1. Introduction

The IEEE standard [1] defines an antenna as "a terminal devise of a transmitting or receiving system which is designed to radiate or receive electromagnetic waves"; thereunder the antenna operating in the transmission mode is usually represented as the load impedance and in the receiving mode as the Thevenin or Norton equivalent generator [2, 3]. At the same time, the antenna can also be viewed as a transducer that converts waves traveling in a transmission line to waves propagating in free space, and vice versa [4, 5]. Such mutual conversions are often accompanied by energy losses, which not only reduce the conversion efficiency, but also generate thermal noise that can affect the receiving system sensitivity. Therefore, often there is a need to represent an antenna in the form of a two-port network, whose one terminal is connected to the transmission line running to a generator or receiver, and the second one is connected to a transmission line simulating the energy exchange channel with free space.

One of the first attempts to represent an antenna in the form of an electromagnetic wave converter was made in [6], where the field in free space was presented as an infinite sum of spherical harmonics, which made this antenna model inconvenient for use. Further attempts of creating an antenna two-port network were made repeatedly [7–17]. The authors in [7–13] used a theoretical approach; however, unfortunately, they did not obtain a complete analytical description of the antenna two-port network. In [14–16], the authors developed a technique for the experimental determination of S-parameters of the antenna two-port network, which is based on the Wheeler cap method. However, the application of the method is limited to the microwave range, when the manufacture of the cap does not cause difficulties. It is clear for the antennas of HF and of lower frequency ranges that this method is practically not applicable for obvious reasons. The analytical form of the two-port network S-matrix was derived from [17] for the dipole antenna, but the specific topic of the paper did not allow the authors to generalize those results to arbitrary antennas.

In this paper, an extended theory of a two-port network associated with an arbitrary single-input antenna is developed. The electric and noise parameters of this network (Figure 1) are described in terms of wave matrices by the following equations [18]:

\[
H_{ij}^{(m)} = \frac{1}{Z_L} \sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n!} \left( \frac{Z_L}{Z_0} \right)^n \left( \frac{Z_0}{Z_L} \right)^n \frac{d^n}{d\theta^n} \left[ \frac{1}{\sin \theta} \sum_{k=1}^{\infty} \frac{(-1)^{k+1}}{k!} \left( \frac{Z_L}{Z_0} \right)^{k-1} \sin k\theta \right]
\]

where \(H_{ij}^{(m)}\) is the \(m\)-th component of the wave matrix, \(Z_L\) and \(Z_0\) are the load and characteristic impedances, respectively, and \(\theta\) is the angle between the antenna axis and the direction of propagation of the wave. The solution of this equation is given in [18].
Consider a dipole antenna, assuming that we know its normalized radiation pattern $F(\theta, \phi)$, maximum directivity $D_m$, radiation efficiency $\eta$, and impedance $Z = R + jX = R_r + R_t + jX$, where $R_r = \eta R$ is the radiation resistance, $R_t = (1 - \eta)R$ is the loss resistance, and $X$ is reactance. Figure 2(a) shows the well-known Thevenin equivalent circuit of a dipole operating in the transmission mode [2]. The radiation resistance $R_r$ shown here is a principal element of the dipole equivalent circuit, since without it the dipole ceases to radiate. The other two circuit elements, $R_t$ and $X$ in Figure 2(a), are the parasitic elements, which do not take part in the radiation process. The first of them reduces the antenna efficiency, and the second one limits the possibility of matching the dipole with the generator, so they as a rule are trying to get rid of them, or at least to minimize them as much as possible.

We present the circuit shown in Figure 2(a) as a cascaded connection of two two-port networks (Figure 2(b)). The first of them is a series impedance $Z_p$ inserted in a transmission line of characteristic impedance $Z_c$. Its scattering matrix is [19]

\[
S_1 = \frac{1}{Z_p + 2Z_c} \begin{pmatrix}
Z_p & 2Z_c \\
2Z_c & Z_p
\end{pmatrix}.
\]  

The second two-port network II converts the waves propagating along the transmission line into waves propagating in free space, i.e., it corresponds to an ideal lossless antenna. Its input impedance is a resistor $R_r$ that is virtual, because the power in it does not convert into heat, but is radiated into free space. We find the scattering matrix $S_R$ of the two-port network II, assuming that its input terminals are connected to a transmission line of the characteristic impedance $Z_c$, and the output terminals are connected to a virtual transmission line that simulates free space. The characteristic impedance $Z_w$ of a virtual line remains to be determined. The element $S_{II11}$ of the desired matrix is the input reflection coefficient $\Gamma_r$:

\[
S_{II11} = \Gamma_r = \frac{R_r - Z_c}{R_r + Z_c}.
\]  

Other S-matrix elements can be obtained using its symmetry and unitarity properties [19]:

\[
[S_{II12}]^2 = 1 - |S_{II11}|^2 = 1 - |\Gamma_r|^2,
\]

from which follows

\[
S_{II12} = S_{II21} = \sqrt{1 - |\Gamma_r|^2} e^{j\phi}, \quad S_{II22} = \sqrt{1 - |\Gamma_r|^2} e^{-j\phi}.
\]

\[
S_{II12} = S_{II12}^{*} = -\Gamma_r^{*} e^{-j\phi} = \frac{R_r - Z_c}{R_r + Z_c} e^{-j\phi},
\]

where $\phi$ is an arbitrary phase.

As a result, the obtained S-matrix of the lossless antenna has the form

\[
S_\Pi = \begin{pmatrix}
\Gamma_r & \sqrt{1 - |\Gamma_r|^2} e^{j\phi} \\
\sqrt{1 - |\Gamma_r|^2} e^{-j\phi} & -\Gamma_r^{*} e^{-j\phi}
\end{pmatrix},
\]

or

\[
S_\Pi = \frac{1}{R_r + Z_c} \begin{pmatrix}
R_r - Z_c & 2 \sqrt{R_r Z_c} e^{j\phi} \\
2 \sqrt{R_r Z_c} e^{-j\phi} & (Z_c - R_r) e^{-j\phi}
\end{pmatrix}.
\]

The sought dipole S-matrix resulting from cascading of the two two-port networks (Figure 2(b)) can be derived using [19] and expressed as follows:

\[
S = \frac{1}{Z + Z_c} \begin{pmatrix}
Z - Z_c & 2 \sqrt{Z_c R_r} e^{j\phi} \\
2 \sqrt{Z_c R_r} e^{-j\phi} & (Z + Z_c - 2R_r) e^{-j\phi}
\end{pmatrix}.
\]
transforms the resistance $R_r$ to $Z_c$, and select its transformation coefficient as $n = \sqrt{R_r/Z_c}$. Note that the addition of a transformer does not change the scattering matrix $S$ (9) of the entire four-terminal network since the scattering matrix of this transformer is a unity matrix. The transmission line section included into the two-port network shifts out the terminals of a two-port network coincide.

Both the equivalent circuit shown in Figure 3 and expression (9) can be used to calculate the scattering matrix not only of a dipole antenna but also for any other antenna having a uniquely defined terminals voltage $V_A$ and current $I_A$. Their ensemble includes all antennas fed by the TEM transmission lines.

### 3. S-Matrix of an Arbitrary Antenna

There are the dipole impedance $Z$ and the radiation resistance $R_r$ in (9); however, for many antennas these parameters cannot be unambiguously determined. Among them are horns, slotted waveguide antennas, and others, fed by waveguides in which the TEM waves cannot exist. For characterizing such antennas, the input reflection coefficient $\Gamma$ and efficiency $\eta$ are used. We express the scattering matrix (9) in terms of $\Gamma$ and $\eta$ using such substitutions $\Gamma = (Z - Z_c)/(Z + Z_c)$ and $\eta = R_r/R$. The result is

$$S = \begin{pmatrix} \Gamma & \sqrt{\eta} e^{j(\chi+\phi)} \\ \sqrt{\eta} e^{j(\chi+\phi)} & 1 - \Gamma^* - \eta \chi e^{-j(\chi+\phi)} \end{pmatrix},$$

where $\chi = 1 - |\Gamma|^2 = 2\sqrt{R_cZ_c}/(|Z + Z_c|)$ is the impedance mismatch factor between the antenna terminals and transmission line; $e^{j\phi} = (Z + Z_c)/(|Z + Z_c|) = (1 - \Gamma^*)/(1 - \Gamma)$ is the phase delay factor ensuring the equivalence of expressions (9) and (10).

The phase delay $\phi$ in (9) and (10) may be arbitrary, when there are no additional conditions. If we assume $\phi = 0$, (9) and (10) are somewhat simplified, but in this case the output reference plane of the two-port network shifts into location of the impedance step discontinuity. Equation (10) can be simplified even more if we set $\phi = -\chi$:

$$S = \begin{pmatrix} \Gamma & \sqrt{\eta} \\ \sqrt{\eta} & 1 - \Gamma^* - \eta \chi \end{pmatrix} = \begin{pmatrix} \frac{Z - Z_c}{Z + Z_c} & 2\sqrt{Z_cR_c} \\ \frac{Z + Z_c}{Z + Z_c} & 2\sqrt{Z_cR_c} \end{pmatrix}.$$

Here, the reference planes of the input and output terminals of a two-port network coincide.

### 4. Antenna Noise Covariance Matrix

We find the covariance spectral matrix $C$ of the noise waves of an antenna two-port network using the Bosma theorem [20]:

$$C = k_B T_0 (E - SS^*)$$

where $T_0$ is the ambient temperature and $k_B$ is the Boltzmann constant.

Substituting (9) and (10) into (12), we obtain the following two expressions:

$$C = k_B T_0 4R_cZ_c/|Z + Z_c|^2 \begin{pmatrix} 1 & - \sqrt{R_c/Z_c} \\ \sqrt{R_c/Z_c} & R_c \end{pmatrix}$$

$$= k_B T_0 (1 - \eta) \chi \begin{pmatrix} 1 & \sqrt{\eta} \\ \eta & \sqrt{\eta} \end{pmatrix}.$$

the first of which is convenient for calculating the covariance noise matrix of a dipole antenna and the second one for an arbitrary antenna.
5. Applications of the S- and C-Matrices for Antenna Analysis

5.1. Transmitting Mode. The antenna is excited by the \( a_i \) wave traveling from the signal generator, and there are no other sources \( (a_2 = 0) \). Then, the two-port equations reduce to

\[
\begin{align*}
\text{b}_1 &= \text{S}_{11}a_1, \\
\text{b}_2 &= \text{S}_{21}a_1.
\end{align*}
\]  

(15)

From the first equation, we can determine the current \( I_A \) at the antenna input terminals as

\[
I_A = \frac{2}{Z_c}(a_1 - b_1) = \frac{2}{Z_c}(1 - S_{11})a_1 = \frac{2}{R_r}b_2 = \frac{2}{R_r}a_1,
\]

(16)

and then find the antenna radiation field \( [2] \) at the observation point \((\theta, \phi)\):

\[
\vec{E}_r = \frac{Z_0I_A}{2}\vec{F}(\theta, \phi)\frac{e^{-jkr}}{r} = b_2\frac{Z_0D_m}{2\pi}\vec{F}(\theta, \phi)\frac{e^{-jkr}}{r},
\]

where \( L = \lambda_0\sqrt{\mu_0\varepsilon_0}/\pi Z_0 \) is the dipole effective length; \( Z_0 \) is the intrinsic impedance of free space.

Antenna-radiated power is obtained as

\[
P_r = |b_2|^2 = |S_{21}|^2|a_1|^2 = \eta\xi|a_1|^2.
\]

(18)

It follows that the square of the module \( S_{21} \) is the ratio of the power \( P_r \), radiated by the antenna into free space to the wave power \( P_p = |a_1|^2 \) arriving from the signal generator by the transmission line \( |S_{21}|^2 = P_r/P_p \).

5.2. Receiving Mode. Here, we assume the antenna being excited only by a plane EM wave which is traveling in free space and carrying the wanted signal. The wave comes from the \( (\theta, \phi) \) direction, has the electrical field strength \( \vec{E} \), and its polarization is matched with antenna polarization. This plane wave generates the traveling wave \( a_2 \) in the virtual transmission line, which at port 2 of a two-port network is determined as follows:

\[
a_2 = \frac{V_{oc}}{2\sqrt{2}r} = \frac{\lambda_0E'}{\sqrt{2\pi Z_0}}\frac{D_m}{4\pi}F(\theta', \phi').
\]

(19)

where \( V_{oc} = E'F(\theta, \phi) \) is an open-circuit voltage at the dipole terminals induced by an incident plane EM wave.

The power that carries the incident wave \( a_2 \) is

\[
P_{av} = |\text{a}_2|^2 = \frac{E'^2}{2Z_0}\frac{\lambda_0^2D_m}{4\pi}F(\theta', \phi') = W^2\text{A}_a|F(\theta', \phi')|^2.
\]

(20)

where \( \text{A}_a = \lambda_0^2D_m/4\pi \) is an antenna absorption area \([21]\) related to the effective area as \( \text{A}_a = \eta\text{A}_m \), \( W^2 = |\vec{E}|^2/2Z_0 \) is the power flux density of an incident plane wave.

It should be noted that \( P_{av} \) is the available power, which an antenna can extract from an incident plane wave.

Since other excitation sources are absent \( (a_1 = 0) \), the first of the two-port network equations (1) is reduced to the form of \( b_1 = S_{12}a_2 \). The signal power received by the antenna is determined as

\[
P_{rec} = |b_1|^2 = W'A_a\eta\xi|F(\theta', \phi')|^2 = W'A_a\xi|F(\theta', \phi')|^2.
\]

(21)

It follows from (20) and (21) that the square of the module \( S_{12} \) is the ratio of the power \( P_{av} \), transferred by the antenna into the matched transmission line, to the available power \( P_{av} \), which can be extracted from the incident wave \( |S_{12}|^2 = P_{rec}/P_{av} \).

The intrinsic noise power \( P_N \) sent by the antenna to the receiver can be calculated from the C-matrix (14):

\[
P_N = BC_{11} = Bk_p\Gamma_0(1 - \eta)\xi,
\]

(22)

where \( B \) is the receiver bandwidth.

5.3. Scattering Mode. An incident electromagnetic wave induces currents on the antenna which produce a scattering field. The total antenna scattering field \( \vec{E} \) can be represented as a sum of the structural \( \vec{E}_{st} \) (residual) and the reradiated \( \vec{E}_{rr} \) fields \([22]\). The S-matrix approach allows calculating only the reradiated field. We find this field for the antenna terminated with the load impedance \( Z_L \). In this case, the second equation in (1) will have the form

\[
b_2 = \Gamma_2a_2,
\]

(23)

where

\[
\begin{align*}
\Gamma_2 &= S_{22} + S_{21}S_{12}\Gamma_L, \\
\Gamma_L &= Z_L - Z_c/Z_c.
\end{align*}
\]

(24)

(25)

For determination of the antenna reradiated field, we can use (15), which in view of (23) takes the form

\[
\vec{E}_{rr} = a_2\Gamma_2\frac{Z_0D_m}{2\pi}\vec{F}(\theta, \phi)\frac{e^{-jkr}}{r}.
\]

(26)

The antenna absorbed power is given by

\[
P_{ab} = |a_2| = |b_2|^2 = (1 - |\Gamma_2|^2)|a_2|^2.
\]

(27)

When \( \Gamma_2 = 0 \), the absorbed power reaches the maximum \( P_{ab} = P_{av} \).

When \( \Gamma_L = 0 \), then \( \Gamma_2 = S_{22} \) and the absorbed power is

\[
P_{ab} = (1 - |S_{22}|^2)|a_2|^2,
\]

(28)

and the reradiated power is

\[
P_{rr} = |S_{22}|^2|a_2|^2.
\]

(29)

From this equation, it follows that the square of the module \( S_{22} \) is the ratio of the power \( P_{rr} \), reradiated by the
antenna into free space, when the transmission line is terminated by a nonreflective load, to the available power $P_{av}$, which can be extracted from the incident wave $|S_{22}|^2 = \frac{P_{env}}{P_{av}}$.

Now, using (24) and (25), we find the load impedance $Z_{L0}$ that ensures $\Gamma_2 = 0$:

$$Z_{L0} = 2R_r - Z = Z^* - 2R_l,$$

or the corresponding load reflection coefficient

$$\Gamma_{L0} = \frac{Z_{L0} - Z_c}{Z_{L0} + Z_c} = \frac{1 - \Gamma^* - \eta \chi}{1 + \Gamma^* - \eta \chi}.$$  (31)

For the lossless antenna ($\eta = 1$), the condition $Z_L = Z_{L0}$ ($\Gamma_L = \Gamma_{L0}$) corresponds with the conjugate matching condition $Z_L = Z^*$ ($\Gamma_L = \Gamma^*$), when the total absorbed power is delivered to load. For a lossy antenna, the impedance $Z_{L0}$ in the form of a passive load can be realized only when $\eta \geq 0.5$ ($R_r \geq R_l$), since otherwise $R_L = \text{Re}(Z_{L0})$ should be negative.

And finally, the structural scattering field $\vec{E}_s$ is the total scattering field of the antenna loaded with impedance $Z_L = Z_{L0}$, since there is no $\vec{E}_\text{re}$ field (27) when $\Gamma_2 = 0$.

6. Numerical Example

Consider the signal transmission between two active antennas, which are components of a wireless communication connection (Figure 4) in the 2.4 GHz range.

Both active antennas, transmitting (ATA) and receiving (ARA), consist of an amplifier and a microstrip patch antenna. The antennas terminals are connected to transmission lines with an impedance of $Z_c = 50$ ohms, which lead to the transmitter and receiver. The distance between the antennas $r$ satisfies the far zone condition. Both ATA and ARA use identical rectangular patch antennas (Figure 5) with the following dimensions: length $L = 33$ mm, width $W = 35$ mm, feed point offset $\Delta = 4$ mm, substrate thickness $t = 2.5$ mm, its relative permittivity $\epsilon_r = 3.2$, and $\tan\delta = 0.001$.

The geometry of the patch antenna was first calculated using the TL model [23, 24] and then refined by tuning in the NI AWR Design Environment [25]. Figure 6 shows the frequency dependence of the antenna impedance.

The antenna gain was determined by integrating its radiation pattern obtained as a result of the simulation; its maximum is 9.1 dB at 2.4 GHz; with a deviation of $\pm 0.4$ GHz, it dropped to 6.8 dB. The radiation efficiency was determined by the formula [23]; it decreases linearly from $-0.45$ dB at 2 GHz to $-0.67$ dB at 3 GHz, and its value at 2.4 GHz is $-0.54$ dB. These data made it possible to calculate the patch antenna scattering matrix (11); the magnitudes of its elements are shown in Figure 7 as a function of frequency.

Figure 8 shows a detailed schematic model of the ATA created in the Analog AWR Design Environment, where the MPA is represented by the “Patch” subcircuit, the S-parameters of which were set by the file in Touchstone format. The other circuit elements relate to the power amplifier, among which there is a transistor MGF0904a, as well as input and output matching circuits on the transmission line segments and open-circuited stubs.

A similar circuit model of the ARA is shown in Figure 9. It consists of the same patch antenna and a low-noise amplifier, consisting of a BFP740 transistor and an input matching circuit.

The matching circuits were tuned in such a way as to obtain the greatest gain of each of the two-port networks; their optimization was not carried out since we did not set ourselves such a task. Figure 10 shows the frequency dependencies of the transducer power gains $G = 20\log|S_{21}|$ [26] of the circuit model and the calculated $\Gamma_{L0}$.
Figure 7: Magnitudes of elements of the patch antenna scattering matrix.

Figure 8: A two-port network model of the active transmitting antenna ATA.

Figure 9: A two-port network model of the active receiving antenna ARA.
these two-port networks obtained by simulating them in the Analog AWR Design Environment. Both curves in Figure 10 have maxima at 2.4 GHz, which differ markedly from each other, 23.8 dB for ARA and 12.3 dB for ATA, since different amplifiers are used in these active antennas.

Now, we can determine the parameters of the wireless connection (WLC) shown in Figure 4. We represent it as three cascaded two-port networks (Figure 11), two of which are associated with active antennas, the parameters of which are known, and the third, FSM (Free Space Module), with a signal propagation between them. Find the S-parameters of the two-port network FSM.

Believing that there are no reflecting objects on the wave propagation path between the antennas, we can assume $S_{11} = S_{22} = 0$. Parameter $S_{21}$ is not difficult to determine using (17) and (19):

$$S_{21} = -j \frac{\lambda_0 e^{-jkr}}{4\pi r} \sqrt{D_{mATA} D_{mARA}} F_{ARA} (\theta', \phi') F_{ATA} (\theta, \phi),$$

(32)

where $p$ is the polarization mismatch factor, indices ATA and ARA denote the quantities belonging to the corresponding antennas, $(\theta, \phi)$ is the direction from ATA to ARA, and $(\theta', \phi')$ is the direction from ARA to ATA.

If the antennas are polarization matched and the radiation pattern maximum of each is directed to the other antenna, then

$$S_{12} = S_{21} \sqrt{D_{mARA} D_{mATA}}.$$

(33)

Since the two-port network FSM is reciprocal, then

$$S_{12} = S_{21}.$$

(34)

Figure 12 shows the frequency dependencies of the transducer power gain of the wireless connection (34) for three distances $r$ between the antennas, 1 m, 10 m, and
7. Conclusion

The scattering matrix and the covariance noise matrix of a two-port network simulating an arbitrary single antenna are presented in an analytical form. The initial parameters for matrices calculation are the antenna input reflection coefficient and radiation efficiency. The technique of applying the scattering matrix to solve the problems of antenna analysis in transmission, reception, and scattering modes is described. A numerical example of its use for computer simulation of a wireless connection with active antennas as terminal devices is given. The simulation results allow us to correctly evaluate the frequency dependence of the wireless connection performance with a detailed accounting for all elements’ parameters of the active antennas included in its structure. The proposed approach can be useful in analyzing complex wireless systems.

Data Availability

The data used to support the findings of this study are available from the corresponding author upon request.

Conflicts of Interest

The author declares that he has no conflicts of interest regarding the publication of this paper.

References