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## Review Article

# 3D Self-Supported Nanoarchitectured Arrays Electrodes for Lithium-Ion Batteries

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Three-dimensional self-supported nanoarchitectured arrays electrodes (3DSNAEs) consisting of a direct growth of nanoarchitectured arrays on the conductive current collector, including homogeneous and heterogeneous nanoarchitectured arrays structures, have been currently studied as the most promising electrodes owing to their synergies resulting from the multistructure hybrid and integrating heterocomponents to address the requirements (high energy and power density) of superperformance lithium ion batteries (LIBs) applied in portable electronic consumer devices, electric vehicles, large-scale electricity storage, and so on. In the paper, recent advances in the strategies for the fabrication, selection of the different current collector substrates, and structural configuration of 3DSNAEs with different cathode and anode materials are investigated in detail. The intrinsic relationship of the unique structural characters, the conductive substrates, and electrochemical kinetic properties of 3DSNAEs is minutely analyzed. Finally, the future design trends and directions of 3DSNAEs are highlighted, which may open a new avenue of developing ideal multifunctional 3DSNAEs for further advanced LIBs.

#### 1. Introduction

Currently, extensive concern about the increasingly worsened environmental pollution and impending exhaustion of limited energy resources has brought about the ever-going demand for seeking renewable and clean energy sources to cope with these serious energy and environmental issues [1–3]. As a suitable energy source, lithium ion batteries (LIBs) are being exploited for the widespread applications [4–7] in portable electronic consumer devices, electric vehicles, and large-scale electricity storage in intelligent grids, due to the multiple superiorities such as high energy density, safety, long life, low cost, and environmental benignity.

A typical commercial LIB consists of a positive electrode (cathode) formed from layered LiCoO<sub>2</sub>, a nonaqueous liquid electrolyte, and a graphite negative electrode (anode) in Figure 1(a). During the charging process, lithium ion (Li<sup>+</sup>) is deintercalated from the layered LiCoO<sub>2</sub> host, passes through the electrolyte, and is intercalated between the graphite

layers. Vice versa, discharge reverses this process. The electrons, of course, pass across the external circuit [8]. To realize the charge and discharge, the commercial LIBs are commonly constructed by electrodes and the electrolyte trapped within a polypropylene separator, in which electrodes are fabricated by the slurry procedure involved in mixing active electrodes materials with conducting carbon and polymeric binders and then casting them onto the current collector in Figure 1(b).

The electrodes materials are rated on the basis of their specific (gravimetric) capacity (mAh g<sup>-1</sup>), determined by their charge/discharge graphs, and the degree of capacity change upon cycling at different demands. Intense scrutinized cathode materials, mainly including LiCoO<sub>2</sub>, LiMn<sub>2</sub>O<sub>4</sub>, LiNi<sub>x</sub>Mn<sub>y</sub>Co<sub>z</sub>O<sub>2</sub>, LiFePO<sub>4</sub>, and V<sub>2</sub>O<sub>5</sub> [9–13], have their own unique structured merits, offering highly accessible Li<sup>+</sup> diffusion pathways and demerits like limited specific capacities as well as poor capacity retention [14, 15]. Anode materials, such as carbon, silicon, alloy, metal oxides,

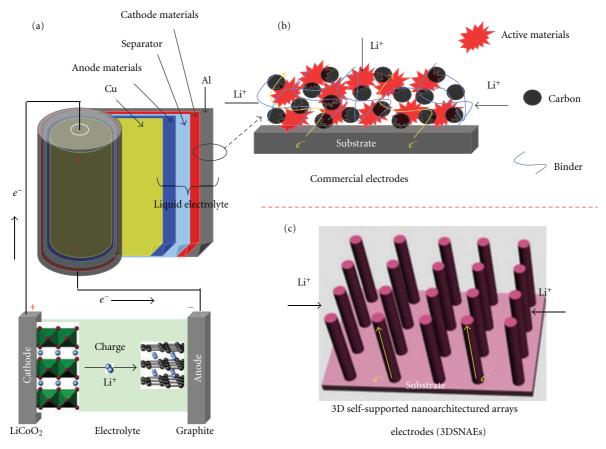


FIGURE 1: Schematic showing (a) operating principles and components of the typical commercial cylindrical lithium ion batteries (LIBs), (b) the slurry procedure of fabricating commercial electrodes, and (c) the configuration of novel 3D self-supported nanoarchitectured arrays electrodes (3DSNAEs).

and metal sulfides/phosphides, exhibit high capacities and couple to the poorly structured stability and volume variation issues [16–24]. No matter how creative researchers design new lithium intercalation materials, one drawback exists due to the intrinsic diffusivity of Li<sup>+</sup> in the solid state (ca. 10<sup>-8</sup> cm<sup>2</sup> s<sup>-1</sup>), which unavoidably limits the charge/discharge performance [25]. In this regard, many efforts have been devoted to devise a variety of nanomaterials with unique structures from zero-dimensional nanoparticles and one-dimensional (1D) nanowires to three-dimensional (3D) micro-/nanostructures and hollow- and core-shell structures, resulting in improved electrochemical performance to satisfy the demands of industrial applications [25–31].

These nanomaterials aforementioned have great superiorities [1, 32, 33] over their bulk counterparts. The reduced dimensions of materials shorten the Li<sup>+</sup> and electron transport length, leading to higher capacity at high rates. Meanwhile, a high surface area allows a large contact interface between the electrode material and electrolyte and enhances the Li<sup>+</sup> flux across the interface, resulting in a higher capacity. In addition, the small size could accommodate the strain associated with intercalation, reducing the capacity losses. However, nanomaterials are not a panacea to a certain extent and have some disadvantages [34, 35]

such as more side reactions with the electrolyte due to the high electrolyte/electrode surface area, weak structure stabilities induced by aggregations, and lower volumetric energy density compared with microscale materials.

To transcend the above limitations of nanomaterials, selecting a proper approach, a proper structure, and a proper combination of various materials to assemble the active materials into the desired structures is indispensable. Recently, a novel configuration of 3D self-supported nanoarchitectured arrays electrodes (3DSNAEs) in Figure 1(c) has attracted much attention owing to the combination of diversified nanostructures' merits, including high surface in favor of high capacity, ordered and stable structures to the benefit of buffering for the volume change and improving the electric conductivity of electrodes, and lower aggregation and collapse in comparison to nanoparticles [36–38]. This kind of 3DSNAEs consists of a direct growth of nanoarchitectured arrays on the conductive current collector, providing a direct pathway for efficient charge transport along the arrays axis and forming a 3D conductive network among active materials, nanoarchitectured arrays pathways, and the conductive current collector. Differencing from the traditional slurry procedure, each nanoarchitectured array of 3DSNAEs is directly connected to the current-carrying substrate without any conductive and binder agents and allows for all

structures' contribution to the capacity and efficient charge transport, leading to the excellent electrochemical properties [37, 39, 40].

In this paper, detailed investigations are focused on strategies for the fabrication, selection of the different current collector substrates, and structural configuration of 3DSNAEs with different cathode and anode materials for LIBs. The intrinsic relationship of the unique structural characters, the conductive substrates, and electrochemical kinetic properties of 3DSNAEs is minutely analyzed, and recent work by our group is also summarized. Finally, the problems and prospects are highlighted on this kind of 3DSNAEs.

#### 2. Strategies for Fabrication

Nanoarchitectured arrays of 3DSNAEs, including 1D nanowires (NWs), and nanotubes (NTs), nanorods (NRs), are apparently influenced by their properties of the conductive substrates, owing to the unique structures that nanoarchitectured arrays are directly grown on the current collector substrates. Different strategies, therefore, should be made to fabricate the 3DSNAEs grown on the different substrates. To the best of our knowledge, the conductive substrates applied in the 3DSNAEs principally contain Al, Cu, Ti, Ni, Au, Si, stainless steel (SS), Fe-based alloy, Sn-doped In<sub>2</sub>O<sub>3</sub> (ITO), and so on. And the strategies to fabricate 3DSNAEs may be classified into 3 categories in Figure 2, namely, self-growing, wet-etching, and template-based growth.

Self-growing in Figure 2(a) is the one for fabricating the nanoarchitectured arrays directly grown on the substrates by using a variety of techniques such as vapor phase growth, hydro-/solvothermal growth, and solution-based growth. Vapor phase growth including thermal chemical vapor deposition (CVD), direct thermal evaporation [41], and pulsed-laser deposition (PLD) is a straightforward way that controls the reaction between oxygen gas and metal vapor source in the light of vapor-liquid-solid and vapor-solid mechanisms [42, 43]. CVD involves the process of growing the nanoarchitectured arrays on the substrate surface via the chemical reaction in the gas phase, which is resulted from the vapor with the element of nanoarchitectured arrays and other indispensable gases in the reactive chamber. By using the CVD approach, Si NWs [37] and V<sub>2</sub>O<sub>5</sub>/SnO<sub>2</sub> NWs [44] could be directly grown on the SS substrate, and V<sub>2</sub>O<sub>5</sub> nanoribbons [45] would be also done on the Si substrate. Whilst employing the thermal evaporation technique, Si nanopillars [46] and SnO<sub>2</sub> NWs [47] could be orderly grown on the SS substrate. Besides, hydro-/solvothermal growth is a common method of preparing nanoarchitectured arrays grown on the metal or alloy substrate. The process involves the aqueous mixture of soluble metal salt (metal and/or metal-organic) of the precursor material and the treatment of the mixed solution in an autoclave filled under elevated temperature from 100°C to 300°C and relatively high pressure conditions >1 atm. Recently, MoO<sub>x</sub> NRs on the Cu substrate [48], single-crystal mesoporous Co<sub>3</sub>O<sub>4</sub>

nanobelts [49] and α-Fe<sub>2</sub>O<sub>3</sub> NRs [50] were prepared via the hydro-/solvothermal method. These approaches aforementioned, however, ordinarily require high temperature or high pressure. By comparison, the solution-based method based on a liquid-solid growth mechanism has been extensively exploited for preparing the free-standing nanoarchitectured arrays at the low temperature, which could reduce considerably the complexity and cost of fabrication [51, 52]. The process is that a piece of substrate immersed in reaction solutions generates a thin film with nanoarchitectured arrays at a low temperature <100°C for a while. For instance, the homogeneous and dense arrays of ZnO NWs grown on arbitrary substrates were produced by Greene et al. via the liquid-solid growth [53], and Li et al. prepared mesoporous Co<sub>3</sub>O<sub>4</sub> NWs arrays on the Ti substrate by the ammoniainduced solution growth [54].

Self-etching in Figure 2(b) involves a pure chemical or electrochemical reaction process, in which the surface layer of the conductive substrates is corroded and converted immediately to nanoarchitectured arrays by using the reactions between solutions and the etching materials. Lately, some considerable efforts have been devoted to fabricate ordered 1D Si nanostructured arrays via a metalassisted chemical etching approach [55, 56]. The etching process included the following aspects: (a) the oxidant was preferentially reduced on the surface of the noble metal; (b) the holes resulted from the reduction of the oxidant on the noble metal surface and were injected into the Si that was in contact with the noble metal; (c) the Si was oxidized and dissolved at the Si/metal interface by HF. Different from the Si etching, a solution-based corrosion is also to prepare nanoarchitectured arrays [57]. Immersed in alkaline solutions, the surface layers of Cu foil and Ni grid were corroded into 1D CuO arrays [58, 59] and Ni<sub>3</sub>S<sub>2</sub> NW arrays [60], respectively. In addition, self-organized TiO<sub>2</sub> NTs arrays [61, 62] had been prepared via electrochemical anodization of Ti foils by controlling the electrolyte composition as well as the rate at which the resultant oxide was dissolved. To be excited, a novel vapor-phase corrosion strategy had been developed by our group to fabricate CuO hierarchically mesoporous nanosheet-assembled gearlike pillar arrays (HMNGPAs) and mesoporous nanosheet cluster arrays (MNCAs) [63, 64]. This strategy involved the oxidation, complexation, and thermal decomposition processes and was simple, low-cost, and broadly applicable, providing a new avenue for largescale configuration of nanoarchitectured arrays with unique multifunctional properties.

Template-based growth, just as its name implies, is that the employed materials deposited in the template decorated on the substrate surface via a certain chemical or physical approach are transformed to nanoarchitectured arrays by removing the template membrane, as shown in Figure 2(c). The AAO membrane is the most widely used template, because of its facile preparation and the controllable uniformity pore distribution with lower ohmic drop, validating the homogeneous electrolyte flow. For example, arrays of perpendicular Cu nanopillars on the Cu foil substrate were fabricated by Taberna et al. via cathodic electrodepositing of the electrolyte with Cu<sup>2+</sup> ion into the pores of AAO

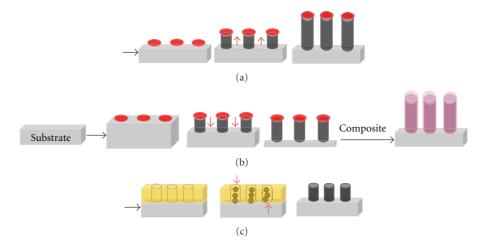


FIGURE 2: Schematic for the fabrication principle of 3DSNAEs by self-growing (a), self-etching (b), and template-based growth (c).

membrane [65]. Kim et al. [66] prepared vertical arrays of MnO<sub>2</sub> NWs on the Si substrate by the following steps: (a) sputtering a thick aluminum layer on silicon chips, (b) anodizing the aluminum layer, (c) anodic electrodepositing of MnO<sub>2</sub> NWs in the alumina pores, and (d) removing the AAO layer. Besides, by employing the CVD and AAO templates technique, the vertically aligned core-shell Au/CNT-V<sub>2</sub>O<sub>5</sub> NRs arrays on the Al substrate had been synthesized by Kim et al. shown in Figure 3 [67]. Coupled with the previous irrigation from the top of templates, V<sub>2</sub>O<sub>5</sub>-TiO<sub>2</sub> NRs arrays were prepared by a capillary-enforced template-based method, filling into the pores of a polycarbonate membrane (PC) from the bottom with VOSO<sub>4</sub> and TiOSO<sub>4</sub> solutions [68].

## 3. Selecting Substrate

The current collector substrate, as a main component of 3DSNAEs, plays a significant role in the configuration and electrochemical performance of 3DSNAEs. The substrate could be classified into three major categories shown in Table 1: cathode substrate with high oxidation voltage (Al), anodic substrate with high electrochemical stability in a low voltage window (Cu), and multifunctional substrate, on the basis of functions of 3DSNAEs for LIBs.

3.1. Cathode Substrate. Generally, cathode substrate used to load cathode materials (LiCoO<sub>2</sub>, LiMn<sub>2</sub>O<sub>4</sub>, and LiFePO<sub>4</sub>) requires high oxidation voltage and high electrochemical stability in a wide voltage window. Al with multiple superiorities, such as high oxidation voltage (5 V versus Li/Li<sup>+</sup>), good electric conductivity, and low price, could be protected by a thin and dense Al<sub>2</sub>O<sub>3</sub> layer. However, in a low voltage close to 0 V (versus Li/Li<sup>+</sup>), an Li-Al alloying process would happen because of the deposition of dissolved Al<sup>3+</sup> from the Al<sub>2</sub>O<sub>3</sub> layer of the Al foil [114, 115]. Therefore, Al is mainly used as the current collector of cathode materials for LIBs. The single-crystal V<sub>2</sub>O<sub>5</sub> NRs and NTs arrays directly connected to the planar Al substrate were fabricated by

Wang et al. via template-based electrodeposition and delivered 5 and 1.3 times higher capacity than sol-gel-derived films at the same current density, respectively [69, 116, 117]. Despite the improved electrochemical performance of V<sub>2</sub>O<sub>5</sub> nanoarchitectured arrays, the planar Al substrate has limited surface area to provide the limited contact between active materials and substrate. In this regard, Ni NRs arrays were firstly grown on the planar Al substrate and then coated with  $V_2O_5$  to synthesize Ni- $V_2O_5 \cdot nH_2O$  nanocable arrays, which delivered approximately 10 times higher capacities singlecrystal V<sub>2</sub>O<sub>5</sub> NRs and 20 times higher than sol-gel-derived V<sub>2</sub>O<sub>5</sub> films, due to the large surface and short diffusion path offered by the Ni- $V_2O_5 \cdot nH_2O$  nanocable arrays [118]. Afterwards, Al NRs arrays directly grown on the Al foil to construct 3D current collectors for LIBs offered high surface area and facilitated the contribution to the capacity of active materials [119, 120]. For example, a vertically aligned Au/CNT-V2O5 core-shell NRs electrode developed by Kim et al. demonstrated high capacity (473.7 mAh  $g^{-1}$  at 1C rate) and excellent rate performance (379.2 mAh g<sup>-1</sup> at 10C rate) owing to the aligned nanostructures with increased reaction sites, facilitated charge transport, and improved mechanical stability [67].

3.2. Anode Substrate. Cu foil has been extensively used as the current collector of anode materials, because metal Cu with good conductivity hardly forms the Li-Cu alloy at a low voltage and could be oxidized and dissolved at the high voltage (>3.6 V versus Li/Li<sup>+</sup>) [115]. Nickel nanocones arrays (NCAs) which supported Si anode was firstly constructed by Zhang et al. via the electrodepositing and sputtering techniques and delivered a high capacity of 2400 mAh g<sup>-1</sup> at 0.2C rate over 100 cycles, because Ni NCAs facilitated charge collection and transport, supported the electrode structure, and acted as inactive confining buffers [121]. Thanks to factors that the chemical property of metal Cu is considerably brisk and easy to be corroded by acid or alkali solutions. The template-based growth is an effective strategy to construct 3DSNAEs on the Cu substrate.

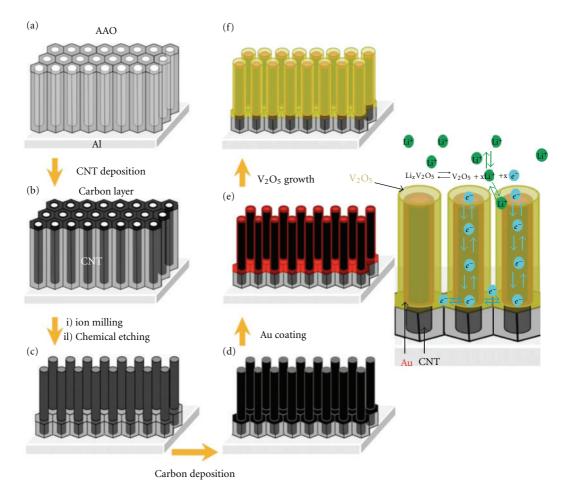


FIGURE 3: Schematic of the fabrication process of Au/CNT- $V_2O_5$  core-shell nanorod arrays with the schematized electrochemical reaction involving the nanostructured Li battery electrode [67].

Since Taberna et al. prepared Cu NRs arrays on the Cu substrate to construct Cu NRs arrays supported Fe<sub>3</sub>O<sub>4</sub> via AAO template-based electrodeposition [65], many anode materials such as Cu-Fe<sub>3</sub>O<sub>4</sub> [122, 123], Cu-Sn [74, 124], Cu-Bi [75], Cu-Cu<sub>3</sub>P [84], and Cu-Ni<sub>3</sub>Sn<sub>4</sub> [18] have been developed by the template-based growth. For example, as the anode materials, Cu-Ni<sub>3</sub>Sn<sub>4</sub> delivered a capacity of  $500 \,\mathrm{mAh}\,\mathrm{g}^{-1}$  with no decay over 200 cycles at 0.8C rate. In addition, solution-based growth is also an efficient way to large-scale fabricateion of 3DSNAEs on the Cu substrate, due to the easy realization of the preparation conditions. By varying these parameters including the ratio of reaction precursor ions, temperature, and immersing time, CuO NTs [58], nanobelts [59], NRs [76], ZnO NRs [77], and Cu<sub>2</sub>S NWs [83] could be directly grown on the Cu substrate. At 0.5C rate, CuO NRs delivered a high capacity of 650 mAh g<sup>-1</sup> with no capacity decay even after 100 cycles. Even at a high rate as high as 20C, Cu<sub>2</sub>S NWs were capable of delivering a reversible capacity of 145 mAh  $g^{-1}$ .

Metal Ni is widely available in many forms, such as foil, grid, and felts, and is stable up to 4.5 V (versus Li/Li<sup>+</sup>), after which the electrodissolution of Ni begins [125]. Ni is commonly used as the current collector of anode materials. Fan et al. reported that freestanding  $\text{Co}_3\text{O}_4$  nanosheets on the Ni

foil substrate delivered a reversible capacity of  $631 \, \text{mAh g}^{-1}$  after 50 cycles at a constant current of  $150 \, \text{mA g}^{-1}$  in a fixed voltage window from  $2.5 \, \text{V}$  to  $0.01 \, \text{V}$  [87]. Wu et al. prepared interconnected MnO<sub>2</sub> NWs on the Ni foil substrate by electrodeposition, which delivered reversible capacity of  $800 \, \text{mAh g}^{-1}$  and with low capacity decay after  $100 \, \text{cycles}$  [86]. Additionally, the oriented Ni<sub>3</sub>S<sub>2</sub> NWs arrays on the Ni grid substrate fabricated via the solution-based growth showed a reversible capacity of  $430 \, \text{mAh g}^{-1}$  with the capacity retention 80% over  $100 \, \text{cycles}$  [60].

Fe is an inexpensive metal and cannot generate Li-Fe alloy at 0 V (versus Li/Li<sup>+</sup>) during polarization in the cathodic direction; thus, Fe-based alloy substrate could be exploited for loading the metal oxide anode materials to construct 3DSNAEs via the hydro-/solvothermal growth. By controlling the conditions of the hydro-/solvothermal growth, Liu and coworkers have prepared SnO<sub>2</sub> NRs [88],  $C/\alpha$ -Fe<sub>2</sub>O<sub>3</sub> NTs [89], ZnO/C NRs [90], and SnO<sub>2</sub>/C NRs [126] directly grown on the Fe-base alloy substrate. The  $C/\alpha$ -Fe<sub>2</sub>O<sub>3</sub> NTs retained a reversible capacity of as high as 659 mAh g<sup>-1</sup> at 0.2*C* rate after 150 cycles, and the pristine  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> NTs maintained only 384 mAh g<sup>-1</sup> at the same conditions. Such good electrochemical performance may be attributed to the nanoscale intimate contact of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> with

Table 1: A summary of optimizing various electrode materials and suitable strategies to construct 3DSNAEs on various current collector substrates.

3DSANEs	Collector	Materials	Growth method	Remark
Cathode	Al	V <sub>2</sub> O <sub>5</sub> [69], LiCoO <sub>2</sub> [70]	Template-based chemical or physical deposition	Needing complicated synthesis techniques
	Sn-doped In <sub>2</sub> O <sub>3</sub> (ITO)	V <sub>2</sub> O <sub>5</sub> -TiO <sub>2</sub> [68], InVO <sub>4</sub> [71]	Chemical or physical deposition	
Anode	Cu	CNTs [72], Si [73], metal (Sn, Bi) [74, 75], MO (M = Fe, Cu, Zn, Ni)	Template-based chemical or physical deposition	
		[65, 76–78], alloy (SnCo [79], NiSn [18, 80, 81], SiGe [82]), Cu <sub>2</sub> S [83], Cu <sub>3</sub> P	Solution-based growth	It is a technique for large scale preparation
	Ni	[84], Sn/graphene [85] Ni <sub>3</sub> S <sub>2</sub> [60], MnO <sub>2</sub> [86], Co <sub>3</sub> O <sub>4</sub> [87]	Electrodeposition, solution-based growth	
	Fe-based alloy	MO (M = Sn, Fe, Zn) [88–90]	Hydrothermal, solution-based growth	
	Gold	SnO <sub>2</sub> [91], CNT-MnO <sub>2</sub> [92], SnCo [93]	Template-based chemical or physical deposition	Needing complicated synthesis techniques
Cathode or anode	The stainless steel	CNTs [94], Ge [95], Si [37, 96–99], CNT-Si [100], Si/Cu [101], SnO <sub>2</sub> [47], ITO/TiO <sub>2</sub> [102], Ni/MnO <sub>2</sub> [103], Fe <sub>2</sub> O <sub>3</sub> /SnO <sub>2</sub> [104], V <sub>2</sub> O <sub>5</sub> /SnO <sub>2</sub> [44],	Chemical/physical vapor deposition	Needing high temperature
		CNTs-LiCoO <sub>2</sub> (LiMn <sub>2</sub> O <sub>4</sub> ) [105], CNTs-LiCoPO <sub>4</sub> [106, 107]		
	Ti	V <sub>2</sub> O <sub>5</sub> [108], MO (M = Ti, Co, Fe, Sn) [49, 50, 62, 109]	Anodization, hydro/solvothermal, Solution-based growth	It is a hope for large scale preparation
	Si	V <sub>2</sub> O <sub>5</sub> [45], Si [56], Si/NiO [110], MnO <sub>2</sub> [111], Cu <sub>2</sub> O [112], Sn [113]	Chemical/physical deposition, etching	Needing complicated synthesis techniques

conductive carbon framework and the unique porous tubular nanostructures readily derived from sacrificial template-accelerated hydrolysis.

3.3. Dual Functional Substrate. Dual functional substrate, including SS, Ti, Ni, and Si, could act as cathode or anode substrate, owing to the superiorities such as a high oxidation voltage and non-Li-metal alloying process in a low voltage.

As an inert substrate, SS with a high oxidation voltage (5 V versus Li/Li<sup>+</sup>) has been widely used as the current collector for LIBs, because the Cr and Fe oxides on the surface protect the metal bulk from general corrosion. To the best of our knowledge, CVD and physical vapor deposition (PVD) are usually developed to construct 3DSNAEs on the SS substrate. Masarapu et al. found that aligned multiwall carbon nanotubes (MWNTs) on the SS substrate obtained by CVD technique delivered an initial capacity of 132 mAh g<sup>-1</sup> at 1C rate and a reversible capacity of 460 mAh g<sup>-1</sup> after 1200 cycles, attributing to the morphology of the MWNTs with structural and surface defects and the SS substrate' contribution to capacity [94]. Chan and coworkers prepared a series of Si-based nanoarchitectured arrays on the SS substrate by using CVD [37, 72, 95, 97] and found that Si NWs exhibited a stable capacity ~3500 mAh g<sup>-1</sup> for 20 cycles. Besides, Yan et al. used multistep CVD to construct V<sub>2</sub>O<sub>5</sub>/SnO<sub>2</sub> NWs with high power density of 60 kW kg<sup>-1</sup> and energy density of as high as 282 Wh kg<sup>-1</sup> [44]. Such excellent performance might be ascribed to the unique

core/shell structure that the thin  $V_2O_5$  layer was in favor of the fast Li<sup>+</sup> lithiation/delithiation, and the SnO<sub>2</sub> core offered a fast path for electron transportation and also increased the utilization of  $V_2O_5$ . By employing PVD technique, the vertical Si nanopillars arrays and single-crystal SnO<sub>2</sub> NWs on the SS substrate were also fabricated by Fleischauer et al. [46] and Ko et al. [47]. The SnO<sub>2</sub> NWs delivered a high discharge capacity of 510 mAh g<sup>-1</sup> after 50 cycles at 1C rate and displayed superior rate capability of 440 mAh g<sup>-1</sup> at 10C rate.

Metal Ti is very resistive to the alkaline ammonia solution and oxidation, does not alloy with lithium at low voltage, and therefore is a good current collector material for LIBs [127]. V<sub>2</sub>O<sub>5</sub> nanobelt arrays on the Ti substrate prepared via hydrothermal growth could deliver a reversible capacity of 240 mAh  $\rm g^{-1}$  stably after 50 cycles at the current density of 400 mA  $\rm g^{-1}$ , and a high rate capacity of 150 mAh  $\rm g^{-1}$  at 4000 mA  $\rm g^{-1}$  [108]. And the mesoporous  $\rm Co_3O_4$  NWs arrays on the Ti substrate via solution-based growth exhibited a high reversible capacity of 859 mAh g<sup>-1</sup> at the current density of 111 mA g<sup>-1</sup> and high rate capacities of 450 mAh g<sup>-1</sup> at  $2220 \text{ mA g}^{-1} \text{ and } 240 \text{ mAh g}^{-1} \text{ at } 5550 \text{ mA g}^{-1} \text{ [54]}. \text{ Whilst}$ directly anodizing and annealing Ti foil, the self-organized TiO<sub>2</sub> NTs on the Ti substrate could be obtained. Ortiz et al. found that the amorphous TiO2 NTs delivered a highest capacity of 77 μAh cm<sup>-2</sup>, and crystalline TiO<sub>2</sub> NTs had the best capacity retention up to 90% over 50 cycles [62]. Wei et al. reported that the TiO<sub>2</sub> NTs with 50 nm pore size and 20 nm thickness showed the highest reversible capacity of

 $180~\text{mAh}~\text{g}^{-1}$  over 140~cycles [128]. Liu and coworkers found that  $\text{TiO}_2~\text{NTs}$  annealed at  $N_2$  possessed an initial discharge capacity of  $163~\text{mAh}~\text{g}^{-1}$  and maintained  $145~\text{mAh}~\text{g}^{-1}$  over 50~cycles and that  $\text{TiO}_2~\text{NTs}$  annealed at CO exhibited an initial capacity of  $223~\text{mAh}~\text{g}^{-1}$  with the reversible capacity of  $179~\text{mAh}~\text{g}^{-1}$  at the 50th cycle [129, 130]. The results might be attributed to the presence of surface defects like Ti-C species and  $\text{Ti}^{3+}$  groups with oxygen vacancies, improving the charge-transfer conductivity of the arrays and promoting phase transition.

Although Si could form Li-Si alloy at ca. 0.12 V (versus Li/Li<sup>+</sup>), crystalline Si cores of core-shell crystal-amorphous Si NWs functioned as not active material store but a stable mechanical support [97]. Therefore, the Si substrate can act as a dual functional substrate for loading cathode or anode materials to construct 3DSNAEs. Chan et al. prepared singlecrystal V<sub>2</sub>O<sub>5</sub> nanoribbons via thermal vapor deposition and found that transformation of  $V_2O_5$  into the  $\omega$ -Li<sub>3</sub> $V_2O_5$  phase could take place within 10 s in thin nanoribbons, suggesting a significant increase in battery power density [45]. Liu and coworkers found that MnO<sub>2</sub>·0.5H<sub>2</sub>O nanowall arrays electrodeposited on the Si substrate exhibited a reversible capacity of 220 mAh g<sup>-1</sup> over 50 cycles at 0.5C rate and mesoporous MnO<sub>2</sub>·0.5H<sub>2</sub>O nanowall arrays with 500 nm thickness delivered a stable capacity of 256 mAh g<sup>-1</sup> [111, 131]. Such excellent electrochemical performance might be ascribed to the hierarchically structured macro- and mesoporosity of MnO<sub>2</sub>·0.5H<sub>2</sub>O, which offered a large surface area to volume ratio of favoring interface faradic reactions and shortened solid-state diffusion paths. Moreover, Kim et al. prepared vertical arrays of Sn NWs on the Si substrate via AAO template-based electrodeposition [113], which showed the discharge capacity of 400 mAh g<sup>-1</sup> after 15 cycles at the current density of 4200 mA g<sup>-1</sup>.

### 4. Structural Configuration

As electrodes materials of LIBs, nanomaterials could enhance charge/discharge kinetics and improve high Li storage capacity, despite of suffering from low thermodynamic stability and surface side-reactions. Optimizing and designing proper nanoarchitectured arrays to take advantages and restrain disadvantages of electrodes materials are, therefore, of particular importance to the electrochemical properties of 3DSNAEs. Types of the 3DSNAEs structure reviewed here contain homogeneous nanoarchitectured arrays and heterogeneous nanoarchitectured arrays (Figure 4).

4.1. Homogenous Nanoarchitectured Arrays. Homogenous nanoarchitectured arrays, namely, single-component nanostructure arrays, mainly include simple nanostructure arrays, nanostructure cluster arrays, and nanostructure gearlike arrays on the basis of the structure characteristic of nanoarchitectured arrays. For this category, nanostructure arrays directly connected to the conductive substrate are used as both the structural support and electroactive materials to alleviate large strain without pulverization and provide good electronic contact and conduction. Electroactive materials

of nanoarchitectured arrays, therefore, are considerably rigorous and should possess the merits including a degree of conductivity and easy growth controll.

Simple nanostructure arrays are commonly composed of single-phase 1D NWs, NTs, and NRs arrays directly connected to the conductive substrate. Up to now, the 1D array materials such as V<sub>2</sub>O<sub>5</sub> nanobelts [108], multiwall carbon nanotubes (MWCNTs) [72, 94], Si NWs [132], Ge NWs [95], Sn NWs [113], SnO<sub>2</sub> NRs [88], and MnO<sub>2</sub> NWs [86] have been developed to act as the 3DSNAEs with rate capability and cycling stability. V<sub>2</sub>O<sub>5</sub> nanobelts directly grown on a Ti substrate based on the hydrogen bonding action delivered high reversible capacity of 650 and  $520 \, \text{mAh} \, \text{g}^{-1}$  after 50 cycles at the current density of 1.2 and  $3 \, \text{A} \, \text{g}^{-1}$ , respectively. MWCNTs directly grown on a Cu current collector (MWCNT-on-Cu) in Figure 5 were synthesized by a two-step process of catalyst deposition and CVD and showed a high reversible capacity of 900 mAh g<sup>-1</sup> after 50 cycles at 1C rate. Excellent electrochemical properties of MWCNT-on-Cu structure could be ascribed to high Li ion intercalation on the carbon nanotube walls, strongly bonding with the Cu substrate and good conductivity.

Nanostructure cluster arrays, generally, consist of clusters of assembled NWs, NRs, and nanosheets. Because NWs, NRs and nanosheets are usually inclined to aggregate and form the nanostructure clusters due to their structural instability. Some nanostructure cluster arrays, recently, including network-like and flower-like CuO [133, 134], CuO MNCAs [64], and CuO pine-needle-like (PNL) arrays (see Figure 6) [135], have been used as the 3DSNAEs with enhanced lithium storage properties. CuO MNCAs synthesized by our group exhibited a high reversible capacity of 639.8 mAh g<sup>-1</sup> after 100 cycles at 1C rate and a high-rate capability of 548.8 mAh g<sup>-1</sup> at 10C rate. CuO PNL arrays fabricated by our group via an anodic route delivered high rate capacity of 545.9 and 492.2 mAh  $g^{-1}$  at 15C and 20C rates and exhibited excellent cyclability of 583.1 mAh g<sup>-1</sup> after 100 cycles at 2C rate. The enhanced lithium storage properties could be ascribed to the unique nanostructure cluster arrays, which provide suitable branches for lithium storage and suitable free space to facilitate Li+ flux across the interface as well as accommodating the large volume variation.

Nanostructure gearlike arrays. In this respect, the size of arrays directly connect to the substrate is mainly microscale, which is stable and easy to controlled synthesis by a simple approach. Furthermore, microarrays are ordinarily assembled by a mass of nanosheets, NWs, or NBs with the same component, in favor of enlarging the contact areas between active materials and electrolyte. The microscale coglike CuO fabricated by the microemulsion-mediated method exhibited a high reversible capacity of 583 mAh g<sup>-1</sup> at a rate of 4C [136]. In our work, CuO HMNGPAs [63] shown in Figure 7 have been synthesized via a novel vaporphase corrosion strategy and exhibited excellent cycling stability of  $651.6\,\mathrm{mAh\,g^{-1}}$  after 100 cycles at 0.5C rate and high-rate capability of 561.6 mAh g<sup>-1</sup> at 10C rate, because the unique HMNGPAs consisting of numerous gearlike pillars assembled by nanosheets could take full advantage of both micro and nano, namely, micropillars with structural

# Homogenous nanoarchitectured arrays

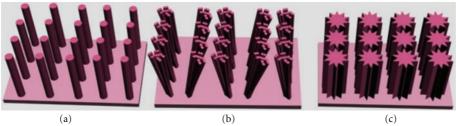


FIGURE 4: Schematic of homogenous nanoarchitectured arrays. (a) Simple nanostructure arrays, (b) nanostructure cluster arrays, and (c) nanostructure gearlike arrays.

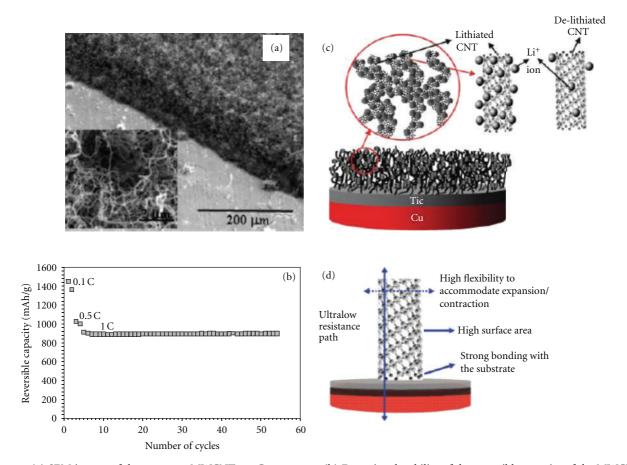


FIGURE 5: (a) SEM images of the as-grown MWCNT-on-Cu structure. (b) Exceptional stability of the reversible capacity of the MWCNT-on-Cu anode in long-run, at 1*C* rate. (c) A schematic of the proposed lithiation/delithiation mechanism. (d) A schematic of the proposed anode structure [72].

stability and mesoporous nanosheets with high lithiumstorage sites.

8

4.2. Heterogeneous Nanoarchitectured Arrays. Heterogeneous nanoarchitectured array are hybrid nanostructure arrays with multinanocomponents each tailored to satisfy different demands such as high energy density, high conductivity, and excellent mechanical stability. On the basis of the structural characteristic, heterogeneous nanoarchitectured arrays could be classified to six types, including (a) simple heterogeneous nanostructure arrays, (b) coaxial or core/shell heterogeneous

nanostructure arrays, (c) semicoated grenadelike heterogeneous nanostructure arrays, (d) dispersed heterogeneous nanostructure arrays, (e) branched heterogeneous nanostructure arrays, and (h) folded heterogeneous nanostructure arrays (Figure 8).

4.2.1. Simple Heterogeneous Nanostructure Arrays. Generally, this kind of structure is composed of 1D array structures with two or more components used as active materials, on the basis of the dual lithium insertion/desertion mechanism.  $V_2O_5$ -TiO<sub>2</sub> NRs arrays with molar ratio

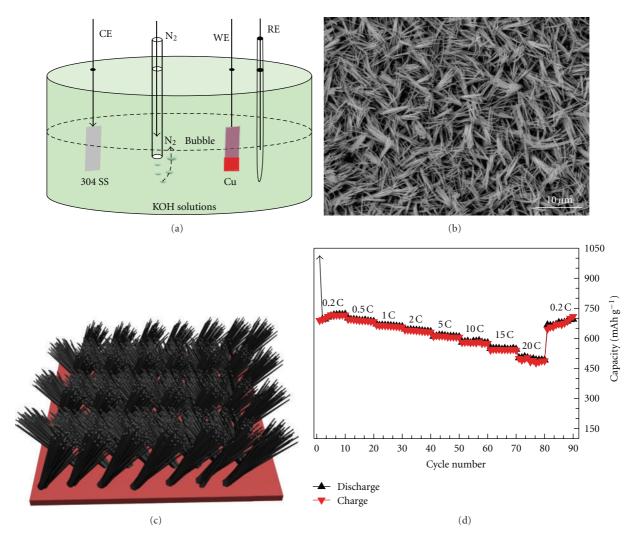


FIGURE 6: (a) A schematic of the cell used for the preparation of Cu(OH)<sub>2</sub> PNL arrays, wherein CE, WE, and RE represent the counter, working, and reference electrode, respectively. SEM image (b), structural schematic (c), and rate cyclability (d) of CuO PNL arrays gained by annealing Cu(OH)<sub>2</sub> PNL arrays [135].

V/Ti = 75/25 prepared by Takahashi et al. could deliver 1.5 times discharge capacity of  $V_2O_5$  NRs at the current density of 92 mA g<sup>-1</sup>, resulting from the change of crystallinity and interaction forces between adjacent layers in  $V_2O_5$  [68].  $SnO_2/\alpha$ -Fe<sub>2</sub>O<sub>3</sub> NTs arrays fabricated by Zeng et al. showed high areal capacities of 1.289 mAh cm<sup>-2</sup> at a current rate of 0.1 mA cm<sup>-2</sup>, possibly due to the synergistic lithium storage of  $SnO_2$  and  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> electroactive materials [137].

4.2.2. Coaxial or Core/Shell Heterogeneous Nanostructure Arrays. In this case, the coaxial or core/shell structures refer to 1D array inner cores coated completely by other materials as shells. The functions of 1D array inner cores and shells can be both the structural support and electroactive materials on the basis of the particularity of 1D array directly connected to the conductive substrate.

While the 1D array inner cores are only used as the structural support, the outer shells are used as the electroactive materials, and the unique structured materials containing

Al NRs-LiCoO<sub>2</sub> (see Figure 9) [70], Cu NRs-Fe<sub>3</sub>O<sub>4</sub> [65], Cu-Si nanocable [138], Cu-Si<sub>1-x</sub>Ge<sub>x</sub> NWs [82], hybrid ITO/TiO<sub>2</sub> [102], and Ni/Si NWs [139] exhibit a super high-rate performance. For example, the Cu-Si nanocable exhibited a specific capacity as high as 1890 mAh g<sup>-1</sup> under a current density of  $0.3\,\mathrm{A\,g^{-1}}$  and  $1660\,\mathrm{mAh\,g^{-1}}$  under a current density of 1.4 A g<sup>-1</sup>. Hybrid ITO/TiO<sub>2</sub> demonstrated an extremely low average capacity fading ~0.1% per cycle for 1000 cycles at a current density of 60C. The previous excellent lithium storage properties could be related to the unique Al, Cu, ITO, and Ni 1D nanostructures directly connected to the conductive substrate, which act as inner cores of electroactive materials and form the 3D conductive network of the current collectors, providing both efficient pathways for ion and electron transport, improving the energy density per unit area.

The other strategy is that the 1D array inner cores act as the active materials and the shell as conductive agents (amorphous carbon). The semiconductor metal oxides have been usually constructed to the core/shell  $Fe_2O_3/C$  NTs and

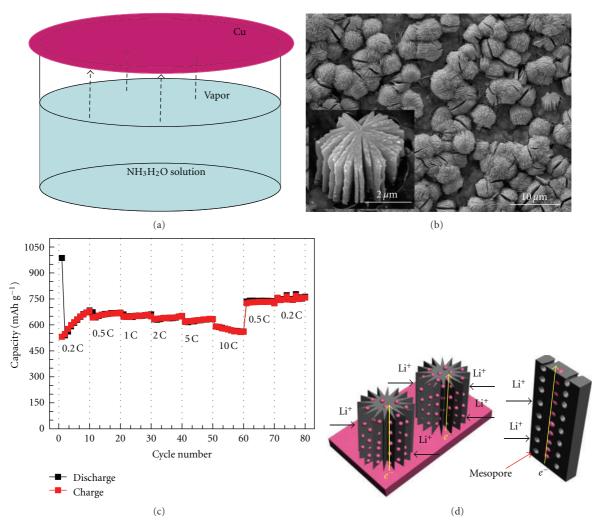


FIGURE 7: (a) Schematic device of the ammonia vapor-phase corrosion route. SEM images (b), rate cyclability (c), and a schematic diagram of discharge-charge (d) of CuO HMNGPAs [63].

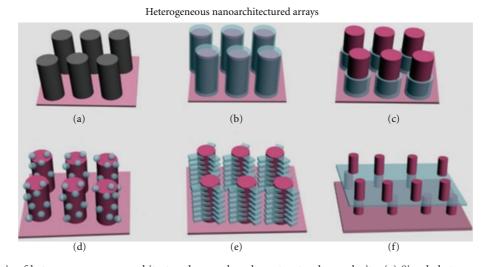


FIGURE 8: Schematic of heterogeneous nanoarchitectured arrays based on structural complexity. (a) Simple heterogeneous nanostructure arrays, (b) coaxial or core/shell heterogeneous nanostructure arrays, (c) semicoated grenadelike heterogeneous nanostructure arrays, (d) dispersed heterogeneous nanostructure arrays, (e) branched heterogeneous nanostructure arrays, and (f) folded heterogeneous nanostructure arrays.

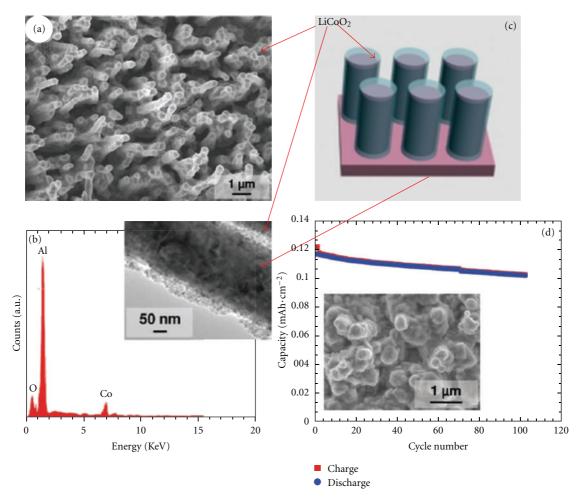


FIGURE 9: SEM images (a), EDX pattern (b), structural schematic (c), and cycling performance (d) of Al NRs-LiCoO<sub>2</sub>. Insert of (b) shows a TEM image of Al NRs-LiCoO<sub>2</sub>, and insert of (d) shows an SEM image of Al NRs-LiCoO<sub>2</sub> 3D electrode cycled 50 times at 0.2C rate [70].

NRs [50, 89], ZnO/C [90], and SnO<sub>2</sub>/C NRs [126] arrays, wherein metal oxides functioned as both the active core and the structural skeleton, and the carbon shells (nano-Cu) are used to improve the conductivity of materials and suppress the volume expansion. SnO<sub>2</sub>/C NRs prepared by a two-step hydrothermal method exhibited a high reversible capacity of 585 mAh g<sup>-1</sup> after 50 cycles at 500 mA g<sup>-1</sup>. Furthermore, a new copper-coating layer has better conductivity than carbon and can suppress electrolyte decomposition on the surface of 1D array. Chen et al. found the copper-coated Si NWs showed an initial Coulombic efficiency of 90.3% at a current density of 210 mA g<sup>-1</sup> [101].

The third way is that the inner cores and shells are both the active materials to construct the coaxial  $SnO_2$  NWs/CNTs [91], hybrid  $MnO_2$ /CNTs [92], and C-Si NTs sponge [140]. Hybrid  $MnO_2$ /CNTs delivered the first discharge capacity of 2170 mAh g<sup>-1</sup> and a reversible capacity of ~500 mAh g<sup>-1</sup> after 15 cycles. This kind of material showed the good charge/discharge performance, due to the dual lithium storage mechanism of insertion/desertion, in which CNTs acted as a highly conductive backbone to accommodate the volume expansion and avoid agglomeration.

4.2.3. Semicoated Grenadelike Heterogeneous Nanostructure Arrays. The grenadelike structure is analogous to the core/ shell structure and composed of inner cores and outer shells. The difference, however, is that the outer shell of grenadelike structures is semicoated. Generally, the inner core is the major component with functional properties, while the outer shell acts as the structural support. Ortiz et al. prepared NWs SnO/NTs TiO<sub>2</sub> [141] and NWs Fe<sub>2</sub>O<sub>3</sub>/NTs TiO<sub>2</sub> (see Figure 10) [142] in the matrix of TiO<sub>2</sub> NTs by anodization and electrodeposition. At the current density of  $50 \,\mu\text{A}\,\text{cm}^{-2}$ , NWs SnO/NTs TiO2 exhibited a remarkable reversible capacity of about  $140 \,\mu\text{Ah}\,\text{cm}^{-2}$  with the capacity retention of ca. 85% over 50 cycles. The enhanced electrochemical performance could be ascribed to the TiO2 NTs matrix that allows the volume expansion during the Li<sup>+</sup> insertion and desertion process.

4.2.4. Dispersed Heterogeneous Nanostructure Arrays. In this dispersed structure, nanomaterials are commonly anchored on the surface of 1D array to be used as the electroactive components or the conductive network for facile electrons transport. While the 1D array (CNTs and Si NWs)

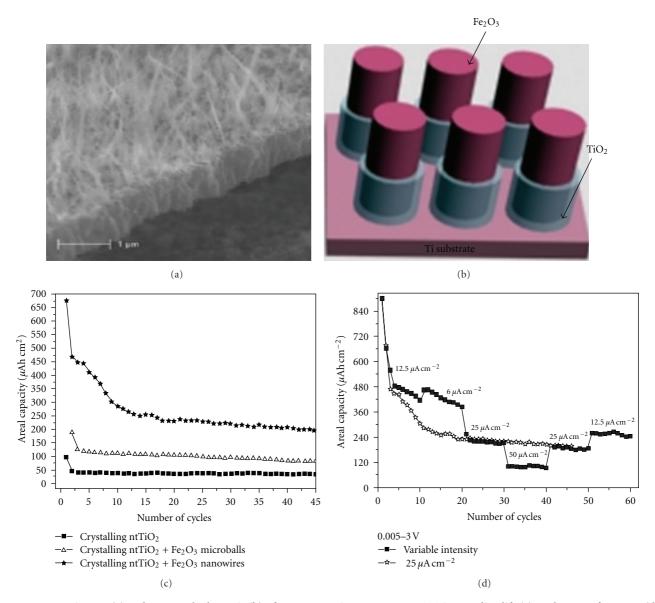


FIGURE 10: SEM images (a) and structural schematic (b) of nanocomposite NWs Fe<sub>2</sub>O<sub>3</sub>/NTs TiO<sub>2</sub>, cycling life (c), and rate performance (d) of nanocomposite electrodes [142].

functioned as the electrical conducting pathway and the stable mechanical support for strain release, the anchored nanomaterials including LiCoO<sub>2</sub> (LiMn<sub>2</sub>O<sub>4</sub>) [105], LiCoPO<sub>4</sub> [106, 107], and NiO NWs [110] acted as the electroactive components. The binder-free LiCoO<sub>2</sub>-3 wt% SAC-NTs composites could deliver high reversible capacities of  $145.7 \,\mathrm{mAh}\,\mathrm{g}^{-1}$  at 0.1C rate and  $130.4 \,\mathrm{mAh}\,\mathrm{g}^{-1}$  at 2C rate. The ordered NiO-coated Si NWs arrays showed a reversible capacity of 606.13 mAh  $g^{-1}$  at the rate of 50 mA  $g^{-1}$  after 30 cycles. While the dispersed nanomaterials (Ag nanoparticles) are mainly applied to improve the conductive and utilization of the active materials, the Co<sub>3</sub>O<sub>4</sub>-Ag NWs arrays exhibited more than 82% capacity retention at the current density of 2000 mA g<sup>-1</sup> after 20 cycles, in comparison with 74% capacity retention of the pristine Co<sub>3</sub>O<sub>4</sub> arrays [143]. When 1D array and dispersed nanomaterials are both used as

electroactive materials, these structural materials of VA CNTs-Si [100, 144–146] showed high rate capacities of lithium storage. For instance, VA-CNTs/Si arrays shown in Figure 11 via a two-step CVD technique exhibited high discharge capacities of 2980, 1890, and 765 mAh g<sup>-1</sup> at 1.3*C*, 5*C* and 15*C* rate, respectively.

4.2.5. Branched Heterogeneous Nanostructure Arrays. Being similar to core-shell structure, branched heterogeneous nanostructure arrays, generally, consist of an inner core and outer shell with a branched structure and possess both all desired functions of each component and a strong synergetic enhancement. More specifically, the inner core provides a direct pathway for electron transport, while the outer shell maintains the structural stability of the inner core during the discharge-charge process. The core-shell Ni/MnO<sub>2</sub> hybrid

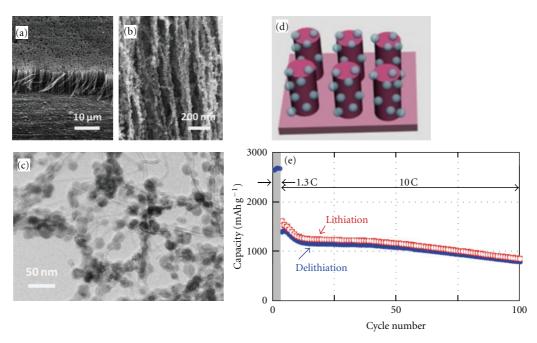


FIGURE 11: SEM (a, b), TEM (c) images, structural schematic (d), and cycling performance (e) of the as-prepared VA-CNTs/Si arrays on the Si substrate [146].

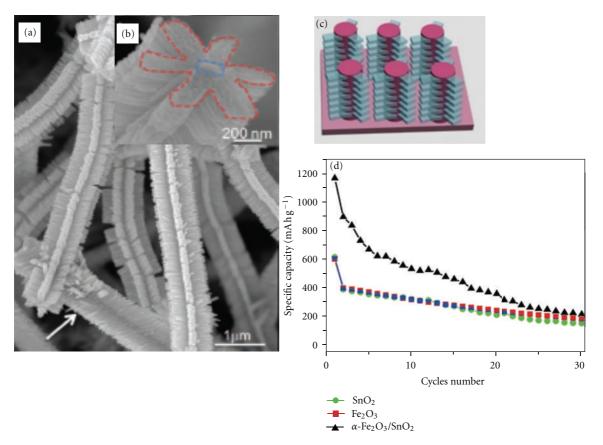


Figure 12: SEM images (a, b) and structural schematic (c) of branched  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>/SnO<sub>2</sub> nanostructures, (d) cycling performance of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>/NRs arrays, pristine SnO<sub>2</sub> NWs, and  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>/SnO<sub>2</sub> nanostructures at a rate of 1 A g<sup>-1</sup> [104].

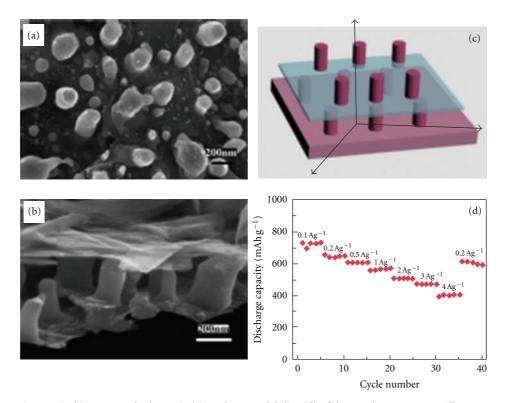


FIGURE 13: SEM images (a, b), structural schematic (c), and rate cyclability (d) of the graphene/Sn-nanopillar nanostructures [85].

[103] fabricated by Jiang et al. via a hydrothermal method delivered reversible capacities of 690, 572, and 445 mAh g<sup>-1</sup> at the current density of 1478, 2958, and 5804 mA g<sup>-1</sup>, respectively. Zhou et al. reported that the branched  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>/SnO<sub>2</sub> [104] (see Figure 12) prepared by combining a vapor transport deposition and a facile hydrothermal method showed a remarkably improved initial discharge capacity of 1167 mAh g<sup>-1</sup>, which was about twice the SnO<sub>2</sub> NWs (612 mAh g<sup>-1</sup>), and  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> NRs (598 mAh g<sup>-1</sup>). The higher capacity of the branched  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>/SnO<sub>2</sub> is due to that  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> branches provide new Li<sup>+</sup> hosts, increase the reversible capacity, and suppress the degradation of the core SnO<sub>2</sub>.

4.2.6. Folded Heterogeneous Nanostructure Arrays. Even though complicated, the folded heterogeneous nanostructure arrays are ordinarily composed of multilayered 1D nanostructure arrays embedded between 2D sheets, offering the morphological flexibility to hamper the structural collapse and efficient transport of both Li<sup>+</sup> and electrons and leading to the excellent electrochemical performance [147]. Ji et al. reported that a multilayered graphene/Sn-nanopillar nanostructure (Figure 13), by using the self-assembly and annealing processes, retained a reversible capacity of 501 and 408 mAh g<sup>-1</sup> at a current density of 1 and 5 A g<sup>-1</sup> after 35 cycles, respectively, which could be attributed to that both graphene and Sn served as electroactive materials, electronic conductive materials, and mechanically supporting materials [85].

#### 5. Conclusions and Outlook

In conclusion, the recent advances in the design, fabrication, and properties of 3DSNAEs with homogeneous or heterogeneous nanoarchitectured structures for LIBs have been reviewed in detail here. Table 1 illustrates an evaluation of optimizing various electrode materials and suitable strategies to construct 3DSNAEs on various current collector substrates. From an industrial perspective, solution-based growth at low temperature and hydrothermal methods are considered to be most promising for constructing 3DSNAEs with proper electrode materials and proper electrode structures.

Evidently, the nanostructure arrays of 3DSNAEs connected to the conductive collector substrate become mechanically unstable when they are grown excessively long in the axial direction. Besides, the electrochemical performance of 3DSNAEs strongly depends on the physical/chemical properties of interface within the heterogeneous nanoarchitectured structures. Systematic/synergetic combining of the mechanical integrity and electrochemical kinetic properties of 3DSNAEs, therefore, will be required to the development of high-performance LIBs.

It can be seen that a large amount of research has been made in designing homogeneous or heterogeneous nanoarchitectured arrays in 3DSNAEs. On one hand, the hybrid structures among 1D NWs, NRs, and 2D nanosheets increase the specific energy density (especially the area and volumetric energy densities) of electrodes, by making full use of voids within the electrodes, and function simultaneously

as "stabilizers" and "buffers" to the 3DSNAEs with excellent cycling stability. On the other, the heterogeneous components concept opens a promising avenue for designing multifunctional 3DSNAEs by integrating the superiorities of each constituent. Therefore, designing the multifunctional 3DSNAEs with synergic properties by selecting suitable electrode materials and suitable structures is a bright way to address different requirements (high energy density, high conductivity, good mechanical stability, etc.) of superperformance LIBs applied in portable electronic consumer devices, electric vehicles, and large-scale electricity storage.

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