

## Research Article

# Supercritical Assisted Atomization: Polyvinylpyrrolidone as Carrier for Drugs with Poor Solubility in Water

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Supercritical assisted atomization (SAA) is an efficient technique to produce microparticles and composite microspheres formed by polymers and pharmaceutical compounds. In this work polyvinylpyrrolidone (PVP) was proposed as carrier for pharmaceutical compounds that show a poor solubility in water medium. Indeed, this polymer is hydrosoluble and can be generally used to enhance the dissolution rate of hydrophobic compounds when finely dispersed in it. However, it is difficult to obtain coprecipitates with a uniform dispersion of the active molecule using other micronization techniques. The experiments were performed using ethanol as solvent; SAA plant was operated at 40°C and 76 bar in the saturator and 70°C and 1.6 bar in the precipitator. Three different dexamethasone/polymer weight ratios were selected: 1/2, 1/4, and 1/8. Produced composite particles showed a regular, spherical shape and a mean diameter ranging from about 0.8 to 1 μm, depending on the polymer/drug weight ratio. Dissolution analysis demonstrated that microparticles containing a lower drug amount show a higher dissolution rate.

## 1. Introduction

Poor water solubility of several drugs largely limits their bioavailability. To improve the dissolution rate of drugs, different strategies have been developed; the most common approach is based on particle size reduction, but a good method is also the dispersion of the hydrophobic molecule into a hydrophilic polymeric matrix. Polyvinylpyrrolidone (PVP) is one of the most used carrier to enhance the dissolution rate of hydrophobic compounds [1] because it is soluble in water and in other organic solvents and is nontoxic. PVP is also used to suppress recrystallization [2] of active molecules, as coating agent for iron oxide nanoparticles, to produce MRI contrast agents [3]. Moreover, it was found that PVP increases the activity of some active molecules, such as anticancer drugs [4].

The most used techniques to produce solid dispersions are solvent evaporation [5], spray drying [6], and freeze drying [7]. Solvent evaporation involves the use of organic solvents that contaminate the final product [8]. Spray drying allows obtaining regular-shaped particles, but temperatures used for this process are problematic for thermolabile compounds [9]. Spray freeze drying involves the use of very low

temperatures that could modify the structure of the processed compounds [10].

To overcome the limits of the traditional techniques some supercritical fluid assisted techniques have been proposed [11, 12]. More specifically some authors attempted to produce PVP coprecipitates; Wu et al. [6] proposed the coprecipitates of PVP and piroxicam using methylene chloride, obtaining spherical particles for coprecipitates and needle-like crystal when piroxicam alone was processed. Kluge et al. [13] proposed the same technique to produce PVP/phenytoin coprecipitates, obtaining particles with a mean diameter ranging between 200 and 500 nm. Also in this case the pure drug (phenytoin) when processed by SAS produced large crystals. Generally speaking these results are in favor of the capacity of PVP to inhibit crystallization of guest molecules [14, 15].

Supercritical assisted atomization (SAA) is an efficient technique that has been used to produce microparticles and coprecipitates of several kinds of compounds: active molecules, proteins, and polymers [16–20]. Indeed, during SAA process, droplets formation takes place. These droplets contain both compounds and, usually, selecting proper carrier/drug weight ratios; it is possible to obtain polymeric

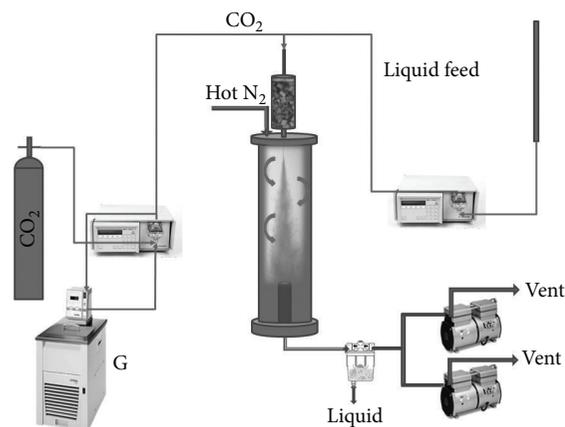


FIGURE 1: Scheme of SAA plant. G is the cool bath to cold the head of high pressure pump.

matrix in which the active compound is uniformly dispersed [21].

For all these reasons, the aim of this work is to produce composite microparticles of PVP and dexamethasone, selected as model drug with poor solubility in water, using SAA process. Different drug-polymer ratios were selected, 1/2, 1/4, and 1/8, morphological analysis was performed on coprecipitates and dissolution rate analysis was performed on the composite microparticles.

## 2. Materials and Methods

CO<sub>2</sub> (99.9%, SON, Naples, Italy), nitrogen ((N<sub>2</sub>) 99.9%, SOL, Milan, Italy), acetone (99.5%, Panreac, Barcelona, Spain), ethanol (99.5%, Aldrich Chemical Co., Milan, Italy), polyvinylpyrrolidone ((PVP) Mw: 10000, Aldrich Chemical Co., Milan, Italy), and dexamethasone (purity 99.8%, ICN Biomedicals, Milano, Italy) were used as received.

The configuration of SAA plant consists of two high-pressure pumps delivering the liquid solution and liquid CO<sub>2</sub> to the saturator. The saturator is a high pressure vessel (internal volume 50 cm<sup>3</sup>) loaded with stainless steel perforated saddles which assure a large contact surface between liquid solution and CO<sub>2</sub>. The solution obtained in the saturator is sprayed through a thin wall (80 μm diameter) injection nozzle into the precipitator (IV 3 dm<sup>3</sup>). A controlled flow of N<sub>2</sub> was sent to the precipitator to assist liquid droplets evaporation. A stainless steel filter, located at the bottom of the precipitator, allows the powder collection and the gaseous stream flow out. Downstream the precipitator, a condenser separates the liquid stream from the inert gas. SAA apparatus layout was reported elsewhere [19]. A scheme of SAA plant is reported in Figure 1.

The morphology of PVP particles was observed by a field emission-scanning electron microscope ((FESEM) mod. LEO 1525, Carl Zeiss SMT AG, Oberkochen, Germany).

Particle size (PS) and particle size distribution (PSD) were measured by SEM photomicrographs using the Sigma Scan Pro Software (release 5.0, Aspire Software International, Ashburn, VA, USA). Approximately 1000 particles were

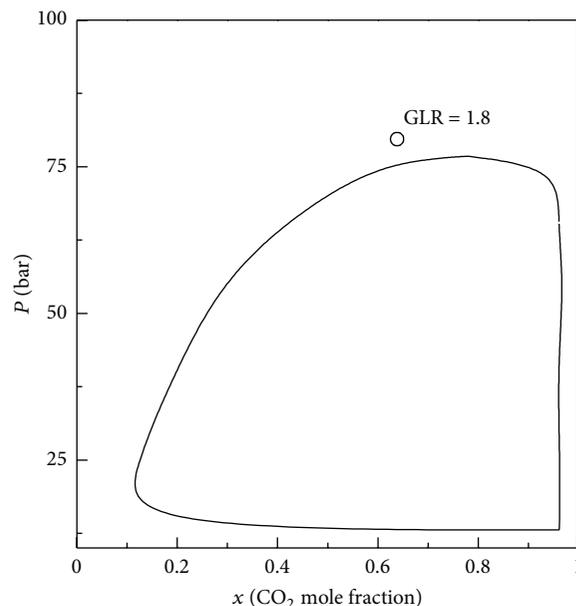


FIGURE 2: Vapor liquid equilibria for the system ethanol-CO<sub>2</sub> at 40°C. Adapted from Knez et al. [22].

measured for each particle size distribution calculation. Histograms, representing the particle size distribution, were fitted using Microcal Origin Software (release 8.0, Microcal Software, Inc., Northampton, MA, USA).

Solid state analysis of the precipitates was performed using an X-ray powder diffractometer ((XRPD) model D8 Advance; Bruker AXS, Madison, WI, USA) with a Cu-sealed tube source. The measuring conditions were Ni-filtered Cu K $\alpha$  radiation, 1 1/4 1.54 Å, and 2  $\Theta$  angle ranging from 2 to 50 with a scan rate of 1 s/step and a step size of 0.05.

Drug content in SAA composite microparticles was determined to verify if the ratio between polymer and drug, set in the liquid solution, is maintained. A known amount of dexamethasone-loaded microparticles was suspended into a physiological saline solution at pH 7.2. The suspension was kept at 37°C and stirred at 200 rpm for 5 days. The amount of drug incorporated was assayed by spectrophotometric analysis, using UV-vis (Cary 50 Scan, Varian) at 242 nm. Drug release profiles over the time were obtained using a physiological saline solution (pH 7.2) as the dissolution medium. These studies were performed in triplicate for each sample.

## 3. Results

The key factor of SAA processing is the solubilisation of supercritical CO<sub>2</sub> in the solution containing the compound to be micronized [23]. Indeed the solubilisation of supercritical CO<sub>2</sub> in the liquid feed allows reducing viscosity and surface tension of the system, enhancing the atomization process. The effective amount of CO<sub>2</sub> that can solubilise in the liquid feed is correlated to the vapor liquid equilibria (VLE) solvent-CO<sub>2</sub>. In this work, ethanol was selected for SAA micronization experiments since PVP and dexamethasone

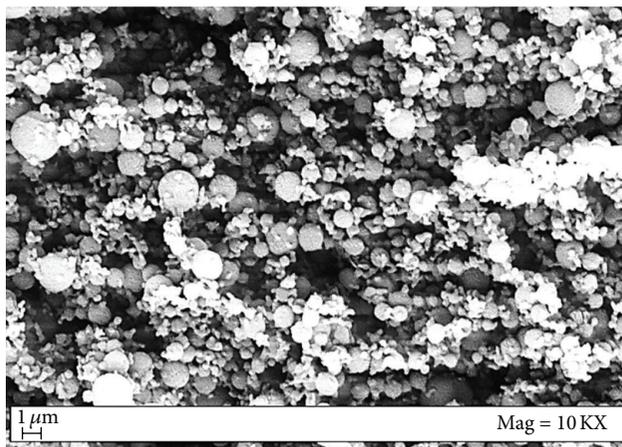


FIGURE 3: Dexamethasone microparticles obtained by SAA at 40°C and 76 bar in the saturator and 70°C and 1.6 bar in the precipitator.

show a good solubility in this solvent. Ethanol has also a large affinity with CO<sub>2</sub>; therefore, a large quantity of CO<sub>2</sub> can be dissolved in the ethanolic solution, allowing a large reduction of viscosity. Figure 2 shows the equilibria between ethanol and CO<sub>2</sub> and the operative point for SAA micronization experiments.

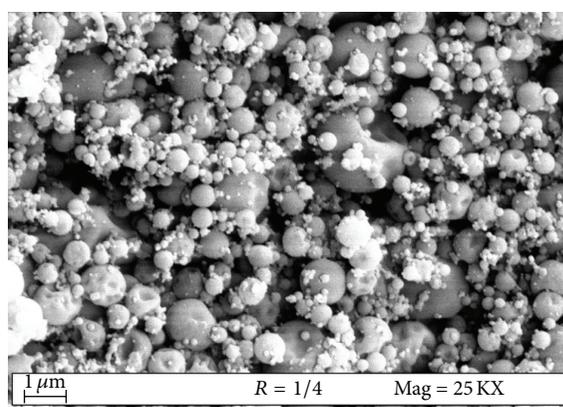
At the operative conditions used, the complete solubilization of CO<sub>2</sub> in ethanol solution can be expected. Previous work on PVP micronization [24] demonstrated that no precipitation of PVP takes place in the saturator when operated at 40°C, 76 bar, and GLR = 1.8 ( $x_{\text{CO}_2} = 0.62$ ).

Dexamethasone was micronized in a previous work [25] but using methanol and acetone as solvents. Therefore, a feasibility test was performed on dexamethasone using ethanol as solvent. Figure 3 shows a photomicrograph of dexamethasone microparticles obtained by SAA at 40°C and 76 bar in the saturator and 70°C and 1.6 in the precipitator.

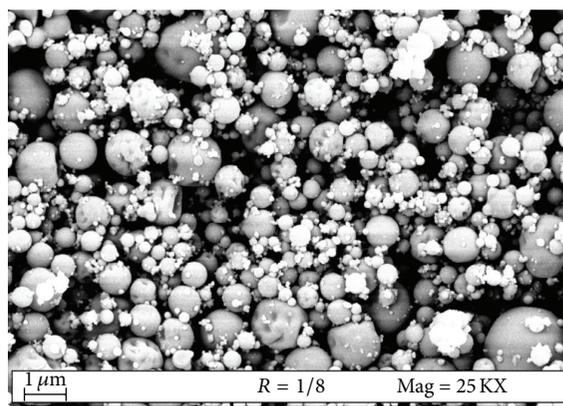
Spherical and nonaggregated microparticles of dexamethasone were obtained. Then, the production of composite microparticles was attempted by SAA setting the same operative conditions used in dexamethasone experiments; drug/polymer weight ratios were 1/2, 1/4 and 1/8. Figure 4 shows photomicrograph of particles obtained at  $R = 1/4$  and  $R = 1/8$ . Particles obtained at drug/polymer weight ratio of 1/2 were not proposed since their morphology is identical to the ones reported in Figure 4.

FESEM images, reported in Figure 4, show that spherical particles were obtained at all drug/polymer ratios tested. Figure 5 shows the volumetric cumulative particle size distribution of dexamethasone and dexamethasone-PVP microparticles obtained by SAA.

Dexamethasone microparticles show the larger mean diameter,  $1.7 (\pm 0.35) \mu\text{m}$ , whereas the composite particles have a mean diameter of  $0.76 (\pm 0.2)$ ,  $0.8 (\pm 0.2)$ , and  $0.99 (\pm 0.2) \mu\text{m}$  for  $R = 1/2$ ,  $1/4$ , and  $1/8$ , respectively. The overall result is that the presence of PVP reduced particle size and distribution with respect to dexamethasone precipitates alone. Probably the presence of PVP allows a further decrease of viscosity in the starting solution. The reduction of mean size can allow enhancing also the dissolution rate



(a)



(b)

FIGURE 4: FESEM images of dexamethasone-PVP microparticles, with  $R = 1/4$  and  $R = 1/8$ , obtained by SAA operated at 40°C and 76 bar in the saturator and 70°C and 1.6 bar in the precipitator.

of dexamethasone in water solution. This result is expected for SAA process, since the parameters that have the stronger influence on particle size and distribution are temperatures in the saturator and in the precipitator, concentration of solute

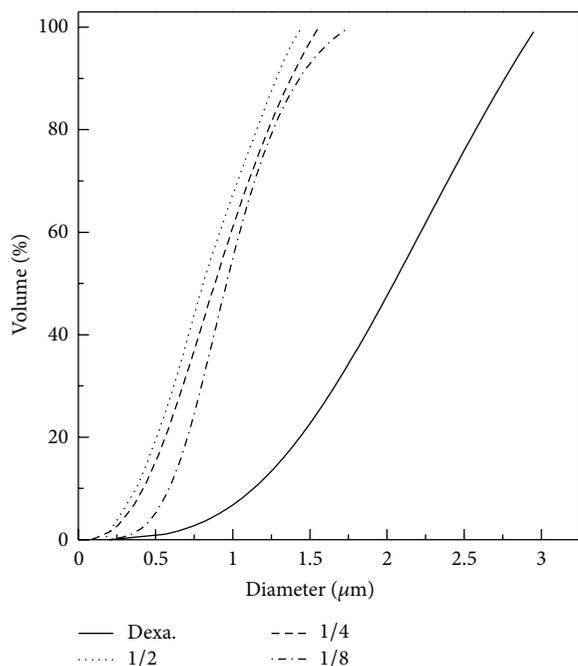


FIGURE 5: Volumetric cumulative particle size distribution of dexamethasone-PVP composite particles produced by SAA at different drug/polymer weight ratios.

and  $\text{CO}_2$  molar fraction, as explained in previous works [9, 20].

**3.1. Loading and Encapsulation Efficiency.** Loading of dexamethasone in composite microparticles was measured by UV-vis analysis and was of about 95% ( $\pm 5$ ) for all the drug/polymer ratios selected.

To test the efficiency of dexamethasone-PVP coprecipitates in improving the dissolution rate of poor soluble drug, dissolution experiments of dexamethasone in water solutions were performed. To verify the improvement in drug dissolution rate of SAA coprecipitates the dissolution rate of composite particles was compared with untreated dexamethasone. Figure 6 shows the corresponding dissolution profiles.

An increase of dissolution rate of dexamethasone was obtained when it was loaded in PVP. The dissolution rate depended on drug/polymer ratio, since when the amount of drug was lower, the dissolution rate increased. This improvement is due to the production of more efficient dispersion of the drug in the polymeric matrix [26].

**3.2. Characterization: XRPD.** The solid state of the untreated dexamethasone was reported also in previous work [25], and the untreated drug is crystalline. Figure 7 shows the XRPD analysis of composite particles produced by SAA.

The characteristic peaks of the crystalline structure of dexamethasone, reported in the graph of Figure 7 ( $10 < 2\theta < 20$ ), are not present in the analysis related to composite particles. Therefore, only amorphous particles were produced by SAA in the case of dexamethasone-PVP coprecipitates. This

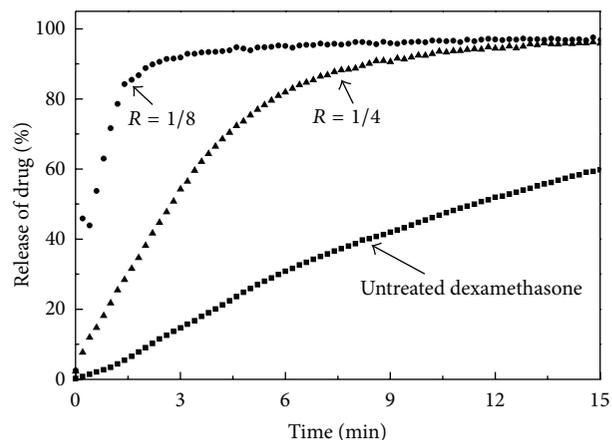


FIGURE 6: Dissolution rate of dexamethasone-PVP composite particles produced by SAA with respect to the untreated drug, in physiological solution.

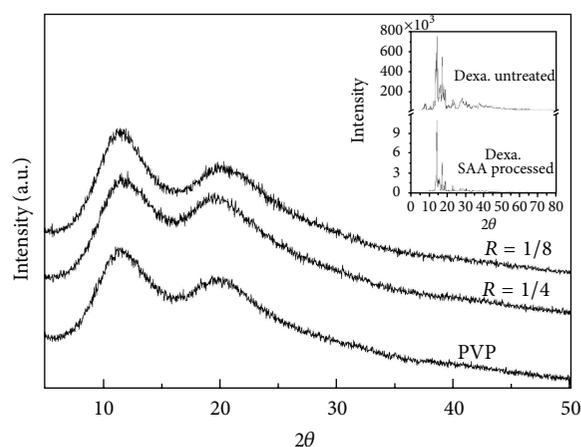


FIGURE 7: XRPD related to the PVP untreated and PVP microparticles produced by SAA using different solvents.

result is not surprising since previous work demonstrated that SAA process allows reducing crystalline degree of several compounds [27]. As a rule, the amorphous particles show a larger dissolution rate if compared with crystalline particles [28].

## 4. Conclusion

SAA process demonstrated to be very efficient in the micronization of drug-PVP composite particles. The amount of PVP in coprecipitates is the key factor in controlling the dissolution rate of dexamethasone. Moreover SAA process induces the formation of disperse and amorphous dexamethasone particles that show a higher dissolution rate in water solutions.

## Conflict of Interests

The authors declare no conflict of interests.

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