

Oxygen desaturation during a 6 min walk test is a sign of nocturnal hypoxemia

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BACKGROUND/OBJECTIVES: Patients with chronic obstructive pulmonary disease (COPD) may experience sleep disordered breathing with nocturnal desaturation. An exploratory study was performed to determine whether any commonly measured clinical parameters were useful in predicting nocturnal desaturation in patients with COPD. A validation study was subsequently performed to confirm the utility of the parameter identified in the exploratory study as most useful in this regard.

METHODS: A total of 103 (exploratory cohort) and 200 (validation cohort) consecutive patients with COPD admitted for pulmonary rehabilitation were evaluated. Standard outcome measures including nocturnal oximetry and the 6 min walk test (6MWT) on room air with continuous pulse oximetry were assessed. Patients with sleep apnea or those undergoing long-term oxygen therapy were excluded.

RESULTS: In the exploratory study, the mean (\pm SD) patient age was 70 \pm 9.9 years, with forced expiratory volume in 1 s of 0.76 \pm 0.34 L, which was 36 \pm 16% of predicted. Body mass index, arterial oxygen tension, oxygen saturation by pulse oximetry at rest and during the 6MWT all demonstrated significant correlations with percentage of time spent with a saturation <90%. When the lowest pulse oximetry during the 6MWT was \leq 88%, 10 of 21 patients demonstrated a saturation <90% for at least 30% of sleep time. This measure yielded a positive likelihood ratio of 3.77 (95% CI 1.87 to 7.62) compared with those who did not reach this threshold value. The validation study confirmed similar detection characteristics.

CONCLUSIONS: Results from the present study suggest that monitoring oxygen saturation changes during a 6MWT is useful in helping to identify COPD patients who may experience significant nocturnal desaturation.

Key Words: 6 min walk test; Chronic obstructive pulmonary disease; Exercise; Nocturnal hypoxemia

Some patients with chronic obstructive pulmonary disease (COPD) experience significant hypoxemia. For those with a resting partial pressure of oxygen in arterial blood (PaO₂) <55 mmHg, supplemental oxygen administration has been shown to be beneficial, particularly in improving exercise tolerance and survival (1,2). However, less well recognized is that some individuals with COPD may desaturate only during sleep and become substantially more hypoxemic at night than they are during the day. Although nocturnal oximetry can readily identify such individuals, this requires overnight monitoring and is time consuming. Furthermore, it is unclear which patients require such a study. It would be useful if simple daytime parameters could help predict which patients are more likely to exhibit significant nocturnal desaturation (SND). In the present study, we sought to determine whether any parameters routinely measured in patients with COPD could be helpful in predicting the occurrence of significant sleep-related oxygen desaturation. In particular, we aimed to determine whether measuring arterial oxygen saturation (SpO₂) during a 6 min walk test (6MWT) could provide additional information that might enable us to predict which patients were more likely to experience SND. The main finding from an initial study was applied to a second population to confirm its predictive capacity for the identification of patients who experience SND.

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Une désaturation en oxygène pendant un test de marche de 6 minutes est un signe d'hypoxémie nocturne

HISTORIQUE ET OBJECTIFS : Les patients atteints d'une maladie pulmonaire obstructive chronique (MPOC) peuvent présenter des troubles respiratoires du sommeil accompagnés d'une désaturation nocturne. Les chercheurs ont mené une étude exploratoire pour déterminer si des paramètres cliniques souvent mesurés étaient utiles pour prédire une désaturation nocturne chez les patients atteints d'une MPOC. Ils ont ensuite procédé à une étude de validation pour confirmer que le paramètre repéré dans l'étude exploratoire était bien le plus utile à cet égard.

MÉTHODOLOGIE : Au total, 103 (cohorte d'exploration) et 200 (cohorte de validation) patients consécutifs atteints d'une MPOC admis en réadaptation pulmonaire ont été évalués. Les chercheurs ont évalué les mesures d'issue standards, y compris la saturométrie nocturne et le test de marche de 6 minutes (TM6M) à l'air ambiant surveillé par saturométrie continue. Les patients faisant de l'apnée du sommeil ou sous oxygénothérapie à long terme étaient exclus.

RÉSULTATS : Pendant l'étude exploratoire, les patients avaient un âge moyen (\pm ÉT) de 70 \pm 9,9 ans, un volume expiratoire maximal par seconde de 0,76 \pm 0,34 L, soit 36 \pm 16 % des prévisions. L'indice de masse corporelle, la tension du sang artériel en oxygène ainsi que la saturométrie au repos et pendant le TM6M ont tous démontré des corrélations significatives avec le pourcentage de temps passé sous saturation inférieure à 90 %. Lorsque la saturométrie la plus basse pendant le TM6M était égale ou inférieure à 88 %, dix des 21 patients présentaient une saturation inférieure à 90 % pendant au moins 30 % de la période de sommeil. Cette mesure a suscité un rapport de vraisemblance positif de 3,77 (95 % IC1,87 à 7,62) par rapport aux patients qui n'avaient pas atteint cette valeur seuil. L'étude de validation a confirmé des caractéristiques de détection similaires.

CONCLUSIONS : Selon les résultats de la présente étude, la surveillance des modifications de la saturation en oxygène pendant le TM6M peut contribuer à dépister les patients atteints d'une MPOC qui peuvent présenter une importante désaturation nocturne.

METHODS

Study population

The data for the exploratory study were obtained by conducting a chart review of patients with a history of COPD who had been admitted for pulmonary rehabilitation at Mount Sinai Hospital (Montreal, Quebec) between March 2006 and May 2007. Subjects in the validation study were selected retrospectively (July 2008 and July 2009) based on results from a 6MWT in which they desaturated to or below 88%. Approximately two age-matched controls were included per subject. The control patients had COPD but did not desaturate to or below 88%. Patients with a history of obstructive sleep apnea were excluded, as were individuals who were on long-term supplemental oxygen. The present study was approved by the Mount Sinai Hospital Center Ethics Committee (approval number 052007).

Study design

All patients underwent routine spirometry that was performed according to published guidelines (3). Arterial blood gases were not analyzed routinely but were performed at the discretion of the attending physician. A 6MWT was conducted before initiation of the rehabilitation program using published recommendations (4). This was performed in a hospital corridor, and the total distance travelled was recorded in

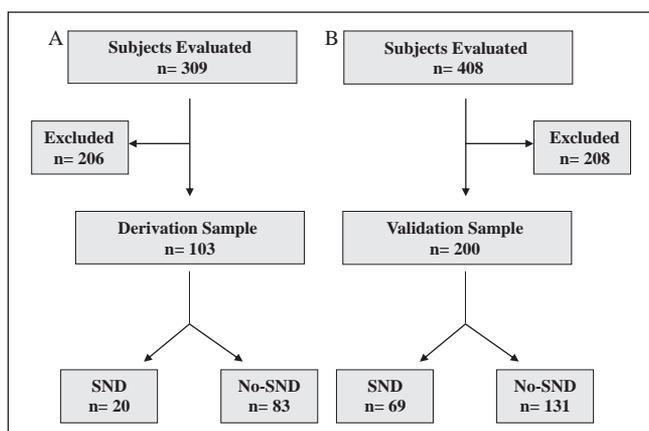


Figure 1) Study flow diagram A Exploratory study. B Validation cohort. SND Significant nocturnal desaturation

TABLE 1 Baseline characteristics of the exploratory and validation cohorts

Characteristic	Cohort	
	Exploratory (n=103)	Validation (n=200)
Age, years	70.1±9.9	69.9±9.9
Men, n (%)	47 (46)	94 (47)
Body mass index, kg/m ²	25.6±7.1	25.1±6.2
FEV ₁ , L	0.76±0.34	0.89±0.44
FEV ₁ , % predicted	36.4±16.4	41.7±21.7
FVC, L	1.8±0.5	1.8±0.62
FVC, % predicted	61±16	57±19
FEV ₁ /FVC	0.42±0.13	0.49±0.15
Arterial blood, pH	7.4±0.03	7.4±0.03*
PaCO ₂ , mmHg	39.2±7.1	41.0±6.4*
PaO ₂ , mmHg	69.6±8.0	70.3±11.4*
6 min walk distance, m	176±71	222±104

Data presented as mean ± SD unless otherwise indicated. *Arterial blood gas data were available for 81 (exploratory) and 137 (validation) patients. FEV₁ Forced expiratory volume in 1 s; FVC Forced vital capacity; PaCO₂ Partial pressure of carbon dioxide in arterial blood; PaO₂ Partial pressure of oxygen in arterial blood

metres. The pulse oximetry saturation (ie, SpO₂) was monitored using a finger sensor (Onyx II, Nonin Medical Inc, USA) and the values obtained were recorded before and immediately on completion of the 6MWT. If the patient stopped during the test, the SpO₂ at that point was recorded. In addition, at these times, patients were asked to rate their perceived dyspnea on a 10-point Borg scale. During overnight oximetry, SpO₂ was continuously recorded for a minimum of 6 h using a pulse oximeter and finger sensor (920M Plus oximeter, Respironics Inc, USA) while the patient slept in his/her hospital bed. A hard-copy tracing was then produced from which mean and lowest overnight saturation was obtained, as well as the percentage of valid recorded time spent at saturation <90%. As previously defined, a patient was characterized to have SND if ≥30% of overnight recorded time was spent with an oxygen saturation <90% (5). Body mass index (BMI) was calculated by dividing body weight (kg) by height (m²).

Statistical analysis

Descriptive statistics (means, SDs, counts and frequencies in per cent) were used to describe patients' baseline characteristics. Pearson correlations were also calculated to evaluate the relationship between continuous baseline variables and percentage of time spent at <90% saturation. A contingency table and ROC analysis was performed for the prediction of significant desaturation. Statistical significance was set at a two-sided level of 0.05, and 95% CIs were calculated.

Table 2 6 min walk test results (exploratory study) (n=103)

Measurement	
Prewalk SpO ₂ , %	93.9±2.4
Postwalk SpO ₂ , %	91.1±4.0
Change in oxygen saturation (prewalk – postwalk)	2.8±3.1
6 min walk test distance, m	175.8±70.9
Prewalk dyspnea score, 1–10	1.1±1.2
Postwalk dyspnea score, 1–10	3.4±2.1

Data presented as mean ± SD. SpO₂ Oxygen saturation by pulse oximetry

TABLE 3 Overnight oximetry results (exploratory study) (n=103)

Measurement	
Oxygen saturation, %	92.7±2.6
Highest oxygen saturation, %	98.3±1.4
Lowest oxygen saturation, %	73.5±14.9
Oxygen desaturation events <90%, n	105.0±126.0
Percentage of time at <90% oxygen saturation	14.4±21.9

Data presented as mean ± SD

RESULTS

Subjects

The overall flow of participants and organization of the study protocols are summarized in Figure 1. The most common reasons for exclusion were an additional diagnosis of obstructive sleep apnea (45%), use of oxygen (26%) and insufficient data (29%). The descriptive characteristics of both the exploratory and validation cohorts are presented in Table 1. Both populations contained a high proportion of patients who were classified as having severe COPD.

Outcomes in the 6MWT and nocturnal oximetry (exploratory study)

Results of the 6MWT are summarized in Table 2. Patients generally demonstrated a reduced walk distance for their age and moderate dyspnea on exertion. Nocturnal oximetry data are detailed in Table 3. Twenty of 103 patients (20%) met the criteria for SND (Figure 1).

Correlation and contingency analysis (exploratory study)

BMI, PaO₂ and SpO₂ before and after the 6MWT all demonstrated significant correlations with the percentage of time spent with SpO₂ <90% during sleep (Table 4). The prevalence of SND was 48% (10 of 21) when the postwalk saturation was ≤88% compared with 12% (10 of 82) when the postwalk saturation was >88%. The critical threshold of 88% for desaturation during the 6MWT was supported by ROC analysis (Figure 2). The area under the curve statistic was 0.68 (95% CI 0.54 to 0.82). A positive likelihood ratio for SND was 3.77 (95% CI 1.87 to 7.62) in patients who desaturated with SpO₂ ≤88% (Table 5). Of the patients who maintained a saturation ≥95% during exercise, only 5% (two of 44) experienced SND. The greatest sum of sensitivity (50%) and specificity (87%) was at a pulse oximetry threshold of ≤88%, also suggesting that this value represented the optimum threshold for detection (6). The threshold SpO₂ of ≤88% during a 6MWT was retained as the primary predictor of SND to validate in a subsequent cohort.

Evaluation of the primary predictor (validation study)

The 200 patients used in the validation analysis were obtained as shown in Figure 1, with their characteristics also noted in Table 1. Contingency analyses (Table 5) using the same cut-off of 88% derived in the exploratory study, proved to be operational and was still predictive of SND. The ROC analysis supported the use of the SpO₂ threshold ≤88% as a predictor of SND (Figure 3). The area under the curve in the validation population was 0.73 (95% CI 0.65 to 0.80).

TABLE 4
Interitem correlations (r) of patient variables and percentage of time with arterial oxygen saturation <90%

Outcomes	r
Pulmonary function	
FEV ₁ , L	-0.05
FEV ₁ , % predicted	-0.09
FVC, L	-0.07
FEV ₁ /FVC, %	-0.02
Arterial blood gas, mmHg	
PaO ₂	-0.34**
PaCO ₂	0.13
6 min walk test	
Distance, m	0.02
Prewalk SpO ₂ , %	-0.40**
Postwalk SpO ₂ , %	-0.37**
Prewalk dyspnea score, 1-10	-0.08
Postwalk dyspnea score, 1-10	-0.08
Body mass index	0.23*

*P<0.05; **P<0.01. FEV₁ Forced expiratory volume in 1 s; FVC Forced vital capacity; PaCO₂ Partial pressure of carbon dioxide in arterial blood; PaO₂ Partial pressure of oxygen in arterial blood; SpO₂ Oxygen saturation by pulse oximetry

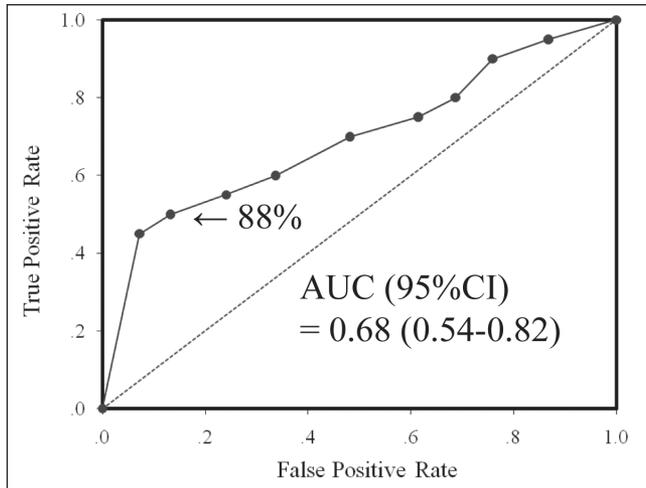


Figure 2) ROC curve. Pulse oximetry after the 6 min walk test in the validation cohort. AUC Area under the curve

DISCUSSION

In our initial (ie, exploratory) study, we found that desaturation following a 6MWT was useful in helping to predict which patients with COPD had SND. Almost one-half of subjects who had a 6MWT with a lowest SpO₂ ≤88% during their walk, had SND according to our definition. This represented a positive likelihood of 3.77 compared with those who did not reach this threshold value. The findings were consistent in our validation study, in which 36 of 63 (57%) patients with a 6MWT SpO₂ ≤88% had SND. Because the 6MWT is frequently used to assess patients, we suggest that SpO₂ also be monitored during this evaluation. Using the above threshold level, individuals with the highest likelihood of having SND can be identified, thus enabling physicians to use overnight oximetry in a population in which the probability of finding SND is high.

We chose to focus particularly on the 6MWT because it has been established as an informative parameter in patients with COPD (7,8). The 6MWT distance has been shown to be a better predictor of mortality than forced expiratory volume in 1 s (FEV₁) in these individuals (9,10). Casanova et al (7) found that oxygen desaturation during the 6MWT can help predict which patients may experience a poor outcome independent from the distance walked. Garcia-Talavera et al (8) also advocated recording SpO₂ during the 6MWT, and found that

TABLE 5
Diagnostic characteristics at different oxygen saturation thresholds achieved during the 6 min walk test

		Nocturnal desaturation		
		Yes	No	Total
Exercise-induced desaturation	Yes	10	11	21
	No	10	72	82
	Total	20	83	103

Data presented as n. Sensitivity: 10/20=50%; Specificity: 72/83=87%; Positive likelihood ratio = 3.77

		Nocturnal desaturation		
		Yes	No	Total
Exercise-induced desaturation	Yes	36	27	63
	No	31	106	137
	Total	67	133	200

Data presented as n. Sensitivity 36/67=54%; Specificity: 106/133=80%; Positive likelihood ratio = 2.65

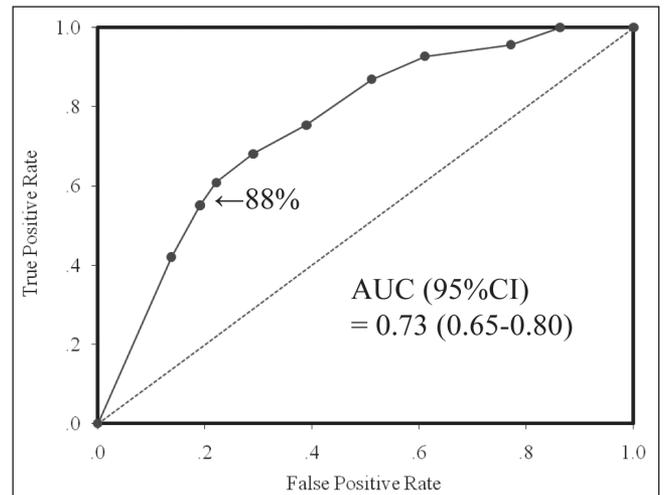


Figure 3) ROC curve. Pulse oximetry after the 6 min walk test in the validation cohort. AUC Area under the curve

patients with COPD who desaturated during the first minute of their walk were those most likely to desaturate during activities of daily living or during sleep.

Although we believed that desaturation during the 6MWT was most useful in predicting SND, we found that several other baseline measurements also correlated with nocturnal desaturation to levels <90%. These included a reduced PaO₂ and/or SpO₂ at rest before the 6MWT. Nocturnal desaturation also correlated with BMI. These relationships have been noted by other authors, but their predictive value has been variable. Fletcher et al (11) found a low PaO₂ and a high partial pressure of carbon dioxide in arterial blood (PaCO₂) to be related to – but not very predictive of – nocturnal desaturation. Bradley et al (12), however, identified awake SpO₂ and PaCO₂ as independent and predictive variables related to nocturnal desaturation. Similarly, Zanchet and Viegas (13) found that the only variable capable of predicting nocturnal desaturation was daytime SpO₂. A limitation of these studies was their small sample size. Nevertheless, these studies and ours reinforce the intuitive notion that individuals with low baseline oxygen saturation are more likely to desaturate at night because they are already closer to whichever threshold for defining these events is used. In addition, because they are starting at a lower baseline level, they are near the steep portion of the oxygen dissociation curve, where small changes in PaO₂ can lead to relatively large changes in SpO₂.

Baseline lung function was not useful in predicting nocturnal desaturation in our study, a finding consistent with the literature (14,15). Heijdra et al (16) were an exception, finding that in addition to gas exchange, FEV₁ and maximal inspiratory muscle strength correlated with nocturnal desaturation. Zanchet and Viegas (13) highlighted the difference between correlates and predictors of nocturnal desaturation in patients with COPD. Although they found that the FEV₁/FVC ratio correlated positively and significantly with SpO₂ during sleep, the only variable capable of predicting nocturnal desaturation was daytime SpO₂.

The prevalence of nocturnal desaturation among patients with COPD is unknown. Obviously, the lower the baseline SpO₂ in the population studied the higher the prevalence of SND is likely to be. Little et al (17) observed nocturnal desaturation in patients with SpO₂ ≤93% but in none with SpO₂ ≥95%. Others have refuted these results, reporting, for example, patients with daytime saturation as low as 91% or 92% who do not have SND (13). Although unusual, we found several patients in our series with daytime saturation ≥95% who did have nocturnal desaturation. In one of the earliest attempts to address this issue, Fletcher et al (18) found that 27% of patients with an awake PaO₂ of at least 60 mmHg experienced REM-associated desaturation. Subsequently, several small studies of patients with COPD reported the prevalence of SND to be approximately 50% in patients who had some degree of daytime hypoxemia (13,14). Similarly, after screening more than 800 patients with COPD, Lewis et al (19) found nocturnal desaturation in 29 of 59 patients with a daytime SpO₂ <95%. They then assumed that the remaining large group with a daytime SpO₂ ≥95% would not desaturate at night (which may not be accurate) and, thus, estimated the overall incidence of nocturnal desaturation to be 4.8%. Our study of 103 patients represents one of the largest in the literature attempting to address the issue of nocturnal desaturation in individuals with COPD. It is also unique in that it is the largest unselected group to be studied in such a way. We found that 20 of 103 (19.4%) patients in our study had SND. This figure, intermediate between the values of Thomas et al (14), Zanchet and Viegas (13) and the conservative estimate of Lewis et al (19), probably reflects the fact that we included a wide range of individuals with COPD. In our study, for example, approximately 43% (n=44) of our patients demonstrated a daytime SpO₂ ≥95% at one extreme, while another 28% (n=29) had a baseline SpO₂ ≤92%.

Several mechanisms have been postulated to explain the development of nocturnal desaturation in patients with COPD. These include increased bronchial tone, alveolar hypoventilation, ventilation perfusion mismatch, increased upper airway resistance and diminished responses to hypoxia and hyperapnea (20,21).

The significance of nocturnal desaturation in patients with COPD remains unclear. Although two major trials have proven that supplemental oxygen therapy can reduce mortality among patients with COPD and persistent hypoxemia, the evidence supporting a similar benefit for patients who desaturate only at night is less obvious (1,2). It is known that transient episodes of significant hypoxemia can produce vasoconstriction, pulmonary hypertension and arrhythmias (22,23). However, it has not been firmly established that patients with isolated nocturnal desaturation necessarily develop sustained pulmonary hypertension and have an increased risk of mortality. In one of the few studies to address this issue, Fletcher et al (18) concluded that nocturnal desaturation in patients with COPD was associated with shorter survival. A trend toward increased survival in 35 oxygen-treated versus 38 nontreated subjects was noted, but a statistically significant difference would likely have required more subjects. In a review of available studies in the literature, Cranston et al (24) concluded that home oxygen therapy did not appear to improve survival in patients who experienced desaturation at night only. Similarly, although many patients with COPD report poor quality or interrupted sleep, the limited data in the literature have not shown that nocturnal desaturation is associated with impairment in quality of life, sleep quality or daytime function (19,25). However, the paucity of information available

in this regard suggests that larger studies are needed if we are to more completely assess the significance of isolated nocturnal desaturation in patients with COPD.

Presently, a three-year, multicentre, placebo-controlled, randomized trial of nocturnal oxygen therapy (CANOX) has been designed and started by Lacasse et al in Canada (26). Patients to be studied are those with COPD and nocturnal desaturation only. This study will hopefully provide meaningful data to determine whether nocturnal oxygen therapy provided for a period of three years decreases mortality, improves quality of life and/or delays the prescription of long-term oxygen therapy in these patients with COPD.

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