

## Research Article

# The Study on the Substrate Effect in the Nanoindentation Experiment of the Hybrid Material

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The nanoindentation (NI) experiment is an effective method to evaluate the micromechanical property of materials. The substrate effect is a nonnegligible factor which could influence the accuracy of the NI experiment result. Large numbers of previous studies have focused on the substrate effect based on the coating/substrate model, whereas the substrate effect in the testing of the hybrid material was rarely involved. The real NI experiment and the numerical simulation method were adopted to reveal the characteristics of the substrate effect in the NI experiment of the hybrid material in this paper, such as the rock or cement material. The peak displacement  $h_{\text{peak}}$  and the residual displacement  $h_{\text{residual}}$  of the indenter, which could obtain directly from the NI experiment and were usually considered as key basic variables to calculated other parameters, were selected as evaluation indexes of the substrate and the hybrid material under the same condition. The lateral boundary stiffness and discontinuous face were considered as main factors that induced this difference, and their effect were analysed, respectively. Young's modulus  $E_s$  and Poisson's ratio  $\mu_s$  of the substrate were selected as the variables in the parametric study, and the relationship between them and the NI experiment result were discussed.

#### 1. Introduction

The nanoindentation experiment (NI experiment) is one of the most powerful techniques for evaluating the micromechanical behaviour of materials related to the mining engineering, such as the rock or the cement material [1–8]. This method records the applied load and the indentation depth during the indenter contacting the target object, such as the thin films and the small volumes of materials, and then the hardness, the elastic modulus, and other mechanical parameters of the material could be computed based on the Oliver–Pharr's method or other methods.

However, the substrate effect is a nonnegligible factor which could seriously affect the NI experiment accuracy [2, 5, 9-17]. For instance, this effect was dramatically shown in one of our experiments. During this experiment, the indentation depths of unhydrated cement granular were extremely different in various substrate materials in the same experiment conditions (the indenter, loading, etc.). The indentation depths in different substrate materials ranged from 71 to 500 nm under 1 mN load. The detail of this experiment was introduced in Section 2.

This huge difference reveals the dramatically substrate effect in the NI experiment, and some studies have already focused on this inevitable influence of the substrate. Various potentially relevant factors have been selected as study objects. The indentation depth is considered as a directly factor which is closely related to substrate effect, because the indentation depth could be obtained in NI experiment directly [3, 13, 18]. As a rule of thumb, deep indentation depth could be ignored when the relative indentation depth (RID, the penetration divided by the coating thickness) is less than 0.1 [3, 13]. This RID value is not stringent enough under different coating/substrate combinations, indenter shape, indenter size, surface roughness, and so on [3, 13, 19, 20].

The yield stress and the elastic modulus ratio between the coating and substrate, that is,  $E_c/E_s$ , are considered as prime parameters, which could influence the RID values [13, 15]. When  $\sigma_{yc}/\sigma_{ys} < 10$ , the RID <10% could be applicable, and RID value should be less than 5% when  $\sigma_{yc}/\sigma_{ys} \ge 10$  and  $E_c/E_s > 0.1$  [15].

Previous studies have obtained profound comprehension of substrate effect; however, accurate evaluation of substrate effect in the hybrid material, that is, the rock or the cement material, is still a challenging task. The previous studies mainly focused on coating/substrate model, in which the testing object (coating) covers the substrate material. This model could be credible in laminated composites or coating materials, but it should not be suited in the hybrid material. In the hybrid material, the testing object is usually embedded in the mixed material, and the mechanic response is inevitably influenced by surrounding materials.

This paper aimed to gain an in-depth understanding of the substrate effect in the NI experiment of the hybrid material. The basic mechanical properties (Young's modulus and Poisson's ratio) of substrate, which were usually considered as prime effect factors [13, 15], were selected as study parameters, and the numerical simulation method was selected. With 27 groups of numerical experiments, the substrate properties' influence in hybrid material on the NI experiment was illustrated by analysing the peak displacement ( $h_{peak}$ ) and residual displacement ( $h_{residual}$ ) of the indenter under the same loading, which were usually considered as key direct data of NI experiment results [3, 10, 19, 21, 22].

#### 2. Experiment

To reveal the substrate effect in the hybrid material, a series of experiments were conducted with the NI technology. The hybrid material was selected as the hardening cement, and the tested object was selected as the unhydrated cement granular, which was unavoidable and existed in the hardening cement. Besides, an epoxy resin sample, which contained unhydrated cement granular, was also conducted as the limiting case.

The hardening cement was made up of the Portland cement P.II 42.5 in this experiment, and its chemical constitutions are listed in Table 1. The water-cement ratio of cement was set as 0.4 in all cement samples. The adhesive strength of epoxy resin is 6Mpa. The detailed test process is as follows.

2.1. The Sample preparation. The epoxy sample is prepared as follows. The cement particles greater than  $80\mu$ m were selected using cement sifter and then dealt with the drying oven for 2 hours at 105°C. The dried selected cement particles were mixed with epoxy resin on a mass ratio of 3 : 1 and then curing this mixture for 10 days before test.

The cement samples are prepared as follows. The cement with a water-to-cement ratio of 0.4 was cast into cylinder specimens (10 mm diameter) and then was cured in a sealed and saturated moisture environment at  $20 \pm 2^{\circ}$ C for 1 day, 28 days, and 60 days, respectively.

2.2. Polishing Procedures. Firstly, samples used in this experiment were cut to 10 mm height. Secondly, samples were polished on silicon carbide papers down till 4000 grades and then polished using charoset or flannel polishing pad to obtain a smooth surface at both ends. The tilt angle of two ends of planes is less than on degree, measured by vernier. The ethanol is used to clean the samples during polishing process.

2.3. Experimental Methods. The type of indenter adopted the common Berkovich indenter in all experiments. The NI depth and load were recorded during the test. The maximum load is set as  $1Mn(P_{max} = 1mN)$ , and the loading shape is set as trapezoidal defined by 10 s loading time, 5 s holding time, and 10 s unloading time.

Following the experiment procedure mentioned above, the typical load-depth curves for respective test conditions are shown in Figure 1. Two key points of curves were selected as study indicators: the peak depth  $h_{\text{peak}}$  (peak indenter displacement) and the residual displacement  $h_{\text{residual}}$  of indenter after unloading. This is because  $h_{\text{peak}}$  and  $h_{\text{residual}}$  were generally considered as the key parameters, which could be obtained directly in the NI experiment, to calculate mechanical parameters of material according to Oliver–Pharr's model or other methods. In other words,  $h_{\text{peak}}$  and  $h_{\text{residual}}$  would differ under different substrates if the substrate effect existed under the same loading.

The strength of various substrates and the corresponding NI results is presented in Table 2. The strength of cement cases referred to the compressive strength, and the bonding strength was used in the epoxy resin case.

The results show the peak indenter displacement in tests ranges from 71 to 94 nm in substrate of cement and more than 500 nm in substrate of epoxy resin. The substrate's property has a significant effect on the test result. The higher the strength of the substrate, the smaller the peak indenter displacement at the same peaking load. In other words, the softer substrate results in higher peak indenter displacement. The residual displacement has more complex relationship with the substrate strength. When the substrate strength is lower (i.e., epoxy resin), the residual displacement is much greater than the higher strength substrate cases. When the substrate strength increases, the residual displacement becomes smaller and seems to present a fluctuating tendency  $(58.60 \text{ nm} \rightarrow 65.18 \text{ nm} \rightarrow 30.88 \text{ nm})$ . It could be referred that the overall relationship between the residual displacement and the strength is negative correlation, but when the strength is relatively higher, fluctuation will appear.

#### 3. Numerical Simulation

The conclusion, which has been obtained in Section 2, is relatively rough and unconvincing limiting to the NI experiment number. To obtain a clear understanding of the substrate effect in the hybrid material, numerical investigations were conducted with FEM by considering various substrates with different Young's modules and Poisson's rations in numerical models of the hybrid material or

coating/substrate combination, respectively. As a widely used method, the FEM method could well reflect the deformation and mechanical law of various type of materials and structure, with lower economic and time costing. In this paper, a general-purpose FEM package ABAQUS was used.

1-day HCP

11.44

94.38

58.60

Embedded condition

Strength (MPa)

 $h_{\text{peak}}$  (nm)  $h_{\rm residual}$  (nm)

The substrate effect was reflected by the difference of indentation depth ( $h_{\text{peak}}$  and  $h_{\text{residual}}$ ) under the same load [12, 15]. Three series of numerical experiments were designed to investigate substrate effect on the NI experiment, as shown in Table 3. Series 1 and series 2 focused on the influence of Young's modules and Poisson's rations of the substrate in the hybrid material. Series 3 considered to reveal

the difference between the hybrid material and the coating/ substrate combination using a coating/substrate model.

60-day HCP

68.54

71.83

30.88

A simplified plane model, using the necessary axial symmetry conditions, was adopted, in both the model of the hybrid material and coating/substrate combination, to avoid long time costing and decrease convergence difficulties [3, 11, 15, 19, 21, 23, 24]. Previous studies show that the result difference between the 2D model and 3D model is within a few percent in the nanoindentation simulation, and this simplification usually has an accurately indication of the reality [13].

The analysis domain consisted of three parts, the indenter, the testing material, and the substrate (see Figure 2).

1000 Embedded in 1-Day HCP 1000 Embedded in 28-Day HCP 800 800 Load  $(\mu N)$ Load  $(\mu N)$ 600 600 400 400 200 200 0 0 0 20 40 60 80 100 0 10 20 30 40 50 60 70 80 Depth (nm) Depth (nm) (a) (b) Embedded in 60-Day HCP Embedded in epoxy 1000 1000 800 800 Load  $(\mu N)$ Load  $(\mu N)$ 600 600 400 400 200 200 0 0 200 10 20 30 40 50 70 0 100 300 400 500 600 0 60 Depth (nm) Depth (nm) (c) (d)

FIGURE 1: Typical load-depth curves of cement particles.

TABLE 2: NI results of cement particles under different embedded conditions.

28-day HCP

67.73

93.14

65.18

TABLE 1: Chemical compositions of cement (in mass percent).  $K_2O$ 

0.64

CaO

66.5

TiO<sub>2</sub>

0.18

MnO

0.08

Fe<sub>2</sub>O<sub>3</sub>

2.75

SO

1.85

SiO<sub>2</sub>

21.4

MgO

1.09

 $Al_2O$ 

3.91

Na<sub>2</sub>O

0.29



SrO

0.06

Epoxy resin

6

589.14

424.21

Series	Case	Substrate		1. (	1 ( )
		$E_s$ (GPa)	$\mu_s$	$n_{\text{peak}}$ (nm)	<i>n</i> <sub>residual</sub> (nm)
	al	1	0.2	1257.99	218.67
	a2	2	0.2	735.36	174.80
	a3	3	0.2	556.39	167.40
	a4	4	0.2	465.67	159.62
	a5	5	0.2	410.71	155.22
	a6	6	0.2	373.81	152.45
	a7	7	0.2	347.28	150.94
	a8	8	0.2	327.26	146.66
	a9	9	0.2	311.91	149.94↑
1 (the hybrid material model)	a10	10	0.2	299.05	146.08↓
	a11	20	0.2	241.55	149.59↑
	a12	30	0.2	221.86	154.30
	a13	40	0.2	211.85	153.24↓
	a14	50	0.2	205.77	157.12
	a15	60	0.2	201.72	150.29
	a16	70	0.2	198.81	153.45↑
	a17	80	0.2	196.61	154.91
	a18	90	0.2	194.89	153.92↓
	a19	100	0.2	193.51	156.09↑
	b1	50	0.05	204.594	150.480
2 (the hybrid material model)	b2	50	0.10	204.999	150.649
	b3	50	0.15	205.389	155.587
	b4	50	0.20	205.765	157.122
	b5	50	0.25	206.129	156.584↓
	b6	50	0.30	206.479	151.733
	b7	50	0.35	206.815	152.096↑
	b8	50	0.40	207.138	152.114
3 (the coating/substrate model)	c1 (vs a1)	1	0.2	1171.84	164.906
	c2 (vs a14)	50	0.2	210.702	158.043
	c3 (vs a19)	100	0.2	197.13	158.862
	c4 (vs b1)	50	0.05	210.693	158.286
	c5 (vs b8)	50	0.4	210.721	153.688

TABLE 3: Design of the numerical experiment and computation results.

In the hybrid material model, the substrate was  $30 \,\mu\text{m} \times 30 \,\mu\text{m}$ , and the testing target was  $5 \,\mu\text{m} \times 5 \,\mu\text{m}$ . In the model of coat/combination, the substrate was  $25 \,\mu\text{m} \times 30 \,\mu\text{m}$ , and the testing target was  $5 \,\mu\text{m} \times 30 \,\mu\text{m}$ . The testing material (or the coating) was assumed to be elastic plastic, with Young's modulus  $E_t = 50$ GPa, Poisson's ratio  $\mu_t = 0.2$ , and plastic yield strength  $\sigma_s = 300$ MPa. The substrate was assumed to be linearly elastic with different Young's modulus and Poisson's ratio (see Table 3).

Although a Berkovich indenter was used in real tests, previous studies have rarely constructed the indenter model as the Berkovich shape due to the complicated element mesh division and stress concentration. Referring to the relevant literatures [5, 9, 11, 13, 15, 21, 24], the indenter was modeled as a cone with a rounded tip in this paper. The half-included angle of the cone ( $\alpha$ ) was 70.3°, whereas the tip radius (R) was 100 nm. The indenter was assumed as a rigid body, since otherwise it would be difficult to distinguish the influence of the indenter and the substrate material on the simulation result [5, 11, 19, 21]. An investigating point was set on the rigid reference point of indenter, which could monitor the

indentation depth and corresponding force. This point could output the force and displacement of indenter of every computing increment in the simulation.

The contact between the indenter and the testing material, and the contact between testing material and substrate were both modeled using the "frictionless" contact option. This option allowed frictionless sliding between two surfaces, and this simplification could satisfy the simulation's accuracy [3]. The bottom boundary of the model was fixed in the vertical direction, and the left side boundary of the model was fixed in the horizontal direction.

The mesh elements near the contact area were refined so that the deformation and stress gradients could be accurately described. The mesh became progressively coarser at distances further away from the main deformation area. The 2D numerical mesh and boundary conditions are illustrated in Figure 2.

The computation process was divided into two stages. At the first stage, a vertical load of  $500\mu$ N was gradually applied on the rigid reference point of indenter. During this process, the testing material (or the coating) deformation transferred from elastic to plastic, and the substrate significantly deformed correspondingly. With dozens of iterations, a strict equilibrium was achieved. In the second stage, the load which was applied on the rigid reference point was designed to decrease to zero. In this process, the elastic deformation of the system gradually recovered, whereas the plastic deformation was retained.

#### 4. Results and Discussion

4.1. Characteristics of the Hybrid Material Substrate Effect. Series 3 and relevant cases of series 1 and 2 (cases a1, a14, a19, b1, and b8) are selected to be analysed in this section. The substrate's property of cases in series 3 is the same as relevant cases in series 1 and 2, but the numerical model was different. The characteristics of the hybrid material are revealed by contrastive analysing of the difference between them. Through the cases comparison, two conclusions could be observed.

4.1.1. The Difference of the NI Experiment Results. There is a significant difference of experiment results between the hybrid material and the coating/substrate combination when the substrate has a similar property. In the comparative group of c1 versus a1,  $h_{\text{peak}}$  and  $h_{\text{residual}}$  obtained by the hybrid material model are both bigger than the results obtained by the coating/ substrate combination model, whereas the conclusion is reverse in other comparative groups.

The numerical model's difference between series 3 and relevant cases of series 1 and 2 is mainly the lateral boundary condition of the testing area. In series 3 (coating/substrate combination model), the testing area is actually surrounded by the same material and under the lateral stress derived from the deformation of the same material. In case c1, the lateral stress is derived from the coating material with Young's modulus  $E_t = 50$ GPa, whereas the lateral stress is provided by the substrate with Young's modulus  $E_s = 1$ GPa in the comparative case a1. The low lateral stiffness leads to the bigger strain in the lateral direction and the bigger displacement in the loading direction. As the strength of the substrate increases, the lateral substrate of relevant cases in series 2 and 3 could provide a "harder" boundary than the coating/substrate combination cases in series 3 ( $E_s \ge 50$ GPa in cases a14, a19, b1, and b8), and the indenter displacement is smaller correspondingly in hybrid material models. Only Young's modulus of the substrate  $E_s$  is considered in the above analysis; that is because Poisson's ratio  $\mu_s$  has a little effect on the experiment result from series 2, and the NI experiment result difference mainly comes from Young's modulus in this paper study.

4.1.2. The Characteristic of Stress Distribution. The discontinuous face existed in the model results in the discontinuous of stress distribution and induces stress concentration around the junction of discontinuous faces in the hybrid material model.

In order to reveal the Mises stress distribution pattern around the discontinuous face, 22 measurement points were designed near the interface. The positions of points are shown in Figure 3, and the Mises stress of each point could be seen in Table 4. Generally speaking, the stress of the testing area is higher than the near position of substrate (the stress difference value is positive), excluding the area of bottom corner of the testing area where the stress concentration occurs. The discontinuous face decreases the stress transfer significantly. Besides that, an interesting finding was revealed. The stress difference between both sides of the discontinuous is generally gradually decreased in the horizontal direction along with the distance to the indenter increasing, whereas it fluctuated in the vertical direction, excluding the area of stress concentration.

4.2. Effect of Substrate Young's Modulus in the Hybrid Material. To examine the effect of Young's modulus on the NI experiment, 19 cases with Young's modulus ranging from 1 GPa to 100 GPa were designed and simulated (cases a1–a19). The calculated curves of force versus indentation depth are shown in Figure 4, and the curve shape is similar to the testing result in Section 2. The peak displacement ( $h_{\text{peak}}$ ) and the residual displacement ( $h_{\text{residual}}$ ) of the indenter were selected as study indicators.  $h_{\text{peak}}$  and  $h_{\text{residual}}$  of each group are list in Table 3, and the curve of indenter displacement versus substrate Young's modulus is presented in Figure 5.

As shown in Table 3 and Figure 5, the  $h_{\text{peak}}$  value varies from 1257.99 nm to 193.51 nm, with  $E_s$  increasing from 1 GPa to 100 GPa. The decreasing trend of  $h_{\text{peak}}$  is markedly different before and after a critical point where  $E_s$  is approximately equal to 15 GPa. Before the critical point,  $h_{\text{peak}}$ drops substantially from 1257.99 nm (case a1) to 299.05 nm (case a10), with  $E_s$  just increasing from 1 GPa to 10 GPa, only increment of 9 GPa, whereas the decreasing value is only 105.54 nm, with  $E_s$  rising from 10 GPa to 100 GPa. The decreasing trend of the curve is significantly slowed down. The bigger  $E_s$ , the smaller decreasing rate. It could be concluded that  $h_{\text{peak}}$  will be nearly constant when  $E_s$  is large enough. In other words, the indentation experiment result has a more sensitive to the lower  $E_s$ .

Furthermore, the residual displacement curve shown in Figure 5 is relatively flatter. The  $h_{\text{residual}}$  value varies from 218.67 nm (maximum value, case a1) to 146.08 nm (minimum value, case a10), while  $E_s$  is less than 8 GPa (case a8), and  $h_{\text{residual}}$  is decreasing from 218.67 nm to 146.66 nm, correspondingly. Then, the curve starts to fluctuate with general increasing trend, following  $E_s$  further increase, but the increment is only 9.43 nm (compare case a8 to a19). Based on the observation, the relatively lower  $E_s$  has a nonnegligible impact on  $h_{\text{residual}}$ , when the plastic property of testing material is considered. The influence of the higher  $E_s$  is slightly poor regularity but generally enlarges the residual displacement.

The conclusion obtained by numerical experiment is much similar to the phenomenon reflected by experiments in Section 2.

4.3. Effect of Substrate Poisson's Ratio. Eight cases with various  $\mu_s$  (Poisson's ratio of the substrate) of 0.05, 0.1, 0.15, 0.2, 0.25, 0.3, 0.35, and 0.4 (cases b1–b8) were considered to



FIGURE 2: Numerical models used in the simulation. R = 100 nm and  $\alpha = 70.3^{\circ}$ .

examine the effect of Poisson's ratio of the substrate on the NI experiment.  $h_{\text{peak}}$  and  $h_{\text{residual}}$  for eight cases are compared in Table 3, and the calculated curves of the force versus indentation depth are shown in Figure 6. The relationship between the indenter displacement and  $\mu_s$  are shown in Figure 7.

It is obvious that with the increasing of  $\mu_s$ ,  $h_{\text{peak}}$  increases correspondingly.  $h_{\text{peak}}$  has grown from 204.594 nm to 207.138 nm, along with  $\mu_s$  increasing from 0.05 to 0.4. The increment of 2.544 nm is much less compared with the variation induced by Young's modulus change.

Compared to the positive correlation between  $\mu_s$  and  $h_{\text{peak}}$ , the relationship of  $\mu_s$  and  $h_{\text{residual}}$  is relatively complex.

When  $\mu_s$  is less than 0.2, the  $h_{\text{residual}}$  increases along with  $\mu_s$  increasing, and the growth rate is rather slow at the initial stage and then grows rapidly but finally slows down, whereas  $\mu_s$  is bigger than 0.2, along with  $\mu_s$  increasing;  $h_{\text{residual}}$  decreases slowly firstly, then the descent speed increases, and finally fluctuating rises. It is interesting that the maximum  $h_{\text{residual}}$  of eight cases is obtained when the substrate Poisson's ratio  $\mu_s$  is equal to the testing material, 0.2 in this paper.

Based on the analysis above, it indicates that the sensitivity of the NI experiment results in the substrate Young's modulus  $E_s$  being much stronger than the substrate Poisson's ratio  $\mu_s$  in the NI experiment.  $h_{\text{residual}}$  is much more influenced by the substrate Poisson's ratio  $E_s$  than  $h_{\text{peak}}$ .













FIGURE 3: Mises stress distribution and monitor point positions of typical cases.

Case	Interface	Point 1	Mises stress 1 (MPa)	Point 2	Mises stress 2 (MPa)	Stress difference (MPa)
al		V11	47.308	V21	35.089	12.219
		V12	36.909	V22	32.581	4.328↓
	Vertical face	V13	44.173	V23	29.035	15.138↑
		V14	107.237	V24	38.401	68.836
		V15	194.566	V25	74.681	119.885
	Horizontal face	H11	269.114	H21	57.006	212.109
		H12	203.616	H22	59.392	144.224
		H13	139.720	H23	66.652	73.068
		H14	111.393	H24	90.475	20.918
		H15	188.662	H25	134.802	53.860↑
a14		V11	18.991	V21	12.434	6.557
		V12	28.005	V22	20.834	7.171
	Vertical face	V13	45.095	V23	33.645	11.450
		V14	75.659	V24	52.698	22.961
		V15	124.927	V25	106.439	18.488↓
		H11	163.602	H21	103.427	60.175
	Horizontal face	H12	117.762	H22	89.125	28.637
		H13	78.770	H23	76.849	1.921
		H14	79.559	H24	79.814	-0.255
		H15	106.298	H25	107.846	-1.548
a19	Vertical face	V11	17.054	V21	10.097	6.958
		V12	29.651	V22	21.459	8.1924↑
		V13	42.620	V23	36.959	5.661↓
		V14	61.786	V24	54.905	6.881↑
		V15	97.813	V25	98.184	-0.371↓
	Horizontal face	H11	159.945	H21	113.038	46.907
		H12	115.197	H22	94.686	20.511
		H13	75.231	H23	76.929	-1.698
		H14	70.179	H24	75.810	-5.631
		H15	85.837	H25	100.116	-14.279

TABLE 4: Mises stress difference for interface.



FIGURE 4: The load-depth curves of series 1.



FIGURE 5: The relationship between displacement and  $E_s$ .



FIGURE 6: The load-depth curves of series 2.



FIGURE 7: The relationship between displacement and  $\mu_s$ .

In this paper, a NI experiment was introduced firstly; this experiment shows the substrate effect in the hybrid material. Then the series of numerical experiments were carried out to explore the substrate effect in the nanoindentation experiment of the hybrid material. The results shows that the numerical experiments present are consistent with experiments. The following are mainly conclusions obtained in this paper.

It was a clear difference of the NI experiment result between the coating/substrate combination and hybrid material under the same experiment condition. The difference is mainly derived from two factors: the lateral stiffness and the discontinuous face. In the coating/substrate model, the lateral stress is actually provided by the coating material in which the mechanical property is identical, whereas the substrate material offers the lateral boundary in the hybrid material model. When Young's modulus of substrate  $E_s$  is greater than the testing material, the boundary would be "harder," and the indenter displacement would be smaller correspondingly.

The influence of the discontinuous interface between the testing area and the substrate was also observed through the stress contour. The discontinuous face could distinctly weaken the stress transfer; that is, the Mises stress of the testing material is generally greater than the neighbor point in the substrate. The discontinuous face also causes stress concentration, which always occurs near the bottom corner of the testing material where two interfaces are intersected. Furthermore, the stress difference of the interface generally gradually decreases in the horizontal direction, whereas it fluctuates in the vertical direction, excluding the area of stress concentration.

Detailed parametric studies regarding Young's modulus  $E_s$  and Poisson's ratio  $\mu_s$  of the substrate were conducted. Based on the results, Young's modulus of the substrate  $E_s$  has a substantial influence on the NI experiment. In general, the indenter peak displacement  $h_{\text{peak}}$  decreases with  $E_s$  increasing, but the decreasing rate is different. When  $E_s$  is small, less than 10 GPa in this paper,  $h_{\text{peak}}$  descends rapidly. When  $E_s$  further increases,  $h_{\text{peak}}$  curve gradually tends to flat.

As  $E_s$  is less than 8 GPa, the residual displacement  $h_{\text{residual}}$  decreases along with the substrate Young's modulus increasing, similar to  $h_{\text{peak}}$ , but reduced value is only 72.01 nm, which is far less than the  $h_{\text{peak}}$  change. As  $E_s$  further increased, the curve starts to increase in fluctuation, but the increment is very small.

It could be concluded that the NI experiment result is more sensitive to smaller  $E_s$ , including  $h_{\text{peak}}$  and  $h_{\text{residual}}$ .

Comparatively, Poisson's ration of substrate  $\mu_s$  has less impact on the NI experiment.  $h_{\text{peak}}$  and  $h_{\text{residual}}$  only vary in 5 nm when  $\mu_s$  increases from 0.05 to 0.4. By further analysis,  $h_{\text{peak}}$  is positively associated with  $\mu_s$ , but there is no obvious correlation between  $h_{\text{residual}}$  and  $\mu_s$ . The closer  $\mu_s$  is to the testing materials, the greater  $h_{\text{residual}}$  is. When  $\mu_s$  is equal to the testing materials,  $h_{\text{residual}}$  reaches its maximum value.

#### **Data Availability**

The data used in this study have been already posted in this paper.

#### **Conflicts of Interest**

The authors declare that there are no conflicts of interest regarding the publication of this study.

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