

1 **A metabolomics-based strategy for the mechanism exploration of traditional Chinese medicine:**

2 ***Descurainia sophia* seeds extract and fractions as a case study**

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26 **Abstract**

27 A UPLC-QTOF-MS based metabolomics research was conducted to explore potential biomarkers  
28 which would increase our understanding of the model, and to assess the integral efficacy of  
29 *Descurainia sophia* seeds extract (DS-A). Additionally, DS-A was split into five fractions in  
30 descending order of polarity, which was utilized to illustrate the mechanism together. The 26  
31 identified biomarkers were mainly related to disturbances in phenylalanine, tyrosine, tryptophan,  
32 purine, arginine and proline metabolism. Furthermore, heat map, hierarchical cluster analysis (HCA)  
33 and correlation network diagram of biomarkers perturbed by modeling were all conducted. It  
34 suggested that fat oil fraction could reverse the abnormal metabolism in the model to some extent,  
35 meanwhile the metabolic inhibitory effect produced by the other four fractions helped to relieve  
36 cardiac load and compensate the insufficient energy supplement induced by the existing heart and  
37 lung injury in model rats. Briefly, the split fractions interfered with the model from different aspects,  
38 and ultimately constituted the overall effects of extract. In conclusion, the metabolomics method,  
39 combined with split fractions of extract, is a powerful approach for illustrating pathologic changes of  
40 Chinese medicine syndrome and action mechanisms of traditional Chinese medicine.

41 **Keywords:** Metabolomics; UPLC-QTOF-MS; *Descurainia sophia* seeds; fractions of extract;

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## 56 **1. Introduction**

57 Traditional Chinese medicine (TCM) is characterized by its complex composition and complicated  
58 mechanism. The absence of appropriate research method leads to the fact that the mechanisms of  
59 most TCM are difficult to clarify. Previous studies on the relationship between chemical composition  
60 and therapeutic effect are based on either one type of compound, e.g., flavonoids [1], alkaloids,  
61 triterpenoids [2], or a total extract [3]. Neither of above could fully reflect the characteristics of every  
62 type of compound in TCM and the contributions to the overall efficacy. In the present study, we  
63 employed a novel fraction method based on compound polarity and type to split the extract. As a  
64 representative of TCM, the aqueous extract of *Descurainia sophia* seeds (DS-A) was split into five  
65 fractions in descending order of polarity: DS-A1 DS-A2, DS-A3, DS-A4, DS-A5 (the precipitate  
66 from water eluted fraction precipitated with ethanol; the supernatant from water eluted fraction  
67 precipitated with ethanol; 20% ethanol eluted fraction; 80 % ethanol eluted fraction; fat oil fraction  
68 extracted by petroleum ether, respectively). Polysaccharides were the main component in DS-A1  
69 fraction, while oligosaccharides in DS-A2 fraction. Moreover, our group have isolated and identified  
70 various monomeric compounds from the other three fractions, including flavonoids, isothiocyanates,  
71 thioglycosides and other chemical composition [4, 5].

72 *Descurainia sophia* (L.) Webb ex Prantl (Flixweed) is a member of family Brassicaceae, which is  
73 widely distributed in Asia, Europe, northern Africa and North America. The seeds of *Descurainia*  
74 *sophia* have been used as a TCM to relieve cough and asthma, promote urination, alleviate edema  
75 and enhance cardiac function for a long time [6]. However, the mechanism and material basis of its  
76 efficacy are not yet clear. Previous pharmacological studies have showed that, DS-A had excellent  
77 performance in “harmful fluid retention in the upper jiao” (R-UJ) model [7], which is a Chinese  
78 medicine syndrome model characterized by cough, asthma, chest tightness and palpitation [8].  
79 Therefore, the R-UJ model was adopted to evaluate the therapeutic effects of DS-A and its fractions.

80 In the mechanism study, the choices of evaluation method are also critical for the accuracy and  
81 reliability of results. It's worth noting that the changes of several biochemical indicators could only  
82 reflect partial results in comprehensive actions of TCM, while, not the whole. The emergence of  
83 metabolomics provides a perfect solution to this problem with its unique merit [9]. Environmental  
84 change, drug effect and other exogenous stimulations always lead to variation in metabolic network

85 of endogenous metabolites, mainly reflected on the metabolite species and quantity. And  
86 metabolomics could achieve the overall effect of stimulation on the body through the comprehensive  
87 and systematic detection and analysis of endogenous small molecule metabolites in biological  
88 samples. Therefore, metabolomics describes the physiological and pathological status from an  
89 overall level, and offers an effective way to understand the mechanism of TCM [10].

90 In this manuscript, we utilized R-UJ and DS-A as pathological model and model drug, respectively.  
91 Firstly, DS-A was split into five fractions in descending order of polarity: DS-A1, DS-A2, DS-A3,  
92 DS-A4, DS-A5. Then, the metabolomics method was applied to research the influence of DS-A and  
93 its five fractions on the metabolic network in the pathological model, thereby proposing a new  
94 approach for the mechanism study of TCM.

## 95 **2. Materials and Methods**

96 *2.1. Chemicals and Reagents.* Acetonitrile (HPLC grade) was purchased from Fisher Chemical  
97 (USA). Deionized water was prepared with Molecular Water Purification system. Formic acid  
98 (LCMS grade, FA) was purchased from Anaqua Chemicals Supply Inc (USA). Isoprenaline  
99 Hydrochloride (ISO, batch number BCBC7466V) was purchased from Sigma-Aldrich (USA). DS  
100 were purchased from Zhengzhou Chinese herbal medicine market (Henan, China). The mentioned  
101 herb was authenticated by Professor Suiqing Chen and Chengming Dong, voucher specimens were  
102 deposited at the Henan University of Chinese Medicine.

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104 *2.2. Extraction and Fraction.* The dried DS (15 kg) were decocted with water for three times (150 L  
105  $\times$  3, 50 min each time) at 100 °C. The combined decoction was concentrated and dried in vacuum to  
106 obtain DS-A, then chromatographed on a Diaion HP-20 column (15  $\times$  120 cm) and eluted with H<sub>2</sub>O,  
107 20% (v / v) and 80% ethanol to obtain the water eluted fraction, 20% ethanol eluted fraction (DS-A3)  
108 and 80% ethanol eluted fraction (DS-A4) respectively. Subsequently, the water eluted fraction was  
109 precipitated with 95% ethanol to obtain the precipitate (DS-A1) and supernatant (DS-A2). On the  
110 other side, DS-A was extracted with petroleum ether to obtain the fat oil fraction (DS-A5). The base  
111 peak chromatograms of DS-A and its fractions by UPLC-QTOF/MS in ESI<sup>-</sup> and ESI<sup>+</sup> mode are  
112 shown in Figures S. 1(a) and 1(b).

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114 2.3. *Animals Handling.* Wistar rats (weighing  $200 \pm 20$  g, male and female in half) were obtained  
115 from the Laboratory Animal Center of Shandong Lukang Pharmaceutical Co. Ltd. (China). All  
116 animals were housed at  $20 \pm 2$  °C with a 12 h light / 12 h dark cycle and free access to water and  
117 food. All animal experiments were performed in accordance with institutional guidelines and ethics.

118 Eighty rats were randomly divided into 8 groups: control group (C), R-UJ model group (R-UJ) and  
119 six DS-treated groups receiving different fraction of DS after modeling. DS-A group received  
120 aqueous extract of DS (404.6 mg/kg/d); DS-A1~DS-A5 group received DS-A1~DS-A5 fraction  
121 (121.8 mg/kg/d, 238.9 mg/kg/d, 45.7 mg/kg/d, 55.6 mg/kg/d, 754.6 mg/kg/d) respectively. The drug  
122 was orally administered once a day for 4 weeks, C and R-UJ group were orally administered with  
123 water in the meanwhile. All animals were sacrificed after a collection of 24 h urine samples with  
124 metabolic cages. Subsequently, we collected blood from the abdominal aorta then removed and  
125 processed the heart and lung to detect the tissue injury.

126 R-UJ model was induced by subcutaneous (s.c.) injection of ISO at the dose of 20 mg/kg (day 1),  
127 10 mg/kg (day 2), 5 mg/kg (day 3), 3 mg/kg/d (day 4-20). Two weeks later, tracheal intubation was  
128 performed. Then the rats were placed in cold environment for 7 days (4 °C, 4 h/d) [8].

129  
130 2.4. *Biochemical and Histological Assessment.* Heart and lung injury of rats were estimated by heart  
131 coefficient (heart weight/body weight, g/100g) and lung coefficient (lung weight/body weight,  
132 g/100g). Part of the fresh heart and lung tissue were rapidly put into 10% formalin solution for tissue  
133 slices preparation. Hematoxylin-eosin (HE) staining sections of heart and lung were observed under  
134 microscope (ECLIPSE TS100, Nikon, Japan).

135  
136 2.5. *Sample Preparation.* Urine samples were stored at -80 °C before being analyzed by  
137 UPLC-QTOF-MS. Prior to the analysis, urine samples were thawed in ice-water, then centrifuged at  
138 4 °C (20, 000 g for 10 min). Each 300  $\mu$ L aliquot of the supernatant was mixed with 900  $\mu$ L cold  
139 acetonitrile. The mixture was vortexed for 3 min and centrifuged at 20,000 g for 10 min, then 2  $\mu$ L of  
140 the supernatant was injected into the UPLC.

141  
142 2.6. *UPLC-QTOF-MS Analysis of Urine.* Separation was performed by UPLC (Dionex UltiMate  
143 3000 system, Thermo Scientific, USA) and screened with ESI-MS. The LC system was comprised of

144 an Acclaim™ RSLC 120 C<sub>18</sub> column (2.2 μm, 2.1×100 mm; Thermo Scientific, USA). The mobile  
145 phase was composed of solvent A (0.1% formic acid-water) and solvent B (acetonitrile) with a  
146 gradient elution (0-1 min, 98-90% A; 1-9 min, 90-80% A; 9-16 min, 80-70% A; 16-20 min, 70-2%  
147 A). The flow rate of mobile phase was 0.3 mL/min. The column temperature was maintained at  
148 40 °C, and the sample manager temperature was set at 4 °C.

149 Mass spectrometry was performed on a Quadrupole-Time of Flight Mass Spectrometer  
150 (QTOF-MS; maXis HD, Bruker, Germany) using an ESI source. The scanning mass range (m/z) was  
151 from 50 to 1500 with spectra rate of 1.00 Hz. The capillary voltage was set at 3500 V and 3200 V  
152 (positive and negative mode, respectively). The pressure of the nebulizer was set at 2.0 Bar, the dry  
153 gas temperature at 230 °C, and the continuous dry gas flow rate at 8 L/min.

154 At the beginning of the sequence, we ran five quality control (QC) samples to avoid small changes  
155 in both chromatographic retention time and signal intensity. The QC samples were also injected at  
156 regular intervals (every six samples) throughout the analytical run.

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158 *2.7. Statistical Analysis.* The raw data were calibrated, peak aligned, background noise subtracted  
159 and normalized by Profile Analysis (version 2.1, Bruker, Germany). The consequent “bucket table”  
160 was imported into the SIMCA-P software (version 13.0 Umetrics AB, Sweden) for multivariate  
161 analysis. A Principal Component Analysis (PCA) was first applied as an unsupervised method for  
162 data visualization and outlier identification [11]. Supervised regression modeling was then performed  
163 by Orthogonal Partial Least Squares Discriminant Analysis (OPLS-DA) to identify potential  
164 biomarkers. The biomarkers were filtered by the results of variable importance for the projection  
165 (VIP) values (VIP > 1.5) and t-test ( $P < 0.05$ ).  $R^2$  and  $Q^2$  values are important indicators to assess the  
166 quality of fitting model.  $R^2$  displays the variance in the model, indicating the quality of the fitting.  $Q^2$   
167 displays the variance of the data, indicating the model's predictability.

168 Furthermore, heat map and HCA were conducted by MeV software (version 4.8.0.). The  
169 correlation network was constructed based on Metabo Analyst (<http://www.metaboanalyst.ca/>),  
170 KEGG (<http://www.kegg.jp/>) and MBRole database (<http://csbg.cnb.csic.es/mbrole2>) [12].

### 171 **3. Results**

172 *3.1 Biochemical Analysis and Histopathological Observations.* As shown in Figures 1(a) and 1(b),

173 the organ coefficients of model group were significantly ( $P < 0.01$ ) higher than that of the C group,  
174 indicating the appearance of heart and lung injury after modeling. In the six DS-treated groups, the  
175 injury of heart and lung was significantly ( $P < 0.01$ ) improved in the DS-A, DS-A2, DS-A3 and  
176 DS-A5 group.

177 A similar phenomenon also appeared in the result of histopathological examination, as shown in  
178 Figures 1(c) and 1(d). Compared with C group, cardiac hypertrophy and pulmonary interstitial  
179 hyperplasia were evident in model group. In the six DS-treated groups, the heart and lung injury was  
180 repaired significantly ( $P < 0.01$ ) in the DS-A, DS-A3 and DS-A5 group.

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182 *3.2. Metabolic Profiling of Urine.* Chromatographic parameters, such as gradient of mobile phase,  
183 flow rate, column temperature and injection volume, were all optimized for urine sample analysis.  
184 The best peak shapes and resolution obtained are shown in Figure 2.

185 QC samples were run in both negative and positive mode at regular intervals (every six samples)  
186 throughout the entire sequence to monitor the stability of the LC-MS system. The RSDs of peak  
187 areas and retention times of the potential biomarkers in extracted ion chromatogram were calculated.  
188 More than 90% of the RSDs were less than 30%. Therefore, the precision and repeatability of the  
189 system were highly acceptable.

190 The normalized data of  $ESI^-$  and  $ESI^+$  were merged and imported into SIMCA-P software for  
191 multivariate statistical analysis. PCA was first used to investigate the entire metabolic variations in  
192 model and DS-treated groups. Firstly, there exhibits a clear grouping trend ( $R^2X = 0.678$ ;  $Q^2 = 0.444$ )  
193 between C, R-UJ and DS-A group, as shown in Figure 3(a). The observation indicated that modeling  
194 disturbed metabolism of endogenous substances, and they deviated from the normal state. DS-A had  
195 effect on R-UJ model rats, although the trajectory did not return to baseline value. In order to reveal  
196 the contributions from different fractions of DS-A, we analyzed all the DS-treated groups. The  
197 results exhibited an obvious grouping trend ( $R^2X = 0.582$ ;  $Q^2 = 0.346$ ) between DS-A5 and the other  
198 four fraction groups (DS-A1, DS-A2, DS-A3, DS-A4), as shown in Figure 3(b). It revealed that the  
199 effects of DS-A might be the combined effects of its five fractions. Furthermore, a PCA was  
200 performed for all groups which confirmed our reasoning. As shown in Figure 3(c), C, R-UJ and  
201 DS-A5 group close together as one category, DS-A and the other four fraction treated groups close  
202 together as the other category ( $R^2X = 0.613$ ;  $Q^2 = 0.441$ ). Also, the result of HCA was consistent with

203 PCA.

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205 *3.3. Potential Biomarkers.* The supervised OPLS-DA model was established to compare the  
206 metabolic changes between C and R-UJ group. As shown in OPLS-DA score scatter plot, a clear  
207 separation was observed based on the first two components (Figure 4(a),  $R^2X = 0.723$ ;  $R^2X = 0.983$ ;  
208  $Q^2 = 0.824$ ). Before being approved as potential biomarkers, the significantly changed metabolites  
209 were carefully screened by VIP values ( $VIP > 1.5$ ) and t-test ( $P < 0.05$ ), as shown in Figure 4(b).

210 The structures of metabolites were then identified according to the online database such as Metlin  
211 (<https://metlin.scripps.edu/>), Human Metabolome Database (<http://www.hmdb.ca/>), and MassBank  
212 (<http://www.massbank.jp/>) using the data of accurate mass, MS/MS fragment and the origin. Further  
213 confirmation was acquired by comparing the retention time and MS/MS fragment pattern with  
214 authentic standards when it was necessary [13]. Consequently, a total of 26 potential biomarkers of  
215 R-UJ rats, including 17 in ESI<sup>-</sup> and 9 in ESI<sup>+</sup> mode, were identified and listed in Table 1. Figure 5 is  
216 a heat map showing the average normalized quantities of the 26 metabolites in C, R-UJ, DS-A,  
217 DS-A1, DS-A2, DS-A3, DS-A4 and DS-A5 group. Nearly all the biomarkers showed a significantly  
218 decreasing change ( $P < 0.05$ ) in R-UJ group compared to C group. Only DS-A5 group exhibited a  
219 reverse to normal status, the other four fractions (DS-A1, DS-A2, DS-A3, DS-A4) seemed to  
220 exacerbate this decline. But it did not rule out that the metabolic inhibitory effect produced by them  
221 four might involve other metabolism in the body and play therapeutic effect from a different aspect.

222

223 *3.4. Correlation network of differential metabolites.* To investigate the latent relationships between  
224 the metabolites, a correlation network diagram was constructed based on Metabo Analyst, KEGG  
225 and MBRole databases. All the 26 biomarkers were imported into the MBRole database to obtain the  
226 categorical annotations ( $P < 0.05$ ). As shown in Table 2, there are mainly three enriched metabolic  
227 pathways, including five highlighted metabolites of Hippuric acid, Phenylacetyl glycine, Dopamine,  
228 Homovanillin, and Taurine which provided the key information for constructing the network  
229 diagram.

230 Consequently, a metabolic pathway map including significantly changed metabolites in urine of  
231 R-UJ rats was constructed based on KEGG database and relevant literatures. As shown in Figure 6,  
232 five metabolic pathways perturbed by modeling, including phenylalanine metabolism, tyrosine

233 metabolism, tryptophan metabolism, purine metabolism, arginine and proline metabolism were  
234 related to each other via the citrate cycle.

## 235 **4. Discussion**

236 *4.1. The R-UJ model.* The R-UJ model is a typical Chinese medicine symptom model, which is  
237 suitable for researching the mechanism of DS --- a classical TCM used for heart and lung diseases all  
238 long time [6]. According to literature method, R-UJ model was induced by s.c. injection of ISO  
239 combined with tracheal intubation and cold stimulus [8]. ISO is a beta receptor agonist, excessive  
240 use will increase myocardial contractility and oxygen consumption, and finally result in  
241 compensatory cardiac hypertrophy [14]. Tracheal intubation increased lung ventilation, if combined  
242 with cold stimulus large number of cold air could cause pulmonary interstitial hyperplasia and  
243 alveolar diffuse edema [15]. The results of biochemical analysis and histopathological observations,  
244 together with the corresponding symptoms such as cough, asthma and cardiac insufficiency appeared  
245 in model group all confirmed that the R-UJ model was successfully simulated. Since the  
246 histopathologic examination was performed four weeks later, the edema may have been absorbed but  
247 pulmonary interstitial hyperplasia was still evident.

248

249 *4.2. The impacts on metabolism.* Results of biochemical indicators and histopathological examination  
250 have showed that DS-A could improve the symptoms of R-UJ rats profoundly. While, we don't know  
251 how DS-A works. Metabolomics study operates a global metabolic profile analysis that matches  
252 tightly with the holistic view of Chinese medicine, making it to be an effective way for the  
253 mechanism research of TCM.

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255 *4.2.1. Phenylalanine metabolism.* Phenylalanine (Phe) is known to be a precursor for both hippuric  
256 acid (HA) and phenylacetylglycine (PAG), its two major metabolic alterations [16, 17]. The contents  
257 of HA and PAG in the urine of model group decreased, indicating that the levels of HA and PAG in  
258 plasma declined. So, it is likely that the Phe is not metabolized completely and accumulates in the  
259 body. Krause et al. observed an inverse relationship between dopamine excretion and plasma Phe  
260 level, which confirmed our reasoning [18]. Cause we detected the decreased excretion of dopamine  
261 in model rats. High level of Phe will promote the secretion of insulin on one hand, which lowers the

262 blood glucose level and results in the insufficient energy supply for cardiomyocyte [19], and inhibit  
263 the activity of Na<sup>+</sup>, K<sup>+</sup>-ATPase on the other [20]. Na<sup>+</sup>, K<sup>+</sup>-ATPase is essential for the maintenance of  
264 cardiac function [21], and plays a key role in the regulation of cardiovascular function [22]. It  
265 provides energy for myocardial contraction and relaxation, maintains the balance of sodium and  
266 potassium ion. In addition, Na<sup>+</sup>, K<sup>+</sup>-ATPase is an important signal transducer in repairing lung injury  
267 [23]. Therefore, decreased activity of Na<sup>+</sup>, K<sup>+</sup>-ATPase may aggravate the injury of heart and lung. As  
268 shown in Table 1, HA and PAG excretion increased after administration of DS-A5, suggesting that  
269 DS-A5 fraction may improve the cardio-pulmonary function by promoting Phe metabolism.

270

271 *4.2.2. Catecholamine metabolism.* Dopamine (DA) is a kind of catecholamine, its effect depends on  
272 where it is. DA in plasma could improve urination and renal function [24], which is beneficial to  
273 heart. If absorbed by heart, DA would speed up the heart rate, enhance myocardial contractility,  
274 increase conduction velocity and cardiac output, and finally result in compensatory cardiac  
275 hypertrophy through binding to  $\beta$ -2 receptor. As shown in Table 1, the urine content of DA decreased  
276 in the model group, which may be attributed to the uptake of DA by heart and the consequent low  
277 level of DA in plasma. As a result, the heart suffered double damage. After administration of DS-A5,  
278 DA excretion as well as closely related plasma level of DA increased. Therefore, DS-A5 could  
279 reduce the cardiac load and eliminate pulmonary edema by up-regulating DA plasma level.

280

281 *4.2.3. Taurine metabolism.* Taurine (Tau), a ubiquitous endogenous sulfur-containing amino acid,  
282 possesses numerous pharmacological and physiological actions, such as antioxidant activity,  
283 modulation of calcium homeostasis, against catecholamine and angiotensin II [25], improve cardiac  
284 energy metabolism [26]. Oxidative stress leads to impaired contractile function, calcium mishandling,  
285 cell death and ventricular remodeling; Adrenochrome induces cardiomyocyte apoptosis [27];  
286 Angiotensin II enhances the release of aldosterone, which acts on the kidney to promote water and  
287 salt retention. This action contributes to an increase in cardiac preload by increasing body fluid, and  
288 exacerbates the heart failing. However, the actions of Tau could impact the adverse effects of all  
289 above, making it to be a kind of “cardio-protectant”. Also, Tau could enhance glucose utilization in  
290 heart without affecting oxygen consumption, suggesting that it may promote a shift in metabolic fuel  
291 utilization [28]. In conclusion, Tau plays a key role in modulating both cardiac function and energy

292 metabolism. As shown in Table 1, Tau in the urine of model group was lower than the control group,  
293 suggesting that the in vivo content of Tau in the model group decreased and was insufficient for the  
294 protecting heart. Corresponding symptoms appeared in model rats also confirmed our reasoning. Tau  
295 excretions all decreased in DS-treated groups, indicating that Tau was kept in the body to protect  
296 heart after administration of DS-A or its fractions. Effect of DS-A on Tau excretion also explained  
297 why DS-A enhanced cardiac function without increasing myocardial oxygen consumption [29].

298

299 *4.2.4. The impact on renal and cardiac toxicity.* *p*-Cresol sulfate (pCS) is the sulfate conjugate of  
300 *p*-cresol, which is formed by microbes from tyrosine; Indoxyl sulfate (IS) is the sulfate conjugate of  
301 indoxyl, which is formed by microbes from tryptophan [30]. *p*-Cresol glucuronide (pCG) is the  
302 glucuronic acid conjugate of *p*-cresol in the intestinal wall [31]. pCS and pCG are uremic solutes,  
303 pCS and IS have renal and cardiac toxicity. Thereby, the decreased contents of them three in the  
304 urine of model group may be due to their accumulation in vivo [32], which would lead to a series of  
305 problems. Firstly, pCS and IS could induce significant cellular inflammation reaction, which is an  
306 important pathological mechanism for kidney injury [33, 34]; Secondly, they promote kidney fibrosis,  
307 accelerate kidney disease and renal dysfunction [35-37]; Thirdly, they promote cardiomyocyte  
308 apoptosis via NAPKH oxidase [38], and cardiac hypertrophy via AMP-activated protein kinase /  
309 uncoupling protein 2 respectively [39, 40]. As shown in Table 1, the excretion of pCS, pCG and IS  
310 increased after administration of DS-A5, indicating that DS-A5 could restore renal function and  
311 improve myocardial injury indirectly by accelerating the excretion of renal and cardiac toxin.  
312 Moreover, the diuretic effect benefited from the improvement in renal function could reduce the  
313 cardiac load and eliminate pulmonary edema.

## 314 **5. Conclusion**

315 A UPLC-QTOF-MS based urine metabolomics study was successfully performed to explore  
316 potential biomarkers in R-UJ model and investigate the mechanism of DS-A. With the help of  
317 biochemical and histological assessment, the model of R-UJ and the efficiency of DS-A were  
318 confirmed. The results of PCA, HCA and heat map suggested that the improvement of cardiac  
319 function and elimination of edema in model should be attributed to fat oil fraction (DS-A5), which  
320 promoted Phe metabolism, increased plasma level of DA, decreased excretion of Tau, and

321 accelerated excretion of renal and cardiac toxin; Meanwhile, the metabolic inhibitory effect produced  
322 by the other four fractions (DS-A1, DS-A2, DS-A3, DS-A4) helped to relieve cardiac load and  
323 compensate the insufficient energy supplement induced by the existing heart and lung injury in  
324 model rats. Briefly, the split fractions interfered with the model from different aspects, and ultimately  
325 constituted the overall effects of extract. In conclusion, the metabolomics method combined with  
326 split fractions of extract, is a powerful approach for illustrating the pathologic changes of Chinese  
327 medicine syndrome and action mechanisms of TCM.

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### 329 **Competing Interests**

330 The authors declare that they have no competing interests.

331

### 332 **Authors' Contributions**

333 Ning Zhou and Ya-Ping Sun contributed equally to this work.

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## Highlights

472 **1.** A novel fraction method based on compound polarity and type was employed to split the extract of  
473 traditional Chinese medicine.

474 **2.** The metabolomics approach and split fractions of extract were utilized in combination to illustrate  
475 pathologic changes of Chinese medicine syndrome and action mechanisms of traditional Chinese  
476 medicine.

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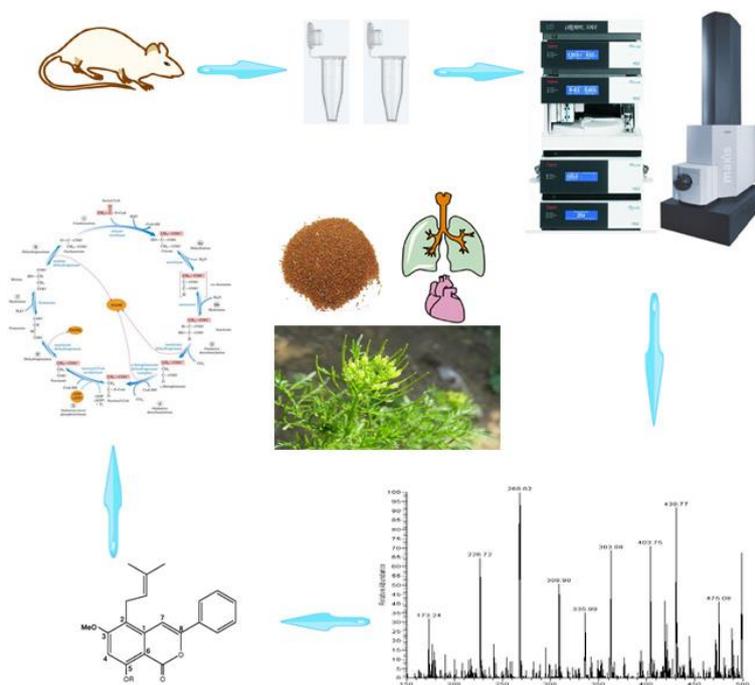
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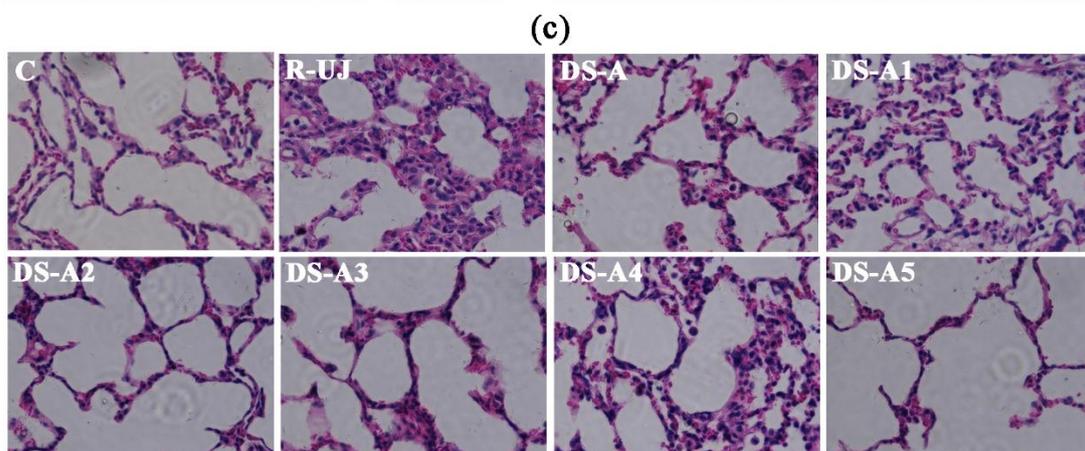
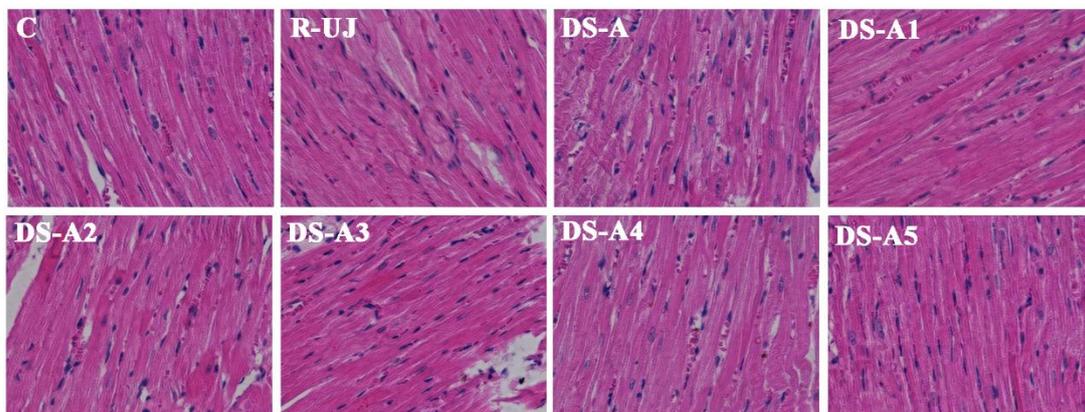
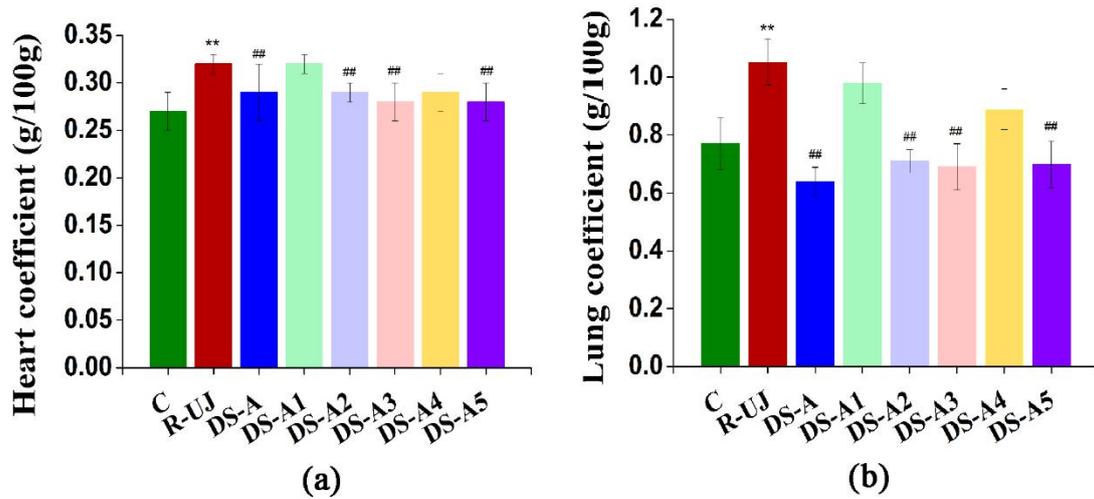
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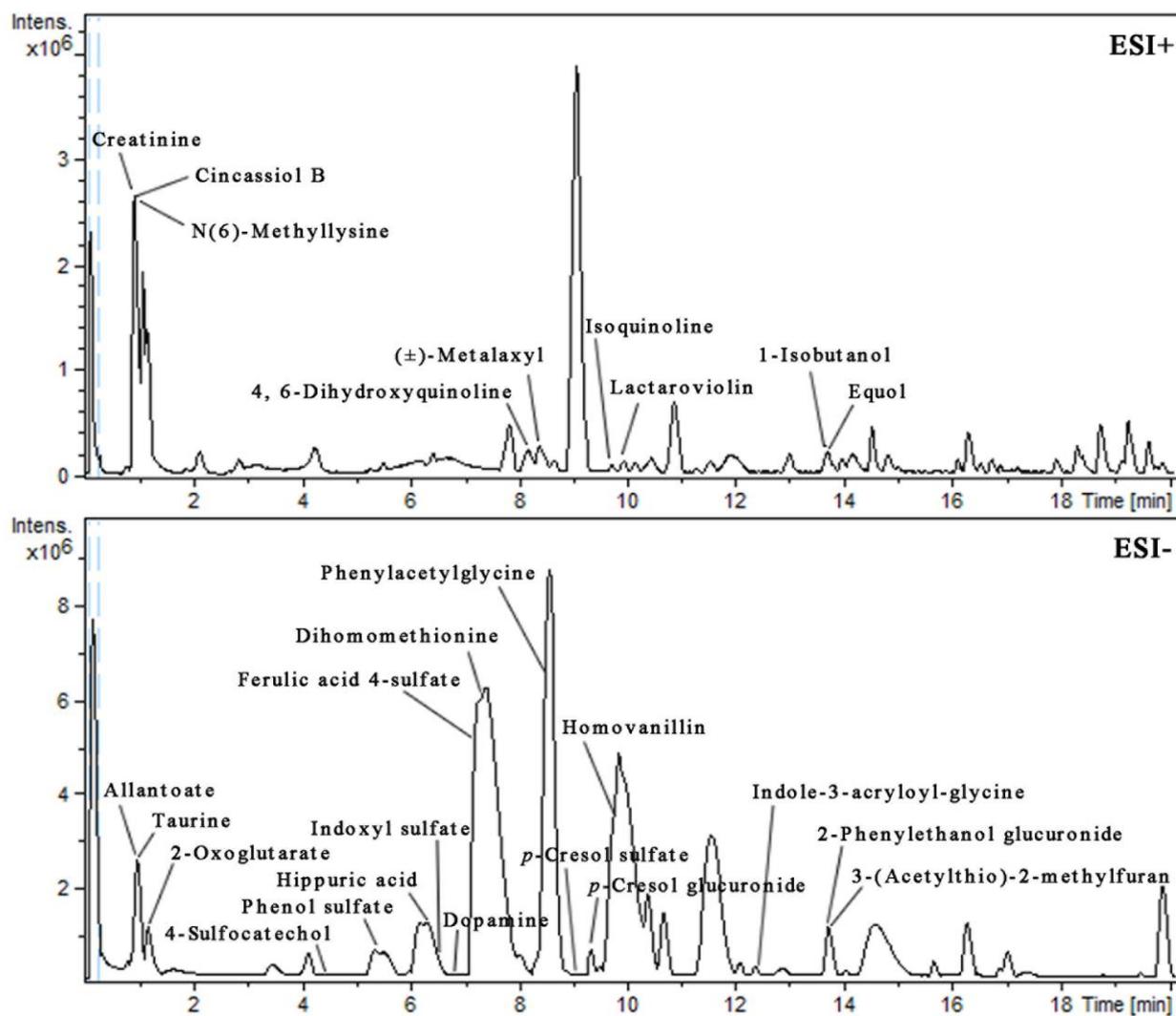
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## GRAPHICAL ABSTRACT



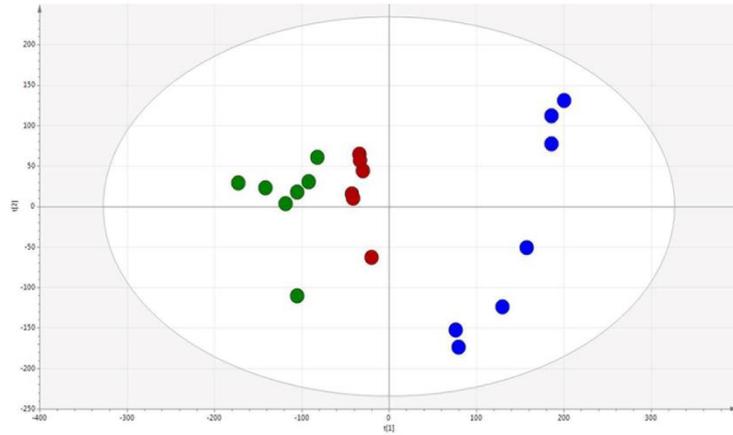
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487 FIGURE 1: Organ coefficients and histopathological examination (Magnification 400×) in C, R-UJ  
 488 model and DS-treated groups: heart coefficient (a), lung coefficient (b), HE stained slices of heart (c)  
 489 and lung (d). \* $P < 0.05$ , \*\* $P < 0.01$ , compared with the control group; # $P < 0.05$ , ## $P < 0.01$ ,  
 490 compared with the model group.

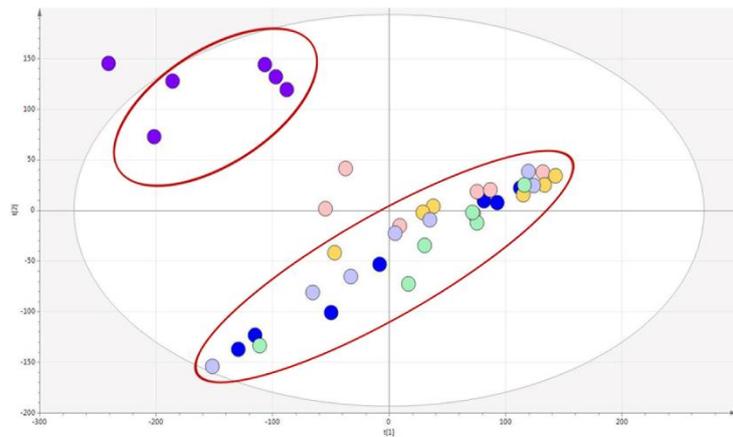


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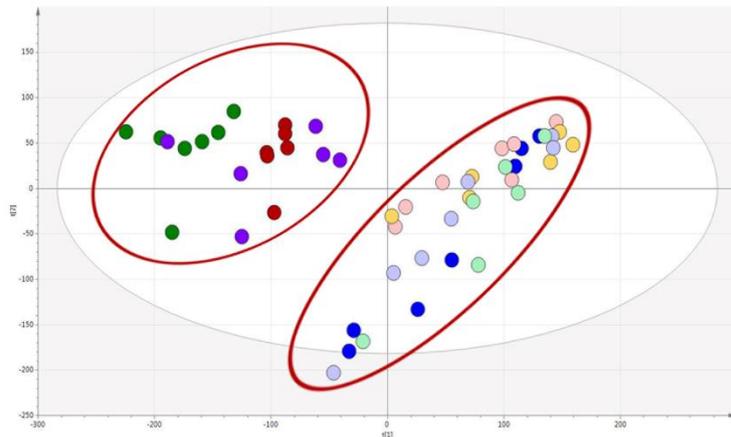
492 FIGURE 2: Representative base peak chromatograms obtained from urine in ESI<sup>+</sup> and ESI<sup>-</sup> mode.



(a)



(b)



(c)

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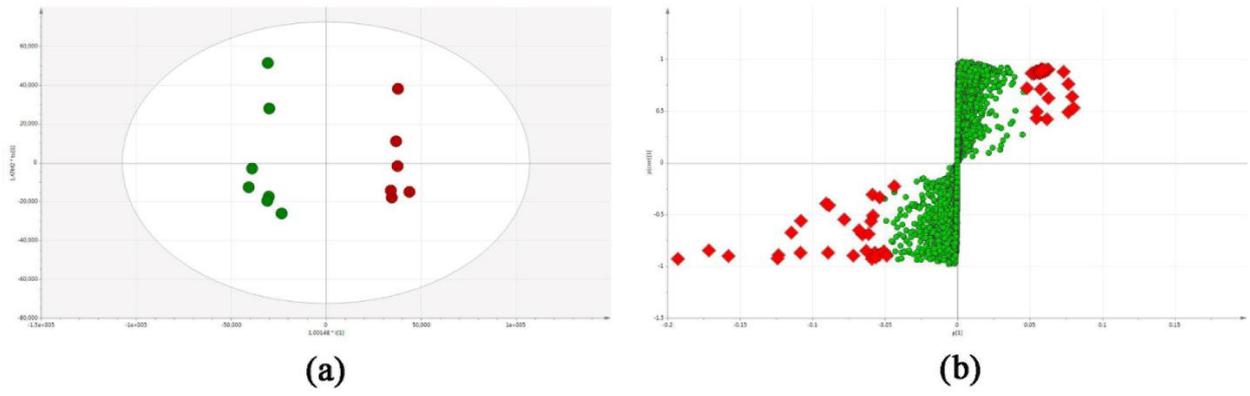
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- C group
- R-UJ group
- DS-A group
- DS-A1 group
- DS-A2 group
- DS-A3 group
- DS-A4 group
- DS-A5 group

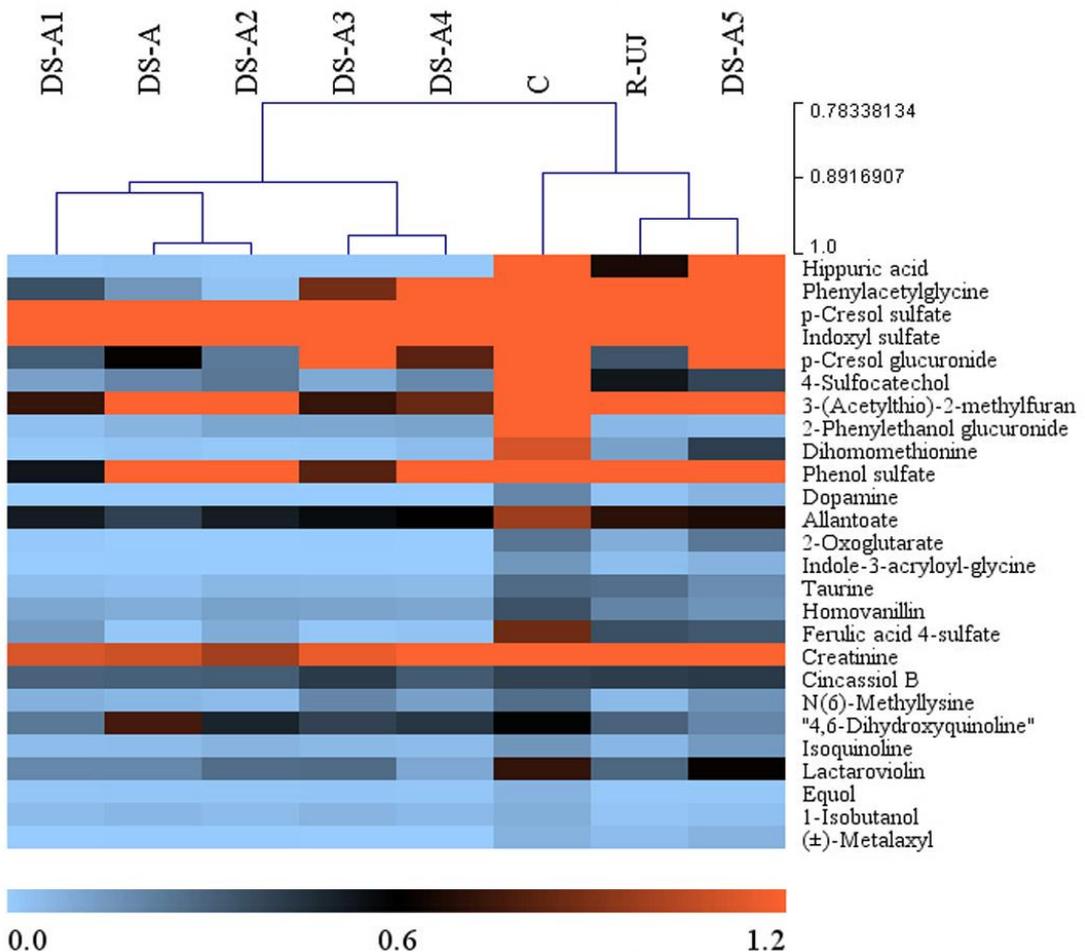
FIGURE 3: Multivariate data analysis: PCA score scatter plot obtained from C, R-UJ model and DS-A group (a); PCA score scatter plot obtained from all DS-treated groups (b); PCA score scatter plot obtained from all groups (c).



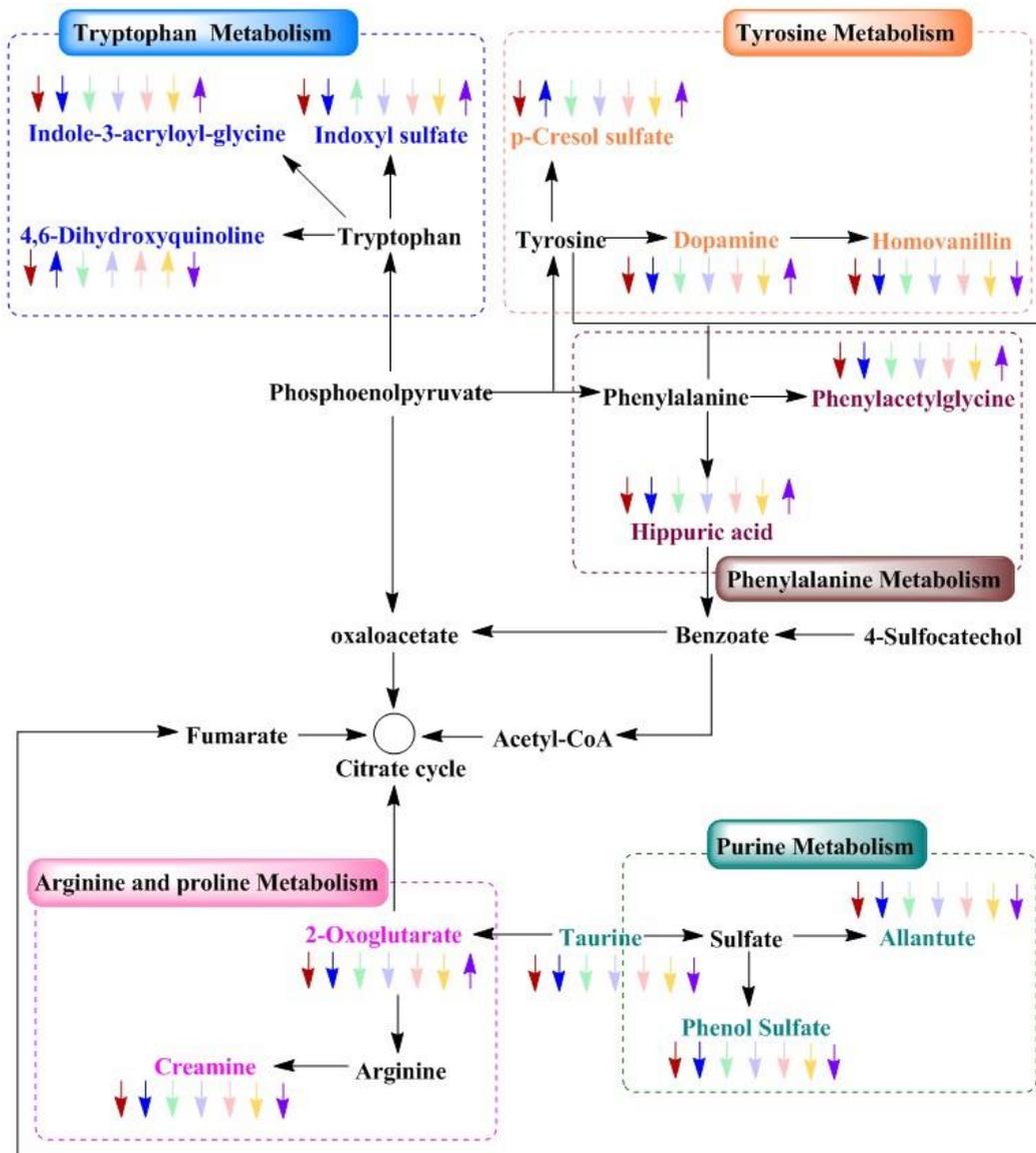
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 500 ● C group ● R-UJ group

501 FIGURE 4: OPLS-DA score scatter plot obtained from C versus R-UJ model group (a), S-plot of  
 502 OPLS-DA for R-UJ model group (b).

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 506 FIGURE 5: Heat map of the 26 potential biomarkers in C, R-UJ, DS-A, DS-A1, DS-A2, DS-A3,  
 507 DS-A4 and DS-A5 group. The colors changing from blue to orange indicate more metabolites.



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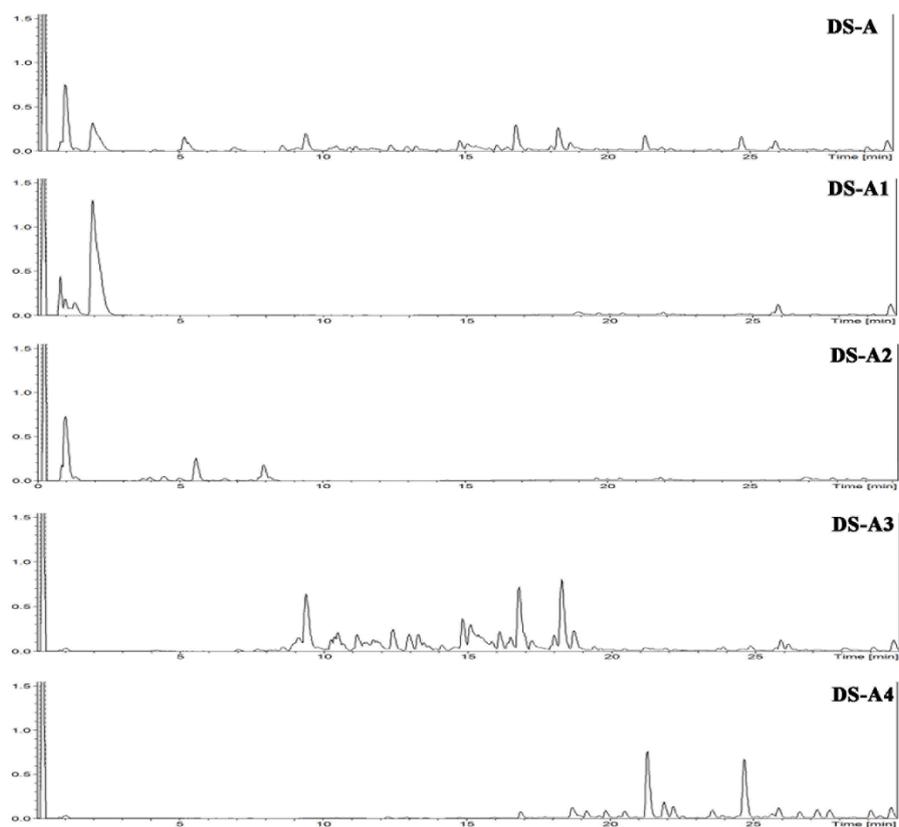
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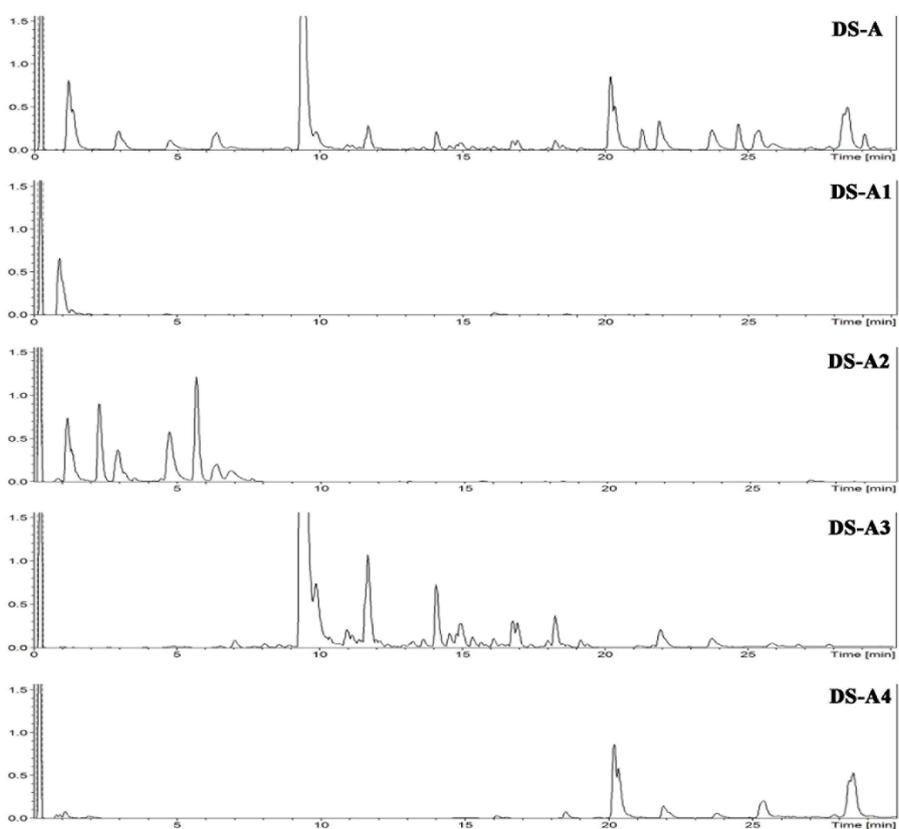
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↓ / ↑ represents R-UJ model group compared with the control group;  
 ↓ / ↑, ↓ / ↑, ↓ / ↑, ↓ / ↑, ↓ / ↑, ↓ / ↑ represents DS-A, DS-A1,  
 DS-A2, DS-A3, DS-A4 and DS-A5 group compared with R-UJ model  
 group respectively.

FIGURE 6: Metabolic network of the significantly changed metabolites in urine of R-UJ rat.



(a)



(b)

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515 FIGURE S. 1: Base peak chromatograms of DS-A and its fractions in ESI<sup>-</sup> (a) and ESI<sup>+</sup> (b) mode.

TABLE 1: Potential biomarkers related to R-UJ model.

| Mode             | No. | Name                         | Formula   | Determined<br><i>m/z</i> | Ion form                            | <i>t<sub>R</sub></i> (min) | Trend                     |
|------------------|-----|------------------------------|---|--------------------------|-------------------------------------|----------------------------|---------------------------|
| ESI <sup>-</sup> | 1   | Hippuric acid                | C <sub>9</sub> H <sub>9</sub> NO <sub>3</sub>                 | 178.0510                 | [M-H] <sup>-</sup>                  | 6.4                        | ↓* ↓# ↓# ↓# ↓# ↓# ↓# ↓#   |
|                  | 2   | Phenylacetyl glycine         | C <sub>10</sub> H <sub>11</sub> NO <sub>3</sub>               | 192.0665                 | [M-H] <sup>-</sup>                  | 8.4                        | ↓ ↓# ↓# ↓# ↓# ↓# ↓# ↓#    |
|                  | 3   | <i>p</i> -Cresol sulfate     | C <sub>7</sub> H <sub>8</sub> O <sub>4</sub> S                | 187.0070                 | [M-H] <sup>-</sup>                  | 9.0                        | ↓** ↓ ↑ ↓ ↓ ↓ ↓ ↓ ↓       |
|                  | 4   | Indoxyl sulfate              | C <sub>8</sub> H <sub>7</sub> NO <sub>4</sub> S               | 212.0021                 | [M-H] <sup>-</sup>                  | 6.6                        | ↓** ↓ ↓ ↑ ↓ ↓ ↓ ↓ ↓       |
|                  | 5   | <i>p</i> -Cresol glucuronide | C <sub>13</sub> H <sub>16</sub> O <sub>7</sub>                | 283.0825                 | [M-H] <sup>-</sup>                  | 9.4                        | ↓** ↓ ↑ ↓ ↓ ↓ ↓ ↓ ↓       |
|                  | 6   | 4-Sulfocatechol              | C <sub>6</sub> H <sub>6</sub> O <sub>5</sub> S                | 188.9858                 | [M-H] <sup>-</sup>                  | 4.4                        | ↓** ↓# ↓# ↓# ↓# ↓# ↓# ↓#  |
|                  | 7   | 3-(Acetylthio)-2-methylfuran | C <sub>7</sub> H <sub>8</sub> O <sub>2</sub> S                | 201.0224                 | [M+FA-H] <sup>-</sup>               | 13.7                       | ↓** ↓ ↑ ↓ ↓ ↓ ↓ ↓ ↓       |
|                  | 8   | 2-Phenylethanol glucuronide  | C <sub>14</sub> H <sub>18</sub> O <sub>7</sub>                | 297.0979                 | [M-H] <sup>-</sup>                  | 13.7                       | ↓** ↓ ↑ ↓ ↓ ↓ ↓ ↓ ↓       |
|                  | 9   | Dihomomethionine             | C <sub>7</sub> H <sub>15</sub> NO <sub>2</sub> S              | 222.0802                 | [M+FA-H] <sup>-</sup>               | 7.4                        | ↓** ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓       |
|                  | 10  | Phenol sulfate               | C <sub>6</sub> H <sub>6</sub> O <sub>4</sub> S                | 172.9911                 | [M-H] <sup>-</sup>                  | 5.4                        | ↓** ↓# ↓# ↓# ↓# ↓# ↓# ↓#  |
|                  | 11  | Dopamine                     | C <sub>8</sub> H <sub>11</sub> NO <sub>2</sub>                | 134.0603                 | [M-H <sub>2</sub> O-H] <sup>-</sup> | 6.8                        | ↓** ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓       |
|                  | 12  | Allantoate                   | C <sub>4</sub> H <sub>8</sub> N <sub>4</sub> O <sub>4</sub>   | 157.0361                 | [M-H <sub>2</sub> O-H] <sup>-</sup> | 1.0                        | ↓* ↓# ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓     |
|                  | 13  | 2-Oxoglutarate               | C <sub>5</sub> H <sub>6</sub> O <sub>5</sub>                  | 145.0134                 | [M-H] <sup>-</sup>                  | 1.2                        | ↓** ↓# ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓    |
|                  | 14  | Indole-3-acryloyl-glycine    | C <sub>13</sub> H <sub>14</sub> N <sub>2</sub> O <sub>4</sub> | 243.0770                 | [M-H <sub>2</sub> O-H] <sup>-</sup> | 12.4                       | ↓** ↓# ↓# ↓# ↓# ↓# ↓# ↓#  |
|                  | 15  | Taurine                      | C <sub>2</sub> H <sub>7</sub> NO <sub>3</sub> S               | 124.0065                 | [M-H] <sup>-</sup>                  | 1.0                        | ↓ ↓ ↓# ↓# ↓# ↓# ↓# ↓# ↓#  |
|                  | 16  | Homovanillin                 | C <sub>9</sub> H <sub>10</sub> O <sub>3</sub>                 | 165.0551                 | [M-H] <sup>-</sup>                  | 9.8                        | ↓** ↓# ↓# ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ |
|                  | 17  | Ferulic acid 4-sulfate       | C <sub>10</sub> H <sub>10</sub> O <sub>7</sub> S              | 273.0069                 | [M-H] <sup>-</sup>                  | 7.2                        | ↓** ↓# ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓    |
| ESI <sup>+</sup> | 18  | Creatinine                   | C <sub>4</sub> H <sub>7</sub> N <sub>3</sub> O                | 114.0664                 | [M+H] <sup>+</sup>                  | 1.0                        | ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓           |
|                  | 19  | Cincassiol B                 | C <sub>20</sub> H <sub>32</sub> O <sub>8</sub>                | 212.1033                 | [M+Na+H] <sup>2+</sup>              | 1.0                        | ↑ ↓ ↓ ↓ ↓ ↓ ↓ ↓           |
|                  | 20  | N(6)-Methyllysine            | C <sub>7</sub> H <sub>16</sub> N <sub>2</sub> O <sub>2</sub>  | 143.1181                 | [M-H <sub>2</sub> O+H] <sup>+</sup> | 1.0                        | ↓** ↓ ↑ ↓ ↓ ↓ ↓ ↓ ↓       |
|                  | 21  | 4, 6-Dihydroxyquinoline      | C <sub>9</sub> H <sub>7</sub> NO <sub>2</sub>                 | 162.0550                 | [M+H] <sup>+</sup>                  | 8.2                        | ↓ ↓# ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓      |
|                  | 22  | Isoquinoline                 | C <sub>9</sub> H <sub>7</sub> N                               | 130.0651                 | [M+H] <sup>+</sup>                  | 9.7                        | ↓* ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓        |
|                  | 23  | Lactaroviolin                | C <sub>15</sub> H <sub>14</sub> O                             | 233.0923                 | [M+Na] <sup>+</sup>                 | 9.8                        | ↓* ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓        |
|                  | 24  | Equol                        | C <sub>15</sub> H <sub>14</sub> O <sub>3</sub>                | 243.1018                 | [M+H] <sup>+</sup>                  | 13.6                       | ↓* ↓ ↑ ↓ ↓ ↓ ↓ ↓ ↓        |
|                  | 25  | 1-Isobutanol                 | C <sub>21</sub> H <sub>27</sub> NO <sub>10</sub>              | 436.1603                 | [M-H <sub>2</sub> O+H] <sup>+</sup> | 13.6                       | ↓ ↓ ↑ ↓ ↓ ↓ ↓ ↓ ↓ ↓       |
|                  | 26  | (±)-Metalaxyl                | C <sub>15</sub> H <sub>21</sub> NO <sub>4</sub>               | 280.1543                 | [M+H] <sup>+</sup>                  | 8.4                        | ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓           |

517 \**P* < 0.05, \*\**P* < 0.01, compared with the control group; #*P* < 0.05, ##*P* < 0.01, compared with the

518 model group.

519 ↓ / ↑ represents R-UJ model group compared with the control group;

520 ↓ / ↑, ↓ / ↑, ↓ / ↑, ↓ / ↑, ↓ / ↑, ↓ / ↑ represents DS-A, DS-A1, DS-A2, DS-A3, DS-A4 and

521 DS-A5 group compared with R-UJ model group respectively.

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527 TABLE 2: Pathway enrichment analysis of perturbed metabolites in R-UJ rats based on MBRole  
528 database.

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| Label                                   | <i>P</i> -value <sup>a</sup> | Related compounds                  |
|---|------------------------------|------------------------------------|
| Phenylalanine metabolism                | 0.006                        | Phenylacetylglucine, Hippuric acid |
| Tyrosine metabolism                     | 0.015                        | Dopamine, Homovanillin             |
| Neuroactive ligand-receptor interaction | 0.041                        | Dopamine, Taurine                  |

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531 <sup>a</sup> *P*-value is obtained from analysis of MBRole.