# FRÉCHET ALGEBRAS GENERATED BY CERTAIN OF THEIR ELEMENTS 

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#### Abstract

We consider $F$-algebras $A$ that are generated by elements of the form $z,\left(z-\lambda_{1} e\right)^{-1}$, $\ldots,\left(z-\lambda_{N} e\right)^{-1}$, where $e$ is the identity. If $A$ has no topclogical divisors of zero we show that $A$ is isomorphic to $H(\Omega)$, where $\Omega$ is a finitely connected region. We also study $F$-algebras in which $\left\{e, z, z^{-1}, z^{2}, z^{-2}, \ldots\right\}$ is a basis.

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## 1. Introduction

The algebra $H(\Omega)$ of holomorphic functions on a region $\Omega$, with the compact-open topology has been characterized among $F$-algebras in several ways. Rudin [1] proved that a uniform $F$ algebra which satisfies a form of the maximum modulus principle is an algebra of holomorphic functions. Birtel [2] showed that under certain conditions a singly-generated $F$-algebra is the algebra of entire functions, and under other conditions it is shown in [3] that such an algebra is the algebra of holomorphic functions on a simply connected domain. Meyers [4] characterized $H(\Omega)$ by using the property that bounded sets are relatively compact. Carpenter [5] used the existence of derivations to characterize $H(\Omega)$. Arens [6] gave conditions on a singly-rationallygenerated $F$-algebra which ensure that the algebra is the direct sum of its radical and an algebra of holomorphic functions on a region. Brooks [7] showed that the same conclusion holds for locally $m$-convex algebras in which a certain boundary is empty. In [8] and [9] conditions are given on an $F$-algebra generated by a finite number of elements that guarantee that the algebra is an algebra of holomorphic functions. These and other $F$-algebra characterizations of $H(\Omega)$ are in terms of well known properties of $H(\Omega)$, such as Liouville's theorem [2], the maximum modulus principle [1], Montel's theorem [4], the Cauchy estimate [6], Taylor's theorem [9], the existence of certain derivations [5], and others.

In this paper we consider $F$-algebras $A$ that are generated by certain of their elements, namely

$$
z,\left(z-\lambda_{1} e\right)^{-1}, \ldots,\left(z-\lambda_{N} e\right)^{-1}
$$

where $e$ is the identity of $A$ and $\lambda_{1}, \ldots, \lambda_{N} \in \mathbb{C}$. Under one additional assumption, that $A$ has no topological divisors of zero, we show that $A$ is isomorphic to $H(\Omega)$, where $\Omega$ is a finitely
connected region. This can be viewed as a characterization of $H(\Omega)$ in terms of Runge's theorem. We also consider $F$-algebras in which $\left\{e, z, z^{-1}, z^{2}, z^{-2}, \ldots\right\}$ is a basis for $A$.

An $F$-algebra is a complete metrizable locally $m$-convex algebra over $\mathbb{C}$. (We consider only commutative $F$-algebras.) The topology of an $F$-algebra $A$ is given by an increasing sequence of seminorms $\left\{p_{n}: n \in \mathbb{N}\right\}$, and $A$ is isomorphic to an inverse $\operatorname{limit} \lim _{\leftarrow}\left(A_{n}, \pi_{n m}\right)$ of Banach algebras as follows: $A_{n}$ is the completion of $A / \operatorname{ker}\left(p_{n}\right)$ in the induced norm, and for $n \leq m$ the map $\pi_{n m}: A_{m} \rightarrow A_{n}$ is the natural homomorphism. The maximal ideal space $\mathcal{M}(A)$ consists of all non-zero continuous multiplicative linear functionals on $A$ endowed with the weak topology generated by the Gelfand transforms $\widehat{x}: \mathcal{M}(A) \rightarrow \mathbb{C}$, where $\widehat{x}(f)=f(x)$. The algebra of all Gelfand transforms is $\widehat{A}=\{\widehat{x}: x \in A\}$ equipped with the compact-open topology. The Gelfand map $\Gamma: A \rightarrow \widehat{A}$ is continuous and is a bijection if $A$ is semisimple. The quotient map $\pi_{n}: A \rightarrow A / \operatorname{ker}\left(p_{n}\right)$ induces a homeomorphism of the maximal ideal space $\mathcal{M}\left(A_{n}\right)$ onto a compact subset $M_{n}$ of $\mathcal{M}(A)$. For $n \leq m$ we have $M_{n} \subset M_{m}$ and $\mathcal{M}(A)=\cup M_{n}$. The joint spectrum of $\left\{w_{1}, w_{2}, \ldots, w_{N}\right\} \subset A$ is the set

$$
\sigma\left(w_{1}, w_{2}, \ldots, w_{N}\right)=\left\{\left(f\left(w_{1}\right), f\left(w_{2}\right), \ldots, f\left(w_{N}\right)\right): f \in \mathcal{M}(A)\right\}
$$

The joint spectrum of these elements in $A_{n}$ is

$$
\sigma_{n}\left(w_{1}, w_{2}, \ldots, w_{N}\right)=\left\{\left(f\left(w_{1}\right), f\left(w_{2}\right), \ldots, f\left(w_{N}\right)\right): f \in M_{n}\right\}
$$

We have $\sigma=\bigcup \sigma_{n}$. The elements $\left\{w_{1}, w_{2}, \ldots, w_{N}\right\}$ generate $A$ if $A$ is the smallest closed subalgebra containing these elements and the identity $e$. In this case the spectrum map

$$
\begin{aligned}
& \varphi: \mathcal{M}(A) \rightarrow \sigma\left(w_{1}, w_{2}, \ldots, w_{N}\right) \\
&: f \mapsto\left(f\left(w_{1}\right), f\left(w_{2}\right), \ldots, f\left(w_{N}\right)\right)
\end{aligned}
$$

is a continuous bijection [7].
An element $z$ in a Banach algebra $B$ is a topological divisor of zero if the map $T_{z}: B \rightarrow z B$ is not an isomorphism. In an $F$-algebra $A, z$ is a topological divisor of zero if for each sequence $\left\{p_{n}: n \in \mathbb{N}\right\}$ of seminorms defining the topology of $A$ there exists a $k$ such that $\pi_{k}(z)$ is a topological divisor of zero in the Banach algebra $A_{k}$ (See Michael [10]). When we say that an algebra has no topological divisors of zero, we will mean, of course, that it has no nonzero ones.

## 2. A characterization of Runge algebras

If $\Omega \subset \mathbb{C}$ is a finitely connected domain, and $H(\Omega)$ is endowed with the compact-open topology, then by Runge's Theorem, $H(\Omega)$ is generated by rational functions with poles in $\mathbb{C}_{\infty} \backslash \Omega$. In fact, if $\lambda_{1}, \ldots, \lambda_{N}$ are points chosen one from each component of $\mathbb{C}_{\infty} \backslash \Omega$, then the rational functions with poles at $\lambda_{1}, \ldots, \lambda_{N}$ suffice to generate $H(\Omega)$ [11, page 200]. It is also well-known that $H(\Omega)$ has no topological divisors of zero. We will show that these two properties characterize $H(\Omega)$ as an $F$-algebra.

An $F$-algebra is a Runge algebra if there is an element $z \in A$ and complex numbers $\lambda_{1}, \ldots, \lambda_{N}$ such that $A$ is generated by $\left\{z, w_{1}, \ldots, w_{N}\right\}$, where $w_{1}=\left(z-\lambda_{1} e\right)^{-1}, \ldots$, $w_{N}=\left(z-\lambda_{N} e\right)^{-1}$.

PROPOSITION 2.1. If A as a Runge algebra with no topological divisors of zero, then $\sigma(z)$ is a finitely connected domain.

Proof. If $\sigma(z)$ were to contain a boundary point $\alpha$, then $z-\alpha e$ would be a topological divisor of zero [10, Proposition 11.8]. Thus $\sigma(z)$ is open. Now suppose $\sigma(z)$ is the disjoint union of nonempty open sets $S$ and $T$, and let $\chi_{S}$ and $\chi_{T}$ be their characteristic functions. These are analytic on $\sigma(z)$, so by the functional calculus [12] we can find $x, y \in A$ such that $\widehat{x}=\chi_{S} \circ \widehat{z}$ and $\widehat{y}=\chi_{T} \circ \widehat{z}$; hence $\widehat{x y}=0$. Since $A$ has no topological divisors of zero, it follows that $A$ is semisimple and so $x y=0$. But then $x$ and $y$ would be proper zero-divisors, a contradiction. Thus $\sigma(z)$ is connected, and so it is a domain.

Let $\varphi: \mathcal{M}(A) \rightarrow \sigma\left(z, w_{1}, \ldots, w_{N}\right)$ be the spectrum map and let

$$
\psi: \sigma\left(z, w_{1}, \ldots, w_{N}\right) \rightarrow \sigma(z)
$$

be the map taking $\left(f(z), f\left(w_{1}\right), \ldots, f\left(w_{N}\right)\right)$ to $f(z)$. Clearly $\psi$ is a continuous bijection, and hence so is $\varphi_{0}=\psi \circ \varphi$. For $t \in \sigma(z)$ let $f_{t}=\varphi_{0}^{-1}(t)$, and for $x \in A$ define $\widetilde{x}: \sigma(z) \rightarrow \mathbb{C}$ to be the map taking $t$ to $\widetilde{x}(t)=\widehat{x}\left(f_{t}\right)=f_{t}(x)$, as indicated in the commutative diagram below.


Let $\widetilde{A}=\{\widetilde{x}: x \in A\}$, equipped with the compact-open topology. We first show that $\widetilde{A} \subseteq H(\sigma(z))$.

Let $x \in A$, and let $P_{k}$ be a sequence of polynomials in $N+1$ variables such that $P_{k}\left(z, w_{1}, \ldots, w_{N}\right)$ converges to $x$. Define $r_{k}(t)$ to be the rational function $P_{k}\left(t,\left(t-\lambda_{1}\right)^{-1}, \ldots,\left(t-\lambda_{N}\right)^{-1}\right)$. Then

$$
f_{t}\left(P_{k}\left(z, w_{1}, \ldots, w_{N}\right)\right)=P_{k}\left(f_{t}(z), f_{t}\left(w_{1}\right), \ldots, f_{t}\left(w_{N}\right)\right)=r_{k}(t)
$$

and so $r_{k}(t)$ converges to $f_{t}(x)=\widetilde{x}(t)$. This means that $\widetilde{x}$ is the pointwise limit of rational functions on $\sigma(z)$, whose poles occur at $\lambda_{1}, \ldots, \lambda_{N}$. (Note that each $\lambda_{1}$ lies outside $\sigma(z)$, since $z-\lambda_{t} e$ is invertible.) We now show that the convergence $r_{k}(t) \rightarrow \widetilde{x}(t)$ is uniform on compact sets. This will prove that $\widetilde{x}$ is analytic on $\sigma(z)$.

First note that since $A$ has no topological divisors of zero, for each $n \in \mathbb{N}$ there exists $m>n$ such that $M_{n} \subset \operatorname{int} M_{m}$ (see Arens [13]). So, without loss of generality (by replacing the original sequence of seminorms by an equivalent one) we may assume that $M_{n} \subset \operatorname{int} M_{n+1} \subset M_{n+1}(n=1,2, \ldots)$. Now $\varphi_{0} \mid M_{n}$ is a homeomorphism onto its image (because $\varphi \mid M_{n}$ is, and $\psi$ is a bijection). Thus it follows that $\varphi_{0}\left(M_{n}\right) \subset \operatorname{int} \varphi_{0}\left(M_{n+1}\right) \subset \varphi_{0}\left(M_{n+1}\right)$, $n=1,2, \ldots$, and $\sigma(z)=\bigcup \varphi_{0}\left(M_{n}\right)=\bigcup \operatorname{int} \varphi_{0}\left(M_{n}\right)$.

Now let $S$ be a compact subset of $\sigma(z)$. By the preceding paragraph, there exists $n \in \mathbb{N}$
such that $S \subset \operatorname{int} \varphi_{0}\left(M_{n}\right)$, so that $\varphi_{0}^{-1}(S) \subset M_{n}$. Thus $\varphi_{0}^{-1}(S)$ is a compact (and hence equicontinuous) subset of $\mathcal{M}(A)$. Now $P_{k} \rightarrow x$, so the continuity of the Gelfand nap implies that $\widehat{P}_{k} \rightarrow \widehat{x}$ in $\widehat{A}$, i.e., uniformly on compact subsets of $\mathcal{M}(A)$. Thus for $\epsilon>0$ and sufficiently large $k,\left|\widehat{P}_{k}\left(f_{t}\right)-\widehat{x}\left(f_{t}\right)\right|<\epsilon$ for $f_{t} \in \varphi_{0}^{-1}(S)$, which is the same as $\left|r_{k}(t)-\widetilde{x}(t)\right|<\epsilon$ for $t \in S$. This shows that each $\widetilde{x}$ is the limit of rational functions whose poles lie outside $\sigma(z)$; the convergence is uniform on compact subsets of $\sigma(z)$, and hence $\widetilde{x}$ is analytic on $\sigma(z)$. It follows that $\widetilde{A} \subseteq H(\sigma(z))$.

Now if $h \in H(\sigma(z))$, then by the functional calculus for $F$-algebras there exists $y \in A$ such that $\widehat{y}(f)=h(\widehat{z}(f))$ for $f \in \mathcal{M}(A)$. Therefore $h=\widetilde{y}$ and so $\widetilde{A}=H(\sigma(z))$. This last equality shows that the polynomials in $t,\left(t-\lambda_{1}\right)^{-1}, \ldots,\left(t-\lambda_{N}\right)^{-1}$ (i.e. the rational functions with poles at $\left.\lambda_{1}, \ldots, \lambda_{N}\right)$ are dense in $H(\sigma(z))$. Runge's Theorem [11, page 200] implies that $\sigma(z)$ is a finitely connected domain.

Theorem 2.2. The algebra $A$ is a Runge algebra with no topological divisors of zero if and only of $A$ is isomorphic to $H(\Omega)$ for some finitely connected domain $\Omega$.

Proof. That $H(\Omega)$ has the indicated properties is discussed in the first paragraph of this section. Conversely, suppose $A$ has no topological divisors of zero and is generated by elements $\left(z-\lambda_{1} e\right)^{-1}, \ldots,\left(z-\lambda_{N} e\right)^{-1}$, where $\lambda_{1}, \ldots, \lambda_{N} \in \mathbb{C}$. The map $\varphi_{0}: \mathcal{H}(A) \rightarrow \sigma(z)$ defined in the proof of Proposition 2.1 is a homeomorphism, because the topology on $\mathcal{M}(A)$ is the weak topology generated by $\widehat{A}$, and $\widehat{x} \circ \varphi_{0}^{-1}=\widetilde{x}$ is continuous for each $\widehat{x} \in \widehat{A}$. Let $G: \widehat{A} \rightarrow \widetilde{A}$ be the map $G(\widehat{x})=\widetilde{x}$. We claim that

$$
A \xrightarrow{\Gamma} \widehat{A} \xrightarrow{G} \widetilde{A}
$$

is an isomorphism. Since $G(\widehat{x})=\widehat{x} \circ \varphi_{0}^{-1}, G$ is an isomorphism, and since $A$ is semisimple, $\Gamma$ is a bijection. Thus $G \circ \Gamma$ is a continuous bijection onto the $F$-algebra $\widetilde{A}$, hence is open by the open mapping theorem, and so $A \simeq \widetilde{A}$. But, as in Proposition 2.1, $\widetilde{A}=H(\sigma(z))$ and $\sigma(z)$ is finitely connected. This completes the proof.

If each of the seminorms $p_{k}$ of an $F$-algebra $A$ satisfies $p_{k}\left(x^{2}\right)=p_{k}(x)^{2}$ for all $x \in A$, then $A$ is a uniform algebra. For such an algebra, $p_{k}(x)=\sup \left\{|\widehat{x}(f)|: f \in M_{k}\right\}$ for all $x \in A, k \in \mathbb{N}$. Thus the Gelfand map $\Gamma$ is a homeomorphism of $A$ onto a complete subalgebra $\widehat{A}$ of $C(\mathcal{M}(A))$. A derivation on an $F$-algebra $A$ is a linear transformation $D: A \rightarrow A$ satisfying $D(x y)=x D(y)+D(x) y$. Carpenter [5] used the existence of a derivation on a uniform $F$ algebra to characterize $H(\Omega)$. His result provides one of the equivalences in the following theorem.

Theorem 2.3. Let $A$ be a uniform Runge algebra which is generated by the elements $z,\left(z-\lambda_{1} e\right)^{-1}, \ldots,\left(z-\lambda_{N} e\right)^{-1}$, where $\lambda_{1}, \ldots, \lambda_{N} \in \mathbb{C}$. The following are equivalent conditions on $A$.
(a) A has no topological divisors of zero.
(b) The spectrum $\sigma(z)$ is an open subset of $\mathbb{C}$.
(c) A has a derivation $D$ such that $D(z)=e$.
(d) $A$ is isomorphic to $H(\Omega)$ for a finitely connected domain $\Omega$.

Proof. Clearly (d) implies (a), (b), and (c). That (a) implies (d) follows from Theorem 2.2, and that (c) implies (d) follows from Carpenter [5]. We prove that (b) implies (d). Let $w_{1}=\left(z-\lambda_{\imath} \epsilon\right)^{-1}, \imath=1, \ldots, N$. Observe first that $\sigma\left(z, w_{1}, \ldots, w_{N}\right)$ is homeomorphic to $\sigma(z)$, and $\sigma_{n}\left(z, w_{1}, \ldots, w_{N}\right)$ to $\sigma_{n}(z)$, via the map $\psi$ defined in the proof of Proposition 2.1. We show that $\left\{\sigma_{n}\left(z, w_{1}, \ldots, w_{N}\right)\right\}$ is a $k$-covering sequence for $\sigma\left(z, w_{1}, \ldots, w_{N}\right)$ by showing that $\left\{\sigma_{n}(z)\right\}$ is a $k$ covering sequence for $\sigma(z)$ ( $k$-covering means that the sequence covers every compact subset). But $\sigma(z)$ is the increasing union of the $\sigma_{n}(z)$ and is open, so $\sigma(z)=\bigcup$ int $\sigma_{n}(z)$. Thus \{int $\left.\sigma_{n}(z)\right\}$ is an open cover for any compact subset $K$ of $\sigma(z)$, so $K \subseteq \sigma_{n}(z)$ for some $n \in \mathbb{N}$. This proves that $\left\{\sigma_{n}\left(z, w_{1}, \ldots, w_{N}\right)\right\}$ is a $k$-covering for $\sigma\left(z, w_{1}, \ldots, w_{N}\right)$, and so by [7, Theorem 1.3] the map $\varphi: \mathcal{M}(A) \rightarrow \sigma\left(z, w_{1}, \ldots, w_{N}\right)$ is a homeomorphism. Thus $\mathcal{M}(A)$ is homeomorphic to $\sigma(z)$ by the map $\varphi_{0}: f \mapsto f(z)$. This, together with the fact that $A$ is a uniform algebra, allows us to consider $\widehat{A}$ (and hence $A$ ) as an algebra of continuous functions on $\sigma(z)$ with the compact-open topology. Under this identification, for $t \in \sigma(z)$ we have $\widehat{z}(t) \equiv \widehat{z}\left(\varphi_{0}^{-1}(t)\right)=\left[\varphi_{0}^{-1}(t)\right](z)=t, \quad$ and similarly $\widehat{w}_{1}(t)=\left(t-\lambda_{1}\right)^{-1}, \ldots, \quad \widehat{w}_{N}(t)=\left(t-\lambda_{N}\right)^{-1} ;$ moreover, these functions generate $\widehat{A}$. By Runge's theorem [11, page 200], $\widehat{A}$ (and hence $A$ ) is isomorphic to $H(\Omega)$ for a finitely connected domain $\Omega$.

## 3. BASES GENERATED BY $z$ AND $z^{-1}$

In this section we assume that $A$ is an $F$-algebra with a basis generated by $z$ and $z^{-1}$; that is, for each $x \in A$, there is a unique sequence $\left\{\alpha_{n}: n \in \mathbb{Z}\right\}$ of scalars such that

$$
x=\sum_{n=-\infty}^{\infty} \alpha_{n} z^{n}
$$

where the series converges independently in the positive and negative directions of summation. (In [14] algebras with bases of the form $\left\{z^{n}: n \in \mathbb{N}\right\}$ are studied.) We first analyze the spectrum of $z$ for such algebras.

Lemma 3.1. Let $A$ be an $F$-algebra with a basis $\left\{z^{n}: n \in \mathbb{Z}\right\}$, and let $r=1 / \rho\left(z^{-1}\right), R=\rho(z)$, where $\rho$ denotes the spectral radius. If $\rho(z) \rho\left(z^{-1}\right) \neq 1$, let $S=\{\lambda \in \mathbb{C}: r<|\lambda|<R\}$, and if $\rho(z) \rho\left(z^{-1}\right)=1$, let $S=\{\lambda \in \mathbb{C}:|\lambda|=R\}$. Then $S \subset \sigma(z) \subset \bar{S}$.

Proof. If $z-\lambda e$ is invertible, $(z-\lambda e)^{-1}=\sum_{n=-\infty}^{\infty} \alpha_{n} z^{n}$. Then

$$
\begin{aligned}
e & =(z-\lambda e) \sum_{n=-\infty}^{\infty} \alpha_{n} z^{n}=\sum_{n=-\infty}^{\infty} \alpha_{n} z^{n+1}-\sum_{n=-\infty}^{\infty} \lambda \alpha_{n} z^{n} \\
& =\sum_{n=-\infty}^{\infty}\left(\alpha_{n-1}-\lambda \alpha_{n}\right) z^{n} .
\end{aligned}
$$

Thus $\alpha_{-1}-\lambda \alpha_{0}=1$ and $\alpha_{n-1}-\lambda \alpha_{n}=0$ for $n \neq 0$. When $\lambda \neq 0$, we can write the coefficients in terms of $\alpha_{0}$ to get $\alpha_{n}=\alpha_{0} / \lambda^{n}, n \geq 0$, and $\alpha_{-n}=\alpha_{0} \lambda^{n}+\lambda^{n-1}, n \geq 1$. Thus

$$
\begin{equation*}
(z-\lambda e)^{-1}=\alpha_{0} \sum_{n=0}^{\infty}\left(\frac{z}{\lambda}\right)^{n}+\sum_{n=1}^{\infty}\left(\alpha_{0} \lambda^{n}+\lambda^{n-1}\right) z^{-n} \tag{3.1}
\end{equation*}
$$

Now suppose $\lambda \notin \sigma(z)$, with $\lambda \neq 0$. Then $z-\lambda e$ is invertible and its inverse is given by the series (3.1). Let $f \in \mathcal{M}(A)$. We consider two cases. If $\alpha_{0} \neq 0$ in (3.1), then the series $\sum_{n=0}^{\infty}(f(z) / \lambda)^{n}$ converges in $\mathbb{C}$, and so $|f(z) / \lambda|<1$. If follows that $|f(z)|<|\lambda|$ for all
$f \in \mathcal{M}(A)$ and hence $|\lambda| \geq \rho(z)$. If $\alpha_{0}=0$ in (3.1), then the series $\lambda^{-1} \sum_{n=1}^{\infty}\left(f\left(z^{-1}\right) \lambda\right)^{n}$ converges, so that $\left|f\left(z^{-1}\right) \lambda\right|<1$. Thus $|\lambda|<1 /\left|f\left(z^{-1}\right)\right|$ for all $f \in \mathcal{M}(A)$ and so $|\lambda| \leq 1 / \rho\left(z^{-1}\right)$. Since 0 belongs to neither $S$ nor $\sigma(z)$, this shows that $S \subset \sigma(z) \subset \bar{S}$.

Tileorem 3.2. Let $A$ be an $F$-algebra with a basss $\left\{z^{n}: n \in \mathbb{Z}\right\}$. Then $A$ is semesimple and its topology is given by an increasing sequence of norms.

Proof. Suppose $x \in \operatorname{Rad}(A), x=\sum_{-\infty}^{\infty} \alpha_{n} z^{n}$. Then $f(x)=\sum_{-\infty}^{\infty} \alpha_{n} f(z)^{n}=0$ for all $f \in \mathcal{M}(A)$. Thus the Laurent series $\sum_{-\infty}^{\infty} \alpha_{n} t^{n}$ converges to 0 for $t \in \sigma(z)$, and since $\sigma(z)$ has a limit point (Lemma 3.1), $\alpha_{n}=0$ for all $n$. Thus $x=0$ and $A$ is semisimple.

Let $K$ be an infinite compact subset of $\mathcal{M}(A)$. (Such a set must exist, for otherwise $\mathcal{M}(A)$ being hemicompact [ 10 , page 22] would be countable, contradicting Lemma 3.1 and the fact that $\varphi$ is a bijection.) Since $K$ is equicontinuous there exists $c>0$ and a seminorm $p_{k}$ such that $|f(x)| \leq c p_{k}(x)$ for all $f \in K, x \in A$. Thus $p_{k}(x)=0$ implies $f(x)=0$ for all $f \in K$, and since $\varphi\left(K^{\prime}\right)$ has a limit point in $\mathbb{C}$, an argument as in the preceding paragraph shows that $x=0$. This means that $p_{k}$ is a norm, and hence so is $p_{n}$ for $n \geq k$.

Theorem 3.3. Let $A$ be a Banach algebra with a basis $\left\{z^{n}: n \in \mathbb{Z}\right\}$. Then $\mathcal{M}(A)$ is homeomorphic to $\bar{\Omega}=\left\{\lambda \in \mathbb{C}: 1 / \rho\left(z^{-1}\right) \leq|\lambda| \leq \rho(z)\right\}$.

Proof. Since $A$ is a Banach algebra, $\mathcal{M}(A)$ is homeomorphic to $\sigma\left(z, z^{-1}\right)$, which in turn is homeomorphic to $\sigma(z)$ via the projection map $\pi_{1}: \sigma\left(z, z^{-1}\right) \rightarrow \sigma(z)$ which takes $\left(f(z), f\left(z^{-1}\right)\right)$ to $f(z)$. ( $\pi_{1}$ is one-to-one because each $f \in \mathcal{M}(A)$ is completely determined by its value at $z$.) Since $\sigma(z)$ is compact, it is equal to $\bar{\Omega}$ by Lemma 3.1.

An example of a Banach algebra with a basis of the type being considered is $\ell^{1}(\mathbf{Z})$. We now construct another example. If $f(t)=\sum_{-\infty}^{\infty} a_{n} t^{n}$ is analytic on the annulus $\operatorname{Ann}(0 ; r, R)=\{t \in \mathbb{C}: r<|t|<R\}$, and if $r<s<R$, let $\|f\|_{s}=\sum_{-\infty}^{\infty}\left|a_{n}\right| s^{n}$. The limits $\lim _{s \rightarrow r^{+}}\|f\|_{s}=\|f\|_{r}$ and $\lim _{s \rightarrow R^{-}}\|f\|_{s}=\|f\|_{R}$ both exist. Let $A(r, R)$ be the space of such functions for which the norm

$$
\|f\|=\max \left\{\|f\|_{r},\|f\|_{R}\right\}
$$

is finite. By an argument as in [15], $A(r, R)$ is a Banach algebra with basis $\left\{z^{n}: n \in \mathbf{Z}\right\}$, where $z$ is the function $z(t)=t$. Abel's theorem shows that $A(r, R)$ consists of those analytic functions $f(t)=\sum_{-\infty}^{\infty} a_{n} t^{n}$ on $\operatorname{Ann}(0 ; r, R)$ for which

$$
\begin{equation*}
\sum_{-\infty}^{\infty}\left|a_{n}\right| r^{n}<\infty \text { and } \sum_{-\infty}^{\infty}\left|a_{n}\right| R^{n} . \tag{3.2}
\end{equation*}
$$

We show that this example is typical of Banach algebras with bases of the type under consideration.

THEOREM 3.4. Let $A$ be a Banach algebra with an unconditional basis $\left\{z^{n}: n \in \mathbb{Z}\right\}$. If $\rho(z)=\|z\|$ and $\rho\left(z^{-1}\right)=\left\|z^{-1}\right\|$, then $A$ is isomorphic to $A(r, R)$, where $r=1 / \rho\left(z^{-1}\right)$ and $R=\rho(z)$.

Proof. For $x \in A$ we define $\widetilde{x}$ as in the proof of Proposition 2.1; that is, $\widetilde{x}: \sigma(z) \rightarrow \mathbb{C}$ is given by $\widetilde{x}(t)=\widehat{x}\left(\varphi_{0}^{-1}(t)\right)$. For $x=\sum_{-\infty}^{\infty} \alpha_{n} z^{n}$, we have $\widetilde{x}(t)=\sum_{-\infty}^{\infty} \alpha_{n} t^{n}$, and since the basis is unconditional, each $\widetilde{x}(t)$ is an absolutely convergent series for every $t \in \sigma(z)$. Since $\sigma(z)$ is compact, we have by Theorem 3.3 that $r, R \in \sigma(z)$ and so we conclude from (3.2) that $\tilde{x} \in A(r, R)$. Thus we can define the map

$$
\begin{aligned}
L & : A \rightarrow A(r, R) \\
& : x \mapsto \widetilde{x}
\end{aligned}
$$

Clearly $L$ is one-to-one, linear, and multiplicative. If $f \in A(r, R)$, then there is a sequence $\left\{\beta_{n}\right\}$ of complex numbers such that $f(t)=\sum_{-\infty}^{\infty} \beta_{n} t^{n}$ and this series converges for $t \in \sigma(z)$ by Theorem 3.3. But $\rho(z) \in \sigma(z)$, so $\sum_{-\infty}^{\infty}\left|\beta_{n}\right| \rho(z)^{n}<\infty$, and hence by assumption $\sum_{n=0}^{\infty}\left|\beta_{n}\right|\left\|z^{n}\right\|<\infty$. Similarly, $1 / \rho\left(z^{-1}\right) \in \sigma(z)$, so $\quad \sum_{-\infty}^{\infty}\left|\beta_{n}\right| \rho\left(z^{-1}\right)^{-n}=\sum_{-\infty}^{\infty}\left|\beta_{-n}\right| \rho\left(z^{-1}\right)^{n}$ $<\infty$, and hence $\sum_{n=0}^{\infty}\left|\beta_{-n}\right| \rho\left(z^{-n}\right)<\infty$. These two facts show that the series $\sum_{-\infty}^{\infty} \beta_{n} z^{n}$ converges in $A$, to $y$, say. Clearly $\widetilde{y}=f$ and hence $L$ is onto. Thus $L$ is an algebraic isomorphism from $A$ onto $A(r, R)$ and, since $A(r, R)$ is semisimple, $L$ is continuous. The open mapping theorem now shows that $L$ is also a topological isomorphism.

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