




## Research Article

# Effects of High Shearing Rates on the Shear Behavior of Saturated Loess Using Ring Shear Tests

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The shear behavior of saturated loess was examined by performing a series of ring shear tests with different shearing rates. The effects of shearing rates on the shear behavior of saturated loess with different normal stress are presented and discussed. The results showed that peak shear strength and steady-state shear strength were greater when the shearing rate was low and vice versa. Compared with high and low shearing rates, the maximum strength reduction ratios of peak shear strength and steady-state shear strength were 34.2% and 37.2%, respectively. The axial displacement during shearing was measured and was found to increase with increasing shear displacement in all tests. A comparison of sample height reduction (when the shear rate was stopped) found that the low shearing rate test sample underwent a much greater reduction than the high shearing rate test sample; however, the variation reduction range was within 4 mm. Monitoring the pore-water pressure during the shearing process revealed that it increased with shear displacement, and a higher excess pore-water pressure was generated within the shear zone during the fast-shearing process. Comparing the particle size distribution of the samples after the test and the original sample showed that the particles were crushed during the shearing process. The percentage that was finer than 0.005 mm increased with shearing rates and normal stress, and the soil structure implosion became more pronounced with increasing normal stress.

## 1. Introduction

The loess flowslide is an important disaster type in the Loess Plateau, and various factors can induce such landslides [1, 2]. Loess landslides have high speed and long runout characteristics [3, 4]. Generally, rapid reduction of the peak shear strength of the slip zone soil to the residual shear strength is the mechanism of high-speed landslides [5]. The residual strength of the slip zone soil can be measured using reversal shear, triaxial compression, and ring shear tests. Compared with reversal direct shear and triaxial compression tests, ring shear tests have been preferentially adopted to determine the residual shear strength of soil, due to their ability to shear unlimited displacements [6–8]. Many factors, such as shearing rate, moisture content, surface roughness, consolidated state, and temperature, affect the magnitude of the residual soil strength [9–16]. Among these factors, the loss of strength

caused by the shearing rate (greater than 100 mm/min) explains the high acquired velocity of landslides [17, 18].

From the high shearing rate aspect found in the literature, Hunger and Morgenstern [19] determined that the perfect frictional behavior was observed in all shearing tests with shearing rates of 16.0 cm/s and 98.2 cm/s, which were not influenced by the shearing rate or normal stress. Sassa and Fukuoka [20] found that the friction angle in granular materials varied from  $-3.2^\circ$  to  $+3.7^\circ$  at different shearing rates of 0.01 cm/s to 100 cm/s. For clay materials, the effect of the shearing rate on the test results was different from that of granular materials. Tika et al. [21] identified three types of rate effects on residual strength: a positive rate effect (fast residual strength higher than slow residual strength), a neutral rate effect (constant fast residual strength equal to slow residual strength), and a negative rate effect (a significant drop in fast residual strength below slow residual strength

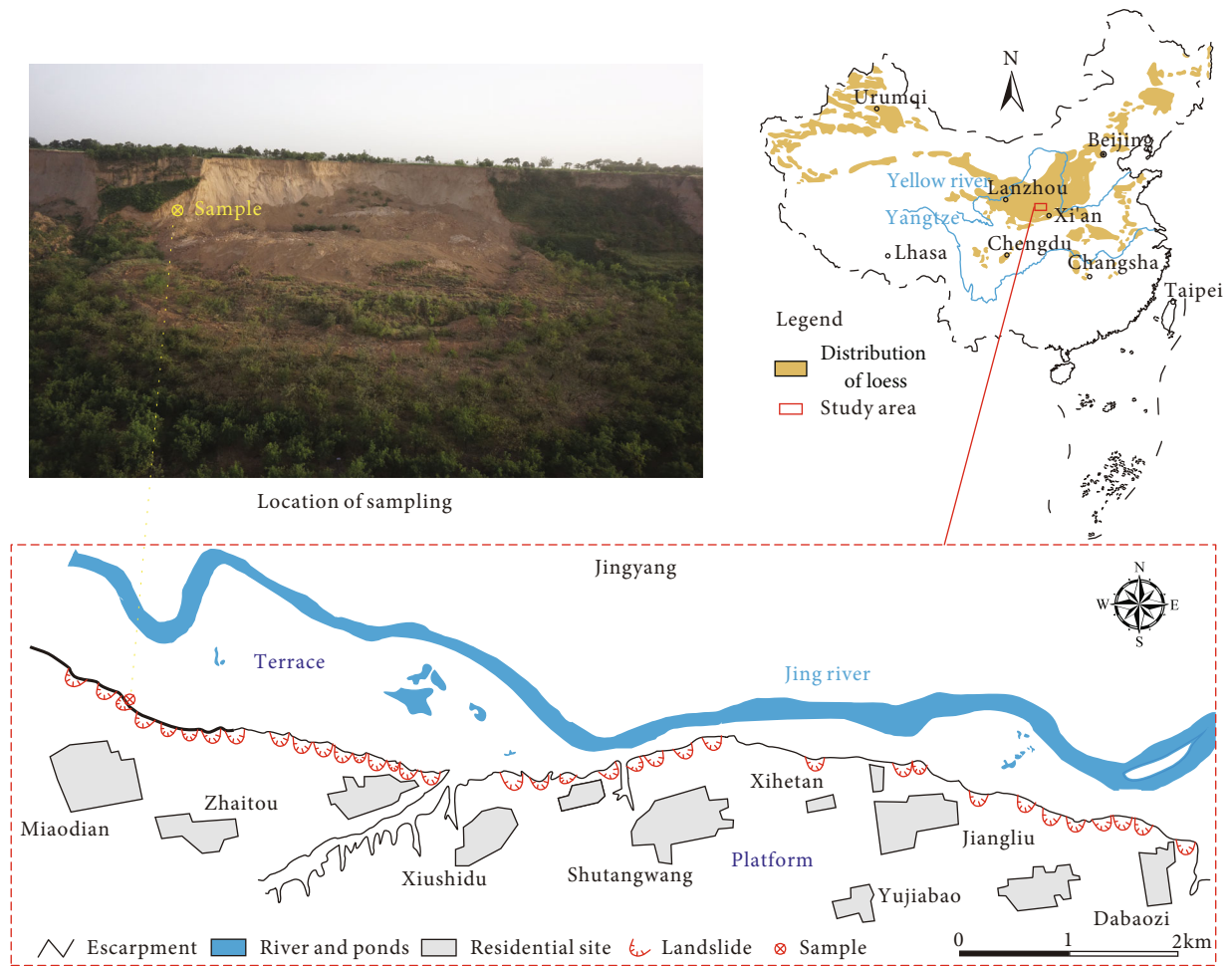


FIGURE 1: Location of sampling and map of landslides.

when sheared at rates higher than the critical value). Tika and Hutchinson [18] found that clay samples showed a significant loss of strength, up to 60% below the slow residual strength, when sheared at rates greater than 100 mm/min. Lian et al. [8] also found differences in the residual strength of samples with shearing rates of 1–3 rev/min.

The majority of previous studies have examined the residual strength or liquefaction behavior of saturated clay and sand or granular materials using ring shear tests [22–27]. However, except for a few studies [6, 8, 28], there are relatively limited studies on the shear behavior of saturated loess using ring shear tests. Exploring the shear behavior of the saturated slip zone loess with high shearing rates (greater than 100 mm/min) and different normal stress using ring shear tests has rarely been undertaken in the geotechnical literature.

In the present study, the shear behavior of saturated loess from the slip zone was examined by performing a series of ring shear tests with different shearing rates to provide useful information for landslide mechanism analysis. First, peak shear strength, steady-state shear strength, height reduction of samples, and pore-water pressure were analyzed and discussed under shearing rates of 131, 262, and 393 mm/min and normal stresses of 100, 200, and 300 kPa.

TABLE 1: Sample properties.

| Soil properties                               | Values |
|---|--------|
| Natural water content (%)                     | 7.4    |
| Dry density ( $\text{g}\cdot\text{cm}^{-3}$ ) | 1.48   |
| Specific gravity, $G_s$                       | 2.71   |
| Liquid limit (%)                              | 26.4   |
| Plastic limit (%)                             | 16.1   |
| Plasticity index                              | 10.3   |
| Unified Soil Classification System            | CL     |

Then, the effects of the different shearing rates and normal stress on particle size and crushing were discussed using the particle size distribution (PSD) of samples after the tests.

## 2. Materials and Methods

**2.1. Sample Characteristics.** The loess tested was taken from the southern Jingyang platform on the southeast margin of the Chinese Loess Plateau (Figure 1). Approximately 50 landslides involving loess flowslides and loess slides have occurred here since the irrigation of this platform began in 1976 [29, 30]. Field investigations indicate that the sliding

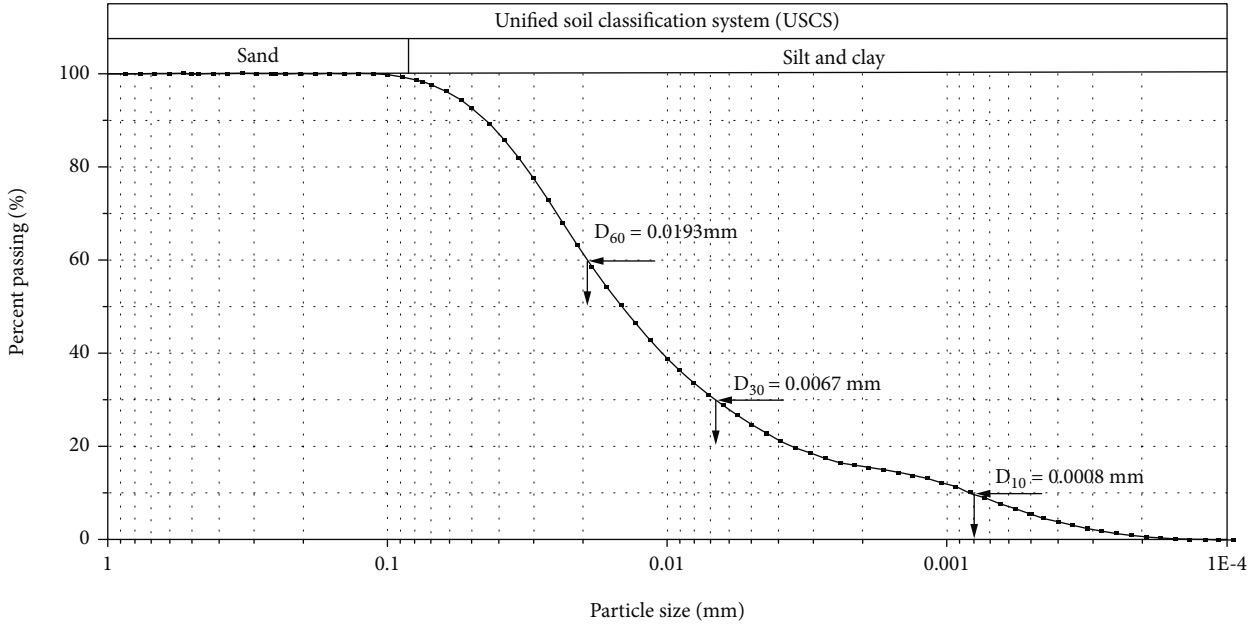


FIGURE 2: Particle size distributions of samples ( $D_{10}$ ,  $D_{30}$ , and  $D_{60}$  are the particle sizes corresponding to 10%, 30%, and 60% finer materials on the cumulative particle size distribution curve, respectively).

surface of the landslide was mainly developed in the Quaternary loess sedimentary strata [31]. Thus, loess samples were collected from the adjacent strata at the Miaodian landslide site of the Jingyang platform [32].

The physical properties listed in Table 1 were obtained following ASTM International procedures. The natural water content of the samples was 7.4%, and the dry density was  $1.48 \text{ g/cm}^3$ . The liquid limit and plastic limit of the sample were 26.4% and 16.1%, respectively; therefore, the calculated plasticity index was 10.3. According to the ASTM D2487 [33], the soil classification was lean clay (CL). The PSDs of the samples were measured using a Bettersize2000 laser particle size analyzer (Bettersize Instruments Ltd., China) and are shown in Figure 2. The minimum resolution of the PSD measuring equipment was  $0.02 \mu\text{m}$ , and the measuring principle used was the Mie scattering theory. The effective size ( $D_{10}$ ) was  $0.8 \mu\text{m}$ , the  $D_{30}$  value (diameter corresponding to 30% finer material) was  $6.7 \mu\text{m}$ , and the  $D_{60}$  value (diameter corresponding to 60% finer material) was  $19.3 \mu\text{m}$ . The clay content (grain size  $< 0.005 \text{ mm}$ ) of the sample was 24.8%, the silt content (grain size =  $0.075 \text{ mm} - 0.005 \text{ mm}$ ) was 73.4%, and the sand content (grain size  $> 0.075 \text{ mm}$ ) was 1.8%.

**2.2. Test Apparatus and Procedures.** The ring shear apparatus GCTS SRS-150 was used in the present study, which can apply shearing rates ranging from 0.001 to 360 deg/min continuously without backlash to replicate true in situ strain rates during failure. The apparatus can accept 150 mm outer diameter, 100 mm inner diameter, and 30 mm height annular specimens ( $98 \text{ cm}^2$  effective sample area).

Remolded samples were prepared for the ring shear experiments. The samples were air-dried, crushed, and passed through a 2 mm sieve. Then, distilled water was sprayed on the sieved soil until a moisture content of 31%

TABLE 2: Ring shear test program of the loess samples.

| Shearing rate (deg/min (mm/min)) | Normal stress level (kPa) |      |      | Shearing method       |
|----------------------------------|---------------------------|------|------|-----------------------|
|                                  | 100                       | 200  | 300  |                       |
| 120 (131)                        | RS_1                      | RS_2 | RS_3 | Single-stage shearing |
| 240 (262)                        | RS_4                      | RS_5 | RS_6 | Single-stage shearing |
| 360 (393)                        | RS_7                      | RS_8 | RS_9 | Single-stage shearing |

TABLE 3: Summary of ring shear test results.

| Test number | Shearing condition     |                     | Shearing state value |                |             |            |
|-------------|------------------------|---------------------|----------------------|----------------|-------------|------------|
|             | Shearing rate (mm/min) | Normal stress (kPa) | $\tau_p$ (kPa)       | $\tau_s$ (kPa) | $u_p$ (kPa) | $h_a$ (mm) |
| RS_1        | 131                    | 100                 | 63.3                 | 57.5           | 6.20        | 2.031      |
| RS_2        | 131                    | 200                 | 114.5                | 109.1          | 7.00        | 2.722      |
| RS_3        | 131                    | 300                 | 172.7                | 167.0          | 7.74        | 3.541      |
| RS_4        | 262                    | 100                 | 56.6                 | 50.6           | 7.50        | 1.392      |
| RS_5        | 262                    | 200                 | 101.5                | 92.6           | 7.92        | 2.107      |
| RS_6        | 262                    | 300                 | 149.2                | 128.1          | 8.32        | 2.826      |
| RS_7        | 393                    | 100                 | 49.4                 | 36.1           | 9.30        | 1.257      |
| RS_8        | 393                    | 200                 | 79.3                 | 68.2           | 9.79        | 1.922      |
| RS_9        | 393                    | 300                 | 113.7                | 104.5          | 11.50       | 2.372      |

$\tau_p$ : peak shear strength;  $\tau_s$ : shear strength at steady state;  $u_p$ ,  $h_a$ : pore-water pressure and axial displacement when the shear was stopped.

(almost saturated moisture content) was obtained. Samples with the desired moisture content were kept in a plastic bag and placed in a sealed conditioner for approximately 48 h to obtain uniformity. The reconstituted samples were

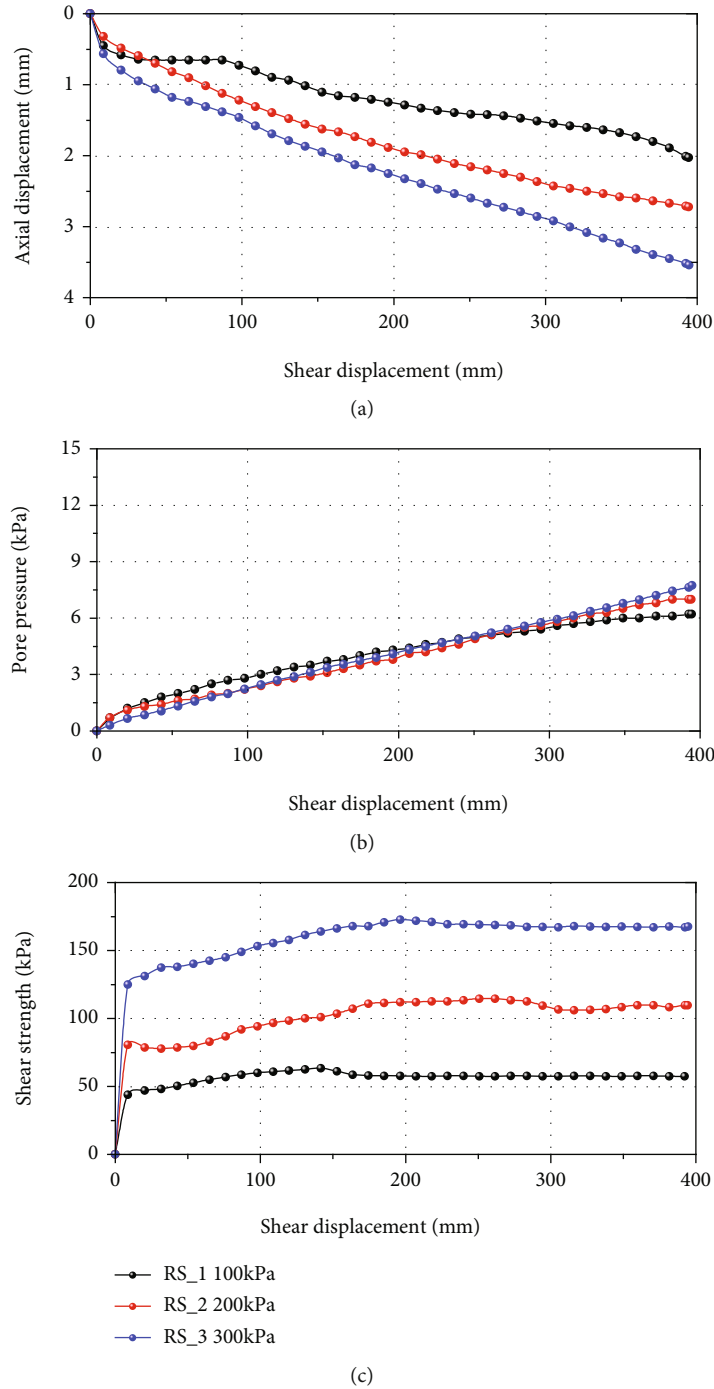


FIGURE 3: Results of ring shear tests with a shearing rate of 131 mm/min.

evenly filled in the shear box using a spatula and compacted for all test series. The dry density of the samples in the shear box was maintained at  $1.4 \text{ g/cm}^3$ . Prior to shearing, the consolidation pressure was set to one-time loading. The consolidation of specimens lasted for at least 24 h until the axial settlement rate was  $<0.01 \text{ mm/min}$ .

The ring shear test program is shown in Table 2. The single-stage ring shear test procedure on the drain condition was followed. Nine ring shear tests were conducted under normal stresses of 100, 200, and 300 kPa and shearing rates

of 120, 240, and 360 deg/min (131, 262, and 393 mm/min) in the present study.

### 3. Results and Analysis

In the test series, all samples were sheared to a displacement of 400 mm. The tests presented here illustrate the shearing rate effects on the shear behavior of saturated loess under different normal stresses. The effects were seen clearly from the test results. All test results are summarized in Table 3.

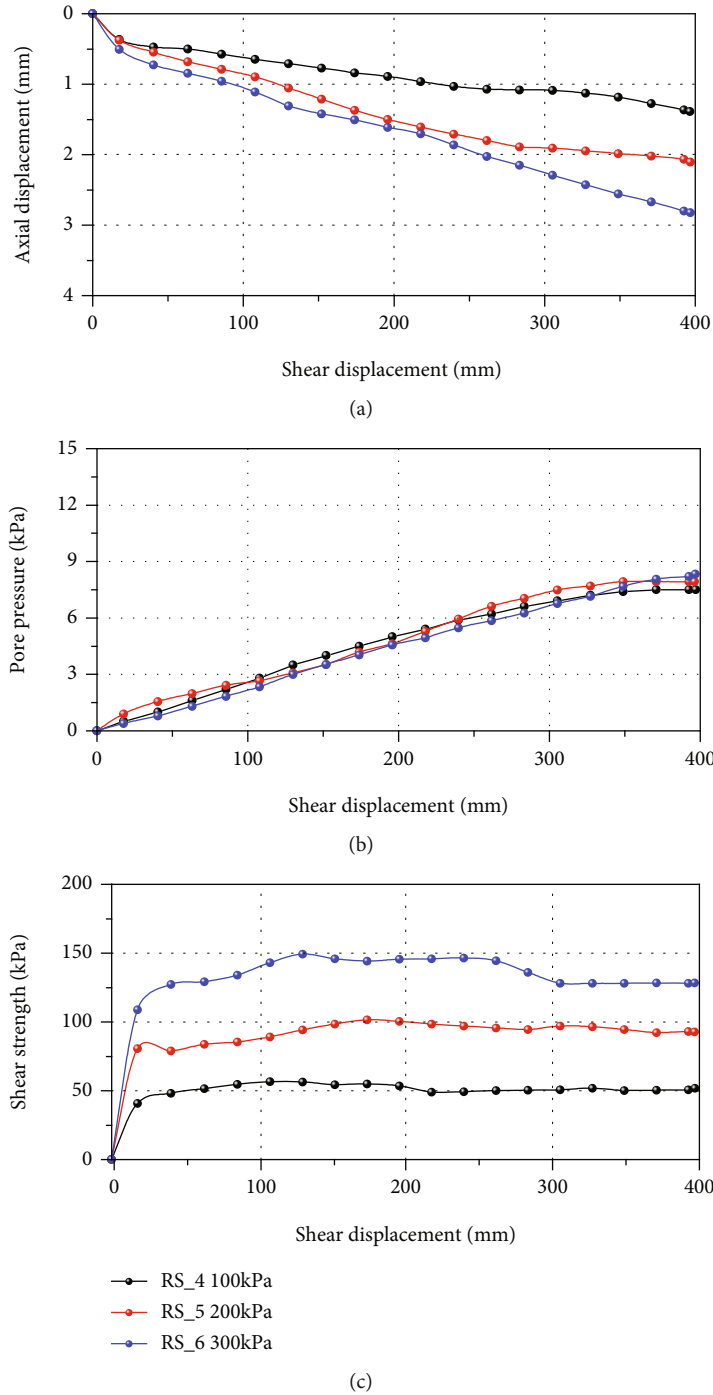


FIGURE 4: Results of ring shear tests with a shearing rate of 262 mm/min.

The results at shearing rates of 131, 262, and 393 mm/min are shown in Figures 3–5, where the axial displacement, pore-water pressure, and shear strength were plotted against shear displacements at different shearing rates.

The axial displacement gradually increased with shear displacement (Figure 3(a)). The axial displacement response was almost the same for the other shearing rate tests (Figures 4(a) and 5(a)). The greater the normal stress, the greater the axial displacement. However, under the same

normal stress, the higher the shearing rate, the lower the axial displacement. The final axial displacement of all tests did not exceed 4 mm. The same phenomenon occurred in a previous study of the residual strength of a sample from the Shikou landslide area, Japan, and a sample from the Krishnabhir landslide area, Nepal, using the ring shear test [9].

Typically, in a slow shear test under drained conditions, the pore-water pressure is dissipated and assumed to be zero [9, 34]. However, in this high-speed shear test, the excess

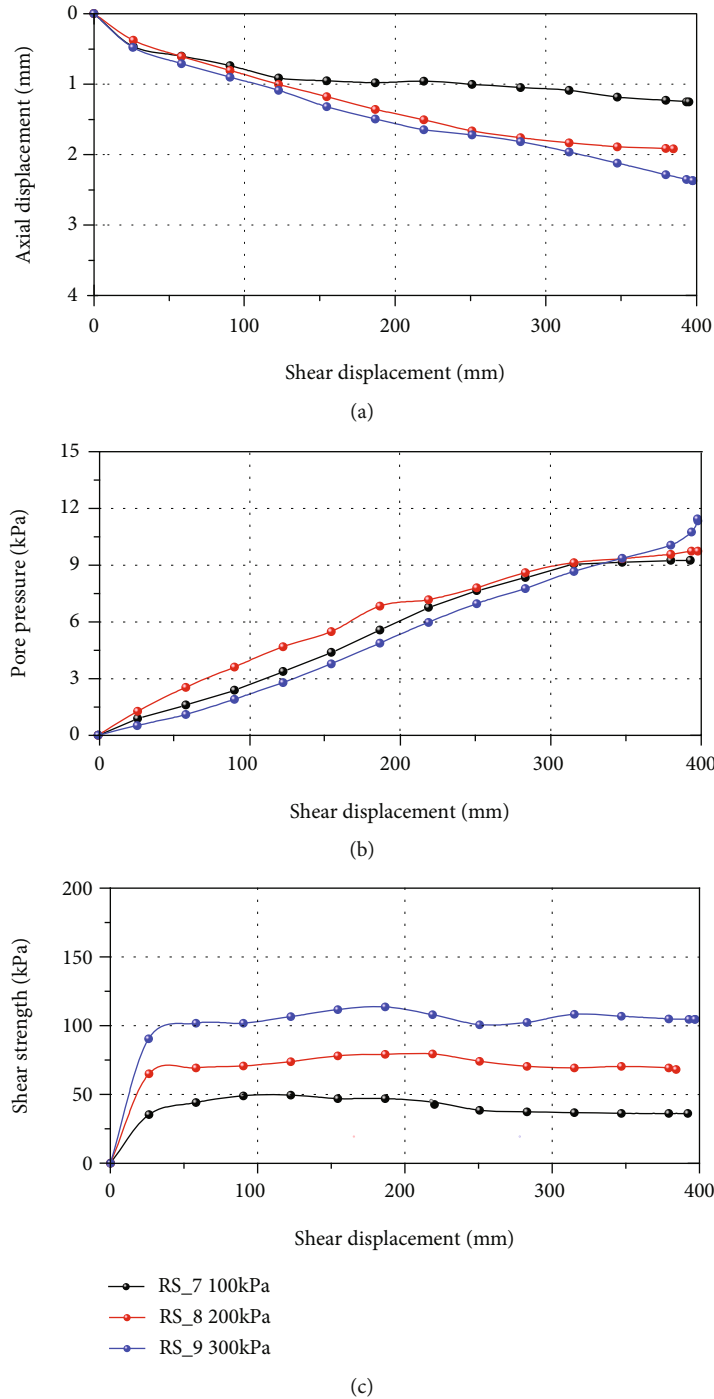


FIGURE 5: Results of ring shear tests with a shearing rate of 393 mm/min.

pore-water pressure had not completely dissipated. The excess pore-water pressure gradually increased with shear displacement (Figure 3(b)). The excess pore-water pressure response was almost the same for the other shearing rate tests (Figures 4(b) and 5(b)). After preconsolidation, the increment of pore-water pressure under different normal stresses was the same.

Figures 3(c), 4(c), and 5(c) show the relationships among shear strength, normal stress, and shearing rate with the progress of shear displacement. All shear strength curves showed a

“hardening-stabilizing” process. When normal stress was low (100 kPa), the shear rate had little effect on the peak shear strength and the steady-state shear strength. For example, the peak shear strength at a shear rate of 393 mm/min was 49.4 kPa, and this value was 63.3 kPa at a shear rate of 131 mm/min, a difference of only 13.8 kPa. However, under high normal stress (300 kPa), the difference in peak shear strength between the high and low shearing rates was 59 kPa. Therefore, the higher the normal stress, the greater the influence of the shearing rate on the shear strength.

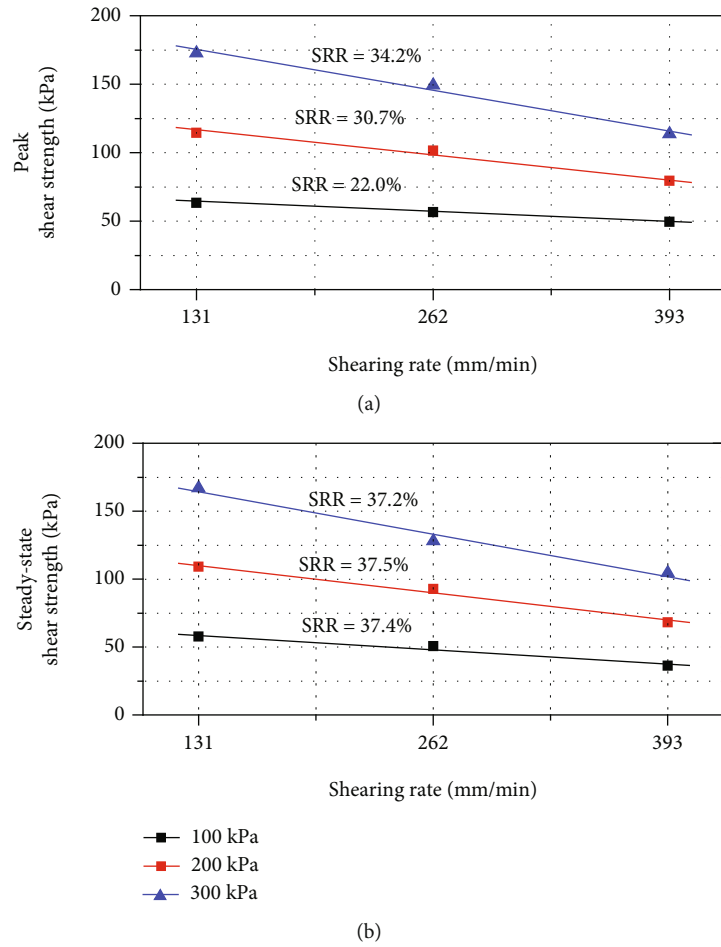


FIGURE 6: (a) Peak shear strength and (b) steady-state shear strength versus shearing rate.

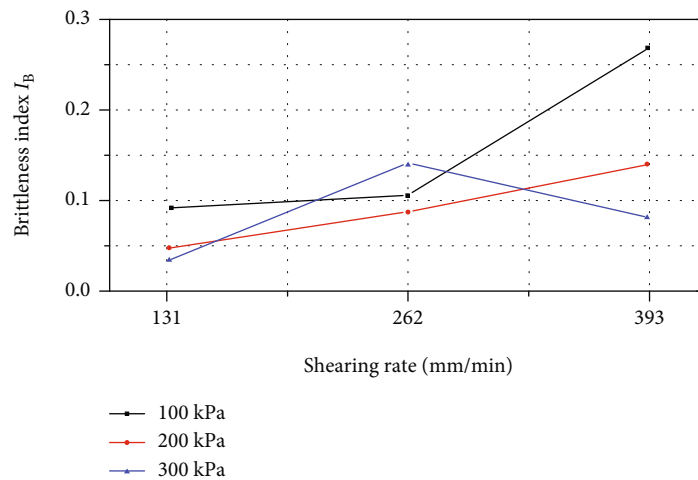


FIGURE 7: Brittleness index versus shearing rate.

#### 4. Discussion

**4.1. Effects on Peak Shear Strength and Steady-State Shear Strength.** The peak shear strength and steady-state shear strength are plotted in Figure 6 against the shearing rate. Both peak shear strength and steady-state shear strength

were relatively higher when the shearing rate was low and vice versa. To explain why the strength decreased with increasing shearing rate, the strength reduction ratio (SRR) was calculated, i.e., the ratio of the strength difference at different shear rates to the strength at a low shear rate. When the shearing rate was increased to 393 mm/min, the peak

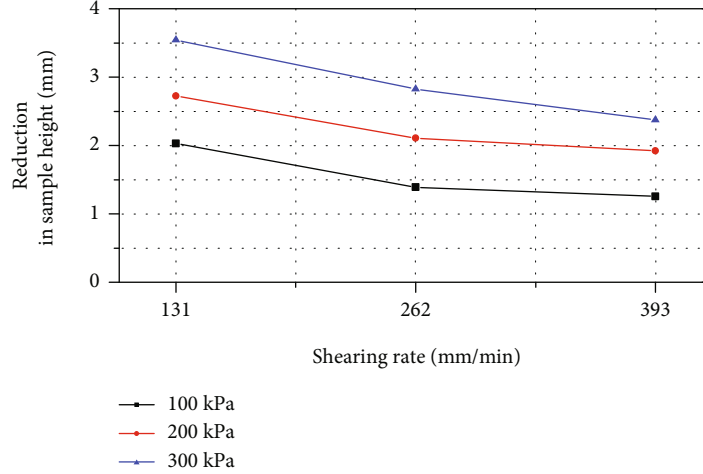


FIGURE 8: Shearing rate versus reduction in sample height after the shear was stopped.

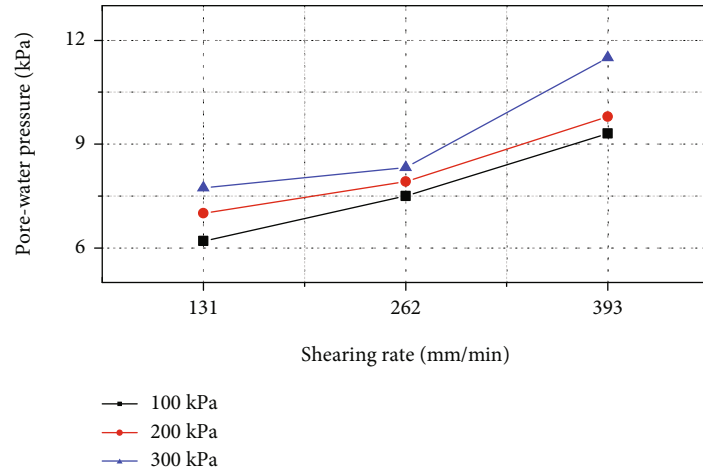


FIGURE 9: Shearing rate versus pore-water pressure after the shear was stopped.

shear strength decreased from 172.7 kPa to 113.7 kPa for samples with normal stress of 300 kPa, and the SRR was 34.2% (Figure 6(a)). However, for samples with normal stress of 100 kPa, the SRR was 22.0%. Thus, the shearing rate significantly affected the peak shear strength for samples with high normal stress compared to samples with low normal stress. Relative to the peak shear strength, the shearing rate had a more consistent effect on the steady-state shear strength for samples with different normal stresses, and the SRR was 37.2% (Figure 6(b)).

**4.2. Consequence of Failure.** As shown in Figures 3–6, each sample experienced a certain amount of reduction in shear strength after shear failure, which differed for the different samples in the different tests. Here, the brittleness index ( $I_B$ ) [35, 36] was used to analyze the consequence of shear failure for the different samples.  $I_B$  is defined as follows:

$$I_B = \frac{(\tau_p - \tau_s)}{\tau_p}, \quad (1)$$

where  $\tau_p$  is peak shear strength (kPa) and  $\tau_s$  is shear strength at steady state (kPa).

To analyze the relative value between the peak shear strength and shear strength after failure, the minimum shear strength at the steady state was used to calculate  $I_B$  for each test.  $I_B$  values obtained from different normal stress tests are plotted in Figure 7 against the shearing rate. In all tests,  $I_B$  increased with an increase in the shearing rate. However,  $I_B$  for the samples with normal stress of 300 kPa and a shearing rate of 393 mm/min was in the area without an obvious tendency for change with shearing rate changes, probably due to the increased pore-water pressure (Figure 5(b)). In addition,  $I_B$  samples with normal stress of 100 kPa were generally greater than the others. Therefore,  $I_B$  increased with an increase in the shearing rate (within the tested low normal stress range). However, for mixed samples of silica sand and loess with loess contents of 10% by weight,  $I_B$  decreased with an increase in the shearing rate [36].

**4.3. Effects on the Height Reduction of Samples.** During the entire shearing process, axial displacement (reduction in



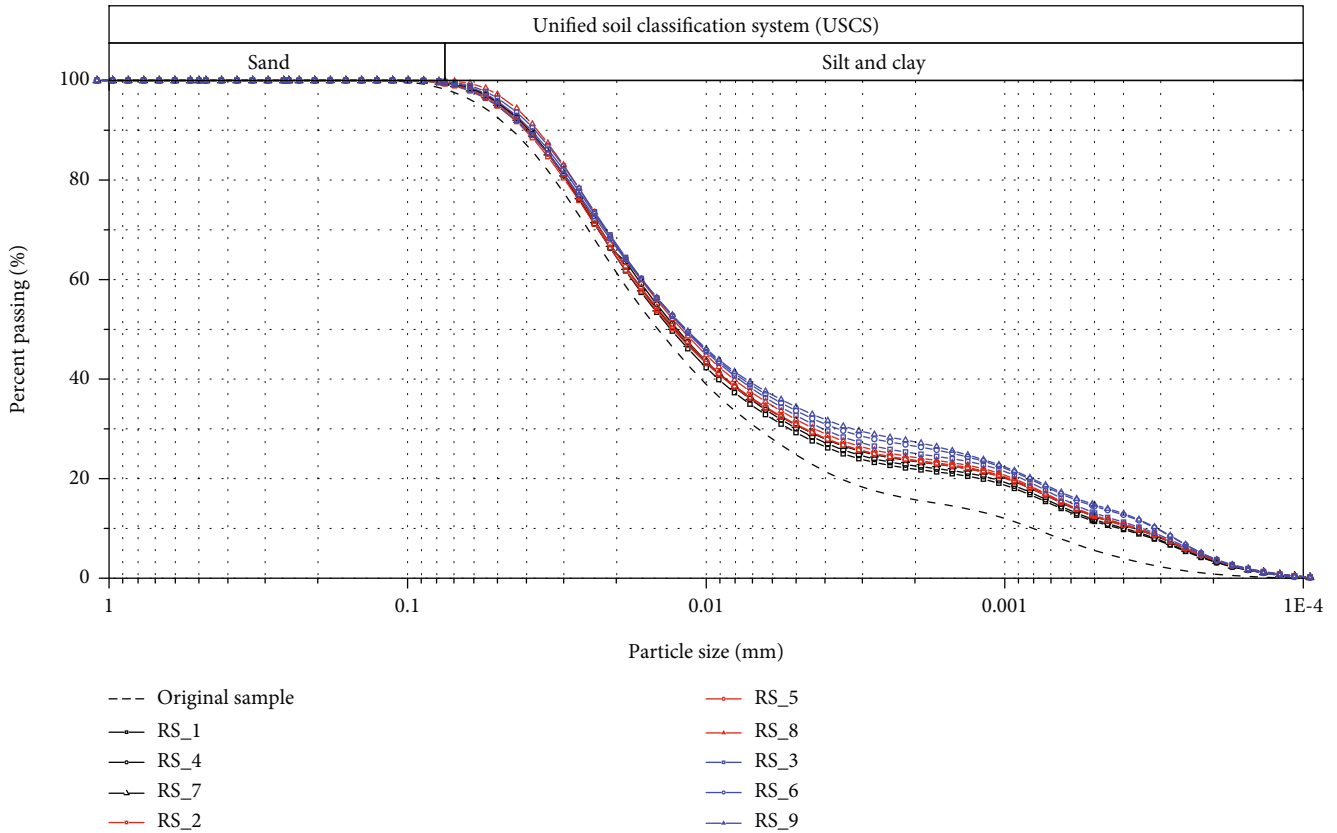


FIGURE 10: Particle size distributions of samples after the tests.

TABLE 4: Summary of particle size distribution analysis.

| Samples         | PSD curve parameters ( $\mu\text{m}$ ) |          |          |          | Grain size percentage (%) |      |      |
|-----------------|--|----------|----------|----------|---------------------------|------|------|
|                 | $D_{10}$                               | $D_{30}$ | $D_{50}$ | $D_{60}$ | Sand                      | Silt | Clay |
| Original sample | 0.80                                   | 6.70     | 14.51    | 19.29    | 1.8                       | 73.4 | 24.8 |
| RS_1            | 0.41                                   | 5.27     | 13.13    | 17.76    | 0.5                       | 70.3 | 29.2 |
| RS_2            | 0.37                                   | 4.71     | 12.12    | 17.15    | 0.7                       | 68.5 | 30.8 |
| RS_3            | 0.35                                   | 4.06     | 11.85    | 16.39    | 0.2                       | 67.1 | 32.7 |
| RS_4            | 0.39                                   | 4.98     | 12.36    | 17.51    | 0.4                       | 69.5 | 30.1 |
| RS_5            | 0.36                                   | 4.66     | 12.67    | 17.33    | 0.5                       | 68.6 | 30.9 |
| RS_6            | 0.31                                   | 3.63     | 11.76    | 16.42    | 0.3                       | 66.1 | 33.6 |
| RS_7            | 0.37                                   | 4.73     | 12.78    | 17.38    | 0.5                       | 68.7 | 30.8 |
| RS_8            | 0.36                                   | 4.43     | 12.09    | 16.56    | 0.3                       | 67.8 | 31.9 |
| RS_9            | 0.31                                   | 3.24     | 11.68    | 16.48    | 0.2                       | 65.4 | 34.4 |

$D_{10}$ ,  $D_{30}$ ,  $D_{50}$ , and  $D_{60}$  are the particle sizes corresponding to 10, 30, 50, and 60% finer on the cumulative particle size distribution curve, respectively.

sample height) continued to increase (Figures 3(a), 4(a), and 5(a)). The reduction in sample height (when the shear was stopped) is plotted against the shearing rate in Figure 8. Comparison of sample height reductions for all tests found that the low shearing rate test sample underwent a much greater sample height reduction than the high shearing rate test sample. For example, sample RS\_3 with a shearing rate of 131 mm/min exhibited a greater reduction in sample

height than RS\_9, due to a greater dissipation of pore-water pressure from the shear zone at low shearing rates; however, the variation in the reduction range was within 4 mm.

**4.4. Effects on Excess Pore-Water Pressure.** Excess pore-water pressure generation and dissipation occurred simultaneously in the shear box. Thus, if the pore-water pressure generation rate exceeded the dissipation rate, positive excess pore-water pressure was built up within the shear zone. Figure 9 depicts the change of excess pore-water pressure with an increase in the shearing rate after the shear was stopped. A higher excess pore-water pressure is generated within the shear zone during the fast shearing process because dissipation relies on the elapsed time, whereas generation is dependent on shear displacement, normal stress, and shearing rate. When other conditions are constant, a faster shearing rate leads to greater shear displacement within a short duration, and greater excess pore-water pressure is generated, subsequently causing a reduction in shear strength. The drainage path for the generated excess pore-water pressure from the shear zone might be around 15 mm (the thickness of the sample above the shear zone) in the ring shear apparatus, whereas that in the field might be in the order of meters [1]. In the shear zone, a longer drainage path delays the dissipation of pore-water pressure and facilitates the buildup of excess pore-water pressure within the shear zone [36].

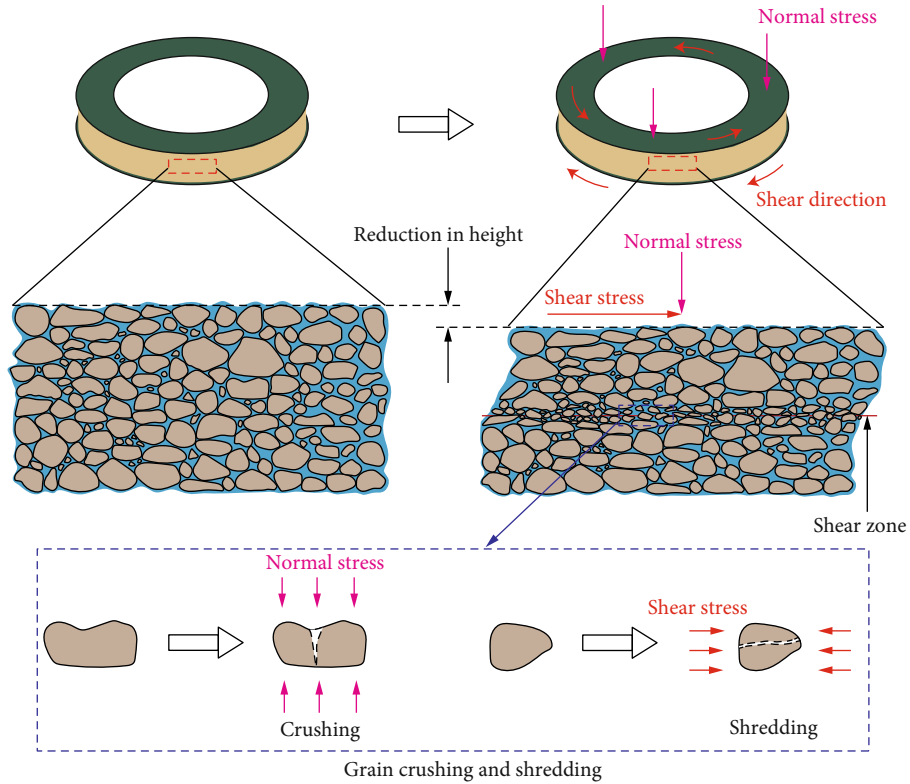


FIGURE 11: Schematic illustration of grain crushing and height reduction.

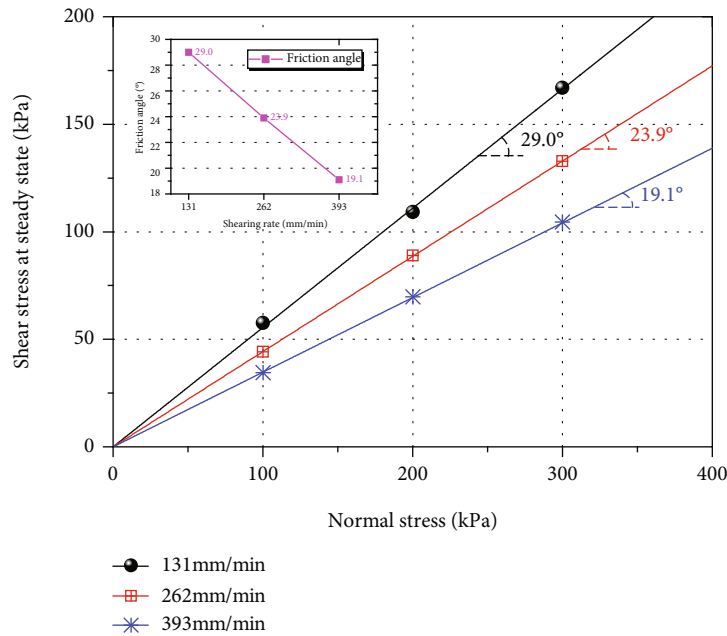


FIGURE 12: Relationship between friction angle and shearing rate.

4.5. Effects on Particle Size. To ascertain the effect of the shearing rate and normal stress on the particle size of the samples, PSD analysis was performed on the samples after the tests, with results shown in Figure 10. Analysis was performed from three aspects: PSD curve parameters, grain size percentage, and grain crushing.

4.5.1. PSD Curve Parameters. Some parameters determined from the PSD curve are listed in Table 4, including  $D_{10}$ ,  $D_{30}$ ,  $D_{50}$ , and  $D_{60}$ . The effective size ( $D_{10}$ ) is a good measure for estimating the hydraulic conductivity and drainage through the soil [37] and decreased from  $0.80 \mu\text{m}$  (original sample) to  $0.31 \mu\text{m}$  (sample with a normal stress of

300 kPa and shearing rate of 393 mm/min). The mean size ( $D_{50}$ ) decreased from 14.51  $\mu\text{m}$  (original sample) to 11.68  $\mu\text{m}$  (sample with a normal stress of 300 kPa and shearing rate of 393 mm/min).

**4.5.2. Grain Size Percentage.** Based on the PSD curve, the sand, silt, and clay size particles were determined, as shown in Table 4. The clay percentage increased from 24.8% (original sample) to 34.4% (sample with a normal stress of 300 kPa and shearing rate of 393 mm/min), and the silt percentage decreased from 73.4% (original sample) to 65.4% (sample with a normal stress of 300 kPa and shearing rate of 393 mm/min). Thus, the shearing rate and normal stress had a great effect on grain size, with a higher shearing rate causing a higher percentage of clay-sized particles.

**4.5.3. Grain Crushing.** The results in Table 4 and the increase in the percentage of clay size particles implied that soil structure implosion and crushing became more pronounced with increasing normal stress and shearing rates. In the fragile parts of soil particles, normal stress causes soil particles to become crushed, whereas shear stress causes soil particles to become shredded (Figure 11), which might be the reason for the increase in the percentage of clay size particles [38]. This phenomenon has also been shown using the ring shear test on sand samples [39, 40].

**4.6. Effects on Friction Angle.** The friction angle of mobilized soils is the most important factor for predicting landslide movement [20]. For the samples described above, friction angles were determined using the Mohr-Coulomb failure criterion. Figure 12 shows the friction angle-normal stress relationship and the shearing rate. The friction angle of the test sample was 29.9° at a shear rate of 131 mm/min; however, when the shearing rate increased to 393 mm/min, the friction angle dropped to 19.1°. Therefore, as the shearing rate increased, the friction angle decreased. In a study of 40 landslides in the study area, the apparent friction angle (the arctangent relationship between slope height and sliding distance) was approximately 20° [32].

## 5. Conclusions

A series of ring shear tests was conducted to study the shear behavior of saturated loess with shearing rates of 131, 262, and 393 mm/min. Based on the test results, the effects of shearing rates on shear behavior under partially drained conditions were discussed. The following conclusions were obtained:

- (1) Both peak shear strength and steady-state shear strength were relatively greater when the shearing rate was lower and vice versa. Based on the shearing rate, the maximum SRR of peak shear strength and steady-state shear strength was 34.2% and 37.2%, respectively
- (2)  $I_B$  increased with an increase in the shearing rate (within the tested low normal stress range). In addition,

$I_B$  samples with a normal stress of 100 kPa were greater than others

- (3) The low shearing rate test sample underwent a much greater sample height reduction than the high shearing rate test sample; however, the variation in the reduction range was within 4 mm
- (4) A higher excess pore-water pressure was generated within the shear zone during the fast shearing process
- (5) Compared with the PSD of the original sample, the PSD of the samples after the tests showed a significant increase in clay content. The soil particles were crushed during the shearing process, and the soil structure implosion became more pronounced with increasing normal stress

## Data Availability

The data used to support the findings of this study are included within the article.

## Conflicts of Interest

The authors declare that they have no conflicts of interest.

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