practices that guarantee the availability of water for all users in accordance with the stringent water quality standards [62].

Several commercial and noncommercial technological developments are employed on daily basis but nanotechnology has proved to be one of the advanced ways for water/waste water treatment. Developments in nanoscale research have made it possible to invent economically feasible and environmentally stable treatment technologies for effectively treating water/wastewater meeting the ever increasing water quality standards. Advances in nanotechnology have provided the opportunities to meet the fresh water demands of the future generations. It is suggested that nanotechnology can adequately address many of the water quality issues by using different types of nanoparticles and/or nanofibers [63]. Nanotechnology uses materials of sizes smaller than 100 nm in at least one dimension (Figure 1) meaning at the level of atoms and molecules as compared with other disciplines such as chemistry, engineering, and materials science [64, 65].

At this scale, materials possess novel and significantly changed physical, chemical, and biological properties mainly due to their structure, higher surface area-to-volume ratio offering treatment and remediation, sensing and detection, and pollution prevention [66, 67]. These unique properties of nanomaterials, for example, high reactivity and strong sorption, are explored for application in water/wastewater treatment based on their functions in unit operations as highlighted in Table 1 [68].

Nanoparticles can penetrate deeper and thus can treat water/wastewater which is generally not possible by conventional technologies [69]. The higher surface area-to-volume ratio of nanomaterials enhances the reactivity with environmental contaminants.

In the context of treatment and remediation, nanotechnology has the potential to provide both water quality and quantity in the long run through the use of, for example, membranes enabling water reuse, desalination. In addition, it yields low-cost and real-time measurements through the development of continuous monitoring devices [70, 71]. Nanoparticles, having high absorption, interaction, and reaction capabilities, can behave as colloid by mixing mixed with aqueous suspensions and they can also display quantum size effects [72–76]. Energy conservation leading to cost savings is possible due to their small sizes; however, overall usage cost of the technology should be compared with other techniques in the market [77]. Figure 2 depicts some of the different types of nanomaterials that can be used in water/wastewater treatment [78–80].

Nanomaterials have effectively contributed to the development of more efficient and cost-effective water filtration processes since membrane technology is considered as one of

the advanced water/wastewater treatment processes [81–91]. Nanoparticles have been frequently used in the manufacturing of membranes, allowing permeability control and fouling-resistance in various structures and relevant functionalities [92, 93]. Both polymeric and inorganic membranes are manufactured by either assembling nanoparticles into porous membranes or blending process [94–96]. The examples of nanomaterials used in this formation include, for example, metal oxide nanoparticles like TiO_2 . CNTs have resulted in desired outputs of improved permeability, inactivation of bacteria, and so forth [97, 98].

Finally, nanofibrous media have also been used to improve the filtration systems due to their high permeability and small pore size properties [99]. They are synthesized by a new and efficient fabrication process, namely, electrospinning and may exhibit different properties depending on the selected polymers [100]. In short, the development of different nanomaterials like nanosorbents, nanocatalysts, zeolites, dendrimers, and nanostructured catalytic membranes has made it possible to disinfect disease causing microbes, removing toxic metals, and organic and inorganic solutes from water/wastewater. An attempt is made to highlight the factors that may influence the efficiency of the removal processes based on the available literature in the following section.

3. Pollutants Removal Using Different Nanomaterials

3.1. Disinfection. Biological contaminants can be classified into three categories, namely, microorganisms, natural organic matter (NOM), and biological toxins. Microbial contaminants include human pathogens and free living microbes [101–105]. The removal of cyanobacterial toxins is an issue in conventional water treatment systems [106, 107]. Many adsorbents including activated carbon have reasonably good removal efficiencies and again a number of factors influence the removal process [108–111].

Contamination from bacteria, protozoans, and viruses is possible in both ground and surface water. The toxicity of the standard chlorine chemical disinfection in addition to the carcinogenic and very harmful by-products formation is already mentioned. Chlorine dioxide is expensive and results in the production of hazardous substances like chlorite and chlorate in manufacturing process. Ozone, on the other hand, has no residual effects but produces unknown organic reaction products. For UV disinfection, longer exposure time is required for effectiveness and also there is no residual effect. Despite advances in disinfection technology, outbreaks from waterborne infections are still occurring. So, advanced disinfection technologies must, at least, eliminate the emerging pathogens, in addition to their suitability for large-scale adoption. There are many different types of nanomaterials such as Ag, titanium, and zinc capable of disinfecting waterborne disease-causing microbes. Due to their charge capacity, they possess antibacterial properties. TiO_2 photocatalysts and metallic and metal-oxide nanoparticles are among the most promising nanomaterials with antimicrobial properties.

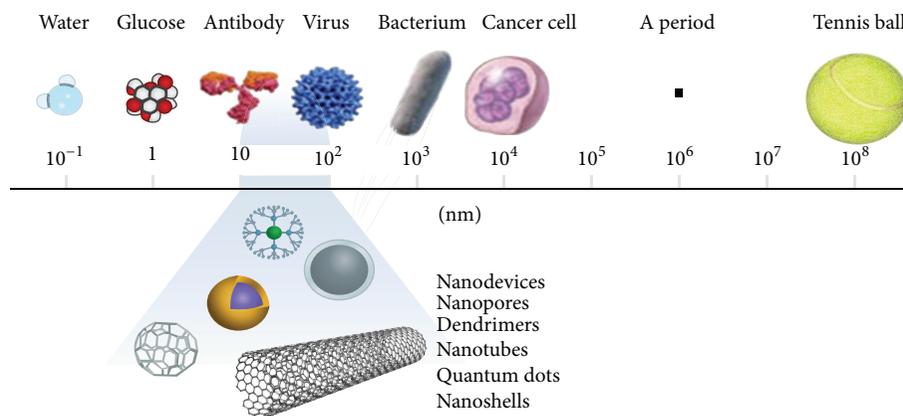


FIGURE 1: A size comparison of nanoparticle with other larger-sized materials.

TABLE 1: Examples of potential applications of nanotechnology in water/wastewater treatment.

Applications	Examples of nanomaterials	Some of novel properties
Adsorption	CNTs/nanoscale metal oxide and nanofibers	High specific surface area and assessable adsorption sites, selective and more adsorption sites, short intraparticle diffusion distance, tunable surface chemistry, easy reuse, and so forth.
Disinfection	Nanosilver/titanium dioxide (Ag/TiO_2) and CNTs	Strong antimicrobial activity, low toxicity and cost, high chemical stability ease of use, and so forth.
Photocatalysis	Nano- TiO_2 and Fullerene derivatives	Photocatalytic activity in solar spectrum, low human toxicity, high stability and selectivity, low cost, and so forth.
Membranes	Nano- $\text{Ag}/\text{TiO}_2/\text{Zeolites}/\text{Magnetite}$ and CNTs	Strong antimicrobial activity, hydrophilicity low toxicity to humans, high mechanical and chemical stability, high permeability and selectivity, photocatalytic activity, and so forth.

The efficacy of metal ions in water disinfection has been highlighted by many researchers [112]. This part of the paper covers the application of these antimicrobial nanomaterials for water disinfection.

3.1.1. Silver Nanoparticles. Silver is the most widely used material due to its low toxicity and microbial inactivation in water [113–116] with well-reported antibacterial mechanism [117, 118]. Silver nanoparticles are derived from its salts like silver nitrate and silver chloride, and their effectiveness as biocides is documented in the literature [119–123]. Though the antibacterial effect is size dependent [124], smaller Ag nanoparticles (8 nm) were most effective, while larger particle size (11–23 nm) results in lower bactericidal activity [125]. Also, truncated triangular silver nanoplates exhibited better antibacterial effects than the spherical and rod-shaped nanoparticles indicating their shape dependency [126]. The mechanisms involved during the bactericidal effects of Ag nanoparticles include, for example, the formation of free radicals damaging the bacterial membranes [127, 128], interactions with DNA, adhesion to cell surface altering the membrane properties, and enzyme damage [122, 129, 130].

Immobilized nanoparticles have gained importance due to high antimicrobial activity [131]. Embedded Ag nanoparticles have been reported as very effective against both Gram-positive and Gram-negative bacteria [63]. In a study,

the cellulose acetate fibers embedded with Ag nanoparticles by direct electrospinning method [132] were shown effective against both types of bacteria. Ag nanoparticles are also incorporated into different types of polymers for the production of antimicrobial nanofibers and nanocomposites [133–135]. Poly (ϵ -caprolactone-) based polyurethane nanofiber mats containing Ag nanoparticles were prepared as antimicrobial nanofilters in a study [136]. Different types of nanofibers containing Ag nanoparticles are prepared for antimicrobial application and exhibited very good antimicrobial properties [137–139]. Water filters prepared by polyurethane's foam coated with Ag nanofibers have shown good antibacterial properties against *Escherichia coli* (*E. coli*) [112].

There are other examples of low-cost potable microfilters prepared by incorporating Ag nanoparticles that can be used in remote areas in developing countries [140]. Ag nanoparticles also find their applications in water filtration membranes, for example, in polysulfone membranes [141], for biofouling reduction and have proved effective against variety of bacteria and viruses [142–148]. These Ag nanoparticles laden membranes had good antimicrobial activities against *E. coli*, *Pseudomonas*, and so forth [149, 150].

Finally, Ag nanocatalyst alone and incorporate with carbon covered in alumina has been demonstrated as efficient for degradation of microbial contaminants in water [151].

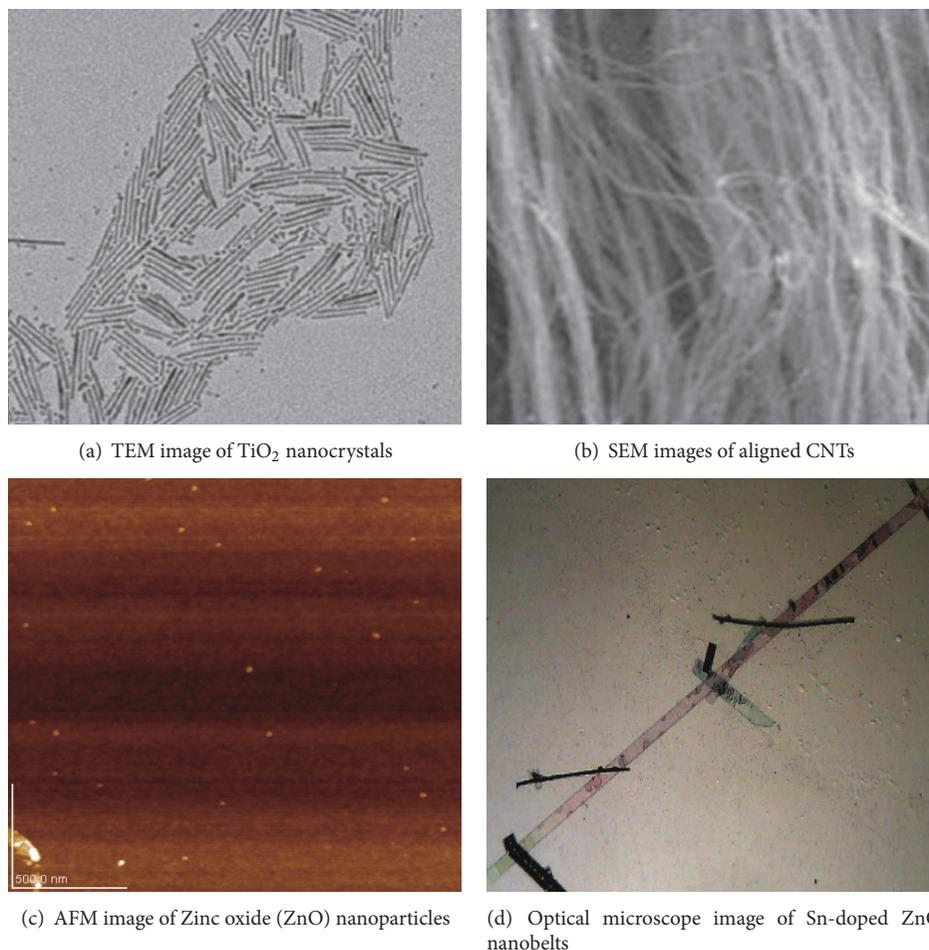


FIGURE 2: Examples of different types of nanomaterials including particles, crystals, tube, and belts.

Although Ag nanoparticles have been used efficiently for inactivating bacteria and viruses as well as reducing membrane biofouling, their long-term efficacy against membrane biofouling has not been reported mainly due to loss of silver ions with time [152, 153]. So, further work to reduce this loss of silver ions is required for long-term control of membrane biofouling. Alternatively, doping of Ag nanoparticles with other metallic nanoparticles or its composites with metal-oxide nanoparticles can solve the issue and this could also lead to the parallel removal of inorganic/organic compounds from water/wastewater.

3.1.2. TiO_2 Nanoparticles. TiO_2 nanoparticles are among the emerging and most promising photocatalysts for water purification [154, 155]. The basic mechanism of a semiconductor-based photocatalysts like low-cost TiO_2 having good photoactivity and nontoxicity [156] involves the production of highly reactive oxidants, such as OH radicals, for disinfection of microorganisms, bacteria, fungi, algae, viruses, and so forth [157–165]. TiO_2 , after 8 hours of simulated solar exposure, has been reported to reduce the viability of several waterborne pathogens such as protozoa, fungi, *E. coli*,

and *Pseudomonas aeruginosa* [166]. A complete inactivation of fecal coliforms under sunlight is reported in a study expressing the photocatalytic disinfection efficiency of TiO_2 [167].

The limited photocatalytic capability of TiO_2 , that is, only under UV light, has improved drastically by extending its optical absorbance to the visible-light region [168, 169]. This was achieved by doping transition metals [170] and anionic nonmetals such as nitrogen [171–180], carbon [181–187], sulfur [188–190], or fluorine [191] into TiO_2 . Recently, Ag doping of TiO_2 has resulted in improved bacterial inactivation either by complete removal or decreased time of *E. coli* inactivation thereby enhancing disinfection under UV wavelengths and solar radiations [192–197].

As highlighted, the synthesis of visible-light-activated TiO_2 nanoparticles has attracted considerable interest [198], and TiO_2 nanoparticles and nanocrystallines irradiated with UV-visible light exhibited strong bactericidal activity against *E. coli* [199–202]. Metal-doped TiO_2 nanoparticles, sulfur, and iron in couple of studies have shown strong antibacterial effects against *E. coli* [203, 204]. TiO_2 was further modified by nanoparticles of transition metal oxides and nanostructured

TiO₂ photocatalysts showed great potential for water disinfection. For example, metal-ion-modified nitrogen-doped TiO₂ nanoparticle photocatalysts (palladium (Pd) in a study) have shown enhanced disinfection efficiency against *E. coli* (Gram-negative bacterium), *Pseudomonas aeruginosa*, and *Bacillus subtilis* spores due to photocatalytic oxidation under visible-light illumination [205, 206].

Nitrogen-doped TiO₂ nanoparticles catalysts have proved their efficiency for degradation of microbial contaminants in water [151]. Nanostructured TiO₂ films and membranes are capable of disinfecting microorganisms in addition to the decomposition of organic pollutants under UV and visible-light irradiation [207]. Due to its stability in water, TiO₂ can be incorporated in thin films or membrane filters for water filtration [208, 209]. TiO₂ nanorods and nanofilms exhibited a higher photocatalytic activity than commercial TiO₂ nanoparticles and TiO₂ thin films, respectively, for the photocatalytic inactivation of *E. coli* [191, 210, 211]. The inactivation mechanism of *E. coli* when using TiO₂ thin films was also investigated by many researchers [212, 213]. In a study, TiO₂ nanocomposites with multiwalled CNTs showed the complete inactivation of bacterial endospores (*Bacillus cereus*), compared to commercial TiO₂ nanoparticles [214]. Immobilized TiO₂ nanoparticle films successfully inactivated *E. coli* K12 in surface and distilled water [215]. TiO₂ nanoparticles incorporated into an isotactic polypropylene polymeric matrix showed higher biocidal activity against *Enterococcus faecalis* and *Pseudomonas aeruginosa* [216].

The detailed review has demonstrated the antibacterial efficiency of TiO₂ nanoparticles, but the actual underlying mechanisms are not well defined especially under visible light. In addition, composites of TiO₂ nanoparticles by doping with other metallic nanoparticles have also shown their effectiveness, but the applications of using TiO₂ nanofibers and thin films need to be investigated for the effective removal of both inorganic/organic compounds in addition to the disinfection.

3.1.3. CNTs and Others. CNTs have proved to be very effective in removing bacterial pathogens. CNTs (one of nanosorbents) which have been used for removal of biological impurities have received special attention for their excellent capabilities of removing biological contaminants from water [63]. CNTs possess antimicrobial characteristics against a wide range of microorganisms including bacteria such as *E. coli* and *Salmonella* [217–222] and viruses [223, 224]. The adsorption of cyanobacterial toxins on CNTs is also higher when compared with carbon-based adsorbents mainly due to large specific surface area, external diameter of CNTs, large composition of mesoporous volume, and so forth [225–228].

Researchers have attributed the antimicrobial effects of CNTs to their unique physical, cytotoxic, and surface functionalizing properties [229], their fibrous shape [230, 231], the size and length of the tubes, and number of layers (single- or multiwalled) [155, 232]. The mechanisms of killing bacteria by CNTs are also due to the production of oxidative stress, disturbances to cell membrane, and so forth [233]. Although single-walled CNTs are more detrimental against

microorganisms than multiwalled CNTs [234], dispersivity of CNTs is a more important parameter than length [235]. Many researchers observed an extremely high adsorption rate of bacteria by single-walled CNTs, in addition to their high sorption capacities by many researchers [236–244].

Filtration membranes containing radially aligned CNTs are very effective in removing both bacteria and viruses in very short time due to size exclusion and depth filtration [245–247] and thus enable such filters to be used as cost-effective and point-of-use water disinfection devices. CNTs can also reduce membrane biofouling and a nanocomposite membrane of single-walled CNTs and polyvinyl-N-carbazole showed high inactivation of bacteria upon direct contact in a study [248]. Another example of controlling the biofouling in thin film nanocomposite membranes is the single-walled CNTs covalently bonded to thin film composite membrane surface which have exhibited moderate antibacterial properties [249].

Among the other nanomaterials for microbial disinfection, bifunctional ferrous oxide (Fe₃O₄) @Ag and Fe₃O₄ @TiO₂ nanoparticles were employed successfully against pathogenic bacteria including *E. coli*, *Staphylococcus epidermidis*, and *Bacillus subtilis* thus covering both Gram-positive and Gram-negative bacteria as well as spores [250, 251]. Magnesium oxide nanoparticles were also used effectively as biocides against both Gram-positive and Gram-negative bacteria and bacterial spores [252, 253]. Nanotungsten oxide and palladium-incorporated ZnO nanoparticles have shown good antibacterial properties at removal of *E. coli* from water [254, 255]. Nitrogen-doped ZnO and Zirconium oxide nanoparticles also have proved good antibacterial nanostructured materials [151, 256].

3.2. Desalination. Desalination is considered an important alternative for obtaining fresh water source. Though expensive, membrane based desalination processes cover most of the desalination capability out of which only RO accounts for 41% [257]. Parameters that control the desalination cost include maximizing the flux of water through membrane to minimize the fouling. Recent developments in membrane technology have resulted in energy efficiency in RO plants [258]. NF has also been evaluated for desalinating seawater [259].

Nanomaterials are very useful in developing more efficient and cheaper nanostructured and reactive membranes for water/wastewater treatment and desalination such as CNT filters [260]. Nanomaterials offer opportunities to control the cost of desalination and increase its energy efficiency and among these are CNTs [261, 262], zeolites [263, 264], and graphene [265–267]. The controlled synthesis of both the length and diameters of CNTs has enabled them to be used in RO membranes to achieve high water fluxes [268–270].

Thin film nanocomposite membranes containing Ag and TiO₂ nanoparticles exhibited good salt rejection [150, 271]. Membrane permeability and salt rejection are shown to be effected by the number of coatings in TiO₂/Al₂O₃ (aluminium oxide) composite ceramic membranes coated by iron

oxide nanoparticles (Fe_2O_3) [272, 273]. A high sodium chloride rejection was obtained by using alumina ceramic membranes fabricated with silica nanoparticles [274]. Zeolite-based membranes for RO have exhibited high flux with excellent ion rejection characteristics [275, 276]. Studies also have indicated the potential of graphene membranes for water desalination with higher fluxes than polymeric RO membranes [277].

Other nanostructures such as lyotropic liquid crystals and aquaporins also have exhibited high flux and selective water transportation [278–280]. Zeolite-polyamide thin film nanocomposite membranes offered new ways of designing NF and RO membranes with increased water permeability and high salt rejection [275, 281]. The use of nanozeolites in thin film nanocomposite membranes has resulted in enhanced permeability and salt rejection [282, 283].

By grafting functional groups, such as carboxyl, at opening of CNTs, membranes have better selective rejection of some components but this has resulted in reduced permeability rendering CNTs incapable for desalination [281, 284, 285]. Hinds concluded a uniform CNT diameter of less than 0.8 nm for high salt rejection [286]. Nanocomposite membranes may serve as ideal membranes for desalination but a basic understanding of transport mechanism along with proper pore size selection by keeping the uniformity is required for economically feasible and commercially acceptable desalination membranes. The effects of real seawater feed on the efficiency of different nanomaterials need to be investigated in terms of long-term operation and maintenance of membrane performance.

3.3. Removal of Heavy Metals and Ions. Different types of nanomaterials have been introduced for removal of heavy metals from water/wastewater such as nanosorbents including CNTs, zeolites, and dendrimers and they have exceptional adsorption properties [63]. The ability of CNTs to adsorb heavy metals is reviewed by many researchers [287] such as Cd^{2+} [288], Cr^{3+} [289], Pb^{2+} [290], and Zn^{2+} [291] and metalloids such as arsenic (As) compounds [292]. Composites of CNTs with Fe and cerium oxide (CeO_2) have also been reported to remove heavy metal ions in few studies [293–295]. Cerium oxide nanoparticles supported on CNTs are used effectively to adsorb arsenic [289]. Fast adsorption kinetics of CNTs is mainly due to the highly accessible adsorption sites and the short intraparticle diffusion distance [287].

Metal based nanomaterials proved to be better in removing heavy metals than activated carbon [296], for example, adsorption of arsenic by using TiO_2 nanoparticles and nano-sized magnetite [297, 298]. The utilization of photocatalysts such as TiO_2 nanoparticles has been investigated in detail to reduce toxic metal ions in water [299]. In a study, the effectiveness of nanocrystalline TiO_2 in removing different forms of arsenic is elaborated and it has shown to be more effective photocatalyst than commercially available TiO_2 nanoparticles with a maximum removal efficiency of arsenic at about neutral pH value [300]. A nanocomposite of TiO_2 nanoparticles anchored on graphene sheet was also used to reduce Cr(VI) to Cr(III) in sunlight [301]. Similar Cr

treatment was carried out by using palladium nanoparticles in another study [302].

The capability of removing heavy metals like As is also investigated by using iron oxide nanomaterials (Fe_2O_3 and Fe_3O_4) as cost-effective adsorbents by many researchers [303–305]. Arsenic removal was also investigated by using high specific surface area of Fe_3O_4 nanocrystals [306]. Polymer-grafted Fe_2O_3 nanocomposite was effectively used to remove divalent heavy metal ions for copper, nickel, and cobalt over a pH range of 3 to 7 [307].

Bisphosphonate-modified magnetite nanoparticles were also used to remove the radioactive metal toxins, uranium dioxide (UO_2^{2+}) with high efficiency from water [308]. Studies have shown that zero-valent iron or iron nanoparticles (nZVI or Fe^0) are very effective for the transformation of heavy metal ions such as As(III), As(V), Pb(II), Cu(II), Ni(II), and Cr(VI) [309–313]. Reduction of Cr(VI) to Cr(III) was also done by using nZVI and bimetallic nZVI nanoparticles in a study [314].

Novel self-assembled 3D flower-like iron oxide nanostructures were also used to successfully adsorb both As(V) and Cr(VI) [315]. The 3D nanostructures of CeO_2 are used as good adsorbents for both As and Cr [316]. The efficiency of NaPI zeolites was evaluated for removal of heavy metals (Cr(III), Ni(II), Zn(II), Cu(II), and Cd(II)) from wastewater [317, 318]. Dendritic polymers were also used for treatment of toxic metal ions [319]. The applicability of self-assembled monolayers on mesoporous supports for removing toxic metal ions novel was also evaluated by many researchers [320–322]. Biopolymers have been used for heavy metal remediation from aqueous wastes [323, 324]. Chitosan nanoparticles for the sorption of Pb(II) were also used in one study [325].

NF is reviewed for the removal of cations and arsenic from ground/surface waters [326] and it has been shown very effective to remove uranium (VI) from sea water [327]. Novel nanofilter membranes prepared by assembling positive poly (allylamine hydrochloride) and negative poly (styrene sulfonate) onto porous alumina exhibited a high retention of Ca^{2+} and Mg^{2+} [85]. The incorporation of iron (hydr)oxide nanoparticles into porous carbon materials has made it possible to remove both inorganics and organics thus enabling such filters to be used as point-of-use applications [328, 329]. A dendrimer-UF system was used for the removal of Cu^{2+} and the complete removal from water was obtained [330]. Finally, there are commercial products for efficient removal of arsenic and these include iron oxide nanoparticles and polymers and nanocrystalline titanium dioxide medium in the form of beads [331, 332].

3.4. Removal of Organic Contaminants. NOM constitutes a diverse group of hydrophobic (humic and fulvic acids) and hydrophilic organic compounds and it contributes significantly towards water contamination [333–339]. A variety of carbon-based adsorbents have been used for the removal of NOM from raw water and several factors affect this sorption of NOM [340–343].

3.4.1. CNTs. Different types of nanomaterial like nanosorbents such as CNTs, polymeric materials (e.g., dendrimers), and zeolites have exceptional adsorption properties and are applied for removal of organics from water/wastewater [63]. CNTs have received special attention due to their exceptional water treatment capabilities and adsorption of organics on CNTs is widely studied and extensively reviewed [287]. The removal of NOM by CNTs is higher when compared with carbon-based adsorbents mainly due to large surface areas and other factors [344, 345]. CNTs are also effective to remove polycyclic aromatic organic compounds [346–348] and atrazine [345].

Nanoporous activated carbon fibers, prepared by electrospinning of CNTs, showed much higher organic sorption equilibrium constants for benzene, toluene, xylene, and ethylbenzene than granular activated carbon [349]. The sorption of 1,2-dichlorobenzene by CNTs has been shown as effective in one study [350]. Compared with activated carbon, both single- and multiwalled CNTs displayed higher adsorption capacities for trihalomethanes [293, 351]. Multiwalled CNTs have been used as sorbents for chlorophenols, herbicides, DDTs, and so forth [352–355]. Finally, novel polymers with functionalized CNTs showed effective removal of organic pollutants from water [295].

3.4.2. TiO_2 Nanoparticles. Metal oxide nanomaterials such as TiO_2 in addition to CeO_2 have also been used as catalysts for fast and comparatively complete degradation of organic pollutants in ozonation processes [356, 357]. Photocatalysts like TiO_2 nanoparticles are used effectively for the treatment of water contaminated with organic pollutants like polychlorinated biphenyls (PCBs), benzenes, and chlorinated alkanes [299]. Removal of total organic carbon from wastewater was enhanced by the addition of TiO_2 nanoparticles in a study [358]. TiO_2 nanoparticles were also used in a “falling film” reactor for the degradation of microcystins in water [159]. Multiwalled CNTs functionalized with Fe nanoparticles have been proved effective sorbents for aromatic compounds like benzene and toluene [359].

Decomposition of organic compounds can be enhanced by noble metal doping into TiO_2 due to enhanced hydroxyl radical production and so forth [360–362]. For example, the doping Si into TiO_2 nanoparticles was effective to improve its efficiency due to the increase in surface area and crystallinity [363, 364]. TiO_2 nanocrystals modified with noble metal deposits and so forth were used for the degradation of methylene blue in the visible-light range [198, 365, 366]. Nitrogen- and Fe(III-) doped TiO_2 nanoparticles were better in degrading azo dyes and phenol, respectively, than commercially available TiO_2 nanoparticles [367, 368]. TiO_2 nanoparticles deposited onto porous Al_2O_3 were used effectively for the removal of TOC in a study [369]. TiO_2 nanocomposites with mesoporous silica were also used for the treatment of aromatic pollutants [370–373]. A composite of nanosized $\text{SO}_4^{2-}/\text{TiO}_2$ was used for the degradation of 4-nitrophenol in one study [374]. TiO_2 nanotubes have been used effectively to degrade toluene better than bulk structural materials [375] and were found to be more efficient than

TiO_2 nanoparticles for degrading organic compounds [376]. A commercial product (Purifics Photo-Cat system) has been shown highly efficient at removing organics [377–379].

3.4.3. Zero-Valent Iron. Nanocatalysts including semiconductor materials, zero-valence metal, and bimetallic nanoparticles have been used for degradation of environmental contaminants such as PCBs, pesticides, and azo dyes due to their higher surface area and shape dependent properties [380]. Magnetic nanosorbents also have proved effective in organic contaminants removal [381]. Iron oxide nanomaterials have shown better removal capabilities of organic pollutants than bulk materials [303, 382, 383]. Fe_2O_3 nanoparticles have also been used for the removal of colored humic acids from wastewater [384]. Chlorinated organic compounds and PCBs have been transformed successfully using nZVI [385–387] as well as inorganic ions such as nitrate and perchlorate [388, 389].

Particles of nZVI have been proved effective in degradation of toxic chlorinated organic compounds, for example, 2,2'-dichlorobiphenyl in a few remediation studies [390, 391]. The stabilized nZVI particles could also be an effective way for in situ remediation of groundwater or industrial effluents [392]. The nZVI and bimetallic nZVI have emerged as effective redox media for reducing a variety of organic pollutants such as PCBs, pesticides, organic dyes, chlorinated alkanes, and alkenes and inorganic anions (e.g., nitrates) in water/wastewater due to larger surface areas and reactivity [393, 394]. Bimetallic Ni^0/Fe^0 and Pd^0/Fe^0 nanoparticles were more effective than commercial microscale Fe for reductive dehalogenation of chlorinated organics and brominated methanes, hydrodechlorination of chlorinated aliphatics, chlorinated aromatics, and PCBs as reported by many researchers [395–402].

3.4.4. Other Nanomaterials. In a study, nanostructured ZnO semiconductor films were used for degradation of organic contaminants (4-chlorocatechol) [403]. The nanocatalyst of Ag and amidoxime fibers was used efficiently for degradation of organic dyes [404]. A bimetallic nanocomposite of Pd-Cu/ γ -alumina was used for the reduction of nitrate in one study [405]. Traces of halogenated organic compounds were biodegraded using hydrogen and the Pd based nanoparticles [301, 406]. Pd nanoparticles and bimetallic Pd/Au (gold) nanoparticles were used effectively for hydrodechlorination of trichloroethylene (TCE) [407, 408]. Mineralization of organic dyes was accelerated in one study by using films of manganese oxide (MnO_2) nanoparticles and hydrogen peroxide [409]. Similarly, MnO_2 hierarchical hollow nanostructures were used for the removal of organic pollutant in waste water [410]. The immobilized nanoparticles of metallo-porphyrinogens have also been successfully used for the reductive dehalogenation of chlorinated organic compounds (TCE and carbon tetrachloride) [411]. The applicability of self-assembled monolayers on mesoporous supports for removing anions and radionuclides was also evaluated by many researchers [412, 413]. Molecularly imprinted nanospheres were used for the removal of micropollutants

from hospital waste water [414]. Finally, single-enzyme nanoparticles could be used for decontaminating a broad range of organic contaminants as highlighted in one study [415].

3.4.5. Membranes. The immobilization of metallic nanoparticles in membrane has also been proved effective for degradation and dechlorination of toxic contaminants [416]. Inorganic membranes containing nano-TiO₂ or modified nano-TiO₂ have been used effectively for reductive degradation of contaminants, particularly chlorinated compounds [417, 418]. The use of TiO₂ immobilized on a polyethylene support and a TiO₂ slurry in combination with polymeric membranes has proved very effective in degrading 1,2-dichlorobenzene and pharmaceuticals, respectively [419, 420].

Polyethersulfone composite membranes with nano-TiO₂ as additive showed higher fluxes and enhanced antifouling properties [421–423]. Ceramic composite membrane made of TiO₂ nanoparticles inside a tubular Al₂O₃ substrate showed improved water quality and flux compared to Al₂O₃ membranes [424]. By doping TiO₂ nanoparticles to the Al₂O₃ membrane, it was possible to control the membrane fouling by decreased adsorption of oil droplets to membrane surface in the treatment of oily wastewater [425]. Nanostructured composite of TiO₂ and Fe₂O₃ incorporated into ultrafiltration membranes successfully reduced the fouling burden and improved the permeate flux [369].

Cellulose acetate membrane laden with nZVI was found effective in dechlorination of TCE [426]. A reactive membrane of bimetallic nZVI and Pt⁰ nanoparticles was found to be very effective at reducing TCE [427]. Bimetallic Fe/Ni and Fe/Pd nanoparticles incorporated in nZVI as polymer-inorganic porous composite membranes have been successfully used for the reductive degradation of halogenated organic solvents [428–430]. Polyvinylidene fluoride film containing Pd and Pd/Fe was used effectively for dechlorination of PCB's in one study [431] leading to the development of a membrane reactor for dechlorination of a wider range of compounds [391, 432]. Alumina-zirconia-titania ceramic membrane coated with Fe₂O₃ nanoparticles was observed to reduce the dissolved organic carbon better than the uncoated membrane enhancing the degradation of NOM [273, 433]. Finally, ceramic composite membranes of TiO₂ and CNTs have resulted in enhanced membrane permeability and photocatalytic activity [434–436].

NF is reviewed for the removal of NOM and nitrates from ground/surface waters [326] and it has been reported to improve the water quality with a substantial reduction in organic contaminants [437]. Cost-effective nanostructured and reactive membranes are fabricated using different nanomaterials to develop more efficient water purification methods and in a study ceramic membrane of Al₂O₃ nanoparticles alone and doped with Fe, Mn, and La showed selectivity towards three different synthetic dyes [84]. An improved antifouling performance and flux increase was also observed in silica-incorporated membranes [438].

In the context of improving the UF processes for water treatment containing organic and inorganic solutes, dendritic

polymers are used as water-soluble ligands for radionuclides and inorganic anions [439, 440]. Nearly complete reduction of 4-nitrophenol was seen when using a composite membrane composed of alumina and polymers through layer-by-layer adsorption of polyelectrolytes and citrate-stabilized Au nanoparticles [89]. Finally, the addition of metal oxide nanoparticles including silica, TiO₂, alumina, and zeolites to polymeric ultrafiltration membranes has helped reducing fouling [441–445].

4. Conclusions and Perspectives

Safe water has become a competitive resource in many parts of the world due to increasing population, prolonged droughts, climate change, and so forth. Nanomaterials have unique characteristics, for example, large surface areas, size, shape, and dimensions, that make them particularly attractive for water/wastewater treatment applications such as disinfection, adsorption, and membrane separations. The review of the literature has shown that water/wastewater treatment using nanomaterials is a promising field for current and future research.

Surface modifications of different nanomaterials like nanoscale TiO₂, nZVI by coupling with a second catalytic metal can result in enhanced water/wastewater quality when applied for this purpose by increasing the selectivity and reactivity of the selected materials. Surface modification may lead to the enhanced photocatalytic activity of the selected compounds due to the short lifetime of reactive oxygen species and increase the affinity of modified nanomaterials towards many emerging water contaminants. Bimetallic nanoparticles have also proved effective for remediation of water contaminants. However, further studies are required for understanding the mechanism of degradation on bimetallic nanoparticles responsible for the improved efficiency. For real field applications, however, an improved understanding of the process mechanism is very important for the successful applications of innovative nanocomposites for water/wastewater treatment.

Electrospinning offers the way to modify the surface properties of nanomaterials and different nanofibrous filters have successfully been used as antifouling water filtration membranes. They have extremely high surface-to-volume ratio and porosity, are very active against water-borne pathogens, less toxic with minimum health risks, and provide solutions to ensure safe water. It is very easy to dope functional nanomaterials to form multifunctional media/membrane filters with increased reactivity and selectivity for different contaminants. Although these electrospun nanofibers are prepared by simple and cost-effective method, their manufacturing at industrial scale is still a challenge and it is vital to consider the subject from an engineering aspect. The use of nanofibrous composites membranes for water/wastewater treatment is very limited and a stand-alone system (Figure 3) is proposed for removing all types of contaminants including bacteria/viruses, heavy metals and ions, and complex organic compounds.

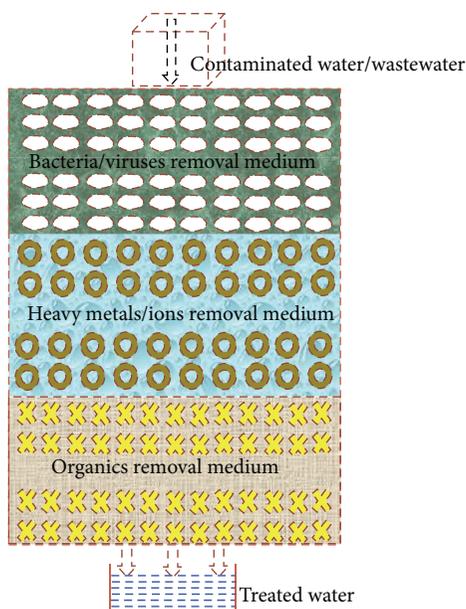


FIGURE 3: Schematic of a proposed composite nanofibrous media/membrane filters for complete removal of contaminants from water/wastewater.

The use of nanofibers and composite nanostructures membranes can help degrade a wide range of organic and inorganic contaminants in real field applications. The better understanding of the formation of nanocomposites membranes will certainly be a step towards improving the performance of multifunctional nanocomposites membranes. The pattern of nanoparticles within the host matrices of membranes and changes in the structures and properties of both nanomaterials and host matrices could be among the priority concerns in the real field applications of the nanofibrous membranes for water/wastewater treatment.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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Research Article

Thermomechanical Behavior of High Performance Epoxy/Organoclay Nanocomposites

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Nanocomposites of epoxy resin containing bentonite clay were fabricated to evaluate the thermomechanical behavior during heating. The epoxy resin system studied was prepared using bifunctional diglycidyl ether of bisphenol A (DGEBA), crosslinking agent diaminodiphenylsulfone (DDS), and diethylenetriamine (DETA). The purified bentonite organoclay (APOC) was used in all experiments. The formation of nanocomposite was confirmed by X-ray diffraction analysis. Specimens of the fabricated nanocomposites were characterized by dynamic mechanical analysis (DMA). According to the DMA results a significant increase in glass transition temperature and storage modulus was evidenced when 1 phr of clay is added to epoxy resin.

1. Introduction

Polymeric nanocomposites are hybrid materials where the dispersed phase has nanodimensions not exceeding 10 nm [1]. Similar to traditional composites, the continuous phase is an organic matrix (polymer) in which inorganic particles are dispersed in nanodimensions. By presenting significant gains in thermal, mechanical, and thermomechanical properties, barrier to gases and liquids, and flame retardance, nanocomposites of polymer/clay have attracted considerable attention in recent years [2].

The thermal behavior of epoxy systems depends on a number of factors such as type and concentration of the used components, mixing conditions, curing conditions, and postcure treatment. An increase in glass transition temperature (T_g) of epoxy/clay nanocomposites was observed by Lan et al. [3], while Massam and Pinnavaia [4] observed a reduction in T_g of the material. The increase in T_g was attributed

to the slowing of molecular motion due to the interaction of nanoparticles, while the decrease can be explained by disruption of the reticulated structure, or residual monomers polymerization.

Like most epoxy nanocomposites actually presenting an intercalated structure, it is not surprising that most studies have shown that there was a reduction in T_g . However, different concentrations of curing agent and various methods for obtaining these nanocomposites, as well as the type of clay used, directly influence the results. Based on this description it is evident that the preparation of polymeric nanocomposites does not always contribute to an increase in thermal stability of epoxy systems. Santos [5] in his work on the cure kinetics and thermal stability of epoxy systems showed that variations in the structure of the nanocomposite can produce contradictory results on the thermal stability. The author found that although he had a considerable gain in the mechanical properties of epoxy/clay nanocomposite,

thermal properties were not improved. The thermal stability and glass transition temperature of these materials were lower than the epoxy system without nanoparticles dispersed.

An interesting characteristic that enables epoxy/clay nanocomposite to be used as a matrix for receiving a Ni-Ti shape memory alloy (SMA) is the thermal stability, which is directly influenced by the structure of nanocomposites. Exfoliated structures have better thermal stability in the range of transformation of SMA compared to nanocomposites with intercalated structures [6–10], and these results were observed in the results of dynamic mechanical analysis (DMA), for proportions of clay below 5% in mass.

The results, sometimes divergent of the thermomechanical properties of nanocomposites, are due to the fact that these properties are affected not only by the structure of nanocomposite, but also for many other factors, such as the crosslinking density, charge-matrix adhesion, reaction kinetics, and the method of determination of the thermal properties [11].

An effective method to study the thermal properties of nanocomposites is the dynamic mechanical analysis (DMA). In this work, the thermal behavior of a polymer matrix composed of one bifunctional crosslinking monomer diglycidyl ether of bisphenol A (DGEBA) and two different types of crosslinking agent, aliphatic amines diethylenetriamine (DETA) and aromatic amine diaminodiphenylsulfone (DDS), was evaluated in order to select it as polymeric matrix of better thermal stability.

In this context, this paper aims to apply the general technique of dynamic mechanical analysis (DMA) to evaluate the effect of curing agent and of the treatment of postcuring in the thermal properties of different systems. In order to select an adequate epoxy polymer matrix to be used in nanocomposites, the incorporation of Ni-Ti alloy wire with shape memory effect is studied.

2. Experimental Procedure

2.1. Materials. Sodium bentonite purified (APOC), with cation exchange capacity (CEC) value of about 100 meq/100 g, was purchased from Bentonit União Nordeste, Campina Grande, Paraíba State, Brazil. The preparation of organobentonite by ion exchange was carried out according to previously reported methods [12]; the organobentonite was modified with cetyl trimethyl ammonium bromide ($C_{16}H_{33}(CH_3)_3NBr$). The used epoxy resin was the diglycidyl ether of bisphenol A (DGEBA) supplied by Silaex Química Ltda., São Paulo, São Paulo State, Brazil. The epoxide equivalent weight of epoxy resin was $182\text{--}192\text{ g eq}^{-1}$. Aliphatic amines, diaminodiphenylsulfone (DDS) and diethylenetriamine (DETA), were used as curing agents for epoxy resin. The chemical structures of DDS and DETA epoxy resins are shown in Figure 1.

For the isothermal cure experiment, the epoxy resin and the curing agent are mixed in the stoichiometric ratio of 44 parts per hundred resin (phr) to curing agent DDS and to 36 phr to curing agent (DETA). The mixture was then mixed with 1% organobentonite powder.

TABLE 1: Specification of the polymer matrix studied.

Component	Curing	Postcure	Coding
DGEBA/DETA	7 hours/110°C	160°C/2 hours	DGEBA_DETApc
DGEBA/DETA	7 hours/110°C	—	DGEBA_DETA
DGEBA/DDS	5 hours/160°C	200°C/2 hours	DGEBA_DDSpC
DGEBA/DDS	5 hours/160°C	—	DGEBA_DDS

2.2. Synthesis of the Epoxy Resin. For the synthesis of epoxy resin using DGEBA/DDS (resin/hardener), a specific quantity (40 g) of DGEBA was placed in a Becker glass and heated in an oven at 110°C for 15 minutes and an amount equivalent to 44 phr (parts per hundred resin) of DETA hardener was added. The mixture DGEBA/DDS was mixed mechanically at low rotation speed (~200 rpm) at temperature 90°C for 15 minutes before being inserted into the cast in a metallic mold, previously manufactured with rectangular cavities $125 \times 12.7 \times 3.2$ mm, corresponding to the shape of a flexion test specimens according to ASTM D790. The samples were kept at 160°C for a period of 5 hours. After this period, the epoxy resin was postcured at 200°C for 2 hours to obtain the maximum conversion.

The procedure used for the synthesis of DGEBA/DETA resin was similar to that used for DGEBA/DDS. However, in this case 36 phr of crosslinking agent was used (DETA). The resin was cured at 110°C for 7 hours and postcured at 135°C for 2 hours. The curing and postcure conditions as well as the codification of the epoxy systems studied are presented in Table 1.

2.3. Synthesis of the Nanocomposite. The epoxy resin DGEBA (40 g) was mixed with the desired amount of organophilic clay. The organoclay (325 mesh) was dispersed uniformly in epoxy monomer at 50°C and 200 rpm for 1 h. Then, the curing agent (DDS) was added into the epoxy/clay hybrid system and mixed thoroughly by stirring. The mixtures were cast into a mold. The samples were cured with DDS for 5 h and 160°C and postcured for 2 h at 200°C. The procedure used in the synthesis of DGEBA/DETA was similar to that used for DGEBA/DDS. However, in this case the mixture DGEBA/DETA poured into the mold cavity was placed in an oven at 110°C, maintained for a period of 7 hours, and postcured at 160°C for 2 hours. Conditions for healing and posttreatment, including the codes of the systems studied, are shown in Table 1.

2.4. Experimental Tests. In order to study the phase morphology, all samples were examined by DMA analysis, a powerful technique to study the relaxation and miscibility behavior of polymers and blends. The tangent to the peak of temperature, that is, T_g , can be used to evaluate miscibility and immiscibility of polymer blends [13]. Dynamic mechanical properties of nanocomposites and composites were measured with a TA Q800 dynamical mechanical analyzer (DMA) in fixed frequency mode at 1 Hz with $15\ \mu\text{m}$ oscillation amplitude, and temperature ranges from 30 to 250°C with variation of 5°C/min loaded under single cantilever mode. The sample

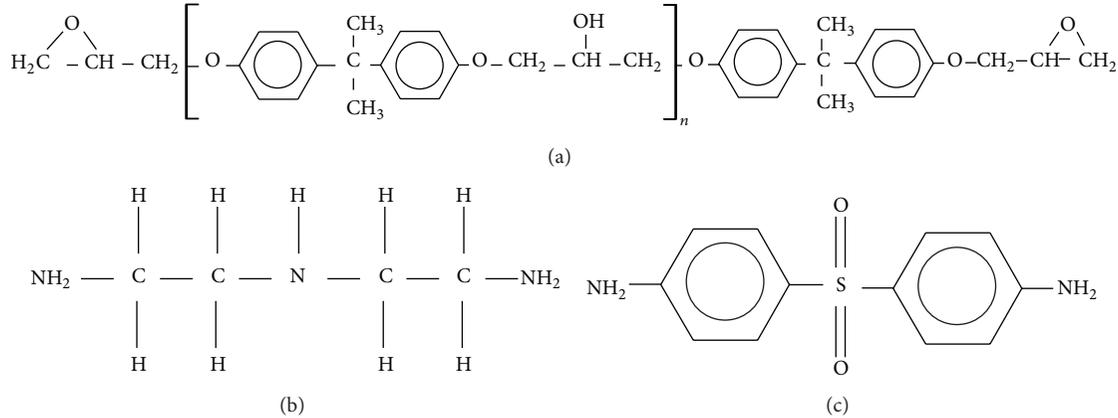


FIGURE 1: Chemical structures of epoxy resins. (a) DGEBA, (b) DETA, and (c) DDS.

size was $12.7 \times 3.47 \times 2$ mm. The glass transition temperature (T_g) was determined from the peak of the $\tan \delta$ curve. X-ray diffraction (XRD) analysis was performed on a Shimadzu XRD-6000 diffractometer with Cu $K\alpha$ radiation ($\lambda = 0.154$ nm, 40 kV, 30 mA) at room temperature. Diffraction was performed from 1.5° to 12° at a scan rate of $2^\circ/\text{min}$ with a step size of 0.02° .

3. Results and Discussion

3.1. Thermomechanical Properties of the Epoxy Resin. The dynamic mechanical analysis (DMA) was performed to investigate the influence of the APOC concentration and postcuring treatment on the storage modulus and on the transition ($\tan \delta$) of the nanocomposites. Figures 2(a) and 2(b) show the curves of damping ($\tan \delta$) and storage modulus E' as a function of the temperature for epoxy systems cured with hardener DETA (EPX_DETA) and with hardener DDS (EPX_DDS), after having undergone postcuring treatment at different conditions (EPX_DETApc and EPX_DDSpc). A postcuring treatment in the systems evaluated at a temperature slightly above the T_g of the material was performed, in order to obtain the maximum convection system.

It was evident (Figure 2 and Table 2) that the thermal behaviors of epoxy resin diglycidyl ether of bisphenol A (DGEBA) are affected by the type of curing agent (hardener) and postcure treatment. The epoxy resin cured with DDS hardeners (EPX_DDS system) showed higher values of T_g (determined by the value of the peak of $\tan \delta$) than that cured with hardener DETA (EPX_DETA). When these systems were subjected to the treatment of postcure, EPX_DETApc and EPX_DDSpc, the increase in the value of T_g was even more significant. According to Jones [14], the T_g changes with the structure of cured resin and thermal history of the sample. These authors showed that even after the complete reaction of epoxy groups, that is, degree of conversion (X_e) of approximately 99%, an increase in T_g was observed. For long times of postcuring, the T_g is still increasing, although all epoxy groups have reacted. The reason for this behavior is due to variations in the chemical structure of the lattice that occur after essentially all epoxy groups have reacted; moreover,

TABLE 2: Cure and postcure temperature peak factor and the glass transition temperature T_g for different epoxy systems.

Epoxy system	Cure and postcure temperature	Peak factor (Γ)	T_g ($^\circ\text{C}$)
EPX_DETA	$110^\circ\text{C}/7$ h	0.1706	126.5
EPX_DDS	$160^\circ\text{C}/5$ h	0.2878	175.1
EPX_DETApc	$130^\circ\text{C}/7$ h/ 2 h	0.7590	140.5
EPX_DDSpc	$200^\circ\text{C}/5$ h/ 2 h	0.1690	212.2

there are considerable variations in density and variations in T_g for times of healing or postcure when the extent of reaction is kept essentially constant, that is, $X_e \sim 0.99$. These reactions allowed restricting the molecular mobility in the region of glass transition and, therefore, considerable increases in the values of T_g are observed [15].

As shown in Figure 2(a) the amplitude and the width of the peak $\tan \delta$ were modified when the epoxy systems were undergoing postcure treatment, especially for the system cured with DDS. According to literature [13], the peak factor (Γ) (Table 1), defined as the full width at half maximum of the $\tan \delta$ divided by its height, can be qualitatively used to evaluate the homogeneity of epoxy network. A higher peak factor for nanocomposite indicates a lower cross-link density and greater heterogeneity, which suggest intercalation of the epoxy network into the layers. Then, probably the homogeneity of the reticulation system for the epoxy cured with DDS was higher than for the system cured with DETA.

3.2. X-Ray Diffraction Analysis. Figure 3 shows X-ray diffraction patterns of the clay APOC and systems cured with epoxy curing agents DETA and DDS containing 1 parts per hundred resin (phr) of organoclay APOC (EPX_DETA.APOC and EPX_DDS.APOC) postcured. The clay showed the peak (001) at $2\theta = 4.75^\circ$ corresponding to a basal spacing of 1.85 nm, which corresponds to an interlayer spacing of the clay. The absence of peak (001) for EPX_DETA.APOC and EPX_DDS.APOC systems indicates the penetration of resin in the galleries of the clay and the formation of predominantly

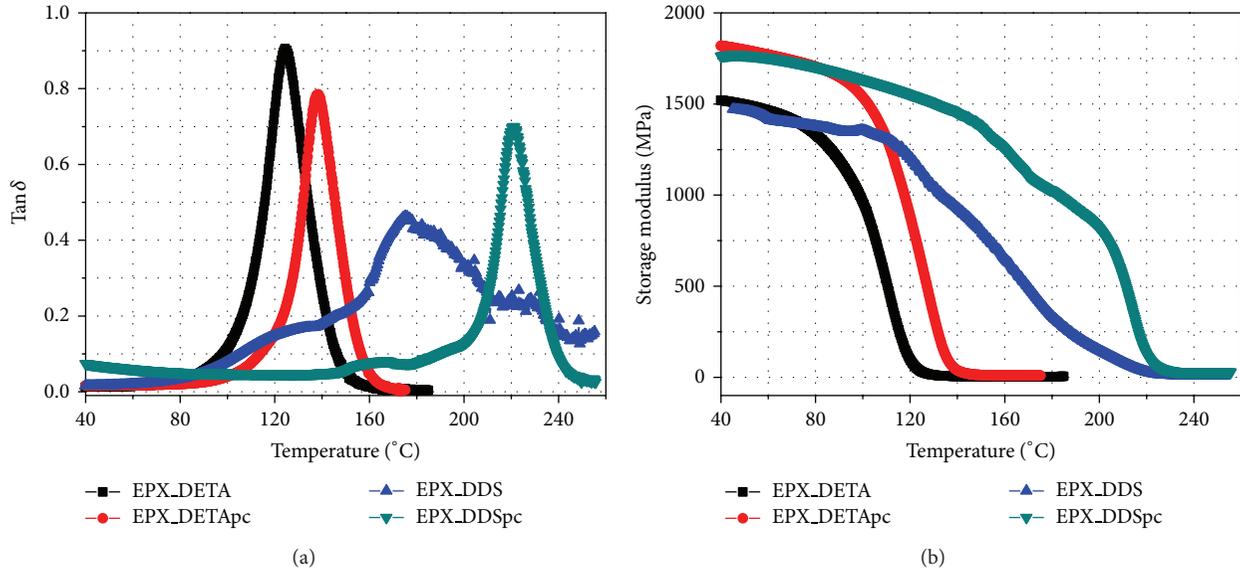


FIGURE 2: (a) Tan δ and storage modulus; (b) Tan δ as a function of the temperature for DGEBA/DDS and DGEBA/DETA epoxy system.

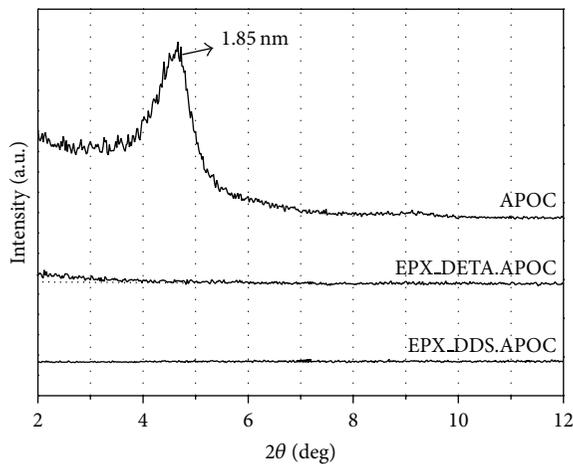


FIGURE 3: X-ray diffraction patterns of organoclay (APOC) and the nanocomposite containing 1 parts per hundred of organoclay (EPX_DDS.APOC) and (EPX_DETA.APOC) resins.

exfoliated nanocomposite EPX_DDS.APOC for the system (as the absence of the peak in the diffractogram) and is indicative of exfoliation for the EPX_DETA.APOC system (as slight inclination of 2θ around 2°). These results are similar to those reported in the literature, where the authors have shown that there is a direct correlation between the X-ray diffraction data and transmission electron microscopy (TEM); that is, absence of peak (001) in the diffractogram corresponds to a nanocomposite with exfoliated structure [8, 9, 16].

3.3. Thermal Mechanical Properties of the Nanocomposites. The incorporation of only 1phr of organoclay in the postcured epoxy systems (EPX_DETA.APOC and EPX_DDS.APOC) resulted in an increase of the glass transition temperature (T_g) and of the thermal stability of

these systems (Figure 4(a) and Table 2). The increase in T_g was approximately of 9°C when the APOC organoclay was incorporated. These results can be attributed to high aspect ratio of clay dispersion in polymer matrix that can act as physical crosslinker, whereas the epoxy resin was intercalated in the galleries of the clay, forming a predominantly exfoliated structure, as XRD data shown in Figure 3. It is also observed that the T_g of the system EPX_DDS.APOC was considerably higher (72°C) than the system EPX_DETA.APOC probably due to greater exfoliation of clay layers in polymer matrix.

Note that the nanocomposites are more thermally stable than the pure epoxy systems, especially the nanocomposite EPX_DDS.APOC, as indicated by higher plateau modulus vitreous and a lower percentage of loss modulus with temperature (Figure 4 and Table 3). These results can be attributed to restricted mobility of polymer chains due to high aspect ratio and high stiffness of the silicate layers dispersed in the polymer matrix. A significant improvement in storage modulus between 40 and 90°C was also portrayed in other works [16, 17].

According to Figure 4(b) the increases in storage modulus E' of exfoliated nanocomposites were more pronounced in the glassy region ($T < T_g$), indicating that the organoclay nanolayers were well exfoliated and dispersed homogeneously in the polymer matrix, especially for system cured with DDS [11]. This may have contributed to the formation of strong interaction forces between the epoxy resin and organoclay as the epoxy polymer chains are trapped in the clay surface through hydrogen bonds, due to the interactions between the hydroxyl groups of the organoclay and groups of ether epoxy resin, as shown in Figure 5 [16].

In summary, the thermomechanical properties observed in the epoxy cured with DDS hardener proved to be useful when used as matrix and Ni-Ti shape memory alloy (SMA) which are embedded in the nanocomposite, since it has both

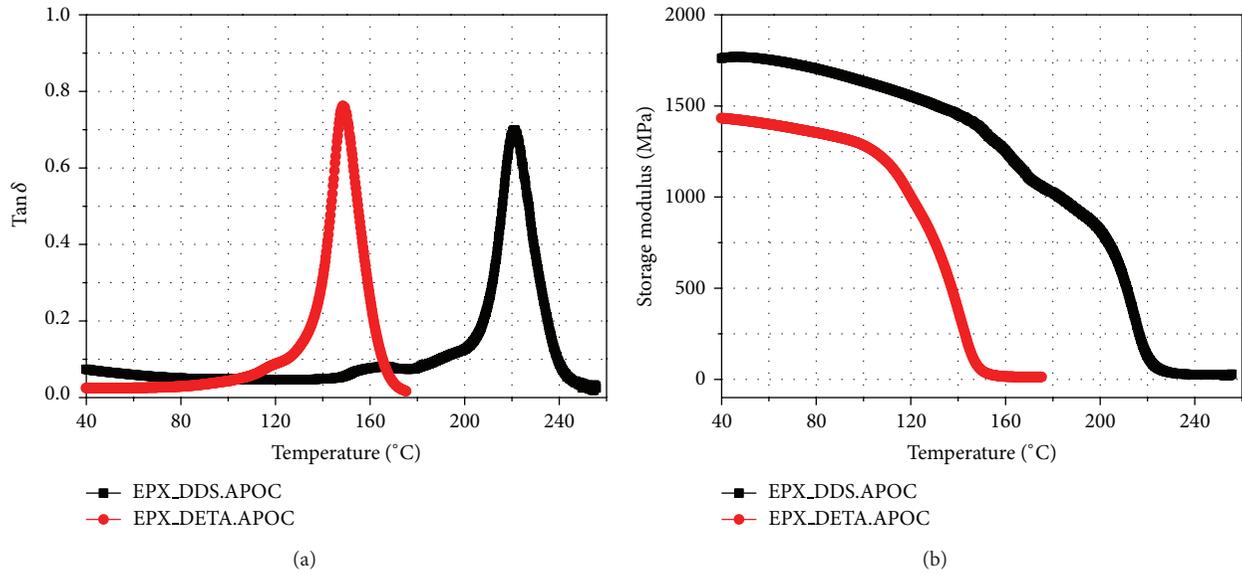


FIGURE 4: (a) Tan δ and storage modulus; (b) Tan δ as a function of the temperature for DGEBA/DDS and DGEBA/DETA epoxy system.

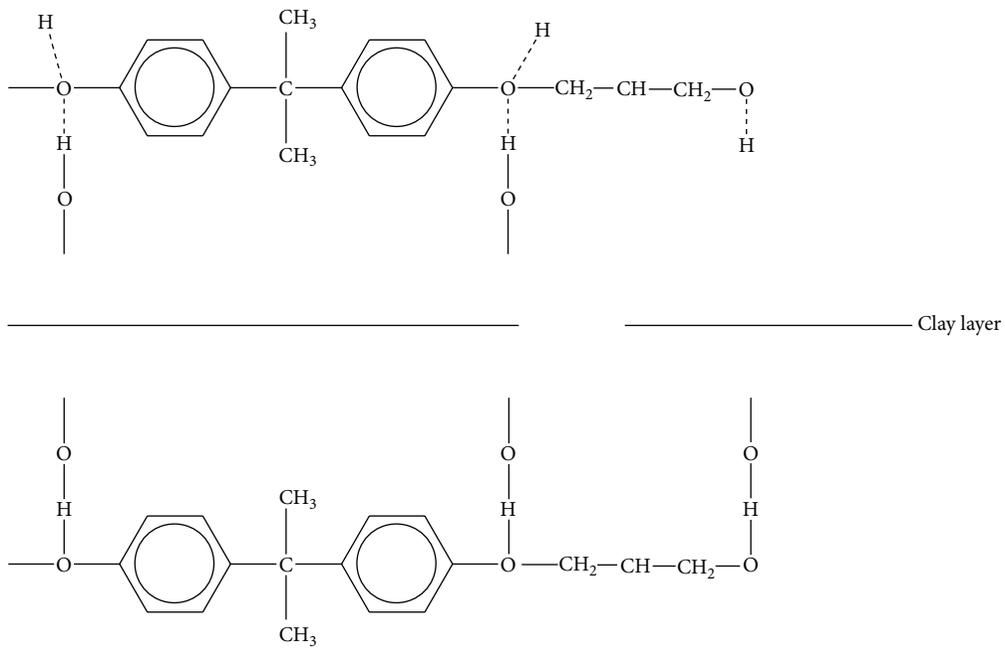


FIGURE 5: Interactions of hydrogen bonds between DGEBA and epoxy clay layer.

TABLE 3: Storage modulus (E') and T_g (tan δ peak) for different epoxy systems.

Epoxy system	E' (GPa)						% lost of E'	T_g ($^{\circ}\text{C}$)
	40 $^{\circ}\text{C}$	50 $^{\circ}\text{C}$	60 $^{\circ}\text{C}$	70 $^{\circ}\text{C}$	80 $^{\circ}\text{C}$	90 $^{\circ}\text{C}$		
EPX_DETApc	1.82	1.79	1.77	1.74	1.70	1.64	9.89	140.52
EPX_DDSpc	1.33	1.30	1.29	1.25	1.23	1.21	9.02	212.23
EPX_DETA.APOC	1.43	1.41	1.40	1.37	1.35	1.32	7.69	149.08
EPX_DDS.APOC	1.76	1.76	1.75	1.73	1.70	1.67	5.11	221.11

T_g and thermal stability high enough to withstand repeated heating of SMA wires in the range of transformation phase, and the transformation temperatures of this type of material are found in regions near the temperature where the matrix is thermally stable.

4. Conclusions

The thermal behavior of the epoxy systems investigated was affected by thermal treatment of postcuring, especially when bentonite purification is used. XRD analysis showed exfoliation in the organoclay nanolayers for nanocomposite EPX_DDS.APOC and was indicative of exfoliation for the system EPX_DETA.APOC. Thermomechanical tests (DMA) indicated that EPX_DDS.APOC nanocomposites present higher T_g and thermal stability, compared with pure EPX_DETA, EPX_DDS, and EPX_DETA.APOC. Thus, the system EPX_DDS.APOC can be used in future work or preparation of nanocomposites activated with shape memory alloys (SMA) since the transformation temperature of SMA occurs approximately at the temperature where the matrix is thermally stable, that is, between 40 and 80°C.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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Research Article

Influence of Processing Type in the Morphology of Membranes Obtained from PA6/MMT Nanocomposites

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The nanocomposites have an extensive use in the current process of membrane preparation, taking into account their unique features as membranes. Thus, the study of nanocomposite processing to obtain membranes is highly important. In this work, Brazilian clay was used (Braggel PA) for the preparation of polyamide/clay nanocomposite. The nanocomposites were produced in a high rotation homogenizer and in a twin screw extruder. From the nanocomposites and pure polymers processed in the two equipments, membranes were prepared by the immersion-precipitation method, using formic acid as solvent. By X-ray diffraction (XRD), the formation of exfoliated and/or partially exfoliated structures with changes in the crystalline phases of the polyamide was observed. From scanning electron microscopy images, it was observed that the processing clearly influenced the membrane morphology.

1. Introduction

Recently, the membrane technology is applied in several industrial processes presenting numerous advantages, such as continuous processing with low energy consumption and easy combination with other separation processes [1]. In the early 1970s, besides the development of classical separation techniques, new synthetic membranes, which can be used as a selective barrier, were developed. The synthetic membranes were designed to improve the characteristics of selectivity and permeability of natural membranes. The addition of inorganic nanoparticles (clay) greatly improves the filtration properties of the membrane. Several studies indicate that the addition of inorganic nanoparticles in the polymer solution, used to prepare membrane by phase inversion, can control the formation and growth of macropores, increase the number of small pores, and improve the hydrophilicity, porosity, and permeability and mechanical and antifouling properties [2, 3].

The membranes can be considered polymeric or inorganic films that work as a semipermeable barrier to filtration in a molecular scale, separating two phases and restricting,

totally or partially, the transport of one or several chemical species (solutes) present in a solution [4, 5].

Most membranes used worldwide and so-called second generation are produced from synthetic polymers, such as polyamide, polysulfone, polyacrylonitrile, polycarbonate, and poly(vinylidene fluoride), among others. They show resistance to the action of strong acids and bases (pH from 2 to 12) and support temperatures close to or even superior to 100°C. These membranes can also be used with nonaqueous solvents and have long lifetime [6].

Most of polymer membranes used commercially are prepared by phase inversion technique, which consists of three main steps: preparation of polymer solution, spreading the solution on a surface forming a film with controlled thickness, and, finally, precipitating nonsolvent for formation of the polymeric structure of the membranes by using a phase separation system [7, 8].

Many materials may be used to prepare polymer membranes, among them is the polyamide. This polymer presents high performance and excellent mechanical and thermal properties [9]. In addition, the polyamides being used as nanocomposites matrices where have presented attractive

properties, for instance, barrier properties to gas permeation. The hybrids organic/inorganic films obtained from clay present a waterproofing due to the lamellas of montmorillonite that act as a barrier with less loads than the conventional composite films [10].

The polymer nanocomposites are hybrid materials where particles with nanometric size are dispersed in a polymeric matrix. They can be considered a new class of polymer composed of inorganic phases with ultrafine dimensions that interact with the polymer, thus offering a better combination of properties such as toughness and resistance, difficult to be achieved with pure polymer. Reinforced polymers with low content of clay (1 to 5% in mass) have raised interest in academic and industrial environments, due to the considerable improvement in the physical and mechanical properties, as well as permitting the processing from conventional techniques such as extrusion and/or injection [11–13].

The aim of this work is to analyze the structure and morphology of polymeric membranes using X-ray diffraction (XRD) and scanning electron microscopy (SEM), respectively. The membranes were prepared by the phase inversion method from PA6/MMT nanocomposites.

2. Materials and Methods

2.1. Materials. A sample of Brasgel PA clay was used, supplied by Bentonit União do Nordeste (BUN), from Campina Grande, Paraíba, Brazil), with cation exchange capacity (CEC) of 90 meq/100 g, and passed in a sieve ABNT 200 mesh. Polyamide 6 from Polyform B300, with average viscosity $IV = 140\text{--}160\text{ mL/g}$, in the form of granules in white was used. Formic acid PA from Vetec, with 98% of purity, was used as solvent to dissolve the polymer and nanocomposites to prepare the membranes.

2.2. Methods

2.2.1. Preparation of Nanocomposites. For the nanocomposites preparation, 1% was used in mass of clay to the polymer and two processing equipment: a high rotation homogenizer, MH-50H model, and a corotational twin screw extruder from Coperion. In the first, the clay was dispersed directly in the equipment. In the second, a concentrated (50 : 50% in mass) in the homogenizer was obtained and then incorporated in a polymer matrix in the proportion of 1% in mass of clay, using the extruder. Processing conditions were as follows: mixed in the homogenizer for 30 to 40 seconds until PA6 melting. The processing in the extruder is done in a temperature of 260°C for 7 existing zones and a screw rotation of 250 rpm. For each processing step, all materials with polyamide 6 were dried in a circulating air oven at 80°C for 2 h and in a vacuum oven at 80°C for 24 hours.

2.2.2. Preparation of Membranes. For the membrane preparation, the phase inversion method was used through the immersion-precipitation technique. The polyamide and its

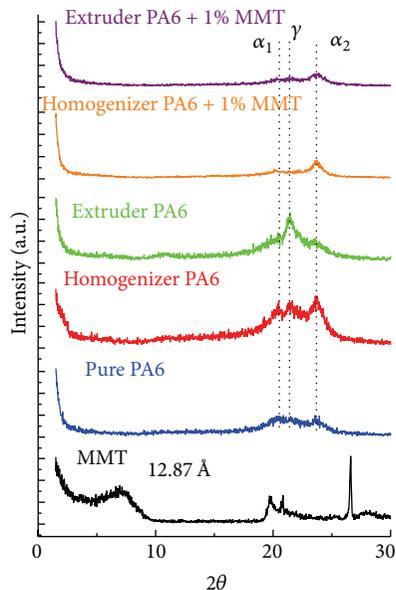


FIGURE 1: X-ray diffraction curves of clay, pure polyamide, and nanocomposites.

nanocomposites were dissolved in formic acid in a proportion of 20% in mass of polymer under constant stirring for 24 hours, for total polymer dissolution. The solution was spread in a glass plate, previously washed and dried. The spreading was done manually with a spacer and the polymer film was quickly immersed in a precipitation bath with distilled water. Then, the membranes were removed, washed with distilled water to remove the residual solvent, and dried at room temperature. This procedure is according to Leite [14]. The nomenclature used is the following: Pure PA6 (polyamide without processing), Extruder PA6, Homogenizer PA6, PA6 + 1%MMT, Extruder PA6 + 1%MMT, and Homogenizer PA6 + 1%MMT.

2.2.3. Materials Characterization. The nanocomposites were characterized by X-ray diffraction (XRD), using a Shimadzu XRD-6000 equipment, with $\text{CuK}\alpha$ radiation ($\lambda = 1.5418\text{ \AA}$), 40 kV, 30 mA, and scanning 2θ from 1.5° to 30° at a scanning rate of $2^\circ/\text{min}$.

The membranes were characterized by scanning electron microscopy, using a SSX 550 Superscan from Shimadzu, operating at 15 kV. Both top and cross section surfaces of the membranes were evaluated. For the cross section analysis, the samples were fractured in liquid nitrogen to avoid plastic deformation. The surfaces were coated with gold.

3. Results and Discussion

3.1. X-Ray Diffraction (XRD). The analysis of X-ray diffraction of the bentonite clay (MMT) without treatment (Figure 1) revealed the presence of characteristic peaks of bentonite and other minerals such as quartz (Q). A peak was observed in the interplanar distance of 12.77 \AA , characteristic

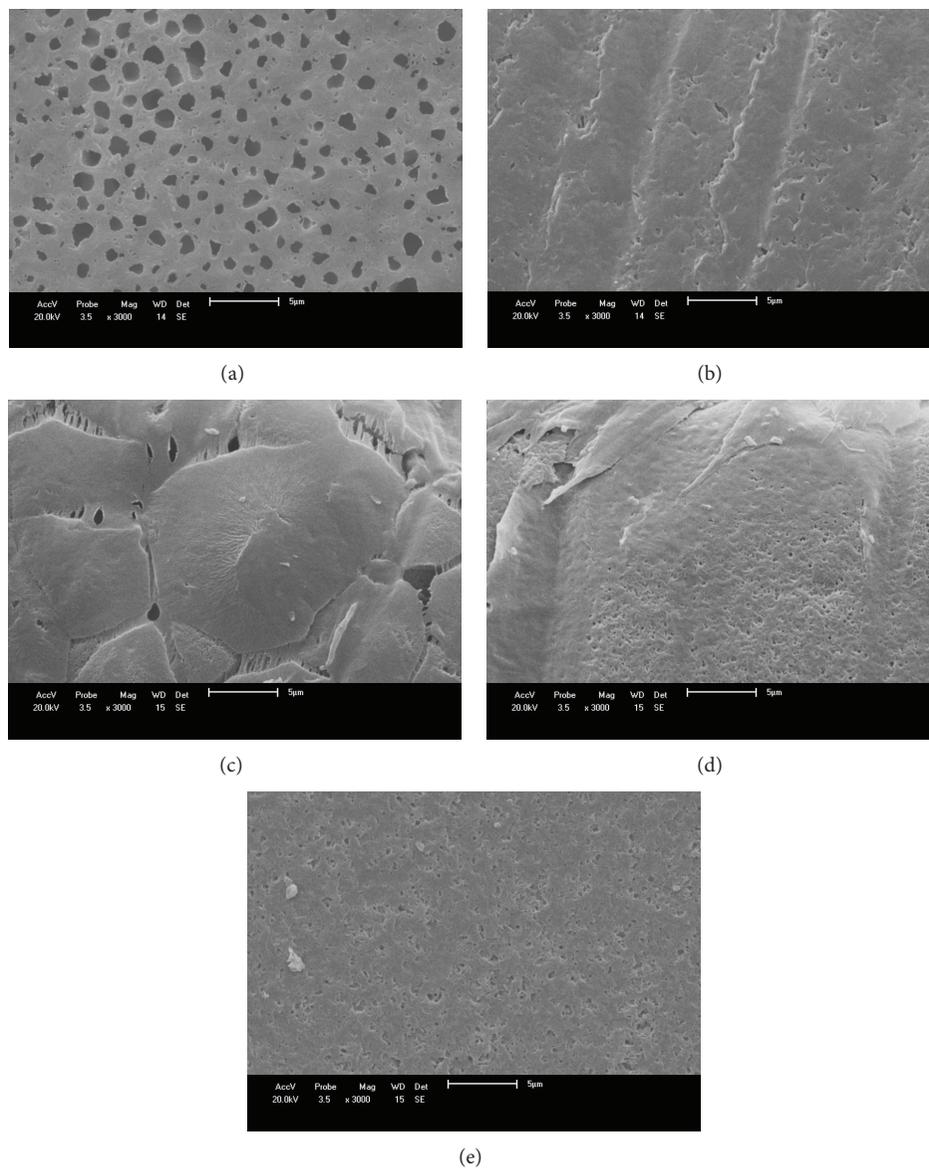


FIGURE 2: SEM images of the top surface of the membranes: (a) Pure PA6, (b) Homogenizer PA6, Extruder PA6, (c) Extruder PA6, (d) Homogenizer PA6 + 1%MMT, and (e) Extruder PA6 + 1%MMT.

of sodium montmorillonite with small hydration [15] (Santos, 1989).

Through the X-ray results, the presence of two peaks in the range from 20° to 23° can be observed, related to the crystalline planes (200) and (002) of the α phase of polyamide 6. These results are in agreement with the literature [14, 16–18]. A crystalline plane (001) that corresponds to the γ phase of the polymer was also identified. This reflection occurred for all samples, with higher incidence for extruded polyamide 6 (close to 21°). In this case, it is possible to observe that the predominant crystalline phase of polyamide is α . The polyamide 6 is a semicrystalline polymer and the enlargement of the peaks indicates the existence of amorphous regions. As can be seen, the incorporation of clay can change the shape of these peaks, modifying probably the

crystallinity for PA6. According to Khanna and Kuhn [19], the polyamide 6 can assume two crystallographic forms (α monoclinic and γ monoclinic and/or pseudohexagonal).

From Figure 1, the disappearance of the characteristic peak of the clay can be seen, indicating a possible exfoliation and/or partial exfoliation of nanocomposites formed by polyamide 6 + 1% of clay. Similar behavior was observed by Ray and Okamoto and Fornes et al., for the polyamide 6/clay systems [20, 21].

However, for the sample PA6 extruded, a small contribution of the α crystalline phase and, more pronounced, the formation of γ phase, which does not occur for the sample processed in the high rotation homogenizer, should be noted. This behavior indicates that the processing has influenced the formation of crystalline arrangement.

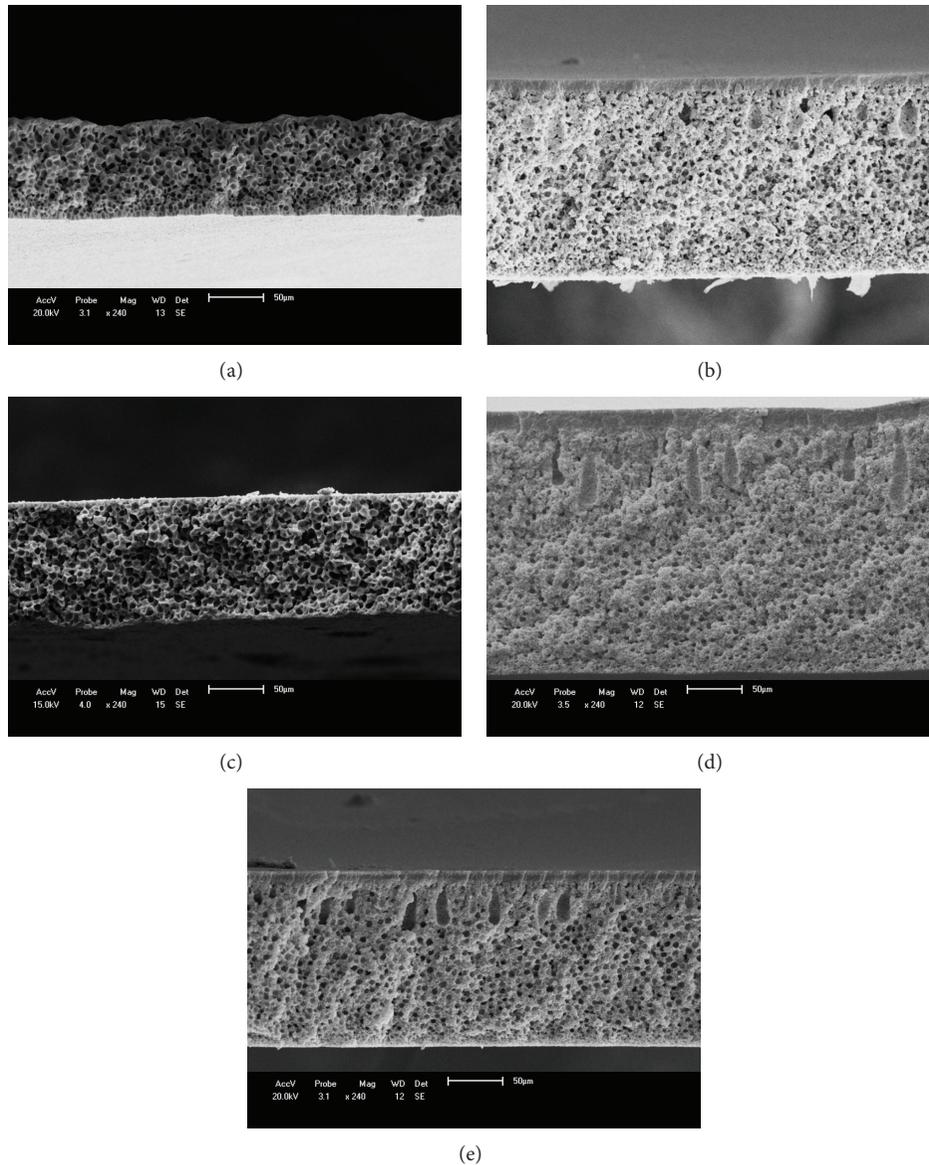


FIGURE 3: SEM images of the cross section of the membranes: (a) Pure PA6, (b) Homogenizer PA6, (c) Extruder PA6, (d) Homogenizer PA6+1%MMT, and (e) Extruder PA6+1%MMT.

3.2. Scanning Electron Microscopy (SEM)

3.2.1. Top Surface. Figure 2 presents the SEM images of the following membrane surfaces: Pure PA6, Homogenizer PA6, Extruder PA6, and their nanocomposites with 1% of clay.

From Figure 2(a), it can be verified that Pure PA6 presents pores with uniform distribution. It is possible to see that there are less pores for both Homogenizer PA6 (Figure 2(b)) and Extruder PA6 (Figure 2(c)), when compared to the morphology presented by Pure PA6. Possibly, this change could be due processing of material.

It was observed that the presence of clay (1%) for the nanocomposites prepared in the homogenizer and in the extruder changed considerably the quantity and uniformity of pores in the membrane, compared to the pure PA6 membrane. The membranes prepared with nanocomposites

with 1% of clay presented a greater number of pores due to the presence of clay in the polymer matrix.

3.2.2. Cross Section. Figure 3 presents the SEM images with an overview of the cross sections for the membranes: Pure PA6, Homogenizer PA6, Extruder PA6, and their nanocomposites with 1% of clay.

According to the SEM images, it can be observed that PA6 (Figure 3(a)) presented a well-defined surface with micropores, allowing for a greater selectivity. The cross section indicates a thickness of approximately $92.5 \mu\text{m}$, with uniform distribution of pores in the whole membrane. The Homogenizer PA6 membrane (Figure 3(b)) showed a wide selective layer, when compared with Pure PA6 membrane, with a thickness of approximately $183 \mu\text{m}$ and good pore size

distribution with presence of “fingers.” The Extruder PA6 membrane (Figure 3(c)) presents a very thin selective layer when compared with pure PA6 and Homogenizer PA6, with a thickness of approximately 109 μm , with small, uniform, and interconnected pores. This behavior can be explained by the processing performed in the homogenizer and extruder. Membranes with 1% of clay show “finger” shaped pores with no connections, which block fluid flows through the membrane. The Homogenizer PA6 + 1%MMT presented a thickness of approximately 242 μm . For the Extruder PA6 + 1%MMT, a thickness of approximately 161 μm was observed.

4. Conclusions

Membranes were prepared from PA6/MMT nanocomposites. From X-ray diffraction results, it was observed that the nanocomposites presented exfoliated and/or partially exfoliated structure. From SEM images, it was observed that the Pure PA6 membrane presented uniform pores. Membrane PA6, prepared with nanocomposites processed in the homogenizer and extruder, presented small amount of pores, showing that the processing has influence in the morphology of the membranes. Membranes prepared from nanocomposites with 1% of clay presented more pores than PA6 membrane, showing that the presence of clay has considerably influenced the morphology. From the images showing the cross section of the membranes, it was observed that the processing influenced the thickness of the selective layer of the membranes. Membranes with clay had not interconnected pores and a thicker selective layer, which probably blocked flow membrane.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

Acknowledgments

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Research Article

Numerical Analysis of the Energy Improvement of Plastering Mortars with Phase Change Materials

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Building components with incorporated phase change materials (PCMs) meant to increase heat storage capacity and enable stabilization of interior buildings surface temperatures, whereby influencing the thermal comfort sensation and the stabilization of the interior ambient temperatures. The potential of advanced simulation tools to evaluate and optimize the usage of PCM in the control of indoor temperature, allowing for an improvement in the comfort conditions and/or in the cooling energy demand, was explored. This paper presents a numerical and sensitivity analysis of the enthalpy and melting temperature effect on the inside building comfort sensation potential of the plastering PCM.

1. Introduction

Nanotechnology and nanoproducs offer interesting new opportunities in many civil engineering areas and architecture including design and construction processes, such as the development of novel insulation materials. These novel materials with very good insulation values are already available on the market, enable a thermal rehabilitation of buildings in which conventional insulation is not possible, and can help to improve energy efficiency. On the construction industry not all products that feature the term “nano” actually contain nanomaterials. Often, the term “nano” merely refers to structures in the nanosize range. Nanotechnology in the construction industry is currently concentrated in the following sectors:

- (i) cement-bound construction materials,
- (ii) noise reduction and thermal insulation or temperature regulation,

- (iii) surface coatings to improve the functionality of various materials,
- (iv) fire protection.

This paper presents the application of nanotechnology, in the construction process, to improve the building energy efficiency. One of the greatest challenges in the construction sector is the thermal renovation of existing buildings; and applying new insulation materials based on nanotechnology could make an important contribution. In the past, energy consumption has increased steadily. However, the energy efficiency of buildings is today a prime objective for energy policy at regional, national, and international levels [1]. Innovations attributable to nanotechnology also enable thermally insulating buildings in which a conventional insulation is not possible (e.g., in older buildings with structured facade) and thereby achieve very good insulation values, for example, aerogels, phase change materials (PCMs), and vacuum insulation panels.

A PCM is a substance that changes from one state of matter to another at a certain temperature and represents a technology that may reduce peak loads and HVAC energy consumption in buildings. Building materials with incorporated PCM are meant to increase heat storage capacity and enable stabilization of building interior surface temperature, whereby influencing the thermal comfort sensation and the stabilization of the interior ambient temperatures [2].

Thermal energy storage based on the latent heat of phase change material has attracted attention of researchers and engineers in different fields, and a considerable number of numerical researches on PCMs have attempted to estimate potential energy savings through building energy simulation. To analyse energy and peak load benefits from PCMs a significant number of commercial building energy simulation programs such as CoDyBa, EnergyPlus, ESP-r, and TRNSYS were used.

EnergyPlus PCM algorithm uses a one-dimensional conduction finite difference solution algorithm (CondFD). This algorithm was tested and validated against multiple test suites [3, 4]. However, when simulating complex models over extended periods this procedure becomes very time consuming and computationally demanding. In the problem under study the objective is to evaluate and minimize the annual heating load while guaranteeing a good thermal comfort performance.

As far as the organization of this paper is concerned, Sections 2 and 3 deal with the manufacturing methods used for the achievement of the PCM-based plastering mortar, as well as with the characterization of the developed material. Section 4 is dedicated to the numerical analysis of the plastering mortars energy improvement with phase change materials, with the BESTest (Building Energy Simulation Test) of EnergyPlus. Section 5 closes the paper with the main conclusions and prospects of the research work.

2. Phase Change Materials (PCMs)

2.1. Properties, Groups, and Categories. Thermal comfort of interior building spaces can be achieved using sensible heat form, related to conventional building materials, or via latent heat form, associated with PCMs. PCMs used for latent heat storage in buildings are characterized by an endothermic behaviour (with energy accumulation) during solid-liquid transition and exothermal process (with energy liberation) during liquid-solid phase shift. The solid-liquid PCM group comprises three categories: organic PCMs (paraffins and fatty acids); inorganic PCMs (salt hydrates and metallics); and eutectic mixtures (organic-organic, organic-inorganic, and inorganic-inorganic).

Thermal properties, like melting temperature and phase change enthalpy, are crucial to the process of selecting a suitable PCM for thermal energy storage. The scheme shown in Figure 1 makes a distribution of the existing PCMs through those two essential thermal properties.

Salt hydrates and eutectic mixtures present the highest phase change enthalpy for the operative temperatures required inside building spaces. However, the set of characteristics presented by paraffins makes of these the most

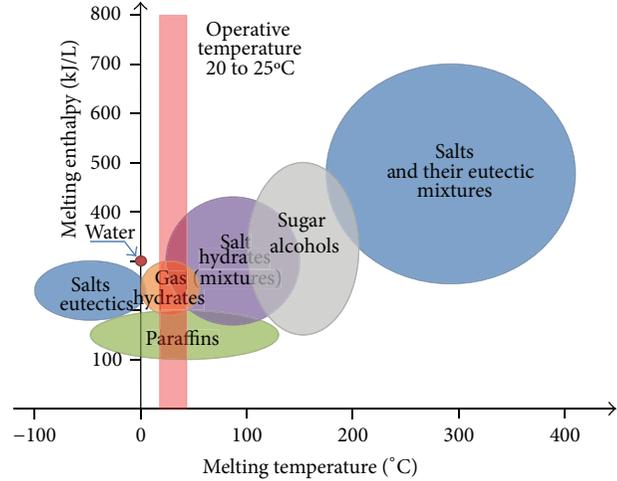


FIGURE 1: Melting temperatures and phase change enthalpy for existing PCMs (adapted from [7]).

desirable materials to be used in latent heat storage systems. Organic materials, such as paraffins, are chemically stable, offer no supercooling, and are available in a large temperature range with low cost.

2.2. PCM in Building Materials: Incorporation Methods. Phase change materials can be incorporated into conventional construction materials mainly by three different techniques: direct incorporation, immersion, and encapsulation [8]. Direct incorporation is the simplest method in which liquid or powdered PCMs are directly added to building materials such as gypsum, concrete, or plaster during production. Immersion technique of PCM is used in porous construction materials such as concrete or bricks. Those materials are dipped into melted PCM that is absorbed by capillarity. With direct incorporation or immersion methods construction materials are imposed to be in contact with PCM. No extra equipment is needed in both methods but leakage and incompatibility with construction materials may be the biggest problems [9].

To avoid incompatibility problems, due to the direct contact between conventional construction materials and PCMs, encapsulation method arises. Encapsulation eases the process of handling and incorporating of PCM into building materials, the leakage problem can be avoided, and the function of the construction structure can be less affected.

Macroencapsulation for PCM can present many different shapes, tubes, spheres, or panels, and can be obtained from several materials like aluminium or polymers. Macroencapsulated PCMs can be easily placed in ceilings [10] or under-floor systems [11]. It has the disadvantages of poor thermal conductivity and tendency of solidification at the edges (crystallization) [12]. Microencapsulation can be described as the technology in which PCM particles are enclosed in a thin, sealed, and high molecular weight polymeric film. Microcapsules, with diameters that can vary from 1 to 1000 μm , prevent PCM from leakage during the phase change process. Microencapsulated PCMs are easy to incorporate and do

TABLE 1: Required tests for hardened mortars of general purpose (GP) and of lightweight (LW).

Test parameter	Test methods	Requirements	
		GP	LW
Dry bulk density [kg/m^3]	EN 1015-10	Declared range of values	Declared range of values: $\rho \leq 1300 \text{ kg/m}^3$
Compressive strength [N/mm^2]	EN 1015-11	CS I to CS IV	CS I to CS III
Adhesion [N/mm^2] and fracture pattern FP (A, B, or C)	EN 1015-12	\geq declared value and FP	
Capillary water absorption	EN 1015-18	W0 to W2	
Water vapour permeability coefficient (μ)	EN 1015-19 a b	\leq declared value	
Thermal conductivity [$\text{W}/(\text{m}\cdot^\circ\text{C})$]	Tabulated mean value	EN 1745:2002, Table A.12	

not request for a modification in known construction manufacturing processes. Plasterboard with microencapsulated PCMs is one of the most widespread solutions of PCM incorporation into building materials [13–16].

3. PCM Plastering Mortar

3.1. Test Methods and Requirements. The main goal of this section is to evaluate the feasibility of a new composite material, with inclusion of microencapsulated paraffin in cement based mortars, to be used in interior coatings for buildings. In order to be marketed within the European Union (EU) plastering mortars should respond to European Norm EN 998-1 [5]. Therefore, the development of a plastering mortar should comply with characteristics presented in Table 1 where test parameters and target properties for mortars are identified. In the scope of this work, apart from performing tests on the properties mentioned in Table 1 while developing a PCM-based mortar, complementary properties like enthalpy and specific heat were also evaluated.

3.2. Components and Formulation. The achieved mixture (used later on for further characterization) resulted from a set of preliminary analyses performed with the goal of evaluating the technical viability of the incorporation of microencapsulated PCM (industrially manufactured) into cement/lime based plastering mortar. Due to initial problems associated with the high cracking proneness of mortars containing PCM, the mixture composition was adjusted by an iterative process of trial and error, until the samples of the hardened mortar presented no visible cracking after the first hours of drying in controlled environment (with a temperature of $22 \pm 2^\circ\text{C}$ and a relative humidity of $50\% \pm 5\%$). The trial samples, with $10 \text{ cm} \times 10 \text{ cm} \times 1 \text{ cm}$, resulted from spreading of the fresh mortar over a brick surface. Besides all conventional components used in common plastering mortars, cement, lime, sand, and/or industrial fillers, and different kinds of additions (resins, fibers, cellulose deviants, and others) the trial mortars involved the use of PCM. The following text provides further information on the several constituents mentioned above, encompassing their main characteristics. The cement used is a type I class 42,5R according to NP-EN 197-1 [6]. The industrial aggregate used was calcium carbonate with 0.5–1.5 mm granulometric distribution. Resins (polymer ethyl-vinyl acetate, VAE) were applied in some of the trial formulations, with the

primary function of improving adherence to the substrate (brick or concrete substrate) on low cement concentrations. However, they are known to reduce the blend's elasticity. The added fibers—polyacrylonitrile (PAN) 6 mm fibers—and aluminium powder are meant to compensate and redistribute the internal tension in the mortar, thus turning the shrinkage crack formation invisible. Cellulose deviants (cellulose ether) are used for high consistency development, as they impart good workability and enhance water retention considerably [17]. A microencapsulated paraffin powder (based on polymethyl methacrylate, highly cross-linked paraffin mixture), with commercial designation of “Micronal DS5008x,” is used as PCM, with 23°C of melting point, an enthalpy of $100\text{--}110 \text{ kJ/kg}$, and 300 kg/m^3 density, approximately [18].

After a trial-and-error procedure that consisted in casting several test specimens (formulations A to L shown in Table 2, together with the corresponding compositions), adequate behaviour (i.e., absence of drying cracks) was obtained with formulations J, K, and L. The formulation of a reference mortar, named as REFM, is also shown in Table 2, to better illustrate the similitude between formulation L and the formulation of a common plastering, which is commercially available for interior coating.

3.3. Characterization and Classification: Thermal Properties. The characterization of the developed plastering mortar had two main goals: the compliance with normative required values and consequent classification of the PCM mortar and the identification of the thermal complementary properties given by the presence of the PCM (like enthalpy and specific heat). The hardened mortars of the selected formulation L, now renamed as PCMM (PCM mortar), were submitted to a set of characterization tests required by European Norm EN 998-1 [5]. The measured properties for PCMM are summarized in Table 3, where the corresponding test method/normalization is shown (the normalizations required for the developed tests are EN 1015 [19] and EN 1745 [20]). In regard to the properties shown in Table 3, it should be remarked that the water vapor permeability coefficient and the specific heat were estimated from the values presented in Table A.12 of EN 1745:2002 [20], as a function of the measured density of the composite mortar (1170 kg/m^3).

A heat flow meter apparatus was used to determine thermal conductivity of the new composite material according to ISO8301:1991 [21]. The thermal conductivity measured

TABLE 2: Mix proportions of formulations A to L and REFM and surface appearance of samples A to L.

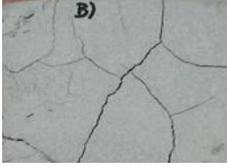
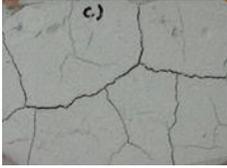
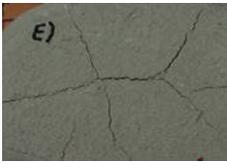
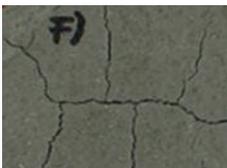
Sample	Formulation [%]		Surface appearance	Comments
A	Cement	40.00		Appearance: fissuring/cracking without evident direction (aprox. \perp). Causes: high cement dosage induces low ductility. A narrow particle sizes distribution with high percentage of fines due to the presence of PCM.
	PCM	50.00		
	Sand (filler)	10.00		
B	Cement	40.00		Appearance: fissuring/cracking without evident direction (aprox. \perp). Causes: high cement dosage induces low ductility. A narrow particle sizes distribution with high percentage of fines.
	PCM	50.00		
	Sand (filler)	9.90		
	Cellulose ether	0.10		
C	Cement	25.00		Appearance: fissuring/cracking without evident direction (aprox. \perp). Causes: a narrow particle sizes distribution with high percentage of fines.
	PCM	50.00		
	Sand (filler)	24.92		
	Cellulose ether	0.08		
D	Cement	25.00		Appearance: better appearance with less fissuring. Causes: better particle size distribution. Reduction on fines content.
	PCM	25.00		
	Sand (filler)	49.92		
	Cellulose ether	0.08		
E	Cement	25.00		Appearance: better appearance with less fissuring. Causes: use of industrial filler. Better particle size distribution. Reduction on fines content.
	PCM	25.00		
	Sand (filler)	29.92		
	Industrial filler	20.00		
	Cellulose ether	0.08		
F	Cement	25.00		Appearance: fissuring/cracking without evident direction (aprox. \perp). Causes: the addition of a water repellent could have affected relaxation and shrinkage.
	PCM	25.00		
	Industrial filler	49.87		
	Cellulose ether	0.05		
	Calcium stearate	0.08		

TABLE 2: Continued.

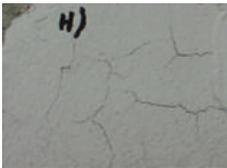
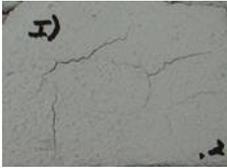
Sample	Formulation [%]	Surface appearance	Comments
G	Cement	25.00	 <p>Appearance: fissuring/cracking without evident direction. Causes: the addition of a water repellent could have affected relaxation and shrinkage.</p>
	PCM	25.00	
	Industrial filler	49.85	
	Cellulose ether	0.05	
	Calcium stearate	0.08	
	Resins (VAE)	0.02	
H	Cement	10.00	 <p>Appearance: better appearance with less fissuring. Causes: higher ductility mainly due to the addition of lime. The use of dispersed fibers and resins that improves cracking susceptibility.</p>
	Lime	20.00	
	PCM	25.00	
	Industrial filler	42.80	
	Resins (VAE)	2.00	
	Fibres (PAN)	0.20	
I	Cement	10.00	 <p>Appearance: better performance concerning cracking. Causes: higher ductility mainly due to the addition of lime. The use of dispersed fibers and resins that improves cracking susceptibility.</p>
	Lime	20.00	
	PCM	25.00	
	Industrial filler	42.70	
	Resins (VAE)	2.00	
	Fibres (PAN)	0.20	
J	Cement	10.00	 <p>Appearance: adequate behavior (i.e., absence of drying cracks). Results: very low tensile resistance: $R_t \ll 1500$ MPa. Causes: higher ductility mainly due to the addition of lime.</p>
	Lime	10.00	
	PCM	25.00	
	Industrial filler	52.80	
	Resins (VAE)	2.00	
	Fibres (PAN)	0.20	

TABLE 2: Continued.

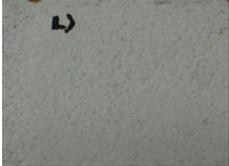
Sample	Formulation [%]	Surface appearance	Comments
K	Cement	10.00	 <p>Appearance: adequate behavior (i.e., absence of drying cracks). Results: very low tensile resistance: $R_t \ll 1500$ MPa. Causes: higher ductility mainly due to the addition of lime.</p>
	Lime	10.00	
	PCM	25.00	
	Industrial filler	52.65	
	Resins (VAE)	2.00	
	Fibres (PAN)	0.20	
	Aluminium powder	0.10	
	Cellulose ether	0.05	
L	Cement	10.00	 <p>Appearance: adequate behavior (i.e., absence of drying cracks). Results: satisfactory (Table 3).</p>
	Lime	5.00	
	PCM	25.00	
	Industrial filler	57.65	
	Resins (VAE)	2.00	
	Fibres (PAN)	0.20	
Aluminium powder	0.10		
Cellulose ether	0.05		
REFM	Cement	12.00	<p>—</p> <p>Table 3.</p>
	Lime	2.48	
	Sand	65.00	
	Industrial filler	20.00	
	Fibres (PAN)	0.05	
	Aluminium powder	0.09	
	Cellulose ether	0.09	
Calcium stearate	0.29		

TABLE 3: Results of the test performed to the composite mortar with formulation L (PCMM) and comparison to reference mortars (REFM).

Test parameter	Results		Classification of PCMM/comments
	PCMM (LW)	REFM (GP)	
Dry bulk density [kg/m ³]	1170	1400	LW/declared range of values: $\rho \leq 1300 \text{ kg/m}^3$.
Compressive strength [N/mm ²]	2.40	2.25	CS I/similar behaviour.
Adhesion [N/mm ²] and fracture pattern FP (A, B, or C)	0.35 FP: B	0.30 FP: B	Similar behaviour.
Capillary water absorption (intended use in external elements)	4.89	≤ 4.0	W0/similar behaviour.
Water vapour permeability coefficient (μ) (intended use in external elements)	5–10	<15	EN 1745 [6], Table A.12.
Thermal conductivity [W/(m·°C)]	0.29	0.61	Similar behaviour when compared to LW mortars.
Latent heat [kJ/kg]	≈ 25	—	—
Melting temperature [°C]	≈ 23	—	—
Melting temperature range [°C] (90%)	23–25	—	—
Specific heat (solid) [kJ/(kg·°C)]	1.0	1.0	EN 1745 [6], Table A.12.

with this experimental technique was 0.295 W/m K. Also, the melting temperature and the fusion enthalpy were experimentally determined through differential scanning calorimetry (DSC) tests with recourse to a Diamond DSC from Perkin Elmer. A sample of the supplied PCM mortar (powder) of approximately 7.7 mg was tested and an enthalpy of 22.6 kJ/kg was registered. This result came close to what would be expected (25 kJ/kg), assuming that the enthalpy is linearly proportional to the fraction of paraffin amount in the sample (25%), bearing in mind that the PCM is the only material in the mixture to experiment phase transition (from solid to liquid) in the range of studied temperatures (5–50°C). From these results it may be concluded that the PCM keeps its characteristics when integrated into hardened cement based mortars. Therefore, from now on, it will be considered that the composite mortar containing 25% of PCM has a melting point of 23°C and a melting enthalpy of 25 kJ/kg in a 2°C temperature range.

The comparison of characteristics between PCMM and REFM is presented in Table 3. These results confirm the expected similarity in behaviour for the developed plastering mortar in view of the PCM chemically inert characteristics, which was introduced in the mix by replacing aggregates.

4. Numerical Simulation

4.1. Field Equations: PCM Model with EnergyPlus. Traditionally EnergyPlus uses conduction transfer functions (CTF) to simulate surface constructions. These are linear equations, relatively simple, which allow the calculation of the conduction heat transfer through a completed layered building surface. However, with this method, it is impossible to include temperature dependent thermal properties, and thus modelling behaviours such as phase change enthalpy become unmanageable [22].

The inclusion of a new solution algorithm that utilizes an implicit finite difference procedure (CondFD) upgraded

EnergyPlus to simulate the effect of PCM. This new model was tested and validated against multiple test suites [3, 4]. The CondFD algorithm in EnergyPlus uses an implicit finite difference scheme coupled with an enthalpy-temperature function. This function is the users' input to accurately account for energy transfer during phase change. EnergyPlus includes two different options for the specific scheme or formulation used for the finite difference model. Before version 7, EnergyPlus used Crank-Nicholson solution scheme and then a new algorithm was added and is referred to as fully implicit. Both algorithms are based on an Adams-Moulton solution approach but the fully implicit one is considered of first order in time (Crank-Nicholson solution scheme is considered of second order). The model equation for the fully implicit scheme is described in [23]

$$C_p \cdot \rho \cdot \Delta x \cdot \frac{T_i^{j+1} - T_i^j}{\Delta t} = \left(k_w \cdot \frac{T_{i+1}^{j+1} - T_i^{j+1}}{\Delta x} + k_E \cdot \frac{T_{i-1}^{j+1} - T_i^{j+1}}{\Delta x} \right), \quad (1)$$

where T is the node temperature; i is the node being modelled; $i+1$ is the adjacent node to interior of construction; $i-1$ is the adjacent node to exterior of construction; $j+1$ is the new time step; j is the previous time step; Δt is the calculation time step; Δx is the finite difference layer thickness (always less than construction layer thickness); C_p is the specific heat of material; k_w is the thermal conductivity for interface between i node and $i+1$ node; k_E is the thermal conductivity for interface between i node and $i-1$ node; and ρ is the density of material.

This equation is complemented by (2) that relates enthalpy and temperature and is used to develop an equivalent specific heat at each time step:

$$h_i = \text{HTF}(T_i), \quad (2)$$

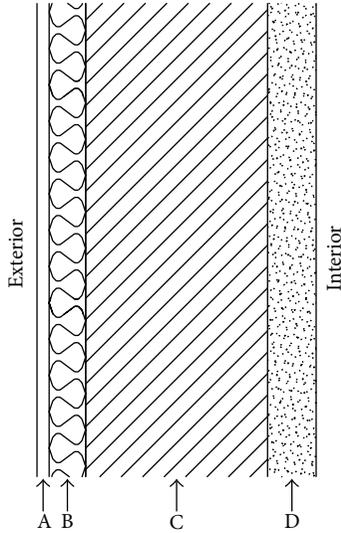


FIGURE 2: Wall configuration.

where HTF is an enthalpy-temperature function that uses data from user input.

The algorithm also permits including a temperature dependent thermal conductivity:

$$k = k_0 + k_1 (T_i - 20), \quad (3)$$

where k_0 is the 20°C thermal conductivity value and k_1 is the change in conductivity per degree temperature difference from 20°C.

4.2. Geometry Model and Parameters. In this research the effect of introducing PCM in a plastering mortar on the external walls of a simple office cell located in Portugal is explored. A sensitivity analysis was performed, which included the study of the influence of material properties variation, such as melting temperature ranges and enthalpy, and the study of the influence of installing the office cell in different climatic regions. Discomfort due to overheating and cooling energy demand were the outputs used in the analysis.

The geometry adopted was an office cell like the one of ASHRAE Standard 140—Bestest Case 900 [24] as well as the internal gains and ventilation. The introduction of PCM on a plastering mortar in the building envelope (PCMM) and the use of a reference mortar (REFM) were compared. Figure 2 shows the main configuration used to model the walls and Table 4 provides the thermal conductivity, density, specific heat, and thickness of each layer.

Simulations were performed in two cities of Portugal, Lisbon and Porto, which represent different climatic regions. Both cities are located near the coast but, especially during summer, Lisbon is warmer than Porto. Figure 3 presents the monthly mean air temperature (T_{air}), the sol-air temperature ($T_{\text{sol-air}}$), and the total solar radiation (direct and diffuse) of Lisbon and Porto [23].

All the simulations were performed with software EnergyPlus, for the cooling season, between 01/04 and 30/09, on an hourly basis and considering 60 time steps per hour.

TABLE 4: Material properties.

Element ID	Conductivity [W/(m·K)]	Density [kg/m ³]	Specific heat [kJ/(kg·K)]	Thickness [m]
(a) Render	1.00	1800	1000	0.005
(b) Insulation	0.04	10	1400	0.04
(c) Block	0.51	1400	1000	0.10
(d) Mortar	0.30	1400	1000	0.03

TABLE 5: “Free-floating” scenario.

Case ID	L [kJ/kg]	T_1 [°C]	T_2 [°C]
PCM23/25_25	25	23	25
PCM23/25_100	100	23	25
PCM23/25_200	200	23	25
PCM24/26_25	25	24	26
PCM24/26_100	100	24	26
PCM24/26_200	200	24	26
PCM25/27_25	25	25	27
PCM25/27_100	100	25	27
PCM25/27_200	200	25	27
PCM26/28_25	25	26	28
PCM26/28_100	100	26	28
PCM26/28_200	200	26	28
REFM	—	—	—

4.2.1. Discomfort Analysis due to Overheating. The first performed analysis was the evaluation of the discomfort due to overheating, assuming a “free-floating” scenario (without cooling system). The discomfort was assessed by computing the degree-hours. The degree-hours (DH_{25}) can be defined as the sum of the differences between air temperature and a reference value as follows:

$$DH_{25} = \sum_{i=1}^n (T_i - 25) \wedge T_i > 25, \quad (4)$$

where T_i is the interior temperature.

It performed a sensitivity analysis that aimed to understand if overheating minimization can be achieved by the increasing of enthalpy amount in the mortar or by changing the PCM melting temperature range. A numerical simulation was carried out, which included 13 cases resulting from the combination of different phase change ranges (melting temperatures from 23/25°C to 26/28°C) with varied enthalpy values of 25, 100, and 200 kJ/kg, as well as the reference case without PCM, as can be observed in Figure 4 and Table 5.

4.2.2. Analysis of the Effect of Introducing an HVAC System. In the second analysis, the effect of introducing an HVAC system in the office cell was evaluated by computing the energy demand for cooling assuming a temperature set point of 25°C. For this sensitivity analysis only two melting temperature ranges were considered, resulting in 7 cases (Table 6).

4.3. Simulation Results. The comfort sensation is guaranteed by temperature level and stabilization inside a building space

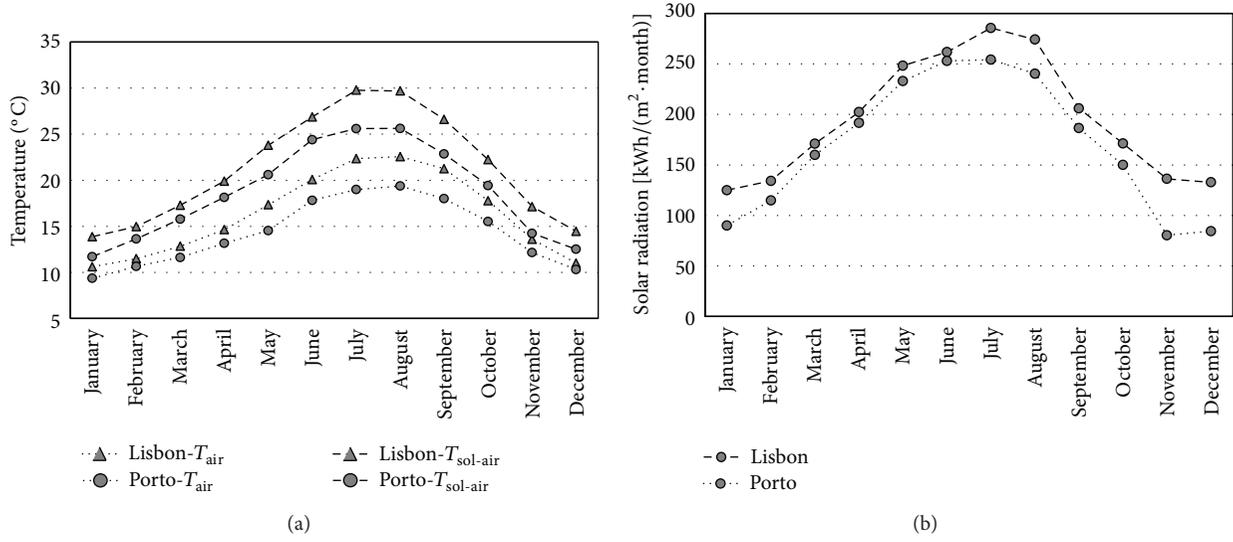


FIGURE 3: Monthly mean temperature.

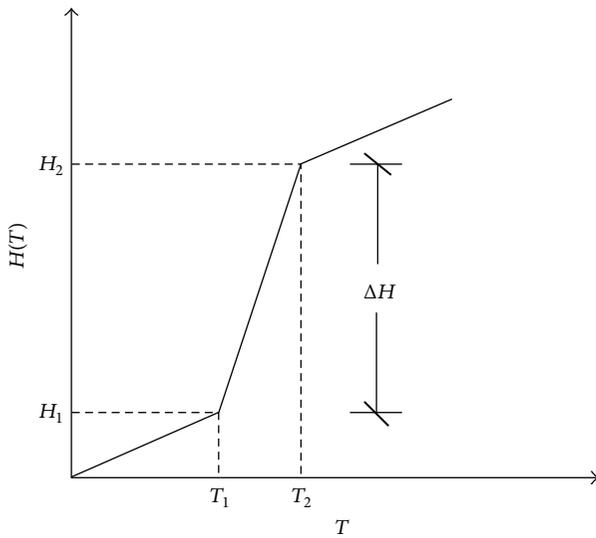


FIGURE 4: Enthalpy versus temperature.

TABLE 6: HVAC scenario.

Case ID	L [kJ/kg]	T_1 [°C]	T_2 [°C]
PCM23/25_25	25	23	25
PCM23/25_100	100	23	25
PCM23/25_200	200	23	25
PCM24/26_25	25	24	26
PCM24/26_100	100	24	26
PCM24/26_200	200	24	26
REFM	—	—	—

[25]. PCM contributes to interior temperature stabilization being an interesting solution to improve comfort conditions and to minimize energy consumption [1, 12, 26].

4.3.1. Results for the Discomfort Analysis due to Overheating. The first approach of the sensitivity analysis was for the “free-floating” situation. Degree-hours for 25°C basis were used as the evaluation parameter to assess the discomfort due to overheating. As an example, Figure 5 shows the simulation result of a daily temperature profile in Lisbon for different scenarios: the effect of enthalpy variation is exposed in Figure 5(a), where the same melting temperature, of 24–26°C, for three different enthalpies, was calculated, and in Figure 5(b), where the same enthalpy, of 200 kJ/kg, for four different melting temperature ranges, was studied.

Attending to the results shown in Figure 5(a) the peak temperature for the reference case REFM was 30.4°C, dropping to 28.7°C when considering a PCMM with 24–26°C and an enthalpy of 200 kJ/kg (PCM24/26_200). With this plastering mortar, PCM24/26_200, the interior temperature of the office cell varied between 24.5 and 28.7°C, reaching a temperature interval of $\Delta T_{PCM24/26_200} = 3.3^\circ\text{C}$ inside the building. On the other hand, with the REFM (without PCM), an interval of $\Delta T_{REFM} = 5.3^\circ\text{C}$, between 25.1 and 30.4°C, was achieved. The presence of the PCM was observed lowering the interior temperature peak, reducing the temperature variation within the building, and increasing the period of time during which the temperature is maintained within the melting range near 25°C (i.e., comfort temperatures).

Regarding Figure 5(b) the most interesting performance was obtained with PCM25/27_200 and PCM24/26_200 with a temperature variation between 24.7 and 28.4°C, with $\Delta T_{PCM25/27_200} = 3.7^\circ\text{C}$, and 24.5 and 28.7°C, with $\Delta T_{PCM24/26_200} = 4.2^\circ\text{C}$, respectively. However, it is important to underline that all the simulated scenarios correspond to an improvement when compared to the reference case without PCM (REFM). It is clear that PCM incorporated in plastering mortars changed the interior temperature profile, helping to stabilize them and dropping temperature peaks.

The “overheating improvement” was evaluated by a percentage resulting from the difference of degree-hours DH_{25}

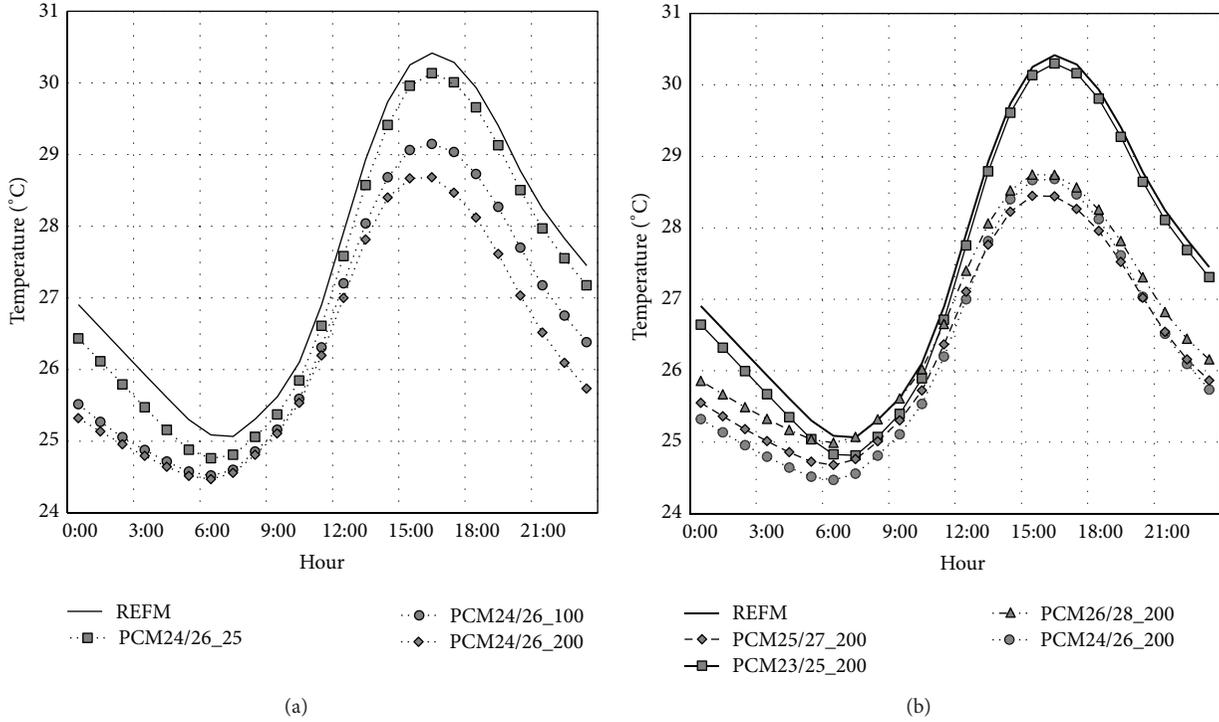


FIGURE 5: Assessed interior temperatures for the office cell located in Lisbon: (a) enthalpy effect, (b) melting temperature effect.

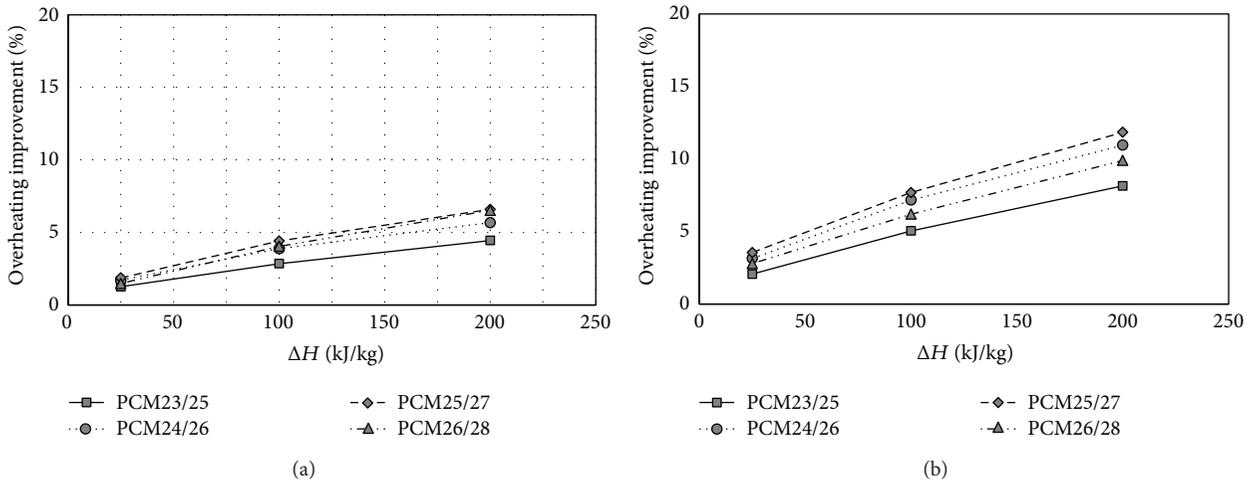


FIGURE 6: Discomfort analysis due to overheating inside an office cell placed in (a) Lisbon and (b) Porto.

between the use of REFM as plastering in the office cell and the use of different PCMM. Attending to the analysis for “free-floating” situation, with a 25°C basis for DH to assess the discomfort due to overheating, with results plotted in Figure 6, maximum overheating improvement was 12%, obtained for the office cell placed in Porto with PCM25/27_200. The lowest value was registered for the office cell placed in Lisbon for PCM23/25_25 (a PCM with an enthalpy of 25 kJ/kg and a fusion temperature range between 23 and 25°C). Still, the overheating improvement was 2%.

These results are in line with other authors [1, 12, 26, 27]. Some additional improvement would be expected if some

changes were made in the building envelope and ventilation. Indeed, the model used in this analysis is very exposed to overheating problems, due to a combination of large solar heat gains (no adequate solar shading device is used), lack of insulation in the roof, and poor ventilation rates especially during the night (no night ventilation procedure is established). This situation leads to very high interior temperatures (situations with interior temperatures over 30°C were regularly observed) and, therefore, there is a large untapped potential in the PCM. The lower overheating improvements obtained in Lisbon are also explained by this situation since the exterior climate conditions are more aggressive than in

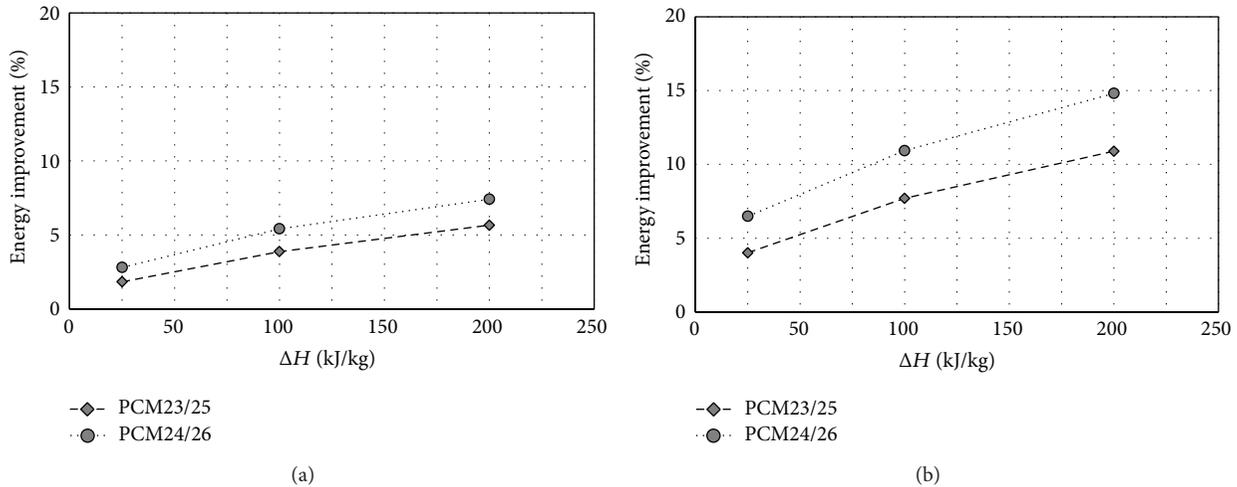


FIGURE 7: Energy savings analysis of the inside of an office cell placed in (a) Lisbon and (b) Porto.

Porto (higher temperatures and more intense solar radiation).

4.3.2. Results for the Effect Analysis of Introducing an HVAC System. A similar analysis can be made for the improvement in the cooling energy demand when using PCM in the office cell with an HVAC system. The “energy savings” are evaluated by a percentage resulting from the difference in the annual cooling energy demand between the use of REFM as plastering in the office cell and the use of different PCMM. Attending to the results, shown in Figure 7, plastering mortar PCM24/26.200 used in an office cell placed at Porto can reduce cooling energy demand by 15%. The same solution for the climatic conditions set for Lisbon resulted in 8% of energy savings.

On the analysis of the effect of introducing an HVAC system, the PCM presence was observed lowering the cooling energy demand necessary to maintain the temperatures inside the office cell close to the comfort temperature, 25°C.

Both sensitivity analyses produced very encouraging results that sustain future works on this research in order to optimize the use of PCM, adapting enthalpy and melting temperature ranges to climatic conditions and building’s envelope.

5. Conclusions and Future Works

The main conclusions of this study are as follows.

- (i) PCM can be incorporated into mortars without compromising the properties that are desirable for their application as plastering materials.
- (ii) The potential of advanced simulation tools to evaluate and optimize the usage of PCM materials in the indoor temperature control, allowing for an improvement in the comfort conditions and/or in the cooling energy demand, was explored in this paper.
- (iii) A sensitivity analysis regarding the enthalpy and melting temperature effect was performed and the

temperature stabilization potential of the plastering PCM has been demonstrated. It was found that changes in the enthalpy value can produce a higher impact than varying the melting temperature of the PCM.

- (iv) Considering a “free-floating” space, the inclusion of a plastering mortar containing PCM with an enthalpy of 200 kJ/kg and a fusion temperature range between 25 and 27°C can improve the comfort conditions by 12%. For the office with an HVAC system, the inclusion of a plastering mortar containing PCM with an enthalpy of 200 kJ/kg and a fusion temperature range between 24 and 26°C can improve the cooling energy demand by 15%.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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Research Article

Tunable Nanodielectric Composites

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This paper presents a progress update with the development of nanodielectric composites with electric field tunability for various high energy, high power electrical applications. It is demonstrated that nonlinear electrical/dielectric properties can be achieved via the nanostructure and interface engineering. A high level summary was given on the progress achieved as well as challenges remaining in nanodielectric engineering towards high energy density capacitors for energy storage and conversion, nonlinear dielectrics for tunable device, and high voltage varistor for surge suppression.

1. Introduction

The rapid expansion of renewable energy applications demands higher efficiency and higher density energy storage and energy conversion systems [1, 2]. Various DC-AC, AC-AC conversions are needed for solar and wind farms, while primary and secondary electrochemical devices are in need for transportation and telecommunication applications. Advanced devices and components are critical enablers for these emerging applications. As an example, in a typical electrical converter/inverter, state-of-art DC-link capacitors take up about 30% total volume and weight. In addition, the wide usage of renewable energy resources such as wind and solar posts challenges in grid stability. Advanced passive and active devices will be needed for future grid for harmonic filtration, static/dynamic volt-ampere reactive (VAR) compensation, transient suppression, and so forth [3, 4].

All these advanced apparatus and future electric power infrastructure rely on the breakthroughs of material engineering and better understanding of the device physics [1]. For instance, the development of high dielectric constant materials and the understanding of the behavior of dielectric materials under extremely high electric fields are critical for energy storage applications [5]. In addition, better understanding and control of charge transport cross interfaces are crucial for nonlinear devices. The advance in nanotechnology offers a unique opportunity to engineer materials

with controlled microstructures so that desirable electrical properties can be greatly enhanced [1, 6]. On the other hand, dielectric response of materials can be utilized to facilitate the formation of nanostructures [7]. In this paper, progress updates are given as exemplary cases on the development of nanoenabled composite materials for high energy density capacitors for energy storage and conversion, field tunable nonlinear dielectrics, and miniaturized varistors for high voltage/high current transient suppression.

2. Experimental

In this investigation, polyetherimide (PEI), silicone, cyanoethyl cellulose, polyimide, and poly(vinylidene difluoride) were used as the polymer matrices in the investigation. Nanoparticles of interest include oxides of silicon, niobium, aluminum, zinc, bismuth, antimony, cobalt, titanium, and barium titanate and lead zirconates, with the particle size in the range of 10 to 100 nm. Polymer nanocomposites were prepared by first dissolving a polymer resin in a solvent at room temperature with a magnetic stirrer and then mixing with nanoparticles of 2–50 vol% in a high-energy sonicator (Sonics & Materials, Inc. Newtown, CT). The films were solvent cast onto a glass slide and dried at 100°C for two hours followed by a vacuum dry at 120°C to 150°C overnight. Ceramic composites were formulated with at least 85 mol%

ZnO and other oxide additives. A conventional mixed oxide process was used for powder processing. Green compacts of 1" diameter were pressed using a uniaxial hydraulic press and then sintered at temperatures of 850–1000°C.

DC breakdown test was conducted following ASTM D149 (method A) using a ball-plane electrode configuration. The sample was immersed into insulation oil and DC voltage was applied at a ramp rate of 500 V/s until the sample failed. Dielectric responses were measured using a broadband dielectric spectrometer from Novacontrol GmbH. Scanning electron microscopy (SEM) imaging was done using a Zeiss Supra 55VP (Carl Zeiss AG, Oberkochen, Germany). Transmission electron microscopy (TEM) imaging was taken using a FEI Tecnai F20 transmission electron microscope.

3. Results and Discussions

High energy density capacitor dielectrics, field tunable composite dielectrics, and metal oxide varistors (MOVs) are taken as examples in this section to demonstrate the achievable electrical/dielectric properties via the nanodielectric and interface engineering to fulfill the aforementioned development needs.

3.1. Nanofilled Polymer Film for Capacitors. Capacitors represent a big family of non-Faradic energy storage components used in applications ranging from decoupling in circuit board to series capacitive compensation banks at power transmission class. The state-of-art polymeric film capacitors are based primarily on low permittivity polymers such as polypropylene or polyester. One natural approach for higher energy density capacitor is the synthesis of higher permittivity composites with higher dielectric strength by incorporating ceramic fillers into polymer matrix. This is because the energy density of a film capacitor takes the simple form of $1/2\epsilon_0 KE^2$, where K is the dielectric constant and E is the dielectric strength of the polymer film.

Although the dielectric constant can be increased to some extent at higher particle loading level, increasing the dielectric strength remains a great challenge. Instead, the addition of particles exceeding 10 wt% usually results in the considerable loss of dielectric strength of the polymer. Figure 1 is a graphical comparison of the DC breakdown strength for various nanofilled PEI films. With more conductive fillers, lower breakdown strength is not surprising. However, the insulating ceramic fillers of higher breakdown strength do not improve the electrical field endurance of the nanocomposites.

With decreasing particle size, interfacial fraction increases and the particle-polymer interface adhesion also becomes critical. Poor particle dispersion and interaction with polymer matrix is shown in Figures 2(a) and 2(b). It can be seen that both dry alumina and silica particles are agglomerated and remain to be in poor dispersion even after mixing using a high energy ultrasonic vibration. The high surface energy and new chemistry on the nanoparticle surface tend to bond the particles together tightly.

In order to minimize the particle surface effect, colloidal particles that were already well dispersed in a solvent were

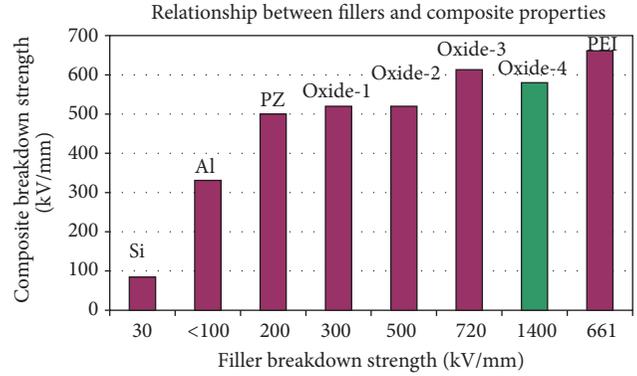


FIGURE 1: The breakdown strength of PEI nanocomposites with various fillers of about 5 wt% loading. PZ stands for lead zirconate. All polymer films were processed using a solvent cast.

procured and mixed with a polymer resin in the wet state. Figure 2(c) shows the image of precursor SiO_2 particles. After performing in situ polymerization of the polyamic acid, a polymer nanocomposite containing 5 vol% SiO_2 nanoparticles was synthesized. Great particle dispersion was achieved as shown in Figure 2(d). In order to achieve high energy density in a nanodielectric composite, a compromise between increase in dielectric constant and decrease of dielectric strength when doing nanodielectric engineering appears to be the direction.

3.2. Field Tunable Nonlinear Composites. The success of nanodielectric engineering depends on several factors, including (1) higher dielectric constant polymer matrix, (2) high permittivity ceramic fillers with low (hysteresis) loss, (3) proper dispersion, and (4) good interfacing between filler and polymer matrix [8, 9]. This becomes even more critical when the particle polarity is increased resulting in higher dielectric constant. Among the polar ceramic materials are ferroelectric (FE) and antiferroelectric (AFE) particles, whose impact on dielectric properties and dispersion are still not well understood [9]. Figure 3 shows the increase of the effective dielectric constant of nanocomposites from addition of particles. Typically, the dielectric constant contrast between high- k ceramic filler and polymer matrix is so high that the electric field concentration in polymer phase will reduce the breakdown strength of the nanocomposites. In addition, high dielectric constant contrast also leads to low electric field penetration into the particles and thus the reduced overall energy storage density in the composites.

In order to enable the nonlinear tunability of polymer, we leveraged ferroelectric BaTiO_3 and antiferroelectric lead zirconate nanoparticles (nPZ). The electric field tunable behavior of the composites was further studied under high electric fields. The nonlinear dependence of the dielectric constant of the polymer composites was fully exhibited as the electric field is increased exceeding the coercive field of lead zirconate (nPZ) as shown in Figure 4. The higher the electric field and loading fraction of particles, the higher the dielectric constant was reached. The field tunable behavior of composites enabled by the polar particles can be applicable for

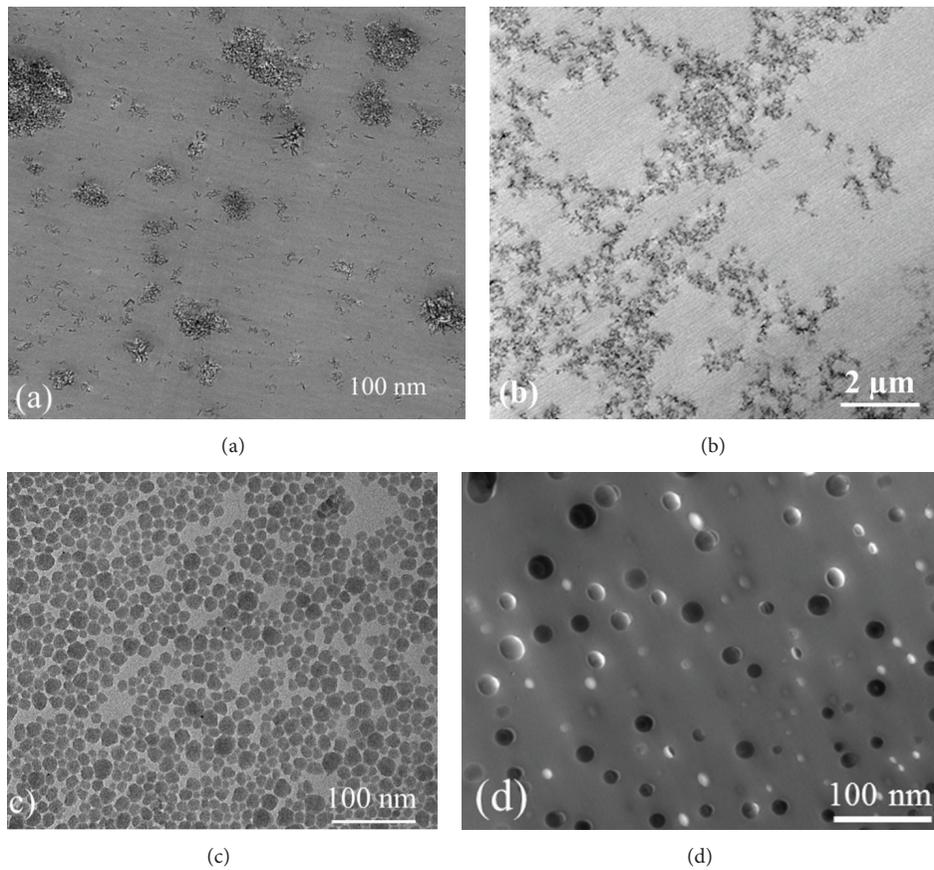


FIGURE 2: TEM image of 5 vol% nanoparticles dispersing in polymer films. (a) Dry Al_2O_3 , (b) dry silica, (c) colloidal SiO_2 , and (d) colloidal SiO_2 in a polyimide.

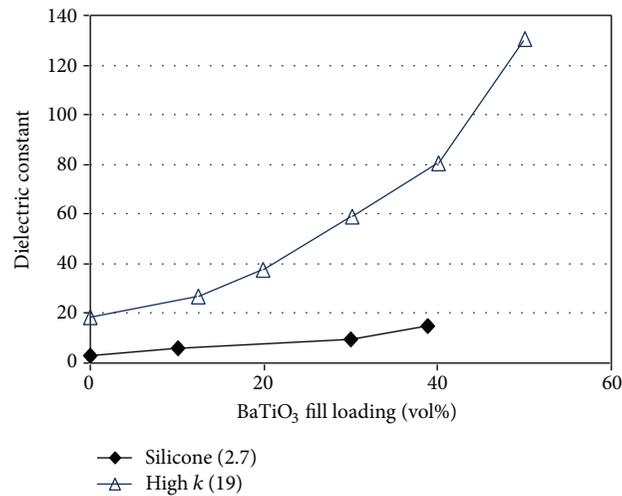


FIGURE 3: The dielectric constant of nanocomposites as a function of volume percentage loading of BaTiO_3 nanoparticle in silicone and cyanoethyl cellulose with dielectric constant of 2.7 and 19, respectively.

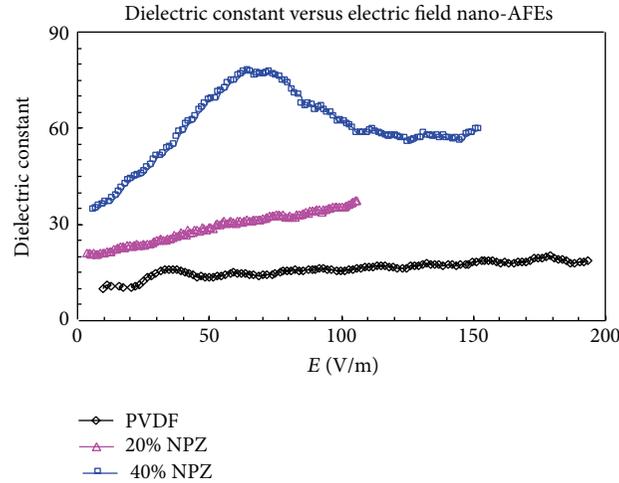


FIGURE 4: Room temperature dielectric constant under an increased electric field. Values were obtained from the hysteresis loop measurements of a PVDF polymer containing nPZ particles (10 Hz).

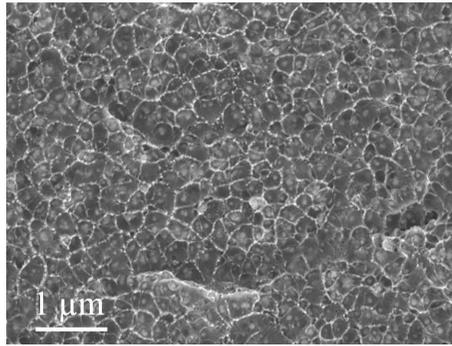


FIGURE 5: TEM image of polyimide containing 15 vol% 40 nm BaTiO_3 nanoparticles through in situ polymerization of 2,2-bis[4-(3,4-dicarboxyphenoxy) phenyl]propane dianhydride (BPADA) with 4,4'-oxydianiline (ODA). Film was solution cast and dried and completely imidized at elevated temperatures.

various devices such as antenna and phase shifters requiring frequency tunability. A breakdown strength of >300 kV/mm was also achieved in the polar nanodielectric composites, rendering an energy density of up to 15 J/cm³.

TEM imaging shows the great dispersion and particle distribution in the polymer achieved using properly processed particles and mixing method (Figure 5). The fractured cross-section image of the film reveals only cohesive fracture within polymer rather than adhesive failure at interface indicating a strong polymer-particle bonding.

3.3. Nanoenabled Nonlinear Resistor (Varistors). High capacity, high density power system may be subject to high voltage transients and surge protection devices are widely used. Compared with other protection devices such as TVS, metal oxide varistor (MOV) as an example of ceramic-based nonlinear dielectric material at low voltage provides a good combination of high voltage scalability, peak current carrying capability, and fast response speed [10]. Nanoenabled dielectric composites were also studied in both metal oxide varistors and polymer based varistors. MOV is made of bulk ceramic containing predominate grain-boundary barrier

junctions. However, the underlying oxide processing requires high sintering temperatures (1000 – 1300°C) for densification. As a result, compositional and microstructural heterogeneity and large average grain sizes (typically from a few microns to tens of microns, as shown in Figure 6(a)) as well as high porosity caused by exaggerated grain growth during high-temperature sintering limit the performance of existing MOVs. Also shown in Figure 6, fine microstructures can be obtained for nanoenabled MOVs which can be sintered at reduced temperatures ($<1000^\circ\text{C}$). More varistor junctions in a unit MOV device can be provided so that higher voltage can be applied or smaller device can be fabricated for an equivalent voltage requirement.

Higher breakdown voltage (>1 kV/mm) and excellent electrical performance were achieved using the nanopowder precursors, new composition, and sintering profile. The electric field dependence of current for the MOV processed under different sintering conditions is shown in Figure 7. Not only the resistance of the ceramic composites decreases in a nonlinear way with an increase in the electric field, but also the transition field for the nonlinearity is pushed up by 10 times. This will enable miniaturization of MOVs and

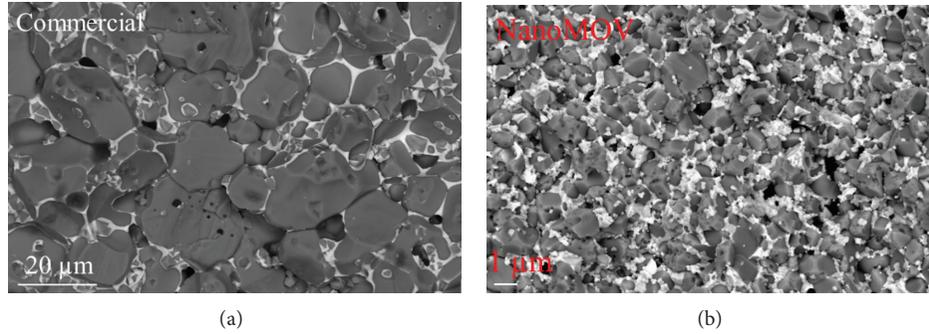


FIGURE 6: SEM images of microstructures of a commercial metal oxide varistor (a) and nanoenabled MOV sintered at 850°C (b).

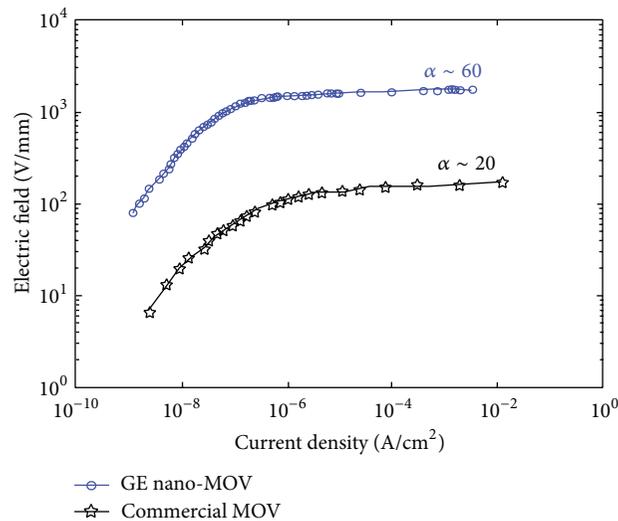


FIGURE 7: Nanoenabled MOVs with higher breakdown voltage after sintering at lower temperatures. The commercial MOVs made of coarse powder precursors were tested for comparison.

high voltage surge suppression. Besides, when the nano-sized metal oxide precursors were mixed with polymers to form composites, the similar I - V characteristics can be observed. The field tuning behavior of the resistance can be fulfilled in the nanodielectric composites.

4. Conclusions

It is demonstrated that novel electrical/dielectric properties can be achieved via the nanodielectric engineering and interface engineering.

- (1) Nanodielectric composites can be processed to host variety of ceramic particles and great particle dispersion and bonding with the host polymers can be fulfilled. The loading fraction and particle morphology, however, need to be controlled not to dramatically lower the dielectric strength.
- (2) Electrically tunable composites were processed and a nonlinearly dependent dielectric constant was demonstrated by mixing polar nanoparticles with either low-K polyimide polymer or high-K PVDF polymers. The challenges are making the composite tunable at very low electric fields.

(3) High energy density (15 J/cm^3) can be achieved in nanodielectric composites containing nonlinear ferroelectric particles, with proper particle morphology, dispersion, and particle-polymer adhesion.

(4) 10x increase of voltage withstanding capability with low leakage current and higher nonlinearity can be realized in nanoenabled varistors. Refined and uniform submicron structures can be further explored in polymer based tunable resistors.

Further optimization of the performance of these electric device/component based such principles is undertaken.

Conflict of Interests

The authors declare that they have no conflict of interests regarding the publication of this paper.

Acknowledgment

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Research Article

Enhanced Performance of Dye-Sensitized Solar Cells with Nanostructure Graphene Electron Transfer Layer

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The utilization of nanostructure graphene thin films as electron transfer layer in dye-sensitized solar cells (DSSCs) was demonstrated. The effect of a nanostructure graphene thin film in DSSC structure was examined. The nanostructure graphene thin films provides a great electron transfer channel for the photogenerated electrons from TiO₂ to indium tin oxide (ITO) glass. Obvious improvements in short-circuit current density of the DSSCs were observed by using the graphene electron transport layer modified photoelectrode. The graphene electron transport layer reduces effectively the back reaction in the interface between the ITO transparent conductive film and the electrolyte in the DSSC.

1. Introduction

Dye-sensitized solar cells (DSSCs), also known as Grätzel's cell, are of particular interest in the field of solar energy owing to their low cost and simplicity of fabrication [1–5]. The DSSCs have a basic structure that comprises two conductive substrates, an absorbing layer of semiconductor materials with wide band gap, dye molecules, and a redox electrolyte.

The basic principle of operation of DSSCs is that electrons are injected from the photoexcited dye into the conductive band of the semiconductor under illumination; meanwhile, the electrolyte reduces the oxidized dye and transports the positive charges to the counter electrode. However, one of the major issues hindering the rapid commercialization of DSSCs is their lower conversion efficiency compared to conventional p-n junction solar cells [6]. That may be attributed to poor charge separation in DSSC structure. Therefore, charge transfer structure, such as Au nanoparticles and quantum dots, has been employed in a DSSC to improve the device performance through charge separation in the photoelectrodes [7–10]. Graphene is a potential material for many applications due to their high electron mobility, outstanding optical properties, and thermal, chemical, and mechanical stability [11–15]. Therefore, this study investigates the effect on the graphene layer as electron transport layer in

the DSSC structure deposited by the magnetron sputtering method; in particular, it examines the performance of the DSSCs with the graphene electron transport layer.

2. Experimental

A 60 nm thick graphene layer was sputtered on indium tin oxide (ITO) conductive glass substrate by radio-frequency magnetron sputtering using a graphite target as an electron transport layer to improve the electron transfer in the DSSC structure. Next, the solution consisting of 1 g TiO₂ nanocrystalline powder with diameter ~25 nm, 1 mL of triton X-100, acetic acid, and deionized water were mixture as colloidal solution, and the colloidal solutions were daubed uniformly onto the graphene electron transfer layer to form a thick film. The films were annealed at 450°C for 10 min. Thereafter, the photoelectrode with the graphene layer was immersed in a 3×10^{-4} M solution of N719 dye adsorption ((Bu₄N)₂-[Ru(dcbpyH)₂(NCS)₂] complex) in ethanol for 24 hr, before being sintered at 450°C for 30 min, to increase its anatase content. The electrolyte was composed of 0.05 M iodide and 0.5 M lithium iodide with and without 0.5 M 4-tert-butylpyridine (TBP) in propylene carbonate. Then, a 100 nm thick layer of platinum was sputtered onto ITO substrate as a counter electrode. Cells were fabricated by placing sealing

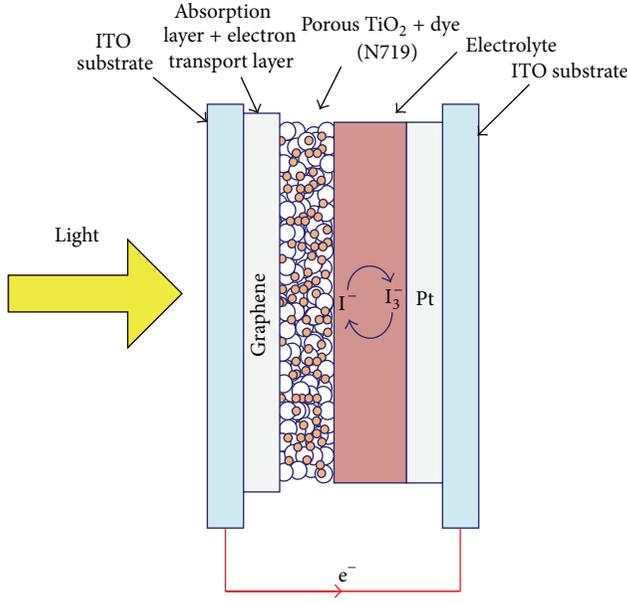


FIGURE 1: Schematic cross-section of the completed structure.

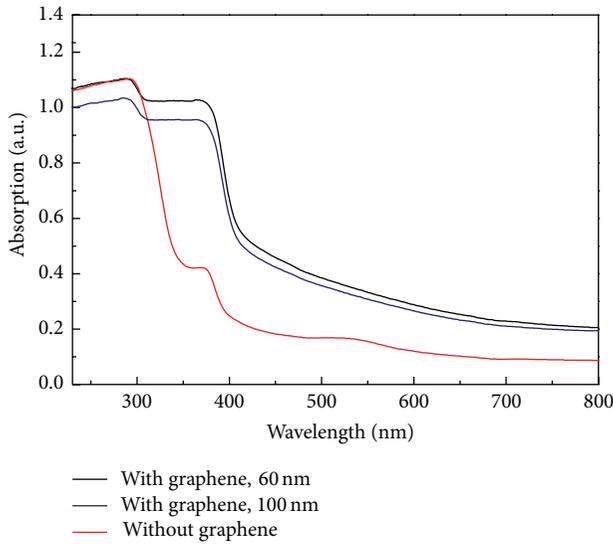


FIGURE 2: Absorbance spectra of the DSSCs with and without the graphene electron transfer layer.

films (SX1170-60, SOLARONIX) between the two electrodes and leaving just two via-holes for injection electrolyte. The sealing process was carried out on a hot plate at 100°C for 3 min. Then, the electrolyte was injected into the space between the two electrodes through the via-holes. Finally, the via-holes were sealed using the epoxy with low vapor transmission rate. Figure 1 schematically depicts the complete structure. Figure 1 shows the cross-section of the completed structure. The current density-voltage (J - V) characteristics were measured using a Keithley 2420 programmable source meter under irradiation by a 1000 W xenon lamp. Finally, the irradiation power density on the surface of the sample was calibrated at $100\text{ mW}/\text{cm}^2$.

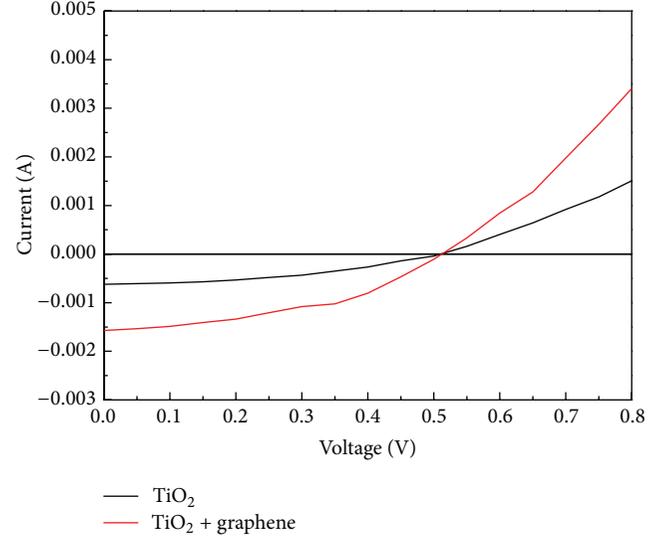


FIGURE 3: J - V curves of the DSSCs with and without the graphene electron transfer layer under illumination.

3. Results and Discussion

Figure 2 shows the absorption of TiO_2 DSSCs with and without the graphene electron transfer layer. Absorption of the DSSC with the 60 nm thick graphene electron transport layer has obviously higher absorption intensity than the DSSC with the 100 nm thick graphene electron transport layer and without the graphene electron transport layer in visible range, especially in the range of 310–400 nm. That means the graphene electron transport layer has an increased absorption coefficient. Therefore, the graphene electron transport layer is also as an absorption layer to improve the absorption of the solar cells.

Figure 3 shows the I - V characteristics of the DSSCs. The cell performance was measured under AM 1.5 illumination with a solar intensity of $100\text{ mW}/\text{cm}^2$ at 25°C . The cell has an active area of $3 \times 3\text{ mm}^2$ and no antireflective coating. The measured cell parameters, open-circuit voltage (V_{oc}), short-circuit current (J_{sc}), fill factor (FF), and energy conversion efficiency (E_{ff}) are shown in Table 1. As shown in Figure 3, TiO_2 DSSCs with graphene electron transfer layer exhibited the following static parameters: V_{oc} of 0.5 V and J_{sc} of $17.5\text{ mA}/\text{cm}^2$. The fill factor (FF) can be estimated by [16]

$$FF = \frac{J_m V_m}{J_{sc} V_{oc}}, \quad (1)$$

where J_m is the maximum output current density and V_m is the maximum output voltage. Therefore, the value of FF results is equal to 0.456. Similarly, the energy conversion efficiency (E_{ff}) can be calculated by [16]

$$E_{ff} = \frac{J_m V_m}{P_{inc}} \quad (2)$$

with P_{inc} as the incident power and E_{ff} results to be 3.98%, respectively. The improvement may be attributed to

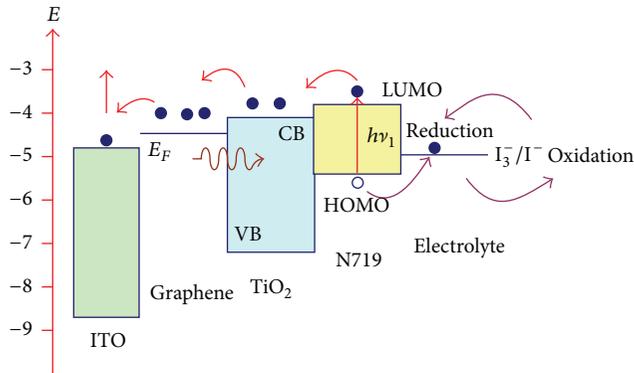


FIGURE 4: Energy level diagram and mechanism of photocurrent generation in the DSSCs with the graphene electron transfer layer.

TABLE 1: The parameters for TiO_2 DSSCs with and without graphene electron transport layer.

	TiO_2	Graphene + TiO_2
J_{sc} (mA/cm^2)	6.9	17.5
V_{oc} (V)	0.5	0.5
FF	0.419	0.456
η (%)	1.45	3.98

the incorporation of the graphene electron transport layer. Figure 4 shows the energy level diagram and mechanism of photocurrent generation in TiO_2 DSSCs with the graphene layer. The work function of the graphene layer is around 4.5 eV [17, 18]. Graphene has a work function similar to that of the ITO (4.8 eV) electrode. The graphene layer does not prevent the flow of injected electrons down to the ITO electrode because its work function exceeds that of the ITO electrode [19–21]. The CB and VB are the conduction band and valence band, respectively. The LUMO and HOMO are the lowest unoccupied molecular orbit and highest occupied molecular orbit, respectively. Therefore, the brief operating process is as follows. Dye N719 was excited by incident light, and electrons transit from HOMO to LUMO. Electrons are injected into the graphene electron transport layer via the TiO_2 photoelectrode. The electrons transferred to the graphene electron transport layer were collected at the back contact to generate a photocurrent. Therefore, the inserted graphene layer collects electrons and acts as a transporter in the effective separation of charge and rapid transport of the photogenerated electrons. According to Figures 2 and 3, the enhanced performance of DSSCs with a graphene was attributed to the increase in electron transport efficiency and light absorption in visible range.

4. Conclusions

DSSCs with a graphene electron transport layer were prepared on an indium tin oxide glass substrate by radiofrequency magnetron sputtering. This work discusses the improvement associated with the introduction of a graphene layer in DSSCs. The enhanced performance of DSSCs with

a graphene may be attributed to the increase in electron transport efficiency and light absorption in visible range, especially in the range of 310–400 nm. Therefore, the short-circuit current density and efficiency of conversion of solar energy to electricity were increased from $6.9 \text{ mA}/\text{cm}^2$ and 1.45% to $17.5 \text{ mA}/\text{cm}^2$ and 3.98%, respectively, under simulated full sun illumination. By incorporation of the graphene electron transport layer, the device efficiency can be increased by over 170%.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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Research Article

TiO₂ Photocatalyst Nanoparticle Separation: Flocculation in Different Matrices and Use of Powdered Activated Carbon as a Precoat in Low-Cost Fabric Filtration

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Separation of photocatalyst nanoparticles is a problem impeding widespread application of photocatalytic oxidation. As sedimentation of photocatalyst particles is facilitated by their flocculation, the influence of common constituents of biologically pretreated wastewaters (NaCl, NaHCO₃, and their combination with humic acid sodium salt) on flocculation was tested by the pipet method. Results showed that the impact of these substances on TiO₂ nanoparticle flocculation is rather complex and strongly affected by pH. When humic acid was present, TiO₂ particles did not show efficient flocculation in the neutral and slightly basic pH range. As an alternative to photocatalyst separation by sedimentation, precoat vacuum filtration with powdered activated carbon (PAC) over low-cost spunbond polypropylene fabrics was tested in the presence of two PAC types in aqueous NaCl and NaHCO₃ solutions as well as in biologically treated greywater and in secondary municipal effluent. PAC concentrations of ≥ 2 g/L were required in order to achieve a retention of nearly 95% of the TiO₂ nanoparticles on the fabric filter when TiO₂ concentration was 1 g/L. Composition of the aqueous matrix and PAC type had a slight impact on precoat filtration. PAC precoat filtration represents a potential pretreatment for photocatalyst removal by micro- or ultrafiltration.

1. Introduction

Biological treatment of municipal wastewater does not remove all trace organics. For example, some pharmaceuticals such as carbamazepine are not susceptible to biodegradation. Therefore, ozonation is widely discussed as an oxidative tertiary treatment in municipal wastewater treatment [1]. Besides ozonation which is highly energy-consuming (6–10 kWh are required for the production of 1 kg O₃ in large-scale ozone generators), advanced oxidation processes (AOPs) are increasingly focused for this purpose [2]. An AOP which can be powered by the sun is heterogeneous photocatalytic oxidation (PCO). TiO₂ nanoparticles were most frequently investigated, because they represent absolutely stable photocatalysts. As an industrial bulk product, TiO₂ is easily available.

Although TiO₂ is looked at as nontoxic, a concentration of TiO₂ nanoparticles (diameter ≈ 100 nm) as small as 2 mg/L has recently been shown to inhibit the second molting of age-synchronized *Daphnia magna* neonates by about 90%, while the first molting was not affected [3]. At the end of a 96 h incubation period, around 70% of the organisms were immobilized due to TiO₂ nanoparticles. TiO₂ particles with diameters around 100 nm were significantly more toxic toward *D. magna* than 200 nm particles. These findings emphasize the need of a safe barrier for TiO₂ photocatalyst nanoparticles within the PCO process in order to prevent them from spreading into the aqueous environment.

Investigations with a laboratory-scale wastewater treatment plant resulted in considerable escape of nanoparticles with the effluent [4]. Sedimentation for separation of the photocatalyst subsequent to the accomplished PCO process

is usually insufficient. Even when the photocatalyst flocculates, there is often a residue of suspended photocatalyst as observed by the authors in settled samples from laboratory-scale PCO experiments with biologically pretreated greywater. Sometimes, turbidity was visible even after centrifugation of the sedimentation supernatants. Photocatalyst flocculation depends on the wastewater matrix [5] and is hardly predictable.

One option making photocatalyst separation unnecessary is photocatalyst immobilisation. However, data on long-term stability of immobilized photocatalysts is very scarce. Another drawback of photocatalyst immobilization on surfaces of larger particles such as powdered activated carbon (PAC), silica, or zeolite (which are more rapidly settling than nanoparticles) is that these composites have to be especially produced requiring special know-how and additional financial resources.

Alternatives to sedimentation and to photocatalyst immobilization on surfaces are sand filtration, hydrocyclones, or membrane filtration. Sand filtration is not a safe method for recovering TiO_2 nanoparticles, because only under conditions leading to TiO_2 agglomeration (pH 7), retention of photocatalyst particles in a sand filter was observed, while at pH 5 flocculation and also retention of particles were low [6]. In contrast, TiO_2 immobilized by sol-gel method on 20 to 200 μm diameter glass spheres could be removed by sand filtration subsequent to PCO [7].

There exists little experience with hydrocyclones for photocatalyst removal. However, as hydrocyclones are based on centrifugal forces, they might be similarly inefficient as laboratory centrifuges with several 1000 times gravitational acceleration, g , as frequently observed by the authors during particle removal from samples taken from PCO experiments utilizing TiO_2 "P25." Bickley et al. [8] have tested large particle size (1–100 μm) photocatalysts which were proven to be separated by means of hydrocyclones. However, such large photocatalyst particles exhibit low specific surfaces. The consequence is poor adsorption of organics and thus low reaction rates [9]. Additionally, microparticles do not show any "quantum size effect." This makes them also less efficient PCO catalysts than nanoparticles [10].

Membrane filtration processes are more successful in photocatalyst removal. Sopajaree et al. [11] found separation of nanosized photocatalyst TiO_2 "P25" to be complete with ultrafiltration membranes, but concentration polarisation increased with increasing photocatalyst concentration. Additionally, there is little to no experience with working life of organic membranes when the feed/retentate contains abrasive TiO_2 particles. Doll and Frimmel [12] gathered positive lab-scale experience combining heterogeneous PCO with a ceramic single channel microfiltration membrane made of α -aluminium oxide. Flocculation of nanoparticles is beneficial for their retention by microfiltration. Another disadvantage of membrane filtration is that it adds to power consumption of a PCO reactor (which is also the case for hydrocyclones due to the strong pumps necessary for their operation).

A more economically feasible solution for photocatalyst separation would be fabric filtration using cheap fabrics such as the raw material for disposable laboratory coats

(Wendland, personal communication) made from spunbond polypropylene. However, fabrics like this are not expected to be able to retain nanoparticles. Therefore, precoat filtration using powdered activated carbon (PAC) might be useful in combination with fabric filters. As the combination of particulate PAC types with PCO was shown to be advantageous for removal of phenol [13–16] and also other compounds, the precoat filtration of TiO_2 with PAC suggests itself.

For evaluating the feasibility of precoat filtration for nanoparticle separation, TiO_2 "P25" suspensions (1 g/L) were mixed with different amounts of two PAC types. A TiO_2 concentration of 1 g/L was chosen because it is very common in PCO studies. These mixtures were subjected to vacuum filtration over small pieces of spunbond polypropylene fabrics and the filtrates were analyzed for total solids. Another aim of the study was to investigate TiO_2 "P25" nanoparticle flocculation in model wastewaters containing different amounts of NaCl, NaHCO_3 , and humic acid sodium salt by means of the pipet method.

2. Materials and Methods

2.1. Flocculation Experiments. Flocculation of photocatalyst in different model wastewaters was investigated by the "pipet method" as described elsewhere [5] by allowing 1 g/L TiO_2 (Aeroxide P25, Evonik Industries AG, Hanau-Wolfgang, Germany) suspensions in aqueous solutions containing different NaCl or NaHCO_3 concentrations (0–1000 mg/L) and different concentrations (0–50 mg/L) of humic acid sodium salt (HANa, Carl Roth GmbH, Karlsruhe, Germany) to settle for 1 hour prior to taking a 50 mL sample with a pipet the tip of which was fixed exactly 10 cm below the liquid surface. These samples were subsequently membrane-filtered (0.45 μm pore width) and the TiO_2 mass retained on the filter was gravimetrically determined after drying at 105°C.

2.2. Precoat Fabric Filtration of TiO_2 . Circular pieces of 5 cm diameter were cut from 100 g/m² spunbond polypropylene fabrics used for disposable laboratory coats manufacture (Figure 1).

The fabric pieces were fixed in a vacuum filtration apparatus using a water-jet vacuum pump for creating the vacuum. For filtration over the fabrics, the following suspensions were prepared: 1 g/L TiO_2 "P25" suspension either in NaCl or NaHCO_3 solutions of different concentrations (0, 0.1, 0.5 or 1 g/L) in deionized water, in a matrix of biologically pretreated greywater (effluent of a constructed wetland for greywater treatment described in more detail elsewhere [17]) or in secondary municipal effluent. 100 mL of these suspensions were added to different amounts of two types of PAC (Merck article no. 102186 or Lurgi Hydriffin WG; for properties of both PAC types, see Table 1) resulting in activated carbon concentrations in the range from 0 to 5000 mg/L.

Volumes of 50 mL of the stirred suspensions were pipetted on to the filter pieces and vacuum-filtered. The filtrates were collected in 100 mL beakers with exactly recorded tare weights. The beakers with the filtrate were heated in a furnace at 80°C until all the water was evaporated and dried at 105°C

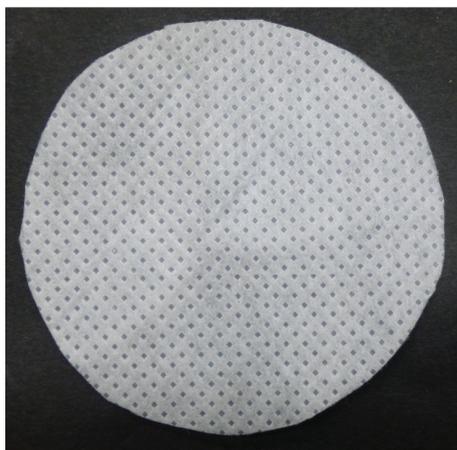


FIGURE 1: Circular piece of spunbond polypropylene fabric (5 cm diameter) used as filter medium for TiO_2 nanoparticles precoat filtration with PAC.

TABLE 1: Providers' information about properties of the investigated activated carbons.

	Merck 102186	Hydraffin WG
Ash content	<1	About 5
pH (in deionised water)	4–7	3–5
Particle size	About $60 \mu\text{m}^a$, 50% $\leq 30 \mu\text{m}$, 90% $\leq 100 \mu\text{m}$	85% $\leq 40 \mu\text{m}$
BET surface (m^2/g)	775 ^a	1100
Iodine uptake (mg/g)	888	1050

^a[16].

until weight constancy, cooled in a desiccator and weighed again for total solids (TS) determination. TS concentrations of the matrices (without TiO_2 and PAC) were recorded in the same way by drying 50 mL of each solution. These values representing total solids of the matrix ($\text{TS}_{\text{matrix}}$) were subtracted from the TS concentrations of the filtrates.

3. Results and Discussion

3.1. Aqueous Matrix Impact on TiO_2 Flocculation. In Figure 2, the influence of NaCl concentration on TiO_2 flocculation at different HANa concentrations is shown. When no humic acid was present, TiO_2 flocculation was increasing with increasing NaCl concentration (Figure 2(a)). At an NaCl concentration of 1 g/L, about 90% of TiO_2 settled from the top 10 cm of the suspension after 1 h indicating a high extent of flocculation, while in deionized water the concentration of suspended TiO_2 was only diminished by 10%. Enhanced flocculation with increasing NaCl concentration can be attributed to compression of the electric double layer around the photocatalyst particle. When 10 mg/L of humic acid sodium salt was present (Figure 2(b)), flocculation was very pronounced nearly irrespective of NaCl concentration. This can be referred to the influence of HANa on pH of the suspensions which was about 5.8 at this humic acid sodium

salt concentration (Figure 2(b)). It can be assumed that this pH is close to the point of zero charge (PZC) of TiO_2 "P25" in the given matrix. At PZC, maximum flocculation is achieved. This PZC was also found in another TiO_2 flocculation study in aqueous matrices containing the nondissociable organic tetraethyleneglycol dimethylether and different inorganic salts [5].

With 20 mg/L HANa (Figure 2(c)), flocculation increased with increasing NaCl concentration similar to experiments without humic acid, but not as pronounced. At this HANa concentration, the pH of the suspensions was 6.3 instead of 4.5 to 5 as determined in the absence of HANa. An HANa concentration of 50 mg/L (Figure 2(d)) led only to slight flocculation. This can be interpreted by efficient adsorption of humic acid anions to the photocatalyst surface [18] with the consequence of more negative surface charges leading to increased repulsion of particles.

It has to be noted that artifacts caused by the applied methodology for evaluating nanosized photocatalyst flocculation cannot be excluded. Nonfloculated nanoparticles might not have been retained by microfiltration. In this case, flocculation would have been overestimated. However, the study of Armanious et al. [5] clearly showed full retention of nonfloculated TiO_2 nanoparticles in a deionized water matrix by microfiltration.

The inorganic salt NaHCO_3 showed an influence on flocculation (Figure 3) different from that of NaCl. Concentrations of NaHCO_3 of 100 mg/L and more resulted in a pH above 8, irrespective of HANa concentration. The graphs in Figures 3(b), 3(c), and 3(d) clearly show that TiO_2 flocculation was increased in matrices containing humic acid when NaHCO_3 concentration was augmented from 100 to 200 mg/L. Further enhancement of bicarbonate concentration did not result in markedly intensified flocculation, probably due to efficient adsorption of humic acid anions which are predominantly negatively charged at $\text{pH} > 8$ (pK_a of humic acids is reported to be in the range of 3.5–5 [19]) leading to particle repulsion. When no humic acid sodium salt was present (Figure 3(a)), flocculation was much more pronounced. There was a sharp increase in flocculation for small NaHCO_3 concentrations of 10 and 20 mg/L obviously due to pH adjustment by these NaHCO_3 concentrations to PZC which may be around pH 7 when no humic acid anions were present. Compression of the electric double layer can be assumed to play an additional role at NaHCO_3 concentrations above 500 mg/L in the absence of humic acid (Figure 3(a)).

PZC in the presence of HANa can be assumed to be around 5–6 because the lowest TiO_2 concentration after 1 h sedimentation time in HANa-containing suspensions was recorded in this pH range (Figure 2(b)). When pH was elevated to around 7 by NaHCO_3 and further HANa addition, humic acids adsorbed to the photocatalyst surface were predominantly negatively charged leading to repulsion of photocatalyst particles (compare Figure 3(b) to Figure 2(b)). Elevating pH to more than 7.5 by adding NaHCO_3 (compare Figure 3(d) to Figure 2(d)) may lead to more negatively charged TiO_2 surfaces which may cause reduced adsorption of humic acid anions on the photocatalyst. A lower repulsion between photocatalyst particles because of less negative

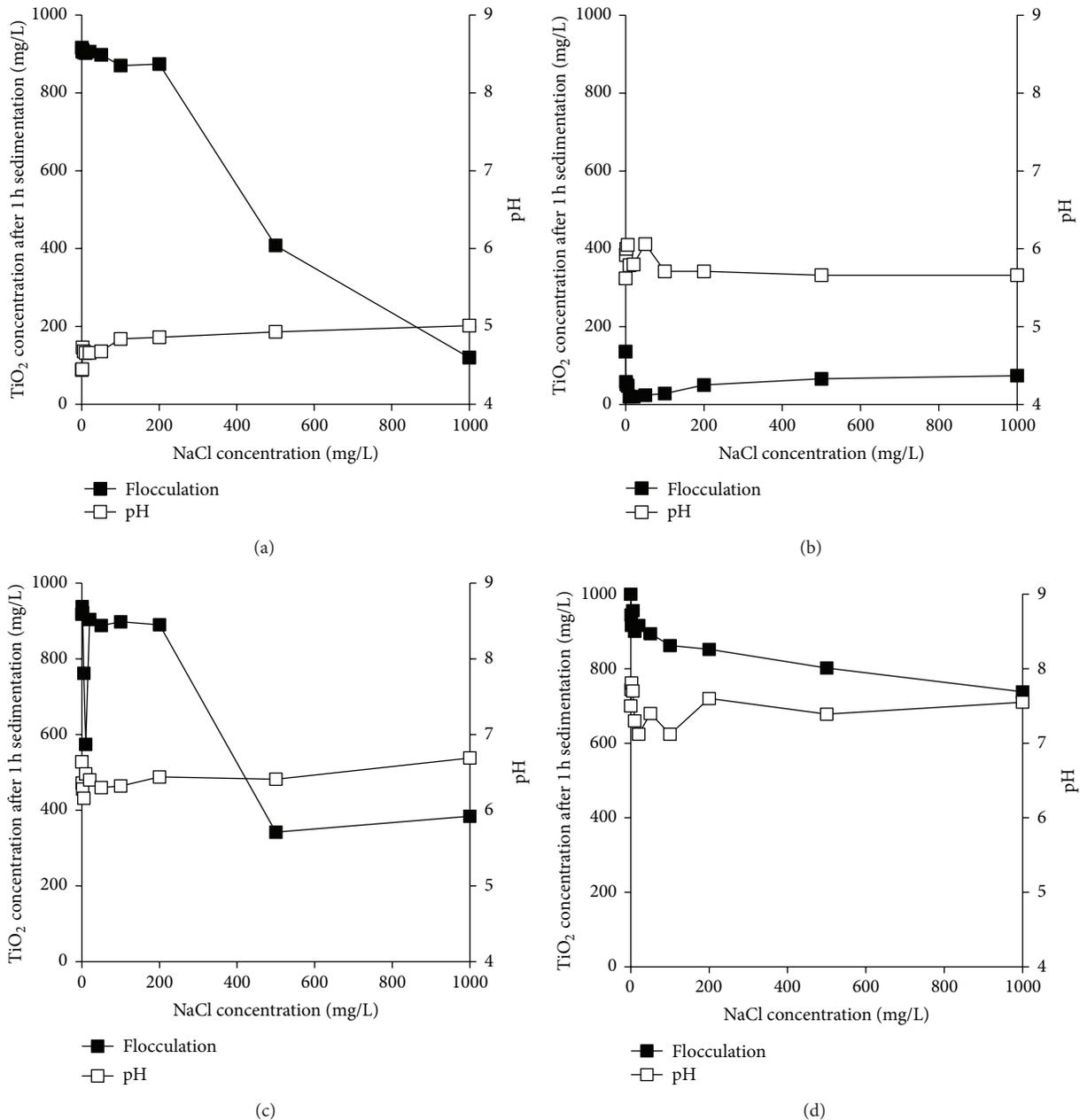


FIGURE 2: Photocatalyst flocculation and pH in 1 g/L TiO₂ “P25” suspensions in aqueous solutions with no (a), 10 (b), 20 (c), and 50 mg/L (d) humic acid sodium salt, HANA, at different NaCl concentrations.

charges on their surface due to less adsorbed humic acid anions might have been the consequence.

Only a few of the matrices tested in this study led to pronounced flocculation of TiO₂ nanoparticles; in the absence of HANA, only NaCl concentrations in the range of 1000 mg/L (pH ≈ 5) and NaHCO₃ concentrations of 10 to 1000 mg/L (resulting in a pH range of 7–8.5) led to flocculation. HANA addition commonly deteriorated flocculation except in NaCl matrices (irrespective of NaCl concentration) when HANA concentrations did not exceed 10 mg/L (Figure 2). However, as PCO leads to removal of humic substances, repulsion of photocatalyst particles caused by humic or fulvic acid anions

adsorbed to the photocatalyst is no longer expected in PCO-treated wastewaters. The hydrogen carbonate formed by PCO might enhance flocculation when pH of the suspension is between 6.5 and 8 (Figure 3(a)).

Overall, the impact of organic and inorganic wastewater constituents on photocatalyst flocculation is very complicated and difficult to predict. Flocculation can be expected to affect photocatalyst sedimentation as well as PCO efficiency. A previous study [5] revealed that the relation of PCO rate constants to photocatalyst flocculation was not as unequivocal as the relationship between rate constants and pH. However, most intense flocculation was linked to

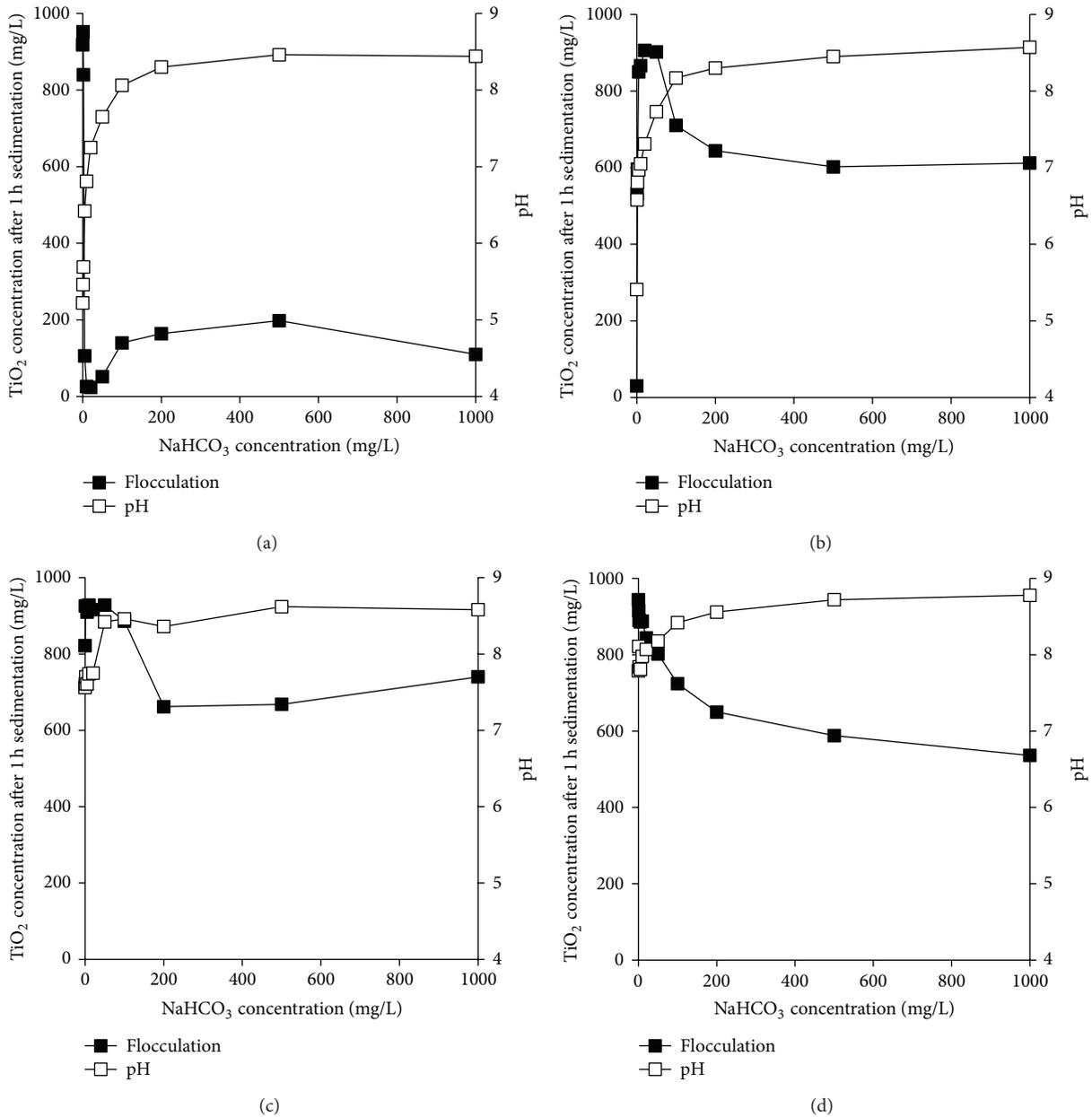


FIGURE 3: Photocatalyst flocculation and pH in 1 g/L TiO_2 “P25” suspensions in aqueous solutions with no (a), 10 (b), 20 (c), and 50 mg/L (d) humic acid sodium salt, HANA, at different NaHCO_3 concentrations.

lowest rate constant while the rate constant was maximum when flocculation was negligible. While it cannot be excluded that TiO_2 photocatalyst flocculation is disadvantageous with respect to PCO efficiency, it is beneficial for photocatalyst recovery by sedimentation and also by sand filtration as recently shown [6].

3.2. *Precoat Filtration.* The filtrates received by vacuum filtration over the spunbond polypropylene fabrics were turbid in all cases. Without addition of PAC, the filtrates were white opaque suspensions similar to the suspensions before filtration. When PAC was added to TiO_2 suspensions

prior to filtration, the filtrates appeared as grey suspensions. However, opacity of the filtrates decreased with increasing PAC dosage prior to filtration. Increasing PAC concentrations led to longer periods of time required for filtering one batch of 50 mL. In Figure 4, total solids (TS) concentrations in mixed TiO_2 /PAC suspensions filtered over spunbond polypropylene fabrics are displayed which were corrected by subtraction of total solids of the liquid phases. Figures 4(a) and 4(b) show that TiO_2 “P25” was not retained from 1 g/L suspensions in deionized water when no PAC was added. So, the investigated fabric does not remove the nanoparticles without precoat. However, the addition of increasing amounts of Merck

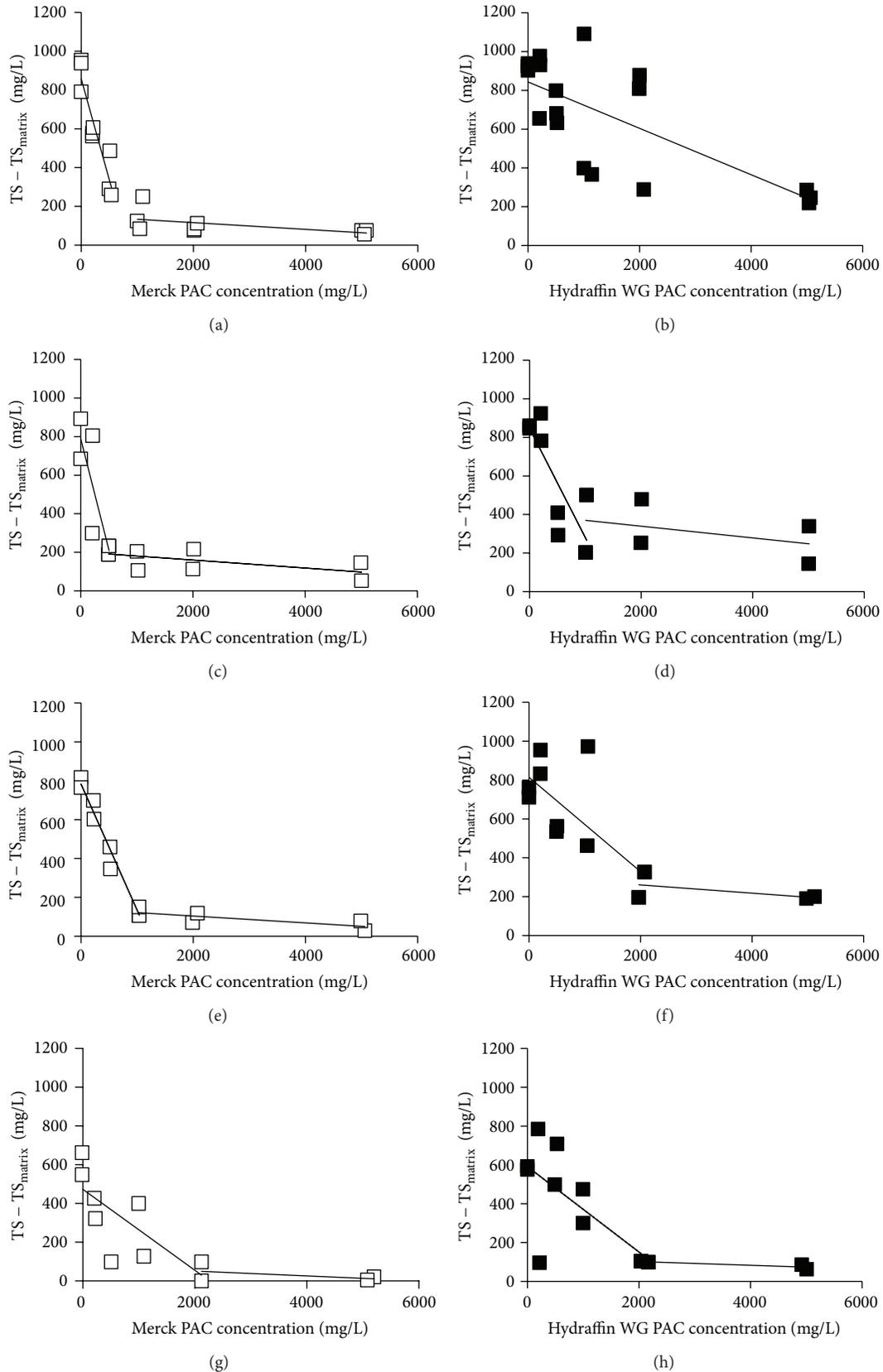


FIGURE 4: Total solids concentrations (corrected for TS concentrations of the matrices) in 1 g/L TiO_2 suspensions containing different amounts of Merck PAC or Hydriffin WG PAC in different matrices ((a), (b): deionized water; (c), (d): 0.1 g/L NaCl solution; (e), (f): 0.5 g/L NaCl solution; (g), (h): 1 g/L NaCl solution) subsequent to vacuum filtration over spunbond polypropylene fabrics.

PAC led to decreased concentrations of total solids in the filtrate indicating that this PAC type is a feasible precoat (Figure 4(a)). When the added Merck PAC concentration exceeded 1 g/L, further increase of PAC concentration did not result in pronounced reduction of TS concentration in the filtrate (Figure 4(a)). During the experiments with the Merck PAC in the deionized water matrix, the total solids obtained after drying the filtrates were subjected to glowing at 550°C (until weight constancy). While without addition of PAC the total nonvolatile solids concentration in the filtrate was about 800 mg/L, only around 70 mg/L nonvolatile solids were detected in the filtrates subsequent to addition of 2000 mg/L Merck PAC as a precoat (data not shown). This indicates that the particles in those filtrates obtained with sufficient Merck PAC addition consisted of TiO₂ particles for the most part. Nevertheless, precoat filtration with 2000 mg/L Merck PAC resulted in more than 90% TiO₂ removal.

The other investigated PAC type (Hydraffin WG) showed a completely different behaviour as precoat in the deionized water matrix (Figure 4(b)); although solid retention showed an increasing tendency with increasing PAC concentration in the original suspensions, it was less efficient and not as reproducible as with the Merck PAC. Nonvolatile solids determined in one of the filtrates were more than 800 mg/L when 2000 mg/L Hydraffin WG was used as a precoat (data not shown). A conclusion from these results is that the Hydraffin WG PAC is a less efficient precoat than the Merck PAC when the aqueous solutions contain NaCl.

It was not expected from particle size data of the two PAC types (Table 1), that precoat filtration with Hydraffin WG PAC would have resulted in a lower TiO₂ retention than with the Merck PAC; Hydraffin WG consisted of slightly smaller particles than the Merck PAC. So, it was assumed that TiO₂ particles should be retained to a larger extent in the filter cake formed by Hydraffin WG, because it will exhibit smaller pores than the Merck PAC filter cake. Probably, some more Hydraffin PAC particles escaped through the pores of the fabric filter. However, as mentioned above more than 800 mg/L of the solids in the filtrate were nonvolatile, that is, TiO₂. So, the least part of the particles in the filtrate was represented by Hydraffin WG PAC.

Lower precoat efficiency of Hydraffin WG might be caused by a lower extent of interaction of the TiO₂ surface with functional groups on the surface of Hydraffin WG PAC in comparison to the Merck PAC. Coordinative interactions of Ti centers on the TiO₂ photocatalyst surface with particular oxygen-containing carbon-functional surface groups such as carboxylic acids or cyclic ethers were claimed by Matos et al. [14]. Interactions between TiO₂ "P25" and the Merck PAC which was also utilized in this study were indicated by the disappearance of FTIR peaks of the Merck PAC at 3400 cm⁻¹ (phenolic PAC surface groups) and at 1000–1200 cm⁻¹ (attributed to cyclic ether groups) when it was mixed with TiO₂ [20]. On one hand, this coordination might enable injection of charge carriers from illuminated TiO₂ particles into activated carbon grains. On the other hand, such interactions can also be hypothesized to lead to enhanced adhesion between TiO₂ photocatalyst particles and particular PAC types.

This hypothesis was also supported by results of the precoat filtration experiments with the NaCl solution matrices (Figures 4(c)–4(h)); in all cases, addition of Merck PAC above 2000 mg/L resulted in lower TS concentrations in the filtrates than addition of the Hydraffin WG PAC. Obviously, increase of NaCl concentration in the aqueous phase was beneficial for precoat filtration with both PAC types; especially at PAC concentrations \geq 2000 mg/L, total solids concentrations in the filtrate were decreasing. This might be a consequence of intensified flocculation of TiO₂ nanoparticles caused by compression of the electric double layer. Agglomerated TiO₂ particles are probably more readily retained in the PAC filter cakes.

Replacement of NaCl by NaHCO₃ led to similar results of TiO₂ precoat filtration (Figure 5). Both PAC types at concentrations of \geq 2000 mg/L clearly reduced particle concentrations in the filtrates. However, precoat filtration with Merck PAC in the NaHCO₃ matrix showed slightly worse results than in the NaCl matrix, while precoat filtration with Hydraffin WG at concentrations \geq 2000 mg/L was a bit more efficient in the NaHCO₃ matrix. When NaHCO₃ concentration was 0.5 g/L, the Hydraffin WG PAC was an even better precoat than Merck PAC. At NaHCO₃ concentrations of 0.1 and 1 g/L, precoat filtration with both PAC types at concentrations above 2000 mg/L was nearly equally efficient.

In a matrix of biologically treated greywater, more particles escaped through the spunbond polypropylene fabric (Figures 6(a) and 6(b)) than in experiments with aqueous NaCl and NaHCO₃ solutions (Figures 4 and 5). Biologically treated greywater contains more salts additional to NaCl [5]. Substances contained in biologically treated greywater might have affected interactions between photocatalyst and PAC particles. Obviously, they deteriorate the precoat filtration. These findings are in contrast with results obtained with TiO₂/PAC suspensions in secondary municipal effluent (Figures 6(c) and 6(d)); in the secondary municipal effluent, precoat filtration with both PAC types was more efficient than in the greywater matrix. Similar to findings in the greywater, the Merck PAC was more suitable than the Hydraffin WG PAC.

Overall, the impact of the wastewater matrix on precoat filtration seems to be quite complex. As mentioned above, it can be hypothesized that there are interactions between TiO₂ nanoparticles and PAC grains such as coordinative complexation of Ti centers on TiO₂ surface by carboxylic acid or cyclic ether functional groups on surfaces of the activated carbon [14, 20]. These interactions are probably influenced by the surrounding aqueous solution similar to interactions between activated carbon surface functional groups and organic adsorptive molecules which have been recently reviewed [21]. The two key factors found in the surrounding aqueous solution which influence activated carbon/adsorbed organic molecule interactions were identified to be pH and ionic strength. While NaCl only affects ionic strength, NaHCO₃ influences both pH and ionic strength. The pH has a strong impact on surface chemistry of activated carbon [21]. Except by NaHCO₃, the pH of the TiO₂/PAC suspensions is affected by the acidic nature of the TiO₂ itself and the acidity or basicity of the activated carbon (see Table 2). Hydraffin

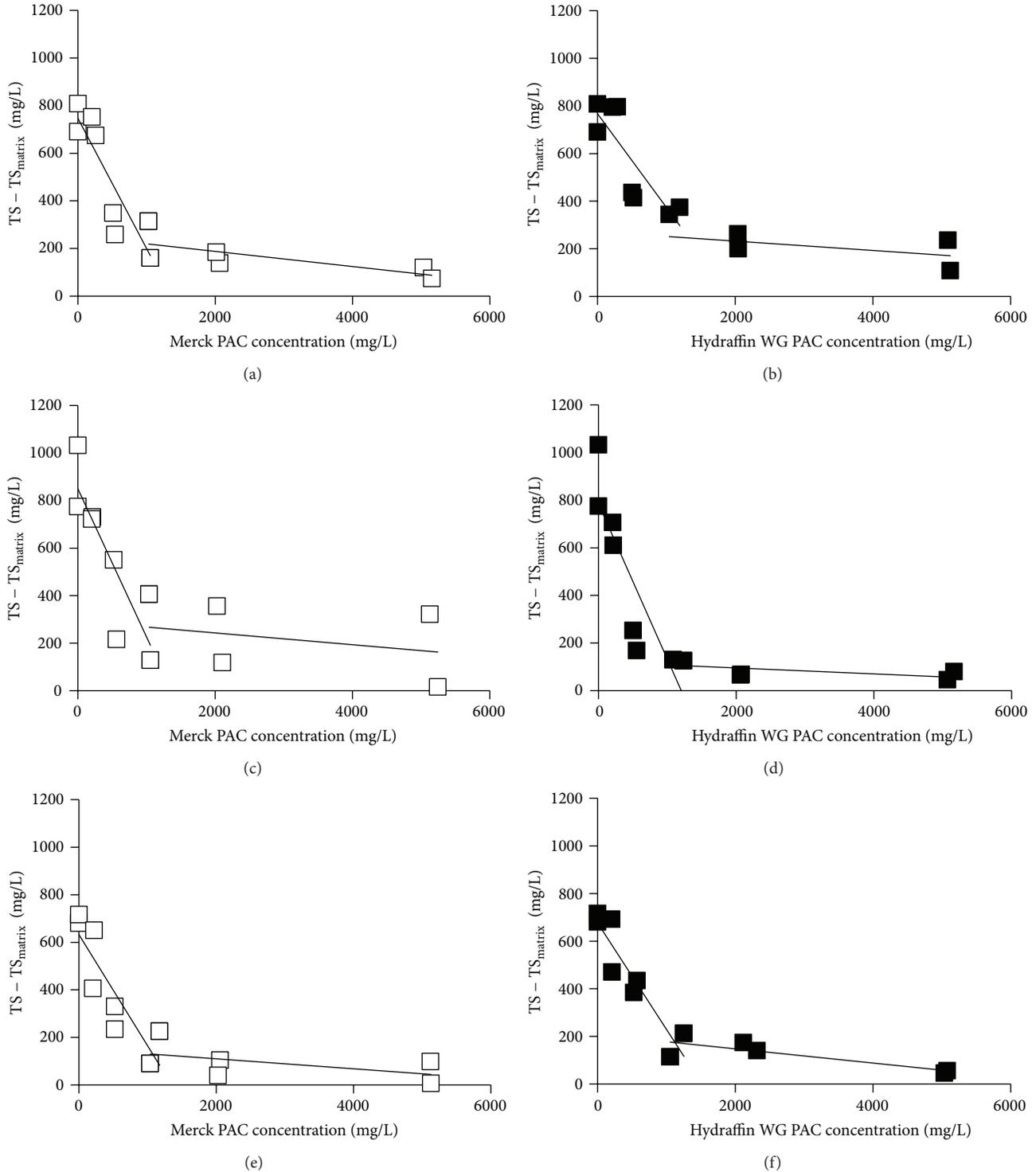


FIGURE 5: Total solids concentrations (corrected for TS concentrations of the matrices) in 1 g/L TiO₂ suspensions containing different amounts of Merck PAC or Hydraffin WG PAC in different matrices ((a), (b): 0.1 g/L NaHCO₃ solution; (c), (d): 0.5 g/L NaHCO₃ solution; (e), (f): 1 g/L NaHCO₃ solution) subsequent to vacuum filtration over spunbond polypropylene fabrics.

WG PAC is more acidic than the Merck PAC (Table 1). When no hydrogen carbonate was added to suspensions containing 1 g/L TiO₂ and 2 g/L PAC, the TiO₂/Hydraffin WG PAC suspensions exhibited a pH of about 3.5, while the pH of

the TiO₂/Merck PAC suspensions was in the range of 6.5 to 7 (“Deionized water” and “0.5 g/L NaCl” in Table 2). Replacing the NaCl with 0.5 g/L NaHCO₃ increased the pH in the suspensions to 8.0 and 8.4 for Hydraffin WG and Merck

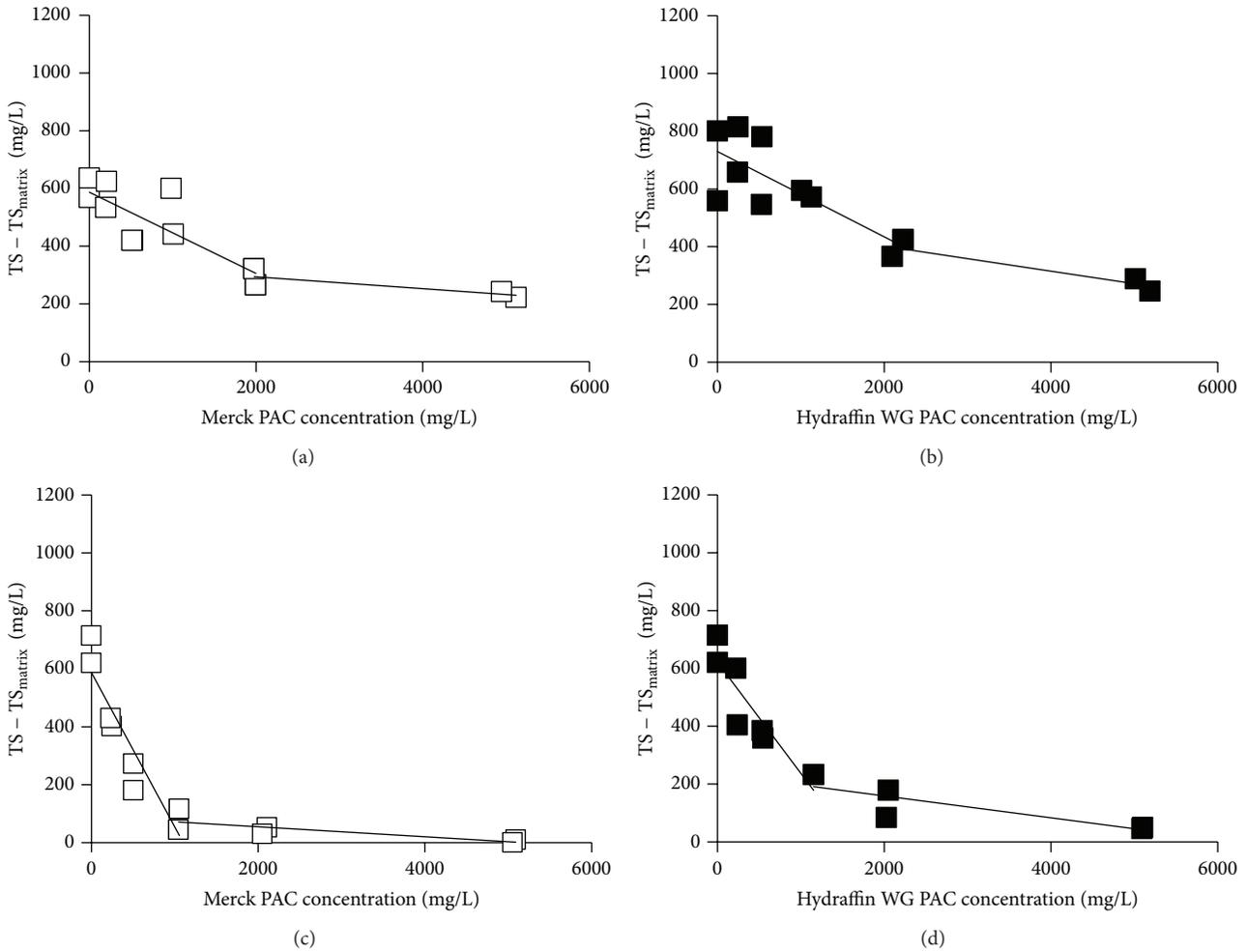


FIGURE 6: Total solids concentrations (corrected for TS concentrations of the matrices) in 1 g/L TiO₂ suspensions containing different amounts of Merck PAC or Hydraffin WG PAC in a matrix of biologically pretreated greywater ((a), (b)) and secondary municipal effluent ((c), (d)) subsequent to vacuum filtration over spunbond polypropylene fabrics.

TABLE 2: Impact of aqueous matrix and PAC type on pH of suspensions containing 1 g/L TiO₂ and 2 g/L PAC.

Matrix	pH	
	Merck PAC	Hydraffin WG PAC
Deionized water	6.5	3.5
0.5 g/L NaCl	6.9	3.6
0.5 g/L NaHCO ₃	8.4	8.0
Biologically treated greywater	8.1	7.4
Secondary municipal effluent	7.7	7.1

PAC, respectively (Table 2). Also in the secondary municipal effluent and in the biologically treated greywater, the pH was above neutral (7.1 to 7.4 for Hydraffin WG and 7.7 to 8.1 for Merck PAC). This is due to some alkalinity in the biologically treated wastewaters predominantly represented by hydrogen carbonate in the pH range of 7 to 8.

Flocculation of the TiO₂ nanoparticles might also have an impact of the wastewater matrix on precoat filtration

of nanoparticles. The extent of flocculation is affected by different wastewater constituents as investigated in the first part of this study and also in other studies (e.g., [5]). TiO₂ nanoparticles agglomerated to larger aggregates are retained more efficiently in the PAC filter cake than single nanoparticles. Efficient flocculation of TiO₂ nanoparticles was observed in this study with NaCl concentrations of 1 g/L (Figure 2(a)) and NaHCO₃ concentrations between 0 and 1 g/L (Figure 3(a)) when no humic acid was present. Addition of humic acid sodium salt was deteriorating flocculation caused by NaCl or NaHCO₃ (Figures 2 and 3) except when pH was between 5.5 and 6.0 (Figure 2(b)). Flocculation impaired by the presence of humic substances might be an explanation for low precoat filtration efficiency in biologically pretreated greywater. However, also secondary municipal effluent contains humic substances formed during biological treatment. As secondary municipal effluent was an excellent matrix for precoat filtration of TiO₂ nanoparticles with Merck PAC in concentrations above 1 g/L (Figure 6(c)), the presence of humic substances cannot be the explanation for deterioration

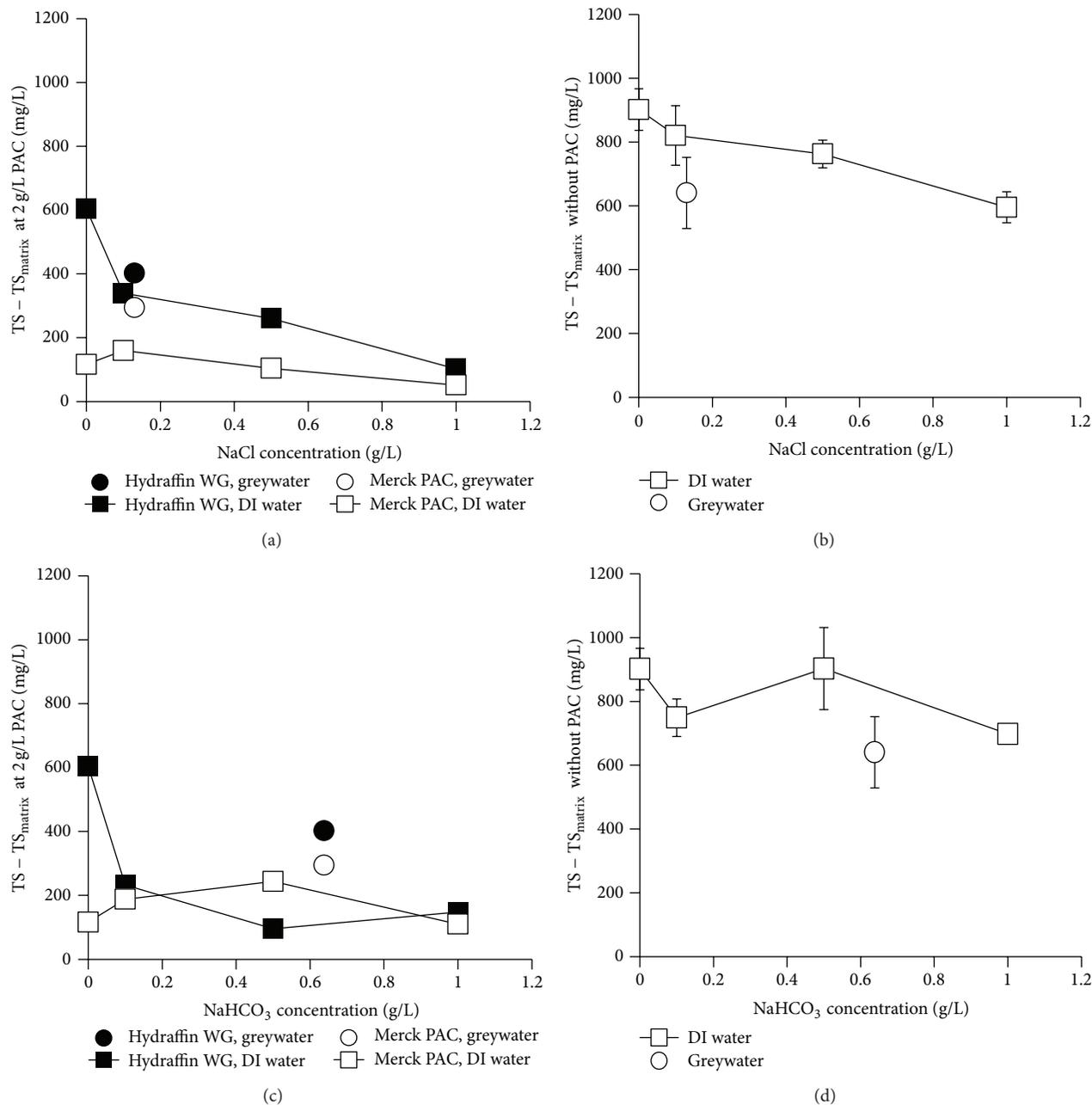


FIGURE 7: Total solids concentrations (corrected for TS concentrations of the matrices) in 1 g/L TiO₂ suspensions containing 2 g/L PAC ((a), (c)) and in 1 g/L TiO₂ suspensions without any PAC ((b), (d)) at different NaCl ((a), (b)) and NaHCO₃ concentrations ((c), (d)) subsequent to vacuum filtration over spunbond polypropylene fabrics.

of precoat filtration. Moreover, TiO₂ flocculation in biologically pretreated greywater was shown to be considerable [5].

Figure 7 summarizes the results displayed in Figures 4, 5, and 6. In Figures 7(a) and 7(c), only the TS concentrations in the filtrates of suspensions containing 1 g/L TiO₂ and 2 g/L PAC are shown depending on the NaCl (Figure 7(a)) and on the NaHCO₃ concentrations (Figure 7(c)). As the biologically treated greywater used in this study was not analyzed for chloride or hydrogen carbonate, chloride and alkalinity analyses (2.23 mmol Cl⁻/L, 7.6 mmol H⁺/L acid-neutralizing

capacity) of another biologically treated greywater sample from the same source [5] were used for calculating approximate NaCl and NaHCO₃ concentrations. The circles in Figures 7(a) and 7(c) which were based on these approximations indicate that precoat filtration of TiO₂ in a biologically treated greywater matrix is less efficient than in the respective NaCl or NaHCO₃ matrices prepared with deionized water. On the other hand, lack of TiO₂ flocculation cannot be looked at as a reason for inefficient precoat filtration with PAC, because in biologically pretreated greywater, TiO₂ flocculation was very

pronounced as shown by the pipet method in another study [5]. Overall, replacement of NaCl with NaHCO₃ impaired TiO₂ precoat filtration with the Merck PAC but enhanced precoat filtration with Hydruffin WG PAC. Therefore, in the NaHCO₃ matrix, TS concentrations in the filtrates obtained with both PAC types did not differ largely.

When TiO₂ suspensions in different matrices were filtered over the polypropylene fabric without addition of PAC (Figures 7(b) and 7(d)), increasing flocculation due to increased salt concentrations obviously increased particle retention. It has to be noted that in these experiments there was no sufficient time for complete flocculation, because the TiO₂ suspensions in different matrices were filtered over the fabric filters immediately after preparation of the suspensions after a very short stirring period. Nevertheless, with increasing NaCl (Figure 7(b)) and NaHCO₃ concentrations (Figure 7(d)) increasing amounts of TiO₂ were retained on the filter. The TiO₂ suspensions in biologically treated greywater showed a better particle retention than expected from the assumed respective NaCl and NaHCO₃ concentrations of the greywater when they were not precoat with PAC. This comparably good TiO₂ retention can also be explained by good flocculation of TiO₂ particles in the biologically pretreated greywater.

In a previous study [22] about the hybrid process comprising PCO and PAC adsorption (1 g/L PAC combined with 1 g/L and 5 g/L TiO₂, resp.) with separation and recovery of the photocatalyst/PAC mixture by centrifugation, it was shown that the efficiency for DOC removal from biologically pretreated greywater with a UV dose of 11 Wh/L was only slightly decreasing for both TiO₂ concentrations from 84% (with fresh TiO₂/PAC mixtures) to about 71% when the TiO₂/PAC mixtures were reused for the 13th time. It has to be stated that the centrifugation supernatants in that study, although not analyzed for total solids, were similarly turbid by visible inspection as the filtrates in this study when 1 g/L TiO₂ was precoat with 2 g/L PAC. Therefore, the loss of efficiency of the PCO/PAC hybrid process during consecutive solids reuse cycles can be attributed to a slight loss of photocatalyst due to incomplete recovery of photocatalyst by centrifugation.

4. Conclusions

- (1) Flocculation of TiO₂ nanoparticles is influenced by ionic strength and pH. In the neutral and slightly basic pH range, humic acid prevents the nanoparticles from agglomeration. Due to complexity of wastewater matrices, the flocculation of TiO₂ particles in real wastewaters is hardly predictable.
- (2) Addition of 2 to 5 g/L PAC as a precoat to 1 g/L TiO₂ nanoparticle suspensions substantially increases nanoparticle retention by filter fabrics which are usually not able to retain the nanoparticles.
- (3) Efficiency of TiO₂ nanoparticle precoat filtration is also influenced to some extent by selection of the PAC type and by the concentrations and types of constituents of the solution matrix.
- (4) Precoat filtration of TiO₂ with PAC is feasible for technical application in the photocatalytic oxidation process, because in the PAC/PCO hybrid process PAC removes organic wastewater constituents by adsorption additional to photocatalytic oxidation.
- (5) Precoat filtration with PAC can retain > 90% of TiO₂ nanoparticles. It is thus a suitable pretreatment for further nanoparticle removal, for example, by membrane filtration.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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