Research Article

Overall Structural Alteration of Gut Microbiota and Relationships with Risk Factors in Patients with Metabolic Syndrome Treated with Inulin Alone and with Other Agents: An Open-Label Pilot Study

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Objective. The relative contribution of some products with prebiotic effects, such as inulin, together with medications specific to the human gut microbiome has not been comprehensively studied. The present study determined the potential for manipulating populations in the gut microbiome using inulin alone and combined with other agents in individuals with metabolic syndrome (MetS). The study also assessed whether there is variability in multiple clinical parameters in response to intervention with the changes in the gut milieu.

Participants/Methods. This single-centre, single-blinded, randomised community-based pilot trial randomly assigned 60 patients (mean age, 46.3 y and male, 43%) with MetS to receive either inulin, inulin+traditional Chinese medicine (TCM), or inulin+metformin for 6 months. Lipid profiles, blood glucose, and uric acid (UA) levels were analysed in venous blood samples collected after overnight fast of 8 h at baseline and at the end of the follow-up period. Microbiota from stool samples were taxonomically analysed using 16S RNA amplicon sequencing, and an integrative analysis was conducted on microbiome and responsiveness data at 6 months. Results. The results of 16S rRNA sequencing showed that inulin resulted in a higher proportion of Bacteroides at the endpoint compared with inulin+TCM and inulin+metformin ($p = 0.024$). More Romboutsia ($p = 0.043$), Streptococcus ($p < 0.001$), and Holdemanella ($p = 0.011$) were found in inulin+TCM and inulin+metformin samples. We further identified gut microbiota relationships with lipids, UA, and glucose that impact the development of MetS. Conclusion. Among the groups, inulin alone or combined with metformin or TCM altered specific gut microbiota taxa but not the general diversity. Accordingly, we analysed metabolites associated with microbiota that might provide more information about intrinsic differences. Consequently, a reliable method could be developed for treating metabolic syndrome in the future.

1. Introduction

Noncommunicable metabolic syndrome (MetS) is a growing public health concern worldwide. Metabolic syndrome is a constellation of metabolic disorders, characterised by abdominal adiposity, dyslipidaemia, low levels of high-density lipoprotein cholesterol (HDL-C), hypertension, and insulin resistance [1]. Metabolic syndrome directly...
affects health via the development of cardiovascular and cerebrovascular-related diseases and increases the risk of cancer [2]. While the oral administration of drugs can improve patient outcomes in terms of metabolic indexes, little is known about the status of the gut microbiota in such patients [3].

The human gastrointestinal tract houses hundreds of thousands of bacterial species [4]. Dysbiosis of the gut microbiota and/or structural alterations can trigger diseases and disrupt the epithelial barrier, which elicits an increase in the release of the endotoxin, lipopolysaccharide, from gram-negative bacterial cell walls into the systemic circulation, which triggers proinflammatory cytokine secretion [5]. Hence, many studies of gut microbes have vaulted to prominence [6]. Evidence from studies of humans and other animals has revealed a link between gut microorganisms and various components of MetS [7, 8]. Prebiotics are defined as substrates that are selectively utilised by host microorganisms and confer health benefits [9]. The ILSI Europe Prebiotic Expert Group and Prebiotic Task Force then proposed the concept of prebiotic effects defined as follows: the selective stimulation of growth and/or activity(ies) of one or a limited number of microbial genus(era)/species in the gut microbiota that confer(s) health benefits on the host [10]. Products with a prebiotic effect have thus been assessed in clinical trials in an attempt to improve gut microbial dysbiosis [10]. Moreover, the manipulation of gut microbiota via prebiotic interventions has provided evidence that gut microbial modulation helps to improve components or complications of metabolic syndrome [7].

Prebiotics favour the growth of beneficial bacteria, particularly those that produce short-chain fatty acids (SCFAs). Increased SCFAs in the intestine are associated with slowed weight gain, protection against systemic inflammation by increasing the gut barrier function, and improved glucose and lipid metabolism [11]. Inulin, a non-digestible dietary fibre, is a common prebiotic.

Traditional Chinese medicine (TCM) is a form of polyparmacy with a history of thousands of years. Natural medicines and their resulting bioactivities have been considered as therapeutic strategies against diseases [12], including MetS [13]. Some TCMs significantly affect glucose and lipid metabolism by regulating the gut microbiota, particularly bacteria that degrade mucin, have anti-inflammatory properties, produce lipopolysaccharides and SCFAs, or have bile-salt hydrolase activity [14]. The instant medicinal food packet in the present study consisted of Coptis chinensis, Atractylodes macrocephala, Tangerine peel, Coke malt, medicated leaves (stir-fried), and Hawthorn fruit (charred) according to a specific formula (Supplementary Table 1). Coptis chinensis (Huang-Lian), a common herb in TCM, is clinically effective in treating dyslipidaemia and hypercholesterolaemia [15]. Hawthorn has also been widely applied to manage hyperlipidaemia and cardiovascular diseases [13]. Medicated leaves improve human immunity through antioxidant and anti-inflammatory activities that lead to regulation of the gut microflora balance [16].

Metformin is linked to the composition of gut microbiota [17], even in healthy humans [18]. It is also applicable to the treatment or prevention of hyperlipidaemia and cardiovascular diseases [19].

The ability of prebiotic mix to regulate microbial communities in the host and benefit from symbiotic relationships among strains to improve their effects have been investigated [20]. Here, a prebiotic mix is simply a combination of products with prebiotic effects that benefit host health. However, to the best of our knowledge, little is known about the effects of inulin when combined with other agents on improving gut microcommunities in individuals with MetS. Overall, we postulated that the prebiotic mix would enhance microbial diversity in the host and take advantage of commensal relationships among organisms to confer more benefits on hosts.

The present study is primarily aimed at determining the influences of these products on gut microbiota structure and composition in patients with MetS. A more complete picture of the microbiota profile might provide greater clarity in terms of prioritising treatment for metabolic disorders and paving the way for further investigation.

2. Methods

2.1. Diagnostic Criteria for MetS. Clinical MetS was diagnosed when at least three of the following five conditions were met: waist circumference ≥ 90 cm or ≥80 cm in men and women, respectively; blood pressure, 120/80–140/90 mmHg; triglycerides, 150–200 mg/dL; HDL, <40 or <50 mg/dL in men and women, respectively, and fasting glucose 100–125 mg/dL.

2.2. Study Population. Residents of Tianjin for >5 years and aged 35–65 years were included if they had no inflammatory gastrointestinal disease or a history of gastrointestinal surgery within 5 years and had not been prescribed with antibiotics or other medications (proton pump inhibitors and H2 receptor antagonists) or dietary supplements (probiotics and prebiotics) or antacids that could affect the gut microbiota within 6 weeks before recruitment. They were provided with a pamphlet about the study during a physical examination and asked to participate if eligible according to the above criteria. Written informed consent to participate was obtained from eligible 60 individuals who indicated an interest and met the inclusion criteria.

2.3. Intervention. All participants were randomly and equally assigned to take oral inulin, inulin+TCM formula, and inulin+metformin (n = 20 per group) for 6 months. They were instructed not to change their lifestyle, diet, and usual physical activities during the study and were contacted twice each month for 6 months by telephone or in-home visits to monitor side effects and compliance. None of the participants complained of adverse gastrointestinal reactions. All participants returned empty or remaining allocated packets to verify compliance at the endpoint.

2.4. Data Collection. Information about demographics and physical activity was collected from questionnaires. Physical activity was assessed based on whether the participants exercised daily. Body weight, height, waist circumference, and
blood pressure were measured by on-site nurses. Height and weight were measured using a calibrated height–weight meter. Waist circumference was measured at the level of 0.5–1 cm above the navel using a tape measure. Mean blood pressure was calculated from three reads on the same arm after 5 min of rest in a semiupright position. Fasting plasma samples were also collected at baseline and at the end of the study. Serum glucose, total triglycerides (TGs), high-density lipoprotein (HDL), low-density lipoprotein (LDL), total cholesterol (T-CHOL), and uric acid (UA) were measured using an automated analyser.

Stool samples were collected at the end of the intervention period. The participants were provided with a stool collection kit with instructions about the proper way to collect stool samples. To represent the whole bacterial components/structure, the stools were homogenized vigorously, and one tablespoon of faecal samples was taken and placed in a labelled sterile conical tube, placed in a biohazard bag, delivered to the laboratory on ice, and stored at -80°C.

2.5. Metagenomic Measures

2.5.1. Extraction of Genomic DNA. The concentration and purity of total bacterial DNA extracted from stool samples using the CTAB/SDS method (at Novogene Bioinformatics Technology Co. Ltd., Beijing, China) were monitored by spectrophotometry at 260 and 280 nm to determine the ratio of A260/A280. Genomic DNA was then diluted to 1 ng/μL in sterile water.

2.5.2. Amplicon Generation and Purification. Bacterial genomic DNA was amplified using the specific primers 515F (GTGCCAGCMGCCGCGGTAA) and 806R (GGACTACNNGGTTWCTAAT) for the V4 hypervariable region of the 16S rRNA gene. All polymerase chain reactions (PCR) proceeded in 30 μL volumes containing 15 μL of Phusion® High-Fidelity PCR Master Mix (New England Biolabs Inc., Ipswich, MA, USA) and are expressed as means ± SD. Continuous and categorical variables for baseline characteristics were analysed using t-tests and χ² tests, respectively. Gut microbiota were profiled on an intent-to-treat basis, regardless of whether the participants complied or completed the study. Sequences were analysed using R software (version 3.5.2). Differences among groups were analysed using the Kruskal–Wallis chi-square test. All values with p < 0.05 were deemed significant.

3. Results

3.1. Participant Characteristics. The baseline characteristics of the 60 participants were similar (Table 1). At the end of the intervention, all participants were included in the analysis.

3.2. Changes in Gut Microbiota. Among 5,382,635 usable sequences obtained from all samples using the Illumina NovaSeq platform, 3,899,784 were high-quality, yielding an average of 64,996 sequences per sample. The results of the OTU analysis showed that the numbers of bacterial species did not change among the inulin, inulin+TCM, and inulin+metformin groups (p = 0.133 and p = 0.261, respectively; Figures 1(a) and 1(b)). However, weighted principal coordinate (PCoA), nonmetric multidimensional scaling (NMDS) (Figures 1(c) and 1(d)), and weighted/unweighted UniFrac analyses of the bacterial taxa among the three cohorts revealed significant differences in the gut microbiota composition among the groups (p = 3.003e−08 and p = 3.928e−06; Figures 2(a) and 2(b)).
The LEfSe results showed that the Ruminococcaceae family and the Bacteroides genus were more abundant in the inulin group. The Ruminococcaceae family plays an important role in dietary fibre degradation and is involved in the production of SCFAs that provide energy for the colonic epithelium and systemic nutrients [21]. SCFAs affect glucose homeostasis, lipid metabolism, regulation of the immune system, and the inflammatory response [22]. However, we did not identify associations between SCFAs and MetS traits, which might be partly due to a dietary imbalance.

### 4. Discussion

This prospective study analysed the characteristics of the gut microbiota and their associations with risk factors in patients with MetS treated with various formulations. Little is known about these characteristics and correlations; therefore, the specific bacteria produced and the effects of interventions on the microbiota need to be understood to develop a basis for further investigation of optimal treatments for MetS.

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The genus Bacteroides comprises gram-negative, obligate anaerobic, nonmotile, nonspore forming rods that are among the most prevalent components of the human intestinal microbiota and important degraders of polysaccharides in the human intestine [23]. Microorganisms in the colon can decompose “resistant” polysaccharides that are not metabolised during transit through the small intestine. Polysaccharide derivatives with an appropriately modified structure can improve the immunological activity of polysaccharides. They can actively enhance immune cells and regulate the immune function [24]. For example, sulfated polysaccharides can improve the role of polysaccharides in macrophage phagocytosis and promote the secretion of IL-6, IL-1β, and other interleukins by macrophages [25].

Higher proportions of the Enterobacteriaceae and Veillonellaceae families and the Romboutsia genus were associated with inulin+TCM. Some diseases are associated with a significantly higher abundance of Enterobacteriaceae and Veillonellaceae [26, 27]. Furthermore, both taxa were typically found together [26]. An increase in Enterobacteriaceae in gut-associated microbial populations is a microbial signature of epithelial dysfunction [28]. The abundance of Veillonellaceae is inversely associated with changes in the glucose response and IL-6 levels after prebiotic intake [29]. Romboutsia, an obesity biomarker, correlates positively and significantly with indicators of body weight (including waistline and body mass index), serum lipids (LDL, TGs, and T-CHOL), and UA in humans [30]. The genus Romboutsia also correlates positively with HDL in rodent models [31].

Streptococcus and Holdemanella genera were relatively more abundant in the inulin+metformin group. Streptococcus might increase levels of folate production and serum

Figure 1: Shifts in enteric bacterial composition after 6 months of intervention. (a, b) Numbers of species and Chao1 diversity indices of intestinal bacteria assessed by 16S rRNA high-throughput sequencing significant differ (Kruskal–Wallis χ² test; n = 20 per group). (c, d) PCoA and NMDS analyses of intestinal bacterial composition profiles. NMDS: nonmetric multidimensional; PCoA: principal coordinate analysis.
folic acid concentrations via the upregulation of folate-mediated one-carbon metabolism and fatty acid oxidation pathways. This would result in rapid and dramatic reductions in liver fat and other cardiometabolic risk factors [32]. However, Streptococcus has been linked to the development of multiple metabolic disorders, including atherosclerotic cardiovascular disease [33, 34]. A relationship between Holdemanaella and sex-specific fat distribution has been identified. The Holdemanaella genus is associated positively and negatively with android fat ratios in males and females, respectively.

Figure 2: Comparison of β diversity among intestinal bacteria using weighted/unweighted UniFrac and LEfSe analyses after 6 months of intervention. (a, b) Weighted/unweighted UniFrac analysis of β diversity shows significant differences among intestinal bacteria (n = 20 per group; Kruskal–Wallis χ² test). (c, d) Results of LEfSe assays show differences in gut bacteria abundance among groups and effect size of each differentially abundant bacterial taxa (n = 20 per group; significantly different, Wilcoxon rank sum tests).
Metformin is responsible for most of the gut microbiota changes and metabolic improvements linked to prebiotic intervention [36].

This study has some limitations. The magnitude of the effects of the interventions remains uncertain because the study cohort was extremely small to rule out the possibility of chance findings. A wash-out period was not applied, and therefore, previous gut bacterial features might have impacted outcomes. Larger studies are needed to confirm structural alterations after discrepant interventions. Changes could be determined in experimental animals after controlling for the environmental system. In terms of taxa, we did not obtain more information about the bacterial species that are more closely associated with physiological roles. Interplay might occur between diet/lifestyle and the gut microbiome and among microbiomes. The association between gut bacteria and corresponding metabolites was not analysed.

Interestingly, our in vitro study showed that density of Romboutsia, Streptococcus, and Holdemanella was not altered by the herbal formula used in this study, by microplate assay and agar well diffusion (supplementary figure 2). This indicated the herbal needs to be digested, either the intermediate products or by-products induced the alteration. Structural alterations after discrepant interventions. Changes could be determined in experimental animals after controlling for the environmental system. In terms of taxa, we did not obtain more information about the bacterial species that are more closely associated with physiological roles. Interplay might occur between diet/lifestyle and the gut microbiome and among microbiomes. The association between gut bacteria and corresponding metabolites was not analysed.

Figure 3: Relative abundance of bacterial taxa in the top 15 at phylum, family, and genus levels in patients with MetS treated with inulin, inulin+TCM, or inulin+metformin (n = 20 each) for 6 months. MetS: metabolic syndrome; TCM: traditional Chinese medicine.

Figure 4: Heatmaps of correlations between species abundance (columns) and clinical parameters (rows) in patients with metabolic syndrome. Red and blue shades: positive and negative correlations, respectively. BMI: body mass index; DBP: diastolic blood pressure; GLU: glucose; HDL C: high-density lipoprotein cholesterol; Hip: hip circumference; LDL C: low-density lipoprotein cholesterol; SBP: systolic blood pressure; TCHO1: total cholesterol; TG: triglyceride; UA: uric acid; WC: waist circumference; WHR: waist to hip ratio (circumference). *Statistically significant correlations.
microbial alterations requires further investigation, as they will provide insight into the roles of microbial metabolites as potential candidate biomarkers in individuals with metabolic syndrome.

Data Availability
The raw sequencing data and code generated or analysed in this study are available from the corresponding author upon reasonable request.

Conflicts of Interest
The authors declare that this research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflicts of interest.

Authors’ Contributions
Ming Yu, Lingzhang Meng, and Yu Zhang designed this study. Jian Song helped design this study. Ruiping Tian, Jiahui Hong, and Jingjie Zhao collected biopsies and performed sequencing. Jingjie Zhao and Ruiping Tian performed data analysis. Dengyuan Zhou, Yangchen Liu, and Zhenshan Jiao performed partial data analysis. Jian Song performed partial data analysis and composed this manuscript. Ruiping Tian, Jiahui Hong, and Jingjie Zhao contributed equally to this study. Yu Zhang revised this manuscript.

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Supplementary Materials
Supplementary 1. Supplementary Table 1: the composition of herbal formula. The table described the composition of the herbal formula, including the quantity information of each component.

Supplementary 2. Supplementary Table 2: the sequencing quality of each sample. The sequencing quality of each sample was tested and was provided for further conformation.

Supplementary 3. Supplementary Figure 1: analysis of similarities (ANOSIM) indicated that the difference among groups was significant ($R = 0.061, p = 0.013$). The violin plots showed the similarity analysis of gut microbiota among different groups.

Supplementary 4. Supplementary Figure 2: In vitro assay exhibited that specific bacterium was not altered. (A) Microplate assay showed that Romboutsia, Streptococcus, and Holdemanella were not altered by different treatments. Data represents similar results acquired from 5 repeated experiments. NS: no significant. Each group contained 8 samples. (B) Agar well diffusion assay showed Romboutsia, Streptococcus, and Holdemanella were not altered by different treatments. Data represents similar results acquired from 5 repeated experiments. NS: no significant. Each group contained 8 samples.

References


