Research Article

Broadband Circularly Polarized Antennas with Compact Radiator Using Characteristic Mode Analysis

Wei Xu, Jingchang Nan, and Jing Liu

School of Electronic and Information Engineering, Liaoning Technical University, Huludao 125100, China

Correspondence should be addressed to Wei Xu; xuwei@lntu.edu.cn

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In this paper, a general design procedure of simple four steps for wideband circularly polarized (CP) antenna based on characteristic mode analysis (CMA) is proposed. CMA is performed to investigate the CP generation principle of the proposed radiator. Modal currents and their corresponding radiation patterns are studied for mode selection and feeding placement to achieve CP operation. Then, two feeding mechanisms are introduced to constitute two CP antenna prototypes, which are further analyzed and optimized by CMA. With the physical insight into radiation characteristics of the prototypes, two antennas employing coplanar waveguide (CPW) as feed are designed and optimized by full-wave simulation. To validate the design procedure, both antennas with the same dimension (35 × 40 × 1.6 mm³) are fabricated and measured. The experimental results show that both of the antennas have good CP radiation performance with a peak gain of 4.0 dBic and 2.5 dBic and axial ratio bandwidth (ARBW) of 48.3% and 44%, respectively. In addition, the experimental results are in good agreement with the radiation information provided by CMA.

1. Introduction

Circularly polarized (CP) antennas have an extensive attraction for modern wireless communication systems. Many works of literature have pointed out that circular polarization has advantages over linear polarization in reducing the multipath effects, polarization mismatches, and Faraday’s rotation effects in the ionosphere [1]. The basic requirement for an antenna to radiate CP waves is to excite orthogonal components of equal amplitudes and 90° phase difference, yet in reality, this is challenging to be obtained within broadband.

Numerous approaches to realizing CP antennas have been reported in the literature. An effective technique for achieving a wide axial ratio bandwidth (ARBW) is by employing a 90° power divider [2]. Several alternative techniques have been implemented for CP generation mostly achieved by introducing some symmetric and/or asymmetric perturbations into the antenna. These include CPW-Fed Square Slot with a widened tuning stub [3], two L-grounded strips on opposite corners [4], and asymmetric defective ground [5]. Also perturbations in the form of slot structures [6], feed lines [7], two feed ports [8], and array configurations [9] are widely utilized.

However, antenna geometries of these designs have also indicated that the crux associated with CP radiation is how to optimize the patch shapes and reorganize the two linear polarized modes perpendicular to each other into circular polarization. This procedure is usually accomplished by full-wave simulation and optimization based on engineering experience and intuition with little physical insight.

As an alternative technique, characteristic mode analysis (CMA) possesses attractive features in the design and optimization of antennas [10–12]. The CMA was first formulated by Garbacz in 1968 [13] and later refined by Harrington and Mautz in 1971 [14]. Characteristic modes are current modes that correspond to the eigenvectors of a particular weighted eigenvalue equation involving a generalized impedance matrix of the conductor. Hence, characteristic modes can be used to expand the total current on the conductor surface [15]. There is an eigenvalue or characteristic angle associated with each characteristic mode
of an antenna in the absence of excitation [16]. The information about the current distribution and far-field radiation provided by CMA paves the way for enhancing the antenna’s performance [17, 18] and providing guidelines for the excitation of antenna designs [19, 20], which leads to a systematic design methodology with physical insight [21–23], in contrast to the quite time-consuming traditional analysis or trial-and-error approaches, which usually bring little physical understanding about the antenna behavior.

Two novel compact broadband CP antennas with the same radiator fed by different CPW networks are proposed, and CMA is employed for designing and optimizing in this paper. The radiator consists of a semicircle and a circular sector of 110°. Utilizing CMA, the radiator is first analyzed, and two pairs of degenerate modes with the potential to generate CP waves are selected for the design of the next step. Feed lines are designed based on different feeding mechanisms, and their placement is studied to excite the desired modes. Then two prototypes of the antenna are further analyzed and optimized by CMA to achieve the optimum geometry and placement of the feed lines. A full-wave EM-driven optimization is subsequently applied to tune the geometrical parameters of the CPW networks, improving both the impedance matching and the ARBW. The electrical characteristics of both antennas indicate that good performance over a wide bandwidth can be easily implemented by CMA. Fabricated antennas experimentally validate the effectiveness of the proposed method and design procedure.

2. Characteristic Mode Analysis for CP Radiation

According to the theory of characteristic mode (TCM) [13, 14], the total current \( J \) on the perfect conductor surface can be expressed as a linear superposition of characteristic mode currents \( J_n \):

\[
J = \sum_n \alpha_n J_n, \quad \text{(1)}
\]

where \( \alpha_n \) denotes the weighting coefficient (MWC) of the characteristic current \( J_n \), and can be calculated by the following:

\[
\alpha_n = \frac{V_n}{1 + j\lambda_n} \quad \text{(2)}
\]

where \( \lambda_n \) are the eigenvalues indicating that the corresponding mode is resonant and radiates the most efficiently as it equals to zero. \( V_n \) is the modal excitation coefficient (MEC), which models the coupling between the excitation and the nth mode. It determines whether the corresponding mode is excited by the antenna feed or incident field and can be expressed as follows:

\[
V_n = \int J_n \cdot E_i \, ds. \quad \text{(3)}
\]

To better measure the resonant frequency and potential contribution to the radiation of a mode, modal significance (MS) is defined.

\[
MS = \frac{1}{1 + j\lambda_n}. \quad \text{(4)}
\]

MS, with the independence of the external stimulus, is the intrinsic property of each mode. Obviously, the value of MS depends only on the eigenvalues. The mode resonates and radiates the most efficiently as MS = 1. Another important parameter is the characteristic angle (CA), which is more suitable to depict the eigenvalue, especially as the eigenvalue is close to zero or tends to infinity, defined as follows [16]:

\[
CA = 180° - \tan^{-1}(\lambda_n). \quad \text{(5)}
\]

CA indicates the phase angle lag between the real characteristic current and its associated characteristic field. The most efficient radiation is achieved as CA equals 180°.

Based on the principle of CP radiation, the requirements for these two modes are as follows:

1. The modal significances are the same \( MS_1 = MS_2 \)
2. The CA difference is 90°: \( |CA_1 - CA_2| = 90° \)
3. The mode current distributions are orthogonal to each other

Besides, the modal field at the same directivity of interest can ensure a stable radiation pattern in the far-field, generally for the narrowband antenna design with high uniform directivity.

3. The Procedure of CP Generation Based on CMA

A four-step design procedure using characteristic mode theory has been used to design a wideband circular polarized patch antenna. A flowchart detailing the process is shown in Figure 1.

Step 1. CMA is employed to assess the potential of CP radiation for a radiator and optimize geometrical parameters to achieve a wide CP operating band. MS and CA are the first criteria to determine whether the parameter sweep meets the requirements, and then the current distribution of the corresponding modes is analyzed to further confirm that the pair of modes can contribute to CP radiation.

Step 2. According to different design requirements, there are different schemes for mode selection. For example, for the CP antenna design with a stable radiation pattern at ±Z direction, CP modes radiate in the ±Z direction are required. For omnidirectional CP radiation, orthogonal omnidirectional modes should be selected. In this design, broadband is pursued at the cost of radiation stability, that is, all CP modes that may contribute to CP radiation are under consideration. Note that the choice based on CMA is to find the maximum possible CP bandwidth and requires further validation.

Step 3. Feeding mechanism is determined based on the modal currents and the optimal feeding positions are
predicted to excite the desired modes. Then, two prototypes of the antenna are proposed, and CMA is performed again for each prototype to optimize the geometrical parameters of the feed line as in Step 2. Then, complete antenna structures are designed and simulated.

**Step 4.** The proposed antennas are fabricated and measured to validate the design procedure.

### 3.1. Existing Modes of the Proposed Radiator

The configuration of the radiator is proposed in Figure 2. A semicircle patch and a circular sector of $A_c$ degrees patch overlap with centers apart of $d_c$ printed on an FR-4 substrate ($\epsilon_r = 4.4$ and $H_d = 1.6$ mm), which have the same radius of $R$. The substrate with no ground is assumed to be loss-free and infinite and the radiation boundary is applied in all directions for CMA. In order to meet the requirements of CP generation, the geometric parameters of the radiator are studied by CMA over the frequency band of 1–8 GHz. For the sake of brevity, the process of parametric analysis is omitted here. The optimized values of $R$, $A_c$, and $d_c$ for the radiator are 15 mm, 110°, and 1.2 mm, respectively.

The MS and CA of the first six characteristic modes calculated at 4 GHz are presented in Figure 3. It can be seen that modes $J_1$ and $J_2$ have the same MS of 0.73 at around 3.3 GHz, and they present a CA difference of 85°. Additionally, the combinations of the mode $J_1$ and higher modes $J_3$ and $J_4$ have the same MS at frequencies of 4.6 GHz and 5.6 GHz. The MS of 0.77 and 0.7 corresponds to the CA difference of 78° and 90°, respectively. It should be noted that when the ascending curve of one MS crosses with the descending curve of another MS at a point of 0.7, the corresponding CA difference is just 90°. In this way, whether the CA difference between two modes is close to 90° can be preliminarily determined. Besides, higher-order modes have maximum modal significance at much higher frequencies.

Figure 4 depicts the modal currents and radiation patterns of the three combinations of modes with the same amplitude and nearly 90° CA difference. As observed, at 3.3 GHz, $J_1$ and $J_2$ are characterized with vertical and horizontal currents (denoted by the blue arrow), respectively, and their directivity is consistent in the direction of the $Z$-axis. Thus, if these two modes are properly excited and combined, they would radiate CP waves within this frequency band.

When the frequency rises to 4.6 GHz, although modes $J_1$ and $J_3$ have CP radiation potential only from the perspective of MS and CA, they actually contribute nothing to CP radiation due to their nonorthogonal current distribution, which can be seen clearly in Figure 4(b). As mode $J_1$ encounters mode $J_4$ at 5.6 GHz, $J_4$ is still able to radiate as expected in z-direction, but $J_1$ leads to a null gain in the far-field region of this direction. That’s because the current distribution of $J_1$ in the vertical direction is opposite at two sides of the radiator. Thus, they cancel each other near the center. Although this combination deteriorates the radiation in the z-direction to a certain extent, it increases CP operation bandwidth. That is the price of getting wide ARBW without changing the structure. In a word, the radiator has the potential to perform CP radiation over 3.3 GHz–5.6 GHz.

### 3.2. Placement of Feed

Characteristic modes can be computed in the absence of a stimulus source. Once the information based on CMA is collected, the next step is to decide how to feed the radiator properly so that the desired modes are excited while the undesired modes are suppressed. For CP antenna designs, the excitation should be set...
at the minimum difference between the operating modal currents due to the requirement of the same amplitude for the combined modes [17].

The position with a minimum difference value can be identified by subtracting the two modes that need to be excited, which can be done by “Mix 3D Fields” in CST. Figure 5 plots the current difference distribution of $J_1 - J_2$ and $J_1 - J_4$ at the MS crossover frequency of 3.3 GHz and 5.6 GHz, respectively. It is interesting to note that the minimum current regions of the two combinations occur on one arm of the semicircle, which is marked by $Z_1$ and $Z_2$ in Figure 5. Determining the exact type of excitation requires photographing the position of $Z_1$ and $Z_2$ into the corresponding modal current distribution, e.g., Figures 4(a) and 4(c). Clearly, both $J_1$ and $J_2$ have dense currents along the upper arm of the semicircle in the region of $Z_1$. While the region of $Z_2$ is photographed in Figure 4(c), the maximum current of $J_1$ and $J_4$ does not locate in this zone. However, upon further inspection, $J_1$ and $J_4$ in region $Z_2$ also have considerable current with similar intensity. Therefore, a current excitation is required in both cases, which can be achieved by a direct-feed or an inductive coupling feed technology [19]. Besides, Figure 4(d) reveals that $J_5$ and $J_6$ can also be activated if they have sufficient MS. It will happen when the feed line is introduced, which will be employed in the incoming distribution.

Then two prototypes of the antenna with different feeding mechanisms were designed, as shown in Figure 6 and CMA was performed again, which are named Proto A and Proto B, respectively. An explanation of the analysis process is needed here. The CMA for Proto A and Proto B analyzes the influence of the signal line on the radiator. Then, no matter what kind of ground plane is used, such as microstrip, CPW, or other deformations, it is necessary to include the signal line to constitute the feed, which is removed from the CMA and replaced by full-wave simulation for the convenience of design and optimization. The optimized dimensions of the prototypes are proposed in Table 1.

3.3. CMA of Proto A. Proto A is fabricated on a 1.6 mm thick FR-4 substrate with the dimension of $L_g \times W_g$ in Figure 6(a). A signal line with the length of $L_1$ and width of $W_1$ is connected to the radiator by a right triangular patch directly.

Figure 3: (a) Modal significance and (b) characteristic angle for the first six modes of radiator calculated at 4 GHz.

Figure 4: Modal currents and radiation patterns for the radiator of (a) modes $J_1$ and $J_2$ at 3.3 GHz. (b) Modes $J_1$ and $J_3$ at 4.6 GHz. (c) Modes $J_1$ and $J_4$ at 5.6 GHz. (d) Modes $J_5$ and $J_6$ at 7 GHz.
The radiator is rotated 145° counterclockwise for convenience of feed placement. The hypotenuse is attached to the arm of the semicircle, and the side parallel to the sector has a length \( L_2 \). Once again, CMA is employed for analyzing and optimizing Proto A, with MS and CA plotted in Figure 7.

Comparing the modes depicted in Figures 3 and 7, it is clear that in addition to analyzing two more modes than before, the curves of dominant modes have changed significantly. All modes of Proto A resonate at higher frequencies than those of radiator except for mode \( J_9 \) at around 2.4 GHz. Thus it can be inferred that \( J_9 \) is introduced by the feed line. Meanwhile, it makes sense that modes \( J_{o1}, J_{o2}, J_{o3}, J_{o4}, J_{o5}, \) and \( J_{o6} \) of Proto A correspond to modes \( J_1, J_2, J_3, J_4, J_5, \) and \( J_6 \) of the radiator, respectively. This inference can be further confirmed by the modal currents and characteristic fields in the following analysis. The mode index "\( oN \)" (\( N \) is 1, 2, 3, . . . , etc.) is assigned to ensure consistency with the one of the radiator.

It should be noticed that \( J_{o4} \) no longer plays the role of “link mode,” which combines \( J_{o2} \) and \( J_{o4} \), respectively, to contribute to the upper and lower sideband of the CP radiation for the radiator. Instead, \( J_{o2} \) replaces \( J_{o1} \) as the link mode due to its gradual variation either after the resonance or intersection with \( J_{o1} \) in Proto A. In other words, it has the capacity to broaden the CP bandwidth when the intersection with the higher order mode occurs around 0.7 of MS. As portrayed in Figure 7, \( J_{o2} \) combines \( J_{o1}, J_{o3}, J_{o4}, \) and \( J_{o6} \) to feature CP potential at 4.3 GHz, 4.5 GHz, 6.1 GHz, and 7.1 GHz, respectively, if their equivalent currents are perpendicular to each other. Although \( J_9 \) has the same MS as \( J_{o2} \) at 2.6 GHz, it is out of consideration due to the uncertainty of whether the mode can be activated by the feed structure.
That can be verified by the final result. Otherwise, the existing feed needs to be considered as a part of the radiator, and then the design goes back to the feed design stage (Subsection 3.2). It is an endless loop until the designer interrupts it, which makes the design complicated and weird. Combined with the CA in Figure 7(b), the potential of these modes featuring CP radiation needs to be further validated by the modal current.

Figure 8 depicts the modal currents and radiation patterns for the modes of interest. The total current is denoted by a blue arrow where the solid line indicates that the far-field corresponding to the current radiates in the z-direction, and the dotted line indicates that the radiation along the z-direction has slightly worsened. Without the blue arrow, the gain in z-direction radiates very little or is even null due to the cancellation of the current at the center. As observed, the current distribution that feature CP radiation gets messy. However, comparing the current in Figures 4 and 8, it can be concluded that the current distribution of these corresponding modes is roughly similar. For example, the currents of $J_1$ and $J_{o1}$ are mainly distributed in the semicircular radiator. The same goes for the other modes in Figure 8. Hence, the previous inference about the mode tracking is further confirmed.

It is worth mentioning that all CP modes do not have a strict perpendicularity in terms of current distribution after the introduction of the signal line. The blue arrow is only an approximation of the equivalent total current. However, CP radiation can also be produced by the CP modes with sufficient angle under the criterion of 3dB-AR. In addition, in the next step of antenna design, the angle between the CP modes can be improved by tuning the ground plane size to make it close to the requirement of perpendicular current distribution. The far fields in Figure 8 also reveal the phenomenon that multiple modes are involved in a frequency band. The gain deteriorates and even radiates null in the z-direction. $J_{o2}$ acts as a link mode across the band of interest. Thus, its gain deterioration inevitably causes the directivity of the antenna based on Proto A to deviate from the z-axis in high frequency band.
Figure 9: (a) Modal significance and (b) characteristic angle for the first eight modes of Proto B calculated at 4 GHz.

Figure 10: Modal currents and radiation patterns for Proto B of (a) modes $J_{01}$ and $J_{02}$ at 4.4 GHz, (b) Modes $J_{02}$ and $J_{03}$ at 4.6 GHz. (c) Modes $J_{02}$ and $J_{04}$ at 6 GHz. (d) Modes $J_{02}$ and $J_{06}$ at 7 GHz.

Figure 11: (a) Geometry of An1. (b) Top view of An2. (c) Perspective of An2. (d) Side view of An2.
Based on the above analysis, it is reasonable to expect that $J_{o1}$, $J_{o2}$, $J_{o3}$, $J_{o4}$, and $J_{o6}$ can be excited through the feed mechanism. The other modes are ignored due to no contribution to CP radiation in the operating band. Thus Proto A has the CP potential over 4.3 GHz–7.1 GHz.

3.4. CMA of Proto B. Proto B has the same substrate as Proto A. To achieve the inductive coupling feed [19], a slit ($W_s \times L_s$) is etched on the edge of the semicircle with a distance of $G_s$, as shown in Figure 6(b). The slit is so narrow and close to the edge that it has little effect on the current distribution of the original radiator. A stepped-impedance feeding patch is printed on the other side of the substrate relative to the radiator, which consists of a $W_1 \times L_1$ strip and a $W_2 \times L_2$ strip displayed in Figure 6(c).

Table 2: Dimensions of the proposed antennas (unit: mm).

<table>
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<th>$L_f$</th>
<th>$W_f$</th>
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<td>10</td>
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</tr>
<tr>
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<td>7.4</td>
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</table>

Figure 12: (a) Fabricated An1. (b) The top view of fabricated An2. (c) The back view of fabricated An2.

Figure 13: Simulated and measured (a) S11. (b) AR and gain.

Table 2: Dimensions of the proposed antennas (unit: mm).
Figure 14: Simulated and measured radiation patterns of An1 at (a) 5 GHz, (b) 6 GHz, and (c) 7 GHz.
Figure 15: Simulated and measured radiation patterns of An2 at (a) 5 GHz, (b) 6 GHz, and (c) 7 GHz.
radiation can be achieved over 4.4 GHz – 7 GHz. Information provided by CA in Figure 9(b), the potential for CP increasing frequency. In a word, together with the information provided by the CMA, the introduction of the CPW ground plane leads to the CP modes shifting to a higher frequency, similar to the signal line analyzed previously. Additionally, a wider ARBW is obtained, which is due to the CPW ground plane improving the MS of the mode \( j_{05} \) in the upper band so that \( j_{05} \) can intersect with the link mode \( j_{02} \) to contribute CP radiation and broaden ARBW. In observation of An2, the measured CP bandwidth is 44% (4.6 GHz – 7.2 GHz), and a maximum realized gain of 2.5 dBic is obtained at around 5.8 GHz. Unfortunately, the gain drops sharply in the upper band, reaching a minimum of –0.4 dBic at 7.2 GHz.

The simulated and measured radiation patterns in both of X-Z Plane and Y-Z Plane at 5 GHz, 6 GHz, and 7 GHz for An1 and An2 are plotted in Figures 14 and 15, respectively. For both antennas, basically, the agreement between simulations and measurements is observed with the sense of polarization, i.e., RHCP in the +z-direction.

It can be seen from the radiation patterns that the LHCP of the two antennas deviates in the –z-direction. Without CMA, a resulting conclusion of asymmetric structure may be used to illustrate the problem simply. However, the explanation from the perspective of CMA provides more theoretical evidence and guiding significance: with the increase of frequency, the link mode \( j_{05} \) encounters more modes of interference, and its radiation tends to be null in the \( z \)-direction, resulting in a more serious deviation of LHCP from \( z \)-direction. This deviation has been inferred in the CMA analysis previously, which is the advantage of CMA—obtaining the information about antenna radiation from the beginning of design in the absence of excitation.

Table 3 compares An1 with An2 in terms of feeding mechanism, 3 dB ARBW, maximum gain, minimum gain, and CP bandwidth (CPBW) provided by CMA. As can be seen, An1 possesses greater bandwidth and gain with higher flatness in the operating band. However, An2 reveals a higher consistency with the information provided by CMA.
in terms of ARBW. It can be seen a well-designed feed can contribute better CP performance to the same radiator.

Table 4 summarizes some key indicators of the proposed antenna and other wideband CP antennas. Apparently, there is a trade-off among lateral sizes, ARBW, and peak gain. The data indicate that the proposed antennas (An1 and An2) exhibit a middle value of ARBW and electrical size in the reference antennas. Although the designs in [6, 22] show a wider ARBW, they exhibit larger electrical sizes and lower gain, respectively, relative to the proposed An1. The characteristics of An2 with low gain and poor flatness over the CP operation band limit its application. Overall, the antennas show comparable performance in terms of dimension, impedance bandwidth, AR bandwidth, and process complexity.

5. Conclusion

A design procedure using characteristic mode analysis has been used to design two circular polarized microstrip patch antennas consisting of the same radiator fed by different feed structures. In this paper, a complete process for designing a circularly polarized antenna based on CMA is proposed and implemented, including modal current and radiation field analysis, mode selection, feed design, and antenna full wave simulation, which provides a better insight into the principle of circularly polarized antennas. The design method of the CP antenna can be extended to other types of structures with clear theoretical explanations and directional guidance for optimization.

Because of the orthogonality of modal currents, CMA has natural advantages in designing CP antenna compared with other approaches. Through the design procedure above, the radiator and feed are designed separately, which provides great convenience for the design of CP antenna. Moreover, Proto A (B) builds a bridge from the radiator to the final antenna, providing considerable CP radiation information, which can predict the CP performance in the absence of excitation. Thus, evidence of the feasibility of the design can be obtained to guide the design for the next step. The final simulated and experimental results are in good agreement with the prediction based on CMA verify the procedure.

Data Availability

The data used to support the findings of this study are included within the article.

Conflicts of Interest

The authors declare that they have no conflicts of interest.

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