

# Community acquired, nursing home acquired and hospital acquired pneumonia: A five-year review of the clinical, bacteriological and radiological characteristics

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**CW CHOW, LR LEE-PACK, N SENATHIRAGAH, M RAWJI, M CHAN, CK CHAN.** Community acquired, nursing home acquired and hospital acquired pneumonia: A five-year review of the clinical, bacteriological and radiological characteristics. *Can J Infect Dis* 1995;6(6):317-325.

**PURPOSE:** To assess the contemporary clinical, bacteriological and radiographic features of hospitalized patients with community acquired (CA), nursing home acquired (NA) and hospital acquired pneumonia (HA) and to examine patient outcome.

**PATIENTS AND METHODS:** All hospital records of patients with pneumonia over a five-year period from April 1987 to March 1992 were reviewed retrospectively. Patients included in the study were all those with a diagnosis of pneumonia as identified by computer records of diagnostic codes at discharge; patients with a specific diagnosis of *Pneumocystis carinii* pneumonia were excluded. Of 74,435 discharges over the five-year period, 1782 patients met the inclusion criteria.

**RESULTS:** Charts of 1622 of the total 1782 cases were reviewed. Mean age was 64.4 years with 59.4% men and 40.6% women. Sixty-three per cent were CA, 28.5% were HA and 8.5% were NA. A total of 1542 patients (95%) had at least one concomitant medical condition. Chest roentgenogram was abnormal in 97%. Common organisms isolated overall were *Haemophilus influenzae* (from 204 patients), *Staphylococcus aureus* (from 152 patients), *Streptococcus pneumoniae* (from 143 patients), *Escherichia coli* (from 113 patients) and *Pseudomonas aeruginosa* (from 111 patients). *H influenzae* and *S pneumoniae* were most common in CA pneumonia, whereas *S aureus* and Gram-negative organisms were more common in the HA group and Gram-negative agents in the NA group. One hundred and four patients developed complications. Fifteen per cent required intensive care unit admission. The average length of hospitalization in the CA and NA groups was 17 days and in the HA group, 43 days. At time of discharge 1261 patients (78%) were cured or improved, and 361 patients (22%) died during the admission.

**CONCLUSIONS:** These results suggest that hospitalization for pneumonia in the 1990s is primarily for elderly patients with significant co-morbidity. Although microbiology appears unchanged compared with earlier reports, the contemporary population is significantly sicker than previous cohorts. This may account for the persistently high morbidity and mortality despite better or newer antibiotics. (*Pour le résumé, voir page 318*)

**Key Words:** Community acquired pneumonia, Co-morbidity, Complications, Hospital acquired pneumonia, Nursing home acquired pneumonia, Outcome

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## Pneumonie acquise dans la communauté, dans des établissements de soins prolongés et à l'hôpital : survol des caractéristiques cliniques, bactériologiques et radiologiques sur cinq ans

**BUT :** Évaluer les caractéristiques cliniques, bactériologiques et radiographiques actuelles de patients hospitalisés souffrant de pneumonie acquise dans la communauté, dans un établissement de soins prolongés ou dans un hôpital, afin de déterminer l'évolution des patients.

**PATIENTS ET MÉTHODES :** Tous les dossiers hospitaliers de patients atteints de pneumonie au cours d'une période de cinq ans allant d'avril 1987 à mars 1992 ont été passés en revue de façon rétrospective. Les patients retenus pour l'étude sont tous ceux dont le diagnostic de pneumonie a été posé à partir des dossiers informatisés et des codes de diagnostic en vigueur au moment du congé. Les patients porteurs d'une pneumonie à *Pneumocystis carinii* ont été exclus. Des 74 435 congés accordés au cours de la période de cinq ans, 1 782 concernaient des patients qui répondaient aux critères d'inclusion.

**RÉSULTATS :** Les dossiers de 1 622 cas sur ces 1 782 ont été passés en revue. La moyenne d'âge était de 64,4 ans; 59,4 % étaient des hommes et 40,6 % des femmes. Chez soixante-trois pour cent, la pneumonie avait été acquise dans la communauté, chez 28,5 %, dans un établissement de soins prolongés et chez 8,5 %, dans un hôpital. En tout, 1 542 patients (95 %) souffraient d'au moins un autre problème de santé. Les radiographies pulmonaires étaient anormales chez 98 %. Les organismes pathogènes isolés dans l'ensemble ont été *Haemophilus influenzae* (204 patients), *Staphylococcus aureus* (152 patients), *Streptococcus pneumoniae* (143 patients), *Escherichia coli* (113 patients) et *Pseudomonas aeruginosa* (111 patients). *H. influenzae* et *S. pneumoniae* ont été les plus fréquemment incriminés dans la pneumonie acquise dans la communauté, alors que *S. aureus*; les organismes pathogènes gram-négatifs étaient les plus courants dans le groupe souffrant de pneumonie acquise à l'hôpital et les organismes gram-négatifs, chez les patients des établissements de soins prolongés. Cent quatre patients ont présenté des complications. Quinze pour cent ont nécessité un séjour aux soins intensifs. La durée moyenne de l'hospitalisation pour le groupe atteint de pneumonie acquise dans la communauté et dans les établissements de santé a été de 17 jours et dans le groupe atteint de pneumonie acquise à l'hôpital, de 43 jours. Au moment du congé, 1 261 patients (78 %) étaient guéris ou leur état s'était amélioré et 361 patients (22 %) sont décédés durant leur hospitalisation.

**CONCLUSIONS :** Ces résultats suggèrent que l'hospitalisation pour pneumonie au cours des années 1990 s'observait principalement chez les patients âgés, atteints de comorbidité importante. Bien que la microbiologie semble inchangée en comparaison avec les rapports précédents, la population actuelle est nettement plus malade que les cohortes antérieures. Cela peut être dû à une morbidité et une mortalité qui restent en hausse malgré l'amélioration des nouveaux antibiotiques.

THE FIRST REPORT OF A POPULATION SURVEY OF PNEUMONIA was by August Hirsch in 1881 (1), in which he described pneumonia to occur in epidemics and to be more prevalent in the elderly, the sick and those of low socioeconomic status. This report had followed the first successful culture of a bacterial organism from a patient with pneumonia by Louis Pasteur, who aptly named the organism *Streptococcus pneumoniae*. Hirsch's report appears to differ little from subsequent surveys of pneumonia. Morbidity and mortality in patients with pneumonia in the recent era remain high despite the development of effective antimicrobial agents and increasingly better understanding of the pathogenesis and etiology of pneumonia.

Accurate assessment of the overall burden of pneumonia on health care remains inaccurate since pneumonia is not a reportable illness and the majority of patients are managed in the ambulatory setting. However, statistical figures from the United States suggest that over 3.3 million cases of community acquired (CA) pneumonia occur annually (2). It is the fifth leading cause of death in those over 65 years of age (3). Although low in the out-patient setting, mortality is reported to be 25% in those requiring hospitalization. Highest mortality rates occur in the intensive care unit (ICU) setting (4-11), where it has been reported to be as high as 47% (12). Higher morbidity and mortality were observed in the elderly (6,8,13) and in those with concurrent illnesses such as chronic ob-

structive pulmonary disease (COPD), diabetes mellitus, chronic renal failure, congestive heart failure and chronic alcohol abuse (6,8-10). Paradoxically, increased longevity permits opportunity for development of medical illnesses and is likely responsible for the lack of significant improvement in the overall prognosis of pneumonia. In the past decade, there has been an increase in the pool of susceptible hosts, particularly patients with compromised immune defence systems. There has been a marked increase in human immunodeficiency virus (HIV) disease and in survivors of bone marrow and organ transplantation who are on chronic immunosuppressive agents. At the same time, the development of newer and more powerful antimicrobial agents has met with a parallel emergence of resistant and new strains of infecting organisms.

Recent publication of guidelines for the empiric management of CA pneumonia (14-17) underscores the importance of continually updating our knowledge of this persistent but ever-changing disease. The present study was undertaken to review the contemporary epidemiology of CA, nursing home (NA) and hospital acquired (HA) pneumonia in a community-based hospital. We sought to characterize the patient population at risk, the spectrum of clinical and roentgenographic presentation, the pattern of offending pathogens, the response to management and patient outcome.

We recently reported the results of an interim analysis of

**TABLE 1**  
**Characteristics of 1622 patients admitted to hospital with pneumonia**

	Community acquired	Nursing home acquired	Hospital acquired
Total number (n=1622)	1022 (63%)	138 (8.5%)	462 (28.5%)
Male (n=964)	609	82	273
Female (n=658)	413	56	189
Age (mean 64.4 years)			
Male (years)	61	78	62
Female (years)	65	84	66
Average length of stay (days)	16.3	17.4	42.9
Male	15.3	18.7	46.0
Female	17.7	15.5	38.5
Number of patients requiring oxygen at discharge	27 (3%)	2 (1.4%)	10 (2%)
Number of patients requiring intensive care	112 (11%)	4 (3%)	131 (28%)
Average length of ICU stay (days)	7.1	5	9.5
Male (days)	7.06 (65 patients)	1.5 (2 patients)	9.9 (76 patients)
Female (days)	7.15 (47 patients)	8.5 (3 patients)	8.9 (55 patients)
Smoking history	490 (48%)	26 (18.5%)	156 (34%)
Male	325	18	113
Female	165	8	43
Co-morbidity $\geq 2$	739 (72%)	97 (70%)	353 (76%)
Co-morbidity =1	226 (22%)	33 (24%)	94 (20%)
No co-morbidity	57 (6%)	8 (6%)	15 (3%)
Complications	50 (4.9%)	6 (4.3%)	48 (10.4%)
Death (n=361; 22%)	168 (16%)	34 (25%)	159 (34%)

Co-morbidity was defined as chronic obstructive pulmonary disease, asthma, bronchiectasis, diabetes mellitus, chronic renal disease, congestive heart disease, underlying malignancy, immune deficiency (both primary and iatrogenic such as long term use of immunosuppressive agents) and chronic alcohol abuse; complications were defined as lung abscess, empyema, pleural effusion, congestive heart failure and pneumothorax. ICU Intensive care unit

the initial 1300 patients (18). The complete analysis of the final data set at 1622 patients is reported here.

## PATIENTS AND METHODS

**Study population:** Patients were identified from a computer database of all discharges from the Wellesley Hospital in Toronto, Ontario during a five-year period from April 1987 to March 1992. All patients with a diagnosis of pneumonia encoded in the discharge diagnosis were included in the study. The Wellesley Hospital is a university-affiliated, 400-bed community hospital that functions mostly as a primary and secondary centre with annual total hospital discharge of 15,000 to 16,000. A small portion of discharges originates from a tertiary referral base due to proximity to The Princess Margaret Hospital/Ontario Cancer Institute, the major oncology referral hospital in southern Ontario and from the only burn unit in the city, located in the Wellesley Hospital. Patients with a diagnosis of *Pneumocystis carinii* pneumonia were excluded from the study. Those with HIV disease were not excluded.

**Data collection:** Information was manually extracted retrospectively from the hospital charts and recorded on a database sheet before final entry into a computer database program. Validity of data coding and entry was ensured by double entry. The following information was gathered: first, patient demographics such as age and sex; second, concurrent medical illnesses (defined as COPD, asthma, bronchiectasis, diabetes mellitus, chronic renal disease, congestive heart disease, underlying malignancy, immune deficiency [both pri-

mary and iatrogenic such as long term use of immunosuppressive agents] and chronic alcohol abuse); past and current smoking history was recorded separately; third, laboratory findings including complete blood counts, serum sodium, chest roentgenogram (CXR) (in the majority of cases, the official radiology report was used although at times only the results of the clinical interpretation recorded on the chart were available; focal infiltrate was defined as infiltrate localized to one lobe; diffuse infiltrate was defined as involvement of two or more lobes) and microbiology results; fourth, clinical presentation including fever (temperature over 38°C), chills, sweat, decreased level of consciousness, cough, sputum production and dyspnea; fifth, duration and type of antimicrobial agents used and presence of adverse drug reactions; sixth, development of complications (defined as lung abscess, empyema, pleural effusion, congestive heart failure and pneumothorax); seventh, need for oxygen therapy and ICU admission; eighth, length of stay in hospital and in ICU; ninth, final outcome (see section on outcome measurements).

The diagnosis of pneumonia was considered to be CA if the diagnosis was made within the first 72 h of admission, HA if made after 72 h and NA if the patient was a resident of a nursing home and the diagnosis was made within the first 72 h.

**Microbiological data:** All microbiological data were extracted from the official Microbiology Department laboratory report form. Since these patients were not part of a prospective clinical study, investigations and work-ups for pneumonia were not standardized. Nevertheless, the diagnostic work-up reflect

**TABLE 2**  
**Presence of co-morbid conditions in patients with pneumonia**

	CA (n= 1022)	NA (n=138)	HA (n=462)
Cardiac disease	416	70	191
Chronic alcoholism	324	22	131
COPD	296	47	94
Underlying malignancy*	143	14	124
Chronic renal disease	138	19	90
Diabetes mellitus	128	18	64
Chronic steroid use	128	18	71
HIV infection	76	1	8
Asthma	68	3	24
Bronchiectasis	26	1	1
Leukemia	23	0	22
Lymphoma	21	1	9
Cystic fibrosis	13	0	0
Bone marrow transplant recipient	2	0	4
Other	609	96	315

\*Excludes leukemia and lymphoma. CA Community acquired; COPD Chronic obstructive pulmonary disease; HA Hospital acquired; HIV Human immunodeficiency virus; NA Nursing home acquired

the standard clinical practice at the Wellesley Hospital for pneumonia and included sputum, if available, for Gram stain, culture and sensitivity; and blood for aerobic and anaerobic cultures. All specimens were processed through the Microbiology Department at the Wellesley Hospital. Blood cultures were grown in Bactec (Becton-Dickinson, Maryland) bottles and sputum samples were handled in the standard microbiological laboratory fashion. Tests for atypical agents such as *Legionella* species and mycobacteria, serum serology, thoracentesis, percutaneous needle aspiration and bronchoscopy with bronchoalveolar lavage (BAL) with or without protected brush were done only on selected patients, at the discretion of the attending and/or the consulting physicians. When ordered, testing for *Legionella* was by the direct fluorescent antibody method followed by culture. Mycobacteria was investigated initially with acid fast stain and fluorescent antibody stain followed by cultures at the Ontario Health Ministry's Mycobacterial Laboratories. If requested, acute and convalescent antibody titres for *Mycoplasma pneumoniae* were done.

All organisms isolated from blood, sputum and urine cultures within 72 h of diagnosis of pneumonia were recorded. Multiple isolates from a single patient were recorded as such in each patient file. However, these were treated as individual isolates in the final analysis.

**Outcome measurements:** Patients were considered to have improved or to be cured if the patient was alive and off antibiotics at the end of the hospitalization period. Those who discharged themselves against medical advice were included in the 'improved' group because it can be assumed that they were alive at the time of discharge. Death certificates of those who died during the hospital stay were reviewed. If the death certificate listed pneumonia as a cause of death, it was consid-

**TABLE 3**  
**Roentgenographic presentation of patients with pneumonia**

	Community acquired (n=994)	Nursing home acquired (n=132)	Hospital acquired (n=442)
Normal	31 (3%)	3 (3%)	15 (3%)
Focal	684 (69%)	101 (77%)	301 (68%)
Diffuse	268 (27%)	27 (20%)	119 (27%)
Pleural effusion	35 (4%)	4 (3%)	29 (7%)
Other	1 (0.1%)	0	0

Report of initial chest roentgenograms was available in 1568 patients. Focal infiltrate was defined as infiltrate localized to one lobe; diffuse infiltrate was defined as involvement of two or more lobes

**TABLE 4**  
**Presence of symptoms in patients with pneumonia**

Symptoms	Community acquired (n=1022)	Nursing home acquired (n=138)	Hospital acquired (n=462)
Decreased level of consciousness	146	54	106
Cough/sputum	746	65	204
Cough	732	65	201
Sputum	560	44	126
Fever	537	69	243
Chills	291	10	36
Sweats	87	1	15
Dyspnea	312	74	218

ered to be a pneumonia death. Need of oxygen therapy, ICU admission and development of complications of pneumonia as defined above were also recorded.

## RESULTS

During the five-year period of the study (April 1987 through March 1992) 1782 of the 74,435 patients discharged (2.4%) from the Wellesley Hospital had a diagnosis of pneumonia. Charts of 160 patients (9%) were irretrievably lost. The remaining 1622 discharges available for review comprised the study population.

There were more men than women (964 cases or 59.4% versus 658 cases or 40.6%, respectively). Mean age was 64.4 years (range 16 to 103 years). One thousand and twenty-two cases (63%) were CA, 138 (8.5%) were NA and 462 (28.5%) were HA. These patients represented an ill population. One thousand five hundred and forty-two (95%) had at least one concomitant medical condition. The majority (73%) had two or more concurrent medical illnesses. The three groups were similar with respect to presence of co-morbidity. The NA group was older and had a lower incidence of a smoking history (Table 1). Common concomitant medical conditions were cardiac disease, chronic alcohol use, COPD and underlying malignancy (Table 2).

Initial CXR was reported on 1568 patients (97%) and was abnormal in 97% of these, although a surprising 3% of pa-

**TABLE 5**  
Routine investigations in patients admitted to hospital with pneumonia

Analysis	Community acquired (n=1022)	Nursing home acquired (n=138)	Hospital acquired (n=462)	Total (n=1622)
No cultures nor serology sent	126 (12%)	36 (26%)	76 (16%)	238 (15%)
At least one specimen sent for microbiological studies (ie, sputum, blood, urine, bronchoalveolar lavage and/or serology)	896 (88%)	102 (74%)	386 (84%)	1384 (85%)
Both sputum and blood cultures	844 (83%)	92 (67%)	341 (74%)	1277 (79%)

**TABLE 6**  
Number of patients with community acquired (CA), nursing home acquired (NA) and hospital acquired (HA) pneumonia in whom common pathogens were identified

	Total (n=1622)	CA (n=1022)	NA (n=138)	HA (n=462)
<i>Streptococcus pneumoniae</i>	143	114 (12%)	5 (4%)	24 (5%)
<i>Haemophilus influenzae</i>	204	134 (13%)	7 (5%)	63 (14%)
<i>Staphylococcus aureus</i>	152	77 (8%)	3 (2%)	72 (16%)
<i>Escherichia coli</i>	113	53 (5%)	12 (9%)	48 (10%)
<i>Pseudomonas aeruginosa</i>	111	55 (5%)	4 (3%)	52 (11%)
<i>Klebsiella pneumoniae</i>	76	38 (4%)	7 (5%)	31 (7%)
<i>Proteus mirabilis</i>	26	8 (1%)	2 (1%)	16 (3%)
Viral agents	15	13 (1%)	0	2 (0.5%)
<i>Moraxella catarrhalis</i>	12	8 (1%)	0	3 (1%)
<i>Legionella pneumoniae</i>	5	5 (0.5%)	0	0
Mycobacteria	5	5 (0.5%)	0	0

Organisms identified on cultures of blood, sputum, bronchoalveolar lavage fluid, urine plus urine and serum serology. Patients who had the same organism isolated from multiple sites were counted only once

**TABLE 7**  
Numbers of organisms recovered from different culture sites in 1622 patients with pneumonia

	Blood			Sputum			Bronchoscopy		
	CA	NA	HA	CA	NA	HA	CA	NA	HA
<i>Streptococcus pneumoniae</i>	39	1	4	81	4	20	4	0	0
<i>Haemophilus influenzae</i>	3	0	0	121	4	52	6	0	1
<i>Staphylococcus aureus</i>	9	0	15	60	3	53	12	0	11
<i>Pseudomonas aeruginosa</i>	3	0	7	53	4	47	1	0	6
<i>Klebsiella pneumoniae</i>	3	0	3	27	7	22	3	0	1
<i>Escherichia coli</i>	4	0	6	19	5	16	4	0	3
<i>Proteus mirabilis</i>	0	0	2	2	0	7	0	0	1
<i>Moraxella catarrhalis</i>	0	0	0	8	1	3	0	0	0
<i>Legionella pneumoniae</i>	0	0	0	2	0	0	3	0	0
<i>Mycobacteria</i>	0	0	0	5	0	0	0	0	0
Viral agents	1	0	0	0	0	0	6	0	1
Others	35	0	42	66	7	29	25	0	8

CA Community acquired; HA Hospital acquired; NA Nursing home acquired

tients were reported to have a normal CXR (Table 3). In the 54 patients for whom reports of the admission CXR could not be found, the data were treated as incomplete and were not included in the subsequent analysis. Focal radiographic abnormality was the most common finding in all three groups: 69% in CA, 77% in NA and 68% in HA (Table 3). There was no significant difference in the roentgenographic presentation in the three groups. Approximately three-quarters of the patients were documented to have cough and/or sputum production at the time of presentation. Fever and chills were reported in about half of the patients in each group (Table 4).

Few patients were started on antimicrobial therapy before hospital admission. Forty of 1022 patients in the CA group were documented to have been on antibiotics at the time of admission, eight in the NA group (6%) and eight in the HA group (2%).

In 85% of all patients, attempts were made to identify an infecting organism by means of cultures of sputum, blood, urine, BAL and/or serology (Table 5). Cultures of both blood and sputum were obtained in 79% of patients. Investigations were performed more often in the CA group. Summary of all

**TABLE 8**  
**Number of organisms recovered from serum and urine serology and urine culture in patients with pneumonia**

	Serology			Urine		
	CA	NA	HA	CA	NA	HA
<i>Streptococcus pneumoniae</i>	0	0	0	0	0	0
<i>Haemophilus influenzae</i>	0	0	0	8	3	12
<i>Staphylococcus aureus</i>	0	0	0	4	0	8
<i>Pseudomonas aeruginosa</i>	0	0	0	0	0	0
<i>Klebsiella pneumoniae</i>	0	0	0	9	1	8
<i>Escherichia coli</i>	0	0	0	32	5	28
<i>Proteus mirabilis</i>	0	0	0	6	2	6
<i>Moraxella catarrhalis</i>	0	0	0	0	0	0
<i>Legionella pneumoniae</i>	2	0	0	1	0	0
<i>Mycobacteria</i>	5	0	0	0	0	0
<i>Viral agents</i>	7	0	2	0	0	0

CA Community acquired; HA Hospital acquired; NA Nursing home acquired

cultures (blood, sputum, BAL fluid, urine) plus urine and serum serology found the highest yield from sputum cultures. Yield of a positive result from sputum and/or blood culture in the overall group was 53%. Only 6% of patients had positive blood cultures. A summary of all investigations done to identify the offending pathogen is presented in Tables 6, 7 and 8. Overall, the predominant organisms found from all investigations were *Haemophilus influenzae* (isolated from 204 patients), *Staphylococcus aureus* (from 152 patients) and *S pneumoniae* (from 143 patients). The pattern of organisms detected differed in the three groups. In the CA group, *H influenzae*, *S pneumoniae* and *S aureus* were the most common organisms. In the HA group, *S aureus* and Gram-negative organisms predominated. In the NA group, 22 of the 32 Gram-negative organisms isolated were from either blood (two) or sputum (20) (Tables 6,7). The main organisms documented from blood cultures were *S pneumoniae* and *S aureus* (Table 7). Yield of investigation of bronchoscopy specimens and serum and/or urine serology in the identification of the infecting organism was low.

Two hundred and forty-seven patients (15%) were admitted to ICU. The highest ICU admission rate, not surprisingly, was in the HA group (28%) and the lowest in the NA group (3%). The average length of ICU stay was 7.1, 5 and 9.5 days in the CA, NA and HA groups, respectively. Those admitted to ICU were similar to the overall group in terms of presence of co-morbid illnesses (Table 1).

Only 39 patients (2.4%) required home oxygen therapy at the time of discharge although, not surprisingly, more patients required oxygen therapy during their course in hospital. One hundred and four patients (6.4%) developed complications of pneumonia, most commonly in the HA group. The most common complication was the development of pleural effusion.

The average length of stay for the entire group was 24 days. Shorter hospitalization courses of 16.3 and 17.4 days were seen in the CA and NA groups, respectively. The average hospitalization in the HA group was 42.9 days (Table 1).

In all, 1261 patients (78%) were deemed to have improved

**TABLE 9**  
**Cause of mortality in patients hospitalized with pneumonia**

	CA (n=1022)	NA (n=138)	HA (n=462)
Improved or cured	864 (84%)	104 (75%)	303 (66%)
Dead	168 (16%)	34 (25%)	159 (34%)
Pneumonia a contributing cause of death	75 (7%)	10 (7%)	70 (15%)
Pneumonia not a contributing cause of death	74 (7%)	20 (15%)	71 (15%)
Cause of death not assessed	19 (2%)	4 (3%)	18 (4%)

CA Community acquired; HA Hospital acquired; NA Nursing home acquired

or to be cured at the time of discharge. Three hundred and sixty-one patients (22%) died during admission to hospital. The highest mortality rate was in the HA group (34%) and the lowest in the CA group (16%) (Table 1). Mortality in the NA group was 25%. Death certificates were reviewed in 320 cases (89%). Pneumonia was listed as a contributing cause of death in 165 of the 320 deaths (52%). Seventy-five of 149 deaths in the CA group, 10 of 30 in the NA group and 70 of 141 in the HA group were attributed to pneumonia (Table 9).

## DISCUSSION

Our five-year review of all patients discharged from hospital with a diagnosis of pneumonia during the period from April 1987 to March 1992 describes the most contemporary population of in-patients with pneumonia, and is two to three times larger than the most recently published reports (5,6). Data from these 1622 cases of pneumonia continue to support previous observations that pneumonia in the 1990s remains a serious illness with significant morbidity and mortality. Pneumonia accounted for 2.6% of all discharges from our hospital during this period.

Although it appears that few patients were started on outpatient antimicrobial therapy, the high incidence of co-morbid illness, with 95% having at least one concomitant illness and 73% having two or more, suggests that, as a group, these elderly patients are indeed ill. These contemporary hospitalized patients are, in fact, a sicker population than previous cohorts. Previous studies (4,5,7, 9-11) reported a lower incidence of co-morbid illness in patients hospitalized with pneumonia. In the Fang *et al* (5) study population of 359 patients with hospitalized CA pneumonia 30.6% had no underlying medical disease, and in the 719 patients studied by Marrie *et al* (6) 13% had no concurrent medical illness. The age, sex distribution and length of hospitalization of previous cohorts were comparable with ours (Table 10).

The incidence of HA pneumonia in our patient population was 6 in 1000. This is comparable with previous reports from Canada and the United States (19,20).

Previous studies have reported *S pneumoniae* to be the

predominant organisms in all groups with pneumonia (4-11,13,21,22). In the community setting, *H influenzae* and *S aureus* have been found to be important pathogens as well. In our study, *H influenzae* was the most common organism isolated overall. However, pathogenicity cannot be verified because the majority of the isolates were from sputum rather than blood cultures. Among organisms recovered from blood cultures, *S pneumoniae* remains the predominant pathogen. *Legionella pneumoniae* is an uncommon cause of pneumonia in our population in contrast to previous reports (4-6,9,10,23). This is likely a reflection of regional differences in the prevalence of *Legionella* infections.

Although a higher incidence of Gram-negative organisms was found in NA and HA pneumonia, *S aureus* remained a significant pathogen, particularly in the HA group. These findings are consistent with other reports of nosocomial pneumonia (24-32).

The pattern of occurrence of organisms for the three types of pneumonia (Table 6) concur with those outlined in the recent guidelines on the initial management of pneumonia, and further support their recommendations of empiric antimicrobial therapy (14,15,17).

In 26% of the NA group, there was no attempt to document a pathogen (Table 5). This may represent a more pragmatic and less invasive therapeutic approach to this subpopulation. It is, however, surprising that 12% in the CA group and 16% of HA group did not have any cultures or serology done. The reasons for this are not clear.

As with previous studies (5), CXR appearance and the presence of specific presenting signs and symptoms such as cough, sputum and decreased level of consciousness were nonspecific and were not associated with any particular etiologic agent.

The complication rate in our study was low. However, we confined our definition of complications (see Patients and Methods Section) to those that could be confidently attributable to pneumonia. Other complications such as renal failure or pulmonary complications cannot be attributed solely to pneumonia in a population with high co-morbid illness, particularly in a retrospective context.

Overall mortality in the present study was 22%, with the highest mortality rate seen in the HA group and the lowest in the CA group, where it was 16%. Overall mortality in CA pneumonia in the 719 patients described by Marrie et al (6) and in the 359 patients described by Fang et al (5) was 21% and 13.7%, respectively (Table 9). Therefore, despite being a sicker population with more concomitant illnesses, the overall mortality is comparable with that of previous groups (4-11,22,23). In review of the death certificates of 320 of the 361 deaths in our series, pneumonia was found to be a contributing cause of death in 165 cases. Specific cause of death was not reported in either of the two groups described by Marrie et al (6) and Fang et al (5). We could not confidently report any deaths as a *direct* result from pneumonia since guidelines for completing death certificates are not strict and open to interpretation. Moreover, the death certificates in our patients were completed by various physicians. Thus, pneumonia

**TABLE 10**  
**Recent studies of pneumonia**

	Present study 1995	Marrie et al 1989 (6)	Fang et al 1990 (5)
Study period	1987-1992	1981-1987	1986-1987
Number of patients	1622	719	359
Study design	Retro	Pro	Pro
Mean age (years)	64.4	60	62
Length of stay (days)	24.0* (16.3)	17.6	?
Mortality	22%* (16%)	21%	13.7%
≥ 1 Co-morbidity	95%	87%	69.4%

\*The results are for the entire study group. Numbers in parentheses are the results in the community acquired group. Cases studied by Fang et al and Marrie et al were community acquired pneumonia. Pro Prospective; Retro Retrospective

listed on the death certificate as the cause or as a contributing cause of death was treated in the same manner for the purpose of this study.

Studies have shown that patients with pneumonia and concurrent illness have more severe disease (9,10). The high incidence of co-morbidity in our patient population is likely a reflection of the current admission criteria for pneumonia that admit primarily sick, elderly patients with severe disease. The current trend to manage more patients in the out-patient setting for economic reasons may have in fact adversely biased the mortality outcome of a study based on an in-patient population.

We recognize limitations to the interpretation of the data due to the retrospective nature of this study. The definition of pneumonia was based on available clinical and laboratory data and the diagnosis was made by the attending or consulting physicians. However, in studies comparing the accepted diagnostic criteria for pneumonia with the gold standard (a pathological assessment of pulmonary tissue), these criteria were found to be neither specific nor infallible (26,33). The strength of the study is the generalizability of the results to other community-based hospitals in North America. Although complete and definitive data are not available in the work-up and management of pneumonia, the information available mirrors what clinicians have to rely on in general practice.

Although our yield from blood and sputum cultures is comparable with previous reports, pathogenicity of organisms recovered from sputum or BAL fluid cannot be ascertained. The microbiological results of this study may be over-implicating some organisms while under-representing others, particularly those that required special tests for detection (eg, viral agents that are detected on acute and convalescent titres). This is particularly true in cases where the putative organism(s) were isolated from sputum cultures. The retrospective nature of the study did not allow us to accept or refute the results based on the clinical context or on the quality of the sputum examined. Therefore, we chose to record all isolates obtained. However, differentiating a colonizer from a pathogen in cultures of respiratory secretions is a common clinical problem and one that is

not readily correctable without invasive procedures and even in the most stringent prospective studies.

## CONCLUSIONS

Our large scale study on a contemporary series of patients hospitalized for pneumonia suggests that the spectrum of microorganisms implicated in pneumonia in the 1990s is similar to that of the previous decades. However, the types of patients being hospitalized for pneumonia have changed to include primarily elderly patients with multiple co-morbidity. Hence, despite significant advances in the development of diagnostic tests and antimicrobials, the overall mortality rate for the current population remains high.

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