

## Research Article

# Reduced Triangular Form of Polynomial 3-by-3 Matrices with One Characteristic Root and Its Invariants

**B. Z. Shavarovskii** 

*Department of Algebra, Pidstryhach Institute for Applied Problems of Mechanics and Mathematics of National Academy of Sciences of Ukraine, Lviv 79060, Ukraine*

Correspondence should be addressed to B. Z. Shavarovskii; bshavarovskii@gmail.com

Received 21 May 2018; Accepted 26 August 2018; Published 10 September 2018

Academic Editor: Frank Uhlig

Copyright © 2018 B. Z. Shavarovskii. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

In this paper the semiscalar equivalence of polynomial matrices is investigated. We introduce the notion of the so-called reduced triangular form with respect to semiscalar equivalence for the 3-by-3 matrices with one characteristic root and indicate the invariants of this reduced form.

## 1. Introduction

We consider the following equivalence relation in the set of all polynomial matrices of fixed order over the field  $\mathbf{C}$  of complex numbers: matrices  $F(x), G(x)$  are called semiscalarly equivalent if there exist invertible matrices  $P, Q(x)$  over  $\mathbf{C}$  and  $\mathbf{C}[x]$ , respectively, such that  $G(x) = PF(x)Q(x)$  [1] (see also [2]); notation  $F(x) \approx G(x)$ . Several other notions of the equivalence (so-called *PS*-equivalence) of the polynomial matrices are considered in [3]. Two matrices  $F(x)$  and  $G(x)$  are said to be *PS*-equivalent if there exist  $P(x) \in GL(n, \mathbf{C}[x])$ ,  $Q \in GL(n, \mathbf{C})$  with  $G(x) = P(x)F(x)Q$ . If  $F(x), G(x)$  are semiscalarly equivalent (or *PS*-equivalent), then they must have the same characteristic roots and the same invariant factors. By Theorem 1 [1] (see also Theorem 1 §1, Section IV [2]) every matrix of full rank is semiscalarly equivalent to the lower triangular form with invariant factors on the main diagonal. The similar results can be found in [4]. However, the matrix of this form is not uniquely defined. Therefore, the question when two matrices are semiscalarly equivalent is open. The conditions of semiscalar equivalence of order 2 polynomial matrices in [5–7] are indicated. In this paper is determined so-called reduced form with respect to semiscalar equivalence for the 3-by-3 matrices with one characteristic root and its invariants are found. The problem of semiscalar equivalence (as of *PS*-equivalence) contains the classical linear algebra problem of reducing a pair of

numerical matrices to a canonical form by a simultaneous similarity transformation (for the solution of this problem, see [8]).

Let  $F(x) \in M(3, \mathbf{C}[x])$ . We assume that characteristic polynomial  $\det F(x)$  has a unique root. Without loss of generality, we assume that uniquely characteristic root is zero and the first invariant factor of the matrix  $F(x)$  is unit. In accordance with [1] at this assumption we have

$$F(x) \approx G(x) = \begin{pmatrix} 1 & 0 & 0 \\ g_1(x) & x^{k_1} & 0 \\ g_3(x) & g_2(x) & x^{k_2} \end{pmatrix}, \quad (1)$$

where  $k_1 \leq k_2$ ,  $x^{k_1} | g_2(x)$  (divides). We consider  $k_1 < k_2$ , since the case  $k_1 = k_2$  is considered in [9].

## 2. Preliminary Results

**Proposition 1.** *In the class  $\{PF(x)Q(x)\}$  of semiscalarly equivalent matrices there exists a matrix of the form (1), in which  $\deg g_1 < k_1$ ,  $\deg g_2, \deg g_3 < k_2$ ,  $g_1(0) = g_3(0) = 0$ ,  $g_2(x) = x^{k_1} g'_2(x)$ ,  $g'_2(0) = 0$ .*

*Proof.* Proof is obvious. □

Let the matrices

$$A(x) = \begin{pmatrix} 1 & 0 & 0 \\ a_1(x) & x^{k_1} & 0 \\ a_3(x) & a_2(x) & x^{k_2} \end{pmatrix}, \quad (2)$$

$$B(x) = \begin{pmatrix} 1 & 0 & 0 \\ b_1(x) & x^{k_1} & 0 \\ b_3(x) & b_2(x) & x^{k_2} \end{pmatrix}$$

be given, where  $k_1 < k_2$ ,  $\deg a_1, \deg b_1 < k_1$ ,  $\deg a_2, \deg b_2, \deg a_3, \deg b_3 < k_2$ ,  $a_1(0) = a_3(0) = b_1(0) = b_3(0) = 0$ ,  $a_2(x) = x^{k_1} a_2'(x)$ ,  $a_2'(0) = 0$ ,  $b_2(x) = x^{k_1} b_2'(x)$ ,  $b_2'(0) = 0$ .

**Proposition 2.** *A left reducible matrix in the passage from  $A(x)$  to the semiscalarly equivalent  $B(x)$  of the form (2) is an upper triangular matrix.*

*Proof.* Let  $A(x) \approx B(x)$ . Then, we have

$$\|s_{ij}\|_1^3 \begin{pmatrix} 1 & 0 & 0 \\ a_1(x) & x^{k_1} & 0 \\ a_3(x) & a_2(x) & x^{k_2} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ b_1(x) & x^{k_1} & 0 \\ b_3(x) & b_2(x) & x^{k_2} \end{pmatrix} \|r_{ij}(x)\|_1^3, \quad (3)$$

where  $\|s_{ij}\|_1^3 \in GL(3, \mathbb{C})$ ,  $\|r_{ij}(x)\|_1^3 \in GL(3, \mathbb{C}[x])$ . From (3) it follows that

$$s_{21} + s_{22}a_1(x) + s_{23}a_3(x) = b_1(x)r_{11}(x) + x^{k_1}r_{21}(x), \quad (4)$$

$$s_{31} + s_{32}a_1(x) + s_{33}a_3(x) = b_3(x)r_{11}(x) + b_2(x)r_{21}(x) + x^{k_2}r_{31}(x), \quad (5)$$

$$s_{32}x^{k_1} + s_{33}a_2(x) = b_3(x)r_{12}(x) + b_2(x)r_{22}(x) + x^{k_2}r_{32}(x). \quad (6)$$

Substituting  $x = 0$  in (4), (5), we find that  $s_{21} = s_{31} = 0$ . Since  $x^{k_1} | r_{12}(x)$ , the right-hand side of equality (6) and the second summand of the left-hand side of this equality are divisible by  $x^{k_1+1}$ . Therefore,  $x^{k_1+1} | s_{32}x^{k_1}$ . This implies that  $s_{32} = 0$ . Proposition is proved.  $\square$

**Proposition 3.** *If  $A(x) \approx B(x)$  for the matrices (2), then  $(a_1(x), a_3(x), x^{k_1}) = (b_1(x), b_3(x), x^{k_1})$ ,  $(a_2(x), a_3(x), x^{k_2}) = (b_2(x), b_3(x), x^{k_2})$ , where the bracket  $(, )$  denotes the greatest common divisor.*

*Proof.* Since  $r_{11}(0) \neq 0$  in equality (3), it follows that, from (4) and (5), where  $s_{21} = s_{31} = s_{32} = 0$ , we obtain  $(a_1(x), a_3(x), x^{k_1}) | b_1(x)$  and  $(a_1(x), a_3(x), x^{k_1}) | b_3(x)$ , respectively. Thus,  $(a_1(x), a_3(x), x^{k_1}) | (b_1(x), b_3(x), x^{k_1})$ . The

notation of semiscalar equivalence is a symmetric relation, so that  $(b_1(x), b_3(x), x^{k_1}) | (a_1(x), a_3(x), x^{k_1})$ . The first part of the Proposition is thus proved. Similarly, from (5) and (6), where  $s_{33} \neq 0$ , we can obtain  $(b_2(x), b_3(x), x^{k_2}) | a_2(x)$  and  $(b_2(x), b_3(x), x^{k_2}) | a_3(x)$ , respectively. Therefore,  $(a_2(x), a_3(x), x^{k_2}) | (b_2(x), b_3(x), x^{k_2})$ . Again by virtue of symmetrical relation of semiscalar equivalence we obtain  $(a_2(x), a_3(x), x^{k_2}) | (b_2(x), b_3(x), x^{k_2})$ . The Proposition is proved completely.  $\square$

Further, by using semiscalarly equivalent transformations  $A(x) \rightarrow SA(x)R(x) = B(x)$ , we reduce the matrix  $A(x)$  to a matrix  $B(x)$  of the form (2) with the predefined properties. Furthermore, the left reducible matrix  $S$ , obviously, must be selected of the upper triangular form. We shall show how by the given matrix  $A(x)$  and by the left reducible matrix  $S$  we can find the matrix  $B(x)$  of the form (2) and the right reducible matrix  $R(x)$  such that  $A(x) \approx B(x) = SA(x)R(x)$ . Then, we shall choose the matrix  $S$  of the upper unitriangular form:

$$S = \begin{pmatrix} 1 & s_{12} & s_{13} \\ 0 & 1 & s_{23} \\ 0 & 0 & 1 \end{pmatrix}. \quad (7)$$

By the given entries  $a_1(x), a_2(x), a_3(x)$  and  $s_{12}, s_{13}, s_{23}$  of the matrices  $A(x)$  and  $S$ , respectively, by means of the method of indeterminate coefficients from the congruence

$$a_1(x) + s_{23}a_3(x) \equiv b_1(x)(1 + s_{12}a_1(x) + s_{13}a_3(x)) \pmod{x^{k_1}} \quad (8)$$

we find  $b_1(x) \in \mathbb{C}[x]$ ,  $\deg b_1 < k_1$ . Denote by  $r_{uv}(x)$ ,  $u, v = 1, 2$ , such entries:

$$\begin{aligned} r_{11}(x) &= 1 + s_{12}a_1(x) + s_{13}a_3(x), \\ r_{12}(x) &= s_{12}x^{k_1} + s_{13}a_2(x), \\ r_{21}(x) &= \frac{a_1(x) + s_{23}a_3(x) - b_1(x)r_{11}(x)}{x^{k_1}} \in \mathbb{C}[x], \\ r_{22}(x) &= 1 + s_{23}a_2'(x) - (s_{12} + s_{13}a_2'(x))b_1(x). \end{aligned} \quad (9)$$

Here,  $a_2'(x) = a_2(x)/x^{k_1} \in \mathbb{C}[x]$ . Construct the matrix  $\|r_{uv}(x)\|_1^2$  and consider the congruence

$$\|b_3(x) \ b_2(x)\| \|r_{uv}(x)\|_1^2 \equiv \|a_3(x) \ a_2(x)\| \pmod{x^{k_2}} \quad (10)$$

in the unknowns  $b_2(x), b_3(x)$ . This congruence is solvable, since the free term of the matrix polynomial  $\|r_{uv}(x)\|_1^2$  is a nonsingular matrix. The unknowns can be found by the method of the indefinite coefficients. It is easily verified that

$b'_2(x) = b_2(x)/x^{k_1} \in \mathbb{C}[x]$ . Besides the above definition of  $r_{uv}(x)$ ,  $u, v = 1, 2$ , let us introduce the following notations:

$$\begin{aligned} r_{13}(x) &= s_{13}x^{k_2}, \\ r_{23}(x) &= s_{23}x^{k_2-k_1} - b_1(x)r_{13}(x), \\ r_{31}(x) &= \frac{a_3(x) - b_3(x)r_{11}(x) - b_2(x)r_{21}(x)}{x^{k_2}} \\ &\in \mathbb{C}[x], \\ r_{32}(x) &= \frac{a_2(x) - b_3(x)r_{12}(x) - b_2(x)r_{22}(x)}{x^{k_2}} \\ &\in \mathbb{C}[x], \\ r_{33}(x) &= 1 - \frac{b_3(x)r_{13}(x) - b_2(x)r_{23}(x)}{x^{k_2}} \in \mathbb{C}[x]. \end{aligned} \tag{11}$$

By the indicated above entries  $r_{ij}(x)$ ,  $i, j = 1, 2, 3$ , and by the definition from congruence (8), (10)  $b_i(x)$  we construct the matrix  $\|r_{ij}(x)\|_1^3$  and the matrix  $B(x)$  of the form (2). Make sure that the equality  $SA(x) = B(x)\|r_{ij}(x)\|_1^3$  is valid. This means that the matrix  $\|r_{ij}(x)\|_1^3$  is invertible and its inverse matrix with  $S$  reduces  $A(x)$  to  $B(x)$ . If the matrix  $S$  (7) in the passage from  $A(x)$  to  $B(x)$  has one of the following forms

$$\begin{aligned} &\begin{vmatrix} 1 & 0 & 0 \\ 0 & 1 & s_{23} \\ 0 & 0 & 1 \end{vmatrix} \\ &\text{or } \begin{vmatrix} 1 & s_{12} & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{vmatrix}, \end{aligned} \tag{12}$$

then we shall say that to the matrix  $A(x)$  is applied the transformation of type I or the transformation of type II, respectively.

### 3. Improvement of the Triangular Form of Matrix in the Class of Semiscalarly Equivalent Matrix: Reduced Matrix

*Junior degree* of polynomial  $f(x) \in \mathbb{C}[x]$ ,  $f(x) \neq 0$ , is the least degree of the monomial (of nonzero coefficient) of this polynomial; notation  $co \deg f$ . The monomial of degree  $co \deg f$  and its coefficients are called the *junior term* and *junior coefficients*, respectively. Denote by symbol  $+\infty$  the junior degree of the polynomial  $f(x) \equiv 0$ .

**Proposition 4.** *If in the matrix  $A(x)$  of the form (2)  $co \deg a_1 = co \deg a_3 \neq +\infty$ , then  $A(x) \approx B(x)$ , where in the matrix  $B(x)$  of the form (2)  $co \deg b_1 > co \deg b_3$ ,  $co \deg b_2 = co \deg a_2$ ,  $co \deg b_3 = co \deg a_3$ .*

*Proof.* We will uniquely determine the value of  $s_{23}$  from condition  $co \deg(a_1(x) + s_{23}a_3(x)) > co \deg a_1$  and we will apply to the matrix  $A(x)$  the transformation of the type I. As

a result we obtain the matrix  $B(x)$  of the form (2). Its entries  $b_i(x)$ ,  $i = 1, 2, 3$ , satisfy the congruences:

$$a_1(x) + s_{23}a_3(x) - b_1(x) \equiv 0 \pmod{x^{k_1}}, \tag{13}$$

$$a_2(x) - b_2(x)(1 + s_{23}a'_2(x)) \equiv 0 \pmod{x^{k_2}}, \tag{14}$$

$$a_3(x) - b_2(x)r_{21}(x) - b_3(x) \equiv 0 \pmod{x^{k_2}}, \tag{15}$$

where  $r_{21}(x) = (a_1(x) + s_{23}a_3(x) - b_1(x))/x^{k_1} \in \mathbb{C}[x]$ . From (14), (15), and (13), we find that  $co \deg b_2 = co \deg a_2$ ,  $co \deg b_3 = co \deg a_3$  and  $co \deg b_1 > co \deg b_3$ , respectively. Proposition is proved.  $\square$

**Proposition 5.** *Let a matrix  $A(x)$  of the form (2) be given such that  $co \deg a'_2 = co \deg a_3 \neq +\infty$ ,  $a'_2(x) = a_2(x)/x^{k_1} \in \mathbb{C}[x]$ . Then there exists a matrix  $B(x)$  of the form (2) such that  $A(x) \approx B(x)$  and  $co \deg b_1 = co \deg a_1$ ,  $co \deg b_3 = co \deg a_3$ ,  $co \deg b'_2 > co \deg b_3$ , where  $b'_2(x) = b_2(x)/x^{k_1} \in \mathbb{C}[x]$ .*

*Proof.* By transformation of type II we reduce the matrix  $A(x)$  to the matrix  $B(x)$  of the form (2). Herewith in the matrix  $S$  (see (12)) we define  $s_{12}$  such that the inequality  $co \deg(s_{12}x^{k_1}a_3(x) - a_2(x)) > co \deg a_2$  is true. The entries  $b_1(x), b_2(x), b_3(x)$  of the obtained matrix  $B(x)$  satisfy the congruences:

$$b_1(x) - a_1(x)(1 - s_{12}b_1(x)) \equiv 0 \pmod{x^{k_1}}, \tag{16}$$

$$b_2(x) + s_{12}x^{k_1}a_3(x) - a_2(x)(1 + s_{12}a_1(x)) \equiv 0 \pmod{x^{k_2}}, \tag{17}$$

$$b_3(x) - a_3(x)(1 - s_{12}b_1(x)) - a_2(x)r_{21}(x) \equiv 0 \pmod{x^{k_2}}, \tag{18}$$

where  $r_{21}(x) = (b_1(x) - a_1(x)(1 - s_{12}b_1(x)))/x^{k_1} \in \mathbb{C}[x]$ . From (16) and (18) we have that  $co \deg b_1 = co \deg a_1$  and  $co \deg b_3 = co \deg a_3$ , respectively. If the principle of a choice of  $s_{12}$  is considered, then from (17) it follows that  $co \deg b'_2 > co \deg b_3$ . Proposition is proved.  $\square$

**Proposition 6.** *Let the matrix  $A(x)$  have the form (2) and*

$$+\infty \neq 2co \deg a_1 + co \deg a'_2 = co \deg a_3 \geq co \deg a_2, \tag{19}$$

*where  $a'_2(x) = a_2(x)/x^{k_1} \in \mathbb{C}[x]$ . Then there exists a matrix  $B(x)$  of the form (2) such that  $A(x) \approx B(x)$  and  $b_1(x) = a_1(x)$ ,  $co \deg b_2 = co \deg a_2$ ,  $co \deg b_3 > co \deg a_3$ .*

*Proof.* Let us apply to the matrix  $A(x)$  the transformation of type II. Moreover, in the left reducible matrix (see (12)) we can choose the value of  $s_{12}$  so that the condition  $co \deg(a_3(x) + s_{12}a'_2(x)) > co \deg a_3$  is fulfilled. As a result we obtain

the matrix  $B(x)$  of the form (2) in which its entries  $b_i(x)$ ,  $i = 1, 2, 3$ , satisfy the following congruences:

$$a_1(x) - b_1(x) - s_{12}a_1(x)b_1(x) \equiv 0 \pmod{x^{k_1}}, \quad (20)$$

$$a_2(x) - b_2(x) + s_{12}(b_1(x)b_2(x) - x^{k_1}b_3(x)) \equiv 0 \pmod{x^{k_2}}, \quad (21)$$

$$a_3(x) + (b_1(x)b_2'(x) - b_3(x))(1 + s_{12}a_1(x)) - b_2'(x)a_1(x) \equiv 0 \pmod{x^{k_2}}. \quad (22)$$

From (19) we have that  $2\text{co deg } a_1 \geq k_1$ . Then (20) implies  $b_1(x) = a_1(x)$  and from (22) we find

$$a_3(x) - b_3(x) + s_{12}a_1(x)(b_1(x)b_2'(x) - b_3(x)) \equiv 0 \pmod{x^{k_2}}. \quad (23)$$

From (21) and (23) by excluding of  $s_{12}$ , we arrive at the congruence

$$a_1(x)a_2(x) - x^{k_1}a_3(x) \equiv b_1(x)b_2(x) - x^{k_1}b_3(x) \pmod{x^{k_2+\text{co deg } a_1}}, \quad (24)$$

or

$$a_1(x)a_2'(x) - a_3(x) \equiv b_1(x)b_2'(x) - b_3(x) \pmod{x^{k_2-k_1+\text{co deg } a_1}}. \quad (25)$$

Since  $\text{co deg}(a_1(x)a_2(x) - x^{k_1}a_3(x)) = \text{co deg}(a_1(x)a_2(x))$ , from (21) we have  $\text{co deg } b_2 = \text{co deg } a_2$ . From the inequalities  $\text{co deg}(a_1(x)a_2'(x)) < k_2 - \text{co deg } a_1$  and  $k_2 - k_1 + \text{co deg } a_1 \geq k_2 - \text{co deg } a_1$  it follows that junior terms in both members (25) coincide with the junior term of the product  $a_1(x)a_2'(x)$ . Then from (23), taking into account the choice of  $s_{12}$ , we find  $\text{co deg } b_3 > \text{co deg } a_3$ . Proposition is proved.  $\square$

**Proposition 7.** *Let a matrix  $A(x)$  of the form (2) be given in which  $\text{co deg } a_3 \geq \text{co deg } a_2$  and  $2\text{co deg } a_1 < k_1$ . Then  $A(x) \approx B(x)$ , where  $B(x)$  has the form (2) in which  $\text{co deg } b_2 = \text{co deg } a_2$ ,  $\text{co deg } b_3 \geq \text{co deg } b_2$  and in  $b_1(x)$  the monomial of degree  $2\text{co deg } b_1 = 2\text{co deg } a_1$  is absent.*

*Proof.* Let us apply to the matrix  $A(x)$  a transformation of type II. In this case, in the left reducible matrix (see (12)) we define  $s_{12}$  from the condition  $s_{12}c_0^2 = c_1$ , where  $c_0$  and  $c_1$  are junior coefficient and coefficient of the monomial of degree  $2\text{co deg } a_1$  in  $a_1(x)$ , respectively. Then the entries  $a_i(x)$  and  $b_i(x)$  of the matrix  $A(x)$  and of the matrix  $B(x)$ , obtained as a result of transformation, satisfy the congruences (16) – (18). From (16) it follows at once that junior terms in  $a_1(x)$ ,  $b_1(x)$  coincide and in  $b_1(x)$  the monomial of degree  $2\text{co deg } a_1$  is absent. From (17) and (18) we have, respectively, that  $\text{co deg } b_2 = \text{co deg } a_2$  and  $\text{co deg } b_3 \geq \text{co deg } b_2$ . Proposition is proved.  $\square$

Taking into account Propositions 4 and 5 we shall think henceforth that  $\text{co deg } a_3 \neq \text{co deg } a_1$  and  $\text{co deg } a_3 \neq \text{co deg } a_2'$  in the matrix  $A(x)$  of the form (2), if  $\text{co deg } a_3 < \text{co deg } a_2$ . If  $\text{co deg } a_3 \geq \text{co deg } a_2$ , then based on Propositions 6 and 7, we note that in the matrix  $A(x)$  the inequality  $\text{co deg } a_3 \neq 2\text{co deg } a_1 + \text{co deg } a_2'$  holds true and in  $a_1(x)$  the monomial of degree  $2\text{co deg } a_1$  is absent. Moreover, we may take the junior coefficients of the polynomials  $a_1(x)$  and  $a_2(x)$  to be unit, if  $a_1(x), a_2(x) \neq 0$ . If one of the polynomials  $a_1(x), a_2(x)$  is identical zero, then we may take the junior coefficients of the nonzero underdiagonal entries of the matrix  $A(x)$  to be unit. Such matrix  $A(x)$  we shall call the *reduced matrix*. All subsequent semiscalarly equivalent transformations of the matrix  $A(x)$  should not violate her property to be reduced.

#### 4. Invariants of the Reduced Matrix

**Theorem 8.** *In reduced matrix  $A(x)$  of the form (2)  $\text{co deg } a_1$ ,  $\text{co deg } a_2$ , and  $\text{co deg } a_3$  are invariants with respect to semiscalarly equivalent transformations.*

*Proof.* Let  $A(x)$  and  $B(x)$  be reduced matrices of the form (2) and  $A(x) \approx B(x)$ . From equality (3), where matrix  $\|s_{ij}\|_1^3$  by Proposition 2 is upper triangular, we get

$$\begin{aligned} s_{22}a_1(x) + s_{23}a_3(x) &\equiv s_{11}b_1(x) + s_{12}a_1(x)b_1(x) \\ &\quad + s_{13}a_3(x)b_1(x) \pmod{x^{k_1}}. \end{aligned} \quad (26)$$

Recall that  $s_{11}, s_{22} \neq 0$ . If  $b_1(x) \equiv 0$ , then from (26) it follows that  $a_1(x) \equiv 0$ , i.e.,  $\text{co deg } a_1 = \text{co deg } b_1 = +\infty$ . Let  $a_1(x) \neq 0$  ( $b_1(x) \neq 0$ ). If  $\text{co deg } a_1 < \text{co deg } a_3$ , then from (26) at once we have  $\text{co deg } a_1 = \text{co deg } b_1$ . If  $\text{co deg } a_1 > \text{co deg } a_3$ , then  $\text{co deg } a_3 < k_1 < \text{co deg } a_2$ . In view of Proposition 3, we get

$$\begin{aligned} k_1 > \text{co deg } a_3 &= \text{co deg}(a_2(x), a_3(x), x^{k_2}) \\ &= \text{co deg}(b_2(x), b_3(x), x^{k_2}) = \text{co deg } b_3. \end{aligned} \quad (27)$$

Also by Proposition 3 we have  $\text{co deg}(a_1(x), a_3(x), x^{k_1}) = \text{co deg}(b_1(x), b_3(x), x^{k_1})$ . For this reason  $\text{co deg } b_1 > \text{co deg } b_3 = \text{co deg } a_3$  and from (26) it follows that  $s_{23} = 0$ . Thus,  $\text{co deg } b_1 = \text{co deg } a_1$ .

From equality (37) we can write

$$s_{33}a_2(x) \equiv b_3(x)r_{12}(x) + b_2(x)r_{22}(x) \pmod{x^{k_2}}, \quad (28)$$

$$s_{33}a_3(x) \equiv b_3(x)r_{11}(x) + b_2(x)r_{21}(x) \pmod{x^{k_2}}. \quad (29)$$

We recall that  $s_{33} \neq 0$ . If  $b_2(x) \equiv 0$ , then (29) implies that  $\text{co deg } a_3 = \text{co deg } b_3$ . Then, from (28) we find  $a_2(x) \equiv 0$ , since  $r_{12}(x) = s_{12}x^{k_1} + s_{13}a_2(x)$ ,  $\text{co deg } a_2 \neq \text{co deg } a_3 + k_1$ .

Let  $a_2(x) \neq 0$  ( $b_2(x) \neq 0$ ). If  $\text{co deg } b_3 < \text{co deg } b_2$ , then (29) implies that  $\text{co deg } a_3 = \text{co deg } b_3$ , and from (28), taking into account the form of  $r_{12}(x)$  and  $\text{co deg } a_3 \neq \text{co deg } a_2'$ , we have  $\text{co deg } a_2 = \text{co deg } b_2$ .

If  $\text{co deg } b_3 \geq \text{co deg } b_2$ , then from (28) we get also  $\text{co deg } a_2 = \text{co deg } b_2$ . If  $2\text{co deg } b_1 < k_1$ , then from (26) we obtain  $s_{12} = 0$ ,  $a_1(x) = b_1(x)$  and  $r_{21}(x) = 0$ . Therefore, from (29) it is clear that  $\text{co deg } a_3 = \text{co deg } b_3$ . In particular,  $a_3(x) = 0 \iff b_3(x) = 0$ . If  $2\text{co deg } b_1 \geq k_1$ , then from (26) we have  $a_1(x) = b_1(x)$ . In this case, rewrite (29) in the detailed form as

$$\begin{aligned} & s_{33}a_3(x) - s_{11}b_3(x) + s_{12}a_1(x)\delta_B(x) \\ & + s_{13}a_3(x)\delta_B(x) - s_{23}a_3(x)b_2'(x) \equiv 0 \end{aligned} \quad (30)$$

$$(\text{mod } x^{k_2}),$$

where  $\delta_B(x) = b_1(x)b_2'(x) - b_3(x)$ ,  $b_2(x) = x^{k_1}b_2'(x)$ . Since  $\text{co deg } \delta_B = \text{co deg}(b_1(x)b_2'(x)) = \text{co deg}(a_1(x)a_2'(x))$  and  $\text{co deg } a_3, \text{co deg } b_3 \neq 2\text{co deg } a_1 + \text{co deg } a_2'(x)$ , as seen from the last congruence,  $\text{co deg } a_3 = \text{co deg } b_3$ . Moreover,  $s_{12} = 0$ , if  $\text{co deg } a_3 > 2\text{co deg } a_1 + \text{co deg } a_2'(x)$ . Also in this case from last congruence we obtain  $a_3(x) = 0 \iff b_3(x) = 0$ . Theorem is proved.  $\square$

**Corollary 9.** *Let in the reduced matrix  $A(x)$  one of the following three conditions hold true:*

$$\text{co deg } a_3 < \text{co deg } a_1 \neq +\infty, \quad (31)$$

$$\text{co deg } a_3 < \text{co deg } a_2' \neq +\infty, \quad (32)$$

$$+\infty \neq \text{co deg } a_3 > 2\text{co deg } a_1 + \text{co deg } a_2', \quad (33)$$

$$\text{co deg } a_3 \geq \text{co deg } a_2.$$

Then left reducible matrix in the passage from  $A(x)$  to the reduced matrix  $B(x)$  is of the form

$$\begin{pmatrix} s_{11} & s_{12} & s_{13} \\ 0 & s_{22} & 0 \\ 0 & 0 & s_{33} \end{pmatrix}, \quad (34)$$

if condition (31) is fulfilled, or

$$\begin{pmatrix} s_{11} & 0 & s_{13} \\ 0 & s_{22} & s_{23} \\ 0 & 0 & s_{33} \end{pmatrix}, \quad (35)$$

if one from two conditions (32), (33) is valid.

**Corollary 10.** *Identical equality to zero of the entry  $a_1(x)$ ,  $a_2(x)$ , or  $a_3(x)$  of the reduced matrix  $A(x)$  is invariant with respect to semiscalarly equivalent transformations.*

*Remark.* If some two underdiagonal entries in the reduced semiscalarly equivalent matrices  $A(x)$ ,  $B(x)$  are nonzero, then diagonal entries of the left reducible matrix, which by Proposition 2 is upper triangular, are equal to each other. Therefore, we can choose this matrix as unitriangular.

Let reduced matrices  $A(x)$ ,  $B(x)$  of the form (2) be given. Henceforth we shall apply the following notations:

$$\begin{aligned} \Delta_A(x) &:= \begin{vmatrix} a_1(x) & x^{k_1} \\ a_3(x) & a_2(x) \end{vmatrix}, \\ \Delta_B(x) &:= \begin{vmatrix} b_1(x) & x^{k_1} \\ b_3(x) & b_2(x) \end{vmatrix} \end{aligned} \quad (36)$$

$$\delta_A(x) = \frac{\Delta_A(x)}{x^{k_1}} \in \mathbf{C}[x],$$

$$\delta_B(x) = \frac{\Delta_B(x)}{x^{k_1}} \in \mathbf{C}[x].$$

**Corollary 11.** *If  $a_1(x), a_2(x) \neq 0$  and  $\text{co deg } a_3 \geq \text{co deg } a_2$  in the reduced matrix  $A(x)$ , then  $\text{co deg } \Delta_A = \text{co deg}(a_1(x)a_2(x))$ . Therefore,  $\text{co deg } \Delta_A$  is an invariant with respect to semiscalarly equivalent transformations.*

**Theorem 12.** *In the reduced matrix  $A(x)$  the quantity  $\text{co deg } \Delta_A$  is an invariant with respect to semiscalarly equivalent transformations.*

*Proof.* Let  $A(x), B(x)$  be reduced matrices of the form (2) and  $A(x) \approx B(x)$ . Then from equality (3), where matrix  $\|s_{ij}\|_1^3$  is upper triangular (see Remark), we obtain

$$\begin{aligned} a_2(x) &= b_2(x) - s_{12}\Delta_B(x) - s_{13}a_2(x)\delta_B(x) \\ &+ s_{23}a_2(x)b_2'(x) + x^{k_2}r_{32}(x), \end{aligned} \quad (37)$$

$$\begin{aligned} a_3(x) &= a_1(x)b_2'(x) - \delta_B(x) - s_{12}a_1(x)\delta_B(x) - \\ &- s_{13}a_3(x)\delta_B(x) + s_{23}a_3(x)b_2'(x) + x^{k_2}r_{31}(x), \end{aligned} \quad (38)$$

where  $b_2'(x) = b_2(x)/x^{k_1} \in \mathbf{C}[x]$ . Excluding from (37) and (38) the summand, which contains  $s_{12}$ , we define

$$\begin{aligned} \Delta_A(x) &= \Delta_B(x) - \Delta_A(x)(s_{13}\delta_B(x) - s_{23}b_2'(x)) \\ &+ x^{k_2}(a_1(x)r_{32}(x) - x^{k_1}r_{31}(x)). \end{aligned} \quad (39)$$

Since  $\text{co deg } \Delta_A < k_2 + \text{co deg } a_1 \leq \text{co deg}(x^{k_2}(a_1(x)r_{32}(x) - x^{k_1}r_{31}(x)))$ ,  $\text{co deg } \Delta_A < \text{co deg}(\Delta_A(x)(s_{13}\delta_B(x) - s_{23}b_2'(x)))$ , from (39) it follows that  $\text{co deg } \Delta_A = \text{co deg } \Delta_B$ .  $\square$

**Corollary 13.** *The congruence  $\text{co deg } \Delta_A \equiv 0 \pmod{x^{k_2 + \text{co deg } a_1}}$  is an invariant of  $A(x)$  with respect to semiscalarly equivalent transformations.*

## Data Availability

No data were used to support this study.

## Conflicts of Interest

The author declares that there are no conflicts of interest regarding the publication of this paper.

## References

- [1] P. S. Kazimirskii and V. M. Petrychkovych, "On the Equivalence of Polynomials Matrices," in *Theoretical and Applied Problems in Algebra and Differential Equations*, pp. 61–66, Naukova Dumka, Kyiv, Ukraine, 1977.
- [2] P. S. Kazimirskii, *Factorization of Matrix Polynomials*, Naukova Dumka, Kyiv, Ukraine, 1981.
- [3] J. A. Dias da Silva and T. J. Laffey, "On simultaneous similarity of matrices and related questions," *Linear Algebra and its Applications*, vol. 291, no. 1-3, pp. 167–184, 1999.
- [4] L. Baratchart, "Un Theoreme de Factorisation et son Application a la Representation des Systemes Cuclique Causaux," *Comptes Rendus de l'Académie des Sciences, Series I: Mathematics*, vol. 295, no. 3, pp. 223–226, 1982.
- [5] B. Z. Shavarovskii, "A complete system of invariants of a second-order matrix with respect to semiscalar equivalence transformations," *Matematicheskie Metody i Fiziko-Mekhanicheskie Polya*, no. 13, pp. 3–12, 1981.
- [6] B. Z. Shavarovskii, "On invariants and canonical form of matrices of second order with respect to semiscalar equivalence," *Buletinul Academiei de Ştiinţe a Republicii Moldova. Matematica*, vol. 82, no. 3, pp. 12–23, 2016.
- [7] B. Z. Shavarovskii, "Toeplitz Matrices in the Problem of Semiscalar Equivalence of Second-Order Polynomial Matrices," *International Journal of Analysis*, vol. 2017, Article ID 6701078, 14 pages, 2017.
- [8] S. Friedland, "Simultaneous similarity of matrices," *Advances in Mathematics*, vol. 50, no. 3, pp. 189–265, 1983.
- [9] B. Z. Shavarovskii, "Canonical form of polynomial matrices with all identical elementary divisors," *Ukrainian Mathematical Journal*, vol. 64, no. 2, pp. 282–297, 2012.

