Investigation of Seepage Law in Broken Coal and Rock Mass under Different Loading and Unloading Cycles

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In order to study the seepage law of broken coal seams affected by multiple mining operations, a cyclic loading and unloading seepage experiment was carried out. For this purpose, the seepage law of broken samples with different coal and rock ratios was analyzed. The results of our study demonstrated that the permeability of the broken samples showed a decreasing trend. After a loading and unloading cycle, the permeability was significantly reduced. The impact of the loading stage on the broken sample was higher than that of the unloading phase. When the proportion of coal particles in the mixed samples of broken coal and rock was 50%, the irreversible permeability loss rate and permeability loss rate of the samples showed the highest values. The irreversible permeability loss rate and permeability loss rate of the broken rock mass were greater than those displayed by the broken coal mass. The stress sensitivity coefficient curves of the 5 types of broken coal and rock masses presented the same changes. The stress sensitivity coefficient curve and the effective stress displayed an exponential relationship.

1. Introduction

As coal mining is gradually performed at deeper depths, the occurrence of coal seam groups is more frequent [1]. During the mining of deep coal seams, the mining stress path experienced by the coal seams, the evolution of the surrounding rock fissure field, and the gas seepage become complex and unstable. This makes difficult to understand the characteristics of gas migration and enrichment during repeated mining of the coal seams. The reason is that coal seam mining produces pressure release and pressurization on unmined coal seams. This causes that some coal seams repeatedly undergo loading and unloading processes during coal seam mining [2]. As effect of periodic pressure, as the working face advances the overlying rock in the goaf redistributes the stress field. This causes that the overlying rock in the goaf is always present in the pressurization zone or pressure relief zone. Under this repeated loading and unloading action, the coal seam gradually changes from a complete state to a broken state. This process changes the pore structure of the coal seam, and in consequence, the gas seepage law cannot be accurately determined. When the coal body is broken, the coal seam includes the broken coal particles. It is particularly important to study the pore structure and permeability of the broken coal rock mass that is subjected to cyclic loading and unloading processes.

Scholars have carried out research on the pore structure and seepage law of broken coal and rock mass under stress. McCorquodale et al. [3] conducted multiple permeability tests and obtained dimensionless equations for the permeability coefficients of broken rocks with various particle sizes. Pradeepkumar et al. [4] determined that the seepage flow of broken rock obeys the Forchheimer equation and the Missbach equation. Qian et al. [5] summed up a large number of test results and concluded that, under high pressure, the permeability coefficient of broken rock masses increased an order of magnitude as compared with that of intact rock masses. In addition, the seepage of broken rock
masses during in situ mining generally does not conform to Darcy’s law. Shang et al. [6] obtained the porosity of broken coal samples at various particle sizes. According to their results, porosity gradually decreased with the increase of effective stress. In addition, this parameter was negatively correlated with the changing law of confining pressure. Wang et al. [7] found that the main reason for the decrease in pore space and permeability loss of broken coal and rock samples under loading and unloading cycles is the rebreaking of coal and rock particles. According to Chu et al., the porosity and permeability of the broken coal sample gradually decreased with increasing stress. During the stress loading process, the inner physical structure of the broken coal sample changed, and the coal particles broke again. This process further affected their permeability. Yu et al. [8] determined that in the initial stage of stress loading, as porosity decreased, the permeability decreased in a linear way. Later, the permeability decreased more slowly, and finally, the permeability suddenly decreased with the decrease in porosity. Zhang et al. [9, 10] reported that the larger the proportion of coal in the mixed sample of broken coal and rock, the smaller the permeability, and the higher the stress sensitivity of permeability. As the number of loading and unloading cycles increased, the stress sensitivity gradually decreased. Wang et al. [11] identified that the relationship between seepage velocity and permeability and porosity can be fitted using power and exponential functions. When the porosity of a broken coal sample is high, the permeability is related to the stress loading process. When the porosity is small, the permeability tends to stabilize and does not show a relationship with the stress loading process. Zhang et al. [12] found that the higher the proportion of large coal particles (20-25 mm) in the broken coal samples, the higher the permeability and stability. In addition, the larger the proportion of small coal particles (5-10 mm), the greater the permeability. Small coal particles presented a filling effect on large coal particles.

These researchers have also analyzed the seepage law of broken coal using data on stress effect and pore structure and compared and analyzed coal samples of different particle sizes. However, in the experimental research on broken samples, there are few studies on the broken samples mixed with coal and rock particles, and there are fewer studies on cyclic loading and unloading as the stress path. Therefore, in this paper, combining the above two aspects, five kinds of broken samples with different coal-rock ratios are made, and the permeability changes of the five kinds of samples are studied under the action of cyclic loading and unloading. The purpose of testing the permeability of broken samples is to improve the efficiency of coal seam gas drainage. The model established in the literature [13, 14] effectively reflects the law of gas permeability changes under fracturing.

2. Experiments

2.1. Laboratory Equipment. The gas flow and displacement testing equipment consisted of a loading system, autoclave, air supply and pressure reduction system, temperature simulation system, and acquisition control system, as shown in Figure 1. The loading system is able to load the coal sample and at the same time maintain a radial pressure. The gas passes through the coal sample and enters the flow meter in order to measure permeability. The principle of the experimental device is shown in Figure 2.

2.2. Experimental Samples. The coal and rock blocks were selected from Huaibei coalfield 32 coal seam, located in the
Anhui Province. The mine ground elevation was +24.5~+25.2 m, and the working face elevation was −509~−680 m. The coal seams selected for these experiments corresponded to bituminous coal shown between medium and high metamorphic degrees. The rock mass was selected from the caving zone of this working face. The coal and rock blocks were ground until particles with approximately the same diameter were obtained. The diameter of the selected coal and rock particles was between 5 and 10 mm. The coal and rock particles were mixed in order to obtain samples with a diameter of 50 mm and a height of 100 mm, as shown in Table 1 and Figure 3.

2.3. Experimental Program. The cyclic loading and unloading stress path is shown in Figure 2. After the sample was placed in the experimental device, temperature was adjusted to 20°C and tests were performed at a constant temperature. During the loading process, the axial pressure and confining pressure were set up to 2 MPa, the inlet pressure was adjusted to 0.5 MPa, helium was charged into the

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![Figure 2](image-url)

**Figure 2:** (a) Stress path and (b) effective stress path.
experimental device, and the axial pressure and confining pressure were maintained constant for 5 minutes. The shaft confining pressure was loaded at intervals of 2 MPa until the axial pressure and confining pressure reached 16 MPa. The unloading process started with an interval of 20 minutes after each loading. During the unloading process, the axial and confining pressures were unloaded in steps of 2 MPa, until final values were 2 MPa and the first loading and unloading cycle and permeability test were completed [16]. Later, the same method was used to carry out the permeability test during the second and third stages of axial and confining loading and unloading processes. When the axial and confining pressures in the third stage were unloaded to reach 2 MPa, the permeability test was completed. The test for loading and unloading path and effective loading and unloading stress path is shown in Figure 2.

Equation (1) has been widely used to calculate the average effective stress in cylindrical coal samples:

$$\sigma_e = \sigma_a - P,$$

where $\sigma_e$ is average effective stress (MPa), $\sigma_a$ is axial pressure (MPa), and $P$ is the inlet pressure in the coal sample (MPa).

Since coal is a porous medium, the gas flow in the coal seam can be roughly described by a linear seepage law. The formula used to calculate axial permeability of a compressible gas can be obtained by normalizing the flow rate and pressure in Darcy's theorem permeability formula:

$$K = \frac{2PQ\mu L}{A(P_1^2 - P_2^2)},$$

where $K$ is coal permeability (md), $Q$ corresponds to gas flow rate (cm$^3$/s), $\mu$ is the gas viscosity coefficient (Pa·s), $L$ indicates the coal sample length (cm), $A$ is the coal sample base area (cm$^2$), and $P_1$ and $P_2$ correspond to the relative gas pressures at the inlet and outlet of the raw coal sample, respectively (MPa).

In order to eliminate potential differences in pore structure caused by volume and shape variations of broken coal and rock particles, the concept of dimensionless permeability was introduced. The dimensionless permeability considers the initial permeability as the benchmark and the subsequent permeability as the benchmark and was used to express the degree of permeability change at every stress point:

$$k = \frac{K_n}{K},$$

where $k$ is the dimensionless permeability and $K_n$ corresponds to permeability in the subsequent stress point, excluding the first stress point (md).

### 3. Experimental Results and Discussion

#### 3.1. Changes in Permeability of Broken Samples

According to the stress path shown in Figure 2, the loading and unloading cycles were performed to obtain five different ratios of broken coal and rock mass permeability changes. As shown in Figure 4, the permeability of broken coal and rock mass showed a decreasing trend with increasing number of loading and unloading cycles. Given the different compositions

<table>
<thead>
<tr>
<th>Sample</th>
<th>Size (mm)</th>
<th>Coal to rock volume ratio</th>
<th>Volume (%)</th>
<th>Quality (g)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Coal</td>
<td>Rock</td>
</tr>
<tr>
<td>C1</td>
<td>50 × 100</td>
<td>1</td>
<td>100</td>
<td>0</td>
</tr>
<tr>
<td>C2</td>
<td>50 × 100</td>
<td>0.7</td>
<td>70</td>
<td>30</td>
</tr>
<tr>
<td>C3</td>
<td>50 × 100</td>
<td>0.5</td>
<td>50</td>
<td>50</td>
</tr>
<tr>
<td>C4</td>
<td>50 × 100</td>
<td>0.3</td>
<td>30</td>
<td>70</td>
</tr>
<tr>
<td>C5</td>
<td>50 × 100</td>
<td>0</td>
<td>0</td>
<td>100</td>
</tr>
</tbody>
</table>

Figure 3: (a) Broken coal and rock and (b) coal samples.
of the five types of broken coal and rock masses, they were divided in two groups: C1/C5 and C2/C3/C4. In this case, C1/C5 corresponded to coal and rock samples, respectively. In addition, C2/3/4 indicated mixed samples. During the three loading and unloading cycles of C2/3/4 broken coal and rock samples, the permeability and effective stress were inversely proportional. During the loading process, the permeability of the broken coal rock decreased and later increased during the unloading stage. Data indicated that stress during loading and unloading stages presented two maximum points. In addition, the permeability curve displayed three minimum points. Permeability of C2/3/4 decreased as the number of loading and unloading cycles increased. It was also observed that the damage to the broken coal and rock mass gradually increased after three loading and unloading cycles. During the cyclic loading and unloading of C1 crushed coal, the overall change was the same as the one observed in C2/3/4. In addition, the change range of the extreme point inside the permeability curve was small, even smaller than the one observed in the C2/3/4 sample. The permeability of C5 broken rock mass always decreased, and no extreme point was present. These results differed from those obtained for C1 fractured coal mass. During cyclic loading and unloading processes of the broken coal and rock mass, the particles resulted from three different processes: extrusion deformation, particle crushing, and structural adjustment; the permeability changes of C1/2/3/4/5 at each stage are shown in Table 2. When coal and rocks were mixed, for example, in C2/3/4, particle crushing and extrusion deformation represented the main factors. On the other hand, in broken coal and rock samples mixtures (i.e., C1/5), structural adjustment and extrusion deformation represented the main processes. The specific shape is shown in Figure 5.

In order to further illustrate potential changes in permeability of broken coal and rock mass subjected to three
loading and unloading cycles, the deformation of the granular particles was calculated using the Hertz contact deformation principle [17]. Before loading and unloading of the broken coal and rock mass, multiple particles presented high porosity. Herein, particle size and volume of the fracture channel present inside the sample were large. At this point, permeability presented the highest value. It was observed that, at high values of effective stress, no cohesion between the particles occurred, and the multiparticle pore structure started breaking down. At this time, the area of the pore seepage channel was greatly reduced. As stress further increased, a relatively stable pore structure was eventually formed. At the same time, because of the low strength and irregular shape of broken coal and rocks, samples were again broken during the loading and unloading cycle (Figure 5). The diameter of broken particles after loading and unloading cycles was significantly smaller than that of large particles. Also, the fracture channels composed of tiny particles were smaller than those composed of large particles. In addition, some small broken particles were able to fill the pore space of large particles, and at the same time, the particle structure was again adjusted [18], as shown in Figure 6. The pore structure of broken coal rocks was not restored during the unloading stage. This process produced a significant loss of permeability of the broken coal rock sample during the first loading and unloading process. In subsequent loading and unloading cycles, the coal and rock samples were broken again to a much lower degree as compared to the first loading process, and the structure between the particles was relatively stable [19]. Therefore, the permeability of the broken coal and rock samples during the secondary and tertiary loading and unloading processes decreased to a small extent. In addition to the irreversible permeation loss that resulted from changes of the pore structure of the broken coal samples and recrushing, the squeezing deformation of the broken particles during the formation of the pore structure was also the reason for the reduction of porosity.

In order to determine the cause for the continuous decrease in permeability of broken coal and rock masses during the cyclic loading and unloading processes [20], the concept of the cubic law was introduced. Different researchers have [21] studied the seepage mechanism of water in rough fractures and have proposed use of the cubic law. The formula is presented in

$$Q = \frac{e^3 P_2 - P_1}{12\mu JRC^{0.05}},$$

where Q represents the flow through the pipeline (m$^3$/s), e is the crack opening (m), $\mu$ corresponds to the fluid viscosity coefficient, JRC is the roughness coefficient, and $P_2 - P_1$ is the pressure difference between adjacent fluid domains (MPa), and a positive value indicates that the direction of flow velocity occurs from fluid domain 2 to fluid domain 1.

![Figure 5: Three different processes occurring during loading and unloading of broken coal and rock mass samples.](image)

![Figure 6: Changes in pore structure of broken coal and rock mass.](image)

Different researchers [22] have used the root mean square Z of the structural surface to estimate the roughness coefficient JRC and have obtained

$$JRC = 32.2 + 32.47 \lg Z.$$

The root mean square Z formula of the surface profile is shown in

$$Z = \frac{1}{L} \sqrt{\int_{x=0}^{x=L} (\frac{dy}{dx})^2 dx},$$

where L corresponds to the length of the pipe (m). As shown in Figure 7, $L = r_1 + r_2$.

During the experiments, the broken coal and rock mass underwent three processes including deformation, particle crushing, and structural adjustment. The initial particle size was relatively large. After loading and unloading cycles, the coal and rock mass broke again and the particle size was reduced. The width of the cracks between them was reduced, as well as the length of the cracked pipes. According to Equation (4), L decreased and the root mean square $Z_2$ of the surface profile increased. In addition, as shown in Equation (3), in general, JRC increased. Furthermore, according to Equation (2), the flow rate of crushed coal and rock mass decreased, and coal was compressed. The permeability of the coal and rock mass decreased accordingly. Thus, the permeability of the broken coal rock always
showed a decreasing trend during the loading and unloading cycles.

According to our results, significant changes in permeability were observed when broken coal rock masses C1/2/3/4/5 passed through one loading and unloading cycle. Figures 8 and 9 show the permeability curves for 5 different types of broken coal rock masses with effective stress as the independent variable. Figure 8 shows data obtained in the first cycle. In addition, Figure 9 presents the permeability of the samples during the second and third loading and unloading cycles. Data indicated that, throughout the loading stage, the highest slope of the permeability curve corresponded to the C3 sample, followed by that of C4 and C2. At the beginning of the first loading step, small differences
in permeability were observed in the three samples. However, as effective stress increased, the permeability of the C3 sample decreased, and the slope increased. This occurred because the \( n \) value for the samples C2, C3, and C4 was 0.7, 0.5, and 0.3, respectively. Thus, the volume of coal and rock particles in the sample accounted for 70%, 50%, and 30%. During the loading process, the internal particles were mainly ground by extrusion. Since the hardness of the rock was higher than that of the coal, the internal coal particles were fractured again during the loading process. These particles filled the internal cracks of the rock. When the proportion of coal particles was 30%, the volume of the internal fissures present in the rock particles was larger, the filling volume of the coal particles was smaller, and the structural change of the pores was smaller than the ones observed in samples containing a coal ratio of 50%. When the proportion of coal particles was 70%, the coal particles in contact with the rock units were broken through particle crushing. On the other hand, when coal particles were not in contact with the rock particles, fragmentation was mainly due to extrusion, deformation, and structural adjustment. Moreover, when the proportion of particles was 50%, the coal and rocks were fully mixed and in contact. For this reason, coal particles were fragmented again after being squeezed [23]. Figure 10 shows that, after three loading

Figure 9: Permeability curves for the second and third loading and unloading cycles.

Figure 10: C2 and C3 samples after loading and unloading cycle.
and unloading cycles, the degree of fragmentation in the C3 sample was greater than that observed in the C2 sample. When the coal-to-rock ratio was 0.5, significant deformation of the broken coal and rock was observed, and the pore structure was notably damaged.

3.2. Permeability Damage Rate. In order to explore the influence of cyclic loading and unloading processes on the permeability of broken coal and rocks, the concept of irreversible permeability damage rate was introduced. The irreversible permeability damage rate represents the change in the permeability of the broken sample during the cyclic loading and unloading process, considering that this change is irreversible. The irreversible permeability damage rate (%) is expressed as shown in Equation (7) and indicates to which degree the permeability of the coal reservoir cannot be recovered [24]:

\[
D_{k1} = \frac{k_1 - k_{1r}}{k_1} \cdot 100%,
\]  

Figure 11: Irreversible permeability loss rate of C1–5 during three loading and unloading cycles.
indicates the permeability at the first stress point (md), and $k_{1r}$ is the permeability after the stress returns to the first stress point (md).

According to the data shown in Figure 11, the irreversible loss rate of the five broken samples gradually decreased when the number of loading and unloading cycles increased. Results for samples C1 and C5 indicated that, during the three loading and unloading cycles, the irreversible damage rate of the broken rock sample was higher than that of the broken coal sample. The coal used for the broken sample was taken from the 32 coal seam of the Huaibei Coal Field. This coal seam corresponds to an outburst coal seam. Therefore, the coal sample displayed a plastic change relative to the rock sample during the cyclic loading and unloading process. The compression deformation during the loading and unloading process caused that the ratio of the broken rock was higher than that of the coal. In C2/3/4, which corresponds to three different types of crushed mixed samples, the irreversible damage rate after three loading and unloading cycles followed the order C3 > C4 > C2. When the coal-

![Figure 12: Rate of permeability loss in samples C1~5 under three loading and unloading cycles.](image-url)
to-rock ratio was 0.5, the coal-rock was fully mixed and the refragmentation of the internal particles resulted in a large difference in permeability of C3 crushed coal and rock, as well as a high irreversible damage rate. For C2 and C4 samples, differences in the irreversible damage rate between the first loading and unloading cycle were small. In addition, this difference increased as the number of loading and unloading cycles increased. The irreversible permeability of the C4 sample was greater than that of C2. In broken coal and rocks, when the proportion of rock particles was relatively large, the degree of damage in the internal particles and the degree of pore structural change increased. Moreover, when the proportion of coal and rock was the same, the degree of change presented the highest value.

In order to determine the impact of the test on each section of the broken coal and rock mass during loading and unloading processes, the concept of permeability damage rate (%) was introduced, and it is shown in [25]

\[ D_{k_2} = \frac{k_1 - k_2}{k_1} \times 100\% \]  \hspace{1cm} (8)

where \( D_{k_2} \) represents the permeability damage rate at a certain loading and unloading stage, \( k_1 \) is the permeability corresponding to a stress point at the beginning of a given loading or unloading stage (md), and \( k_2 \) indicates the permeability corresponding to a stress point at the end of a given loading or unloading stage (md).

Figure 12 shows that the permeability damage rate of the five types of broken coal and rock masses gradually decreased during the loading stage. In the case of C1/5, the permeability damage rate of the broken rock sample was greater than that of the broken coal sample. In addition, when performing the three loadings of the C2/3/4 broken mixtures, it was observed that the permeability damage rate of the C3 broken sample was greater than that of the C2/4 broken samples. The permeability damage rate of the C4 broken sample with a proportion of coal particles of 0.3 was greater than that of C2. The internal particle change law and the permeability differences were in agreement. In the unloading stage, the permeability damage rate of C5 fractured rocks was all greater than “0,” and the permeability damage rate of samples containing coal particles was less than “0.” This occurred because of the inverse relationship between permeability and effective stress. In relation to C2/3/4 crushed mixed samples, the permeability damage rate of C3 was smaller than that of C2/4. It was also observed

Figure 13: Stress sensitivity curve for samples C1 to C5.
that, in the third unloading stage, the penetration damage rate of C3 was greater than the one observed in C2/4 broken samples.

3.3. Stress Sensitivity Analysis. In order to quantify the sensitivity of permeability to stress changes under cyclic loading and unloading conditions, the stress sensitivity coefficient $C_k$ of permeability was determined using

$$C_k = \frac{\partial k}{\partial \sigma_e},$$  \hspace{1cm} (9)$$

where $K$ represents the permeability of the coal sample (md) and $\sigma_e$ is the effective stress (MPa).

In order to perform the analysis, permeability data for samples C1~5 were loaded into the formula, and the relationship between stress sensitivity and effective stress of broken coal sample permeability under cyclic loading and unloading conditions was calculated. Results are shown in Figure 13.

Data shown in Figure 13 indicated that the stress sensitivity of the permeability of the broken coal and rock mass in the cyclic loading and unloading process gradually decreased with the increase of the effective stress. It was also observed that, regardless of the stage, the stress sensitivity of permeability in broken coal samples gradually decreased with the increase in the number of loading and unloading cycles. The stress sensitivity of coal sample permeability at the loading stage was greater than the stress sensitivity in the corresponding unloading step. From the first loading and unloading to the third loading and unloading cycles, the stress sensitivity coefficient of the broken coal and rock mass gradually decreased.

Figure 14 presents a comparison of the stress sensitivity of the five broken samples. The ordinate in Figure 14 is different from that in Figure 13. The ordinate corresponds to the stress sensitivity resulting from the real permeability of the broken coal sample. As this figure shows, the highest point in the stress sensitivity curve gradually increased as samples went from C1 to C5, which is related to the initial permeability of the broken sample when the effective stress was 1.5 MPa. The higher the initial permeability, the initial stress sensitivity coefficient increased. In addition, the range of stress sensitivity variation for samples C1~5 gradually increased. The higher the amount of rock particles inside the broken sample, the higher the sensitivity of the sample to the effective stress and the greater the degree of change.

4. Conclusion

(a) The internal particles in broken coal and rock were mainly caused by extrusion, deformation, and structural adjustment. In the case of broken coal and rock, the main processes were extrusion, deformation, and particle crushing. The permeability of the broken sample showed a decreasing trend. In addition, the permeability of the broken sample was significantly reduced after a loading and unloading cycle. The loading stage had a greater impact on the broken sample as compared to the unloading stage.

(b) When the proportion of coal particles in the broken sample was 50%, the irreversible permeability damage rate and the permeability damage rate of the sample presented the highest values. This result was bigger than that obtained for the broken samples
containing a proportion of coal particles of 0.3 and 0.7. The irreversible permeability damage rate and permeability damage rate of the broken rock mass were greater than those of the broken coal mass. In relation to broken coal rock, when the proportion of rock particles was relatively large, the damage degree of the internal particles and the degree of change of the pore structure were significantly higher. When the proportion of coal and rock was the same, the degree of change presented the highest value.

(c) The stress sensitivity coefficient curves of the five types of broken coal and rock masses were consistent. In addition, the stress sensitivity coefficient and effective stress can be fitted to the Darcy equation. The higher the number of rock particles inside the broken sample, the higher the sensitivity of the sample to effective stress and the greater the degree of change.

Abbreviations

\( n \): Coal to rock volume ratio
\( k \): Dimensionless permeability
\( \sigma_c \): Average effective stress
\( K_n \): Permeability in the subsequent stress point
\( \sigma_a \): Axial pressure
\( e \): Crack opening
\( P_1 - P_2 \): Air pressure difference
JRC: The roughness coefficient
\( K \): Permeability
\( Z \): The root mean square
\( Q \): Gas flow rate
\( D_{pi} \): The irreversible permeability damage rate
\( \mu \): The fluid viscosity coefficient
\( D_p \): The permeability damage rate
\( L \): Coal sample length
\( A \): Coal sample base area.

Data Availability

The data obtained in the present research are available from the corresponding author upon request.

Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this paper.

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