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Research Article

Morphological and Optical Characterization of High Density Au/PAA Nanoarrays

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Hexagonal nanoarrays of Au nanorods and nanopillar were deposited on nanoporous anodic alumina (PAA) membranes utilizing dc electrodeposition. The surface morphologies and optical properties were characterized by using field emission-scanning electron microscopy (FE-SEM) and UV-Vis spectrophotometer, respectively. The optical reflectance spectra of the as-prepared, pore widened, and 2D-Au nanorods-coated PAA membranes were studied in detail. The effects of the angle of incident, pore widening time, and electrodeposition time on the characteristic peaks positions and intensities of the fabricated nanoarrays were addressed. As the angle of incident increased, the interference peaks and transverse surface resonance are shifted to longer wavelengths, but the longitudinal surface plasmon resonance is shifted to shorter wavelengths. Also, the reflected intensities are decreased linearly for the as-prepared sample and decreased exponentially for Au/PAA samples. Using the modified Kubelka-Munk radiative transfer model, the energy gap is increased from 2.83 to 3.06 eV and the refractive index is decreased from 1.84 to 1.36 for the as-prepared and 70 min pore widened PAA membranes, respectively. Based on the advantages of the fabrication approach and the enhanced and controlled properties, this generation of Au/PAA arrays can be used as efficient building blocks for nanoelectronics and nanophotonic devices.

1. Introduction

In recent years, porous materials have attracted much interest due to their wide range of applications [1-3]. Among them, nanoporous anodic alumina (PAA) has been widely used as membrane in the fabrication of various nanostructures [3, 4]. Two-step anodization was extensively used to fabricate PAA membranes because of the cheap equipment, simple technology, and high controllability of the process [5]. The applications of the PAA membranes can be extended by depositing metal nanostructures inside their nanopores or on their top surfaces [4, 6]. So the conducting, mechanical, and optical properties of PAA membranes could be improved [1, 6]. Different nanotubes, nanorods, nanoparticles, and nanowires have been successfully deposited inside the pores of PAA membranes [7-9]. However, uniform and low-price deposition of metal nanostructures inside the pores of PAA has gained much attention due to their extensive use as substrates for sensors based on surface-enhanced Raman

scattering (SERS), laser induced fluorescence (LIF), and electrochemical measurements [3, 10–12].

On the other hand, the optical properties of the PAA membranes have been extensively addressed by many authors [12-15]. Because PAA membranes showed bright colors with very tiny saturation, then, colored nanostructures always have to be sealed with their nanopores to increase and modify the color saturation [16, 17]. Also, the optical properties of Au nanoarrays have been extensively studied [6, 18-20] to identify their surface plasmon resonances interactions. These surface plasmon interactions can be used to enhance, guide, and modify the optical fields of novel nanoarrays such as photonic crystals [21], sensors based on SERS [11, 22], laser induced fluorescence, and near-field microscopy [3]. In particular, Au nanoarrays showed the features of both nanostructured Au that exhibit localized surface plasmon resonances (LSPRs) and planar Au films that show propagating surface plasmon resonances (PSPRs) [6, 19, 23]. Also, the internal coupling between Au nanoarrays leads to energy

shifts and splitting of degenerate modes, an effect that is prominent for regular nanoarrays [24].

In our previous studies, different metallic nanostructures have been loaded on the outer surface of PAA membranes such as Au, Cr, Pt, and Sn [12, 19, 25, 26]. Also, the effects of different parameters such as Au-particles size, pore diameter, PAA thickness, the state of polarization, and angle of the incident on the optical properties were addressed [4, 19]. These studies showed surface plasmon enhancement of PAA interference. Recently, Shaban studied the effect of PAA pore thickness and state of polarization of the incident light on the surface plasmon modes of hexagonal arrays of Au nanoparticles grown around the active dots on the top surface of PAA membrane by r.f. magnetron sputtering [4]. In addition, different methods have been used to deposit Au nanorod arrays inside the pores of PAA. Among them, electrodeposition is one of the most promising methods because it is a simple, low-cost, and high-throughput technique [27]. Previously, we used combined cathodic polarization (CP) and pore widening (PW) method to remove the hemispherical barrier layer (10-30 nm), which prevent the homogenous electrodeposition, between PAA pores and Al substrate [28]. Then Au nanorods of 100% filling factor were deposited inside the pores of PAA membranes without bottom barrier layers [6]. We showed only the effect of the aspect ratio and angle of the incident on the surface plasmon resonance of Au nanorods under the effect of the polarized light [6]. However, other factors can affect the optical properties of these nanostructures including the deposition time, the morphology of nanorods, pore diameters of the PAA membrane, and the angle of incident of the unpolarized light. All of these factors make it necessary to have experimental data that can correlate the parameters of the deposited Au nanoarrays inside PAA pores with the optical properties. Here, we studied the effect of combined (CP and PW) method and electrodeposition time on the PAA and Au/PAA morphologies and optical properties under illumination of the unpolarized light incident at different angles.

2. Sample Fabrication and Characterization

Two-step anodization combined with CP and PW processes was utilized to fabricate PAA membranes with uniform pore diameters [6, 28]. The 1st anodization process was performed in 0.3 M oxalic acid at 40 V and 9°C for 3 h. After the removal of the nonuniform nanoporous alumina layer, the second anodization was performed at the same conditions for 10 min and followed by the barrier-thinning process. The barrier layer was thinned by a successive drop of the DC voltage from 40 to 15 V at a rate of 0.1 V/s and then maintained at 15 V for 5 min. The pore widening was carried out for 60 or 70 min after 10 min cathodic polarization [6, 28]. The Au nanorods were deposited inside the PAA membranes from a cyanide-free Au electroplating solution (ECF-33B manufactured by N.E. Chemcat Corporation, Japan) using dc electrodeposition of current 5 mA at 57°C for different lengths of time [6].

Field emission-scanning electron microscope (FE-SEM, JSM-7500F/JEOL) was used to study the morphological and structural properties of the fabricated nanoarrays. Optical reflectance in the spectral range from 350 to 1000 nm were measured using UV/VIS/NIR 3700 double beam Shimadzu spectrophotometer at RT.

3. Results and Discussion

3.1. Morphological Study. FE-SEM characterized the surface properties of the as-prepared, posttreated, and Au electrodeposited PAA membranes. Figure 1(a) illustrates top view and cross-sectional view FE-SEM images of the as-prepared PAA membrane. Hexagonal aligned nanopores were formed in PAA membrane. The pore diameter is $\sim 26.5 \pm 3$ nm, the interpore distance is ~ 100 nm, and the pore density is $\sim 1.13 \times 10^{10}$ cm⁻². The inset of the top and cross-sectional FE-SEM images clearly shows the existence of some flocks like $\rm Al_2O_3$ inside the nanopores, which affect the regular circular shape of the pores. From the cross-sectional image, the thickness of the PAA layer is 667 nm, and then the rate of growth is 66.7 nm/min. The inset of the cross-sectional image illustrates the existence of the hemispherical barrier layer of thickness <60 nm.

Figures 1(b) and 1(c) show FE-SEM images of PAA membranes posttreated with 10 min CP followed by (b) 60 min and (c) 70 min PW process. As displayed in the top view and cross-sectional view images, by increasing the pore widening time, the regularity of the pores increased, the number of defects or cracks decreased, and homogeneity of the hexagonal arrangement increased. Also, the pore diameter is increased to 71.4±2.1 and 78.5±1.2 nm for 60 min and 70 min PW, respectively. Then, the rate of pore widening of the pores was found to be 0.75 nm/min. From the cross-sectional image of Figure 1(b), the thickness of the barrier layer is decreased to <15 nm after 60 min PW. Moreover, the cross-sectional image, Figure 1(c), indicates the complete removal of the barrier layer.

Figure 2 shows FE-SEM images of PAA of pore diameters 78.5, 71.4, and 71.4 nm, respectively, electrodeposited with Au at 5 mA and 57°C for (a) 3 min, (b) 4 min, and (c) 6 min. High density and well aligned hexagonal Au nanorod arrays grew vertically inside the PAA pores over a large area, as shown in Figure 2(a). The filling factor of the pores is 100%. The average rode diameter of the deposited Au nanoarray is ~85.7 nm, which is greater than the diameter of the starting PAA pores; this implies that the pores are enlarged during the electrodeposition process. This may be ascribed to the etching effect of the Au electroplating solution and temperature [29]. Also, the array height is almost equal to the pore height (667 nm), and the aspect ratio is AR = 7.8. At some pores, the pore walls are cracked, and Au nanorods are coalesced, as indicated by the arrows.

To check the effect of the deposition time on the morphology of the produced nanorod array, we used two PAA membranes of smaller pore diameter (71.4 nm) fabricated by decreasing the PW time to 60 min as working electrode during the electrodeposition. By increasing the deposition time from 4 min to 6 min, the morphology of the nanorods

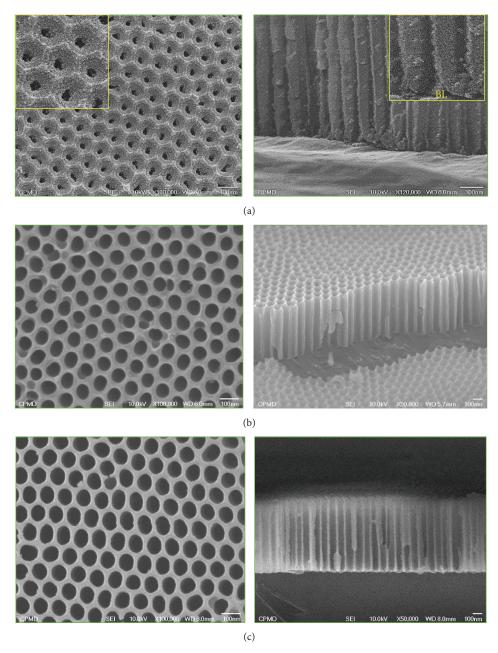


FIGURE 1: Top view, left column, and cross-sectional view, right column, FE-SEM images of PAA membranes (a) as-prepared after $t_{2\text{nd}} = 10 \text{ min}$, (b) after posttreatment of $t_{CP} = 10 \text{ min}$ and $t_{PW} = 60 \text{ min}$, and (c) after posttreatment of $t_{CP} = 10 \text{ min}$ and $t_{PW} = 70 \text{ min}$.

is tuned from cylindrical shape to pillar shape as shown in Figures 2(b) and 2(c). The height of the deposited nanorods was greater than the height of the PAA pores. As shown from this figure, the homogeneity and hexagonal arrangement of the Au nanorods are improved in addition to the controllability of height and diameter. The average diameter of the deposited nanorods is approximately 78.4 nm. The improved structural properties of the deposited Au nanorods can be attributed to the uniform removal of the hemispherical barrier layer, which caused improved adhesion to the substrate and better height and diameter control and uniformity of nanorods.

3.2. Optical Properties of PAA Membranes and Au/PAA Composites. Figure 3(a) shows reflectance spectra of as-prepared PAA membrane measured at different angles of the incident in increments of 1 nm. These spectra were normalized with respect to a reflection from an aluminum mirror. The average reflectivity of PAA is changed from 75% to 67% as the angle of incident increased. This means that the scattering coefficient and hence the effect of scattering are minuscule for this PAA membrane for $\lambda \geq 400$ nm. Well-resolved interference dips and peaks are observed in the reflection spectra, which implies the high quality of the fabricated PAA membranes. These peaks and dips are originated from

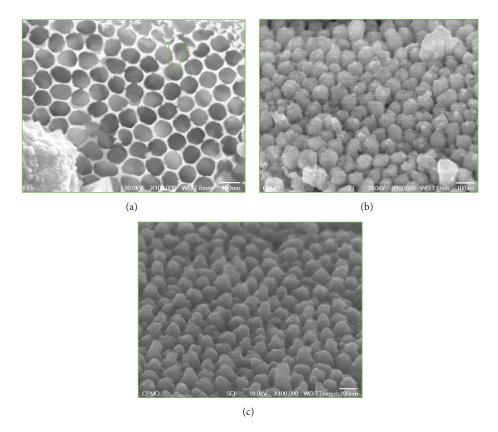


FIGURE 2: Top view FE-SEM images of (a) PAA membrane pore widened for 70 min and electrodeposited with Au at 5 mA and 57°C for 3 min and PAA membranes pore widened for 60 min and electrodeposited at the same conditions for (b) 4 min and (c) 6 min.

the constructive and destructive interferences between the reflected waves from Al/PAA and PAA/air interfaces. The width of the peaks increases and contrast decreases as the wavelength is increased. By increasing the angle of incidence, the contrast of the interference fringes decreases. Increasing the angle of incident shifts the peaks in the reflectance spectra linearly to longer wavelengths as shown in Figure 3(b), which is consistent with Bragg's law. As shown in the inset values of Figure 3(b), the separation between the peaks, $\Delta \lambda$, is increased as the angle of incident and wavelength increased. Additionally, the reflectance % of the peaks decreases linearly for all the peaks as shown in Figure 3(c). As the incident angle changed, the effective pore diameter and effective dielectric constant for that light are also changed according to the modified Maxwell-Garnet effective medium approximation [19]. The absorption band below 400 nm is associated with the reduction in the absorption coefficient. The interference peak around 450 nm is much stronger than the others. This may be ascribed to the blue emission band of PAA which can be attributed to mixed emission from F and F⁺ centers as discussed by Nasir et al. [30].

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Using Bragg's law and interference fringes in the reflectance spectra of Figure 3(a), the effective refractive index, $n_{\rm eff}$, can be calculated using [19]

$$n_{\text{eff}}^2 = \frac{{\lambda_1}^2 {\lambda_2}^2}{\left[4d^2 (\lambda_1 - \lambda_2)^2\right]} + \sin^2 \theta,$$
 (1)

where λ_1 and λ_2 are the wavelengths of two adjacent maxima or minima, θ is the angle of incident, and d is the thickness of PAA. The calculated average value of $n_{\rm eff}$ was found to be 1.84 ± 0.15 .

The energy of the band gap can be calculated from the diffused reflectance spectrum, Figure 3(a), by applying the modified Kubelka-Munk radiative transfer model [31]. The absorbance can be calculated using $A=R_{\rm max}-R$, where $R_{\rm max}$ is the maximum value of reflectance for wavelengths longer than that of the dip in the diffuse reflectance and R is the reflectance. The inset of Figure 3(a) shows the calculated absorbance spectrum for the PAA membrane. The band gap wavelength and hence energy gap can be obtained by extrapolating the long-wavelength edge of the peak in absorbance to the zero line of absorbance. The value of the calculated band gap energy of the as-prepared membrane was found to be $2.83 \pm 0.019 \, {\rm eV}$.

Figure 3(d) shows reflectance spectra measured at a different angle of incident for PAA membrane after posttreatment of 10 min CP and 70 min PW. The dominant features in these spectra were similar to those of Figure 3(a), but the fringes are wider, weaker, and more shifted to longer wavelengths. Also, the behavior of the peak position versus the angle of incident is almost exponential as shown in Figure 3(e). Moreover, the variation of the peak reflectance with the angle of incident is presented in Figure 3(f), which shows a linear decrease in peaks I and III and nonlinear

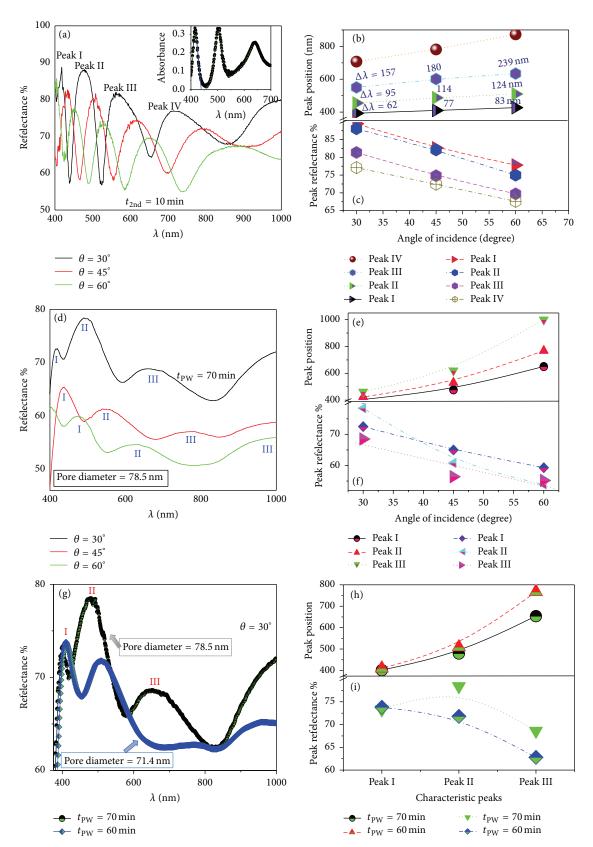


FIGURE 3: Reflection spectra of PAA membranes (a) as-prepared after 10 min second anodization and (d) after posttreatment of 70 min pore widening measured at different angles of incident. The variation of peak position (b, e) and peak reflectance % (c, f) as a function of the angle of incident. The effect of 60 min and 70 min pore widening time on (g) reflection spectra of PAA membrane, (h) peak position, and (i) peak reflectance % at 30° angle of incidence.

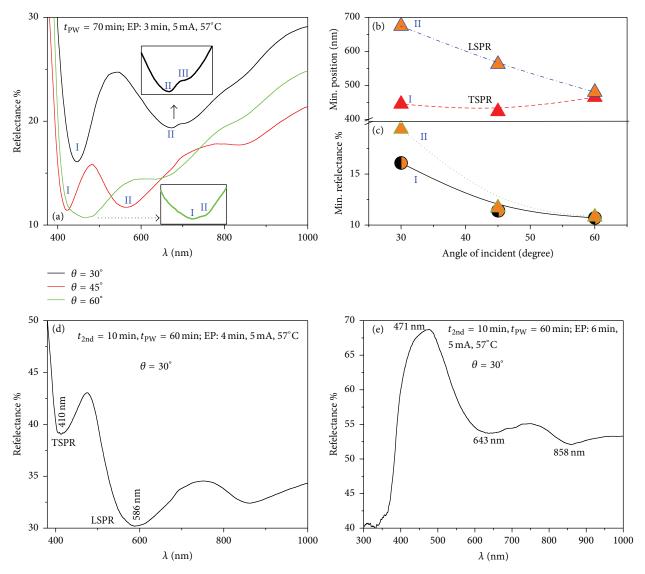


FIGURE 4: Reflectance spectra of (a) PAA membrane pore widened for 70 min and electrodeposited with Au at 5 mA and 57°C for 3 min and measured at different angles of incident and (b, c) their spectroscopic analysis and PAA membranes pore widened for 60 min and electrodeposited at the same conditions for (d) 4 min and (e) 6 min.

behavior for peak II. This may be attributed and ascribed to the existence of this band very close to the emission band of PAA as a result of the mixed emission from F and F^+ centers. The value of the calculated band gap energy for this membrane was found to be 3.06 ± 0.02 eV.

To compare the effect of the pore widening time on the optical reflectance of PAA membranes, Figure 3(g) shows the optical reflectance spectra of two PAA membranes pore widened for 60 and 70 min. The average reflectivity and the peak intensity are decreased and the bandwidth increased as the pore widening time increased. The peak position exponentially red-shifts as the PW time decreased, Figure 3(h), and the peak reflectance % nonlinearly decreases, Figure 3(h). The refractive indices are calculated using (1) to be 1.61 ± 0.20 at PW = 60 min and 1.36 ± 0.26 at PW = 70 min.

Figure 4(a) shows the reflection spectra of PAA membrane pore widened for 70 min and electrodeposited with Au at 5 mA and 57°C for 3 min for incident angles of 30, 45, and 60. The transverse surface plasmon resonance (TSPR [6, 19], minimum I) appeared for all angles between 423 and 465 nm. Its position was slightly red-shifted and dependent on the incident angle as shown in Figure 4(b). On the other hand, the longitudinal surface plasmon resonance (LSPR [6, 19, 23], minimum II) was strongly blue-shifted and dependent on the angle of incidence. It shifts from 674 to 480 nm as the angle increased from 30° to 60°. At 60°, LSPR and TSPR are very close to each other, and the two minima are coalesced to a broad minimum as shown in the lower inset of Figure 4(a). It could be seen from Figure 4(c) that the intensity (reflectance % at the minima) of the surface plasmon

resonances decreased nonlinearly with the angle of incidence. For large angles of incidence, the electric field oscillations of the light wave have a small component parallel to the rode axis and thus interact with the LSP and large component parallel to the rod diameter and thus interact with the TSP. From this data, it is clear that this composite is optically anisotropic with a uniaxial symmetry.

Figures 4(d) and 4(e) show the reflectance spectra measured at an angle of 30° and obtained from Au nanorod nanoarrays of cylindrical shape and pillar shape, respectively. For the cylindrical nanorod arrays, Figure 4(d), the TSPR and LSPR are located at 410 and 586 nm, respectively. Both values are blue-shifted compared to those of Au/PAA composite in Figure 4(a), which may be ascribed to several reasons. Firstly, the length of the nanorods in this sample is greater than the height of the PAA pores, and then the change of dielectric environment from Al₂O₃ to air at the top surface of this nanorod arrays may lead to that blue shift [6]. Secondly, the nanorods in this array are very close, and then the interrod coupling strength increases and may result in strong blue shift [32]. For the Au nanopillar array, Figure 4(e), strong and wide reflection peak is centered at 471 nm with FWHM of 200 nm. This may imply the blue shift of TSPR to $\lambda \leq$ 350 nm. Also, there are only two weak minima centered at 643 and 858 nm, which may be related to LSPRs. The strong enhancement of the plasmon field occurs at contacting surfaces between Au nanorods and leads to superfocusing of electromagnetic waves. Then, the reflected waves of PAA are strongly modulated by hexagonal arrays of Au nanorods.

4. Conclusion

In conclusion, we have successfully electrodeposited Au nanorods arrays inside the pores of PAA membranes of different diameters. The morphologies, structures, and optical properties of as-prepared, posttreated, and Au-coated PAA membranes were studied by FE-SEM and UV-Vis spectrophotometer. The effect of combined (cathodic polarization and pore widening) method and electrodeposition time on the PAA and Au/PAA morphologies and optical properties under the illumination of the unpolarized light incident at different angles were addressed. By increasing the pore widening time, the regularity of the pores increased, the number of defects or cracks decreased, and homogeneity of the hexagonal arrangement increased. By increasing the deposition time from 4 min to 6 min, the morphology of the nanorods is tuned from cylindrical shape to pillar shape. By increasing the angle of incidence, the contrast of the interference fringes is decreased, the peaks are linearly shifted to longer wavelengths, and the separation between the peaks is increased. Using the modified Kubelka-Munk radiative transfer model, the energy gap of PAA is increased from 2.83 to 3.06 eV after 70 min pore widening. Also, the refractive index is decreased from 1.84 to 1.36 as the pore widening time increased to 70 min. The TSPR is red-shifted from 423 to 465 nm and the LSPR is blue-shifted from 674 to 480 nm as the angle increased from 30° to 60°. The SPRs are strongly affected by the rod morphology. The advantages of the fabrication approach and the enhanced and controlled optical

properties make these samples suitable for nanophotonic and nanoelectronics devices.

Competing Interests

The author declares that there is no conflict of interests regarding the publication of this paper.

Acknowledgments

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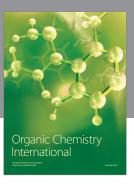
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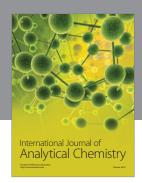
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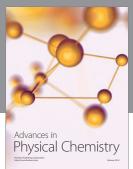
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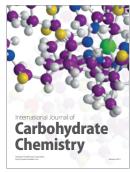
















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