

## Research Article

# $k$ -Smooth Points in Some Banach Spaces

A. Saleh Hamarsheh

Department of Mathematics, Al-Hussein Bin Talal University, Ma'an, Jordan

Correspondence should be addressed to A. Saleh Hamarsheh; abkmsaleh@yahoo.com

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We characterize the  $k$ -smooth points in some Banach spaces. We will deal with injective tensor product, the Bochner space  $L^\infty(\mu, X)$  of (equivalence classes of)  $\mu$ -essentially bounded measurable  $X$ -valued functions, and direct sums of Banach spaces.

## 1. Introduction

For a unit vector  $x$  in a Banach space  $X$ , consider the state space  $S_x = \{x^* \in X^* : \|x^*\| = 1 = x^*(x)\}$ . The point  $x$  is a smooth point if  $S_x$  consists exactly of one point. The set of all smooth points is denoted by  $\text{smooth } B(X)$ . Smooth points are important tools in the study of the geometry of Banach spaces. For two Banach spaces  $X, Y$  Heinrich, [1], gave a description of smooth points of the unit ball in the space  $K(X, Y)$  of compact operators from  $X$  into  $Y$ . The research then turned to the space  $L(X, Y)$  of bounded operators. Kittaneh and Younis, [2], were the first to deal with this problem. They characterized smooth points in  $L(l^2)$ . Their result was then generalized in [3] to the space  $L(l^p)$ ;  $1 < p < \infty$ . For smooth points in  $L(l^p, X)$  see [4, 5]. In [6] Werner gave a description of smooth points in  $L(X, Y)$  under some conditions on  $X$  and  $Y$ . Smooth points in certain vector valued function spaces were given in [7].

In [8] the authors generalize the notion of smoothness by calling a unit vector  $x$  in a Banach space  $X$  a  $k$ -smooth point, or a *multismooth point of order  $k$*  if  $S_x$  has exactly  $k$  linearly independent vectors, equivalently, if  $\dim(\text{sp } S_x) = k$ . For a natural number  $k$ , the set of  $k$ -smooth points in  $X$  is denoted by  $k$ -smooth  $B(X)$ . Note that  $S_x$  is a weak\*-compact convex set and hence it is easy to see that  $x \in k$ -smooth  $B(X)$  if and only if  $\dim(\text{sp ext } S_x) = k$ . Multismoothness in Banach spaces was extensively studied by Lin and Rao in [9]. In particular, they showed that, in a Banach space of finite dimension  $k$ , any  $k$ -smooth point is unitary and hence a strongly extreme point. The aim of this paper is to characterize multismoothness in some Banach spaces. Indeed, we

will deal with injective tensor product, the Bochner space  $L^\infty(\mu, X)$  of (equivalence classes of)  $\mu$ -essentially bounded measurable  $X$ -valued functions, and direct sums of Banach spaces.

The set of all extreme points of the unit ball of a Banach space  $X$  is denoted by  $\text{ext } B(X)$ .

## 2. Multismoothness in Injective Tensor Products

In [9] the authors characterized multismoothness in the completed injective tensor product  $X \otimes_e Y$  when  $X$  is an  $L^1$ -predual space and  $Y$  is a smooth Banach space. We generalize their result to *any* Banach space  $Y$ . Note that  $X \otimes_e Y = KW^*(Y^*, X)$  whenever either of  $X$  and  $Y$  has the approximation property. Here  $KW^*(Y^*, X)$  is the space of all compact and weak\* to weakly continuous operators from  $Y^*$  to  $X$ , endowed with usual operator norm.

Recall that if  $x^* \in X^*$  and  $y^* \in Y^*$  then  $x^* \otimes y^* \in (KW^*(Y^*, X))^*$  as follows:

$$\text{For } T \in KW^*(Y^*, X), \quad \langle x^* \otimes y^*, T \rangle = \langle y^*, T^* x^* \rangle. \quad (1)$$

**Theorem 1.** *Let  $X$  be an  $L^1$ -predual space and  $Y$  any Banach space. Let  $T \in X \otimes_e Y = KW^*(Y^*, X)$  with  $\|T\| = 1$ . Then  $T$  is a multismooth point of finite order in  $X \otimes_e Y$  if and only if  $T^*$  attains its norm at exactly finitely many independent vectors, say at  $x_1^*, x_2^*, \dots, x_r^* \in \text{ext } B(X^*)$  such that  $T^* x_i^*$  is a multismooth point of finite order in  $Y$ ;  $i = 1, 2, \dots, r$ .*

*In this case the order of smoothness of  $T$  is  $k = m_1 + m_2 + \dots + m_r$ , where  $m_i$  is the order of smoothness of  $T^* x_i^*$ ,  $i = 1, 2, \dots, r$ .*

*Proof.* One can easily prove that if  $T^*$  attains its norm at infinitely many independent vectors in  $\text{ext } B(X^*)$  then  $T \in X \otimes_e Y$  is not a multismooth point of any finite order. The same conclusion will be obtained if  $T^*$  attains its norm at some  $x^* \in \text{ext } B(X^*)$  and  $T^* x^*$  is not a multismooth point of finite order in  $Y$ . So, suppose  $T^*$  attains its norm at exactly finitely many independent vectors, say at  $x_1^*, x_2^*, \dots, x_r^* \in \text{ext } B(X^*)$  such that  $T^* x_i^*$  is a multismooth point of finite order  $m_i$  in  $Y$ ;  $i = 1, 2, \dots, r$  and let  $k = m_1 + m_2 + \dots + m_r$ . We will prove that  $T$  is a multismooth point of order  $k$  in  $X \otimes_e Y$ .

For each  $i$  there are exactly  $m_i$  linearly independent functionals in  $\text{ext } B(Y^*)$  attaining their norm at  $T^* x_i^*$ , say  $y_{i,1}^*, y_{i,2}^*, \dots, y_{i,m_i}^*$ . Since  $X$  is an  $L^1$ -predual space, then there are distinct atoms  $A_i$  with  $x_i^* = \pm(1/\mu A_i)\chi_{A_i}$ . Set  $F_{i,t} = x_i^* \otimes y_{i,t}^*$ , where  $1 \leq i \leq r$  and  $1 \leq t \leq m_i$ . These are  $k$  extreme functionals in  $(X \otimes_e Y)^*$  attaining their norms at  $T$ :

$$\langle x_i^* \otimes y_{i,t}^*, T \rangle = \langle y_{i,t}^*, T^* x_i^* \rangle = 1 = \|T^* x_i^*\|. \quad (2)$$

We claim that the  $F_{i,t}$ 's are linearly independent. Indeed, if  $\sum_{i=1}^r \sum_{t=1}^{m_i} a_{i,t} F_{i,t} = 0$  for some scalars  $a_{i,t}$  then  $\sum_{i=1}^r x_i^* \otimes (\sum_{t=1}^{m_i} a_{i,t} y_{i,t}^*) = 0$ . But since the  $x_i^*$  correspond to distinct atoms then  $\sum_{t=1}^{m_i} a_{i,t} y_{i,t}^* = 0$  for all  $i = 1, 2, \dots, r$ . Since  $\{y_{i,t}^* : t = 1, 2, \dots, m_i\}$  are linearly independent, then  $a_{i,t} = 0, \forall 1 \leq i \leq r, 1 \leq t \leq m_i$ .

Finally, Let  $F \in (X \otimes_e Y)^*$  with  $\|F\| = 1 = F(T)$ . We will show that  $F \in \text{sp}\{F_{i,t} : 1 \leq i \leq r, 1 \leq t \leq m_i\}$ . We can suppose that  $F \in \text{ext } B((X \otimes_e Y)^*)$  (see Section 1). Now, by a result of Ruess and Stegall [10],  $F = x^* \otimes y^*$ , where  $x^* \in \text{ext } B(X^*)$  and  $y^* \in \text{ext } B(Y^*)$ . Then

$$F(T) = \langle x^* \otimes y^*, T \rangle = \langle x^*, T y^* \rangle = \langle T^* x^*, y^* \rangle = 1. \quad (3)$$

So,  $\|T^* x^*\| = 1$  and hence  $x^* \in \text{sp}\{x_1^*, x_2^*, \dots, x_r^*\}$ . But since the  $x_i^*$  correspond to distinct atoms then  $x^* = x_i^*$  for some  $i = 1, 2, \dots, r$  and consequently  $y^* \in \text{sp}\{y_{i,1}^*, y_{i,2}^*, \dots, y_{i,m_i}^*\}$ . Therefore  $x^* \otimes y^* = x_i^* \otimes y^* \in \text{sp}\{x_i^* \otimes y_{i,1}^*, x_i^* \otimes y_{i,2}^*, \dots, x_i^* \otimes y_{i,m_i}^*\}$ . Hence  $F \in \text{sp}\{F_{i,t} : 1 \leq i \leq r, 1 \leq t \leq m_i\}$ . This proves that the  $F_{i,t}$ 's form a maximal linearly independent set in  $S_T$ .

Therefore  $T \in k$ -smooth  $B(X \otimes_e Y)$ . □

As a corollary, we get the following.

**Corollary 2** (see [9]). *Let  $X$  be an  $L^1$ -predual space and  $Y$  a smooth Banach space. Let  $T \in X \otimes_e Y = KW^*(Y^*, X)$  with  $\|T\| = 1$ . Then  $T$  is a multismooth point of finite order  $k$  in  $X \otimes_e Y$  if and only if  $T^*$  attains its norm at exactly  $k$  independent vectors in  $\text{ext } B(X^*)$ .*

*Open Problem.* For Banach spaces  $X$  and  $Y$  let  $T \in K(X, Y)$  with  $\|T\| = 1$ . Is it true that  $T$  is a multismooth point of finite order  $k$  in  $K(X, Y)$  if and only if  $T^*$  attains its norm at only finitely many independent vectors, say at  $y_1^*, y_2^*, \dots, y_r^* \in \text{ext } B(Y^*)$  such that each  $T^* y_i^*$  is a multismooth point of finite order, say  $m_i$ , in  $X^*$ , where  $k = m_1 + m_2 + \dots + m_r$ ?

Theorem 1 above tells us that the answer is yes when  $Y$  is an  $L^1$ -predual space, since in this case  $K(X, Y) = Y \otimes_e X^* = KW^*(X^{**}, Y)$ .

### 3. Multismoothness in Bochner Spaces

Let  $X$  be a Banach space. In this section we discuss multismoothness in the Bochner space  $L^\infty(\mu, X)$  (of (equivalence classes of)  $\mu$ -essentially bounded measurable  $X$ -valued functions. Recall that measurable functions are constants on the atoms.

**Lemma 3.** *Let  $f \in L^\infty(\mu, X)$  and suppose that there is no  $\mu$ -atom  $A$  such that  $\|f(A)\| = 1$ . Then  $f$  is not a multismooth point of any finite order.*

*Proof.* Fix  $r \in \mathbb{N}$ . We will prove that  $f$  is not a multismooth point of order  $r$ . Write  $\Omega = \cup_{i=1}^{r+1} E_i$ , where  $E_i$ 's are disjoint measurable sets of positive measure, with  $\sup\{\|f(t)\| : t \in E_i\} = 1$ . For  $1 \leq i \leq r+1$ , define

$$f_i(t) = \begin{cases} f(t), & \text{if } t \in E_i \\ 0, & \text{if } t \notin E_i. \end{cases} \quad (4)$$

Then  $f = f_1 + f_2 + \dots + f_{r+1}$  and  $\|f_i\| = \sup\{\|f(t)\| : t \in E_i\} = 1$ . Moreover,  $\|f_i \pm f_j\| \leq 1$  for all  $i \neq j$ . This shows that  $f$  is not a smooth point of any order  $m \leq r$ ; see [8]. In particular,  $f$  is not a multismooth point of order  $r$ . □

**Lemma 4.** *Let  $f \in L^\infty(\mu, X)$  and suppose that there are exactly  $n\mu$ -atoms  $A_1, A_2, \dots, A_n$  such that  $\|f(A_j)\| = 1$ . If  $\sup\{\|f(t)\| : t \notin \cup_{j=1}^n A_j\} = 1$ , then  $f$  is not a multismooth point of any finite order.*

*Proof.* Fix  $r \in \mathbb{N}$  and write  $\Omega \setminus \cup_{j=1}^n A_j$  as a disjoint union of  $r$  measurable sets  $E_i$  of positive measure and proceed as in the above proof. □

**Theorem 5.** *Let  $f \in L^\infty(\mu, X)$  with  $\|f\| = 1$ . Then  $f$  is a multismooth point of finite order if and only if there are exactly finitely many distinct atoms  $A_1, A_2, \dots, A_r$  such that  $\|f(A_j)\| = 1, j = 1, 2, \dots, r$  and  $\sup\{\|f(t)\| : t \notin \cup_{j=1}^r A_j\} < 1$  and each  $f(A_j)$  is a multismooth point of finite order, say  $m_j$ , in  $X$ . In this case the order of smoothness of  $f$  is  $k = m_1 + m_2 + \dots + m_r$ .*

*Proof.* The above two lemmas prove the ‘‘only if’’ part. For the converse, we choose, for any  $j = 1, 2, \dots, r$ , linearly independent set  $\{x_{j,1}^*, x_{j,2}^*, \dots, x_{j,m_j}^*\} \subseteq S_{f(A_j)}$ . So,  $\|x_{j,i}^*\| = 1 = \langle x_{j,i}^*, f(A_j) \rangle$ . For  $1 \leq j \leq r$  and  $1 \leq i \leq m_j$  define  $F_{j,i} \in (L^\infty(\mu, X))^*$  by  $F_{j,i}(g) = \langle x_{j,i}^*, g(A_j) \rangle$ . These are  $m_1 + m_2 + \dots + m_r = k$  linear functionals attaining their norm at  $f$ . Suppose that  $\sum_{j=1}^r \sum_{i=1}^{m_j} a_{j,i} F_{j,i} = 0$  for some scalars  $a_{j,i}$ . Then  $\sum_{j=1}^r \sum_{i=1}^{m_j} a_{j,i} \langle x_{j,i}^*, g(A_j) \rangle = 0, \forall g \in L^\infty(\mu, X)$ . Choosing  $g_j(t) = x$ ; if  $t \in A_j$  and  $g_j(t) = 0$ ; if  $t \notin A_j$ , where  $x \in X$ , we get  $(\sum_{i=1}^{m_j} a_{j,i} x_{j,i}^*)(x) = 0$  for all  $x \in X$  and  $j = 1, 2, \dots, r$ . Consequently,  $\sum_{i=1}^{m_j} a_{j,i} x_{j,i}^* = 0$  for all  $j = 1, 2, \dots, r$ . Since  $\{x_{j,1}^*, x_{j,2}^*, \dots, x_{j,m_j}^*\} \subseteq S_{f(A_j)}$  is linearly independent, then  $a_{j,i} = 0$  for all  $j = 1, 2, \dots, r$  and  $i = 1, 2, \dots, m_j$ . Therefore, the  $F_{j,i}$ 's are linearly independent.

For an atom  $A$  and  $g \in L^\infty(\mu, X)$  let  $g_A = g(A)\chi_A \in L^\infty(\mu, X)$ . We will prove that if  $F \in (L^\infty(\mu, X))^*$  with  $\|F\| = 1 = F(f)$  then  $F(g) = \sum_{j=1}^r F(g_{A_j})$  for all  $g \in L^\infty(\mu, X)$ . Without loss of generality, say  $\|g\| = 1$ . We claim that there is  $\epsilon > 0$  such that  $\|f \pm \epsilon g\| \leq 1$ . Indeed, if such  $\epsilon$  does not exist, we would have a sequence  $(t_k)$  outside  $\cup_{j=1}^r A_j$  such that  $\|f(t_k) \pm (1/k)g(t_k)\| > 1$ . But then

$$1 < \left\| f(t_k) \pm \frac{1}{k}g(t_k) \right\| \leq \|f(t_k)\| + \frac{1}{k}\|g(t_k)\| \leq \|f(t_k)\| + \frac{1}{k} \tag{5}$$

Hence,  $\|f(t_k)\| > 1 - 1/k$  for all  $k$ , a contradiction to our assumption. Thus,  $|F(f \pm \epsilon g)| \leq 1$  and therefore  $F(g) = 0$  for all  $g \in L^\infty(\mu, X)$  such that  $g(A_j) = 0, \forall 1 \leq j \leq r$ . This proves that  $F(g) = \sum_{j=1}^r F(g_{A_j})$  for all  $g \in L^\infty(\mu, X)$ . Let  $Z = \oplus_{j=1}^r X$  ( $l^\infty$ -sum) and let  $E = \{h \in Z^* : \|h\| = 1 = h(f(A_1), f(A_2), \dots, f(A_r))\}$ . Then by Krein-Millman Theorem we have  $E = \overline{\text{co ext } E}$  with  $\text{ext } E \subseteq \text{ext } B(Z^*)$ . So, any  $h \in \text{ext } E$  has the form  $h = (0, 0, \dots, 0, x^*, 0, \dots, 0)$  for some  $x^* \in \text{ext } B(X^*)$ . Note that if, for example,  $h_1, h_2 \in \text{ext } E$  has the form  $h_1 = (x^*, 0, 0, \dots, 0), h_2 = (y^*, 0, 0, \dots, 0)$ , then  $\langle x^*, f(A_1) \rangle = \langle y^*, f(A_1) \rangle = 1$ . Since  $f(A_1) \in m_1$ -smooth  $B(X)$ , then any  $m_1 + 1$ h's of the above form must be linearly dependent. Consequently, any  $m_1 + m_2 + \dots + m_r + 1 = k + 1$  elements  $h \in E$  must be linearly dependent.

Now, let  $F_1, F_2, \dots, F_{k+1} \in L^\infty(\mu, X)^*$  such that  $\|F_i\| = 1 = F_i(f)$ . We will prove that the  $F_i$ 's are linearly dependent. By the argument above we see that  $F_i(g) = \sum_{j=1}^r F_i(g_{A_j})$  for all  $g \in L^\infty(\mu, X)$ . For  $1 \leq i \leq k + 1$  define  $h_i \in Z^* = \oplus_{j=1}^r X^*$  ( $l^1$ -sum) by  $h_i(x_1, x_2, \dots, x_r) = F_i(\sum_{j=1}^r h_{x_j})$ , where  $h_{x_j} = x_j \chi_{A_j}$ . Then  $h_i \in Z^*$  with  $\|h_i\| = 1 = h_i(f(A_1), f(A_2), \dots, f(A_r)) = \sum_{j=1}^r F_i(f_{A_j}) = F_i(f)$  so that  $h_i \in E$  for all  $i = 1, 2, \dots, k + 1$  and therefore  $\{h_1, h_2, \dots, h_{k+1}\}$  is linearly dependent. Hence, there are scalars  $a_1, a_2, \dots, a_{k+1}$ , not all zeros, with  $\sum_{i=1}^{k+1} a_i h_i = 0$ . The proof will be complete if we show that  $\sum_{i=1}^{k+1} a_i F_i = 0$ . Indeed, if  $g \in L^\infty(\mu, X)$  then  $\sum_{i=1}^{k+1} a_i h_i(g(A_1), g(A_2), \dots, g(A_r)) = \sum_{i=1}^{k+1} a_i F_i(\sum_{j=1}^r g_{A_j}) = \sum_{i=1}^{k+1} a_i F_i(g) = 0$ . This shows that  $f \in k$ -smooth  $B(L^\infty(\mu, X))$  and completes the proof of the "if" part.  $\square$

### 4. Multismoothness in Direct Sums of Banach Spaces

Lin and Rao characterized in [9] multisoothness in  $l^\infty$ -direct sums and proved the following theorem.

**Theorem 6** (see [9]). *Let  $\{X_i : i \in I\}$  be an infinite family of nonzero Banach spaces. Let  $X = \oplus_\infty X_i$  and let  $x = (x_i)$  be a unit vector in  $X$ . Let  $I_1 = \{i \in I : \|x_i\| < 1\}$ , let  $I_2 = \{i \in I : \|x_i\| = 1 \text{ and } x_i \text{ is a multismooth point of finite order}\}$ , and let  $I_3 = I \setminus (I_1 \cup I_2)$ . Then,  $x$  is a multismooth point of finite order if and only if  $I_3 = \emptyset, I_2$  is finite, and  $\sup_{i \in I_1} \|x_i\| < 1$ . In this case the order of smoothness of  $x$  is  $k = \sum_{i \in I_2} m_i$ , where  $m_i$  is the order of smoothness of  $x_i$  in  $X_i, i \in I_2$ .*

In this section we deal with  $l^1$ -direct sums. Indeed we prove the following result.

**Theorem 7.** *Let  $\{X_i : i \in I\}$  be any family of nonzero Banach spaces. Let  $X = \oplus_1 X_i$  and let  $x = (x_i)$  be a unit vector in  $X$ . Let  $I_1 = \{i \in I : x_i = 0 \text{ and } \dim X_i < \infty\}$ ,  $I_2 = \{i \in I : x_i = 0 \text{ and } \dim X_i = \infty\}$ ,  $I_3 = \{i \in I : x_i \neq 0 \text{ and } x_i/\|x_i\| \in \text{smooth } B(X_i)\}$ ,  $I_4 = \{i \in I : x_i \neq 0 \text{ and } x_i/\|x_i\| \in r\text{-smooth } B(X_i) \text{ for some natural number } r \geq 2\}$ , and  $I_5 = I \setminus \cup_{i=1}^4 I_i$ . Then  $x$  is a multismooth point of finite order if and only if  $I_2 = I_5 = \emptyset$  and  $I_1 \cup I_4$  is finite. In this case the order of smoothness of  $x$  is  $k = \sum_{i \in I_1} \dim X_i + \sum_{i \in I_4} m_i - |I_4| + 1$ , where  $m_i$  is the order of smoothness of  $x_i$  in  $X_i, i \in I_4$  and  $|I_4|$  is the number of elements in  $I_4$ .*

For the sake of completeness, let us first state and prove the characterization of smoothness.

**Theorem 8.** *Let  $\{X_i : i \in I\}$  be any family of nonzero Banach spaces. Let  $X = \oplus_1 X_i$  and let  $x = (x_i)$  be a unit vector in  $X$ . Then  $x$  is a smooth point if and only if for any  $i \in I, x_i \neq 0$  and  $x_i/\|x_i\| \in \text{smooth } B(X_i)$ .*

*Proof.* Suppose  $j \in I$  and  $x_j = 0$ , or  $x_j \neq 0$  but  $x_j/\|x_j\|$  is not a smooth point in  $X_j$ . Then there are distinct unit functionals  $y_0^*, z_0^* \in X_j^*$  with  $y_0^*(x_j) = z_0^*(x_j) = \|x_j\|$ . For  $i \neq j$ , choose any unit functional  $x_i^* \in X_i^*$  with  $x_i^*(x_i) = \|x_i\|$ . Let  $y^* = (y_i^*)$  and  $z^* = (z_i^*)$  where  $y_i^* = z_i^* = x_i^*, i \neq j, y_j^* = y_0^*$  and  $z_j^* = z_0^*$ . Clearly,  $y^*$  and  $z^*$  are distinct elements in  $S_x$ . So  $x$  is not a smooth point.

Conversely, suppose  $x_i^* \in X_i^*$  is the unique element in  $S_{x_i/\|x_i\|}, i \in I$ . Let  $x^* = (x_i^*)$ . Then  $x^* \in X^*$  with  $\|x^*\| = \sup_{i \in I} \|x_i^*\| = 1$  and  $x^*(x) = \sum_{i \in I} x_i^*(x_i) = \sum_{i \in I} \|x_i\| = \|x\| = 1$ . Now if  $y^* = (y_i^*) \in S_x$  then  $\sum_{i \in I} y_i^*(x_i) = 1 = \sum_{i \in I} \|x_i\|$ . Since  $y_i^*(x_i) \leq \|x_i\|$  then  $y_i^*(x_i/\|x_i\|) = 1$  for all  $i \in I$  and hence  $y^* = x^*$ .  $\square$

**Lemma 9.** *Let  $Y$  and  $Z$  be nonzero Banach spaces and  $X = Y \oplus_1 Z$ . Let  $x = (0, z) \in X$  where  $z \in k$ -smooth  $B(Z)$ . If  $\dim Y = n < \infty$  then  $x \in (k + n)$ -smooth  $B(X)$ .*

*Proof.* Let  $z_1^*, z_2^*, \dots, z_k^* \in S_z$  be linearly independent and choose  $n$  linearly independent unit functionals  $y_1^*, y_2^*, \dots, y_n^* \in Y^*$ . Let

$$x_i^* = \begin{cases} (0, z_i^*), & \text{if } 1 \leq i \leq k, \\ (y_{i-k}^*, z_1^*), & \text{if } k + 1 \leq i \leq k + n. \end{cases} \tag{6}$$

Clearly  $x_i^* \in S_x; 1 \leq i \leq k + n$ . They are linearly independent. Indeed if  $\sum_{i=1}^{k+n} a_i x_i^* = 0$  then  $\sum_{i=1}^n a_{k+i} y_i^* = 0$  and  $\sum_{i=1}^k a_i z_i^* + (\sum_{i=1}^n a_{k+i}) z_1^* = 0$ . Since  $\{y_1^*, y_2^*, \dots, y_n^*\}$  is linearly independent then  $a_{k+i} = 0; 1 \leq i \leq n$  and thus  $\sum_{i=1}^k a_i z_i^* = 0$ . But  $\{z_1^*, z_2^*, \dots, z_k^*\}$  is also linearly independent. So  $a_i = 0; 1 \leq i \leq k$ . Hence  $\{x_1^*, x_2^*, \dots, x_{k+n}^*\}$  is linearly independent.

Now suppose  $g_i^* = (h_i^*, k_i^*) \in X^* = Y^* \oplus_\infty Z^*; 1 \leq i \leq k + n + 1$ , where  $h_i^* \in Y^*$  and  $k_i^* \in Z^*$  with  $\|g_i^*\| = 1 = g_i^*(x) = k_i^*(z)$ . Thus  $g_i^* = (h_i^*, k_i^*) \in$

$Y^* \oplus_{\infty} \text{sp } S_z$ . Since  $\dim(Y^* \oplus_{\infty} \text{sp } S_z) = k + n$  then we see that  $g_1^*, g_2^*, \dots, g_{k+n+1}^*$  must be linearly dependent. Therefore  $x \in (k + n)$ -smooth  $B(X)$ .  $\square$

**Lemma 10.** *Let  $Y$  and  $Z$  be nonzero Banach spaces and  $X = Y \oplus_1 Z$ . Let  $x = (y, z) \in X$  where  $y, z \neq 0$ ,  $y/\|y\| \in m$ -smooth  $B(Y)$  and  $z/\|z\| \in k$ -smooth  $B(Z)$ . Then  $x \in (m + k - 1)$ -smooth  $B(X)$ .*

*Proof.* Say  $m \geq k$ . Let  $\{y_1^*, y_2^*, \dots, y_m^*\} \subseteq S_{y/\|y\|}$  and let  $\{z_1^*, z_2^*, \dots, z_k^*\} \subseteq S_{z/\|z\|}$  be linearly independent sets. Let

$$x_i^* = \begin{cases} (y_i^*, z_1^*), & \text{if } 1 \leq i \leq m, \\ (y_{i-m+1}^*, z_{i-m+1}^*), & \text{if } m + 1 \leq i \leq m + k - 1. \end{cases} \quad (7)$$

Clearly  $x_i^* \in S_x$ ;  $1 \leq i \leq m + k - 1$ . They are linearly independent. Indeed if  $\sum_{i=1}^{m+k-1} a_i x_i^* = 0$  then  $\sum_{i=1}^m a_i y_i^* + \sum_{i=m+1}^{m+k-1} a_i y_{i-m+1}^* = 0$  and  $\sum_{i=m+1}^{m+k-1} a_i z_{i-m+1}^* + (\sum_{i=1}^m a_i) z_1^* = 0$ . Since  $\{y_1^*, y_2^*, \dots, y_m^*\}$  and  $\{z_1^*, z_2^*, \dots, z_k^*\}$  are linearly independent sets then  $a_1 = 0, a_i = 0; k + 1 \leq i \leq m, a_i + a_{m+i-1} = 0; 2 \leq i \leq n$  and  $a_i = 0; m + 1 \leq i \leq m + k - 1$ . This makes  $a_i = 0$  for all  $1 \leq i \leq m + k - 1$ . Hence  $\{x_1^*, x_2^*, \dots, x_{m+k-1}^*\}$  is linearly independent.

Now to prove that  $x \in (m + k - 1)$ -smooth  $B(X)$  it is clearly enough to show that any  $x^* \in S_x$  must be in  $\text{sp}\{x_1^*, x_2^*, \dots, x_{m+k-1}^*\}$ . So suppose  $x^* = (h^*, k^*) \in S_x$ , where  $h^* \in Y^*$  and  $k^* \in Z^*$ . Then

$$\begin{aligned} 1 = x^*(x) &= h^*(y) + k^*(z) \leq \|h^*\| \|y\| + \|k^*\| \|z\| \\ &\leq \|y\| + \|z\| = \|x\| = 1. \end{aligned} \quad (8)$$

So  $h^* \in S_{y/\|y\|}$  and  $k^* \in S_{z/\|z\|}$  and hence there are scalars  $\alpha_i; 1 \leq i \leq m$  and  $\beta_j; 1 \leq j \leq k$  such that  $h^* = \sum_{i=1}^m \alpha_i y_i^*$  and  $k^* = \sum_{j=1}^k \beta_j z_j^*$ . Thus  $1 = h^*(y/\|y\|) = \sum_{i=1}^m \alpha_i y_i^*(y/\|y\|) = \sum_{i=1}^m \alpha_i$ . Similarly  $\sum_{j=1}^k \beta_j = 1$ .

Let  $G = (y^{**}, z^{**}) \in X^{**} = Y^{**} \oplus_1 Z^{**}$  with  $G(x_i^*) = 0$  for all  $1 \leq i \leq m + k - 1$ . Then  $y^{**}(y_i^*) + z^{**}(z_1^*) = 0; 1 \leq i \leq m$  and  $y^{**}(y_{i-m+1}^*) + z^{**}(z_{i-m+1}^*) = 0; m + 1 \leq i \leq m + k - 1$ . Thus  $G(x^*) = y^{**}(h^*) + z^{**}(k^*) = y^{**}(\sum_{i=1}^m \alpha_i y_i^*) + z^{**}(\sum_{j=1}^k \beta_j z_j^*) = -\sum_{i=1}^m \alpha_i z^{**}(z_1^*) + \beta_1 z^{**}(z_1^*) - \sum_{j=2}^k \beta_j z^{**}(z_1^*) = 0$  since  $\sum_{i=1}^m \alpha_i = 1 = \sum_{j=1}^k \beta_j$ . This proves that  $x^* \in \text{sp}\{x_1^*, x_2^*, \dots, x_{m+k-1}^*\}$ . Therefore  $x \in (m + k - 1)$ -smooth  $B(X)$ .  $\square$

We now can easily prove Theorem 7.

*Proof of Theorem 7.* First note that if  $I_2 \neq \emptyset, I_5 \neq \emptyset, I_1$  is infinite, or  $I_4$  is infinite, then one can easily construct an infinite linearly independent subset of  $S_x$ . On the other hand, if  $I_2 = I_5 = \emptyset$  and  $I_1 \cup I_4$  is finite, then writing  $X = \oplus_1 X_i$  as  $X = W_1 \oplus_1 W_2 \oplus_1 W_3$ , where  $W_1 = \oplus_1 \{X_i : i \in I_1\}, W_2 = \oplus_1 \{X_i : i \in I_3\}, W_3 = \oplus_1 \{X_i : i \in I_4\}$  and applying Theorem 8 and Lemmas 9 and 10 we get the result.  $\square$

**Conflict of Interests**

The author declares that there is no conflict of interests regarding the publication of this paper.

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