


## Review Article

# Sarcopenia and Mortality Risk of Patients with Sepsis: A Meta-Analysis

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Received 6 October 2021; Accepted 23 November 2021; Published 31 January 2022

Academic Editor: Sorin Hostiuc

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**Background.** The association between sarcopenia at admission and mortality in patients with sepsis has not been comprehensively evaluated. We performed a meta-analysis to systematically evaluate the above association. **Methods.** This meta-analysis included relevant observational studies from Medline, Embase, and Web of Science databases. A random-effect model after incorporation of the intrastudy heterogeneity was selected to pool the results. Subgroup analyses were applied to evaluate the influences of study characteristics on relationship. **Results.** Ten cohort studies including 2396 patients with sepsis were included, and 1496 (62.4%) of them had sarcopenia at presentation. Pooled results showed that compared to those without sarcopenia, septic patients with sarcopenia had a significantly increased early (in-hospital or 1-month) mortality risk (risk ratio (RR): 2.14, 95% confidence interval (CI): 1.60–2.87,  $P < 0.001$ ;  $I^2 = 46\%$ ). Subgroup analyses showed consistent association between sarcopenia and increased acute mortality risk in septic patients which were not affected by study characteristics such as study design, country of the study, clinical settings, diagnostic criteria for sepsis, age, gender of the patients, and methods for diagnosis of sarcopenia ( $P$  for all subgroup analyses  $> 0.05$ ). Further meta-analyses showed that sarcopenia was also associated with increased mortality risk in septic patients at 3–6 months (RR: 2.13, 95% CI: 1.58–2.89,  $P < 0.001$ ;  $I^2 = 0\%$ ) and at 1 year (RR: 1.57, 95% CI: 1.09–2.24,  $P = 0.01$ ;  $I^2 = 29\%$ ). **Conclusions.** Current evidence suggests that sarcopenia may be a predictor of mortality in patients with sepsis.

## 1. Introduction

Sepsis is a common comorbidity in patients with critical illness, which has become a key determinant of the prognosis in these patients [1, 2]. Despite continuous efforts in the prevention of sepsis in critically ill patients, the incidence of sepsis in real-world acute clinical settings remains high, possibly due to multiple factors such as the accelerated aging of the global population, increased use of immunosuppressants, emerged antibiotic resistance, and frequently used invasive monitoring and treatment strategies [3, 4]. Since the pathogenesis of sepsis is complicated and the effective treatments for sepsis are limited, the mortality of patients with sepsis is very high, varying between 30% and 90% according to previous studies [5, 6]. Therefore, identification of risk

factors for the mortality of patients with sepsis is important not only for the early risk stratification of the patients but also for the development of possible treatment strategies [7].

Sarcopenia, defined as an age-related generalized loss of skeletal muscle mass, has been related to frailty, overall functional impairment, and poor survival in geriatric population [8–10]. Although the universal measurements for sarcopenia remain to be established [11], sarcopenia has been proposed as a predictor of poor prognosis in patients of various chronic diseases, such as cancer [12], coronary artery disease [13], and chronic kidney disease [14]. Interestingly, patients with sepsis are also vulnerable to muscle catabolism, muscle weakness, and progressive muscle loss with the progression of the disease [15]. However, previous studies evaluating the association

between sarcopenia at admission and mortality risk in patients with sepsis showed inconsistent results [16–25]. Particularly, the possible association between sarcopenia and early mortality has not been comprehensively evaluated [26]. Therefore, we performed a meta-analysis to systematically evaluate the association between sarcopenia at admission and mortality risk in patients with sepsis.

## 2. Methods

We followed the Meta-analysis of Observational Studies in Epidemiology (MOOSE) [27] and Cochrane’s Handbook [28] guidelines during the design, performing, and presenting of the meta-analysis.

**2.1. Search of Electronic Databases.** We identified studies by a systematic search of Medline, Embase, and Web of Science electronic databases using the following terms: (1) “sarcopenia” OR “muscle wasting” OR “muscle loss” OR “muscular atrophy” OR “muscle depletion” OR “sarcopaenia” OR “sarcopenic” OR “presarcopenia” OR “sarcopaenic” OR “lean body mass” OR “cross-sectional muscle area” OR “skeletal muscle depletion” OR “muscle mass” OR “muscle index” and (2) “sepsis” OR “septicemia” OR “septic.” Only clinical studies published in English were selected. An additional manual check-up for the reference lists of relevant original and review articles was performed as supplement. The last literature search was conducted on July 28, 2021.

**2.2. Selection of Eligible Studies.** Inclusion criteria were (1) observational studies published as full-length articles; (2) included adult patients (18 years or above) with confirmed diagnosis of sepsis; (3) sarcopenia identified at presentation and considered as exposure; (4) incidence of mortality was reported as outcome of interest; and (5) reported the association between sarcopenia and the risk of mortality during the follow-up duration. The diagnostic method and criteria of sarcopenia was consistent with the criteria adopted in the original articles. Reviews, preclinical studies, studies that did not include patients with sepsis, or studies that did not report mortality during follow-up durations were excluded.

**2.3. Extraction of Data and Evaluation of Study Quality.** Two of the authors independently conducted electronic database search, extraction of study data, and assessment of study quality according to the inclusion criteria described above. If there were discrepancies, they were resolved by consensus between the authors. The extracted data included the following: (1) name of the first author, year of the publication, study design, country, and clinical settings of the study; (2) population characteristics, including the diagnostic criteria for sepsis, total number, mean age, and sex of the patients; (3) methods and cutoff values for the diagnosis of sarcopenia, and number of patients with sarcopenia at baseline; and (4) follow-up durations and variables adjusted in the multivariate model analyzing the association between sarcopenia and mortality risk of

patients with sepsis. The Newcastle–Ottawa Scale [29] was used for study quality assessment, which included three domains such as defining of study groups, between-group comparability, and validation of the outcome. This scale totally scored from 1 to 9 stars, with 9 stars indicating the highest study quality level.

**2.4. Statistical Methods.** The primary objective of the study was to determine the association between sarcopenia and early mortality (in-hospital or 1-month mortality) risk in patients with sepsis. The secondary objective was to determine the relationship of sarcopenia and 3–6 months and 1 year mortality of septic patients. Risk ratios (RRs) and 95% confidence intervals (CIs) were selected as the general outcome variable for the relationship between sarcopenia and risk of mortality in patients with sepsis compared to those without sarcopenia at presentation. Data of RRs and standard errors (SEs) were calculated from 95% CIs or  $P$  values, and an additional logarithmical transformation was performed to stabilize variance and normalize to the distribution [28]. The Cochrane’s  $Q$  test was used to evaluate the heterogeneity, and the  $I^2$  statistic was also estimated [30]. Heterogeneity was deemed to be significant if  $I^2 > 50\%$ . We used a random-effect model for data synthesis because this model has incorporated the potential between-study heterogeneity and could provide a more generalized result [28]. Sensitivity analyses were performed by omitting one individual study at a time to examine the robustness of the finding [31]. Influences of study characteristics on the association between sarcopenia and early mortality were tested with predefined subgroup analyses. The funnel plots were constructed, and a visual inspection of the symmetry was conducted to reflect the publication bias. Egger’s regression asymmetry test was further performed for the evaluation of potential publication bias [32]. We used the RevMan (Version 5.1; Cochrane Collaboration, Oxford, UK) software for the statistical analyses.

## 3. Results

**3.1. Results of Database Search.** The database search process is summarized in Figure 1. Briefly, 892 articles were found in the initial literature search of the Medline, Embase, and Web of Science databases; after excluding the duplications, 713 studies remained. An additional 675 were excluded through screening of the titles and abstracts mainly because of the irrelevance to the meta-analysis. The remaining 38 studies underwent a full-text review. Of the 38 studies, 28 were further excluded for the reasons shown in Figure 1. Finally, 10 cohort studies [16–25] were included.

**3.2. Characteristics of the Included Studies.** As given in Table 1, 10 cohort studies [16–25] including 2396 adult patients with sepsis were included in the meta-analysis. These studies were published between 2017 and 2021 and performed in Japan [16, 18, 25], Korea [19, 21–23], China [17], Italy [20], and the United States [24]. All of the included studies were retrospective cohort studies, except for one

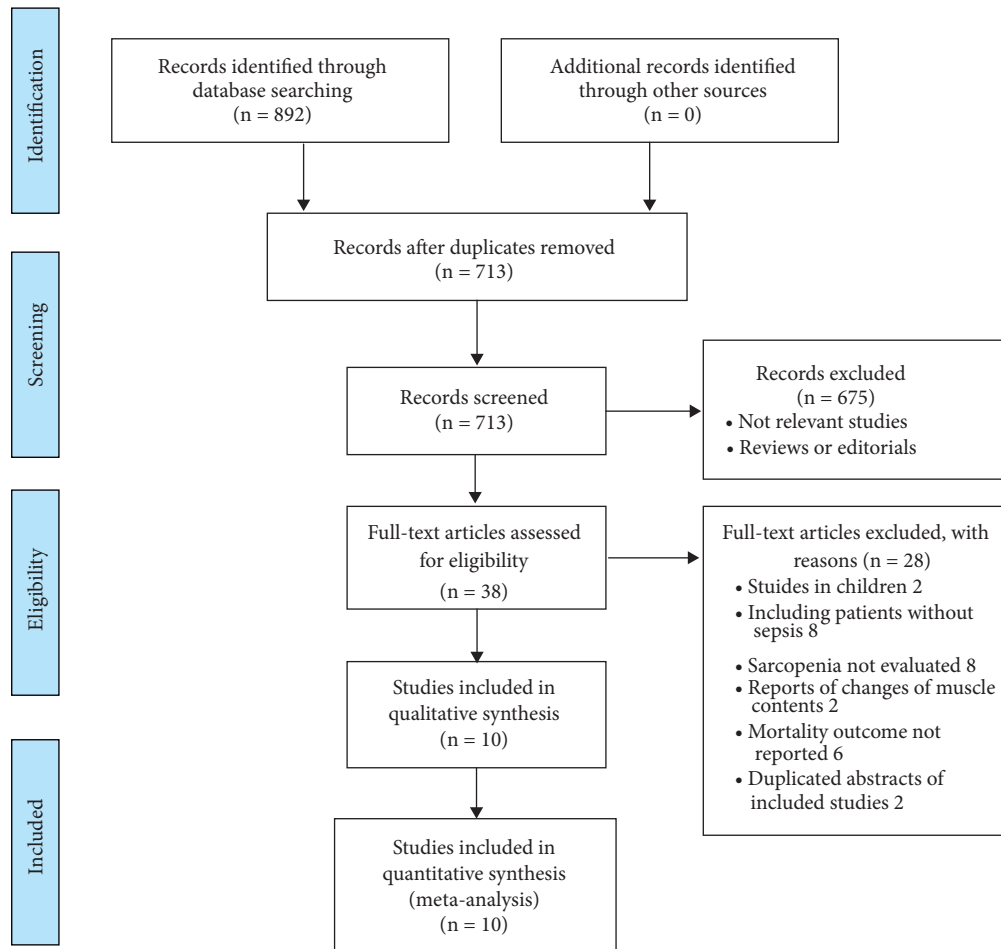


FIGURE 1: Flowchart of the database search and study identification.

study, which was a prospective cohort study [24]. The studies included patients with sepsis admitted in emergency department or intensive care unit diagnosed with the sepsis-1 [20], sepsis-2 [17], and sepsis-3 criteria [16, 18, 19, 21–25], respectively. In most of the included studies, sarcopenia was diagnosed with skeletal muscle index (SMI) [17, 19, 21–25] or skeletal muscle area (SMA) [16, 18] with computed tomography (CT), while in one study, sarcopenia was diagnosed with measuring of midarm muscle circumference [20]. Overall, 1496 (62.4%) of the included patients had sarcopenia at presentation. The follow-up durations varied from within hospitalization to one year after discharge. Variables such as age, sex, comorbidities, biomarkers for systemic inflammation, and severity scores for sepsis were adjusted to a varying degree among the included studies when the association between sarcopenia and mortality risk in septic patients was analyzed. The NOS of the included studies were 7–9 stars, suggesting good quality of all included studies (Table 2).

**3.3. Meta-Analysis Results.** Moderate heterogeneity was observed among the nine studies [16–21, 23–25] that reported the association between sarcopenia and early mortality risk in patients with sepsis ( $P$  for Cochrane's  $Q$

test = 0.07,  $I^2 = 46\%$ ). Pooled results with a random-effect model showed that septic patients with sarcopenia had a significantly increased risk of acute mortality as compared to those without sarcopenia (RR: 2.14, 95% CI: 1.60–2.87,  $P < 0.001$ ; Figure 2(a)). Sensitivity analyses by excluding one study at a time showed consistent results (RR: 2.01–2.33,  $P$  for all subgroup analyses  $< 0.05$ ). Subgroup analyses showed consistent association between sarcopenia and increased early mortality risk in septic patients which were not affected by study characteristics such as study design, country of the study, clinical settings, diagnostic criteria for sepsis, age, gender of the patients, methods for diagnosis of sarcopenia, in in-hospital and 1-month mortality, and in different quality scores ( $P$  for all subgroup analyses  $> 0.05$ ; Table 3). Further meta-analyses showed that sarcopenia was also associated with increased mortality risk in septic patients at 3–6 months (3 studies [19, 22, 25], RR: 2.13, 95% CI: 1.58–2.89,  $P < 0.001$ ;  $I^2 = 0\%$ ; Figure 2(b)) and at 1 year (three studies [21, 24, 25], RR: 1.57, 95% CI: 1.09–2.24,  $P = 0.01$ ;  $I^2 = 29\%$ ; Figure 2(c)) after discharge.

**3.4. Publication Bias.** Figure 3 shows the funnel plots regarding the relationship between sarcopenia and mortality risk in patients with sepsis. Visual inspection found

TABLE 1: Characteristics of the included studies.

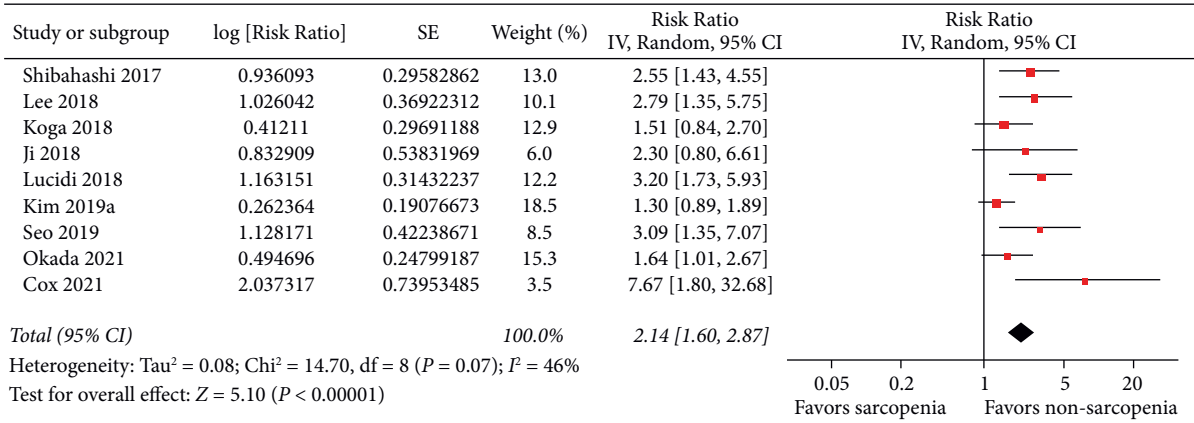
Study	Design	Country	Clinical setting	Diagnostic criteria for sepsis	Sample size	Mean age (years)	Male (%)	Diagnosis of sarcopenia	Cutoff	Patients with sarcopenia at baseline	Follow-up duration	Variables adjusted	NOS
Shibahashi [16]	RC	Japan	ICU	Sepsis-3	150	75	69	SMA (CT)	<45.2 cm <sup>2</sup> for male and <39.0 cm <sup>2</sup> for female	83	Within hospitalization	Age, sex, and APACHE II score	8
Lee [19]	RC	Korea	ED	Sepsis-3	274	70.9	46	SMI (CT)	<545 mm <sup>2</sup> /m <sup>2</sup> for male and <385 mm <sup>2</sup> /m <sup>2</sup> for female	77	28 days and 6 months	Age, sex, comorbidities, CRP, PCT, site of infection, and SOFA	8
Koga [18]	RC	Japan	ICU	Sepsis-3	191	71.8	66	SMA (CT)	<80% of predicted value	91	Within hospitalization	Age and sex	7
Ji [17]	RC	China	ICU	Sepsis-2	236	67.8	58.9	SMI (CT)	<40.8 cm <sup>2</sup> /m <sup>2</sup> for male and <34.9 cm <sup>2</sup> /m <sup>2</sup> for female	114	30 days	Age, sex, use of vasopressor, mixed organism, and APACHE II score or SOFA score	8
Lucidi [20]	RC	Italy	ICU	Sepsis-1	74	49.7	75.7	MAMC	<95% of predicted value	32	Within hospitalization	Age, sex, comorbidities, BUN, SCr, and serum albumin	7
Kim [9]	RC	Korea	ICU	Sepsis-3	516	68.6	67.5	SMI (CT)	<55 cm <sup>2</sup> /m <sup>2</sup> for male and <39 cm <sup>2</sup> /m <sup>2</sup> for female	421	Within hospitalization and 12 months	Age, sex, RRT, use of vasopressor, CCI, and SOFA score	9
Kim [19]	RC	Korea	ED	Sepsis-3	478	65	62.1	SMI (CT)	<43 cm <sup>2</sup> /m <sup>2</sup> for a BMI <25 kg/m <sup>2</sup> , <53 cm <sup>2</sup> /m <sup>2</sup> for a BMI of 25 kg/m <sup>2</sup> or more for male, and <41 cm <sup>2</sup> /m <sup>2</sup> regardless of the BMI for female	419	3 months	Age, sex, comorbidities, site of infection, and SOFA score	8
Seo [23]	RC	Korea	ED	Sepsis-3	175	65	62.9	SMI (CT)	BMI of 25 kg/m <sup>2</sup> or more for male, and <41 cm <sup>2</sup> /m <sup>2</sup> regardless of the BMI for female	151	28 days	Age, sex, comorbidities, serum lactate, and SOFA score	7

TABLE 1: Continued.

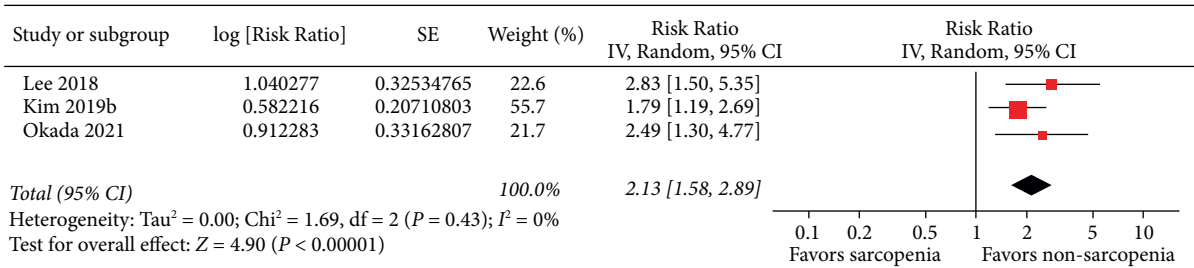
Study	Design	Country	Clinical setting	Diagnostic criteria for sepsis	Sample size	Mean age (years)	Male (%)	Diagnosis of sarcopenia	Cutoff	Patients with sarcopenia at baseline	Follow-up duration	Variables adjusted	NOS
Okada [25]	RC	Japan	ICU	Sepsis-3	255	76	66.3	SMI (CT)	T3 : T1 of psoas index	85	Within hospitalization, 3 months, and 12 months	Age, sex, infection site, CCI, and SOFA score	9
Cox [24]	PC	USA	ICU	Sepsis-3	47	53.1	29.8	SMI (CT)	<52.4 cm <sup>2</sup> /m <sup>2</sup> for male and <38.5 cm <sup>2</sup> /m <sup>2</sup> for female	23	Within hospitalization and 12 months	Age, sex, CCI, and severity of sepsis	8

PC, prospective cohort; RC, retrospective cohort; NOS, Newcastle–Ottawa Scale; ED, emergency department; ICU, intensive care unit; SMI, skeletal muscle index; SMA, skeletal muscle area; MAMC, midarm muscle circumference; CT, computed tomography; BMI, body mass index; APACHE II, Acute Physiology and Chronic Health Evaluation 2; CRP, C-reactive protein; PCT, procalcitonin; T, tertile; SOFA, Sequential Organ Failure Assessment; CCI, Charlson Comorbidity Index; RRT, renal replacement therapy; BUN, blood urea nitrogen; SCr, serum creatinine.

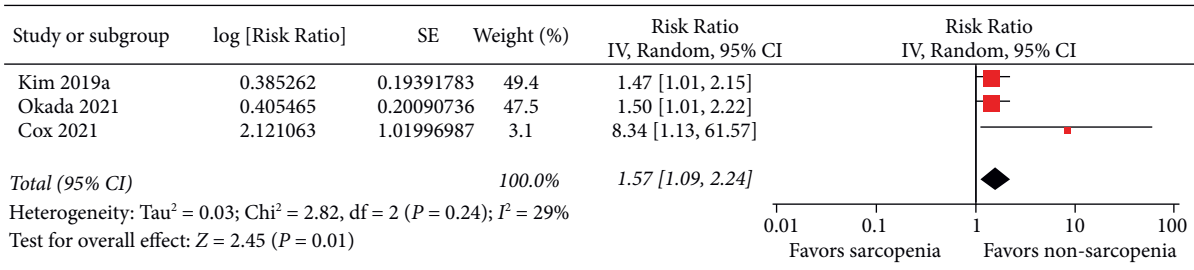




(a)



(b)



(c)

FIGURE 2: Forest plots for the meta-analysis of the association between sarcopenia and mortality risk in patients with sepsis. (a) Association between sarcopenia and early mortality risk. (b) Association between sarcopenia and mortality risk at 3–6 months after discharge. (c) Association between sarcopenia and mortality risk at 1 year after discharge.

symmetry of the plots, which suggested a low risk of publication bias. Results of Egger’s regression tests also suggested low risk of publication bias ( $P = 0.377$ ). The publication biases for the meta-analyses of the mortality at 3–6 months and 1 year after discharge were difficult to estimate because only three studies were available.

#### 4. Discussion

In this meta-analysis, by pooling the results of available cohort studies, we found that sarcopenia at admission was associated with an increased early mortality risk in patients with sepsis. Besides, further sensitivity and subgroup analyses showed consistent association between sarcopenia and higher early mortality in septic patients, which were not driven by either of the included studies, or significantly affected by study and patient characteristics, such as study design, country of the

study, clinical settings, diagnostic criteria for sepsis, age, gender of the patients, methods for diagnosis of sarcopenia, in in-hospital and 1-month mortality, or different quality scores. In addition, meta-analyses with limited datasets also suggested that sarcopenia was associated with a higher mortality of septic patients at 3–6 months and 1 year after discharge. Taken together, current evidence from epidemiological studies suggested that sarcopenia at admission may be a predictor of increased mortality risk in patients with sepsis. Although these findings should be validated in large-scale prospective cohort studies, evaluation for sarcopenia at admission may be important for the risk stratification of patients with sepsis.

To the best of our knowledge, this study may be the first meta-analysis that evaluated the association between sarcopenia and mortality risk in patients with sepsis. Our study has a few strengths in methodology. Firstly, all of the

TABLE 3: Results of subgroup analyses for the association between sarcopenia and acute mortality of patients with sepsis.

Study characteristics	Datasets number	RR (95% CI)	$I^2$	$P$ for the subgroup effect	$P$ for subgroup difference
Design					
Prospective	1	2.14 (1.60, 2.87)	NA	0.006	
Retrospective	8	7.67 (1.80, 32.68)	37%	<0.001	0.08
Country					
Asian	7	1.85 (1.43, 2.40)	25%	<0.001	
Non-Asian	2	3.83 (1.92, 7.67)	16%	<0.001	0.07
Clinical settings					
ED	2	2.92 (1.69, 5.03)	0%	<0.001	
ICU	7	2.01 (1.44, 2.81)	51%	<0.001	0.25
Diagnosis of sepsis					
Sepsis-1 or sepsis-2	2	2.94 (1.73, 5.01)	0%	<0.001	
Sepsis-3	7	2.01 (1.45, 2.79)	49%	<0.001	0.23
Mean age					
<70 years	5	2.54 (1.43, 4.53)	66%	0.002	
70 years or above	4	1.95 (1.46, 2.60)	0%	<0.001	0.42
Proportion of men					
<65%	4	3.07 (1.94, 4.86)	0%	<0.001	
65% or above	5	1.84 (1.32, 2.55)	50%	<0.001	0.07
Diagnosis of sarcopenia					
SMI	6	2.11 (1.41, 3.16)	51%	<0.001	
SMA	2	1.96 (1.17, 3.28)	36%	0.01	
MAMC	1	3.20 (1.73, 5.93)	NA	<0.001	0.44
Duration					
In-hospital mortality	6	2.01 (1.39, 2.90)	58%	<0.001	
1-month mortality	3	2.77 (1.71, 4.50)	0%	<0.001	0.30
Quality score					
NOS = 7	3	2.38 (1.42, 3.98)	44%	= 0.001	
NOS = 8	4	2.81 (1.88, 4.19)	0%	<0.001	
NOS = 9	2	1.42 (1.05, 1.91)	0%	0.02	0.08

RR, risk ratio; CI, confidence interval; NA, not applicable; ED, emergency department; ICU, intensive care unit; SMI, skeletal muscle index; SMA, skeletal muscle area; MAMC, midarm muscle circumference; NOS, Newcastle–Ottawa Scale.

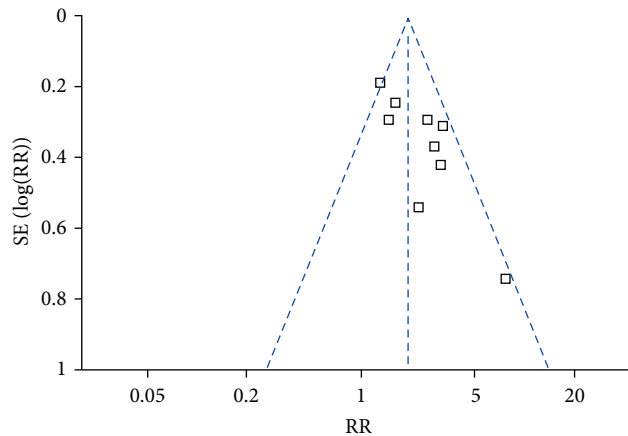


FIGURE 3: Funnel plots for the publication bias underlying the meta-analysis of the association between sarcopenia and early mortality risk.

included studies were cohort studies, which therefore enabled us to provide a temporal relationship between sarcopenia and increased mortality risk in patients with sepsis. Secondly, multivariate analyses were applied among the included studies when the associations between sarcopenia and mortality risk in septic patients were estimated. The results obtained after the adjustment of the possible related factors may indicate a possible independent association between sarcopenia and higher mortality in septic patients.

This is important because sarcopenia and sepsis may share some common risk factors, such as aging [33, 34]. In addition, we analyzed the association between sarcopenia and early and late mortality in septic patients separately because sepsis is associated with a relatively higher early mortality, and the risk factors for early and late mortality in septic patients may be different [35, 36]. However, the results showed that sarcopenia was consistently associated with a higher early mortality (in-hospital/1 month) and a late



mortality (3–6 months and 1 year) in patients with sepsis. Finally, we performed multiple sensitivity and subgroup analyses to validate the findings, which were not significantly influenced by either of the included study or the characteristics of study and patients. Taken together, results of this meta-analysis suggested that sarcopenia at admission may be a predictor of increased mortality risk in patients with sepsis. The mechanisms underlying the association between sarcopenia and higher mortality risk in patients with sepsis remain to be determined. In general, sarcopenia has been considered as an indicator of physical aging, senescence of the immune system, and poor host's response to infection [37, 38], and the latter plays a key role in the pathogenesis and deterioration of sepsis. Besides, sarcopenia may also reflect the poor nutritional status and the overall frailty of the patients [39], which also adversely influenced the prognosis in patients with sepsis [40, 41]. Besides, sarcopenia has been associated with poor immune response, metabolic stress, and impaired respiratory and swallowing function during an acute clinical setting such as severe infection [42], which all lead to the increased mortality risk in these patients. The exact molecular mechanisms underlying the association between sarcopenia and mortality in sepsis should be further investigated.

Our study has limitations which should be considered when the results of the meta-analysis are interpreted. Firstly, most of the included studies were retrospective, which exposed the findings of the meta-analysis to the risks of recall and selection biases. Therefore, large-scale prospective studies are needed to validate the findings. Secondly, we could not exclude the possibility that some other clinical factors may confound the possible association between sarcopenia and mortality in septic patients, such as the nutritional and frailty status of the patients, which were rarely adjusted among the included studies. In addition, the optimal measuring methods and cutoffs for the defining of sarcopenia in an acute clinical setting such as in patients with sepsis remains to be determined. Most of the included studies used the cross-sectional view of the muscle obtained by CT to calculate the skeletal muscle mass of the patients because CT is frequently required in patients with sepsis as a part of the initial examination. However, the cutoffs for defining of sarcopenia based on this method vary among the included studies, which may contribute to the heterogeneity. A standard and universal definition of sarcopenia is needed in this regard [43]. Finally, a causative relationship between sarcopenia and higher mortality risk in septic patients could not be determined from our study because this is a meta-analysis based on observational studies. Clinical trials may be considered to evaluate the influences of intervention targeting sarcopenia on clinical outcomes in patients with sepsis.

## 5. Conclusions

In conclusion, results of this meta-analysis showed that sarcopenia at admission may be a predictor of increased mortality risk in patients with sepsis. Although these

findings should be validated in large-scale prospective cohort studies, evaluation for sarcopenia at admission may be important for the risk stratification of patients with sepsis.

## Data Availability

The data used to support the findings of this study are available from the corresponding author upon request.

## Additional Points

A comprehensive search strategy using combined keywords was designed. Electronic databases that included PubMed, Embase, and Web of Science were searched up to July 28, 2021. Observational studies reporting the association sarcopenia and mortality in patients with sepsis were included for review. *Message for the Clinic*. Sarcopenia is associated with a higher risk of early mortality in septic patients. The association between sarcopenia and mortality in septic patients remains at 3–6 months and 1 year after discharge. Evaluation for sarcopenia at admission may be important for the risk stratification of patients with sepsis.

## Disclosure

The funders had no role in the design, methods, subject recruitment, data collections, analysis, and preparation of the article.

## Conflicts of Interest

The authors declare that they have no conflicts of interest.

## Authors' Contributions

Wei Liu and Chenghuan Hu contributed equally to this work. LW and SZ designed the study. LW and CH performed database search, data extraction, and statistical analysis. LW and CH drafted the manuscript. All authors interpreted the results, revised the manuscript, and approved the submission.

## Acknowledgments

This study was supported by the Scientific Research Project of Hunan Health and Family Planning Commission (B20180758).

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