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# Review Article

# Design and Synthesis of Functional Silsesquioxane-Based Hybrids by Hydrolytic Condensation of Bulky Triethoxysilanes

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This paper presents a short overview of recent advances in the design and synthesis of organic-inorganic hybrids using silsesquioxane-based nanoparticles having nanometer size, relatively narrow size distribution, high functionalities, and various characteristic features, mainly focusing on our recent researches related to the subject. A highlight of this paper is the water-soluble silsesquioxane-based nanoparticles, including hydroxyl-functionalized and cationic silsesquioxanes, which were synthesized via the one-step condensation of the bulky triethoxysilane precursors. The design and synthesis of R-SiO<sub>1.5</sub>/SiO<sub>2</sub> and R-SiO<sub>1.5</sub>/TiO<sub>2</sub> hybrids by hydrolytic cocondensation of a triethoxysilane precursor and metal alkoxides are briefly introduced. This paper also deals with recent results in stimuli-responsive hybrids based on the water-soluble silsesquioxane nanoparticles and fluorinated and amphiphilic silsesquioxane hybrids.

#### 1. Introduction

The silsesquioxane family is now recognized to have an enormous potential as a building block for various advanced materials, and their applications can be found in the areas of catalysis, coordination chemistry, and material science, such as organic-inorganic nanocomposites [1–9]. Silsesquioxane is a family of compounds characterized by a ratio of 1.5 between the silicon and oxygen atoms [4], and the structures can be expressed in the general formula:  $(R-SiO_{1.5})_n$  (n= even number) [1]. In particular, much interest has been paid to cubic  $T_8$  silsesquioxane  $(R-SiO_{1.5})_8$ , consisting of a rigid, crystalline silica-like core that is perfectly defined spatially (0.5-0.7 nm) and that can be linked covalently to eight R groups [10, 11], because of their possible applications in optics, electronics, engineering, and biosciences.

Although some  $T_8$  compounds are commercially available, the synthesis of polyhedral oligomeric silsesquioxane (also known as  $T_8$  silsesquioxane or POSS) is plagued by relatively low yields of multistage syntheses and time-consuming procedures. One alternative to exploit them for practical applications is to use a more easily accessible

mixture of silsesquioxanes. The hydrolysis and polycondensation of substituted alkoxysilanes, R–Si(OR')<sub>3</sub>, containing a nonhydrolyzable Si–C bond or chlorosilanes are known to be a representative method to afford a variety of silsesquioxanes with various substituent groups and cage structures [2–4]. In the synthesis of silsesquioxanes via hydrolytic condensation of R–Si(OR')<sub>3</sub>, it is known that the reactions and the resulting structures are influenced by many factors, such as the nature of the R' and R groups, solvent, concentration, addition rate, quality of water, temperature, pH, and reaction time [3, 4]. Among them, water related with the hydrolysis reaction to give trisilanol and the solvent related with the solubilities of a precursor and resulting hybrid may play a relevant role in influencing the synthesis.

A variety of silsesquioxanes having various functional groups, different numbers of substituent groups, and cage structures has been developed. Completely condensed silsesquioxanes,  $(R-SiO_{1.5})_n$  with n=4,6,8,10, and 12, have been synthesized and characterized [4]. Completely condensed structures,  $(R-SiO_{1.5})_n$  with n>12, are not so common, but some examples have been reported [3, 12, 13]. In addition to the fully condensed structures,  $(R-SiO_{1.5})_n$ ,

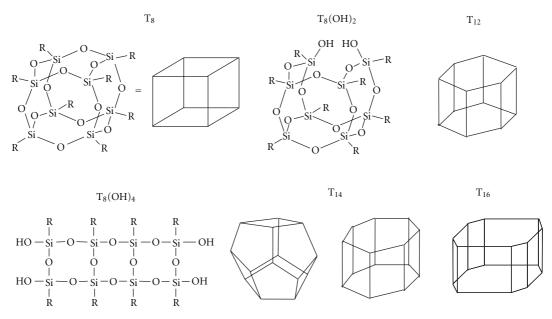


FIGURE 1: Schematic representation of various structures, ladder (T<sub>8</sub>(OH)<sub>4</sub>), partially cage (T<sub>8</sub>(OH)<sub>2</sub>), and cage (T<sub>8</sub>-T<sub>16</sub>) structures.

which is denoted as  $T_n$  (n = even number), incompletely condensed structures containing Si–OH groups are known, which have generic formula;  $[R-SiO_{1.5-x}(OH)_{2x}]_n$  or  $T_n(OH)_m$  [1, 4, 14]. The structures include perfect polyhedra, incompletely condensed polyhedra (species with 1–3 OH per molecule), ladder-type structure (species with 4 OH per molecule), open structure (species with more than 5 OH per molecule), linear structure, and all their possible combinations. Examples of possible structures are shown in Figure 1.

Preferable formation of cubic  $T_8$  species over the  $T_{10}$ , T<sub>12</sub>, and other fully condensed species is known to be due to the stability of the Si<sub>4</sub>O<sub>4</sub> ring structures. Even if a variety of cubic silsesquioxanes having various functional groups has been developed by the hydrolysis/condensation process, it does have certain inherent disadvantages, such as long reaction times, up to three months in some cases, and low yields less than 50% [5]. The low yield is one of the most important problems to afford cubic T<sub>8</sub> species by the hydrolysis/condensation. This is mainly attributed to the formation of the mixtures of the fully condensed products with byproducts of ladder and other nonpolyhedral silsesquioxanes and formation of oligomeric products having higher contents of silicon atoms, such as  $T_{10}$ ,  $T_{12}$ . The difficulty in the separation of the desired cubic T<sub>8</sub> species from the byproducts is another reason for low yields.

Organic-inorganic hybrid nanoparticles have attracted a great deal of attention due to their potential applications in a wide range of fields. Further advances of such organic-inorganic nanocomposite materials require finetuning of the sizes, structures, compositions, topologies, and spatial assembly of individual constitutes and their interfaces. Several efforts have been directed at the preparation of novel colloids and nanoparticles based on the hydrolytic condensation of monosilanes, and the resulting products are often called polysilsesquioxanes colloids

or polyorganosiloxane nanoparticles. For example, Bronstein et al. reported the synthesis of a new family of polysilsesquioxanes colloids based by hydrolytic condensation of N-(6-aminohexyl)aminopropyltrimethoxysilane [15]. They claimed that the well-defined colloids (30– 50 nm) were obtained at neutral initial pH. The synthesis of nanoparticles of poly(phenyl/methylsilsesquioxane) was conducted by polymerization of the cohydrolyzate from a mixture of trichlorophenylsilane and trichloromethylsilane in aqueous solution [16]. By changing the initial conditions, the average size of the resultant particles could be controlled from 30 to 250 nm in diameter. Other examples involve the syntheses of poly(phenylsilsesquioxane)s with particle sizes from 30 to 110 nm by polycondensation of phenylsilanetriol formed in aqueous solution [17] and polyorganosiloxane nanoparticles by using alkylalkoxysilanes, in which methyltrimethoxysilane was used as a network-forming monomer and diethoxydimethylsilane was employed as a chain-forming monomer in the presence of the surfactant [18].

This paper highlights recent developments in the design and synthesis of organic-inorganic hybrids using silsesquioxane-based nanoparticles having nanometer size, relatively narrow size distribution, high functionalities, and various characteristic features (Figure 2). Because the synthesis of T<sub>8</sub> silsesquioxane requires complicated and time-consuming procedures, much attention has been paid in developing facile synthetic methods for silsesquioxanebased nano-objects having uniform size and characteristic properties. This paper initially deals with the water-soluble silsesquioxane-based nanoparticles, including hydroxyl-functionalized silsesquioxanes (Figure 2(a)) and cationic silsesquioxanes (Figure 2(c)), which were synthesized via the one-step condensation of the bulky triethoxysilane precursors. An attractive feature of this system is that it makes it feasible to create a variety of hybrid materials

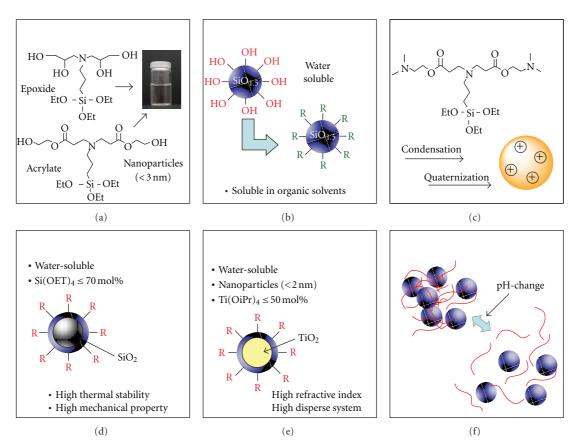


FIGURE 2: Summary of water-soluble silsesquioxane-based nanoparticles. (a) Water-soluble hybrids synthesized by hydrolytic condensation of hydroxyl-functionalized triethoxysilanes; (b) end-group modifications of the hydroxyl groups of the water-soluble hybrids; (c) cationic hybrids obtained by hydrolytic condensation, followed by quaternization; (d) R–SiO<sub>1.5</sub>/SiO<sub>2</sub> hybrids; (e) R–SiO<sub>1.5</sub>/TiO<sub>2</sub> hybrids prepared by hydrolytic cocondensation of the hydroxyl-functionalized triethoxysilane and metal alkoxides; (f) smart hybrids based on the complexation of the silsesquioxane nanoparticles and weak polyelectrolyte.

having different functional organic components through the design of appropriate triethoxysilane precursors, because various epoxy compounds and vinyl monomers can be employed as starting materials. A facile synthetic method with short synthetic steps of high yield is another advantage of this approach, an advantage which is a crucial in practical applications. Other examples involve the synthesis of R–SiO<sub>1.5</sub>/SiO<sub>2</sub> hybrids (Figure 2(d)) and R–SiO<sub>1.5</sub>/TiO<sub>2</sub> hybrids (Figure 2(e)) by hydrolytic cocondensation of the hydroxylfunctionalized triethoxysilane precursor and metal alkoxides. The design and synthesis of stimuli-responsive hybrids based on the silsesquioxane nanoparticles (Figure 2(f)) are briefly introduced. Examples of fluorinated and amphiphilic silsesquioxane hybrids are also given (Figure 3).

# 2. Hydroxyl-Functionalized Silsesquioxane-Based Hybrids

Research on water-soluble metal/metal oxide nanoparticles with narrow size distributions and characteristic properties has been extensively conducted, due to their potential applications, particularly in biorelated fields. Effective use of water-soluble nanoparticles in a given application requires

fine-tuning of two factors; the physical properties of the particles itself (size, topology, composition, and nature of the particle itself) and the chemical properties of their interfaces to avoid unfavorable aggregation of individual nanoparticle and to promote specific interactions with target molecules. During recent years, there has been increasing attention paid to water-soluble silsesquioxane-based materials with tunable properties and well-defined multidimensional architectures [19–23].

Water-soluble silsesquioxane-based nanoparticles (diameter < 3.0 nm) were synthesized by hydrolytic condensation of hydroxyl-functionalized triethoxysilanes under mild conditions (Figure 2(a)). The first example is the synthesis of water-soluble nanoparticles by hydrolytic condensation of a functionalized precursor, *N*,*N*-di(2,3-dihydroxypropyl)aminopropyltriethoxysilane, which was prepared by addition reaction of (3-aminopropyl)triethoxysilane and glycidol (Figure 4(a)) [24, 25]. After the solvents (methanol and ethanol) were evaporated in vacuum, the product was obtained as a glassy solid at room temperature. In addition to tertiary amino groups, the resulting nanoparticle should have hydroxyl groups on the outermost surface, which lead to water-soluble property. The resulting silsesquioxane-based nanoparticle is soluble directly in water, methanol,

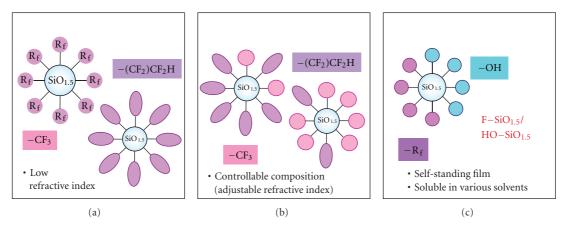


FIGURE 3: Summary of fluorinated silsesquioxane-based nanoparticles. (a) Fluorinated hybrids prepared by hydrolytic condensation of triethoxysilane precursors derived from fluoroalkyl acrylates; (b) cocondensation products obtained by hydrolytic cocondensation of TFEA-based and OFPA-based triethoxysilane precursors; (c) amphiphilic hybrids based on hydrolytic cocondensation of the hydroxyl-functionalized triethoxysilane precursor and fluorinated triethoxysilane precursors.

$$\begin{array}{c} \text{OEt} \\ \text{OEt} \\ \text{Si - OEt} \\ \text{OEt} \\ \end{array} \\ \begin{array}{c} \text{OH} \\ \text{HO} \\ \text{N} \\ \text{OH} \\ \end{array} \\ \begin{array}{c} \text{OH} \\ \end{array} \\ \begin{array}{c} \text{OH} \\ \text{OH} \\ \end{array} \\ \begin{array}{c} \text{OH} \\ \end{array} \\ \begin{array}$$

(a) Condensation of a triethoxysilane derived from glycidol

(b) Condensation of a triethoxysilane derived from 2-hydroxyethyl acrylate

FIGURE 4: Synthesis of water-soluble silsesquioxane-based nanoparticles by hydrolytic condensation of hydroxyl-functionalized triethoxysilanes.

DMF, and DMSO, while insoluble in most organic solvents, such as dichloromethane, acetone, and dioxane, and so forth. The resulting particles have relatively narrow size distribution with average particle diameter less than 3.0 nm, as confirmed by transmission electron microscopy (TEM)

and scanning force microscopy (SFM). Due to the tiny size and high functionality, the nanoparticles can be uniformly dispersed in water and behave as single dissolved molecules to form a transparent colloidal solution. MALDITOF MS analysis indicated that the product consists of

many species having 12-18 Si atoms with different numbers of intramolecular cyclizations, and the Si-O-C bonds are formed through the reaction of SiOH (or SiOEt) groups with the hydroxyl functionalities of an organic moiety bonded to a Si atom. The species having high number of intermolecular cyclization were predominantly detected, suggesting that product mainly consists of complete and incomplete cagelike structures. Note that most of functional groups are considered to exist on the surface of the silsesquioxane-based materials, there is a possibility for some functional groups to exist within the materials. Nevertheless, this facile synthetic method with short synthetic steps with high yield endowed the nanoparticles with characteristic properties such as high functionalities, good solubility in aqueous media, nanometer size, and narrow size distribution. The silsesquioxane-based nanoparticles with a high density of hydroxyl groups on the surface were used as functional cores for the syntheses of organic/inorganic hybrid stars via "grafting from" polymerization methods [26, 27], amphiphilic silsesquioxanes having various molar ratios of hydrophilic and hydrophobic terminal groups [28], smart nanohybrid based on the complexation with amphiphilic block copolymer micelles [29], and polyurethane-silsesquioxane hybrids [30].

Although the mechanism of the formation is not yet fully understood, the feasibility to create the nanoparticles having narrow size distribution by one step condensation of the organotriethoxysilane is of interest, as it offers the possibility of large-scale production without tedious and time-consuming process. Similar behavior was also reported by another group, in which hydrolytic condensation of triethoxysilanes bearing bulky organic substituents with hydroxyl groups produced cage-type silsesquioxane having a sharp distribution with 8, 9, and 10 Si atoms [31, 32]. They demonstrated that the formation of the closed structures seems to be originated by the presence of ( $\beta$ -hydroxyl) tertiary amine groups in the starting organotrialkoxysilanes, in addition to the bulkiness of the organic group attached to silicon atoms [33, 34].

A bulky functionalized triethoxysilane precursor prepared by addition reaction of (3-aminopropyl)triethoxysilane and 2-hydroxyethyl acrylate (HEA) could be also employed for the preparation of water-soluble nanoparticles (Figure 4(b)) [35]. This can be regarded as the synthesis of a new family of the silsesquioxane-based nanoparticles having uniform size distribution and characteristic watersoluble property by hydrolytic condensation of the hydroxylfunctionalized triethoxysilane precursor. As shown in Figure 4(b), the first step is the addition reaction of aminopropyltriethoxysilane and HEA, followed by acidic condensation of the addition product to afford silsesquioxanebased nanoparticles. The condensation of the triethoxysilane precursor proceeded as a homogeneous system in methanol in the presence of HF (3.2%) at ambient temperature for 2 h to afford the water-soluble hybrids quantitatively. In addition to tertiary amino groups, the resulting silsesquioxane-based nanoparticles should have hydroxyl groups on the outermost surface, which leads to water soluble property. The size distribution was relatively small, and the average particle size was less than 2 nm, as confirmed by X-ray diffraction

(XRD) and SFM measurements (Figure 5). The narrow polydispersity  $(M_w/M_n = 1.08)$  and a reasonable molecular weight ( $M_n = 3300$ ), corresponding to species having 6–12 silicon atoms, were also confirmed by size-exclusion chromatography (SEC) measurements. For SEC measurement, the hydroxyl groups of the water-soluble silsesquioxanebased nanoparticles were converted into isobutyl ester form by esterification reaction (Figure 2(b)). The investigation of the hydrolytic condensation under various conditions suggested that the presence of the hydroxyl groups in the alkyl chain facilitates the internal cyclization, and the homogeneous reaction systems in alcohol may be prerequisite for the nanoparticle formation. In this system, the triethoxysilane precursor, R-Si(OCH<sub>2</sub>CH<sub>3</sub>)<sub>3</sub>, R = -CH2CH2CH2CH2COOCH2CH2OH)2, has a bulky organic group attached to a silicon atom, but the position of the hydroxyl group is far from the tertiary amino group. The bulkiness of the organic group and the distance between the hydroxyl group and the tertiary amino group may have some influence on the internal cyclization, resulting in the formation of closed structures and avoidance of gelation.

In this synthetic procedure, the reaction system is homogeneous during the hydrolysis/condensation reaction, since both the precursor and the resulting silsesquioxane hybrid are soluble in methanol in the presence of a small amount of HF aqueous solution used as acidic catalyst. This is a quite different feature from conventional systems, in which the reaction is heterogeneous, because precursors containing a H or an alkyl chain bonded to a Si atom are only soluble in organic solvents. In this system, the usage of the functional triethoxysilane precursor having two hydroxyl groups and ester groups may support the formation of the functionalized silsesquioxane hybrid that has nanometer size, uniform size distribution, and good solubility in many solvents. These results suggest the feasibility of creating the silsesquioxane hybrid having a high density of chemically bonded peripheral hydroxyl groups on the outermost surface, via the one-step condensation of the bulky triethoxysilane precursor, which can be achieved through the careful choice of the organic structure and condensation conditions.

# 3. Water-Soluble R-SiO<sub>1.5</sub>/SiO<sub>2</sub> Hybrid Nanoparticles by Cocondensation

Cocondensation of a trialkoxysilane and a tetraalkoxysilane compound provides organic-inorganic hybrid materials, in which thermal and mechanical properties can be manipulated by the tetraalkoxysilane content. The increase in a tetraalkoxysilane compound in the feed may lead to the formation of glassy hybrids with higher inorganic component. Further, the cocondensation can be regarded as a convenient and promising process to produce novel functional hybrids, which have inorganic silica-like properties and exhibit characteristic solubility in appropriate solvents, if the presence of a particular trialkoxysilane prevents the gelation effectively during the hydrolytic cocondensation of the mixtures.

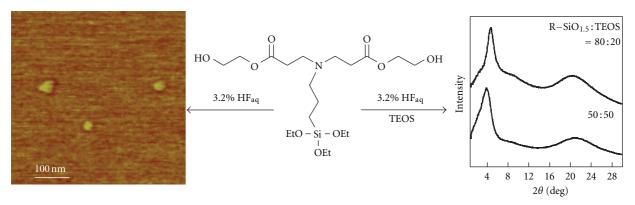


FIGURE 5: SFM height image and XRD spectra of water-soluble silsesquioxane-based nanoparticles synthesized by hydrolytic (co-)condensation of the hydroxyl-functionalized triethoxysilane precursor derived from 2-hydroxyethyl acrylate (HEA). Reprinted with permission from [35]. Copyright 2007 American Chemical Society.

The cocondensation of tetraethoxysilane (TEOS) with the hydroxyl-functionalized triethoxysilane precursor provided water-soluble nanoparticles having similar sizes (ca, 2 nm) with higher thermal property, depending on the composition in the feed (Figure 6(a)) [35]. Cocondensation was carried out under different feed ratios in methanol at room temperature, and the water-soluble products were obtained in the cases of TEOS molar ratio up to 70% (Figure 2(d)). Thermal stability and the char yield were found to increase with increasing the TEOS content in the feed, as determined by thermogravimetric analysis. The isolated nanoparticles distributed homogeneously without any aggregation were visualized by SFM, when the product was prepared at TEOS/the triethoxysilane = 50/50 mol%. The formation of the nanoparticles by hydrolytic cocondensation was also confirmed by the XRD studies (Figure 5). In the cocondensation process, the content of inorganic component, solubility, thermal stability, and size of the hybrid materials could be manipulated, depending on the triethoxysilane precursor/tetraethoxysilane ratio in the feed. Another attractive feature of this system is the feasibility to create a variety of hybrid materials, because various metal alkoxides can be employed as starting materials.

# 4. Water-Soluble R-SiO<sub>1.5</sub>/TiO<sub>2</sub> Hybrid Nanoparticles by Cocondensation

Titania-silica mixed oxides have attracted significant research interest, because of their wide range of applications, such as glasses with a low thermal coefficient [36] or high strength [37], super-hydrophilic surfaces [38, 39], implant coatings for direct tissue attachment [40, 41], high-*k* dielectric materials [42], optical sensors [43–45], antireflection coating for solar cells [46], optical planar waveguide [47–49], and heterogeneous catalysts [50–54]. Various kinds of TiO<sub>2</sub>–SiO<sub>2</sub> composites have been developed, which involve spherical particles [55, 56], thin films [39, 44, 46], fibers [57, 58], and porous materials [50, 54, 59]. Manipulation of the size, shape, microstructures, and surface area is crucial to

improve characteristic properties, such as catalytic activity, photoactivity, chemical durability, and optical and thermal properties.

Novel water-soluble R–SiO<sub>1.5</sub>/TiO<sub>2</sub> hybrid nanoparticles were synthesized by hydrolytic cocondensation of titanium alkoxides  $(Ti(OR')_4 R' = ethyl, isopropyl, and butyl)$  with the hydroxyl-functionalized triethoxysilane precursor (Figure 2(e)) [60]. Cocondensation of a titanium alkoxide with the triethoxysilane precursor was investigated at different feed ratios, suggesting that water-soluble nanoparticles were obtained only at less than 30% of Ti(OEt)4 molar ratio in the feed. In contrast, the cocondensation of titanium tetraisopropoxide, Ti(O¹Pr)<sub>4</sub>, with the triethoxysilane precursor in the presence of acetylacetone proceeded as a homogeneous system until 70% of Ti(O<sup>1</sup>Pr)<sub>4</sub> molar ratio to afford water-soluble organic-inorganic hybrid nanoparticles containing titania-silica mixed oxides (Figure 6(b)), as confirmed by NMR, FT-IR, elemental, and ICP analyses. SFM measurements of the product prepared at Ti(O¹Pr)<sub>4</sub>/the triethoxysilane = 50/50 mol% with acetylacetone indicated the formation of the nanoparticles having relatively narrow size distribution with average particle diameter less than 2.0 nm without aggregation. The refractive index of the hybrid nanoparticle was 1.571. The isolated nanoparticles distributed homogeneously were visualized by TEM, and the size of the hybrid nanoparticle (1.9 nm) was determined by XRD.

# 5. Cationic Hybrid Nanoparticles

Cationic silsesquioxane-based materials with tunable properties and well-defined multidimensional architectures have become of special interest, because of their industrial importance and scientifically interesting properties. The potential applications involve biocompatible drug carrier [61], detection of conformation transformation of double-stranded DNA [62], probe for the detection of DNA [63], light-harvesting unimolecular nanoparticle for fluorescence amplification in cellular imaging [64], modification of montmorillonite [65], and preparation of nanocomposite thin

(a) Cocondensation of Si(OEt)<sub>4</sub> with the triethoxysilane precursor

(b) Cocondensation of  $Ti(O^iPr)_4$  with the triethoxysilane in the presence of acetylacetone

FIGURE 6: Synthesis of hybrid nanoparticles by cocondensation of the hydroxyl-functionalized triethoxysilane precursor derived from 2-hydroxyethyl acrylate (HEA).

films via layer-by-layer electrostatic self-assembly [22, 66]. Most of these cationic silsesquioxanes have been prepared from octafunctional polyhedral oligomeric silsesquioxanes, consisting of a rigid, crystalline silica-like core that is perfectly defined spatially (0.5–0.7 nm) and that can be linked covalently to eight R groups, such as octavinyl- and octaamino-substituted ones.

Recently, the synthesis of novel cationic silsesquioxane hybrids having uniform size distribution and characteristic water-soluble property by hydrolytic condensation of a triethoxysilane precursor derived from 2-(dimethylamino)ethyl acrylate (DMAEA) was reported, as shown in Figure 2(c) [67]. The development of an easily accessible mixture of silsesquioxanes with ionic or ionizable groups is one option for their exploitation in practical applications. As shown in Figure 7(a), the first step is the addition reaction of aminopropyltriethoxysilane and DMAEA, followed by hydrolytic condensation of the addition product to afford silsesquioxane hybrid. Acidic condensation of the DMAEAbased triethoxysilane precursor proceeded as a homogeneous system in methanol at room temperature to afford the water-soluble hybrid almost quantitatively. In addition to the ester groups, the resulting silsesquioxane hybrid exhibits a high density of chemically bonded peripheral tertiary amino groups on the outermost surface, which leads to watersolubility and various characteristic properties. The relatively low polydispersity  $(M_w/M_n = 1.33)$  and a reasonable

molecular weight ( $M_n = 2700$ ) were confirmed by SEC measurement. The size of the silsesquioxane hybrid (1.7 nm) was also determined by XRD. Quaternization reaction of the tertiary amine-containing hybrids with methyl iodide led to cationic silsesquioxane hybrids containing quaternized amine functionalities, which showed good solubility in polar solvents. SFM measurements indicated the formation of the cationic silsesquioxane hybrids having relatively narrow size distribution with average particle diameter (about 2.0 nm) without aggregation. Hence, it is entirely fair to say that the product obtained by the hydrolytic condensation of the functional precursor derived from DMAEA is a mixture of silsesquioxanes having different silicon atoms, which can be called silsesquioxane-based nanoparticles, because their structures are topologically equivalent to a sphere. Note that polyhedral silsesquioxanes are often referred to as spherosiloxanes, since polyhedral structures are topologically equivalent to a sphere. Cocondensation of TEOS with the triethoxysilane precursor was carried out under different feed ratios, and water-soluble products were obtained in the cases of TEOS molar ratio up to 40% (Figure 7(b)). This convenient synthetic approach may lead to further development of novel organic-inorganic hybrids, because of the characteristic properties of the cationic silsesquioxane hybrid, such as high functionalities, solubility in aqueous medium, nanometer size, and narrow size distribution.

FIGURE 7: Synthetic routes for cationic silsesquioxane hybrids by (a) hydrolytic condensation of a functionalized precursor derived from 2-(dimethylamino)ethyl acrylate (DMAEA), followed by quaternization, and (b) hydrolytic cocondensation of tetraethoxysilane (TEOS) with the DMAEA-based triethoxysilane precursor.

### 6. Fluorinated Silsesquioxane-Based Hybrids

In recent years, increasing attention has been paid to fluorinated silsesquioxanes, which consist of a siliconoxygen core framework and a fluoroalkyl shell. For example, fluorinated polyhedral oligomeric silsesquioxane molecules, in which the rigid cage is surrounded by perfluoroalkyl groups, were employed in the design of superoleophobic surfaces [68]. In this system, the fluorinated silsesquioxanes exhibited limited solubility because they were synthesized by basic condensation of triethoxysilane derivatives having hydrophobic and oleophobic fluoroalkyl groups such as 1H,1H,2H,2H-heptadecafluorodecyl and 1H,1H,2H,2Htridecafluorooctyl groups [68, 69]. A number of fluorinated polyhedral oligomeric silsesquioxane structures possessing a high degree of hydrophobicity has been prepared via a facile corner-capping methodology using various fluoroalkyl trichlorosilanes [70, 71]. A fluorinated polyhedral oligomeric silsesquioxane was also prepared by hydrosilylation of octakis(dimethylsiloxy)silsesquioxane with a fluorinated allyl ether derivative [72]. Another example is the synthesis of a heteroleptic silsesquioxane consisting of perfluoro, isooctyl, and amino (or alkoxy) groups by basic

hydrolysis of the corresponding trialkoxysilane precursors [73, 74]. Fluorinated silsesquioxane/polymer hybrids have recently inspired additional research efforts in which the silsesquioxane component has been either blended or covalently linked with a polymer [75–79]. Various fluorinated silsesquioxane/polymer hybrids have been also employed for modification of surface dewettability [80–82] and in lithography [83, 84].

Recently, the synthesis of novel low-refractive-index fluorinated silsesquioxane-based hybrids that have uniform size distribution, good solubility, and a tunable refractive index by hydrolytic condensation of triethoxysilane precursors derived from fluoroalkyl acrylates was demonstrated (Figure 3(a)) [85]. As shown in Figure 8(a), the first step is the addition reaction of aminopropyltriethoxysilane and fluoroalkyl acrylates, followed by acidic condensation of the addition products. Two acrylates having different fluoroalkyl chains—1*H*,1*H*,5*H*-octafluoropentyl acrylate (OFPA) and 2,2,2-trifluoroethyl acrylate (TFEA)—were employed for the preparation of the fluorinated triethoxysilane precursors (R–Si(OCH<sub>2</sub>CH<sub>3</sub>)<sub>3</sub>). The condensation of the fluorinated triethoxysilane precursors in the presence of a small amount of HF aqueous solution proceeded as a homogeneous system

$$= \begin{cases} -\text{CF}_3 \text{ (TFEA)} \\ -(\text{CF}_2)_3 \text{CF}_2 \text{H (OFPA)} \end{cases} \qquad \text{EtO} - \text{Si} - \text{OEt} \\ \text{OEt} \qquad \qquad \text{R} \end{cases} \qquad \text{R} \qquad \text{R$$

FIGURE 8: Synthetic routes for fluorinated silsesquioxane-based hybrids obtained via (a) hydrolytic condensation of triethoxysilane precursors derived from fluoroalkyl acrylates, and (b) hydrolytic cocondensation of TFEA-based and OFPA-based triethoxysilane precursors.

in acetone at 30°C until the end of the reaction. After the solvents (acetone and ethanol) were evaporated in a vacuum, the products were obtained quantitatively. The products were soluble in a variety of organic solvents, including CHCl<sub>3</sub>, THF, and acetone, but were insoluble in hexane and water. The resulting silsesquioxane-based hybrids exhibited a high density of chemically bonded peripheral fluoroalkyl groups, which led to various characteristic properties, including a low refractive index. The low polydispersities and reasonable molecular weights of the resulting fluorinated silsesquioxanes ( $M_n = 4800, M_w/M_n =$ 1.01; and  $M_n = 4300$ ,  $M_w/M_n = 1.07$  for the OFPA- and TFEA-based products, resp.) were confirmed by SEC. The formation of spherical hybrids having relatively narrow size distributions (average particle diameter < 3.0 nm) without aggregation was confirmed by SFM measurements. The XRD and DSC measurements indicated that the fluorinated silsesquioxane hybrids can be regarded as amorphous glass

having low glass transition temperatures. Different from the cubic silsesquioxane crystals, some silsesquioxanes having long and/or specific substituent groups were amorphous, which showed broad XRD peaks [86–88]. Similar to such amorphous silsesquioxanes, the fluorinated silsesquioxane hybrids [85], hydroxyl-functionalized silsesquioxane hybrids [35], and cationic silsesquioxane hybrids [67] synthesized via the one-step condensation of the bulky triethoxysilane precursors can be regarded as amorphous glasses, which are mainly due to the long alkyl groups. The refractive indexes of the TFEA- and OFPA-based silsesquioxane hybrids were 1.43 and 1.40, respectively.

Cocondensation of the TFEA- and OFPA-based triethoxysilane precursors afforded a series of fluorinated hybrids whose refractive index and various other properties can be manipulated by varying the composition of the feed (Figure 8(b)). The formation of hybrids having spherical structures via hydrolytic cocondensation was also confirmed

 $R_f = -CF_3$  (TFEA-based triethoxysilane) -(CF<sub>2</sub>)<sub>3</sub>CF<sub>2</sub>H (OFPA-based triethoxysilane)

HEA-based triethoxysilane

FIGURE 9: Synthetic route for the amphiphilic silsesquioxane-based hybrids based on hydrolytic cocondensation of the hydroxyl-functionalized triethoxysilane precursor and fluorinated triethoxysilane precursors.

by XRD and SFM measurements. Low refractive indexes of the fluorinated silsesquioxane-based hybrids could be tuned by adjusting the feed ratio of the TFEA-based and OFPAbased triethoxysilane precursors in the cocondensation (Figure 3(b)).

### 7. Amphiphilic Silsesquioxane-Based Hybrids

Silsesquioxanes having two or more different organic groups immobilized to an inorganic core have recently attracted considerable attention, because of their intriguing phase behavior and enormous potential as a building block for various advanced materials [5]. For example, Gunawidjaja et al. reported bulk and surface assembly of amphiphilic silsesquioxane compounds with various hydrophilic and hydrophobic terminal group compositions [28]. For the synthesis of silsesquioxanes having different substituent groups, several methods have been employed, which involve cohydrolysis/cocondensation of chlorosilanes and alkoxysilanes, corner-capping method, and synthetic modification of a preexisting silsesquioxane compound [5]. During recent years, there has been increasing attention paid to silsesquioxanes having fluoroalkyl chain as a hydrophobic component and another organic group. The synthesis of heteroleptic silsesquioxane consisting of perfluoro, isooctyl, and amino (or alkoxy) groups was conducted by basic hydrolysis of corresponding trialkoxysilane precursors [73, 74]. A number of fluorinated polyhedral oligomeric silsesquioxanes

structures possessing another organic group has been prepared via a facile corner-capping methodology [70, 71]. Additionally, fluorinated polyhedral oligomeric silsesquioxanes possessing one reactive functional group by the corner-capping method were employed for preparation of various fluorinated silsesquioxanes/polymer hybrids [89–91].

Amphiphilic silsesquioxanes-based hybrids were synthesized by hydrolytic cocondensation of a hydroxylfunctionalized triethoxysilane precursor derived from 2hydroxyethyl acrylate (HEA) and fluorinated triethoxysilane precursors derived from 1H,1H,5H-octafluoropentyl acrylate (OFPA) and 2,2,2-trifluoroethyl acrylate (TFEA), as shown in Figure 9 [92]. The OFPA-based triethoxysilane precursor has a bulky fluoroalkyl group attached to a silicon atom, whereas the TFEA-based precursor has a shorter fluoroalkyl group. The bulkiness of the fluoroalkyl group may have some influence on the internal cyclization, resulting in the formation of closed structures and avoidance of gelation. On the basis of the preparation method (hydrolytic cocondensation of two different triethoxysilanes,  $R_f$ -Si(OEt)<sub>3</sub> and  $R_{OH}$ -Si(OEt)<sub>3</sub>), the general structure of the hybrids is expected to be  $([R_f-co-R_{OH}]-SiO_{1.5})_n$ , where each silicon atom is bound to an average of one-and-a-half oxygens and to one alkyl chain involving the fluoroalkyl groups or hydroxyalkyl groups. The resulting amphiphilic silsesquioxane hybrids should have fluoroalkyl groups and hydroxyalkyl groups on the outermost surface, in addition to the tertiary amino groups and ester groups, which lead to various characteristic properties.

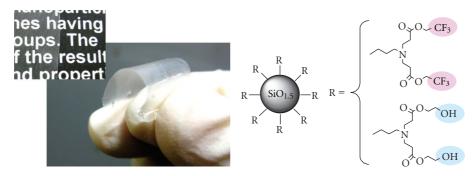


FIGURE 10: Photograph of flexible film of the TFEA-co-HEA silsesquioxane hybrid prepared by hydrolytic cocondensations. Reprinted with permission from [92]. Copyright 2012 Elsevier.

Hydrolytic cocondensations of two functionalized triethoxysilane precursors proceeded as homogeneous systems in N,N-dimethylformamide (DMF) to afford amphiphilic silsesquioxanes hybrids, which were soluble in a variety of solvents, depending on the composition. The structure of the constitutional unit of the hybrids was confirmed by the results of NMR and FT-IR measurements. SFM and XRD measurements indicated the formation of spherical hybrids having relatively narrow size distributions (average particle diameter < 3.0 nm) without aggregation. Cocondensation of the hydroxyl-functionalized precursor (HEA-based triethoxysilane) and fluorinated precursors (OFPA-based and TFEA-based triethoxysilane) provided amphiphilic hybrid materials, in which solubility, amphiphilicity, refractive index, film forming, and various properties could be manipulated by the composition in the feed (Figure 3(c)). As can be seen in Figure 10, flexible semitransparent films were obtained from the amphiphilic silsesquioxane hybrids having hydrophilic and hydrophobic chains connected chemically to an inorganic core, which were prepared by hydrolytic cocondensations at suitable feed ratios [92]. Note that self-standing hybrid films were obtained from the amphiphilic silsesquioxane hybrids in this system without any addition of cross-linker and polymer, although most of film-forming nanocomposites were prepared from organicinorganic hybrid systems comprising of polymer chains and inorganic particles. In the systems, the formation of the flexible semitransparent films would be the result of specific interactions between the hydroxyl groups, tertiary amino groups, fluoroalkyl groups, and ester groups in the amphiphilic silsesquioxane hybrids. The presence of intermolecular interactions between these functional groups of the hybrids may contribute to achieving good filmforming property, in which physically cross-linked polymerlike materials can be easily fabricated into the self-standing hybrid films.

# 8. Stimuli-Responsive Organic-Inorganic Hybrids

Stimuli-responsive organic-inorganic hybrids have recently attracted considerable interest, because combination with inorganic materials offers the opportunity to develop new nanosized "intelligent" or "smart" hybrids [25, 93-97]. Manipulation of specific intermolecular interactions, such as hydrogen-bonding, acid-base interactions, and oppositely charged ionic interactions, is crucial for the design and development of stimuli-responsive organic-inorganic hybrid structures with nanometer dimensions [98-101]. The water soluble silsesquioxane-based nanoparticles obtained from glycidol (Figure 4(a)) could be used as a component for characteristic intelligent colloidal hybrids, in which the complexation of tertiary amine-containing nanoparticles and a weak anionic polyelectrolyte can be manipulated simply by pH change in aqueous solution (Figure 2(f)) [25, 97]. Poly(acrylic acid), PAA, was selected as a weak polyelectrolyte, because the degree of ionization of carboxylic acids can be easily controlled by the pH value. In this system, both PAA and the silsesquioxane nanoparticles formed visually transparent solutions in water, while a white turbid dispersion was obtained just after mixing the two solutions at room temperature. The complex formation in water was strongly affected by the pH value, and the pH-induced association-dissociation behavior was a reversible and rapid process. The reversible pH-induced colloid formation due to the complexation of the inorganic-organic nanomaterials can provide a viable route to the production of tailored materials with unique properties for various applications.

The nature of the interaction of biomolecules, such as proteins, peptides, and amino acids, with inorganic materials is a subject of extraordinary relevance due to increasing interest in biointerfaces for medical, diagnostic, and biotechnology applications. In recent years, increasing attention has also been paid to developing silsesquioxane/biomolecule hybrids [102–109]. A series of researches in the design and synthesis of amino acid-based polymers including stimuli-responsive polymers, such as pH-responsive, thermoresponsive, and dual-stimuli-responsive block copolymers, and self-assembled block copolymers having tunable chiroptical properties, have been reported, which were obtained by reversible addition-fragmentation chain transfer (RAFT) polymerization [110].

Recently, smart amino acid-based polymer/silsesquioxane hybrids was developed, in which the complexation of water-soluble silsesquioxane nanoparticles [35], derived from HEA-based triethoxysilane (Figure 11(a)), and amino

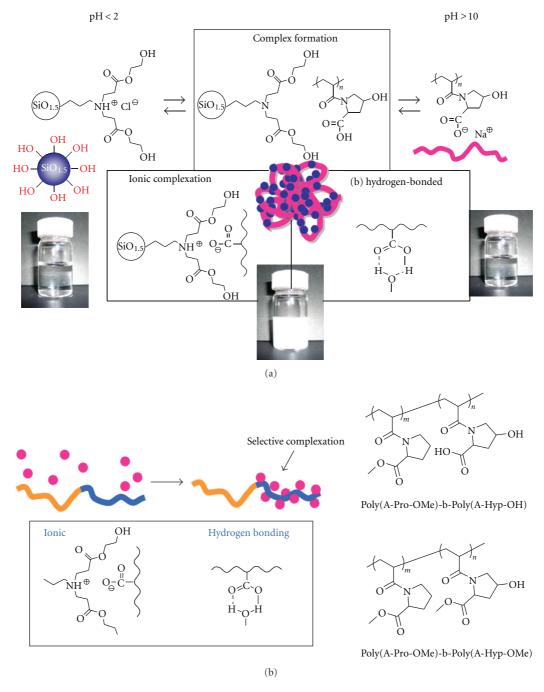


FIGURE 11: Smart organic-inorganic hybrids based on (a) complexation of amino acid-based polymer and water-soluble silsesquioxane nanoparticles, and (b) selective complexation of the silsesquioxane nanoparticles and block copolymer.

acid-based polymer, can be manipulated by pH changes in aqueous media [111]. The nanoparticles obtained from HEA-based triethoxysilane should have many ester groups on the surface, which may contribute hydrogen bond and therefore affect stimuli-responsive complexation of tertiary amine-containing nanoparticles and a weak anionic polyelectrolyte. Simple mixing of aqueous solutions of the amino acid-based polymer obtained from *N*-acryloyl-4-*trans*-hydroxy-l-proline (A-Hyp-OH) [112] and the silsesquioxane nanoparticles led to the straightforward formation of the

pH-responsive hybrids, in which a white turbid dispersion was observed at pH = 5–8, whereas transparent solutions were obtained at pH = 2 and 10 (Figure 11(a)). The methylated sample, poly(A-Hyp-OMe), exhibited a characteristic soluble-insoluble transition at around 49.5 °C [112], and thermoresponsive hybrids were obtained by complexation of the silsesquioxane nanoparticles with poly(A-Hyp-OMe) [111]. In contrast, poly(N-acryloyl-l-proline methyl ester) and poly(A-Pro-OMe), exhibited a relatively lower phase-separation temperature (around 18 °C) in neutral water

(pH = 7) [113–115], and the thermoresponsive polymer showed no specific interaction with the silsesquioxane nanoparticles [111].

Self-assembly of block copolymer/nanoparticle hybrids has also generated significant research interest, because the nanoparticles can be spatially organized in the formed aggregates [29, 116-120]. Depending on the chemical nature of the functional segments and their composition, the block copolymers afford a great opportunity for tuning chemical and physical properties as well as assembled structures. Additionally, the size, shape, and nature of the inorganic nanoparticles and specific interactions between the organic and inorganic components act as crucial elements to provide an effective route for the controlled self-ordering of nanoparticles with polymers and for the endowment of characteristic properties. Smart organic-inorganic hybrids were prepared using noncovalent interactions between watersoluble silsesquioxane nanoparticles containing tertiary amine moieties and two amino acid-based block copolymers prepared by RAFT polymerization (Figure 11(b)) [121]. A dual thermoresponsive block copolymer displaying LCST and UCST was employed, in which only the poly(A-Hyp-OH) segment could interact with the silsesquioxane nanoparticles, whereas another poly(A-Pro-OMe) segment showed a characteristic thermoresponsive property without any interaction with the nanoparticles. The simple mixing procedure of two transparent aqueous solutions led to the formation of smart organic-inorganic hybrids through the selective complexation of the silsesquioxane nanoparticles and the poly(A-Hyp-OH) segment in the block copolymer.

### 9. Conclusion

This paper has summarized recent development of the silsesquioxanes-based nanoparticles prepared by hydrolytic condensation of bulky triethoxysilane precursors derived from functional acrylate derivatives. The addition reaction of aminopropyltriethoxysilane with 2-hydroxyethyl acrylate (HEA), 2-(dimethylamino)ethyl acrylate (DMAEA), 1H,1H,5H-octafluoropentyl acrylate (OFPA), and 2,2,2trifluoroethyl acrylate (TFEA) afforded functional triethoxysilane precursors. The hydrolytic condensation of the addition products proceeded as homogeneous systems under suitable conditions to afford the functional silsesquioxane hybrids almost quantitatively. The development of an easily accessible mixture of silsesquioxanes with a variety of functional groups is one option for their exploitation in practical applications. This convenient synthetic approach have allowed great advances in the further development of novel organic-inorganic hybrids, because of the characteristic properties of the functional silsesquioxane hybrid, such as high functionalities, solubility in aqueous medium, nanometer size, and narrow size distribution. Depending on the chemical nature of the functional trietoxysilane precursors, additional component (trialkoxysilanes and metal alkoxides used for the cocondensation), and their composition, the silsesquioxane hybrids afforded great flexibility for tuning functional groups in the organic part, components of the inorganic parts, and various properties as well as size and shape of the hybrids. The manipulation of noncovalent interactions such as hydrogen bonding and interelectrolyte interaction was also crucial for providing organic-inorganic smart hybrids having characteristic stimuli-responsive properties. The multiscale ordering of such functional nanomaterials is a powerful technique for the creation of tailored hybrid materials with unique properties for various applications.

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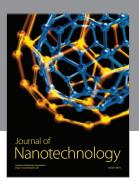
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