

COMPLETE FUNCTION SPACES

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ABSTRACT. A study is made of certain completeness properties of the space of all continuous real-valued functions on a space, where this function space has the compact-open topology.

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1. INTRODUCTION.

The set of all real-valued continuous functions defined on a topological space X , denoted by $C(X)$, has a number of natural topologies which have been well-used. In this paper, we study the "completeness" of one of these kinds of function spaces. Whenever $C(X)$ has the topology of uniform convergence then it is always completely metrizable, so there is no question about the "completeness" in this case. Whenever $C(X)$ has the topology of pointwise convergence, then the "completeness" has been studied in this case in [4]. On the other hand whenever $C(X)$ has the compact-open topology, which in many circumstances is the best or most natural topology, then the situation concerning "completeness" is not very well understood except in special cases. We study the "completeness" of $C(X)$ with the compact-open topology, primarily for first countable spaces X . The general situation remains unknown. Throughout this paper all spaces X are completely regular Hausdorff spaces, and the function spaces, $C(X)$, all have the compact-open topology.

Let $F(X)$ denote the set of all real-valued functions on X (not necessarily continuous). If $A \subseteq X$ and $V \subseteq \mathbb{R}$, the space of real numbers, then we use the notation $[A, V]$ to denote the set $\{f \in F(X) \mid f(A) \subseteq V\}$. Then the compact-open topology on $F(X)$ is

the topology generated by the subbase $\{[A,V] \mid A \text{ is a compact subset of } X \text{ and } V \text{ is an open subset of } \mathbb{R}\}$. Now $C(X)$ is a subspace of $F(X)$, and when we are working with $C(X)$, we use $[A,V] \cap C(X)$. Note that $F(X)$ is a Hausdorff space, and that $C(X)$ is completely regular.

The weakest kind of completeness property is that of being of second category in itself (i.e., a space which cannot be written as a countable union of nowhere dense subsets of itself). In fact by a completeness property we mean one that lies between that of being of second category in itself and that of being completely metrizable. For function spaces, $C(X)$ is of second category in itself if and only if it is a Baire space (i.e., every nonempty open subspace is of second category in itself). This is because $C(X)$ is homogeneous.

The strongest kind of completeness property is that of being completely metrizable. To begin with, a function space $C(X)$ is metrizable if and only if X is hemicompact [1]. The space X is hemicompact provided it has a sequence of compact subsets such that every compact subset of X is contained in some member of this sequence. Every first countable hemicompact space is locally compact, and every locally compact Lindelöf space is hemicompact. Now it is known that $C(X)$ is completely metrizable if and only if X is a hemicompact k -space [2].

2. MAIN RESULTS.

We begin our study with a necessary condition that $C(X)$ be a Baire space.

THEOREM 1. Let X contain a point x_0 such that

- (i) x_0 has no compact neighborhood in X , and
- (ii) x_0 is contained in a compact set A_0 which has a countable base in X .

Then $C(X)$ is of first category in itself.

PROOF. Let $U_1 \supseteq \bar{U}_2 \supseteq U_2 \supseteq \bar{U}_3 \supseteq U_3 \supseteq \dots$ be a countable base for A_0 . For each n , let $A_n = \bar{U}_n \setminus U_{n+1}$. There is some integer k_1 such that A_{k_1} is not compact, since otherwise $A_0 \cup A_1 \cup A_2 \cup \dots$ would be a compact neighborhood of x_0 . In fact we can find an increasing sequence k_1, k_2, \dots such that each A_{k_n} is not compact. For each n , let $G_n = \{[a, (n, n+1)] \mid a \in A_{k_n}\}$, which is an open dense subset of $C(X)$.

Suppose there exists an $f \in G_1 \cap G_2 \cap \dots$. Then for each n , there is an $a_n \in A_{k_n}$ such that $f(a_n) \in (n, n+1)$. Now $f(A_0)$ is contained in some bounded open interval

$(-M, M)$. But then there is an $n > M$ such that $\bar{U}_{k_n} \subseteq f^{-1}((-M, M))$, so that $f(a_n) > n > M$, which is a contradiction. Therefore $G_1 \cap G_2 \cap \dots = \emptyset$, so that $C(X)$ is of first category in itself.

The following corollary of Theorem 1 can be found in [3].

COROLLARY 1. If X is first countable and $C(X)$ is a Baire space, then X is locally compact.

This has a partial converse which was pointed out in [3].

THEOREM 2. If X is a locally compact paracompact space, then $C(X)$ is a Baire space.

PROOF. The space X is the topological sum of locally compact σ -compact spaces $X_\alpha, \alpha \in A$. Each $C(X_\alpha)$ is completely metrizable, so that $\prod \{C(X_\alpha) \mid \alpha \in A\}$ is a Baire space. But this product is homeomorphic to $C(X)$.

There are many other well-studied completeness properties. One of these is the property of being pseudo-complete. A space X is pseudo-complete provided it has a sequence $\{P_n\}$ of pseudo-bases such that whenever $P_n \in \mathcal{P}_n$ is such that each $\bar{P}_{n+1} \subseteq P_n$, then $P_1 \cap P_2 \cap \dots \neq \emptyset$. This is a productive property, so that the conclusion that $C(X)$ be a Baire space in Theorem 2 can be replaced with the stronger conclusion that $C(X)$ is a pseudo-complete. In fact we have the following corollary of Theorems 1 and 2.

COROLLARY 2. If X is a first countable paracompact space, then the following are equivalent.

1. $C(X)$ is a Baire space.
2. $C(X)$ is a pseudo-complete.
3. $C(X)$ is the product of completely metrizable spaces.
4. X is locally compact.

Another completeness property which is stronger than that of being pseudo-complete is that of being Čech-complete. A space is Čech-complete if it is a G_δ -subset of its Stone-Čech compactification. Corollary 1 has an analog for this property. We first establish an analog to Lemma 8.7 in [4].

LEMMA 1. If $C(X)$ contains a nonempty G_δ -subset of $F(X)$, then X is the topological sum of a σ -compact space and a discrete space.

PROOF. Let $f \in C(X)$ and let $\{W_n\}$ be a sequence of basic open subsets of $F(X)$ such that $f \in W_1 \cap W_2 \cap \dots \subseteq C(X)$. To be specific, suppose that each $W_n = [A_{n1}, V_{n1}] \cap \dots \cap [A_{nk_n}, V_{nk_n}]$. Define $A = \cup \{A_{ni} \mid n = 1, 2, \dots, \text{ and } i = 1, \dots, k_n\}$, and let $B = X \setminus A$. Note that if $g \in F(X)$ with $g|_A = f|_A$, then $g \in C(X)$.

Let p be a non-isolated point of X . Suppose by way of contradiction that $p \notin A$. Then define $g: X \rightarrow \mathbb{R}$ by $g(x) = f(x)$ for $x \in X \setminus \{p\}$ and $g(p) = f(p) + 1$. Since $g|_A = f|_A$, then $g \in C(X)$. But since $X \setminus \{p\}$ is dense in Hausdorff space X , then $f = g$, which is a contradiction. Therefore, A contains all the non-isolated points of X . In particular A is closed in X and B is discrete.

Again, by way of contradiction suppose that B has some accumulation point p , which would necessarily be in A . Define $g: X \rightarrow \mathbb{R}$ by $g(x) = f(x)$ if $x \in A$ and $g(x) = f(p) + 1$ if $x \in B$. Again since $g|_A = f|_A$ then $g \in C(X)$. Since p is an accumulation point B , and g is constant $f(p) + 1$ on B , then $g(p) = f(p) + 1$. But since $p \in A$, then $g(p) = f(p)$, which is a contradiction. Therefore B has no accumulation point, so that A is open in X . Then X is the topological sum of the σ -compact space A and the discrete space B .

THEOREM 3. If X is first countable and $C(X)$ is Čech-complete, then X is hemicompact.

PROOF. Since $C(X)$ is Čech-complete and dense in Hausdorff space $F(X)$, then $C(X)$ is a G_δ -subset of $F(X)$. By Lemma 1, X is the topological sum of σ -compact space A and discrete space B . Then $C(X)$ is homeomorphic to $C(A) \times C(B) = C(A) \times \mathbb{R}^B$. Now each of $C(A)$ and \mathbb{R}^B must be Čech-complete. In the first place this implies that B is countable. Also since A is first countable, then it is locally compact by Corollary 1. Finally a locally compact σ -compact space is hemicompact.

COROLLARY 3. If X is first countable then the following are equivalent.

1. $C(X)$ is Čech-complete.
2. $C(X)$ is completely metrizable.
3. X is hemicompact.

The Čech-complete property implies that of being a k -space (compactly generated space). Although the k -space property is not really a completeness property since

such spaces need not be Baire spaces, still for function spaces with the compact-open topology, there is a relationship between these properties (which is not completely known). We see this with our last theorem. Some of these ideas can be found in [5].

LEMMA 2. If X is first countable at some non-isolated point, then $C(X)$ is not countably compact.

PROOF. Let $\{x_n\}$ be a sequence of distinct points of X converging to some point x_0 . For each n define function $f_n \in C(X)$ satisfying:

- (i) $f_n(x_i) = 0$ for $i > n$,
- (ii) $f_n(x_i) = 1$ for $i \leq n$,
- (iii) $f_n(x_0) = 0$.

Then the set $\{f_n\}$ is closed and discrete in $C(X)$.

In the next lemma, N denotes the set of natural numbers.

LEMMA 3. If X is a first countable paracompact space which is not Lindelöf, then $C(X)$ contains a closed copy of N^{\aleph_1} .

PROOF. Let Y be the subspace of non-isolated points of X . First suppose that Y is Lindelöf. Then X contains an uncountable closed subspace D consisting of isolated points of X . If $Z = X \setminus D$, then $C(X)$ is homeomorphic to $C(Z) \times C(D)$. But $C(D)$ clearly contains a closed copy of N^{\aleph_1} .

Now suppose that Y is not Lindelöf. Then there exists a discrete family A of closed subsets of X having cardinality \aleph_1 , such that $\text{int } A \cap Y \neq \emptyset$ for each $A \in A$. Define $F = \{f \in C(X) \mid f \text{ is supported on } \cup A\}$, and for each $A \in A$, let $F_A = \{f \in C(X) \mid f \text{ is supported on } A\}$. We see that F is a closed subspace of $C(X)$ which is homeomorphic to $\prod \{F_A \mid A \in A\}$. By Lemma 2, each F_A is not countable compact, and therefore contains a closed copy of N . Then F , and also $C(X)$, must contain a closed copy of N^{\aleph_1} .

THEOREM 4. If X is a first countable paracompact space and $C(X)$ is a k -space, then X is hemicompact.

PROOF. First since N^{\aleph_1} is not a k -space, then X would have to be Lindelöf by Lemma 3. Since a locally compact Lindelöf space is hemicompact, it will suffice to show that X is locally compact.

Suppose that X is not locally compact at x_0 , and let $U_1 \supseteq \bar{U}_2 \supseteq U_2 \supseteq \dots$ be a

countable base at x_0 . For each n , let $A_n = \overline{U_n} \setminus U_{n+1}$. As in the proof of Theorem 1, there is a sequence $\{k_n\}$ such that each A_{k_n} is not compact and $k_{n+1} > k_n + 1$ for each n . Then for each n , there exists a countable closed discrete subset $\{a_n^i \mid i \in \mathbb{N}\} \subseteq A_{k_n}$, and there exists a discrete family of open subsets $\{V_n^i \mid i \in \mathbb{N}\}$ such that $a_n^i \in V_n^i$ and $V_n^i \cap \overline{U_{k_n+1}} = \emptyset$. For each $n \geq 1$ and $i > n$, define $f_n^i \in C(X)$ satisfying:

- (i) $f_n^i(a_n^i) = 1$,
- (ii) $f_n^i(a_i^i) = 0$,
- (iii) $f_n^i(x_0) = 1/n$,
- (iv) $f_n^i(x) \leq 1/n$ for every $x \notin V_n^i$.

Now define $F = \{f_n^i \mid 1 \leq n < i\}$. By Ascoli's Theorem, $K \cap F$ is finite for each compact $K \subseteq C(X)$. Also the constant 0 function is in $\overline{F} \setminus F$, so that $C(X)$ would not be a k -space.

COROLLARY 4. If X is a first countable paracompact space, then the following are equivalent.

1. $C(X)$ is a k -space.
2. $C(X)$ is Čech-complete.
3. $C(X)$ is completely metrizable.
4. X is hemicompact.

We give some examples showing that none of the hypotheses in the theorems on Baire spaces can be omitted.

EXAMPLE 1. Let X be an uncountable space with exactly one non-isolated point, which has as neighborhoods those sets containing it having countable complements. Now X is a Lindelöf space which is not first countable or locally compact. Also the compact subsets of X are finite, so that $C(X)$ in fact has the topology of point-wise convergence. From [4], we see that $C(X)$ is pseudo-complete but not Čech-complete. In particular we see that Corollary 1 becomes false if "first countable" is replaced by "Lindelöf."

EXAMPLE 2. Let X be the space of countable ordinals with the order topology. Then X is a first countable, locally compact space which is not paracompact. For

each n , let D_n be the set of isolated points of the n th derived set of X . Then define $G_n = \cup\{\{x\}, (n-1, n)\} \mid x \in D_n\}$. Each G_n is open and dense in $C(X)$. But since every function in $C(X)$ is bounded, then $G_1 \cap G_2 \cap \dots = \emptyset$. Therefore, $C(X)$ is of first category in itself. Then in Theorem 2, "paracompact" cannot be omitted, or even replaced by "first countable."

Finally, there are some questions which come to mind here.

Questions:

1. Can "first countable" be omitted from Theorem 3?
2. Can "paracompact" be omitted from Theorem 4?
3. Is there a characterization of $C(X)$ being pseudo-complete which is analogous to Theorem 8.4 of [4]?

In fact the only completeness property on $C(X)$ which has a known characterization in terms of a property on X (for general Tychonoff spaces X) is that of complete metrizability. So there are many other questions which arise naturally in this topic.

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