1. Introduction

Slab tracks are widely used in high-speed railways because they have many advantages such as high stability, low deformation, and easy maintenance [1, 2]. In China, the operating mileage of high-speed railway networks reached 29,000 km by the end of 2018, and more than 70% of railway lines are using slab tracks [3]. However, slab tracks also exhibit obvious disadvantages compared with ballasted tracks [4, 5]. When the subgrade has defects like mud pumping, the slab tracks are not easy to adjust their deformation, leading to safety and comfort problems for high-speed trains.

Mud pumping has occurred in several spots in Chinese high-speed railways in recent years [6–8]. Several scholars have studied the main parameters that induced the defect of mud pumping under slab tracks [9–11]. Their studies show that when the sealing material of slab tracks has some cracks, the rainwater could penetrate into the subgrade surface easily. The dynamic train load could arouse excess pore water pressure at the subgrade surface when the train runs upon the railway substructures. Then, the fine particles of subgrade can be taken out by the rainwater under dynamic load, leading to mud pumping defect in high-speed railways. Pham et al. and Bian et al. studied the influence of water content and fine particles amount on the dynamic performance of subgrade. The water infiltration could cause significant performance degradation of subgrade [9, 12]. Huang et al. carried out a model test to analyze the long-term dynamic responses of slab track-subgrade system under mud pumping defect. The mud pumping will significantly reduce the support ability of subgrade [13]. Zhang et al. and Liu et al. carried out a field test to study the vibration responses of slab tracks, and the results show that mud pumping will increase the vibration responses obviously [6, 7]. Therefore, previous studies have mainly focused on the occurrence of mud pumping and vibration responses of slab track under certain mud pumping lengths. However, the length of mud
pumping will be different at different spots, and the dynamic responses of the entire dynamic system, which includes vehicle, slab track, and subgrade, will be different as well. It is crucial to study the vibration responses of the whole dynamic system in high-speed railways where there is mud pumping defect.

At present, numerical simulation has become an efficient solution to study the dynamic effects of railways with the development of computer science [14, 15]. Many studies have been conducted with the vehicle-slab track coupled model including the comparison of two- and three-dimensional models [16], the comparison of two-layered and three-layered slab tracks [17], the comparison of linear and nonlinear Hertzian contact theory [18], and the dynamic effects under different irregularities [19–21]. Furthermore, many efficient algorithms have been put forward to solve the nonlinear vehicle-track interaction problem [22–25]. These studies provide a basis for studying the vibration responses under defects. For example, Liu et al. developed a train-slab track-saturated subgrade coupled model to study the dynamic responses of the railway under saturated subgrade [26]. Ren et al. built a finite element model to study the dynamic performance of railways due to the contact loss beneath the concrete base [3]. Cai et al. analyzed the mud pumping mechanism and built a finite element model to study the contact force between subgrade and slab track under mud pumping defect [27]. However, mud pumping will affect not only the contact force but the dynamic responses of the vehicle, slab track, and subgrade.

Thus, in this paper, a vehicle-slab track-subgrade coupled model is developed based on the multibody simulation principle and the finite element theory. The contact between slab track and subgrade is described as a spring-damper system in order to simulate the mud pumping defect. This model is validated by comparing the results from other literature. The vibration responses of the vehicle, slab track, and subgrade are analyzed under different mud pumping lengths and train speeds. Finally, the mud pumping of the railway is assessed on the basis of the calculated vibration responses.

2. Development of the Numerical Model

In order to investigate the vibration responses of the vehicle, slab track, and subgrade induced by mud pumping, a vehicle-slab track-subgrade coupled model has been developed, as shown in Figure 1. The vehicle model is built based on the multibody simulation principle, and the slab track and subgrade are developed based on the finite element theory. The mud pumping is simulated by the spring-damper system. The details of the model are described in the following sections.

2.1. Characteristics of the Model. In this model, the vehicle is modeled as a four-axle mass-spring-damper system, which contains one car body, two bogies, four wheelsets, and two stage suspensions. The multibody system has 10 degrees-of-freedom, which are vertical and pitch motion of car body \( (Z_v, \beta_v) \), vertical and pitch motion of bogies \( (Z_{wi}, \beta_{wi}, i = 1, 2) \), and vertical motion of wheelset \( (Z_{wj}, j = 1, \ldots, 4) \). The vehicle and slab track can be linked by the contact force between the wheel and rail. The slab track, which is commonly used in high-speed railways in China, is developed in this model, and it consists of rail, fastener system, slab, cement asphalt (CA) mortar layer, and concrete base. The rail is modeled as the Euler beam element, and the length of rail is 500 m in case of the boundary effect. The slab and concrete base are described as the elastic medium, which is similar to the studies from [19, 26]. Meanwhile, the fastener system and CA mortar layer are assumed to act as the spring-damper system. The subgrade, which contains the upper layer of the subgrade bed, the bottom layer of subgrade bed, and the subgrade body, is also modeled as the elastic medium. In order to simulate the mud pumping effect, the contact between the concrete base and subgrade is modeled as a spring-damper system. The mud pumping will cause contact loss between the concrete base and the upper layer of subgrade bed; therefore, the stiffness and damping of contact are different in the mud pumping area when compared with the normal area.

2.2. Equations of Motion. The motions of vehicle, slab track, and subgrade can be assembled in the following matrix equations:

\[
\begin{bmatrix}
\mathbf{M}_V & 0 & 0 & \mathbf{X}_V \\
0 & \mathbf{M}_T & 0 & \mathbf{X}_T \\
0 & 0 & \mathbf{M}_S & \mathbf{X}_S \\
\end{bmatrix}
\begin{bmatrix}
\mathbf{C}_V & 0 & 0 & \mathbf{X}_V \\
0 & \mathbf{C}_T & 0 & \mathbf{X}_T \\
0 & 0 & \mathbf{C}_S & \mathbf{X}_S \\
\end{bmatrix}
\begin{bmatrix}
\mathbf{P}_V \\
\mathbf{P}_T \\
\mathbf{P}_S \\
\end{bmatrix}
\]

where the subscripts \( V \), \( T \), and \( S \) are the vehicle, track, and subgrade, respectively; \( \mathbf{M} \), \( \mathbf{C} \), and \( \mathbf{K} \) are the mass, damping, and stiffness submatrices; and \( \mathbf{X} \) and \( \mathbf{P} \) are displacement and force subvectors. The equations of the vehicle can be found in [28].

The motion equation of rail in the form of the fourth-order partial equation can be described as follows:

\[
EI \frac{\partial^4 Z_t(x,t)}{\partial x^4} + m \frac{\partial^2 Z_t(x,t)}{\partial t^2} = -\sum_{i=1}^{N} F_{ti}(t)\delta(x-x_i) + \sum_{j=1}^{4} F_{pj}(t)\delta(x-x_{wj}),
\]

where \( EI \) is the bending stiffness of cross section of beam, \( m \) is the mass of beam per unit length, \( x \) is the position coordinate of model, \( t \) is the time, \( Z_t(x,t) \) is the vertical displacement of beam, \( N \) is the numbers of the fastener system, \( F_{ti}(t) \) is the \( i \)th rail supporting force, \( F_{pj}(t) \) is the \( j \)th wheel-rail contact force, and \( \delta \) is Dirac’s delta function.

By adopting a modal superposition approach, the following equation is obtained:
where \( G \) is the contact deflection coefficient, \( Z_{w_j}(t) \) is the \( j \)th vertical displacement of wheelset, and \( \eta(x) \) is the vertical track irregularity. The track irregularity in this paper is generated based on the Chinese nonballast track spectrum, and the power spectrum density (PSD) function is shown as follows [30]:

\[
S(f) = \frac{A}{f^\beta} \tag{7}
\]

where \( f \) is the spatial frequency and \( A \) and \( \beta \) are the fitting coefficients, which can be found in [30]. The PSD function can be transformed into vertical irregularities along the longitudinal distance of the track by means of a time-frequency transformation technique [14], as shown in Figure 2.

The motion equations of slab, concrete base, and subgrade, which are described as the elastic medium, are dominated by the Navier equation in the tensor form:

\[
\sigma_{ij,j} - \rho_c \ddot{u} = 0, \tag{8}
\]

where the subscripts \( i \) and \( j \) denote the \( x \) and \( z \) direction in the coupled model, \( \sigma \) denotes the stress tensor of the solid phase, \( \rho_c \) denotes the mass density of element, and \( u \) denotes the displacement vector of the solid phase.

Based on the Green–Gauss theory, the differential equation of motion of element of the elastic medium can be written as follows [26]:

\[
M_e \ddot{X}_e + C_e X_e + K_e X_e = P_e, \tag{9}
\]

where \( M_e, C_e, \) and \( K_e \) are the element matrix of mass, damping, and stiffness, respectively, and \( P_e \) and \( X_e \) are the load and displacement vectors of the element.

\[
M_e = \rho_e \int_\Omega N_e^T N_e d\Omega, \quad K_e = \int_\Omega B_e^T D_e B_e d\Omega, \quad C_e = \alpha M_e + \beta K_e, \quad P_e = \int_\Omega N_e^T T_e d\Omega, \tag{10}
\]
where $N_e$ is the displacement shape function of the element matrix, $B_e$ is the strain-displacement matrix, $D_e$ is the stress-strain matrix, and $T_e$ is the boundary vector.

The contact between concrete base and subgrade should meet the requirements of displacement coordination, so the contact force is written as follows:

$$ F_c(x, t) = H(x) \cdot \left\{ K_c[Z_c(x, t) - Z_u(x, t)] ight\} + C_c[\dot{Z}_c(x, t) - \dot{Z}_u(x, t)] $$

where $K_c$ is the stiffness coefficient, $C_c$ is the damping coefficient, $Z_c(x, t)$ is the vertical displacement of the concrete base, $Z_u(x, t)$ is the vertical displacement of the upper layer of subgrade bed, and $H(x)$ is the Heaviside step function. It is used by equation (12) to distinguish the mud pumping state and normal state between concrete base and subgrade:

$$ H(x) = \begin{cases} 1, & x < x_1, x > x_2 \text{ (normal)} \\
0, & x_1 \leq x \leq x_2 \text{ (mud pumping)} \end{cases} $$

where $x_1$ and $x_2$ are the start and finish position coordinates of the mud pumping area, respectively.

2.3. Numerical Solution. The total mass matrix, damping matrix, and stiffness matrix can be assembled in equation (1) by a conventional technique. The Newmark–Beta integral algorithm and the cross iterative scheme were used to solve the motion equations. The details of the iterative scheme can be found in [22]. Furthermore, the computer simulation program Matlab was used to calculate the dynamic responses of the vehicle, slab track, and subgrade under the mud pumping state.

3. Verification

The time-history curves of vertical displacement of the slab were measured in the Shanghai–Nanjing high-speed railway. The sensors layout and results of the field test can be found in [6]. The displacement of the slab calculated in this model is compared with the field test results under mud pumping defect in order to validate the calculation results from this simulation model. Meanwhile, the dynamic force between wheel and rail and vertical displacement of rail is compared with the results from [15].

3.1. Dynamic Properties. The vehicle is the CRH2C train in the Shanghai–Nanjing high-speed railway, and it has 6 motor cars and 2 trailer cars. The slab track is the China Railway Track System (CRTS) I. The dynamic properties of the vehicle, track, and subgrade in Shanghai–Nanjing high-speed railway are shown in Table 1.

3.2. Validation Results. The length of mud pumping is set to 3.15 m (5 spans of the fastener system and each span is 0.63 m) in the simulation model because the length of mud pumping in the Shanghai–Nanjing high-speed railway is about 3 m to 4 m, and the precise length was not measured due to certain limitations. Figure 3 shows that the vertical displacement of the slab presents a good agreement with the results tested in the Shanghai–Nanjing high-speed railway. Furthermore, the wheel-rail contact force and vertical displacement of rail from this simulation model are quite similar to the results in [15], as shown in Figure 4. Therefore, the model in this paper could predict the vibration responses of the vehicle, track, and subgrade under both mud pumping state and normal state.

4. Results

In order to study the influences of mud pumping lengths and train speeds on the dynamic responses of vehicle, track, and subgrade, the length of mud pumping is changed from 0 m (normal state without mud pumping) to 6.3 m (10 spans of the fastener system), and the train speed is changed from 200 km/h to 350 km/h.

4.1. Dynamic Responses

4.1.1. Vehicle. When the train speed is 250 km/h, the vertical acceleration of the car body, the reduction ratio of wheel load, and the wheel-rail contact force are shown in Figure 5. Note that only when the length of mud pumping equals to 0 m, the railway is under the normal state without mud pumping defect. When the system is excited by track irregularity, the dynamic responses do not change much with the mud pumping length. The vertical accelerations of the car body are stable at around 0.95 m/s². The reduction ratios of wheel load are around 0.56, and the wheel-rail
placements of rail, slab, and concrete base increase to length of mud pumping increases to 6.3m, the dis-

0.2mm, and 0.12mm, respectively. However, when the

of rail, slab, and concrete base are around 0.75mm,

vertical displacements exists. When the railway is under the normal state (mud pumping length equals to 6.3m), the vertical displacements increase significantly with train speed.

The vertical accelerations of the slab and concrete base obviously increase with the train speed, as shown in Figure 7. The accelerations also increase when the mud pumping length and train speed are increased. When the mud pumping length is 6.3m, the maximum acceleration of slab is about 15 m/s², and the maximum acceleration of the concrete base is about 13 m/s².

4.1.3. Slab Track. Unlike dynamic responses of slab track, the dynamic responses of subgrade obviously decrease when increasing the mud pumping length, as shown in Figure 8. When the train speed is 200km/h, the minimum vertical displacement, acceleration, and velocity of subgrade are 0.02 mm, 0.5 m/s², and 1.8 mm/s, respectively. The most likely reason is that there is contact loss between the concrete base and subgrade when mud pumping occurs, and dynamic energy cannot transfer to the degradation part of subgrade directly; thus, the dynamic responses of subgrade decrease with mud pumping length.

4.2. Assessment of Dynamic Responses under Mud Pumping Defect. In order to assess the deviation of dynamic responses under the mud pumping defect when compared with the normal state, the assessment index S is put forward in the following equation:

\[ S = \frac{P_m - P_n}{P_n} \]  \hspace{1cm} (13)

where \( P_m \) is the amplitude of vibration response under the mud pumping state and \( P_n \) is the amplitude of vibration response under the normal state.

The assessment index of dynamic responses in high-speed railways is shown in Figure 9. The index increases when increasing the mud pumping length and train speed. Furthermore, the index of vehicle and subgrade does not change much when compared with the index of slab track. The vertical displacement of the concrete base exhibits the maximum value of S, followed by the vertical acceleration of the concrete base. When the mud pumping length is 6.3m and the train speed is 350 km/h, the index S of vertical displacement and acceleration of concrete base are 20.9 and 4.4, respectively. Therefore, the mud pumping defect will have the greatest influence on the dynamic responses of the concrete base.

The Chinese code of technical regulations for dynamic acceptance for high-speed railways construction (TB10761-2013) has put forward several thresholds for assessing the dynamic responses of the vehicle, track, and subgrade, as shown in Table 2. When the dynamic responses overtake the thresholds, the running safety and stability of high-speed railway will be affected and this means the railway needs to be maintained. According to the thresholds, the limited length of mud pumping can be exceeding 5.04m, the effect of train speed on displacement is not obvious, but when the mud pumping length equals to 6.3m, the displacement will increase with train speed.

The index S of vertical acceleration of slab and concrete base does not change much when compared with the index of slab track. The vertical acceleration of the concrete base exhibits the maximum value of S, followed by the vertical acceleration of the subgrade. When the mud pumping length is 6.3m, the maximum acceleration of slab is about 15 m/s², and the maximum acceleration of the concrete base is about 13 m/s².

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extracted from Figures 5–8. Most limited lengths are more than 6.3 m, which is the maximum mud pumping length in this simulation model. However, the lengths from vertical displacement of rail and slab are 5.23 m and 2 m, respectively. Therefore, the mud pumping length is suggested to be less than 2 m; otherwise, the mud pumping will affect the running quality of the railway.

### 5. Discussion

The amplitudes of vertical accelerations of the car body, the reduction ratio of wheel load, and the wheel-rail contact force do not change much when the random track irregularity exists, but they increase when there is no irregularity, as shown in Figure 5. The most likely reason is that the amplitude of vibration response induced by random irregularity during train running is still higher than the vibration response with increment induced by mud pumping, as shown in Figure 10. As long as the amplitude induced by random irregularity is the highest value, the maximum dynamic response of vehicle will not change with the mud pumping length. Therefore, the dynamic response of the vehicle is mainly determined by the random track irregularity in this simulation model.
but it is worth noting that once the vibration response with increment induced by mud pumping overtakes the previous amplitude, the dynamic responses of the vehicle will undergo a great change when the mud pumping length is increased. Figures 6–8 show that the vibration responses of slab track and subgrade obviously change with mud pumping length even if the random track irregularity exists. This illustrates that the vibration response induced by mud pumping in slab track and subgrade overtakes the amplitude of vibration response induced by random irregularity.

Moreover, the limited mud pumping length is suggested to be less than 2 m in this paper. Although this simulation model aims to predict the vibration response in practice, field tests under mud pumping defect still need to be carried out to verify this limited mud pumping length before it can be used in maintenance works in high-speed railways.

6. Conclusions

A vehicle-slab track-subgrade coupled model is developed based on the multibody simulation principle and the finite element theory to highlight the effect of mud pumping on vibration responses in high-speed railways. As an excitation to this dynamic system, random track irregularity is considered. In order to describe the mud pumping defect, the

![Figure 5](image-url)
Figure 6: Vertical displacement of the slab track: (a) rail; (b) slab; (c) concrete base.

Figure 7: Vertical acceleration of slab track: (a) slab; (b) concrete base.
The contact between the concrete base and subgrade is simulated by a spring-damper system. This model is validated by field test results and other simulation results so that the dynamic responses under both mud pumping state and normal state can be predicted by this model. Accordingly, the following conclusions can be drawn:

(a) The vertical acceleration of car body, the reduction ratio of wheel load, and wheel-rail contact force do not change much with mud pumping lengths, indicating that the dynamic responses of the vehicle are dominated by random track irregularity.

(b) Mud pumping will increase the vibration displacement and acceleration of slab track significantly but decrease the vibration responses of subgrade obviously.

(c) The concrete base is the part most sensitive to mud pumping defect in high-speed railways, so more attention should be paid to the vibration responses of the concrete base in practice when the mud pumping occurs.

(d) The limited mud pumping length is suggested to be less than 2 m, and once the mud pumping length
overtakes this value, the running safety and stability of high-speed railways will be affected.

Data Availability

The data used to support the findings of this study are available from the corresponding author upon request.

Conlicts of Interest

The authors declare that they have no conflicts of interest.

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