Research Article

Actuator Fault Diagnosis for Discrete-Time Systems via Augmenting State Approach

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For the problem of the actuator fault diagnosis in the control systems, this paper presents a novel method by using an interval estimation approach to detect the faults and reconstruct them. In order to make estimations of the unavoidable measurement noise, a descriptor system form is built. Firstly, a full-order interval observer is developed to detect actuator faults for its sensitiveness to them. Then, a reduced-order one, which is robust to actuator faults, is presented. This method does not need the boundary information of faults; thus, the design condition is more relaxed. In order to make the interval observer stable and cooperative, linear matrix inequalities and a time-varying transformation are employed to ensure the error system matrix to be Schur and nonnegative. Based on the interval estimation results of the aforementioned method, an interval reconstruction method of actuator faults is proposed. Finally, results of the two simulation examples verify the proposed methods are effective and accurate.

1. Introduction

Fault diagnosis (FD), including fault detection and isolation, is a very useful technique to improve the performances of control systems [1–5]. Many significant model-based FD methods have been developed, including, but not limited to, observer-based FD, filter-based FD, parity space, and parameter estimation. Among the abovementioned methods, the observer-based FD which is more practical and simpler, has become a powerful one with many results reported in the literature ([6–12] and references therein). Moreover, fault isolation technique is developed to locate the fault in the systems. Sometimes, the dynamic process of the fault and its actual value can even be obtained. Being the most powerful fault isolation technique, the fault reconstruction method has attracted much more attention than residual-based fault detection techniques ([2–4, 9, 13] and references therein). However, a number of methods in the literature are under

some very restrictive assumptions which are impossible in many actual applications. For example, many FD results are proposed under the assumption that the disturbances or faults satisfy the well-known observer matching condition which is, in some sense, unrealistic for some practical systems. On the one hand, one of the major considerations in FD designs is how to deal with model uncertainties and external disturbances so that they cannot cause any negative effects on fault detection or fault reconstruction. On the other hand, it is not trivial to eliminate the negative impacts of model uncertainties or external disturbances on FD designs from the existing traditional observer-based FD methods. In fact, some FD design methods are developed, simply without considering model uncertainties and external disturbances. In recent two decades, interval observer has become popular for the systems with unknown inputs [14], and many process methods have been proposed [15–23]. The interval observer reveal only the over and under

boundaries (denoted as x_k^+ and x_k^- , respectively) of the system states x_k instead of their asymptotic estimations. In comparison with the traditional observer design, it is much more convenient to construct an interval observer because many details about noises, disturbances, and nonlinear terms can be ignored, which is crucial in traditional observer design [4, 9, 24]. As we have known, a few researchers have investigated FD problems based on interval observers [25–32].

Considering the above background, our purpose is using interval observers to solve FD problems by constructing both of full-order and robust reduced-order interval observers. First, to eliminate the influence of measurement noise or disturbance, an augmented state method which was proposed by [11, 12] is adopted, viewing the measurement noise or disturbance as a system state, and then build a singular/descriptor system. Second, the observers are constructed and, based on the estimated results of the reduced-order observer, a practical fault reconstruction method is also proposed. The methods in the paper can be used in both of linear and nonlinear systems, such as electrical power systems, robot manipulator systems, and inverted pendulum systems. The major contributions of this work can be summarized as follows: (i) taking the inevitable measurement noise into account, an interval-observer-based fault detection means, which depend on the system's actual outputs and interval output estimates, are established; (ii) a reduced-order robust interval observer is developed for the equivalent system which is augmented; (iii) an interval reconstruction method of actuator faults is proposed based on the robust observer.

The paper is organized as follows. In Section 2, some basic definitions, background knowledge, and problem statements are introduced. Section 3 proposes a full-order interval observer and an actuator fault detection method. Section 4 designs a robust reduced-order interval observer, and an actuator fault interval reconstruction method is presented based on this. The correctness and effectiveness of the developed methods are shown through two simulation examples in Section 5. The conclusion is drawn in Section 6.

Some necessary notations marked in this paper are defined here. All of the inequality between two vectors $x, y \in \mathbf{R}^n$ or matrices $A, B \in \mathbf{R}^{m \times n}$ should be understood elementwise. For a constant matrix $A \in \mathbf{R}^{m \times n}$, define $A^+ = \max\{A, 0\}$ and $A^- = \max\{-A, 0\}$, so $A^+ \geq 0$, $A^- \geq 0$, and $A = A^+ - A^-$. For a square matrix $A \in \mathbf{R}^{n \times n}$, $A < 0$ means that A is a symmetric negative definite matrix. A matrix $A \in \mathbf{R}^{m \times n}$ is called a Schur matrix if its spectral radius is less than one and called a nonnegative matrix if all its elements are nonnegative.

2. Preliminaries and Problem Statements

Considering the following linear time-invariant discrete-time system subjected to fault and outside disturbances,

$$\begin{cases} x_{k+1} = Ax_k + Bu_k + Gf_k + D\eta_k, \\ y_k = Cx_k + F\omega_k, \end{cases} \quad (1)$$

where $x_k \in \mathbf{R}^{n_x}$ is the system state vector, $u_k \in \mathbf{R}^{n_u}$ is the control input vector, $y_k \in \mathbf{R}^{n_y}$ is the measurable output vector, $f_k \in \mathbf{R}^{n_f}$ and $\eta_k \in \mathbf{R}^{n_\eta}$ are the bounded actuator fault vector and the unknown input vector respectively, and $\omega_k \in \mathbf{R}^{n_\omega}$ is the measurement noise vector. All the parameter matrices are assumed with appropriate dimensions. The unknown input $\eta_k \in \mathbf{R}^{n_\eta}$, which is the combination of model uncertainties and outside disturbances, is bounded by known boundaries $\eta^- \in \mathbf{R}^{n_\eta}$ and $\eta^+ \in \mathbf{R}^{n_\eta}$. Without loss of generality, we assume that $\text{rank}(G) = n_f$ and $\text{rank}(F) = n_\omega$. For the goal of estimating the measurement noise ω_k , an augmented state method which was proposed in [11, 12] is used and new parameter matrices can be built as follows.

$$\begin{aligned} \text{Define } \bar{x}_k &= \begin{bmatrix} x_k \\ \omega_k \end{bmatrix} \in \mathbf{R}^{n_x+n_\omega}, & E &= \begin{bmatrix} I_{n_x} & 0 \\ 0 & 0_{n_\omega} \end{bmatrix} \\ &\in \mathbf{R}^{(n_x+n_\omega) \times (n_x+n_\omega)}, & \bar{A} &= \begin{bmatrix} A & 0 \\ 0 & 0_{n_\omega} \end{bmatrix} \in \mathbf{R}^{(n_x+n_\omega) \times (n_x+n_\omega)}, \\ \bar{B} &= \begin{bmatrix} B \\ 0_{n_\omega} \end{bmatrix} \in \mathbf{R}^{(n_x+n_\omega) \times n_u}, & \bar{G} &= \begin{bmatrix} G \\ 0_{n_\omega} \end{bmatrix} \in \mathbf{R}^{(n_x+n_\omega) \times n_f}, \\ \bar{D} &= \begin{bmatrix} D \\ 0_{n_\omega} \end{bmatrix} \in \mathbf{R}^{(n_x+n_\omega) \times n_\eta}, & \text{and } \bar{C} &= [C \ F]. \end{aligned}$$

System (1) will be transformed into a descriptor system's form:

$$\begin{cases} E\bar{x}_{k+1} = \bar{A}\bar{x}_k + \bar{B}u_k + \bar{G}f_k + \bar{D}\eta_k, \\ y_k = \bar{C}\bar{x}_k. \end{cases} \quad (2)$$

Assuming that system (2) is detectable, that is, $\text{rank} \begin{bmatrix} E \\ \bar{C} \end{bmatrix} = n_x + n_\omega$, and $\text{rank} \begin{bmatrix} zE - \bar{A} \\ \bar{C} \end{bmatrix} = n_x + n_\omega$, $\forall z \in \mathbf{C}, \|z\| \geq 1$. We also assume that the initial condition $\bar{x}_0$ is bounded by two known vectors $\bar{x}_0^- \in \mathbf{R}^{n_x+n_\omega}$ and $\bar{x}_0^+ \in \mathbf{R}^{n_x+n_\omega}$. It is obvious that

$$\text{rank} \begin{bmatrix} E \\ \bar{C} \end{bmatrix} = \text{rank} \begin{bmatrix} I_{n_x} & 0 \\ 0 & 0_{n_\omega} \\ C & F \end{bmatrix} = n_x + n_\omega. \quad (3)$$

So, there exists a full rank matrix $H_1 \in \mathbf{R}^{(n_x+n_\omega) \times (n_x+n_\omega)}$ and a matrix $H_2 \in \mathbf{R}^{(n_x+n_\omega) \times n_y}$ so that

$$[H_1 \ H_2] \begin{bmatrix} E \\ \bar{C} \end{bmatrix} = I_{n_x+n_\omega}. \quad (4)$$

Then, we can obtain

$$\begin{cases} \bar{x}_{k+1} = H_1 \bar{A} \bar{x}_k + H_1 \bar{B} u_k + H_1 \bar{G} f_k + H_1 \bar{D} \eta_k + H_2 y_{k+1}, \\ y_k = \bar{C} \bar{x}_k, \end{cases} \quad (5)$$

from (2). Let $\vartheta_k = \bar{x}_k - H_2 y_k$, and (5) becomes

$$\begin{cases} \vartheta_{k+1} = H_1 \bar{A} \vartheta_k + H_1 \bar{A} H_2 y_k + H_1 \bar{B} u_k + H_1 \bar{G} f_k + H_1 \bar{D} \eta_k, \\ (I - \bar{C} H_2) \vartheta_k = \bar{C} \vartheta_k. \end{cases} \quad (6)$$

Lemma 1 (see [33]). *For the following linear discrete-time system,*

$$x_{k+1} = Ax_k + d_k, \quad (7)$$

if $A \in \mathbf{R}^{n_x \times n_x}$ is nonnegative, $d_k \in \mathbf{R}^{n_x}$, and the initial condition satisfies $x_0 \geq 0$, then the system has nonnegative solutions $x_k \geq 0$ for $\forall k \geq 0$.

Lemma 2 (see [16]). For the discrete-time system,

$$x_{k+1} = Ax_k, \quad (8)$$

if $A \in \mathbf{R}^{n_x \times n_x}$ is a Schur constant matrix, then there exists a time-varying transformation $h_k = Q_k x_k$, which makes the system into a positive exponential stable system through such transformation, where $x_k \in \mathbf{R}^{n_x}$, the invertible matrices Q_k satisfied $|Q_k| + |Q_k^{-1}| \leq c$ for arbitrary $k \geq 0$, and $c > 0$ is a given nonnegative constant scalar.

Lemma 3 (see [15]). For any constant matrix $\zeta \in \mathbf{R}^{n_u \times n_x}$, if a vector variable $x_k \in \mathbf{R}^{n_x}$ satisfies $x_k^- \leq x_k \leq x_k^+$ for some $x_k^-, x_k^+ \in \mathbf{R}^{n_x}$, then one can obtain

$$\begin{cases} \sigma_{k+1}^+ = T(H_1 \bar{A} - L\bar{C})T^{-1}\sigma_k^+ + TH_1 H \bar{A}_2 y_k + TL(I - H\bar{C}_2)y_k + TH_1 \bar{B}u_k + (TH_1 \bar{D})^+ \eta^+ - (TH_1 \bar{D})^- \eta^-, \\ \sigma_{k+1}^- = T(H_1 \bar{A} - L\bar{C})T^{-1}\sigma_k^- + TH_1 H \bar{A}_2 y_k + TL(I - H\bar{C}_2)y_k + TH_1 \bar{B}u_k + (TH_1 \bar{D})^+ \eta^- - (TH_1 \bar{D})^- \eta^+, \end{cases} \quad (11)$$

where $L \in \mathbf{R}^{(n_x+n_\omega) \times n_y}$ is the observer gain matrix and T and L should be selected so that $T(H_1 \bar{A} - L\bar{C})T^{-1}$ is a Schur matrix and a nonnegative matrix simultaneously. And, the nonsingular matrix T and the gain matrix L can be computed through the method which is described in Remark 1.

$$\begin{aligned} \tilde{\sigma}_{k+1}^+ &= \sigma_{k+1}^+ - \sigma_{k+1} = T(H_1 \bar{A} - L\bar{C})T^{-1}\sigma_k^+ - T(H_1 \bar{A} - L\bar{C})T^{-1}\sigma_k + (TH_1 \bar{D})^+ \eta^+ - (TH_1 \bar{D})^- \eta^- - [(TH_1 \bar{D})^+ - (TH_1 \bar{D})^-] \eta_k \\ &= T(H_1 \bar{A} - L\bar{C})T^{-1}\tilde{\sigma}_k^+ + (TH_1 \bar{D})^+ (\eta^+ - \eta_k) + (TH_1 \bar{D})^- (\eta_k - \eta^-), \\ \tilde{\sigma}_{k+1}^- &= T(H_1 \bar{A} - L\bar{C})T^{-1}\tilde{\sigma}_k^- + (TH_1 \bar{D})^+ (\eta_k - \eta^-) + (TH_1 \bar{D})^- (\eta^+ - \eta_k). \end{aligned} \quad (12)$$

Note that $(TH_1 \bar{D})^+ \geq 0$, $(TH_1 \bar{D})^- \geq 0$, and $\eta^- \leq \eta_k \leq \eta^+$, so

$$\begin{aligned} (TH_1 \bar{D})^+ (\eta^+ - \eta_k) + (TH_1 \bar{D})^- (\eta_k - \eta^-) &\geq 0, \\ (TH_1 \bar{D})^+ (\eta_k - \eta^-) + (TH_1 \bar{D})^- (\eta^+ - \eta_k) &\geq 0. \end{aligned} \quad (13)$$

Let $\sigma_0^+ = T^+ \vartheta_0^+ - T^- \vartheta_0^-$ and $\sigma_0^- = T^+ \vartheta_0^- - T^- \vartheta_0^+$, and we have $\sigma_0^- \leq \sigma_0 \leq \sigma_0^+$ by using Lemma 3. According to Lemma 1, one can obtain that $\tilde{\sigma}_k^+ = \sigma_k^+ - \sigma_k \geq 0$ and $\tilde{\sigma}_k^- = \sigma_k - \sigma_k^- \geq 0$, i.e., $\sigma_k^- \leq \sigma_k \leq \sigma_k^+$, for arbitrary $k \geq 0$. $\square$

Remark 1. To find proper matrices T and L , Raïssi et al. [18] propose a effective means to solve such problems. Because T

$$\zeta^+ x_k^- - \zeta^- x_k^+ \leq \zeta x_k \leq \zeta^+ x_k^+ - \zeta^- x_k^-. \quad (9)$$

3. Actuator Fault Detection

Generally speaking, the matrix $H_1 \bar{A}$ is not Schur and non-negative. For this reason, an equivalent state transformation $\sigma_k = T \vartheta_k$ is used, and system (6) can be converted into

$$\begin{cases} \sigma_{k+1} = TH_1 T \bar{A}^{-1} \sigma_k + TH_1 H \bar{A}_2 y_k + TH_1 \bar{B}u_k + TH_1 \bar{D} \eta_k, \\ (I - H\bar{C}_2)y_k = T\bar{C}^{-1} \sigma_k, \end{cases} \quad (10)$$

where $T \in \mathbf{R}^{(n_x+n_\omega) \times (n_x+n_\omega)}$ is an nonsingular matrix. The following interval observer can be designed:

Theorem 1. Suppose that there is no actuator fault occurs. If we choose $\sigma_0^+ = T^+ \vartheta_0^+ - T^- \vartheta_0^-$ and $\sigma_0^- = T^+ \vartheta_0^- - T^- \vartheta_0^+$, then system (11) is an interval observer for system (10).

Proof. Define the over and under errors as $\tilde{\sigma}_k^+ = \sigma_k^+ - \sigma_k$ and $\tilde{\sigma}_k^- = \sigma_k - \sigma_k^-$, respectively. From (10) and (11), we obtain

is a nonsingular matrix, one can construct a Sylvester equation from $\mathfrak{S} = T(H_1 \bar{A} - L\bar{C})T^{-1}$ as follows:

$$\begin{aligned} \mathfrak{S}T + T(-H_1 \bar{A}) &= -S\bar{C}, \\ S &= TL. \end{aligned} \quad (14)$$

If the coefficient matrix $(-H_1 \bar{A})$ and the chosen matrix $\mathfrak{S}$ have no common eigenvalues, then (14) has a unique solution of T and L . S is an arbitrary matrix and $\mathfrak{S}$ can be chosen as a Schur and nonnegative diagonal matrix here.

Notice that $\bar{x}_k = \vartheta_k + H_2 y_k = T^{-1} \sigma_k + H_2 y_k$, the upper and lower estimations of $\bar{x}_k$ can also be given by

$$\{\bar{x}_k^+ = (T^{-1})^+ \sigma_k^+ - (T^{-1})^- \sigma_k^- + H_2 y_k, \bar{x}_k^- = (T^{-1})^+ \sigma_k^- - (T^{-1})^- \sigma_k^+ + H_2 y_k. \quad (15)$$

Remark 2. We can also design the interval observer by a time-varying linear state transformation of $\sigma_k = T_k x_k$ rather than a constant one. In this way, a time-varying invertible matrix T_k which can make $T_{k+1} (H_1 \bar{A} - L \bar{C}) T_k^{-1}$ into a Schur and nonnegative matrix can be found by using Lemma 2, where L is calculated such that $(H_1 \bar{A} - L \bar{C})$ is a Schur matrix.

Then, the upper and lower estimation of x_k , ω_k , and y_k are $x_k^+ = [I_{n_x} \ 0_{n_x \times n_w}] \bar{x}_k^+$, $x_k^- = [I_{n_x} \ 0_{n_x \times n_w}] \bar{x}_k^-$, $\omega_k^+ = [0_{n_w \times n_x} \ I_{n_w}] \bar{x}_k^+$, and $\omega_k^- = [0_{n_w \times n_x} \ I_{n_w}] \bar{x}_k^-$; then, the output will be limited by

$$\{y_k^+ = \bar{C}^+ \bar{x}_k^+ - \bar{C}^- \bar{x}_k^-, y_k^- = \bar{C}^+ \bar{x}_k^- - \bar{C}^- \bar{x}_k^+, \quad (16)$$

which can be a fault detector. That is, when the system runs without the presence of actuator faults, its output will meet

$y_k^- \leq y_k \leq y_k^+$, otherwise the faults occur. It is noteworthy that since the interval is different from the artificially given threshold, the faults with small amplitudes may not all be detected. That is to say, for successful fault detection, the faults need to have enough amplitudes.

4. Actuator Fault Interval Reconstruction

For $\bar{C} = [C \ F]$, there exists an invertible matrix $N \in \mathbf{R}^{(n_x+n_w) \times (n_x+n_w)}$ such that

$$\tilde{C} = N \bar{C} = [I_{n_y} \ 0]. \quad (17)$$

Then, system (6) can be transformed into

$$\begin{cases} \phi_{k+1} = N^{-1} H_1 N \bar{A} \phi_k + N^{-1} H_1 H \bar{A}_2 y_k + N^{-1} H_1 \bar{B} u_k + N^{-1} H_1 \bar{G} f_k + N^{-1} H_1 \bar{D} \eta_k, \\ (I - H \bar{C}_2) y_k = N \bar{C} \phi_k. \end{cases} \quad (18)$$

Denote $\phi_k = N^{-1} \vartheta_k$, $\check{A} = N^{-1} H_1 N \bar{A}$, $\check{B} = N^{-1} H_1 \bar{B}$, $\check{G} = N^{-1} H_1 \bar{G}$, $\check{D} = N^{-1} H_1 \bar{D}$, and $\check{H} = N^{-1} H_1 H \bar{A}_2$, and (18) becomes

$$\begin{cases} \phi_{k+1} = \check{A} \phi_k + \check{H} y_k + \check{B} u_k + \check{G} f_k + \check{D} \eta_k, \\ (I - H \bar{C}_2) y_k = \check{C} \phi_k. \end{cases} \quad (19)$$

Decompose $\phi_k = [\phi_{1,k}^T \ \phi_{2,k}^T]^T$, $\phi_{1,k} \in \mathbf{R}^{n_y}$, and $\phi_{2,k} \in \mathbf{R}^{n_x+n_w-n_y}$, we have

$$(I - H \bar{C}_2) y_k = [I_{n_y} \ 0] \begin{bmatrix} \phi_{1,k} \\ \phi_{2,k} \end{bmatrix} = \phi_{1,k}, \quad (20)$$

and the parameter matrices can be decomposed as

$$\begin{aligned} \check{A} &= \begin{bmatrix} \check{A}_1 & \check{A}_2 \\ \check{A}_3 & \check{A}_4 \end{bmatrix}, \\ \check{B} &= \begin{bmatrix} \check{B}_1 \\ \check{B}_2 \end{bmatrix}, \\ \check{G} &= \begin{bmatrix} \check{G}_1 \\ \check{G}_2 \end{bmatrix}, \\ \check{D} &= \begin{bmatrix} \check{D}_1 \\ \check{D}_2 \end{bmatrix}, \\ \check{H} &= \begin{bmatrix} \check{H}_1 \\ \check{H}_2 \end{bmatrix}, \end{aligned} \quad (21)$$

where $\check{A}_1 \in \mathbf{R}^{n_y \times n_y}$, $\check{B}_1 \in \mathbf{R}^{n_y \times n_u}$, $\check{G}_1 \in \mathbf{R}^{n_y \times n_f}$, $\check{D}_1 \in \mathbf{R}^{n_y \times n_\eta}$, and $\check{H}_1 \in \mathbf{R}^{n_y \times n_y}$. Then, (22) can be easily obtained:

$$\begin{cases} \phi_{1,k+1} = \check{A}_1 \phi_{1,k} + \check{A}_2 \phi_{2,k} + \check{H}_1 y_k + \check{B}_1 u_k + \check{G}_1 f_k + \check{D}_1 \eta_k, \\ \phi_{2,k+1} = \check{A}_3 \phi_{1,k} + \check{A}_4 \phi_{2,k} + \check{H}_2 y_k + \check{B}_2 u_k + \check{G}_2 f_k + \check{D}_2 \eta_k, \\ (I - H \bar{C}_2) y_k = \phi_{1,k}, \end{cases} \quad (22)$$

and make an equivalent state transformation

$$z_k = \begin{bmatrix} z_{1,k} \\ z_{2,k} \end{bmatrix} = \mathcal{S} \phi(k) = \begin{bmatrix} I_{n_y} & 0 \\ \mathcal{L} & I_{n_x+n_w-n_y} \end{bmatrix} \begin{bmatrix} \phi_{1,k} \\ \phi_{2,k} \end{bmatrix}, \quad (23)$$

where $\mathcal{L} \in \mathbf{R}^{(n_x+n_w-n_y) \times n_y}$ is the gain matrix of the reduced-order observer, and we have $z_{1,k} = \phi_{1,k} = (I - H \bar{C}_2) y_k$ and $z_{2,k} = [\mathcal{L} \ I_{n_x+n_w-n_y}] \phi_k$. So, $z_{2,k}$ is described by the following equation:

$$z_{2,k+1} = \begin{bmatrix} \mathcal{L} & I_{n_x+n_w-n_y} \end{bmatrix} \phi_{k+1} = (\check{A}_4 + \mathcal{L}\check{A}_2)z_{2,k} + [(\check{A}_3 + \mathcal{L}\check{A}_1) - (\check{A}_4 + \mathcal{L}\check{A}_2\mathcal{L})(I - H\bar{C})]y_k + (\mathcal{L}\check{H}_1 + \check{H}_2)y_k + (\mathcal{L}\check{B}_1 + \check{B}_2)u_k + (\mathcal{L}\check{D}_1 + \check{D}_2)\eta_k + (\mathcal{L}\check{G}_1 + \check{G}_2)f_k. \quad (24)$$

Lemma 4 (see [9]). *If there exist a symmetric positive definite matrix $P \in \mathbf{R}^{(n_x+n_w-n_y) \times (n_x+n_w-n_y)}$ and a matrix $Q \in \mathbf{R}^{(n_x+n_w-n_y) \times n_y}$ for which the following LMIs*

$$\min \delta \quad \begin{bmatrix} -\delta I & [Q \ P]\check{G} \\ \check{G}^T [Q \ P] & -\delta I \end{bmatrix} < 0, \quad (25)$$

$$\begin{bmatrix} -P & \check{A}_4^T P + \check{A}_2^T Q \\ P\check{A}_4 + Q\check{A}_2 & -P \end{bmatrix} < 0, \quad (26)$$

are solvable, then the observer gain $\mathcal{L} = P^{-1}Q$ can make $\check{A}_4 + \mathcal{L}\check{A}_2$ into a Schur matrix, such that

$$\mathcal{L}\check{G}_1 + \check{G}_2 = 0. \quad (27)$$

If we denote $\mathcal{A} = \check{A}_4 + \mathcal{L}\check{A}_2$, $\mathcal{N} = [(\check{A}_3 + \mathcal{L}\check{A}_1) - (\check{A}_4 + \mathcal{L}\check{A}_2\mathcal{L})(I - H\bar{C}_2) + (\mathcal{L}\check{H}_1 + \check{H}_2)$, $\mathcal{B} = \mathcal{L}\check{B}_1 + \check{B}_2$, and $\mathcal{D} = \mathcal{L}\check{D}_1 + \check{D}_2$, and notice (27); then, (24) becomes

$$z_{2,k+1} = \mathcal{A}z_{2,k} + \mathcal{N}y_k + \mathcal{B}u_k + \mathcal{D}\eta_k. \quad (28)$$

When $\mathcal{A}$ is not a nonnegative matrix, a time-varying transformation $\xi_k = \mathcal{T}_k z_{2,k}$ can be used here to handle the problem, so we obtain

$$\xi_{k+1} = \mathcal{T}_{k+1}\mathcal{A}\mathcal{T}_k^{-1}\xi_k + \mathcal{T}_{k+1}\mathcal{N}y_k + \mathcal{T}_{k+1}\mathcal{B}u_k + \mathcal{T}_{k+1}\mathcal{D}\eta_k. \quad (29)$$

From Lemma 2, it is obvious that a time-varying invertible matrix $\mathcal{T}_k$ can be obtained.

Then, an interval observer for (29) is constructed as

$$\begin{cases} \xi_{k+1}^+ = \mathcal{T}_{k+1}\mathcal{A}\mathcal{T}_k^{-1}\xi_k^+ + \mathcal{T}_{k+1}\mathcal{N}y_k + \mathcal{T}_{k+1}\mathcal{B}u_k + (\mathcal{T}_{k+1}\mathcal{D})^+\eta^+ - (\mathcal{T}_{k+1}\mathcal{D})^-\eta^-, \\ \xi_{k+1}^- = \mathcal{T}_{k+1}\mathcal{A}\mathcal{T}_k^{-1}\xi_k^- + \mathcal{T}_{k+1}\mathcal{N}y_k + \mathcal{T}_{k+1}\mathcal{B}u_k + (\mathcal{T}_{k+1}\mathcal{D})^+\eta^- - (\mathcal{T}_{k+1}\mathcal{D})^-\eta^+. \end{cases} \quad (30)$$

Theorem 2. *If LMIs (25) and (26) are solvable, let $\vartheta_0^+ = \bar{x}_0^+ - H_2 y_0$, $\vartheta_0^- = \bar{x}_0^- - H_2 y_0$, $\phi_0^+ = (N^{-1})^+ \vartheta_0^+ - (N^{-1})^- \vartheta_0^-$, $\phi_0^- = (N^{-1})^+ \vartheta_0^- - (N^{-1})^- \vartheta_0^+$, and*

$$\begin{cases} z_{2,0}^+ = \begin{bmatrix} \mathcal{L}^+ & I_{n_x+n_w-n_y} \end{bmatrix} \phi_0^+ - \begin{bmatrix} \mathcal{L}^- & 0_{n_x+n_w-n_y} \end{bmatrix} \phi_0^-, \\ z_{2,0}^- = \begin{bmatrix} \mathcal{L}^+ & I_{n_x+n_w-n_y} \end{bmatrix} \phi_0^- - \begin{bmatrix} \mathcal{L}^- & 0_{n_x+n_w-n_y} \end{bmatrix} \phi_0^+, \end{cases} \begin{cases} \xi_0^+ = \mathcal{T}_0^+ z_0^+ - \mathcal{T}_0^- z_0^-, \\ \xi_0^- = \mathcal{T}_0^+ z_0^- - \mathcal{T}_0^- z_0^+. \end{cases} \quad (31)$$

Then, the solutions of (29) and (30) satisfy $\xi_k^- \leq \xi_k \leq \xi_k^+$, for $\forall k \geq 0$. In other words, (30) is an interval observer of (29).

Proof. We notice that $\mathcal{T}_{k+1}\mathcal{A}\mathcal{T}_k^{-1}$ is Schur and nonnegative matrix, so one can refer to Theorem 1 and the proof is omitted here for brevity.

Next, an interval reconstruction method is proposed to reconstruct actuator faults. There exists a nonsingular matrix $M \in \mathbf{R}^{(n_x+n_w) \times (n_x+n_w)}$ such that

$$MH_1\bar{G} = \begin{bmatrix} I_{n_f} \\ 0_{n_x+n_w-n_f} \end{bmatrix}. \quad (32)$$

Then, system (6) can be rewritten as

$$\begin{aligned} M\bar{\vartheta}_{k+1} &= (MH_1\bar{A}M^{-1})M\bar{\vartheta}_k + MH_1H\bar{A}_2y_k + MH_1\bar{B}u_k \\ &\quad + MH_1\bar{G}f_k + MH_1\bar{D}\eta_k. \end{aligned} \quad (33)$$

The matrices and vector can be decomposed as follows:

$$\begin{aligned} MH_1M\bar{A}^{-1} &= \begin{bmatrix} \hat{A}_1 & \hat{A}_2 \\ \hat{A}_3 & \hat{A}_4 \end{bmatrix}, \\ MH_1H\bar{A}_2 &= \begin{bmatrix} \hat{H}_1 \\ \hat{H}_2 \end{bmatrix}, \\ MH_1\bar{B} &= \begin{bmatrix} \hat{B}_1 \\ \hat{B}_2 \end{bmatrix}, \\ MH_1\bar{D} &= \begin{bmatrix} \hat{D}_1 \\ \hat{D}_2 \end{bmatrix}, \\ M\bar{\vartheta}_k &= \begin{bmatrix} \hat{\vartheta}_{1,k} \\ \hat{\vartheta}_{2,k} \end{bmatrix}, \end{aligned} \quad (34)$$

where $\hat{A}_1 \in \mathbf{R}^{n_f \times n_f}$, $\hat{A}_2 \in \mathbf{R}^{n_f \times (n_x + n_w - n_f)}$, $\hat{H}_1 \in \mathbf{R}^{n_f \times n_y}$, $\hat{B}_1 \in \mathbf{R}^{n_f \times n_u}$, $\hat{D}_1 \in \mathbf{R}^{n_f \times n_\eta}$, and $\hat{\vartheta}_{1,k} \in \mathbf{R}^{n_f}$ and $\hat{\vartheta}_{2,k} \in \mathbf{R}^{n_x + n_w - n_f}$; then, the dynamic for $\hat{\vartheta}_{1,k}$ is governed by

$$\hat{\vartheta}_{1,k+1} = \hat{A}_1 \hat{\vartheta}_{1,k} + \hat{A}_2 \hat{\vartheta}_{2,k} + \hat{H}_1 y_k + \hat{B}_1 u_k + \hat{D}_1 \eta_k + f_k. \quad (35)$$

Define

$$\begin{cases} \hat{\vartheta}_k^+ = M^+ \vartheta_k^+ - M^- \vartheta_k^-, \\ \hat{\vartheta}_k^- = M^+ \vartheta_k^- - M^- \vartheta_k^+, \end{cases} \quad (36)$$

and then, $\hat{\vartheta}_k^+$ and $\hat{\vartheta}_k^-$ are the upper and lower interval estimations of ϑ_k , respectively, i.e., we have $\hat{\vartheta}_k^- \leq \vartheta_k \leq \hat{\vartheta}_k^+$. Let

$$\begin{aligned} \hat{\vartheta}_k^+ &= \begin{bmatrix} \hat{\vartheta}_{1,k}^+ \\ \hat{\vartheta}_{2,k}^+ \end{bmatrix}, \\ \hat{\vartheta}_k^- &= \begin{bmatrix} \hat{\vartheta}_{1,k}^- \\ \hat{\vartheta}_{2,k}^- \end{bmatrix}, \end{aligned} \quad (37)$$

where $\hat{\vartheta}_{1,k}^+, \hat{\vartheta}_{1,k}^- \in \mathbf{R}^{n_f}$. And, we have

$$\begin{aligned} \hat{\vartheta}_{1,k}^- &\leq \hat{\vartheta}_{1,k} \leq \hat{\vartheta}_{1,k}^+, \\ \hat{\vartheta}_{2,k}^- &\leq \hat{\vartheta}_{2,k} \leq \hat{\vartheta}_{2,k}^+. \end{aligned} \quad (38)$$

Now, from (35), we obtain

$$f_k = \hat{\vartheta}_{1,k+1} - \hat{A}_1 \hat{\vartheta}_{1,k} - \hat{A}_2 \hat{\vartheta}_{2,k} - \hat{H}_1 y_k - \hat{B}_1 u_k - \hat{D}_1 \eta_k. \quad (39)$$

From (39), the actuator fault interval reconstruction can be built as follows:

$$\begin{cases} f_k^+ = \hat{\vartheta}_{1,k+1}^+ - \hat{A}_1^+ \hat{\vartheta}_{1,k}^- + \hat{A}_1^- \hat{\vartheta}_{1,k}^+ - \hat{A}_2^+ \hat{\vartheta}_{2,k}^- + \hat{A}_2^- \hat{\vartheta}_{2,k}^+ - \hat{H}_1 y_k - \hat{B}_1 u_k - \hat{D}_1^+ \eta^- + \hat{D}_1^- \eta^+, \\ f_k^- = \hat{\vartheta}_{1,k+1}^- - \hat{A}_1^+ \hat{\vartheta}_{1,k}^+ + \hat{A}_1^- \hat{\vartheta}_{1,k}^- - \hat{A}_2^+ \hat{\vartheta}_{2,k}^+ + \hat{A}_2^- \hat{\vartheta}_{2,k}^- - \hat{H}_1 y_k - \hat{B}_1 u_k - \hat{D}_1^+ \eta^+ + \hat{D}_1^- \eta^-. \end{cases} \quad (40)$$

Theorem 3. For f_k^+ and f_k^- defined by (40), we have $f_k^- \leq f_k \leq f_k^+$, for $\forall k \geq 0$.

Proof. Notice that $\hat{\vartheta}_{1,k}^- \leq \hat{\vartheta}_{1,k} \leq \hat{\vartheta}_{1,k}^+$, $\hat{\vartheta}_{2,k}^- \leq \hat{\vartheta}_{2,k} \leq \hat{\vartheta}_{2,k}^+$, $\eta^- \leq \eta_k \leq \eta^+$, and $\hat{A}_i = \hat{A}_i^+ - \hat{A}_i^-$ ($i = 1, 2$), and we can verify that $f_k^+ - f_k \geq 0$ and $f_k - f_k^- \geq 0$ hold for all $k \geq 0$ easily. $\square$

Remark 3. Generally speaking, the basic idea of an interval state observer design is to construct a couple of new systems which can produce interval estimations for the original system states by using the information of the boundaries of unknown inputs together with, if necessary, the information of the measured outputs of the original system. So, designing an interval state observer for the system with unknown inputs, the knowledge of the boundaries of the unknown inputs is usually crucial. In the present paper, on the one hand, with reduced-order observer design techniques, an interval reduced-order observer is developed without knowing the boundary information of the actuator faults, which can actually be regarded as unknown inputs. On the other hand, based on the partial known information, an interval reconstruction method of the actuator fault is developed.

5. Numerical Simulation

In this section, the details of the design process of the developed methods are given through a numerical example and a practical system; then, the effectiveness is illustrated.

5.1. Numerical Example. Consider a discrete-time system (1) and the parameter matrices are as follows:

$$\begin{aligned} A &= \begin{bmatrix} 0.1 & 0.4 & 0 \\ 0.5 & 0.2 & 0.3 \\ 0 & -0.2 & 0 \end{bmatrix}, \\ B &= \begin{bmatrix} 1 \\ 1 \\ 2 \end{bmatrix}, \\ G &= \begin{bmatrix} 2 \\ 1 \\ 1 \end{bmatrix}, \\ D &= \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \\ C &= \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}, \\ F &= \begin{bmatrix} 1.2 \\ 1.5 \end{bmatrix}. \end{aligned} \quad (41)$$

Then, the parameter matrices in the rewritten system (6) are presented below:

$$\begin{aligned}
E &= \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \\
\bar{A} &= \begin{bmatrix} 0.1 & 0.4 & 0 & 0 \\ 0.5 & 0.2 & 0.3 & 0 \\ 0 & -0.2 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \\
\bar{B} &= \begin{bmatrix} 1 \\ 1 \\ 2 \\ 0 \end{bmatrix}, \\
\bar{C} &= \begin{bmatrix} 1 & 0 & 1 & 1.2 \\ 0 & 1 & 1 & 1.5 \end{bmatrix}, \\
\bar{G} &= \begin{bmatrix} 2 \\ 1 \\ 1 \\ 0 \end{bmatrix}, \\
\bar{D} &= \begin{bmatrix} 1 \\ 1 \\ 1 \\ 0 \end{bmatrix}.
\end{aligned} \tag{42}$$

We assume that control input, unknown disturbances, and actuator fault are

$$\begin{aligned}
u_k &= (3 - 0.03k)\sin(0.25k), \\
\eta_k &= 0.1 \sin(0.15k), \\
\omega_k &= 0.4 \sin(0.5k + 0.5), \\
f_k &= \begin{cases} 1.8(\sin(0.25k) + \cos(0.3k + 1)), & 20 \leq k \leq 100, \\ 0, & \text{else.} \end{cases}
\end{aligned} \tag{43}$$

The selected initial conditions are $\bar{x}_0 = [0.3 \ 0.3 \ 0.3 \ 0.3]^T$, $\bar{x}_0^+ = \bar{x}_0 + [0.1 \ 0.1 \ 0.1 \ 0.1]^T$, $\bar{x}_0^- = \bar{x}_0 - [0.1 \ 0.1 \ 0.1 \ 0.1]^T$, η_k has the over boundary $\eta^+ = 0.1$, and the under boundary $\eta^- = -0.1$.

The matrix of H_1 and H_2 can be chosen as

$$\begin{aligned}
H_1 &= \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -0.2811 & -0.4418 & -0.7229 & 1 \end{bmatrix}, \\
H_2 &= \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0.2811 & 0.4418 \end{bmatrix}.
\end{aligned} \tag{44}$$

To construct a Sylvester equation, we choose that

$$\begin{aligned}
\mathfrak{S} &= \begin{bmatrix} 0.41 & 0 & 0 & 0 \\ 0 & 0.23 & 0 & 0 \\ 0 & 0 & 0.61 & 0 \\ 0 & 0 & 0 & 0.86 \end{bmatrix}, \\
S &= \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 1 \\ 1 & 0 \end{bmatrix}.
\end{aligned} \tag{45}$$

Then, substitute them into (14) and compute it, we have

$$\begin{aligned}
T &= \begin{bmatrix} -1.5070 & -0.3918 & -1.7797 & -2.9268 \\ -1.3236 & -3.5919 & -5.2750 & -6.5217 \\ -1.5516 & -1.7869 & -3.1958 & -4.4262 \\ -0.9650 & -0.1617 & -1.0042 & -1.3953 \end{bmatrix}, \\
L &= \begin{bmatrix} -2.5867 & -2.1528 \\ -1.3643 & -3.3595 \\ 0.5158 & 2.8671 \\ 0.8592 & -0.1851 \end{bmatrix}.
\end{aligned} \tag{46}$$

Figure 1 shows the results of interval estimations when using full-order interval observer (11), and in the system, there are no actuator faults. The fault detection is plotted in Figure 2, and it reveals that the faults occur during the time period of $[20, 100]$.

Next is the design process of the robust interval observer. The invertible matrix is

FIGURE 1: Interval estimation without actuator fault, i.e., $f_k \equiv 0$. Real state-black solid line; the upper boundary of estimations-red dash line; the lower boundary of estimations-blue dash dot line.

FIGURE 2: Fault detection.

$$N = \begin{bmatrix} 0.4348 & -0.4348 & 0.1304 & 0.4348 \\ -0.4348 & 0.4348 & -0.1304 & 0.5652 \\ 1.0870 & -0.0870 & -1.1739 & 0.0870 \\ -0.4348 & 0.4348 & 0.8696 & -0.4348 \end{bmatrix}. \quad (47)$$

Compute LMIs (25) and (26), we have

$$\begin{aligned} P &= 10^5 \times \begin{bmatrix} 8.8106 & -1.8701 \\ -1.8701 & 4.9109 \end{bmatrix}, \\ Q &= 10^6 \times \begin{bmatrix} 0.7364 & 0.6144 \\ 0.7612 & 3.6053 \end{bmatrix}, \\ \mathcal{L} &= \begin{bmatrix} 1.2672 & 2.4539 \\ 2.0326 & 8.2758 \end{bmatrix}. \end{aligned} \quad (48)$$

Then, we have $\mathcal{A} = \tilde{A}_4 + \mathcal{L}\tilde{A}_2 = \begin{bmatrix} 0.0491 & 0.1255 \\ -0.8161 & 0.7081 \end{bmatrix}$ and $\mathcal{L}\tilde{G}_1 + \tilde{G}_2 = 10^{-14} \times \begin{bmatrix} -0.1887 \\ -0.1332 \end{bmatrix}$. The Jordan canonical

form of $\mathcal{A}$ is $J = \begin{bmatrix} 0.3 & 0 \\ 0 & 0.4571 \end{bmatrix}$, and then, an interval observer in the form of (30) can be designed. Figures 3–6 show that the interval state estimations through reduced-order and full-order interval observers. By comparison of the results, the reduced-order method proposed in the paper can make estimations successfully even if there is actuator fault. It is easy to know

$$M = \begin{bmatrix} 0.2116 & 0.2116 & 0 & -0.2116 \\ 0.5769 & -0.4231 & 0 & 0.4231 \\ -0.2116 & 0.7884 & 0 & 0.2116 \\ -0.2116 & -0.2116 & 1 & 0.2116 \end{bmatrix}. \quad (49)$$

And, the interval reconstruction of the actuator fault is given in Figure 7.

5.2. Practical System. In the second simulation, a DC motor model in [34] is considered, which has the following system dynamics:

FIGURE 3: x_1 and its interval estimations with actuator faults. FIGURE 4: x_2 and its interval estimations with actuator faults.

$$\begin{bmatrix} \frac{di}{dt} \\ \frac{dn}{dt} \end{bmatrix} = \begin{bmatrix} -R_a/L & -K_e/L \\ K_t/J_1 & -f_r/J_1 \end{bmatrix} \begin{bmatrix} i \\ n \end{bmatrix} + \begin{bmatrix} 1/L \\ 0 \end{bmatrix} u, \quad (50)$$

where n is the motor speed and i and u are the armature current and voltage, respectively. In the model, the parameters R_a , L , K_e , K_t , f_r , and J_1 denote the resistance, inductance, back electromotive force constant, torque constant, frictional constant, and motor inertial,

FIGURE 5: x_3 and its interval estimations with actuator faults.

respectively. The nominal parameters are given as follows: $R_a = 1.2030 (\Omega)$, $L = 5.5840 \times 10^{-3} (H)$, $K_e = 8.5740 \times 10^{-2} (\text{Vrad/s})$, $K_t = 8.5783 \times 10^{-2} (\text{Vrad/s})$, $f_r = 2.4500 \times 10^{-4} (\text{Nms/rad})$, and $J_1 = 1.4166 \times 10^{-4} (\text{Nms/rad})$.

The system dynamics are discretized by the forward Euler method with the sampling time $T = 1$ ms. We have the following system parameters:

$$\begin{aligned} A &= \begin{bmatrix} 0.7846 & -0.0154 \\ 0.6056 & 0.9983 \end{bmatrix}, \\ B &= \begin{bmatrix} 0.1791 \\ 0 \end{bmatrix}, \\ C &= \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \\ G &= \begin{bmatrix} 1 \\ 2 \end{bmatrix}, \\ D &= \begin{bmatrix} 0.1 \\ 0.1 \end{bmatrix}, \\ F &= \begin{bmatrix} 0.1 \\ 0.1 \end{bmatrix}. \end{aligned} \quad (51)$$

Similarly, a reduced-order interval observer can be designed for this practical system. By computing LMIs (25) and (26), we have

$$\begin{aligned} P &= 2.5364 \times 10^5, \\ Q &= 10^7 \times [-3.0690 \quad -2.4096], \\ \mathcal{L} &= [-120.9991 \quad -94.9991]. \end{aligned} \quad (52)$$

In the simulation, the initial value of system state is $x_0 = [0 \ 0]^T$, and it is assumed that the initial values of estimated upper and lower bound are $[0.2 \ 0.2]^T$ and $[-0.2 \ -0.2]^T$, respectively. Besides, the control input and actuator fault are set as $u_k = 0$ and

FIGURE 6: ω and its interval estimations with actuator faults.

FIGURE 7: Actuator fault interval reconstruction.

FIGURE 8: x_1 and its interval estimations with actuator faults.

FIGURE 9: x_2 and its interval estimations with actuator faults.

FIGURE 10: Actuator fault interval reconstruction.

$f_k = 0.3$ ($40 \leq k \leq 120$), $f_k = 0$ (else). The unknown input and measurement noise are assumed to be stochastic and the unknown input is bounded by $|\eta| \leq 0.1$. The interval estimation of the motor speed and the armature current with the existence of actuator fault are shown in Figures 8 and 9, and the interval reconstruction of actuator fault is presented in Figure 10. The estimation results of this example verify the effectiveness and practicability of the proposed method further.

6. Conclusion

This paper concerns the descriptor system form used in the interval estimations. A full-order interval observer is developed as the initial actuator fault detector for linear discrete-time systems when there exist actuator faults and unknown

disturbances. A reduced-order one is devised by minimizing the effects of actuator faults when systems are running. Based on its estimation results, an interval reconstruction method for actuator faults is given. At last, two simulation examples illustrate the proposed full-order interval observers can serve as fault detectors and the reduced-order one can produce a reconstruction of actuator faults effectively.

Data Availability

No data were used to support this study.

Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this paper.

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