

## Research Article

# Incidence and Survival Changes in Patients with Esophageal Adenocarcinoma during 1984–2013

Zhang Haiyu,<sup>1</sup> Pei Xiaofeng <sup>(b)</sup>,<sup>2</sup> Mo Xiangqiong <sup>(b)</sup>,<sup>3</sup> Qiu Junlan <sup>(b)</sup>,<sup>4</sup> Zheng Xiaobin <sup>(b)</sup>,<sup>5</sup> Wang Shuncong <sup>(b)</sup>,<sup>6</sup> Sun Huanhuan <sup>(b)</sup>,<sup>1</sup> and Ma Haiqing <sup>(b)</sup>

<sup>1</sup>Department of Oncology, The Fifth Affiliated Hospital of Sun Yat-sen University, Zhuhai, Guangdong 519000, China
<sup>2</sup>Department of Thoracic Oncology, The Fifth Affiliated Hospital of Sun Yat-sen University, Zhuhai, Guangdong 519000, China
<sup>3</sup>Department of Gastrointestinal Surgery, The Fifth Affiliated Hospital of Sun Yat-sen University, Zhuhai, Guangdong 519000, China
<sup>4</sup>Department of Anesthesiology and Perioperative Medicine,

Department of Anesthesiology and Perioperative Medicine,

The Affiliated Suzhou Hospital (West District) of Nanjing Medical University, Suzhou Science and Technology Town Hospital, Suzhou 215153, China

<sup>5</sup>Department of Respiratory Medicine, The Fifth Affiliated Hospital of Sun Yat-Sen University, Zhuhai, Guangdong 519000, China

<sup>6</sup>Theragnostic Laboratory, Biomedical Sciences Group, KU Leuven, Leuven 3000, Belgium

Correspondence should be addressed to Sun Huanhuan; sunhuanh3@mail.sysu.edu.cn and Ma Haiqing; mahaiqing@mail.sysu.edu.cn

Received 6 July 2019; Accepted 28 August 2019; Published 12 December 2019

Guest Editor: Bin Duan

Copyright © 2019 Zhang Haiyu et al. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

*Purpose.* The morbidity of esophageal adenocarcinoma (EAC) has significantly increased in Western countries. We aimed to identify trends in incidence and survival in patients with EAC in the recent 30 years and then analyzed potential risk factors, including race, sex, age, and socioeconomic status (SES). *Methods.* All data were collected from the Surveillance, Epidemiology, and End Results or SEER database. Kaplan–Meier analysis and the Cox proportional hazards model were conducted to compare the differences in survival between variables, including sex, race, age, and SES, as well as to evaluate the association of these factors with prognosis. *Results.* A total of 16,474 patients with EAC were identified from 1984 to 2013 in the United States. Overall incidence increased every 10 years from 1.8 to 3.1 to 3.9 per 100. Overall survival gradually improved (p < 0.0001), which was evident in male patients ((hazard ratio (HR) = 1.111; 95% confidence interval (CI) (1.07, 1.15)); however, the 5-year survival rate remained low (20.1%). The Cox proportional hazards model identified old age, black ethnicity, and medium/high poverty as risk factors for EAC (HR = 1.018; 95% CI (1.017, 1.019; HR = 1.240, 95% CI (1.151, 1.336), HR = 1.000, 95% CI (1.000, 1.000); respectively). *Conclusions.* The incidence of EAC in the United States increased over time. Survival advantage was observed in white patients and patients in the low-poverty group. Sex was an independent prognostic factor for EAC, but this finding has to be confirmed by further research.

## 1. Introduction

The predominant histologic type of esophageal cancer globally is squamous cell carcinoma; however, in Western countries, esophageal adenocarcinoma (EAC) is the most prominent subtype [1, 2]. Approximately 17,650 new patients (13,750 males and 3,900 females) are predicted to receive the diagnosis of esophageal cancer, and 16,080 are predicted to die from this disease in the United States in 2019 [3].

In addition, EAC is a particularly fatal cancer with a poor 5-year survival rate of less than 20% [1, 4] despite advances in EAC therapies, such as endoscopic resection, radiotherapy, concurrent neoadjuvant chemoradiotherapy (NCRT) [5], and cytotoxic chemotherapy [1]. Therefore, we should not only elucidate the pathogenesis and molecular mechanisms of EAC but also analyze clinical data to strategically improve clinical management and contemporaneously enhance presymptomatic screening. However, previous studies have analyzed the prevalence and prognosis of EAC over a short period only rather than an extended period. Some studies have only examined the outcomes of specific therapies, while others have evaluated the influence of race or sex on survival for esophageal cancer [6, 7]. Moreover, the importance of disparities in race and socioeconomic status (SES) in the healthcare system has drawn increasing attention from politicians and policy deciders in the United States. Thus, we explored the longterm trends in incidence and survival from 1984 to 2013. The aim was to evaluate the effect of race, sex, age, and SES on the prognosis of EAC by analyzing the clinical data of patients diagnosed with EAC throughout the United States, as determined from the SEER database.

## 2. Materials and Methods

2.1. Data Resources. A total of 16,474 patients with EAC from 1984 to 2013 were identified from the SEER database (version 8.3.5). Histologic types of EAC were determined in accordance with the International Classification of Diseases for Oncology and histologic codes (8140–8575). We excluded the patients younger than 20 years because of their extremely low incidence (2) and those diagnosed by autopsy or as stated on a death certificate.

We categorized all patients by period: 1984–1993, 1994–2003, and 2004–2013. Patient cases were also classified by sex, age, race, and SES. The median age at diagnosis was 65 years; accordingly, we subdivided age into five groups (20–44, 45–54, 55–64, 65–74, and 75+ years). The SES level was defined as in previous publications and then divided into three levels on the basis of the county poverty rate [8]. However, we integrated the medium- and high-poverty groups into medium/high poverty because of the small sample size.

2.2. Statistical Analyses. The two-tailed logrank test was used to access the difference in survival, using the Kaplan–Meier curves generated by the GraphPad Prism 5.0. A two-tailed p value < 0.05 was considered as statistically significant. The Cox proportional hazard univariate and multivariate model were used to identify survival risk factors, including sex, age, race, and SES for the entire cohort.

#### 3. Results

3.1. Trends in Prevalence of EAC over Three Decades. The overall incidence rate and the number of 16,474 patients diagnosed with EAC increased each decade over time, from 1.8 to 3.1 and 3.9 per 100,000 and from 2,715 to 5,528 and 8,231 respectively. Moreover, the incidence significantly increased with age, particularly in the age groups 65–74 and over 75 (from 6.0 in 1984–1993 to 10.4 in 1994–2003 to 12.6 in 2004–2013 and from 6.2 in 1984–1993 to 11.4 in 1994–2003 to 14.9 in 2004–2013, respectively) (Figure 1(a), S1 Table). Figures 1(c) and 1(d) show that compared with females, the incidence of males with EAC show a prominently higher proportion (3.5 vs. 0.5 per 100,000 in 1984–1993, 6.1 vs. 0.8 in 1994–2003, and 7.3 vs. 1.0 in 2004–2013, i.e.,

approximately 7.3-fold higher on the average; S1 Table). In addition, the overall incidence in both males and females increased over the three periods studied; the gap between them increasingly widened, which was more evidently observed in males than in females. Figure 1(d) also shows that the number of male patients is significantly larger than that of female patients.

3.2. Incidence of EAC in Different Ethnicities and SES Groups. A continual increase in the incidence per 100,000 patients continually increased in all racial groups over time, with whites showing a markedly higher incidence rate than that of blacks and other ethnicities (from 2.0 to 3.7 to 4.6, respectively). However, the incidence of blacks slightly increased (from 0.5 to 0.7 to 1.0, respectively), thereby widening the incidence gap between whites and blacks (Figures 1(e) and 1(f), S1 Table).

A growing incidence rate for the entire period was found in all SES groups, with the highest rate recorded in the lowpoverty group (from 2.1 to 3.5 to 4.1, respectively). Patients in the high-poverty group showed the lowest incidence of esophageal adenocarcinoma from 1.0 to 2.6 to 3.3 (Figures 1(g) and 1(h), Table S1).

3.3. Survival for EAC Patients over Three Decades. As shown in Figure 2(b), prognosis is better in the recent decade than in the previous ones. This observation holds not only for the general population but also for the groups stratified by age, as determined from the Kaplan–Meier curve and the logrank test (all p < 0.05). The median survival for esophageal adenocarcinoma significantly improved each decade from 9 to 11 to 13 months. The 1-year relative survival rate (RSR) significantly increased from 39.2% to 45.8% to 50.8% over the three decades studied. Considering long-term survival in the study, we analyzed the 3-year survival rate, which increased from 14.7% to 22.1% to 25.8%, and the 5-year survival rates, which increased from 10.9% to 16.8% to 20.1%. This increasing tendency in overall survival over the periods studied was obtained after a 5-year follow-up (Table 1).

The Kaplan–Meier survival curve suggests that compared with the females, the males exhibit higher survival; however, this finding applies only for the total population and the 20–44 and over-75 age groups and not for others (Figure 3(b), p < 0.05). Based on the median survival over time increasing from 9 to 11 to 13 months, we chose a 12-month RSR as an indicator in the analysis of the differences in short-term survival between males and females. The outcome showed slight improvement over three decades in both males and females. However, compared with the females, the males showed a survival advantage only in total population (40.3% vs. 32.6% in 1984–1993, 46.6% vs. 40.8% in 1994–2003, and 51.5% vs. 46.5% in 2004–2013; Figure 3(a), S2 Table) and in the over-75 age group. Similar trends were observed in the 6- and 18-month RSRs (S3 Table and S1 Figure).

Cox models were conducted to evaluate the prognostic values of sex, race, SES, and age for EAC over the study periods. Both univariate and multivariate analyses revealed that all variables, except for sex, could serve as potential predictors of

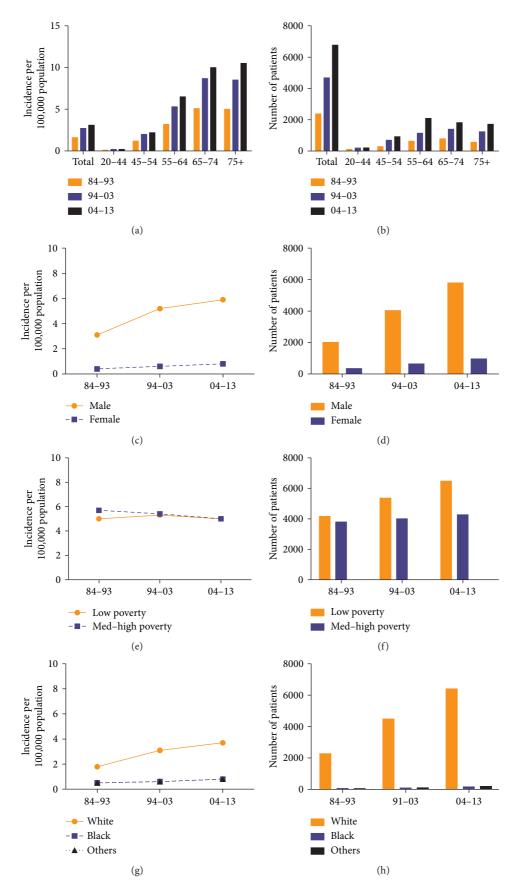


FIGURE 1: Summary incidences of patients diagnosed with EAC between 1984 and 2013 at the original nine SEER sites. Incidence (a) and number (b) of EAC cases are shown by age group (total and ages 20–44, 45–54, 55–64, 64–74, and 75+ years) and calendar period. Incidence (c), (e), (g) and number (d), (f), (h) of EAC cases are grouped by sex, race, and SES, respectively.

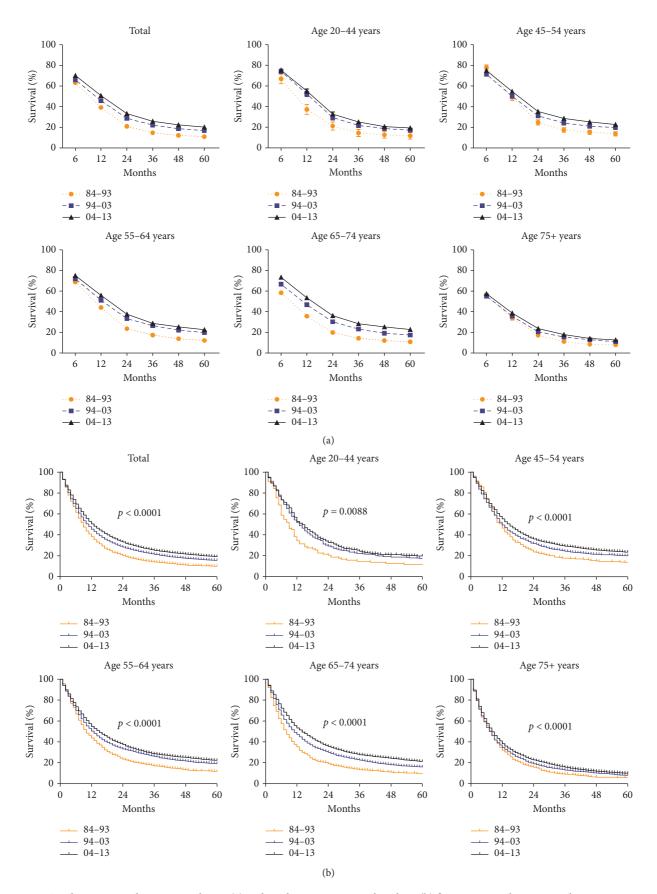


FIGURE 2: Trends in 5-year relative survival rates (a) and Kaplan–Meier survival analysis (b) for patients with EAC at eighteen SEER sites in 1984–1993 (orange), 1994–2003 (blue), and 2004–2013 (black), respectively, according to age group (total and ages 20–44, 45–54, 55–64, 64–74, and 75+ years).

TABLE 1: Relative survival rates of EAC during 1984–1993, 1994–2003, and 2004–2013 at eighteen SEER sites.

Age	Decade			
group	1984-1993	1994-2003	2004-2013	
6-Mo RSR				
A 11	$63.2 \pm 1$		$70.3 \pm 0.4$	
All	(2584)	$66.0 \pm 0.5 \ (9196)^*$	$(18111)^{***}$	
20-44	$66.8 \pm 4.6$	$74.1 \pm 2.2$ (400)	$75.2 \pm 1.9$ (622)	
20-44	(105)	74.1 ± 2.2 (409)	75.3±1.8 (622)	
45-54	$78.0\pm2.4$	71.4 ± 1.2 (1398)*	75.2±0.9 (2550)*	
13-31	(311)		75.2±0.7 (2550)	
55-64	$68.8 \pm 1.8$	$71.9\pm0.9$	75.0±0.6 (5469)*	
00 01	(699)	(2316)***		
65-74	$58.3 \pm 1.7$	$66.6 \pm 0.9$	$73.4 \pm 0.7$	
00 / 1	(852)	(2692)***	(4946)***	
75+	$55.5 \pm 2.1$	55.0 ± 1.1 (2381)	57.5 ± 0.8 (4524)	
	(617)	~ /		
12-Mo RSR				
All	$39.2 \pm 1.0$	$45.8 \pm 0.5^{***}$	$50.8 \pm 0.4^{***}$	
20-44	$37.2 \pm 4.7$	$52.3 \pm 2.5^*$	$55.0 \pm 2.1$	
45-54	$49.0 \pm 2.9$	$50.0 \pm 1.3$	54.8 ± 1.0*	
55-64	$44.0 \pm 1.9$	$51.1 \pm 1.1^*$	$55.9 \pm 0.7^{**}$	
65-74	$35.6 \pm 1.7$	$46.8 \pm 1.0^{***}$	$53.5 \pm 0.8^{***}$	
75+	$33.9\pm2.0$	$35.6 \pm 1.0$	$38.6 \pm 0.8^*$	
24-Mo RSR				
All	$20.9 \pm 0.8$	28.7 ± 0.5***	$33.3 \pm 0.4^{***}$	
20-44	$21.1 \pm 4$	$29.3 \pm 2.3$	$32.7 \pm 2$	
45-54	$24.8 \pm 2.5$	$31.1 \pm 1.2^*$	$35.4 \pm 1^{**}$	
55-64	$23.5 \pm 1.6$	$33.3 \pm 1^{***}$	37.6 ± 0.7**	
65-74	$19.8 \pm 1.4$	$30.2 \pm 0.9^{***}$	$36.1 \pm 0.8^{***}$	
75+	$17.1 \pm 1.7$	$20.5 \pm 0.9$	$23.7 \pm 0.7^{*}$	
36-Mo RSR				
All	$14.7 \pm 0.7$	$22.1 \pm 0.5^{***}$	$25.8 \pm 0.4^{***}$	
20-44	$14.4 \pm 3.4$	$21.7 \pm 2.1$	$25.1 \pm 1.9$	
45-54	$17.4 \pm 2.2$	24.1 ± 1.2*	$28.6 \pm 1^{*}$	
55-64	$17.3 \pm 1.5$	$26.4 \pm 0.9^{***}$	$28.8 \pm 0.7$	
65-74	$14.2 \pm 1.3$	$23.1 \pm 0.9^{***}$	$28.3 \pm 0.8^{***}$	
75+	$11.0 \pm 1.5$	$15.4 \pm 0.9^{*}$	$17.9 \pm 0.7^{*}$	
48-Mo RSR				
All	$12.1 \pm 0.7$	$18.6 \pm 0.4^{***}$	$22.4 \pm 0.4^{***}$	
20-44	$12.5 \pm 3.2$	$18.7 \pm 2$	$20.5 \pm 1.8$	
45-54	$15.2 \pm 2.1$	$21.0 \pm 1.1^{*}$	$25.2 \pm 1^{*}$	
55-64	$13.7 \pm 1.4$	22.1 ± 0.9***	$25.2 \pm 0.7^{*}$	
65-74	$12.0 \pm 1.2$	$19.1 \pm 0.8^{***}$	$25.3 \pm 0.8^{***}$	
75+	$8.3 \pm 1.3$	$12.8 \pm 0.8^{*}$	$14.1 \pm 0.7$	
60-Mo RSR				
All	$10.9 \pm 0.7$	$16.8 \pm 0.4^{***}$	$20.1 \pm 0.4^{***}$	
20-44	$11.6 \pm 3.1$	$17.0 \pm 1.9$	$19.4 \pm 1.8$	
45-54	$13.7 \pm 2$	$19.7 \pm 1.1^*$	$22.7 \pm 1$	
55-64	$12.1 \pm 1.3$	$19.7 \pm 0.9^{***}$	$22.6 \pm 0.7^{*}$	
65-74	$10.7 \pm 1.2$	$17.4 \pm 0.8^{***}$	$22.7 \pm 0.8^{***}$ $12.6 \pm 0.7$	
75+	$7.9 \pm 1.3$	$10.9 \pm 0.8$		

Data are mean  $\pm$  standard error of the mean, with number of patients in parentheses. Mo, month; RSR, relative survival rate; SEM, standard error of the mean. \*p < 0.05, \*\*p < 0.001, and \*\*\*p < 0.0001 for comparisons with the preceding decade.

prognosis. Univariate analysis determined that sex was an independent predictor (HR = 1.111; 95% CI (1.07, 1.15)), whereas multivariate analysis indicated that sex was not associated with prognosis (HR = 1.028; 95% CI (0.99, 1.07); Table 2).

3.4. EAC Survival in Race and SES. Black patients with EAC had worse survival than whites in all populations over three 10-year periods, as verified and confirmed by Kaplan-Meier survival curves and logrank test with p < 0.0001 (Figure 4(b)). This disadvantage for blacks was also found in the 20-44 (p = 0.035), 45-54 (p < 0.001), and 65-74 (p < 0.001) agegroups. Analysis of the 12-month RSR in 1984-1993 showed that black patients had slightly lower RSRs than those of white patients (39.3% vs. 36.2%; S4 Table). This survival advantage for white patients became evident in the second period when the survival rate of whites increased, whereas that of blacks decreased (46.1% vs. 35.6%). However, the survival gap decreased again because the survival advantage significantly increased for black patients in the third period (51.0% for whites vs. 45.6% for blacks; Figure 4(a) and S4 Table). Similar but less pronounced changes were detected in 6- and 18month RSRs for white and black patients (S2 Figure and S5 Table).

When we divided SES into three levels-low, medium, and high poverty-and then stratified the sample by age groups, the sample sizes of the middle and high-poverty groups in each age group were found to be too small, increasing the standard error of the mean. Consequently, we integrated the medium-poverty and high-poverty groups into one: the medium/high-poverty group. Figure 5(b) shows that the patients in the low-poverty group survive better, compared with the patients in the medium/highpoverty group. A slight improvement in the 12-month RSR was observed in both SES groups over the periods studied (from 39.5% to 48.7% to 54.3% for the low-poverty group; from 38.8% to 43.4% to 48.4% for the medium/high-poverty group; Figure 5(a), S6 Table). As shown in Figure 5(a) and the aforementioned statistics, the gap in survival rate between the low-poverty group and the medium/high-poverty group continued to widen over time, increasing the differences between them from 0.7% to 4.7% to 5.9%. Similar increases in survival and disparities in SES were also found in the 6- and 18-month RSRs (S3 Figure and S7 Table). The proportions of disparity in the SES groups varied between whites and blacks: patients in the low-poverty group comprised 63.8% of whites but only 25.6% of blacks and more patients in the medium/high-poverty group were distributed among blacks than whites (74.4% vs. 36.2%, S4 Figure, S8 Table). Moreover, both univariate and multivariate Cox analyses suggested that SES affected the survival of patients with EAC (HR = 1.000, 95% CI (1.000-1.000), Table 2).

#### 4. Discussion

In the general population, the prevalence of EAC continued to accelerate every decade from 1984 to 2013 in the United States. As for survival advantage, the median survival improved every decade from 9 to 11 to 13 months and the 5-year survival rate ultimately exceeded 20% by a marginal percentage (20.1%).

The overall incidence of EAC significantly increased each decade from 1.8 to 3.1 to 3.9 per 100,000 and was predominant in patients older than 65 years. Meanwhile,

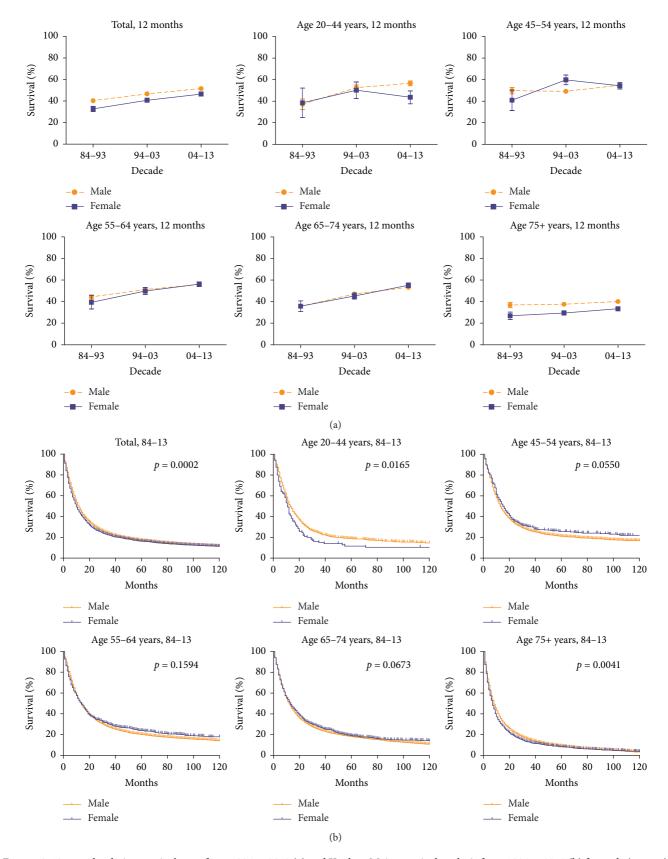


FIGURE 3: 12-month relative survival rates from 1984 to 2013 (a) and Kaplan–Meier survival analysis from 1984 to 2013 (b) for male (orange) and female (blue) with EAC at eighteen SEER sites by age group (total and ages 20–44, 45–54, 55–64, 64–74, and 75+ years).

TABLE 2: Cox regression analysis of survival in patients with EAC from 1984 to 2013.

Variable	Relative risk (95% CI)	p value		
All 1984–2013				
Univariable				
Sex	1.111 (1.072-1.151)	< 0.001		
Age	1.018 (1.017-1.019)	< 0.001		
Race	1.197 (1.112-1.228)	< 0.001		
SES	1.000 (1.000-1.000)	< 0.001		
Multivariate				
Sex	1.028 (0.992-1.065)	0.132		
Age	1.018 (1.017-1.019)	< 0.001		
Race	1.240 (1.151–1.336)	< 0.001		
SES	1.000 (1.000-1.000)	< 0.001		
All 1984–1993				
Univariable				
Sex	1.159 (1.038-1.295)	0.009		
Age	1.016 (1.012-1.019)	< 0.001		
Race	1.135 (0.890-1.447)	0.307		
SES	1.000 (0.9999-1.000)	0.925		
Multivariate				
Sex	1.052 (0.940-1.178)	0.376		
Age	1.015 (1.012–1.019)	< 0.001		
All 1994-2003				
Univariable				
Sex	1.118 (1.053-1.188)	< 0.001		
Age	1.018 (1.017-1.021)	< 0.001		
Race	1.308 (1.153–1.484)	< 0.001		
SES	1.000 (1.000 - 1.000)	< 0.001		
Multivariate				
Sex	1.017 (0.956-1.081)	0.600		
Age	1.019 (1.017–1.021)	< 0.001		
Race	1.313 (1.156–1.492)	< 0.001		
SES	1.000 (1.000-1.000)	< 0.001		
All 2004-2013				
Univariable				
Sex	1.096 (1.045-1.149)	< 0.001		
Age	1.017 (1.016-1.019)	< 0.001		
Race	1.151 (1.043–1.269)	0.005		
SES	1.000 (1.000-1.000)	< 0.001		
Multivariate				
Sex	1.028 (0.980-1.079)	0.251		
Age	1.018 (1.016-1.019)	< 0.001		
Race	1.192 (1.080–1.315)	0.001		
SES	1.000 (1.000-1.000)	< 0.001		

95% CI, 95% confidence interval; SES, socioeconomic status.

compared with females, males were more prone to develop EAC, that is, almost 7.6-fold higher in risk on average over the three decades studied. The incidence gap between them widened over time, with a more evident increase in males than in females. Compared with blacks and other ethnicities, whites were more prone to develop EAC, and the morbidity gap between them widened decade by decade in the 30-year period studied. A continuously growing incidence rate was found in all SES groups during the entire study periods. The highest proportion of morbidity was found in the low-poverty group (from 1.8 to 3.0 to 3.3 per 100,000, respectively).

However, the mechanism underlying the different disparities between gender and race on the prevalence of EAC remains less understood. One hypothesis was that sex steroid

hormones exerted an effect on gender difference in Barrett's esophagus (BE) and EAC prevalence [9]. Genetic variants [10, 11] and other prominent etiological factors encompassing BE (neoplastic precursor lesion of EAC), gastroesophageal reflux disease (GERD) [12, 13], and Helicobacter pylori infection (inverse relation to BE [14] and EAC [15, 16]) played a dominant role in EAC development. Interestingly, it was found that it is abdominal obesity or waist-to-hip ratio (WHR) which are predominantly distributed in white men [17], rather than body mass index (BMI) resulting in hormonal, adipokine, and cytokine alterations and then causing BE and EAC [18]. A case-control study reported that non-Hispanic whites (NHWs) were more likely to have a high WHR and use proton-pump inhibitors (PPIs; a decreased risk of progression to EAC [19]) and hiatal hernia, but less prone to have Helicobacter pylori infection than African Americans (AAs) [20], which might to some extent contributed to the racial disparity in prevalence of EAC. Gender- and race-specific susceptibilities to EAC could be interpreted only when all aforementioned elements were to be comprehensively considered.

Overall survival and 1-, 3-, and 5-year survival for patients with EAC significantly improved in the general population and the over-30 age group. Median survival also improved from 9 to 11 to 13 months. These increments could be attributed to advances in clinical treatment and management of patients with EAC in the past decades, such as the implementation of concurrent NCRT [5, 21] and cytotoxic chemotherapy. However, the 5-year survival rate improved only slightly from 10.9% to 16.8% to 20.1% (slightly more than 20%), indicating an urgent need for further research and development of novel treatment to prevent deterioration and metastasis.

With respect to the survival difference in sex, the 6-, 12-, and 18-month RSRs slightly improved in both males and females, with the males exhibiting survival advantage over women in the total population and the over-75 age group over the three periods studied. However, this survival advantage for males was eliminated when stratification by age was conducted. Kaplan-Meier survival analysis indicated that no difference in survival existed between male and female patients with EAC (p = 0.1367 in 1984–1993; p =0.0152 in 1994–2003; *p* = 0.0174 in 2004–2013; Figure 3). The Cox proportional hazards regression models also verified that the association between sex and prognosis was not relevant for EAC (HR = 1.028; 95% CI (0.99, 1.07)). A previous study confirmed that sex was not a crucial prognostic factor for EAC [7]. However, further research is needed to confirm the survival advantage of males over females.

During the period from 1984 to 1993, the 12-month RSR was higher for white patients than for black patients (39.3% vs. 36.2%; Figure 4(a) and Table S4). This survival superiority for the whites became remarkable with the decrease in survival rate in blacks during the second period (46.1% vs. 35.6%). However, the survival gap was reduced again when the survival rate in blacks increased during the third period (51.0% for whites vs. 45.6% for blacks). The blacks were diagnosed predominantly with squamous carcinoma at an

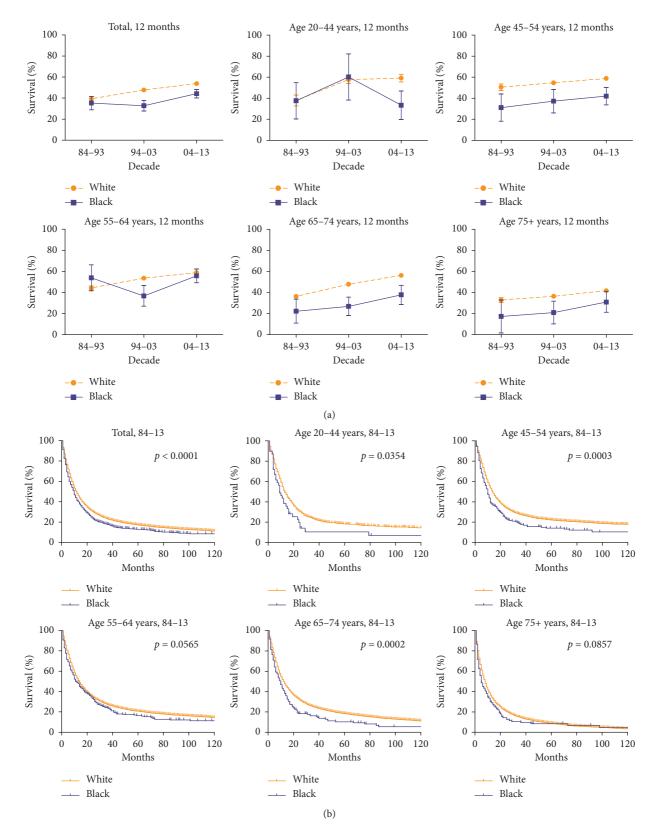


FIGURE 4: 12-month relative survival rates from 1984 to 2013 (a) and Kaplan-Meier survival analysis from 1984 to 2013 (b) for white (orange) and black (blue) with EAC at eighteen SEER sites by age group (total and ages 20-44, 45-54, 55-64, 64-74, and 75+ years).

advanced stage with more comorbidities and were less likely to receive surgical resection which might contribute to poorer survival than that of whites [22, 23]. These reasons could also partly explain the racial inequalities in the survival of EAC. The slight improvement in survival for black and the reduced gap during the third period could be attributable to

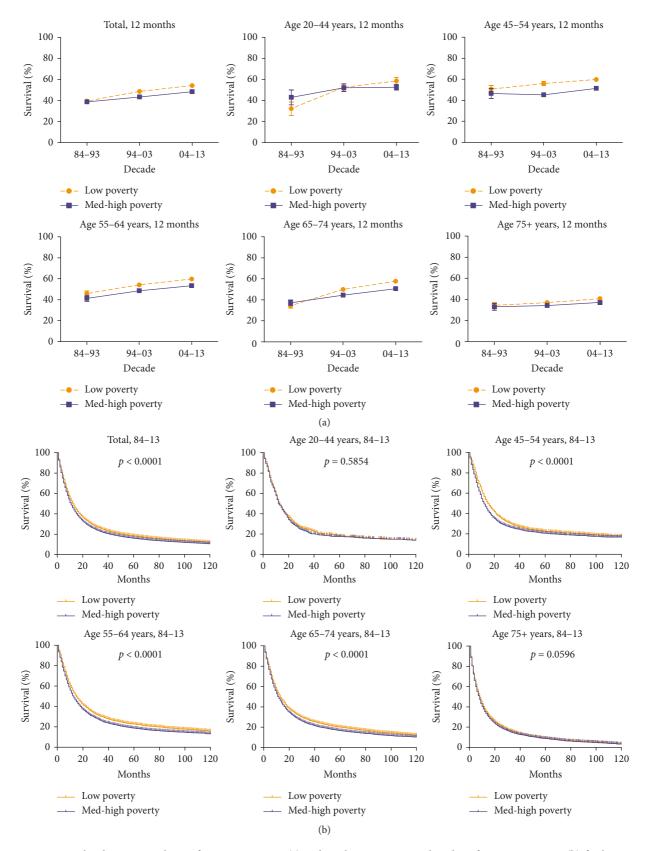


FIGURE 5: 12-month relative survival rates from 1984 to 2013 (a) and Kaplan-Meier survival analysis from 1984 to 2013 (b) for low-poverty (orange) and med-high-poverty (blue) with EAC at eighteen SEER sites by age group (total and ages 20–44, 45–54, 55–64, 64–74, and 75+ years).

the better standard of living and greater access to prompt treatment than those in the previous two periods.

Consistently, the medium/high-poverty group showed worse survival than that of the low-poverty group, and the gap in 12-month RSR between them continued to widen over time. Notably, white patients were mostly classified in the low-poverty group (63.8%), whereas black patients were mostly classified in the medium/high-poverty group (74.4%). This difference in SES between ethnicities, consistent with the poorer survival for blacks than for whites, might contribute to the widening survival gap between the low-poverty group and the medium/high-poverty group.

To present more realistically the morbidity and mortality of EAC in the United States, we excluded the patients younger than 20 years, considering that the sample size of this age group was extremely small (2) that it increased the standard error of the mean. We disregarded the effect of stage or therapy on overall survival as such was not the main objective of this study. In addition, the clinical treatment and TNM staging systems [24, 25] had changed over time. No data on changes in individual economic status and insurance status were available in the SEER registry; thus, caution should be exercised when interpreting and applying these results and drawing conclusions in healthcare policy design and other areas. Moreover, this study might be affected by bias, under-registration, and misclassification in the SEER database.

## 5. Conclusions

The incidence of EAC increased over the past 30 years and would predictably continue in the future. The overall survival significantly improved each decade, but the 5-year survival rate remained low (20.1%). Here, we demonstrated the disparities in both incidence and survival: with a higher incidence in men and whites and poorer survival in blacks and patients lived in medium/high-poverty regions. And great attention is necessitated to increase public awareness by education and promote early diagnosis, which ultimately helps improve survival. Specific susceptibilities to EAC in male and white patients might at least partly result from the similarity in sex and race susceptibilities to GERD and BE. Therefore, effective prevention of GERD and BE might contribute to the decrease in prevalence of EAC. Knowing the incidence and survival tendencies of EAC and their disparities between race, sex, age, and SES, the government could also introduce new healthcare measures to reduce morbidity and improve prognosis. Furthermore, this study can potentially guide further studies on the molecular mechanisms of sex and race disparities in EAC.

## Abbreviations

- EAC: Esophageal adenocarcinoma
- BE: BARRETT'S esophagus
- SES: Socioeconomic status
- RSR: Relative survival rate
- HR: HAZARD ratio
- CI: Confidence interval
- SEER: The Surveillance, Epidemiology, and End Results.

## **Data Availability**

The data used in this study are available from the corresponding author on reasonable request.

## **Conflicts of Interest**

The authors have no conflicts of interest to disclose.

## **Authors' Contributions**

Haiyu Zhang and Xiaofeng Pei have contributed equally to this work. Haiqing Ma and Huanhuan Sun designed and conducted this research. Haiyu Zhang collected all data, wrote the manuscript, and analyzed them with Xiaofeng Pei; Junlan Qiu, Xiangqiong Mo, and Xiaobin Zheng served as consultants to Haiyu Zhang; Suncong Wang taught the use of SEER database and helped revise the manuscript.

## Acknowledgments

This work was supported by National Natural Science Foundation of China (81872308, 81500030) and the Natural Science Foundation of Guangdong Province (2017A030313573, 2016A030313272, and 2016A030313277).

#### Supplementary Materials

6-month (a) and 18-month (b) relative survival rates for patients with EAC at nine SEER sites from 1984 to 2013 according to sex and age group (total and ages 20-44, 45-54, 55-64, 64-74, and 75+ years) are shown in Figure S1, as described in part of "trends in prevalence of EAC over three decades". Figure S2: 6-month (a) and 18-month (b) relative survival rates for patients with EAC at nine SEER sites from 1984 to 2013 according to race and age group (total and ages 20-44, 45-54, 55-64, 64-74, and 75+ years), as supplementary analysis of 12-month (b) relative survival rates. Figure S3: 6-month (a) and 18-month (b) relative survival rates for patients with EAC at nine SEER sites from 1984 to 2013 according to SES and age group (total and ages 20-44, 45-54, 55-64, 64-74, and 75+ years), as described in part of "Survival for EAC patients over three decades" of manuscript. Figure S4: distribution of SES by race for patients with EAC at nine SEER sites during 1984-2013, 1984-1993, 1994-2003, and 2004-2013, respectively. Percentage (a) and number (b) of patients with EAC in low-poverty and medhigh-poverty groups, described in part of "EAC survival in race and SES." Supplementary tables show the incidence and relative survival rates of EAC patients according to sex, age, and three decades and the summary data for race distribution by SES. (Supplementary Materials)

## References

 D. H. Ilson and R. van Hillegersberg, "Management of patients with adenocarcinoma or squamous cancer of the esophagus," *Gastroenterology*, vol. 154, no. 2, pp. 437–451, 2018.

- [2] M. Arnold, M. Laversanne, L. M. Brown, S. S. Devesa, and F. Bray, "Predicting the future burden of esophageal cancer by histological subtype: international trends in incidence up to 2030," *American Journal of Gastroenterology*, vol. 112, no. 8, pp. 1247–1255, 2017.
- [3] R. L. Siegel, K. D. Miller, and A. Jemal, "Cancer statistics," CA: A Cancer Journal for Clinicians, vol. 66, no. 1, pp. 7–30, 2019.
- [4] The Cancer Genome Atlas Research Network, "Integrated genomic characterization of oesophageal carcinoma," *Nature*, vol. 541, pp. 169–175, 2017.
- [5] B. M. Burt, S. S. Groth, Y. H. Sada et al., "Utility of adjuvant chemotherapy after neoadjuvant chemoradiation and esophagectomy for esophageal cancer," *Annals of Surgery*, vol. 266, no. 2, pp. 297–304, 2017.
- [6] O. E. Streeter Jr., K. L. Martz, L. E. Gaspar et al., "Does race influence survival for esophageal cancer patients treated on the radiation and chemotherapy arm of RTOG #85-01?," *International Journal of Radiation Oncology*\**Biology*\**Physics*, vol. 44, no. 5, pp. 1047–1052, 1999.
- [7] P. Bohanes, D. Yang, R. S. Chhibar et al., "Influence of sex on the survival of patients with esophageal cancer," *Journal of Clinical Oncology*, vol. 30, no. 18, pp. 2265–2272, 2012.
- [8] S. Wang, J. Tang, T. Sun et al., "Survival changes in patients with small cell lung cancer and disparities between different sexes, socioeconomic statuses and ages," *Scientific Reports*, vol. 7, no. 1, p. 1339, 2017.
- [9] M. B. Cook, S. N. Wood, B. D. Cash et al., "Association between circulating levels of sex steroid hormones and Barrett's esophagus in men: a case-control analysis," *Clinical Gastroenterology and Hepatology*, vol. 13, no. 4, pp. 673–682, 2015.
- [10] P. Gharahkhani, R. C. Fitzgerald, T. L. Vaughan et al., "Genome-wide association studies in oesophageal adenocarcinoma and Barrett's oesophagus: a large-scale metaanalysis," *The Lancet Oncology*, vol. 17, no. 10, pp. 1363–1373, 2016.
- [11] J. Dong, M. F. Buas, P. Gharahkhani et al., "Determining risk of barrett's esophagus and esophageal adenocarcinoma based on epidemiologic factors and genetic variants," *Gastroenterology*, vol. 154, no. 5, pp. 1273.e3–1281.e3, 2018.
- [12] M. B. Cook, D. A. Corley, L. J. Murray et al., "Gastroesophageal reflux in relation to adenocarcinomas of the esophagus: a pooled analysis from the Barrett's and Esophageal Adenocarcinoma Consortium (BEACON)," *PLoS One*, vol. 9, Article ID e103508, 2014.
- [13] W. H. Chow, W. D. Finkle, J. K. McLaughlin, H. Frankl, H. K. Ziel, and J. F. Fraumeni Jr., "The relation of gastroesophageal reflux disease and its treatment to adenocarcinomas of the esophagus and gastric cardia," *JAMA*, vol. 274, pp. 474–477, 1995.
- [14] L. A. Fischbach, D. Y. Graham, J. R. Kramer et al., "Association between *Helicobacter pylori* and Barrett's esophagus: a case-control study," *The American Journal of Gastroenterol*ogy, vol. 109, pp. 357–368, 2014.
- [15] T. Rokkas, D. Pistiolas, P. Sechopoulos, I. Robotis, and G. Margantinis, "Relationship between *Helicobacter pylori* infection and esophageal neoplasia: a meta-analysis," *Clinical Gastroenterology and Hepatology*, vol. 5, pp. 1413.e2–1417.e2, 2007.
- [16] A. Sonnenberg, K. O. Turner, S. J. Spechler, and R. M. Genta, "The influence of *Helicobacter pylori* on the ethnic distribution of Barrett's metaplasia," *Alimentary Pharmacology & Therapeutics*, vol. 45, no. 2, pp. 283–290, 2017.

- [17] J. R. Kramer, L. A. Fischbach, P. Richardson et al., "Waist-tohip ratio, but not body mass index, is associated with an increased risk of Barrett's esophagus in white men," *Clinical Gastroenterology and Hepatology*, vol. 11, no. 4, pp. 373.e1– 381.e1, 2013.
- [18] J. M. Garcia, A. E. Splenser, J. Kramer et al., "Circulating inflammatory cytokines and adipokines are associated with increased risk of Barrett's esophagus: a case-control study," *Clinical Gastroenterology and Hepatology*, vol. 12, no. 2, pp. 229.e3-238.e3, 2014.
- [19] S. Singh, S. K. Garg, P. P. Singh, P. G. Iyer, and H. B. El-Serag, "Acid-suppressive medications and risk of oesophageal adenocarcinoma in patients with Barrett's oesophagus: a systematic review and meta-analysis," *Gut*, vol. 63, no. 8, pp. 1229–1237, 2014.
- [20] T. H. Nguyen, A. P. Thrift, D. Ramsey et al., "Risk factors for Barrett's esophagus compared between African Americans and non-Hispanic Whites," *American Journal of Gastroenterology*, vol. 109, no. 12, pp. 1870–1880, 2014.
- [21] E. Van Cutsem, X. Sagaert, B. Topal, K. Haustermans, and H. Prenen, "Gastric cancer," *The Lancet*, vol. 388, pp. 2654–2664, 2016.
- [22] E. W. Steyerberg, C. C. Earle, B. A. Neville, and J. C. Weeks, "Racial differences in surgical evaluation, treatment, and outcome of locoregional esophageal cancer: a populationbased analysis of elderly patients," *Journal of Clinical Oncology: Official Journal of the American Society of Clinical Oncology*, vol. 23, no. 3, pp. 510–517, 2005.
- [23] A. J. Greenstein, V. R. Litle, S. J. Swanson et al., "Racial disparities in esophageal cancer treatment and outcomes," *Annals of Surgical Oncology*, vol. 15, no. 3, pp. 881–888, 2008.
- [24] S. B. Edge and C. C. Compton, "The American Joint Committee on Cancer: the 7th edition of the AJCC cancer staging manual and the future of TNM," *Annals of Surgical Oncology*, vol. 17, no. 6, pp. 1471–1474, 2010.
- [25] K. Talsma, P. van Hagen, B. A. Grotenhuis et al., "Comparison of the 6th and 7th editions of the UICC-ajcc TNM classification for esophageal cancer," *Annals of Surgical Oncology*, vol. 19, no. 7, pp. 2142–2148, 2012.

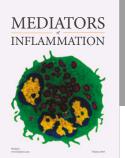


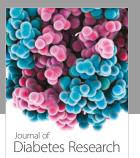
**The Scientific** World Journal

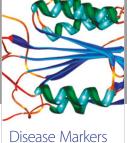
Journal of Immunology Research



Research and Practice



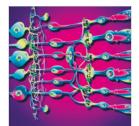






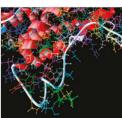


BioMed Research International



**PPAR** Research

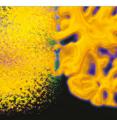
Journal of Ophthalmology



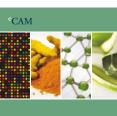
Computational and Mathematical Methods in Medicine



International



Behavioural Neurology



Evidence-Based Complementary and Alternative Medicine

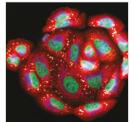






Research and Treatment





Oxidative Medicine and Cellular Longevity



Submit your manuscripts at www.hindawi.com