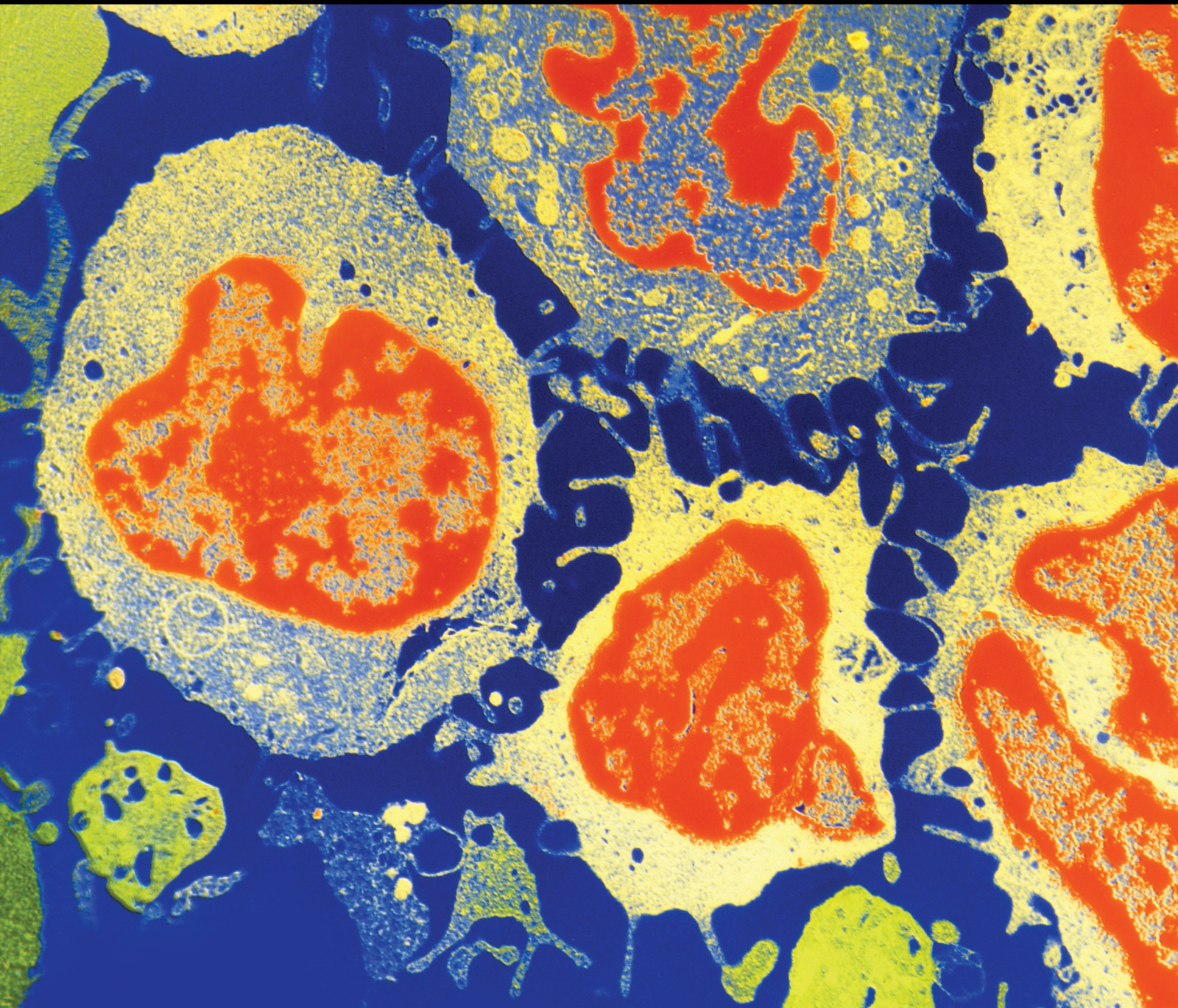


Resistance to Immunotherapy: A Challenge of Cancer Treatment

Lead Guest Editor: Xuelei Ma

Guest Editors: Shuang Zhou and Lei Deng





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Journal of Oncology

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
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
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
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
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
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
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Contents

Combination with Stereotactic Body Radiotherapy Offers a Promising Strategy to Overcome Resistance to Immunotherapy in Advanced Renal Cell Cancer

Xiaowen Sun, Lu Gan, Aru Na, Lingling Ge, Baoqing Chen, and Jiaming Liu 

Review Article (12 pages), Article ID 1483406, Volume 2019 (2019)

Targeting L-Lactate Metabolism to Overcome Resistance to Immune Therapy of Melanoma and Other Tumor Entities

René G. Feichtinger  and Roland Lang 


Review Article (12 pages), Article ID 2084195, Volume 2019 (2019)

Monoclonal Antibody Therapies in Multiple Myeloma: A Challenge to Develop Novel Targets

Hiroko Nishida  and Taketo Yamada

Review Article (10 pages), Article ID 6084012, Volume 2019 (2019)

Inflammatory Biomarkers as Predictors of Response to Immunotherapy in Urological Tumors

Giuseppe Schepisi , Nicole Brighi, Maria Concetta Cursano, Giorgia Gurioli, Giorgia Ravaglia, Amelia Altavilla, Salvatore Luca Burgio, Sara Testoni, Cecilia Menna, Alberto Farolfi, Chiara Casadei, Giuseppe Tonini, Daniele Santini, and Ugo De Giorgi

Review Article (11 pages), Article ID 7317964, Volume 2019 (2019)

Review Article

Combination with Stereotactic Body Radiotherapy Offers a Promising Strategy to Overcome Resistance to Immunotherapy in Advanced Renal Cell Cancer

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Immunotherapy for renal cell cancer (RCC) has witnessed several developments for more than two decades. Checkpoint inhibitors, including anti-CTLA-4 and anti-PD-1/PD-L1 blockers, have changed the treatment landscape for patients with advanced RCC in the past 3 years. Despite these advances, more than 55% RCC patients become resistant to different immunotherapies without other treatment combination. Among various attempts at overcoming resistance to immunotherapy, stereotactic body radiotherapy (SBRT) has been found to potentiate the activity of immunotherapy agents through several potential mechanisms, including normalization of microvessels to alleviate tumor hypoxia, improvement in efficient delivery of drugs, abundant neoantigen exposure, and recruitment of antitumor immune cells to alter the immunosuppressive tumor microenvironment. Preclinical studies and clinical case reports have predicted that the combination of SBRT, an immunotherapy, may lead to remarkable results. This review aims to provide the biological basis for the feasibility of combining SBRT to overcome immunotherapy resistance and to review the currently available clinical evidence of this combination therapy in patients with advanced RCC.

1. Introduction

Renal cell cancer (RCC) is the third most common urological carcinoma, and over 90% cases of RCC in adults is clear cell in histology [1, 2]. The prognosis of RCC cases depends on the disease stage, tumor properties, the state of tumor metastasis, accurate diagnosis, proper treatment, and so on [2]. Advance and metastatic cases still carry a poor prognosis with a 5-year survival of about 9–12% [3]. Furthermore, nearly 30% of RCC cases with early-stage diagnosis will suffer from recurrence and progression after surgical procedures partly because of

pre-existing micrometastatic loci before the surgery or some uncertain reasons [4].

Therapeutic options for advanced RCC patients should be based on histology (clear cell or not clear cell) and the most widely used prognostic factor model is from the Memorial Sloan Kettering Cancer Center (MSKCC) with stratification in three prognostic categories (favorable, intermediate, and poor risk) [5]. Prognostic factors for multivariable analysis included five variables—Karnofsky performance status (KPS) less than 80%, interval from diagnosis to treatment of less than 1 year, serum lactate

dehydrogenase (LDH) greater than 1.5 times the upper limit of normal (ULN), corrected serum calcium greater than the ULN, and serum hemoglobin less than the lower limit of normal (LLN). Patients with none of these risk factors are considered low risk or with good prognosis, those with one or two factors present are considered intermediate risk, and patients with three or more of the factors are considered poor risk. First-generation systemic therapy, comprising cytokine-based procedures including interferon-alpha (IFN- α) and interleukin-2(IL-2), is recommended for advanced RCC patients since there is documented evidence for its effectiveness against advanced RCC. Targeted therapies including tyrosine kinase (TKI) and mTOR inhibitors, and antibodies against vascular endothelial factor (VEGF) and platelet-derived growth factor (PDGF), have tremendously improved clinical outcomes compared with cytokine therapy alone.

Development and progression of advanced RCC have been slowed or even arrested through immune checkpoint inhibitor (ICI) combination therapy (ipilimumab plus nivolumab), which, in patients with intermediate or poor risk, showed a better overall survival (OS) than VEGF target therapy recently [6]. However, the objective response rate (ORR) is 42% in ICI combination therapy suggesting that most RCC patients are resistant to ICI combination therapy [6]. The lack of predictive biomarkers of high quality has resulted in missed treatment opportunities for RCC patients who could not benefit from ICI therapy. Therefore, it is crucial that RCC patients overcome resistance to treatment and to expand applicable people who could benefit from ICI therapies.

Though RCC was considered to be resistant to radiotherapy, this concept is being challenged, particularly in the past decade, due to the continuous advances and innovation in radiotherapy technology. Increased doses of radiotherapy to tumor lesions has been observed following significant improvement in the accuracy of radiotherapy, which achieved better control of the damage in surrounding normal tissue. Stereotactic body radiotherapy (SBRT), which comprises high doses of radiation delivered in fractions (usually ≤ 5), has evolved to become an important treatment strategy for both primary lesions and metastatic diseases in different organs for RCC patients. Several key biological pathways triggered by SBRT prime the system immune to eliminate tumor cells. Therefore, SBRT and immunotherapy display synergistic effects, which are reviewed in this study to determine the biological basis and current preclinical and clinical evidence for combination treatment of SBRT and immunotherapy.

2. Current Immunotherapy in Clinical Trials for Patients with Advanced RCC

Currently, five immunotherapy agents, IL2, IFN- α , ipilimumab, nivolumab, and pembrolizumab, have been approved for treating advanced RCC, either alone or in combination with other drugs. Current immunotherapies for patients with advanced clear cell or non-clear cell RCC are described in Table 1.

IL-2 and IFN- α are reported to achieve durable complete or partial response in only a small population of patients

[9, 17]. For the majority, the benefit from cytokine-based therapy is limited and the trials to improve the effectiveness have met with efficacy uncertainties. High-dose IL-2 showed substantial toxicity in patients [18]. Thus, selection of patients treated with high-dose IL-2 mainly depends on safety and the tumor histology (clear cell approved), medical comorbidities, patient's performance status, risk scores, and the patient's attitude to treatment risk.

IFN- α plus VEGF-targeted therapies such as bevacizumab may improve the prognosis of RCC to a certain degree [10, 11], but whether toxicity was greater in the combination therapy arm remains controversial. However, IFN- α alone was inferior compared to the sorafenib (VEGF TKI) [12] or temsirolimus (mTOR inhibitor) monotherapy [13].

Ipilimumab is a selective antibody blocking the interaction between cytotoxic T-lymphocyte antigen 4 (CTLA-4) and its ligands CD80/CD86. Nivolumab selectively blocks the interaction between programmed death-1 (PD-1) and its ligands. The FDA approved nivolumab for previously treated advanced RCC patients. A multicenter phase III trial (CheckMate 214) compared ipilimumab plus nivolumab (ICI combination) followed by nivolumab monotherapy ($N=425$) versus sunitinib monotherapy ($N=422$) in patients with advanced RCC [6]. Both groups showed intermediate or poor risk. In comparison with sunitinib, patients receiving ICI had higher ORR (42% vs. 27%, $p < 0.001$), and ICI group showed a significant improvement in complete response (CR) rate (9% vs. 1%, $p < 0.001$) in intermediate- or poor-risk patients. The 18-month OS rate in the ICI group was 75% (95% confidence interval (CI): 70–78%), while it was 60% in the sunitinib group [6].

There is controversy over ICI combination therapy in previously untreated favorable-risk patients. Also, the study population in CheckMate 214 included favorable-risk patients treated with ICI combination ($N=125$) or sunitinib ($N=124$) [6]. Exploratory analyses of 18-month OS rate found that the favorable-risk patients benefited more from sunitinib (88% vs. 93%). The ORR (29% and 52%; $p < 0.001$) and median progression-free survival (PFS) (14.3 months vs 25.1 months; HR: 2.18; $p < 0.001$) were lower in favorable-risk patients taking ICI combination than sunitinib in this trial. However, the CR rates were 11% and 6% for the ICI combination and sunitinib groups, respectively. Conversely, a phase I trial (CheckMate 016) supported the use of ICI combination in patients at any risk with confirmed advanced clear cell RCC, including those who received prior therapy [14]. The study included patients with poor ($N=6$), intermediate ($N=47$), or favorable ($N=47$) risks. Patients with favorable risk comprised 44.7% of those taking ICI combination. The data for the favorable-risk patients alone were not published, but the 2-year OS for the entire cohort was 67.3%. The confirmed ORR for the cohort was similar in both arms (40.4%) [14]. Because of these conflicting results, the FDA approval for nivolumab plus ipilimumab only included patients with intermediate- or poor-risk RCC for first-line therapy.

In another randomized phase III clinical trial (CheckMate 025), patients ($N=821$) with previously treated (excluding mTOR inhibitors) advanced clear cell RCC were assigned to receive nivolumab or everolimus (a mTOR

TABLE 1: Main clinical trials of immunotherapy for advanced RCC.

Type of RCC	Drug	Phase	No. of pts	Line of therapy	ORR	mPFS (month)	mOS (month)	Reference
Undifferentiated	High-dose IL2	2	71	ND	ORR = 17% CR = 5.6%	NA	15.5	Atkins et al., [7]
Undifferentiated	High-dose IL2	3	96	ND	ORR = 23.3% CR = 8.4%	14	17.1	McDermott et al., [8]
Undifferentiated	IL2 plus IFN α -2a	3	140	ND	ORR = 13.6% CR = 3.5%	NA	17	Negrier et al. [9]
Clear cell	Arm 1: bevacizumab plus IFN α -2a; Arm 2: IFN α -2a	3	325	ND	Arm 1: ORR = 31% CR = 1%;	10.2	18.3	Escudier et al. [10]; Rini et al. [11]
			289		Arm 2: ORR = 13% CR = 2%	5.4	17.4	
Clear cell	IFN α -2a	2	189	First line	ORR = 39% CR = 2%	5.6	NA	Escudier et al. [12]
Both clear cell and non-clear cell enrolled	Arm 1: temsirolimus; Arm 2: IFN α -2a; Arm 3: both	3	209	First line	Arm 1: ORR = 8.6%;	Arm 1: 3.8;	10.9	Hudes et al. [13]
			207		Arm 2: ORR = 4.8%;	Arm 2: 1.9;	7.3	
			210		Arm 3: ORR = 8.1%	Arm 3: 3.7.	8.4	
Clear cell	Nivolumab (N) plus ipilimumab (I)	1	N3I1 = 47; N1I3 = 47	First line	Both ORR = 40.4% in the N3I1 and N1I3 arms; CR = 10.6% in the N3I1 arm and none in the N1I3 arm.	N3I1 = 7.7; N1I3 = 9.4	Not reached in the N3I1 arm and 32.6 months in the N1I3 arm	Hammers et al. [14]
Clear cell	Arm 1: nivolumab plus ipilimumab Arm 2: sunitinib	3	550 546	First line	Arm 1: ORR = 42%; CR = 9%; Arm 2: ORR = 27%; CR = 1%;	Arm 1: 11.6; Arm 2: 8.4.	Not reached in arm 1 and 26 months in arm 2	Motzer et al. [6]
Clear cell	Arm 1: nivolumab Arm 2: everolimus	3	821	Second line or third line	Arm 1: ORR = 25%; CR = 1%; Arm 2: ORR = 5%; CR < 1%;	Arm 1: 4.6; Arm 2: 4.4.	Arm 1: 25; Arm 2: 19.6	Motzer et al. [15]
Clear cell	Arm 1: pembrolizumab plus axitinib Arm 2: sunitinib	3	432 429	First line	ORR = 59.3%, CR = 5.8%; Arm 2: ORR = 35.7%, CR = 1.9%;	Arm 1: 15.1; Arm 2: 11.1.	Not reached in both arms	Rini et al. [16]

RCC: renal cell cancer; pts: patients; ND: not demanded; ORR: objective response rate; mPFS: median progression-free survival; mOS: median overall survival; IL2: interleukin-2; CR: complete response.

inhibitor). The median OS of the nivolumab group and everolimus group were 25.0 months and 19.6 months, respectively. The ORR was also 5 times greater with nivolumab (25% vs. 5%; $p < 0.001$) [15].

Recently, an open-label, randomized phase III clinical trial (KEYNOTE-426) compared the efficacy of pembrolizumab (Keytruda, a PD-1 blocker) plus axitinib (a multitargeted tyrosine kinase inhibitor for VEGFR, c-kit, and PDGFR, $N = 432$) with sunitinib (a multitargeted tyrosine kinase inhibitor for PDGFR, VEGFR, and c-kit, $N = 429$) in previously untreated advanced RCC patients [16]. As a result, 89.9% patients in the pembrolizumab-axitinib group and 78.3% patients in the sunitinib group survived at 12 months in 12.8 months median follow-up. Median PFS durations were 15.1 months and 11.1 months in the pembrolizumab plus axitinib group and in the sunitinib group, respectively (HR 0.69; 95% CI, 0.57–0.84; $p < 0.001$); ORRs were 59.3% and 35.7% in the pembrolizumab-axitinib group (95%CI, 54.5–63.9%) and in the sunitinib group (95%

CI, 31.1–40.4%). Regardless of PDL-1 expression, pembrolizumab combined with axitinib benefited patients in all risk groups (favorable, intermediate, and poor risk) [16]. Due to the conspicuous advantage of pembrolizumab plus axitinib over sunitinib on ORR and PFS, the FDA approved pembrolizumab plus axitinib as first-line therapy of all risk groups in advanced RCC on April 19, 2019.

A retrospective analysis of 35 patients with metastatic, non-clear cell RCC who received at least one dose of nivolumab showed that 20% of patients had partial response and 29% of patients had stable disease in 8.5 months median follow-up and 3.5 months median PFS [19]. McKay et al. found that of 43 patients with metastatic, non-clear cell RCC, 8 (19%) patients had modest responses to PD-1/PD-L1 and 4 (13%) patients who received PD-1/PD-L1 monotherapy showed an objective response [20].

In general, the next generation of immunotherapies (ICI: ipilimumab, nivolumab, and pembrolizumab) raised hopes for patients with advanced RCC. From the results reported

so far, clear cell RCC and intermediate-risk/poor-risk populations could benefit more than others. ICI therapies showed the potential of improving the ORR and PFS with or without anti-VEGF therapy, which also resulted with lower severe toxicities than high-dose IL2. However, the OS benefit of pembrolizumab plus axitinib over sunitinib remains unknown. Considerable efforts are nevertheless needed to reduce the resistance rate to immunotherapy and improve its efficiency.

3. Potential Mechanisms of Adding SBRT to Overcome the Resistance to Immunotherapy

There are several underlying mechanisms explaining how SBRT enhances immunotherapy efficacy in the tumor microvasculature as depicted in Figure 1.

3.1. Tumor Microvasculature Response to SBRT. Folkman hypothesized that the most common pathway for new microvessel development in malignant tumor is angiogenesis [21]. In physiological conditions, pro- and anti-angiogenic factors maintain a dynamic balance for the normal development of blood vessels. However, in malignant tumors, this balance is perturbed by hypoxia. Excessive proangiogenic factors promote abnormal growth of microvessel, which become disorganized and form tortuous, dilated, hyperpermeable, and dysfunctional microvessels, resulting in intensifying hypoxia and poor transportation efficiency within the tumor microenvironment. These abnormal microvessels impede immune cell migration, function, and transportation of therapeutics. The response of microvessels to SBRT, their normalization structure, and endothelial cell (EC) apoptosis determine the radiosensitivity of certain malignant tumors, including RCC. EC apoptosis might be particularly crucial for RCC because of its extensive microvasculature.

In 2003, Garcia-Barros and colleagues discovered that high-dose SBRT (more than 8–11 Gy) facilitates apoptosis of EC in a dose-dependent manner and normalizes tumor microvasculature [22]. More than 8–11 Gy radiation induced EC apoptosis, and single dose of 15–20 Gy radiation resulted in rapid EC apoptosis. With a single dose of 15 Gy, EC apoptosis, involving acid sphingomyelinase (ASMase), is initiated in one hour, reaches its peak in four hours, and ceases in six hours. ASMase hydrolyses sphingomyelin, a proapoptotic messenger that coordinates transmembrane signaling of FAS-FASL-mediated and tumor necrosis factor-(TNF-) receptor-mediated apoptosis and DR5-TRAIL-mediated apoptosis through death-inducing signaling complexes within seconds after irradiation and without DNA damage. Clustering of receptor-bearing rafts facilitates the stimulation of receptor-mediated apoptosis. Exclusion of survival-regulating proteins and growth factors from these clustered rafts might cause EC apoptosis. A previous study showed that ASMase^{-/-} mice had double the growth rate of MCA129 fibrosarcoma and half the rate of EC apoptosis than ASMase^{+/+} mice [22], suggesting that EC apoptosis plays an important role in tumor cell death. Sathishkumar et al. observed that patients having a complete or partial

response after SBRT (15 Gy/1f) had substantially augmented or higher levels of a secretory form of ASMase (S-ASMase) activity before radiotherapy was given (high basal activity), while little-to-no increase in low basal activity was observed in nonresponders [23]. Furthermore, 60% of patients with clear-cell renal cancer are highly vascularized owing to transcriptional silencing (hypermethylation) or mutation of *von Hippel-Lindau (VHL)*. Degradation of hypoxia-inducible factor-1 (HIF-1) requires pVHL, and deficiency in pVHL results in HIF-1 accumulation and angiogenesis.

Given that renal cancer is assumed to be sensitive to SBRT [24], it was found that EC damage appears to be induced by both SBRT and conventional fractionated radiation (CFRT). These contrasting results may be due to the fact that EC apoptosis contributes significantly to tumor cell elimination in SBRT, and EC apoptosis was merely due to low-dose irradiation of CFRT which may not induce tumor cell death effectively, as death signaling in EC is repressed by activation of HIF-1 in tumor cells [25].

Apart from EC apoptosis, SBRT enhances involvement of pericytes in tumor microvessels, and the pericyte-covered microvessels were functional with an increase in perfusion, which could alleviate hypoxia and improve transportation efficacy [26]. Thus, there is a normalization of blood microvessels, offering a “window of opportunity” for immune-cell migration and transportation of therapeutics.

3.2. The Systemic Antitumor Effect of SBRT. Basic biological and clinical research in tumor radiotherapy have revealed that local radiotherapy, especially SBRT, can induce systemic antitumor effect in tumor lesions beyond the radiated field, termed the abscopal effect, which has been reported in various malignancies including melanoma, lymphoma, neuroblastoma, and RCC and particularly in pulmonary metastases. A valid hypothesis explaining the mechanism behind abscopal effect is that high-dose radiation can cause tumor cells to die within a short period and expose new tumor antigens, so that radiated tumor cells function as natural tumor vaccines after radiation exposure [27–29]. Concurrently, during the process of tumor cell death, damage-associated molecular patterns, such as HMGB1, ATP, and heat shock proteins, are also released in large quantities. These molecules can effectively induce dendritic cells (DCs) to recognize tumor-specific antigens resulting in their capture and migration of DCs to draining lymph nodes, where tumor antigens are presented to T cells [30], which in turn get activated and undergo massive proliferation. Activated effector T cells enter the circulatory system, recognize tumor cells far from the radiated field, and exert antitumor effects [31, 32].

To explore whether SBRT can enhance the expression of tumor-associated antigens in patients with advanced RCC, Singh et al. studied the response to SBRT in patients with advanced RCC. This study evaluated patients receiving neoadjuvant SBRT following surgery and found SBRT patients had higher expression of tumor-associated antigens (MUC-1, CA-9, 5T4, and NY-ESO-1) and costimulatory molecules ICAM-1 and CD80 compared with patients

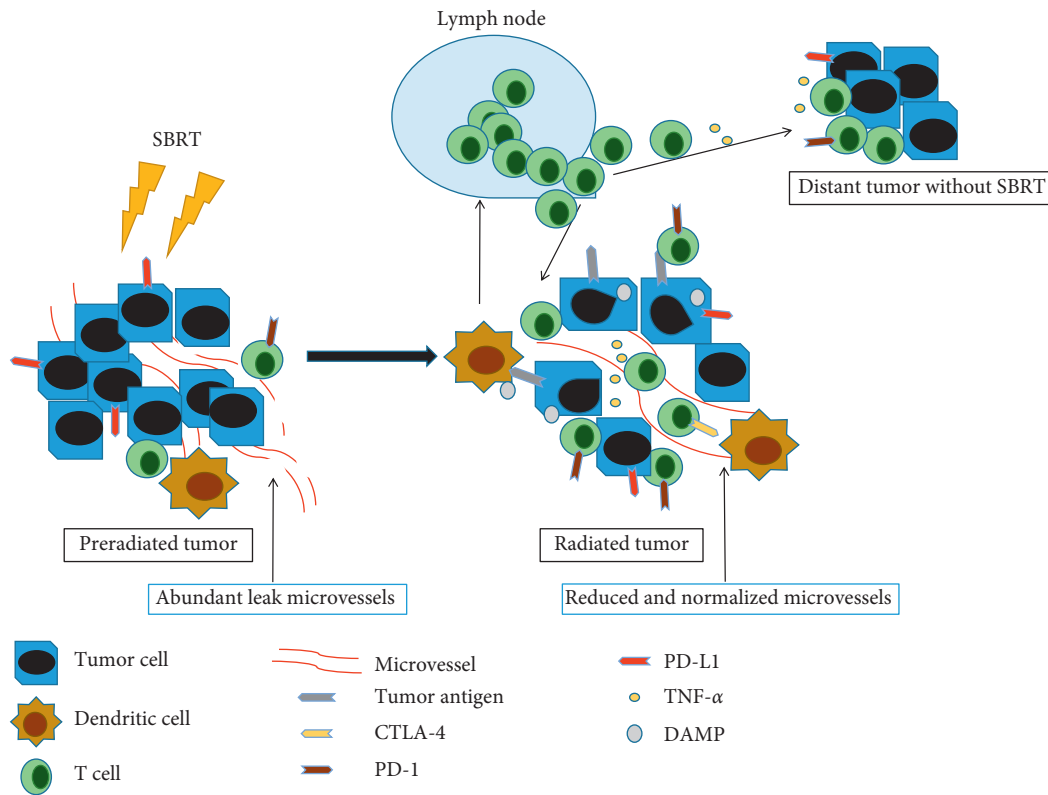


FIGURE 1: Potential mechanisms of SBRT enhance the efficacy of immunotherapy. SBRT (single dose >8 Gy) reduces and renormalizes the microvessels in tumor. On the other hand, SBRT increases infiltration of antitumor immune cells such as dendritic cells and T cells in the radiated tumor. Theoretically, these antitumor T cells could migrate to the unirradiated tumor sites, which is called the abscopal effect. DAMP: damage-associated molecular patterns.

without SBRT [33]. Moreover, the apoptosis inducers TNF- α (24–72 h after SBRT), IL-1 α , IL-1 β , IL-6, FASL, and TGF- β were released during radiotherapy; higher levels of TNF- α agreed with the abscopal effect and complete tumor response [23, 34].

4. Efficacy of SBRT in Patients with Advanced RCC

Results from several studies support that SBRT differs from CFRT for RCC patients, and SBRT is effective at controlling both primary and metastatic lesions of RCC, as summarized in Table 2.

4.1. SBRT Differs from CFRT in Treating Patients with RCC. In recent years, SBRT has been delivered to patients with advanced RCC, with results showing a slow but persistent shrinkage of the renal tumor after SBRT [50]. Compared with CFRT, in RCC with bone metastasis, the median time to symptom relief between SBRT and CFRT was similar, but the symptom control rates of SBRT were much higher than those of CFRT [35]. Furthermore, the authors of the study also showed that the biologically effective dose (BED) ≥ 80 Gy was significant for better clinical response and was predictive of local control [35]. Similar results were reported by Altoos et al. showing SBRT-mediated control of thoracic,

abdominal, and soft tissue lesions in RCC, with predictive factors for better local control being BED ≥ 100 Gy and dose per fraction ≥ 9 Gy [36]. An analysis of radiographic and symptomatic RT responses in 27 consecutive RCC patients with 37 lung lesions found that rates of radiographic local control with SBRT were much higher than CFRT [37]. To explore the difference between SBRT and CFRT on spine metastases from RCC, a total of 110 patients (34 CFRT; 76 SBRT) were retrospectively analyzed [51]. The researchers found that both CFRT (20 Gy/5f) and SBRT (15 Gy/1f) provided effective relief of symptomatic spine metastases from RCC, whereas CFRT relieved pain faster, and pain relief with SBRT was more durable [51].

4.2. SBRT Is Effective in Controlling Primary Renal Lesions. Results from several studies indicate that SBRT is effective in controlling primary renal lesions. For example, renal tumors treated with SBRT show significant reductions in growth rate and tumor size after radiation [52]. Furthermore, a prospective phase I trial suggested that SBRT might be an alternative to cytoreductive nephrectomy for inoperable patients with advanced RCC [39]. The median tumor size was increased 17.3% at 5.3 months, and the median OS was increased at 6.7 months [39]. Inadequate single doses (≤ 7 Gy) in this prospective study could be the reason for these moderate results. For asynchronous bilateral RCC

TABLE 2: SBRT is effective in primary lesions and metastases of advance RCC.

Study type	No. of patients/lesions	SBRT target	SBRT regimen	Local control	OS	AE (\geq Grade 3)	Ref
Retrospective study	50 lesions	Bone metastasis	Most common is 27 Gy/3f	Rates at 12 and 24 months were both 74.9%	NA	Grade 3 AE: 1 patient, dermatitis	Amini et al. [35]
Retrospective study	36 lesions	Thoracic, abdominal, and soft-tissue lesions	Most common is 50 Gy/5f	Rates at 12, 24, and 36 months were 100%, 93.41%, and 93.41%, respectively	Median OS about 32 months	Grade 3 AE: 1 patient, mucositis	Altoos et al. [36]
Retrospective study	27 pts/37 lesions	Lung metastasis	Median SBRT dose and fraction were 50 Gy (range 25–60) and 3 (range 1–6)	92.3% for median follow-up 16 months	NA	0	Altoos et al. [37]
Retrospective study	57 pts/88 lesions	Spinal metastases	Single fraction, median 15 Gy	Median 26 months	8.3 months (1.5–38)	0	Balagamwala et al. [38]
Prospective phase I trial	12 pts	Primary renal lesions	25 Gy, 30 Gy, or 35 Gy in 5 fractions	NA	6.7 months (1.5–16.4)	Grade 3 AE: 3 patients, fatigue (2) and bone pain (1)	Correa et al. [39]
Retrospective study	9 pts	Bilateral primary renal lesions	60–85 Gy was delivered at 5–7 Gy/fraction	Rates at 1, 3, and 5 years were 64.8, 43.2, and 43.2%, respectively	Rates at 1, 3, and 5 years were 66.7, 53.3, and 35.6%, respectively	0	Wang et al. [40]
Prospective phase I trial	15 pts	Primary renal lesions	24–48 Gy/4f	100% for median follow-up 13.67 months	Estimated 3-year OS post-treatment was 72%, 95% CI (0.44–0.87)	Grade 4 AE: 1 patient (5.3%) with duodenal ulcer possibly treatment-related	Ponsky et al. [41]
Prospective study	37 pts	Primary renal lesions	26 Gy/1f for tumors <5 cm and 42 Gy/3f for tumors \geq 5 cm	Rates at 2 years was 100%	Rates at 2 years were 92%	Grade 3 AE: 1 patient (3%).	Siva et al. [42]
Retrospective study	21 pts	Primary renal lesions	48 Gy/3f	Rates at 1 year and 2 years were 92 and 84%, respectively	Rates at 1 year and 2 years were both 95%	0	Kaplan et al. [43]
Retrospective study	32 pts/52 lesions	Brain metastasis	22.0 Gy (range, 12.8–24.0 Gy)	NA	6.3 months (0.4–100.4 months)	NA	Shah et al. [44]
Retrospective study	16 pts/99 lesions	Brain metastasis (\geq 5)	SRS	91% of targets	50% after 6 months and 31% after 1 year Rates at 6 months and 1 year were 55.4% and 30.2%, respectively	NA	Mohammadi et al. [45]
Retrospective study	81 pts/117 lesions	Brain metastasis (from melanoma or renal cancer)	18 Gy (range 15–20 Gy)	Rate at 1 year was 79.4% for renal cancer	Rates at 1 year and 2 years were 55.4% and 30.2%, respectively	NA	Feng and Lemons et al.
Retrospective study	15 pts	Brain metastasis	SRS	NA	8.4 months	NA	Feng et al. [46]
Retrospective study	18 pts/39 lesions	Oligometastatic renal cancer (extracranial)	8–14 Gy * 3 fractions or 4–5 Gy * 10 fractions	Rate at 2 years was 91.4%	2 years was 85%	NA	Ranck et al. [47]

TABLE 2: Continued.

Study type	No. of patients/lesions	SBRT target	SBRT regimen	Local control	OS	AE (\geq Grade 3)	Ref
Retrospective study	84 pts/175 lesions	Extracranial metastasis	(40–60 Gy/5f or 30–54 Gy/3f or 20–40 Gy/1f)	1-year LC rate was 91.2%	NA	Grade 3 events: 8 patients (4.6%).	Wang et al. [48]
Retrospective study	48 patients/70 lesions	Spinal metastases	NA	Rate at 21 months was 72%	66 months (CI95% 54–79)	NA	Serrand et al. [49]

NA: not available; pts: patients; OS: overall survival; AE: adverse effect; SRS: stereotactic radiosurgery.

($N=9$), SBRT resulted in an ORR of 55.6%, and the 1-, 3-, and 5-year OS rates were 66.7%, 53.3%, and 35.6%, respectively [40]. Among patients with localized RCC who were not suitable for surgery, a phase I study using SBRT (24–48 Gy/4f) showed three partial responses and 12 patients with stable disease among those with an evaluable response ($N=15$) [41]. Siva et al. applied SBRT (26 Gy/1f for tumors <5 cm or 42 Gy/3f for tumors ≥ 5 cm) on inoperable primary kidney cancers and found freedom from local (100%) and distant (89%) progression, with an overall 2-year survival rate of 92% [42]. However, SBRT led to dose-dependent renal dysfunction at 1- and 2-years [42]. Therefore, sparing functional kidney from high-dose irradiation regions might help reduce the risk of renal dysfunction. In this context, SBRT (48 Gy/3f) was found to be effective for primary small renal tumors and results in a satisfactory local control rate [43].

4.3. SBRT Controls Intracranial and Extracranial Metastases in RCC. At present, several early studies have demonstrated that SBRT has an inhibitory effect on RCC metastases, including intracranial and extracranial metastases.

4.3.1. Intracranial Metastases Controlled by Stereotactic Radiosurgery (SRS). Brain metastasis (BM) usually indicates poor prognosis in patients with RCC. Whole brain radiation therapy (WBRT) is considered a standard treatment in patients with multiple (>5) BMs. However, WBRT (usually 2–3 Gy per fraction) has limited efficacy in patients with BM from radio-resistant tumors such as RCC and melanoma whose median survival is 2–4 months. Stereotactic radiosurgery (SRS) for BM from RCC has been regarded as an alternative to surgery and delivers high-dose radiation in no more than 3 fractions (usually only one fraction), but avoids the toxic effects of WBRT. Studies in this regard have shown local control in 24 of 32 renal patients with 52 metastases while 4 patients had local progression using SRS for brain metastases in patients, in which the median dose was 22.0 Gy (range, 12.8–24.0 Gy), and the median OS was 6.3 months (range, 0.4–100.4 months) [44]. To evaluate outcomes of SRS in 16 RCC patients with multiple (≥ 5) simultaneous BMs (99 lesions in total) treated with SRS showed OS after 6 months and 1 year to be 50% and 31%, respectively. The median OS was 7.1 months (range 1–21), and 91% patients were free

from local failure [45]. Besides, it has been found that SRS dose >18 Gy was associated with improved survival in patients with RCC [53]. Using this dose (range 15–20 Gy), a study involving 81 patients treated with SRS for BM from melanoma or RCC showed actuarial OS rates at 6 months and 1 year of 55.4% and 30.2%, respectively, and one-year local control (LC) rate of 79.4% for RCC [46]. Another similar, but smaller, study involved BM from melanoma ($N=26$) or RCC ($N=15$) patients, which found the lack of statistical significant differences in OS between patients with RCC and melanoma (8.4 mo vs 5.0 mo, $p=0.11$) [54].

The results of these studies indicated that the OS of patients with BM from RCC treated with SRS is about 6.3–8.4 months, which is much longer than patients who underwent WBRT. The lack of high-grade evidence in current retrospective studies warrants the need for prospective studies in order to guide clinical practice, with the inclusion of more numbers of BMs to make valid conclusions.

4.3.2. Extracranial Metastases Controlled by Stereotactic Radiosurgery (SRS). The ability of SBRT to control extracranial metastases in RCC was demonstrated in recent studies on 84 patients with 175 metastatic extracranial lesions who received SBRT (40–60 Gy/5f or 30–54 Gy/3f or 20–40 Gy/1f); the 1-year local control (LC) rate after SBRT was 91.2%, and one factor of local failure was BED <115 Gy [48]. Another retrospective study of 48 patients treated for 70 spine metastases showed that the spine recurrence rates of 60% were mainly associated with salvage SBRT, which was only 20% for upfront SBRT. The study suggested that an early SBRT with higher doses could be more effective than salvage SBRT [49]. As mentioned above, SBRT effectively relieves symptomatic spine metastases in RCC. Compared with CFRT, SBRT trends to produce more durable pain relief [51], as demonstrated in 57 RCC patients (88 treatment) with spine metastasis, wherein Balagamwala et al. found that a single fraction SBRT achieved a median survival of 8.3 months and relieved pain rapidly with a median duration of 5.4 months of pain relief [38].

The currently available evidence reviewed in this study suggests that SBRT alone is effective for RCC, including primary lesions treatment and intracranial and extracranial metastases control; especially, patients with multiple intracranial metastases face poor prognosis. Single dose <7 Gy

might be ineffective to achieve satisfactory treatment results in RCC patients, but higher dose radiation in SBRT monotherapy exerted robust disease control with acceptable clinical risk.

5. Preclinical and Clinical Evidence for the Inclusion of SBRT to Overcome Resistance to Immunotherapy

5.1. Preclinical Evidence. The introduction of ICIs, initially with anti-CTLA-4 antibodies, initiated a revolution in oncology. The inclusion of radiotherapy to ICI, animal models, or clinical studies focusing on the integrating radiation and related drugs followed in an attempt to find effect of radiotherapy on immune activation in several solid tumors [55]. Under this strategy, combining radiation with immune-checkpoint blockade increased locoregional control of tumors [31, 56]. Furthermore, combination of local radiation with anti-CTLA-4 and anti-PD-1/PD-L1 inhibitors increased systemic disease control mediated by the abscopal effect [57]. An increase in complete regression of the irradiated primary tumor and reduced size of nonirradiated tumors outside the radiation field were observed when SBRT was combined with PD-1 blockade in melanoma and RCC models [58]. This effect was not attributed to tumor histology or host genetic background, but as it was tumor-specific, the effect was potentiated by PD-1 blockade, an abscopal tumor-specific immune response induced by radiotherapy in nonirradiated tumors [58]. The abscopal effect was exerted only in a small proportion of patients who received anti-CTLA-4 combined with radiotherapy, leading to PD-1/PDL1-mediated resistance to ipilimumab [57]. Another study showed blockade of adaptive immune resistance mediated by anti-PD-1/PDL1 antibodies upon localized radiation with anti-CTLA-4 therapy. Furthermore, nonredundant immune mechanisms mediated the superior activity of radiation and dual immune checkpoint blockade [59].

5.2. Clinical Evidence. Clinical evidence reporting combination of SBRT with immunotherapy in advanced RCC is scant. A phase-2 trial combining high-dose IL2 and SBRT in patients with metastatic RCC [60] showed that 1–3 lesion sites were treated with SBRT with a dose of 21–27 Gy for single fraction or 25–33 Gy for 3 fractions. The primary endpoint of the study—response rate—was 40%, with 1 patient presenting CR and 3 patients showing PR. The median duration of overall response (including CR and PR) was 5 months, and median stable disease (SD) duration was 6 months. Addition of SBRT to IL-2 increased the response rate in metastatic RCC patients by about 2-folds compared with IL-2 alone. Two cases have reported the induction of abscopal effect when SBRT was combined with ICI therapy in advanced RCC patients. One case reported by Xie et al. showed a systemic complete response to SBRT (32 Gy/4f) and pembrolizumab (anti-PD-1 antibody) in a patient with metastatic RCC [61]. The metastatic lymph nodes in the left mediastinum were irradiated with a total of 32 Gy

administered in four fractions on four consecutive days [61]. The second case was that of a 24-year-old male with advanced clear-cell RCC and bone, lung, and nodal metastases who received SBRT (27 Gy/3f) to the sacrum metastatic mass and subsequent ipilimumab and nivolumab therapy [62]. The sacrum mass was obviously shrunk with the therapy and no radiological evidence for lung and nodal metastases was found more than 12 months after SBRT [62].

To determine the effect of combining SBRT with immunotherapy, we searched ClinicalTrials.gov for studies and identified 13 ongoing clinical trials (Table 3). The vast majority of these trials were phase-2 studies and combined ICIs and (or) high-dose IL2.

6. Discussion

Recently, a single-arm phase-2 trial, which combined SBRT and a PD-1 blocker (pembrolizumab), suggested PFS improvement without serious safety signals in patients with oligometastatic NSCLC [63]. Immunotherapy (especially ICI) offers hope for patients with advanced RCC, particularly when SBRT is offered in combination. High dose of radiation effectively results in abundant ECs apoptosis which aids in reducing and renormalizing microvessels in the tumor for better transportation of therapeutics and migration of immune cells. Furthermore, SBRT has the potential to prime the immune system by exposing a mass of tumor antigens after irradiation. We acknowledge that there is limited evidence regarding this hypothesis and additional clinical studies are needed. However, in our humble opinion, SBRT offers a promising strategy for overcoming the resistance to immunotherapy in advanced RCC. Nevertheless, limitation of the combined therapy exists as follows:

First, there exists the possibility of severe treatment-related adverse events. High-dose IL2 itself has shown to induce substantial toxicity. Furthermore, ICI therapy-induced acute kidney injuries such as acute tubulointerstitial nephritis, acute interstitial nephritis, and increased blood creatinine or acute renal failure have been reported [64–66]. As mentioned previously, application of SBRT to renal primary lesions could lead to dose-dependent renal dysfunction. Therefore, the combination of SBRT with PD-1/PD-L1 inhibitors will probably increase therapy-associated severe adverse events. Moreover, the incidence of other common treatment-related adverse events such as hypothyroidism and hyperthyroidism, which were the most frequent endocrine immune-related adverse events for PD-1/PD-L1 inhibitor alone, must be considered [67].

Second, the dose, fractions, and targets of SBRT plan are crucial, whereas a single dose <8 Gy might be insufficient and a higher dose presents a higher risk, particularly when combined with immunotherapy. Therefore, a dose-escalation study is warranted to maximize clinical efficacy with acceptable toxicities for prospective clinical trials. Encouraging results from preclinical and clinical studies support the synergistic effect of SBRT and ICI therapy against brain metastases from melanoma [68, 69]. Marrow-derived suppressor cells (MDSC) and immunosuppressive B cells could impede the antitumor activity induced by SBRT and immune

TABLE 3: Ongoing clinical trials which combined SBRT and immunotherapy in advanced RCC.

Identifier	Phase/no. of patients	Status	Cancer	Immunological agents	Schedule of SBRT	Line of therapy
NCT03469713	NA/68	Recruiting	Metastatic RCC	Nivolumab	30 Gy in 3 consecutive fractions	II-III
NCT01884961	II/35	Recruiting	Metastatic melanoma or RCC	High-dose IL2	Three daily doses of SBRT at 6–12 Gy to at least 1 and up to a maximum of 5	NA
NCT02855203	NA/30	Recruiting	Oligometastatic renal tumors	Pembrolizumab	18–20 Gy in 1 fraction	≤III
NCT01896271	II/26	Active, not recruiting	Metastatic RCC	High-dose IL2	8Gy–20 Gy in 1–3 fractions	NA
NCT02306954	II/84	Recruiting	Metastatic RCC	High-dose IL2	40 in 2 fractions	NA
NCT03065179	II/25	Recruiting	Metastatic RCC with a clear-cell component	Nivolumab and ipilimumab	NA	Not limited
NCT02781506	II/35	Recruiting	Metastatic RCC	Nivolumab	Dose variable in 1–3 fractions	≥II
NCT03050060	II/120	Recruiting	Metastatic/recurrent RCC/ recurrent melanoma/ recurrent NSCLC	Nelfinavir mesylate, pembrolizumab, nivolumab, and atezolizumab	Image-guided hypofractionated radiotherapy	Not limited
NCT03115801	II/112	Recruiting	Metastatic RCC	Nivolumab	30 Gy in 3 fractions	Not limited
NCT03474497	I-II/45	Not yet recruiting	Metastatic NSCLC/ metastatic melanoma/ RCC/head and neck squamous cell carcinoma	IL-2, pembrolizumab	24 Gy in 3 fractions delivered on consecutive or every other day	NA
NCT03693014	II/60	Recruiting	Metastatic cancer: melanoma/lung cancer/ bladder cancer/RCC/head and neck cancers	Nivolumab for RCC	Image-guided, 27 Gy in 3 fractions	NA
NCT03511391	II/97	Recruiting	Urothelial carcinoma/ melanoma/RCC/NSCLC/ head and neck cancer	Pembrolizumab or nivolumab	24 Gy in 3 fractions	II for RCC
NCT02978404	II/60	Recruiting	Brain metastases of metastatic clear-cell RCC or metastatic NSCLC	Nivolumab	15–20 Gy in 1 fraction	≤IV

NA: not available; NSCLC: non-small-cell lung carcinoma; RCC: renal cell cancer.

therapy [70, 71]. These immunosuppressive cells and heterogeneity in tumor might be the reasons for low incidence of abscopal effect in the clinic. Brooks and Chang suggested that we should abandon single-site radiation and that radiotherapy could be delivered to all targetable disease sites to broaden the T-cell repertoire and maximize the activation of the immune response [72].

Third, an appropriate sequence of SBRT and immunotherapy should be planned with detailed consideration. Harris et al. reported that the highest antitumor immune response in the mouse model of prostate cancer was obtained by adding immunotherapy after 3–5 weeks of radiotherapy; however, there was no obvious antitumor immune response after the end of radiotherapy [73]. It has also been suggested that CTLA-4 antibodies should be used to deplete regulatory T cells prior to radiotherapy to obtain maximum immune effects [74].

Fourth, pembrolizumab plus axitinib have yielded outstanding results, suggesting the benefit of concurrent or sequential treatment with anti-VEGF therapy combined with SBRT and immunotherapy, especially for patients with multiple lesions, some of which may be unsuitable for

SBRT. However, the potential toxicities of anti-VEGF therapy with SBRT and immunotherapy need more attention [75].

In conclusion, combination of SBRT with immunotherapy may unlock antitumor immune responses that have the potential of overcoming resistance to immunotherapy in patients with advanced RCC.

Conflicts of Interest

All the authors declare that there are no relevant conflicts of interest to disclose.

Authors' Contributions

Xiaowen SUN collected, reviewed the main literature, and wrote the manuscript. Lu GAN supplemented the literature and revised the manuscript. Jiaming LIU pointed out writing design and revised the manuscript. Runa A, Lingling GE, and Baoqing Chen put up with helpful comments on the manuscript. All authors read and approved the final manuscript.

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Review Article

Targeting L-Lactate Metabolism to Overcome Resistance to Immune Therapy of Melanoma and Other Tumor Entities

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Although immunotherapy plays a significant role in tumor therapy, its efficacy is impaired by an immunosuppressive tumor microenvironment. A molecule that contributes to the protumor microenvironment is the metabolic product lactate. Lactate is produced in large amounts by cancer cells in response to either hypoxia or pseudohypoxia, and its presence in excess alters the normal functioning of immune cells. A key enzyme involved in lactate metabolism is lactate dehydrogenase (LDH). Elevated baseline LDH serum levels are associated with poor outcomes of current anticancer (immune) therapies, especially in patients with melanoma. Therefore, targeting LDH and other molecules involved in lactate metabolism might improve the efficacy of immune therapies. This review summarizes current knowledge about lactate metabolism and its role in the tumor microenvironment. Based on that information, we develop a rationale for deploying drugs that target lactate metabolism in combination with immune checkpoint inhibitors to overcome lactate-mediated immune escape of tumor cells.

1. Introduction

Long regarded as merely a metabolic waste product, there is now growing evidence that L-lactate produced in excess by cancer cells favors tumor growth and metastasis. L-Lactate exerts this tumorigenic effect, at least in part, by disrupting the normal antitumor function of certain immune cells to create an immunosuppressive tumor microenvironment. This has important therapeutic implications because the localized immunosuppression blunts the efficacy of anticancer immunotherapies. Thus, in principle, targeting lactate metabolism could be a strategy to bolster the effectiveness of cancer therapies and improve patient outcomes. Before delving into these therapeutic possibilities, we begin with an overview of lactate metabolism, especially as it relates to energy production in cancer cells.

2. L-Lactate Biochemistry, Sources, and Transport

Lactate (2-hydroxypropanoate) is a hydroxycarboxylic acid. Two stereoisomers exist, L-lactate and D-lactate. L-Lactate is

the predominant enantiomer in the human body [1]. L-Lactate is either produced or removed by a reversible oxidoreduction reaction catalyzed by the enzyme L-lactate dehydrogenase (LDH). Pyruvate is reduced to L-lactate, while reduced nicotinamide adenine dinucleotide (NADH) is oxidized to NAD^+ [2]. High levels of the LDHA isoform are found in muscles and tumors [3]. The two main sources of L-lactate in humans are pyruvate and alanine [4]. L-Lactate is the end-product of glycolysis and the pentose phosphate pathway [5]. Oxidation of L-lactate into pyruvate by LDH in the cytosol is the first step in L-lactate clearance. Lactate metabolism is a highly dynamic and tissue-specific process [6]. L-Lactate transport is mainly executed by monocarboxylate transporters (MCT1, MCT2, and MCT4) (Figure 1). MCT4 is responsible for excretion, whereas MCT1 and MCT2 work in both directions [7, 8]. In addition, two sodium-coupled monocarboxylate transporters, SMCT1 (SLC5A8) and SMCT2 (SLC5A12), mediate the cellular uptake of L-lactate [9–12]. While certain cell types excrete L-lactate, other cell types preferentially take it up, e.g., neurons and glial cells, respectively [6]. The same is true of

tumor cells, tumor stem cells, tumor-associated fibroblasts, and immune cells, which provides the basis for the formation of lactate-rich tumor niches and microenvironments that are highly inimical to therapy. Moreover, it has also been proposed that lactate facilitates metastasis via creation of a microenvironment toxic to normal cells by stimulating tissue lysis [13, 14].

3. The Warburg Effect

The Warburg effect describes the phenomenon, wherein cancer cells generate energy predominantly via glycolysis even if sufficient oxygen for respiration is present (Figure 1). But why would tumors use inefficient glycolysis instead of oxidative phosphorylation (OXPHOS) for energy production? There are several reasons which may explain this reprogramming of ATP generation.

In normal cells, one molecule of glucose produces 38 molecules of ATP during complete oxidation in mitochondria. In cancer cells, pyruvate oxidation is downregulated and replaced by lactate production, catalyzed by LDH, without ATP generation. Thus, in tumor cells, one molecule of glucose produces only two molecules of ATP [15–17]. However, aerobic glycolysis might not be as inefficient as often reported. The production of L-lactate from glucose occurs 10–100 times faster than the complete oxidation in mitochondria and the amount of ATP production is similar per unit of time [18]. The Warburg effect has been proposed to be an adaptive mechanism to support the biosynthetic requirements of uncontrolled proliferation. Glucose serves as a carbon source for anabolic processes. The excess carbon is diverted into branching pathways emanating from glycolysis and is used for the generation of building blocks such as nucleotides, lipids, and proteins [7, 16, 19, 20]. Another theory proposes that tumors shut down OXPHOS to reduce the damage caused by reactive oxygen species (ROS) while maintaining a level necessary for signaling, e.g., especially important for chromatin metabolism [20].

4. Other Models

In addition to the classic Warburg hypothesis, other models have been proposed. The two primary ones are the reverse Warburg effect and the lactate shuttle hypothesis (several additional models are more or less variations of these two hypotheses). An important feature of these two models is that they take into consideration cell-cell interactions, tumor microenvironment, and compartmentalization.

In 2009, a novel “two-compartment metabolic coupling” model, also named “the reverse Warburg effect,” was proposed [21, 22]. In this model, epithelial cancer cells induce the Warburg effect (aerobic glycolysis) in neighboring stromal fibroblasts. Cancer-associated fibroblasts (CAFs) then undergo myofibroblastic differentiation and secrete lactate and pyruvate. Epithelial tumor cells are able to take up these energy-rich metabolites and use them in the mitochondrial tricarboxylic acid (TCA) cycle, thereby promoting efficient energy production (i.e., ATP generation via OXPHOS) [22].

The *intracellular* lactate shuttle hypothesis posits that lactate formed during glycolysis can be continuously used as an energy source within mitochondria of the same cell [23]. The *intercellular* or cell-cell lactate shuttle hypothesis proposes that lactate generated and exported from one cell can be taken up and utilized by another cell. The latter mechanism was described for neurons and astrocytes [24]. Several articles report that lactate can reach mitochondria via diffusion. LDH in the mitochondrial intermembrane space (IMS) generates NADH used by malate dehydrogenase, which converts oxaloacetate to malate. The malate- α -ketoglutarate (α -KG) antiporter (SLC25A11) transports malate into the mitochondrial matrix in exchange for α -KG that is transported to the IMS, where it is metabolized to glutamate by the enzyme aspartate aminotransferase (AAT). In addition, oxaloacetate is generated from aspartate. The aspartate in the IMS comes from the glutamate aspartate antiporter (SLC25A12 and SLC25A13). The glutamate in the matrix is metabolized to aspartate and the oxaloacetate to α -KG by AAT [23, 24].

5. Role of Hypoxia

A major player in the glycolytic response to hypoxia is the transcription factor hypoxia-inducible factor-1 α (HIF-1 α) [25]. Following hypoxia-induced stabilization, HIF-1 α mediates a pleiotropic reaction to hypoxia by inducing a plethora of genes, including glucose transporters, angiogenic growth factors (e.g., vascular endothelial growth factor (VEGF)), hexokinase II [26], and hematopoietic factors (e.g., transferrin and erythropoietin) [27]. Radioresistance, immune escape, and secretion of VEGF were reported to be linked to L-lactate accumulation [28–30]. Not surprisingly, MCTs are regulated by hypoxia and/or HIF-1 α [31, 32]. Carbonic anhydrase IX (CAIX) is overexpressed in VHL-mutated clear renal cell carcinomas and hypoxic solid tumors [33, 34]. This enzyme catalyzes the reversible hydration of carbon monoxide and is thus involved in regulation of intracellular pH. CAIX is induced by HIF-1 α [34]. Importantly, CAIX is considered to be a very reliable marker of hypoxic areas in tissue, whereas HIF-1 α is not [35]. Hypoxia might not be important for melanomas. Although numerous articles describe changes of melanoma metabolism and behavior under hypoxic conditions, hypoxia in melanoma might not be present *in vivo*. CAIX is not expressed in the vast majority of melanocytic tumors although when it is expressed it is associated with worse overall survival (OS) [36–38]. Xu and colleagues likewise concluded that melanomas are not under hypoxic stress [39]. Although HIF-1 α is induced by low oxygen, many other pathways can regulate HIF-1 α in an oxygen-independent manner. The high HIF-1 α expression observed in melanomas might be linked to increased lactate production. In other words, lactate may stimulate HIF expression independently of hypoxia [40–42]. In addition, the majority of the melanomas studied showed high OXPHOS enzyme expression, which suggests that they are OXPHOS competent. This is consistent with previous studies reporting that melanomas utilize OXPHOS in addition to glycolysis [39]. Therefore, functioning mitochondria in

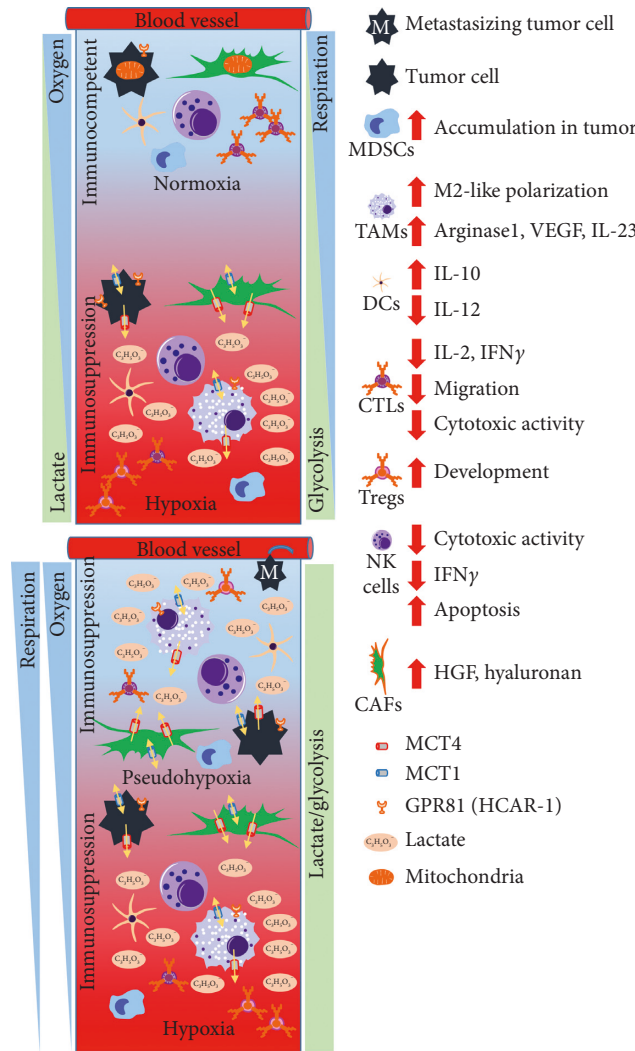


FIGURE 1: Different oxygen conditions determine the direction of the immune response in the tumor microenvironment. With increasing distance of tumor cells from blood vessels, the oxygen concentration drops. The tumor is not able to respire but instead uses primarily glycolysis for energy production with concomitant production of lactate, which in turn generates an immunosuppressive microenvironment that promotes tumor growth and metastasis (upper panel). Genetic alterations and high levels of lactate causing HIF-1 α stabilization are responsible for the glycolytic switch. Tumors use glycolysis even if sufficient oxygen for respiration is present and express hypoxia-related genes and proteins, a state referred to as pseudohypoxia (lower panel). Mitochondria are not shown under hypoxic conditions. This represents a deficiency of OXPHOS, which can be caused by several mechanisms and not just loss of mitochondria. Cellular lactate transport is mainly executed by MCT1 (influx/efflux) and MCT4 (efflux). GPR81 is a G-protein-coupled receptor which senses extracellular levels of lactate. Increased extracellular lactate levels promote escape from immune surveillance of cancer cells, mostly through decreased cytotoxic activity of CTLs and NK cells. Furthermore, lactate induces the accumulation of MDSCs and promotes M2-like polarization and the development of tolerogenic DCs and Tregs. Secreted lactate also not only drives CAFs to produce hepatocyte growth factor, which can attenuate the activity of DCs and CTLs and promote the induction of Tregs, but also increases hyaluronan, which has been associated with cancer progression. Arrows pointing upwards indicate an increase and arrows pointing downwards a decrease. MDSCs: myeloid-derived suppressor cells; TAMs: tumor-associated macrophages; DCs: dendritic cells; CTLs: cytotoxic T lymphocytes; Tregs: regulatory T cells; NK cells: natural killer cells; CAFs: cancer-associated fibroblasts; MCT4: monocarboxylate transporter 4; MCT1: monocarboxylate transporter 1; GPR81: G-protein-coupled receptor 81; HGF: hepatocyte growth factor; VEGF: vascular endothelial growth factor.

melanomas might be needed for oxidation of lactate produced by glycolysis.

A functioning OXPHOS system only makes sense if oxygen is present. Therefore, the majority of melanomas may be regarded as tumors that do not follow the classic Warburg rules. Several oxygen-independent pathways that regulate HIF-1 α were identified in melanomas. Under normoxic conditions, HIF-1 α can be stabilized by various

growth factors, cytokines and oncogenes, as shown for BRAFV600E in melanoma [43]. HIF-1 α was also identified as a microphthalmia-associated transcription factor (MITF) target [43–45]. Many factors important for neoangiogenesis are hypoxia-independent in melanomas [43]. A significant increase of LDHA expression was present in all melanomas. In addition, MCT4 was increased in single cells and areas of the melanomas, suggesting that shuttling of lactate does

indeed occur [36]. However, the lactate shuttle hypothesis is still a matter of debate since the presence of LDH and MCT1 in mitochondria is questioned [46, 47]. Increased expression of SLC25A11 was reported for melanomas in a proteomics study that analyzed 61 primary melanomas [48].

6. L-Lactate as a Biomarker in Melanoma and Other Neoplasms

As early as 1954, increased levels of LDH were detected in serum of melanoma patients [49]. Baseline serum LDH has been established as an independent prognostic factor for survival and since 2009 has been included in the American Joint Committee on Cancer (AJCC) staging system [50, 51]. Elevated serum LDH is also a strong negative predictor of survival in patients with other hematologic and solid neoplasms [52]. Pretreatment LDH levels represent a clinically significant factor associated with response, progression-free survival (PFS), and OS in targeted therapy and immune checkpoint therapy with anti-CTLA-4- and/or anti-PD1-antibodies in melanoma patients [52–57]. High pretreatment LDH levels are also significantly associated with shorter PFS and OS in patients with advanced non-small cell lung cancer treated with immune checkpoint inhibitors [58].

7. Lactate and the Tumor Microenvironment

Lactate has begun to be recognized as an active molecule capable of modulating the immune response. Tumor-derived lactate modulates the functionality of immune cells, contributing to the establishment of an immunosuppressive microenvironment which favors the development of tumors [59–61] (Figure 1). Inflammatory sites are characterized by an accumulation of lactate, which is partly responsible for the establishment of an acidic environment [62]. However, a recent review questions the presence of relevant lactate levels and its impact on immune cells in the tumor microenvironment [63].

7.1. Myeloid-Derived Suppressor Cells. Myeloid-derived suppressor cells (MDSCs) are a heterogeneous population of immature myeloid cells and play a crucial role in mediating immunosuppressive effects in the tumor microenvironment [64]. MDSCs suppress both innate and adaptive immunity by preventing the maturation of dendritic cells (DCs), suppressing natural killer (NK) cell cytotoxicity, inhibiting T cell activation, and favoring the differentiation of regulatory T cells [59, 60]. Tumor-derived lactate promotes the development of MDSCs [65]. One possible mechanism of suppression of NK cell function is through the induction of natural killer group-2 member D (NKG2D) ligands in tumor-infiltrating myeloid cells and circulating monocytes via tumor-derived LDH, which downregulates the activating NKG2D receptor on NK cells [28].

7.2. Tumor-Associated Macrophages. Tumor-associated macrophages (TAMs) are one of the most abundant cells in the tumor stroma and contribute to tumor progression at

different levels [66]. Tumor-derived lactate drives macrophage polarization toward a tumor-promoting phenotype in mice [67], where HIF-1 α -dependent lactate-induced expression of arginase 1 and VEGF might also contribute to immunosuppression and tumor evasion [67–69]. Similarly, lactate from human cervical cancer cell lines caused polarization of macrophages to an immunosuppressive phenotype [70]. Lactic acid secreted from tumor cells enhances IL-23 production in murine and human macrophages [71], which contributes to the development of protumor immunity [72]. Moreover, pretreatment of bone marrow-derived murine macrophages with lactic acid inhibited proliferation of CD8⁺ T cells [73]. Macrophages can sense lactate secreted from tumor cells via the G-protein-coupled receptors GPR132 (also known as G2A) and GPR81 (also known as hydroxycarboxylic acid receptor 1 (HCAR-1)) and respond with immunosuppressive activity [74, 75]. Both lactate and LDH in the tumor microenvironment can facilitate the protumor activity of TAMs [76].

7.3. Dendritic Cells and Monocytes. Some subsets of functionally distinct DC populations in the tumor microenvironment display a tolerogenic and immune suppressive phenotype [77]. High lactic acid concentrations in the tumor microenvironment possibly skew the differentiation of DCs to an immunosuppressive phenotype with increased production of IL-10 and loss of IL-12 [78, 79]. Furthermore, lactate inhibited the differentiation and lipopolysaccharide (LPS)-induced maturation of human monocyte-derived DCs [80]. Lactate also delayed the expression or suppressed the production of proinflammatory cytokines like TNF- α and IL-6 in LPS-stimulated human monocytes [81, 82]. The presence of lactic acid rendered tumor-associated DCs tolerogenic and led to concentration-dependent inhibition of T cell proliferation [78]. Lactate also promoted the synthesis of prostaglandin E2 and upregulation of COX2 in monocytes, both of which are involved in tumor progression and the development of therapeutic resistance [83, 84].

7.4. T Cells. Several studies demonstrate that lactate negatively affects tumor immunosurveillance by T cells. Lactate suppressed the proliferation and function of murine and human cytotoxic T lymphocytes (CTLs) *in vitro* [85–87]. The presence of lactate in an acidic environment has been shown to selectively target p38 and c-Jun N-terminal kinase activation, resulting in inhibition of IFN- γ production in CTLs [88]. Impairment of IL-2- and IFN- γ -production by CTLs *in vitro* was observed following incubation with either externally added or tumor-derived lactic acid [86, 89]. Lactic acid also impairs the recruitment of CTLs to the tumor microenvironment by blocking their motility [90]. Notably, a significant decrease in intratumoral CTLs was associated with high circulating LDH levels in patients with diffuse-large B cell lymphoma [91]. Lactic acid also diminishes the cytotoxic activity of CTLs by lowering the intracellular amounts of perforin and granzyme B and reducing lytic granule exocytosis [86, 88].

Murine tumors with reduced lactic acid production caused by *Ldha* knockdown showed significantly slower growth rates and greater infiltration by functionally active CTLs compared to control tumors in immunocompetent mice [85]. Importantly, a lactate-rich tumor microenvironment not only impairs effector T cells via LDH but also fosters the development of regulatory T cells to promote immune evasion by tumor cells [92].

7.5. Natural Killer Cells and Natural Killer T Cells. NK cells are part of the innate tumor immune surveillance system, but their contribution is diminished by the presence of lactic acid in an acidic tumor microenvironment [92]. Similar to its effect on T cells, lactic acid prevented the upregulation of the nuclear factor of activated T cells (NFAT) in NK cells, resulting in decreased IFN- γ production [92] and reduced cytotoxic activity [65]. Blocking the lactate flux by inhibition of MCT4 enhanced the cytotoxicity of NK cells in a murine model of breast cancer [93]. Conversely, lactate-mediated acidification of the tumor microenvironment induced apoptosis of NK cells, resulting in their depletion from human colorectal liver metastases [94]. A high-lactate microenvironment is also detrimental to the proliferation, survival, and effector function of NKT cells [95], which are important mediators of overcoming immune exhaustion in the tumor microenvironment [96].

7.6. Other Cell Types. Cancer-associated fibroblasts (CAFs) are a dynamic component of the tumor microenvironment. These cells modulate the interaction between tumor cells and the host stromal response, and CAF-associated metabolic reprogramming can facilitate tumor progression [97]. Secreted lactate drives CAFs to produce hepatocyte growth factor [98], which can attenuate the activity of DCs and CTLs and promote the induction of regulatory T cells [99, 100]. Lactate also increases hyaluronan production in fibroblasts [101], and elevated hyaluronan levels in the tumor microenvironment have been linked to cancer progression and unfavorable outcomes [102, 103].

Endothelial cells are another cell type involved in the crosstalk with tumor cells in the tumor microenvironment [104]. Human umbilical vein endothelial cells (HUVECs) have been shown to respond to lactate with enhanced production of VEGF and upregulation of several receptor tyrosine kinases, including VEGF receptor 2, thereby promoting angiogenesis [105–107]. The phosphoinositide 3-kinase/Akt and NF- κ B/IL-8 signaling pathways have been reported to be involved in mediating the proangiogenic activity of HUVECs [107, 108].

8. Possible Targets of Lactate Metabolism and Their Potential to Improve Immunotherapy Outcomes

Due to the multitude of effects of lactate in promoting immune evasion of tumors and stimulating tumor angiogenesis, targeting lactate metabolism in combination with immunotherapy is a promising approach to enhance the

efficacy of immune therapies. This was recently demonstrated in a murine melanoma model, where blockage of LDHA not only increased the number of NK cells and CTLs but also augmented their cytolytic activity, resulting in reduced melanoma growth in combination with anti-programmed cell death protein-1 (PD-1) therapy in comparison with PD-1 therapy alone [109]. In addition to LDH, there are other attractive molecules to target to interfere with lactate metabolism; these are described in detail below.

8.1. LDH. Although genetic disruption or silencing of LDHA was shown to inhibit tumor growth *in vitro* and *in vivo* in several studies [2, 110–112], it has been suggested that only disruption of LDHA and LDHB together can abolish the growth of tumor cell lines *in vitro* [113, 114].

Several LDH inhibitors have been tested preclinically for anticancer activity, but the majority of them have low potency and off-target effects and therefore are not suitable for clinical use [3].

Oxamate, a known LDH inhibitor for more than 60 years [115], is the most widely used substance for LDH inhibition in preclinical studies. However, due to its activity in the millimolar range, it has never been used in clinical trials [113, 116].

Quinoline-3-sulfonamides have been shown to have antitumor activity, but their clinical use is hampered by their poor bioavailability [112, 117].

A 2-amino-5-aryl pyrazine and a 2-thio-6-oxo-1,6-dihydropyrimidine were identified as potent inhibitors of human LDH, but they showed only minimal cellular activity in cancer cells [118, 119]. Modification of small molecule LDH inhibitors led to the development of the potent LDH inhibitor GNE-140, which inhibited murine B16 melanoma as well as human adenocarcinoma and pancreatic carcinoma cells *in vitro* dependent on their metabolic activity [114, 120].

Other drugs which target LDH by different mechanisms and exhibit preclinical antiproliferative activity against cancer cell lines, such as galloflavin [121, 122], FX11 [2], and *N*-hydroxyindole-2-carboxylate- [123, 124], and pyrazole-based inhibitors of LDH [125], have never been used clinically.

Recently, molecules with 1,4-triazole moieties have been reported as potent inhibitors of LDH, but they have not been tested for anticancer activity [126].

Several natural products, including the saffron derivative crocetin, have been identified as LDH inhibitors with antiproliferative activity against cancer cell lines [127].

Gossypol (also known as AT-101), derived from cotton plant seeds, is a nonselective inhibitor of LDH whose antitumor activity has been attributed to its additional capability to inhibit the antiapoptotic Bcl-2 protein family [128]. Gossypol has been tested in several phase I and phase II clinical trials in various tumor types either as a monotherapy or in combination with chemotherapy but produced negligible response rates in the majority of studies. Despite the multiple biological properties of gossypol, oral doses up to 40 mg per day were tolerated [129–134].

Oroxylin A, a bioactive flavonoid isolated from a Chinese medicinal plant, inhibited LDH and the production of lactate in human hepatocellular carcinoma cells [135]. However, the broadly reported anticancer activity of oroxylin A, including its inhibitory action on the generation of regulatory T cells in the tumor microenvironment of non-small cell lung cancer, appears to involve multiple targets and pathways [136, 137].

A recent high-throughput screen of 1280 drugs identified vitamin C as an LDH-lowering agent, which reduced lactate production and inhibited tumor growth of breast cancer cells in a chronic stress model [138].

There are several drugs currently approved for clinical use which could potentially be repurposed as LDH inhibitors such as the antiepileptic drug stiripentol [139] or the nonsteroidal anti-inflammatory drugs (NSAIDs) diclofenac and lumiracoxib [140].

8.2. MCTs. As knockdown of the lactate transporters MCT1 and MCT4 resulted in suppression of breast cancer and colorectal cancer *in vitro* and *in vivo* [141, 142], targeting MCTs has also been included in therapeutic strategies. Accordingly, analogs of α -cyano-4-hydroxycinnamic acid [143] as well as derivatives of 7-aminocarboxycoumarins [144] have been reported as MCT1 inhibitors with remarkable antitumor activity *in vitro* and *in vivo*. While some MCT1-inhibiting small molecules have been described as immunosuppressive compounds [145], a small molecule inhibitor of MCT1, AZD3965, has shown preclinical antitumor properties in several hematological tumors [146] and small cell lung cancer [147]. The compound has also entered a phase I trial (NCT01791595) in patients with advanced solid tumors or lymphoma, but no results of this trial have been published to date.

For MCT4, diclofenac [148] and bindarit (2-[(1-benzyl-1H-indazol-3-yl)methoxy]-2-methylpropanoic acid) [149] have been reported as selective inhibitors. Because the efficacy of the MCT4 inhibitor AZ93 to block the growth of various cancer cell lines was dependent of MCT1 inhibition [8], it is likely that only concurrent inhibition of MCT1 and MCT4 can impair tumor growth, especially under hypoxic conditions. Syrosingopine was recently identified as a dual inhibitor of MCT1 and MCT4 with potential antitumor benefits *in vivo* [150]. There is evidence that lonidamine, a well-tolerated anticancer drug which is particularly effective at selectively sensitizing tumors to other therapies, might also be capable of concurrently inhibiting MCT1 and MCT4 [151, 152].

8.3. GPR81. GPR81 (HCAR-1) is a lactate-sensing receptor found on monocytes and other immune cells [75, 153] and also on certain cancer cells. In the latter, GPR81 activation promotes proliferation, invasion [154], chemoresistance [155], and upregulation of programmed cell death protein 1-ligand (PD-L1) [156]. Knockdown of GPR81 in mice diminished the production of IL-10 and suppressed the generation of regulatory T cells [75]. Furthermore, silencing of GPR81 in tumor cells led to reduced PD-L1 expression [156] and attenuation of growth and metastatic potential

[157]. These interesting findings elevate GPR81 as another target in lactate metabolism to be included in tumor therapy approaches.

9. Conclusion

The Warburg effect and altered tumor metabolism have been recognized as a hallmark of cancer for nearly a century. Lactate is one of the key “oncometabolites” regulating the interaction of cancer cells with the tumor microenvironment. Since elevated serum LDH is negatively associated with clinical efficacy of anticancer (immune) therapies, targeting this enzyme or other molecules involved in lactate metabolism clearly has potential to improve patient outcomes. Although several LDH inhibitors lack selectivity and clinical efficacy in monotherapy, there may be strong potential in combining them with immunotherapy, especially in patients with high LDH levels. Possible off-target effects (either beneficial or toxic) would need to be assessed. Repurposing of approved drugs which can inhibit LDH and have been well tolerated in clinical trials could circumvent toxicity concerns. Besides inhibition of LDH, there are other key molecules involved in lactate metabolism which could be targeted to overcome resistance to immune therapy.

Abbreviations

AAT:	Aspartate aminotransferase
AJCC:	American Joint Committee on Cancer
Akt:	Protein kinase B
α -KG:	α -Ketoglutarate
ATP:	Adenosine triphosphate
Bcl-2:	B cell lymphoma 2
BRAF:	v-Raf murine sarcoma viral oncogene homolog B
CAF:	Cancer-associated fibroblast
CAIX:	Carbonic anhydrase IX
COX2:	Cyclooxygenase 2
CTL:	Cytotoxic T lymphocyte
CTLA-4:	Cytotoxic T-lymphocyte-associated protein 4
DC:	Dendritic cell
GPR81:	G-protein coupled receptor 81
GPR132 (also known as G2A):	G-protein coupled receptor 132
HCAR-1:	Hydroxycarboxylic acid receptor 1
HIF-1 α :	Hypoxia-inducible factor-1 α
HUVEC:	Human umbilical vein endothelial cell
IFN- γ :	Interferon- γ
IMS:	Intermembrane space
LDH:	Lactate dehydrogenase
LPS:	Lipopolysaccharide
MCT1:	Monocarboxylate transporter 1
MCT2:	Monocarboxylate transporter 2
MCT4:	Monocarboxylate transporter 4
MDSC:	Myeloid-derived suppressor cell
MITF:	Microphthalmia-associated transcription factor
NADH:	Nicotinamide adenine dinucleotide

NFAT:	Nuclear factor of activated T cells
NF- κ B:	Nuclear factor “kappa-light-chain-enhancer” of activated B cells
NK cell:	Natural killer cell
NKG2D:	Natural killer group 2 member D
OS:	Overall survival
OXPHOS:	Oxidative phosphorylation
PD1:	Programmed cell death protein 1
PFS:	Progression-free survival
ROS:	Reactive oxygen species
SLC25A11:	Solute carrier family 25 member 11 (malate- α -ketoglutarate antiporter)
SLC25A12:	Solute carrier family 25 member 12 (glutamate aspartate antiporter)
SLC25A13:	Solute carrier family 25 member 13 (glutamate aspartate antiporter)
SMCT1 (SLC5A8):	Sodium-coupled monocarboxylate transporter 1
SMCT2 (SLC5A12):	Sodium-coupled monocarboxylate transporter 2
TAM:	Tumor-associated macrophage
VEGF:	Vascular endothelial growth factor
VHL:	von Hippel Lindau.

Conflicts of Interest

The authors declare that they have no conflicts of interest.

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Review Article

Monoclonal Antibody Therapies in Multiple Myeloma: A Challenge to Develop Novel Targets

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The treatment options in multiple myeloma (MM) has changed dramatically over the past decade with the development of novel agents such as proteasome inhibitors (PIs); bortezomib and immunomodulatory drugs (IMiDs); thalidomide, and lenalidomide which revealed high efficacy and improvement of overall survival (OS) in MM patients. However, despite these progresses, most patients relapse and become eventually refractory to these therapies. Thus, the development of novel, targeted immunotherapies has been pursued aggressively. Recently, next-generation PIs; carfilzomib and ixazomib, IMiD; pomalidomide, histone deacetylase inhibitor (HDACi); panobinostat and monoclonal antibodies (MoAbs); and elotuzumab and daratumumab have emerged, and especially, combination of mAbs plus novel agents has led to dramatic improvements in the outcome of MM patients. The field of immune therapies has been accelerating in the treatment of hematological malignancies and has also taken center stage in MM. This review focuses on an overview of current status of novel MoAb therapy including bispecific T-cell engager (BiTE) antibody (BsAb), antibody-drug conjugate (ADC), and chimeric antigen receptor (CAR) T cells, in relapsed or refractory MM (RRMM). Lastly, investigational novel MoAb-based therapy to overcome immunotherapy resistance in MM is shown.

1. Introduction

The treatment options in MM has changed dramatically over the past decade with the emergence of novel agents including proteasome inhibitors (PIs, bortezomib) and immunomodulatory drugs (IMiDs, thalidomide and lenalidomide) and exerts a remarkable impact on the outcome of MM patients [1–3]. However, most patients who achieve a prolonged response following initial therapy may ultimately relapse or become refractory. Thus, the development of novel, targeted immunotherapies has been pursued aggressively. Recently, next-generation PIs (carfilzomib and ixazomib) [4–9], IMiDs (pomalidomide) [10–12], histone deacetylase inhibitor (HDACi, panobinostat) [13–15], and the monoclonal antibodies (MoAbs, elotuzumab and daratumumab) have emerged and further improved the clinical outcome in MM patients who are refractory to prior treatments [12, 16–36]. Importantly, MM remains a chronic

disease, so in order to overcome the disease relapse, ongoing challenges to pursue novel therapeutic strategies as well as predictive biomarkers for response or resistance to immunotherapies are required. Furthermore, these novel therapies are expected to be potentially useful in the treatment options for patients who are ineligible for autologous stem cell transplantation (SCT) followed by high-dose chemotherapy [37].

Monoclonal antibody (MoAb) therapies have been accelerating and shown to be able to improve the outcome of cancers [38]. In hematological malignancies, rituximab, a chimeric murine/human anti-CD20 monoclonal IgG_{1κ} antibody or of atumumab, a humanized anti-CD20 monoclonal IgG_{1κ} antibody, targeting CD20 on B cells, is currently indicated for the treatment of B-cell non-Hodgkin's lymphoma (NHL) and chronic lymphocytic leukemia (CLL). It exerts significant activity in combination with cytotoxic anticancer drugs [38, 39].

Although these progresses in immune therapies and their application for the treatment of MM have not succeeded until recently, these therapeutic strategies have finally attained a breakthrough with the development of the MoAb therapies targeting surface molecules, expressed in MM cells, such as elotuzumab, a humanized anti-CS1/SLAMF7 monoclonal antibody, and daratumumab, a humanized anti-CD38 monoclonal antibody, both of which have been approved in the treatment of relapsed or refractory MM (RRMM) patients who received at least three prior therapies including PIs and iMiDs [40–43]. Herein, we review an overview of the current status of MoAb therapies in RRMM. In addition, we introduce investigational novel MoAb therapies in RRMM and show future direction toward immunotherapy resistance in MM.

2. Monoclonal Antibodies (MoAbs) in MM

Potential MoAbs target various kinds of antigens including growth factors, signaling molecules, cell surface proteins, and molecule of adhesion. Ideally, these MoAb-therapeutic targets should be predominantly expressed on a majority of MM cells, but not on normal hematopoietic cells or non-hematopoietic tissues. MoAb therapies involve several mechanisms including direct cytotoxic effects, antibody-dependent cellular cytotoxicity (ADCC), complement-dependent cellular cytotoxicity (CDC), and interference with cell-to-cell interactions [40–43]. Other mechanisms include the use of intracellular toxins or radioactive isotopes conjugated to MoAbs after its internalization into tumor cells, which reveal cytotoxicity against tumor cells beyond those bearing MoAb target antigens [40–43].

2.1. CD20 and Rituximab. CD20 is a transmembrane phosphoprotein expressed on committed B lymphoid cells through the all stages of their development, but its expression is reduced in plasma cells. Rituximab, a chimeric murine/human anti-CD20 monoclonal IgG_{1κ} antibody targeting CD20 on B cells, is currently indicated for the treatment of B-cell non-Hodgkin's lymphoma (NHL) and chronic lymphocytic leukemia (CLL) [39]. It exerts significant activity in combination with cytotoxic anticancer drugs. However, CD20 is present only in a few plasma cells and is absent in most of plasma cells in MM. Therefore, few selected MM patients achieved only minimal responses (MD) [44–46]. Moreover, MM cells express increased levels of complement-inhibitory proteins which result in the reduction of CDC via rituximab against tumor cells.

2.2. CS1/SLAMF7 and Elotuzumab. Elotuzumab is a humanized IgG₁ monoclonal antibody which targets SLAMF7, known as CS1, a glycoprotein, intensely expressed on MM cells and normal plasma cells as well as natural killer (NK) cells. It induces cytotoxicity against MM cells via NK cell-associated ADCC, NK cell activation, and inhibition of the interaction between MM cells and bone marrow stromal cells (BMSCs). Elotuzumab revealed intensive anti-MM efficacy and safety profiles when combined with IMiDs or

PIs in previously treated RRMM [12, 16–21] (Table 1). The phase II results demonstrated that elotuzumab in combination with lenalidomide plus dexamethasone (Rd) in patients with RRMM showed safety and efficacy which was better than previously noted with Rd [17, 18]. Moreover, results of the phase III trial ELOQUENT-2 clearly proved the benefit of adding elotuzumab to Rd for the treatment of RRMM [18]. The overall response rates (ORRs) were 79% for the elotuzumab group and 66% for the control group; the PFS rate was 68 vs. 57% for the elotuzumab and control groups at 1 year and 41 vs. 27% at 2 years; the median PFS was 19.4 vs. 14.9 months for the elotuzumab and control groups [19]. Based on the results of these trials, elotuzumab attained food and drug administration (FDA) approval in 2015 in combination with Rd for the treatment of RRMM patients, who previously received two or three prior therapies. A phase III randomized study of Rd with or without elotuzumab in previously treated MM patients is currently ongoing. Phase II trials of elotuzumab plus pomalidomide and dexamethasone (EPd) vs Pd in 117 patients who received >2 prior therapies revealed that after a follow-up period of 9 months, EPd had a longer median PFS (10.3 vs 4.7 month) and a better ORR (53 vs 26%) [12]. Phase II trials of elotuzumab plus bortezomib and dexamethasone (EBd) vs Bd in 77 patients who had received one to three prior therapies showed that EBd had a longer median PFS (9.7 vs 6.9 months). However, there was no deference in ORR between EBd group and Bd group (66% vs 63%) [20, 21].

2.3. CD38 and Daratumumab. Daratumumab is a humanized IgG₁-kappa monoclonal antibody targeting CD38, which is 46-kDa type II transmembrane glycoprotein, broadly expressed on plasma cells as well as lymphoid cells, myeloid cells, and nonhematopoietic tissues. It is also expressed in OCs. CD38 retains multiple functions including ectoenzymatic activity, signal transduction, and receptor-mediated regulation of cell adhesion [22, 23]. In preclinical studies, daratumumab revealed anti-MM cytotoxicity through multiple mechanisms including ADCC, ADCP, CDC, and direct apoptosis via FcR-mediated cross linking of daratumumab *in vitro* [24–26] (Table 2). Of note, no difference was revealed in daratumumab-associated ADCC or CDC between newly diagnosed and RRMM patients. The level of CD38 expression in MM cells was reported to be related to daratumumab-associated ADCC and CDC [24–26]. Moreover, daratumumab has several effects on the immune system. It increases CD8+/CD4+ and CD8+ Treg ratios as well as memory T cells, while decreasing naïve T cells, which enhance the overall immune response to MM cells [27].

Daratumumab revealed anti-MM efficacy as monotherapy as well as in combination with novel agents in heavily pretreated RRMM patients, which resulted in FDA approval in 2015. The GEN501 and SIRIUS trials demonstrated that daratumumab is active as monotherapy in RRMM patients [28, 29]. It showed improved ORRs regardless of refractoriness to prior therapies including PIs and IMiDs (31%). [30]. Phase III Castor trials revealed that

TABLE 1: Summary of clinical trials in anti-CS1/SLAMF7 antibody in relapsed/refractory MM.

References	Phase	Regimen	ORR (%)	PFS (mo)	OS	
Richardson et al. [17]	2	Elo + Rd	84.00%	NA	NA	
Lonial et al. [18]	ELOAUENT2	3	Rd ± Elo	79% vs 66%	19.4 mo vs 14.9 mo	NA
Dimopoulos et al. [12]	2	Pd ± Elo	53% vs 26%	10.3 mo vs 4.7 mo	NA	
Jakubowiak et al. [20]	Elo-Bd	2	Bd ± Elo	66% vs 63%	9.7 mo vs 6.9 mo	1 yr 85% vs 74%
Zonder et al. [16]	Phase1 Elo	1	Elo Dose Escalation	MTD not identified	NA	NA
Jakubowiak, et al. [21]	Elo-Bd	1	Elo + Bd	48.00%	9.5 mo	NA
Lonial, et al. [19]	Elo-Rd	1	Elo + Rd	82.00%	NA	NA

MM, multiple myeloma; Elo, elotuzumab; Rd, lenalidomide plus dexamethasone; Pd, pomalidomide plus dexamethasone; Bd, bortezomib plus dexamethasone, NA, not available; MTD, maximum tolerated dose.

TABLE 2: Summary of clinical trials in anti-CD38 antibody in relapse/refractory MM.

References	Phase	Regimen	ORR (%)	PFS (mo)	OS	
Lokhorst et al. [28]	GEN501	1/2	Dara monotherapy	36%	5.6 mo	1 yr 77%
Lonial et al. [29]	SIRIUS	2	Dara monotherapy	17%	3.7 mo	1 yr 65%
Spencer et al. [32]	CASTOR	3	Bd ± Dara	83% vs 63%	1.5 yr 48% vs 8%	NA
Palumbo et al. [31]	CASTOR	3	Bd ± Dara	83% vs 63%	1 yr 61% vs 27%	NA
Dimopoulos et al. [33]	POLLUX	3	Rd ± Dara	93% vs 76%	1 yr 83% vs 60%	NA
Dimopoulos et al. [34]	POLLUX		Rd ± Dara	93% vs 76%	2 yr 68% vs 41%	NA
Chari et al. [35]	EQUILLEUS	1b	Pd ± Dara	60%	1 yr 42%	1 yr 89%

MM, multiple myeloma; Dara; daratumumab, Rd, lenalidomide plus dexamethasone; Bd, bortezomib plus dexamethasone; Pd, pomalidomide plus dexamethasone; NA, not available; MTD, maximum tolerated dose.

daratumumab significantly improved ORR, PFS, and time to progression (TTP) in combination with Bd, ORR (83% vs 63%), the 12-month rate of PFS (61% vs 27%), and TTP at 12 months (65% vs 29%) [31]. Another phase III Castor study also revealed a significant benefit of D-Bd over Bd regardless of treatment history or cytogenetic risk [32]. Phase III POLLUX trials demonstrated remarkable efficacy of daratumumab in combination with lenalidomide plus dexamethasone (DRd) in patients with RRMM [33, 34]. The ORR was 92.9% in DRd group versus 72.9% in Rd group. DRd improved PFS compared with Rd with 12-month PFS rates of 83.2% in DRd group versus 60.1% in Rd group and 24-month PFS rate of 68.0% versus 40.9%, restrictively [33, 34]. The EQUULEUS study led to the FDA approval of daratumumab in combination with Pd in 2017 for RRMM patients who have received 2 or more prior line of therapy including lenalidomide and a PI. The median PFS was 8.8 months, the 12-month PFS rate was 42%, the median OS was 17.5 months, and the median 12-month survival rate was 66% [35].

3. Novel Target Antigens in MoAb Therapies in MM

3.1. CD38 and Isatuximab. Isatuximab is a chimeric IgG₁-kappa anti-CD38 monoclonal antibody which selectively binds to a unique epitope on human CD38 receptor and elicits anti-MM activity by direct apoptosis, ADCC, and ADCP [47]. CDC was triggered in less than half of MM patients with high levels of CD38 in MM cells. A phase 1b open-label, dose escalation study showed that 57 patients who had received at least one prior line of therapy attained ORR of 52% by isatuximab plus Rd in 42 evaluable lenalidomide-refractory patients, and overall median PFS was

8.5 months [48]. Another phase 1b study of isatuximab plus Pd in patients with RRMM who had received more than 2 prior therapies also revealed that ORR was 62%; median duration of response was 18.7 months; and PFS was 17.6 months [49].

3.2. Interleukin-6 (IL6) and Siltuximab. Interleukin-6 is an important cytokine for the growth and survival of MM cells. It is chiefly produced by BMSCs and increased by several cytokines. A chimeric anti-IL-6 antibody, siltuximab, revealed cytotoxicity in MM patients who was refractory to dexamethasone [50]. In addition, it increased cytotoxicity with Bd in combination, whereas in a phase 2 randomized study of siltuximab plus bortezomib, the addition of siltuximab to bortezomib did not appear to improve PFS or OS in refractory MM patients [51]. The other study showed that there were no responses to siltuximab but combination therapy with dexamethasone yielded a partial or minimal response rate of 23%, in dexamethasone-refractory MM [51].

3.3. PD-1/PD-L1 Inhibitors. Programmed cell death protein 1 (PD-1)/programmed cell death ligand 1 (PD-L1) pathway is a negative regulator of immune activation [52]. Recently, there are discrepancies concerning programmed death PD-L1 expression on plasma cells in MM. Several data demonstrated that PD-L1 is overexpressed on MM plasma cells but not on normal plasma cells [53–56]. It was reported that PD-L1 expression on plasma cells was associated with increased risk of progression from smoldering MM (SMM) into MM [57], whereas other reports showed that no difference was detected in PD-L1 expression on plasma cells between MM, SMM, monoclonal gammopathy of undetermined significance (MGUS), and healthy individuals

[58, 59]. Similarly, discordant results were reported regarding PD-1 expression on immune cells, including T cells and NK cells in MM. Paiva et al. showed that PD-1 was overexpressed on CD4+ and CD8+ T cells in MM patients [58]. Benson et al. demonstrated that PD-1 expression was increased on NK cells from MM patients, compared with normal NK cells, whereas Paiva et al. demonstrated there was no difference between these cells [58, 60].

Among hematological malignancies, antibody blockade of the PD-1/PD-L1 pathway is a highly effective therapeutic approach for patients with classical Hodgkin lymphoma, 97% of which typically exhibits an overexpression of PD-L1 due to the alteration in chromosome 9p24.1 (54). Therefore, the PD-1/PD-L1 axis is a good target for MoAbs, leading immune cells to kill tumor cells. The use of nivolumab, a human IgG4 MoAb which blocks the interaction with PD-L1 and PD-L2 by binding to the PD-1 receptor on activated immune cells, was approved by FDA in 2016 for the treatment of relapsed or progressed Hodgkin lymphoma [52]. However, the outcome of checkpoint blockade by monotherapy with PD-1/PD-L1 inhibitors is unsatisfactory in MM, compared with solid tumors due to the reduced immune dysfunction in MM [58, 59]. In contrast, lenalidomide enhances the effect of PD-1/PD-L1 blockade on both T cell- and NK cell-mediated cytotoxicity. The combination therapy of lenalidomide plus PD-1/PD-L1 inhibitors increased interferon γ by BM-derived effector cells in MM and was associated with increased apoptosis of MM cells, suggesting synergistic cytotoxic effects [56, 61, 62]. There are only limited data from clinical trials of PD1/PDL1 MoAbs in MM patients. The phase Ib trial of nivolumab monotherapy in 27 RRMM patients showed the stabilization of disease status in 17 patients, lasting a median of 11.4 weeks [63]. A phase I study of pembrolizumab with Rd in RRMM patients revealed a partial response rate of 50% [61, 62, 64, 65]. A phase 3 study of the combination of Rd with or without pembrolizumab was performed in transplant ineligible newly diagnosed MM patients (KEYNOTE-185 trial) [61, 62, 64]. A Phase 3 study of the combination of Pd with or without pembrolizumab was conducted in the KEYNOTE-183 trial, and it led FDA to discontinue the trial, due to increased risk of death of patients [61, 62, 65].

3.4. Bispecific T-Cell Engager (BiTE) Antibodies (BsAb). Bispecific T-cell engager (BiTE) antibodies (BsAbs) are constructs, composed of 2 linked MoAbs which target 2 epitopes. One arm of antibody, scFvs, binds to CD3 on tumor-specific T cells, while the other arm binds to tumor-specific antigen on tumor cells [66, 67]. Cross linkage of T cells to the tumor cells causes T cells to release cytotoxic molecules such as perforin, which creates transmembrane pores in tumor cells, and granzyme B, which initiates apoptosis toward tumor cells. In addition, cytokine production from T cells activates its proliferation to kill tumor cells. BsAbs are characterized by small size (5 kDa), which induces high efficacy toward tumor cells, but its serum half-life is short [66, 67]. B-cell maturation antigen (BCMA) belongs to tumor necrosis factor superfamily member 17, also named

“TNFRSF17 or CD269,” which is uniformly expressed in malignant plasma cells but not in normal essential non-hematopoietic tissues, and only restricted expression is detected in normal hematopoietic cells including normal plasma cells and mature B lymphocytes. Thus, it is a highly plasma cell specific antigen and has a central role in regulating B-cell maturation and differentiation into plasma cells by engaging a proliferation-inducing ligand (APRIL) cells. This expression pattern leads to the development of BCMA-specific mAbs, BsAbs, antibody-drug conjugates (ADCs), and chimeric T cell receptor (CAR) T cells [68–70]. BsAb, BI-836909 (AMG420), the first bispecific scFv, simultaneously binds to CD3+ T cells and BCMA + MM cells which make a cross linking between both cells to induce cytolytic synapse, activate T cells, and lyse BCMA + MM cells. In phase I study in RRMM patients, it exhibited potent and high efficacy by depleting BCMA + MM cells [68–70]. CD3xCD38 BsAb, engineered to direct T cells to CD38 on tumor cells, was also developed. The phase 1 multicenter study of GBR1342 is underway [71].

3.5. Antibody-Drug Conjugates (ADCs). Antibody-drug conjugate is composed of recombinant MoAbs, bound to cytotoxic chemical agents through synthetic chemical linkers. MoAbs bind to the cell surface antigen on tumor cells and are internalized with the chemicals. Thus, the cytotoxic chemicals are released and transported from lysosome into cytosol to kill tumor cells [72]. GSK2857916 is a humanized and IgG₁ MoAb with high affinity to BCMA with afucosylated Fc linked to auristatin F noncleavable linker, maleimidocaproyl. In preclinical study, it binds to BCMA + MM cells and induces G2/M arrest and apoptosis by the activation of caspase 3/7 and 8. The naked form of ADC augmented effector-mediated cytotoxicity including ADCC and ADCP against patient MM cells [72]. In MM xenograft models, GSK2857916 depletes MM cells but surrounding BCMA-BM accessory cells remain unharmed. Its cytotoxicity is further increased by GSK2857916 plus lenalidomide in combination. In phase 1 study of GSK2857916 in RRMM patients, GAK2857916 monotherapy revealed a 60% response rate and median PFS of 7.9 months [73, 74]. Anti-BCMA approaches, alone or in combination with iMIDs or immune checkpoint inhibitors, will be evaluated in clinical trials in MM [70].

3.6. Chimeric Antigen Receptor (CAR) T Cells. CARs are fusion proteins incorporating an antigen-recognition domain and T-cell signaling domain. T cells are genetically modified to express CARs, which specifically recognize target antigens on tumor cells [75–77]. CAR T-cell therapy has already approved by FDA and European Medicine Agency (EMA) for the treatment of relapsed of refractory B-acute lymphoblastic leukemia (ALL) and diffuse large B cell lymphoma (DLBCL) [75–77]. CAR-expressing T cells targeting CD19 revealed efficacy in patients with acute lymphoblastic leukemia (ALL) or B-cell NHL. This success of CAR-T cells against leukemia or lymphoma has encouraged the development of CAR-T therapies for MM. In the first

TABLE 3: Investigational monoclonal antibodies in MM.

Target molecule	mAb	Type	Clinical trials
CD138	Indatuximab ravtansine	ADC	Inda ± Rena ORR 78% vs 4%
CD56	Lorvotuzumab	ADC	Lorv+/Rd ORR 56% vs 7%
CD40	Dacetuzumab, lucatumumab	Humanized	Luc; 4% attained prolonged PR
CD74	Milatumumab	Humanized	No objective responses
BAFF	Tabalumab	Humanized	Bd + Taba; ORR 44%
BCMA	GSK2857916	ADC	MTD not determined
GRP78	PAT-SM6	Humanized	MTD not determined
IGF-1R	AVE1642	Humanized	No objective responses
ICAM-1	BI-505	Humanized	No objective responses
CD26	YS110 (huCD26mAb)	Humanized	Best responses 50%

ADC, antibody-drug conjugate; Lena, lenalidomide; Inda, indatuximab ravtansine, Rd, lenalidomide plus dexamethasone; Lorv, lorvotuzumab; Luc, lucatumumab; PR, partial response; Bd, bortezomib + dexamethasone; Taba, tabalumab; MTD, maximum tolerated doses.

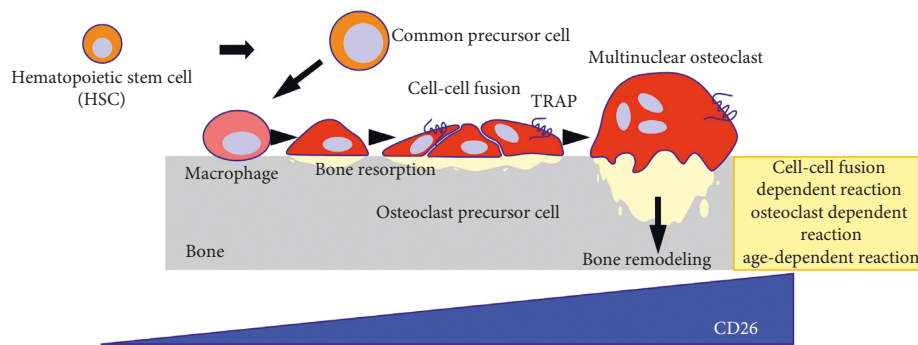


FIGURE 1: CD26 in human osteoclast development CD26 expression is increased during human osteoclast (OC) development.

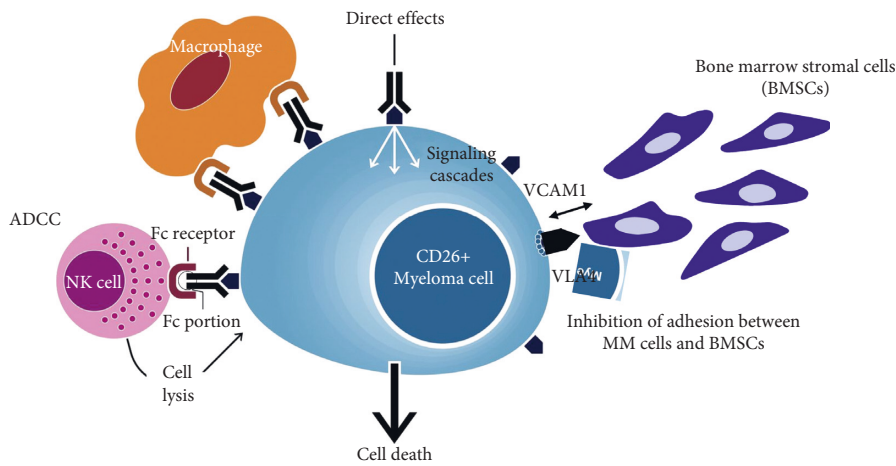


FIGURE 2: Humanized anti-CD26 monoclonal antibody (huCD26mAb): mechanisms of action huCD26mAb inhibits CD26 + MM cell growth chiefly via ADCC.

human clinical trials, Carpenter et al. designed the first novel CAR targeting BCMA in MM and demonstrated CAR-BCMA T cells had powerful activity against MM that was resistant to standard therapies [78, 79]. Moreover, bb2121 was produced by transducing autologous T cells with a lentiviral vector encoding a second-generation CAR incorporating an anti-BCMA single-chain variable fragment, CD137 costimulatory motif, and a CD3-zeta signaling

domain [80]. A phase 1 clinical study of bb2121 in heavily pretreated RRMM patients revealed that 85% of the patients had a clinical response lasting a median of 10.9 months without any ongoing MM therapies [80]. Currently, CAR-T cell therapy for MM remains experimental. CAR-T cell therapy is a potentially life-threatening therapeutic approach, which needs to be administered in experience hospitals. Now, phase 3 trials are just starting for RRMM in

2019. In addition, novel CARs targeting alternative plasma cell antigens including CD38, CD44v6, and SLAMF7(CS) are being developed [81, 82].

4. Experimental Research in Novel MoAb Therapy in RRMM

4.1. Investigational MoAbs. Target antigens for MoAb are either cell surface membrane proteins or soluble factors including cytokines or chemokines expressed or secreted in MM cells. Their functions include MM cell growth, cellular adhesion, angiogenesis, apoptosis, and cell-to-cell contact between MM cells microenvironmental cells. Investigational mAbs targeting CD138, CD56, CD40, CD74, BAFF, BCMA, GRP78, IGF-1R, and ICAM-1 are pre-clinically developed, and several of them are in clinical trials [83–92] (Table 3).

4.2. Humanized Anti-CD26 Monoclonal Antibody (huCD26mAb). CD26 is a 110 kDa transmembrane glycoprotein with dipeptidyl peptidase (DPPIV) activity, which is widely expressed in various normal cells such as T lymphocytes, natural killer (NK) cells, basophils, eosinophils, endothelial cells, and epithelial cells [93–96]. In addition, CD26 is expressed in several tumor cells including malignant lymphoma, mesothelioma, renal cell carcinoma, and hepatocellular carcinoma and is involved in T-cell activation and tumorigenesis [97, 98]. We have recently characterized CD26 as a potential therapeutic target for the treatment of MM [99]. We identified CD26 expression in human osteoclasts (OCs) in healthy individuals (Figure 1). Its expression is further increased in osteoclasts in osteolytic bone tumors including MM, adenocarcinoma, lung cancer, and osteosarcoma. huCD26mAb, a humanized IgG₁ monoclonal antibody that directly targets CD26, inhibits human OC differentiation *in vitro* and *in vivo* analysis [99]. In the bone marrow tissue of MM patients, we found that CD26 was present in plasma cells around OCs or endothelial cells. *In vitro* immunostaining or flow cytometry studies revealed that although CD26 expression was low or absent on MM cell lines cultured alone, it was intensely and uniformly expressed on MM cell lines cocultured with OCs [100]. The augmented CD26 expression in MM cells was exploited to enhance cytotoxicity of huCD26mAb chiefly via a substantial increase in antibody-dependent cytotoxicity (ADCC) against MM cells, direct effects or inhibition of the adhesion between MM cells and BM stromal cells (BMSCs) (Figure 2). Moreover, huCD26mAb in combination with the existing standards of care including bortezomib and lenalidomide synergistically enhanced huCD26mAb-induced ADCC activity against CD26+MM cells compared with each agent alone [100]. Lastly, therapeutic effect of huCD26mAb against MM cell growth and its related osteolytic lesion was also validated *in vivo*, using a xenograft model: an intrabone tumor model of MM. Our preclinical results demonstrated that huCD26mAb elicited significant anti-MM efficacy by impairing both CD26+MM cells and

OCs *in vivo*, suggesting that CD26 could be an ideal therapeutic target of antibody-based therapy in RRMM [100].

5. Conclusion

During the last decades, therapeutic strategies in MM have dramatically changed. MoAbs act synergistically with backbone regimens including iMIDs, PIs, or HDACi and have benefits to overcome resistance to prior therapies. The future treatment options of MM to overcome resistance are promising by combination with MoAbs plus these novel agents, check point inhibitors or CAR T-cell therapy.

Conflicts of Interest

The authors declare they have no conflicts of interest.

Supplementary Materials

CD26 in human osteoclast development humanized anti-CD26 monoclonal antibody (huCD26mAb): mechanisms of action summary of clinical trials in anti-CS1/SLAMF7 antibody in relapsed/refractory MM. Summary of clinical trials in anti-CD38 antibody in relapsed/refractory MM. Investigational monoclonal antibodies in MM. (*Supplementary Materials*)

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Review Article

Inflammatory Biomarkers as Predictors of Response to Immunotherapy in Urological Tumors

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Immunotherapy represents the new era of cancer treatment because of its promising results in various cancer types. In urological tumors, the use of the immune-checkpoint inhibitors (ICIs) is increasingly spreading. Although not all patients and not all diseases respond equally well to immunotherapy, there is an increasing need to find predictive markers of response to ICIs. Patient- and tumor-related factors may be involved in primary and secondary resistance to immunotherapy: tumor-derived protein and cytokines, tumor mutational burden, and patient performance status and comorbidities can condition tumor response to ICIs. Recently, some of these factors have been evaluated as potential biomarkers of response, with conflicting results. To date, the expression of programmed death-ligand 1 (PD-L1) and the presence of deficient mismatch repair (dMMR) in tumor tissue are the only biomarkers capable of guiding the clinician's decision in urothelial cancer and prostate cancer, respectively. In this review, we performed a comprehensive search of the main publications on biomarkers that are predictive of response to ICIs in urological cancers. Our aim was to understand whether existing data have the potential to drive clinical decision-making in the near future.

1. Introduction

Immunotherapy is fast becoming the new frontier of oncology, accompanied by the dream of being able to defeat cancer definitively. Although a substantial improvement in survival has been seen since immunotherapy was first used in melanoma, response remains low. The use of different types of immune-checkpoint inhibitors (ICIs), in particular the programmed death-1/programmed death-ligand 1 (PD-1/PD-L1) axis, has led to significantly better results in terms of response and manageability. In recent years, advances have been made in the treatment of urological tumors, especially renal cell cancer (RCC) and urothelial cancer (UC). However, the issue of the identification of nonresponding patients

persists. According to the tumor immunity in the microenvironment (TIME) classification [1], tumors can be divided into 4 subgroups based on the presence of inflammatory infiltrate (TIL) and PD-L1 expression: T1 (PD-L1⁻, TIL⁻), T2 (PD-L1⁺, TIL⁺), T3 (PD-L1⁻, TIL⁺), and T4 (PD-L1⁺, TIL⁻) (Figure 1). Although the TIME classification has significant predictive implications, there is an increasing need to find predictive markers of response to ICIs.

2. Factors Involved in Primary and Secondary Resistance to ICIs in Solid Tumors

Several factors can directly or indirectly influence the immune response and therefore contribute to triggering

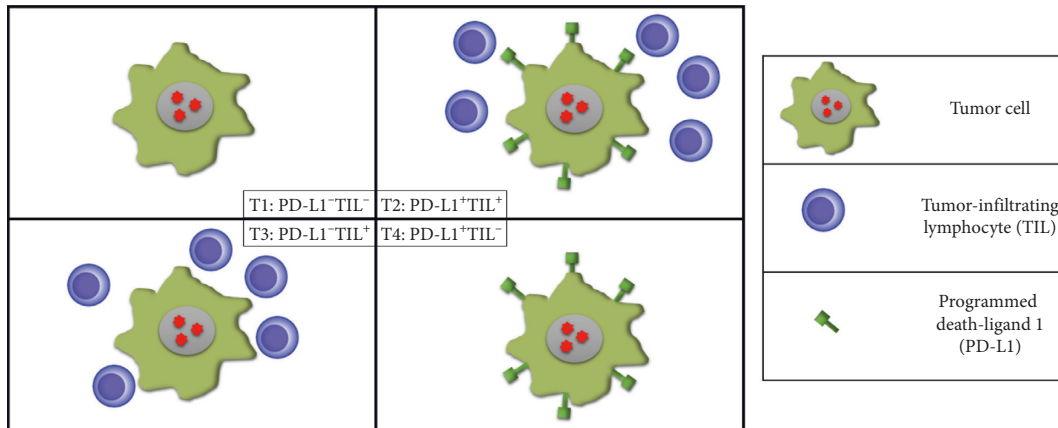


FIGURE 1: Four tumor subtypes according to the TIME classification based on the expression of PD-L1 in tumor cells and on the presence of TILs.

resistance mechanisms. As shown in Figure 2, these factors can be divided into two categories:

- (1) Patient-related factors: it is acknowledged that patients in poor clinical conditions have a lower immune response. However, the underlying mechanism for this is still not understood. In fact, Pan et al. reported that an Eastern Cooperative Oncology Group performance score (ECOG PS) of 2 in melanoma patients was associated with worse prognosis when ICIs were used [2]. Conversely, a study carried out on patients with UC treated with atezolizumab showed that response rates (RRs) did not differ among patients with different PS [3]. Recently, several trials conducted on UC demonstrated a shorter overall survival (OS) in patients with ECOG PS > 2 compared with ECOG PS 0 [3–6]. Several comorbidities can also affect the immune response: autoimmune diseases [7, 8], diabetes [9], transplantations [10–12] (including bone marrow transplants), and infections [13]. Another important host-related factor is gut microbiota: several studies have shown that restoration of some bacterial families (*Ruminococcaceae* [14], *Akkermansia muciniphila* [15], and *Bacteroides fragilis* [16]) is correlated with a longer response in melanoma mice treated with anti-PD1 drugs. Thus, the use of antibiotics or steroids during ICI therapy may affect the outcome of treatment. In particular, 2 recent studies [17, 18] showed that the use of beta-lactams, quinolones, and macrolides during ICIs therapy also led to shorter progression-free survival (PFS) and poorer RR in RCC patients.
- (2) Tumor-related factors: this category can be divided into 2 subcategories: intratumoral and microenvironmental factors.

2.1. Intratumoral Factors. Among tumor-related factors, different histologies and the presence of chromosomal alterations influence the immune response. For example, strongly aneuploid tumors have shown an intrinsic resistance to ICIs [19]. This is due to the poor expression of

markers capable of activating the immune response. Conversely, a high expression of mutations, i.e., tumor mutational burden (TMB), especially if mismatch repair genes are involved, correlates with a high RR to ICIs, regardless of histology [20–23]. In UC, a recent study showed a higher RR in patients with alterations in the following genes: ATM, BRCA2, ERCC2, FANCA, MSH6, and POLE [24]. However, unlike solid tumors, elevated TMB has been associated with poor prognosis in hematological cancers, for example, multiple myeloma [25]. The growing interest in TMB has led to the development of studies aimed at testing the efficacy of neoantigens, structured within new molecules, such as chimeric antigen T-cell receptor therapy (CAR-T). Several studies are also underway for patients with RCC [26–28] and prostate tumors (PCa) [29].

PD-L1 expression in tumor tissue is one of the best known mechanisms for neutralizing immune system activity. A higher PD-L1 expression results in a poorer prognosis without the use of ICIs [13]. However, PD-L1 is not always capable of predicting response to ICIs [30, 31]. In fact, although response rates in UC differ significantly on the basis of PD-L1 status, this is not the case for RCC patients [32, 33].

To date, CTLA-4 and PD1/PD-L1 axis are not the only molecules involved in the modulation of the immune response. Other molecules are currently under investigation as potential immune checkpoint for new ICIs, e.g., lymphocyte-activation gene-3 (LAG-3), T-cell immunoglobulin mucin-3 (TIM-3), and B7-H3 and B7-H4/B7x/B7S1.

LAG-3 molecule is located on the cell surface of several immune cells; its ligand is Class II MHC and binds with higher affinity than CD4 [34]. LAG-3 downregulates the immune response of CD4⁺- and CD8⁺-activated cells. In fact, its negative activity has been observed in CD8⁺ tumor-infiltrating lymphocytes (TILs) and in CD4⁺ TRegs [35].

TIM-3 is a regulatory molecule expressed on the surface of innate immune cells; CD8⁺ TILs usually coexpress PD-L1 and TIM-3, causing a strong inhibition of cytokine secretion [36]. To date, TIM-3/PD-L1 coexpression has also been studied in CD8⁺ cells in melanoma patients. In one study, blocking both PD-L1 and TIM-3 led to a restoration of cytokine secretion [37].

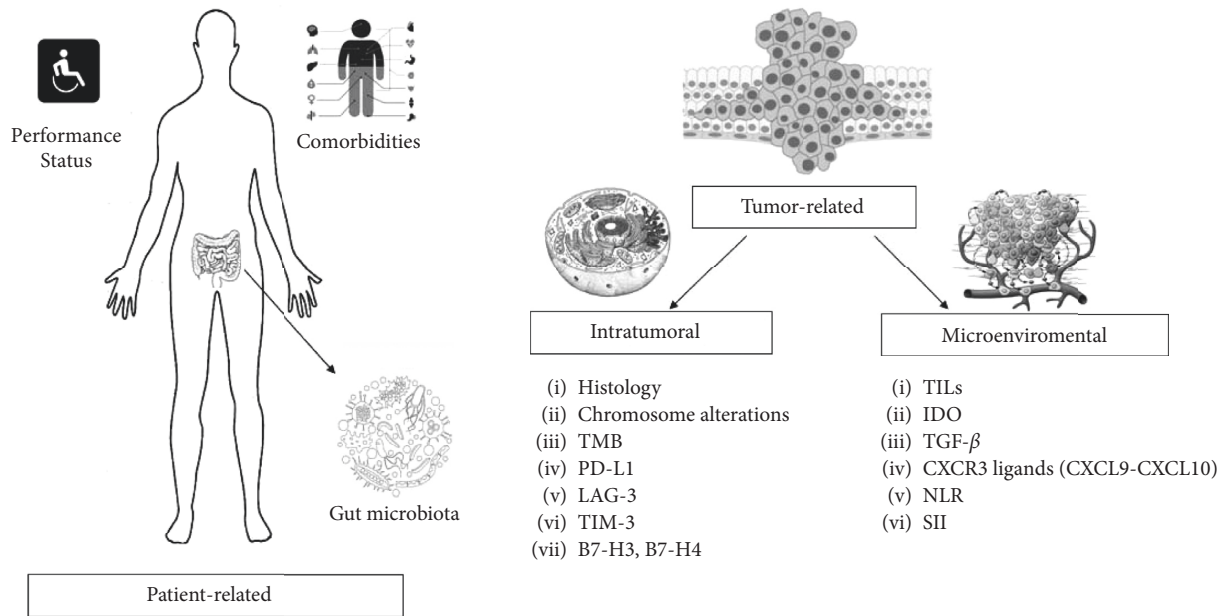


FIGURE 2: Factors influencing immune response and possibly related to resistance to immunotherapy. TMB: tumor mutational burden; PD-L1: programmed death-ligand 1; LAG-3: lymphocyte-activation gene-3; TIM-3: T-cell immunoglobulin and mucin domain 3; TILs: tumor-infiltrating lymphocytes; IDO: indoleamine-2,3-dioxygenase; TGF- β : transforming growth factor- β ; CXCR: CXC chemokine receptors; CXCL: CXC chemokine receptors ligands; NLR: neutrophil-to-lymphocyte ratio; SII: systemic immune-inflammation index.

B7-H3 and B7-H4 (also known as B7x/B7S1) are 2 members of the B7 super-family expressed not only by immune cells but also by nonlymphoid tissues, including prostate and testis cells [38]. Although B7-H3 was initially characterized as a costimulatory molecule, recent studies have indicated its dual activity. In some cases, it acts as an upregulator of the immune responses and in others, a downregulator [39].

2.2. Microenvironmental Factors. Tumor microenvironment plays an important role in silencing the immune response. Usually, the presence of TILs is related to higher PD-L1 expression [40, 41] and to better response to ICI treatment [23]. The KEYNOTE 028 study tested the efficacy of pembrolizumab in 20 different tumors. Results showed that treatment with ICIs was more effective in patients with TILs, independently of tumor histology [42].

On the other hand, the aforementioned TIME classification [1] has emphasized the link between TILs and PD-L1 in determining the response to ICIs. However, its correlation with response in UTs is still under evaluation [43]. The T2 subgroup, for example, is characterized by the presence of TILs and higher PD-L1 expression, stimulated by the TIL-mediated production of interferon-gamma (IFN- γ). This subgroup is associated with high RRs when treated with ICIs. Unlike T2, the T3 subgroup expresses TILs but not PD-L1 (probably due to a nonexpression of inducing factors, such as IFN- γ). In this context, the use of OX-40 or 4-1BB agonists may convert tumors classified as T3 into T2 [44, 45]. T1 and T4 subgroups differ because of their lack of TILs. Many tumors have this characteristic, which is usually associated with a nonresponse to treatment with ICIs. There are different ways to stimulate the immune response, for example, by using

anti-CTLA4 antibodies or CAR-T-cell therapy. However, some negative PD-L1 tumors may respond to an anti-PD-L1 drug. Positivity or negativity of the histological examination may not reflect a common characteristic of the overall tumor. Thus, tumor heterogeneity may be responsible for ICI response in patients with PD-L1-negative biopsy [1]. It is also an unstable characteristic over time; in fact, treatment may select altered tumor cells capable of activating the process of immune escape, blocking the immune system activation, and even transforming positive TIL into negative TIL tumors. This condition has been described in different tumor types, such as lung and breast cancer and RCC [46–48]. In particular, discordance in PD-L1 status between primary and metastatic sites has been observed in 20% of RCC patients [49]. The immune-silencing process is ascribed to several mechanisms: activation of the Wnt- β -catenin pathway [50]; loss of PTEN associated with AKT activation [51]; and loss of immunogenicity [52] through several mechanisms (including downregulation of MHC class I molecules and reduced production of immunogenic antigens).

The study of the tumor microenvironment has led to the discovery of other molecules involved in immune-silencing mechanisms. For example, indoleamine-2,3-dioxygenase (IDO) is a molecule produced in TILs capable of stimulating the immune infiltrate, reducing the concentration of tryptophan which is necessary for the activation of cytotoxic T cells, and permitting their transformation into regulatory T cells (TRegs). This promotes an immunosuppressive microenvironment near the tumor. Consequently, IDO is a promising biomarker, and high concentrations are associated with worse prognosis. However, IDO as a target for new drug development has been disappointing, and the use of IDO inhibitors has not shown any advantages over ICI

treatment [53]. In addition to IDO, there is a high expression of other molecules in tumor microenvironment, including TGF- β secreted by fibroblasts [54], and various other cytokines involved in immune-silencing mechanisms. Among these molecules, CXCL9 and CXCL10, two CXCR3 ligands, have shown to be correlated with the TIL-positive TIME subgroups, whereas TIL-negative subgroups lack these chemokines [55, 56].

Furthermore, several studies have evaluated the prognostic/predictive role of some parameters, such as the neutrophil-to-lymphocyte ratio (NLR) and the systemic immune-inflammation index (SII). NLR is the most widely tested prognostic index and correlates with prognosis in different tumor types [57]. Similarly, SII, combining neutrophils, lymphocytes, and platelet count in a single parameter, demonstrates a significant correlation with prognosis in different cancers [58–60]. Among UTs, SII and NLR have shown a prognostic and predictive role of response to conventional treatment in several retrospective trials [61–63]. In particular, Lalani et al. recently demonstrated that an early reduction in NLR (at 6 weeks) was associated with a significantly improved outcome in mRCC patients after ICI treatment [64]. Moreover, Raccioppi et al. found that preoperative NLR value was a predictor of response to BCG therapy in non-muscle-invasive bladder cancer [65].

3. Potential Prognostic and Predictive Biomarkers in UCs Treated with ICIs

3.1. PD-L1 and TILs. PD-L1 is the most widely studied (potential) biomarker in immunotherapy, and several studies have investigated its predictive value in UCs. Table 1 lists the clinical trials that evaluated PD-L1 expression by immunohistochemistry (IHC) or the IHC-based combined positive score (CPS) to develop a reproducible PD-L1 scoring method that can be used to identify patients most likely to respond to therapy. CPS is obtained as follows: $CPS = 100 \times \text{PD-L1 stained cells (tumor cells, lymphocytes, macrophages)} / \text{total viable tumor cells}$. In RCC, PD-L1 is not a useful predictor of response to ICI treatment. Both PD-L1-negative and PD-L1-positive tumors respond to immunotherapy, despite higher rates of RR and PFS in patients with PD-L1 expression. In fact, in the metastatic RCC population of the CheckMate 214 trial, the combination of nivolumab plus ipilimumab obtained an objective RR of 37% in patients with PD-L1 expression <1%, compared to 58% of those with PD-L1 expression >1% [31]. In the IMmotion 151 trial, patients with PD-L1 $\geq 1\%$ showed longer PFS when treated with bevacizumab plus atezolizumab [66]. Conversely, the combination of axitinib with pembrolizumab (KEYNOTE 423 trial) or axitinib with avelumab (Javelin Renal 101) did not produce different efficacy results on the basis of different PD-L1 statuses [67, 68]. Similarly, Motzer et al. observed that the use of nivolumab after treatment with anti-VEGFR inhibitors improved OS independently of PD-L1 status [69]. Unlike RCC, PD-L1 has been recognized as a predictive biomarker in UCs. In metastatic/locally advanced UC, atezolizumab and pembrolizumab demonstrated antitumor

activity and acceptable tolerability in the first-line treatment of cisplatin-ineligible patients [3, 5]. Based on these results, the Food and Drug Administration (FDA) approved atezolizumab and pembrolizumab in this subgroup. However, the FDA updated the prescribing information for first-line pembrolizumab and atezolizumab in cisplatin-ineligible patients, making it compulsory to use an approved PD-L1 diagnostic test (Dako PDL-1 ICH 22C₃ PharmDx Assay[®] and Ventana PDL-1 Assay[®]) to select patients. Therefore, FDA indications were modified as follows: cisplatin-unfit patients are eligible for pembrolizumab and atezolizumab if the tumor expresses PD-L1 (CPS ≥ 10 for pembrolizumab and PD-L1 $\geq 5\%$ for atezolizumab) [70]. In patients not eligible for any platinum, pembrolizumab and atezolizumab can be administered in first-line regardless of tumor PD-L1 expression. In postplatinum UC patients, several trials have demonstrated ICI efficacy [71–75], with ICI-treated PD-L1-positive TIL-positive UCs showing higher RRs. In the IMvigor 210 trial, the use of atezolizumab obtained an overall response rate (ORR) of 16%, which was higher (28%) in patients with $\geq 5\%$ PD-L1 expression [71]. In CheckMate 275, patients with tumor cluster III proved most likely to obtain a better response to nivolumab (30%) [73]. Similar results were obtained in 2 other studies. In the JAVELIN trial, avelumab demonstrated an ORR of 17% in all patients and 50% in those showing PD-L1 expression [75]. In a phase 1/2 trial, durvalumab obtained an ORR of 31% in the overall population, 46% in patients with PD-L1 expression, and 0% in those without PD-L1 expression [76]. Based on these results, the FDA approved pembrolizumab as the preferred drug, with atezolizumab, nivolumab, and durvalumab as alternative preferred agents, regardless of PD-L1 expression. The European Medicines Agency (EMA) recently approved pembrolizumab for the treatment of metastatic/unresectable UCs in relapsed patients after first-line platinum-based therapy and also in nonpretreated cisplatin-unfit patients with CPS >10. The EMA has also approved atezolizumab for the first- and second-line treatment of UC and nivolumab for use in a second-line setting. Although the cancer vaccine, sipuleucel-T, has shown activity in prolonging OS in PCa, none of the new ICIs have been approved. This is due to limited antitumor immune infiltrates and poor PD-L1 expression in this tumor type [77, 78]. In germ-cell tumors, PD-L1 expression has been observed in 73% and 64% of patients with seminoma and nonseminoma types, respectively [79] and correlates with outcome. Low levels of PD-L1 are associated with better PFS [80]. Despite the prognostic value of PD-L1 expression, pembrolizumab has not shown activity as a single agent in the treatment of refractory germ-cell tumors [81]. Therefore, PD-L1 is the only recognized biomarker in patients with UC, but its prognostic and predictive role is still open to debate in nonurothelial urological tumors. A recent study of 160 UC patients showed that although PD-L1 positivity $\geq 5\%$ in tumor cells was not predictive of OS, it was predictive if expressed in TIL cells [82]. Mariathan et al., after evaluating data from the IMvigor 210 phase 2 trials, reported that differences in PD-L1 also existed between tumor cells and inflammatory cells in TILs [54]. Hence, the debate about the

TABLE 1: Potential predictive biomarkers in urological tumors treated with ICIs.

Histology	Biomarker	Trial/author	Drugs	Setting	Study results
Urothelial	PD-L1 (CPS)	KEYNOTE 052 (phase 2)	Pembrolizumab	1-line CDDP ineligible	24% ORR, highest ORR in patients with CPS \geq 10%
	PD-L1 (CPS)	KEYNOTE 045 (phase 3)	Pembrolizumab vs CHT	Second line after platinum-based CHT	Higher ORR in pembrolizumab group than CHT, regardless of tumor PD-L1 expression
	PD-L1 (IHC)	NCT02108652 (phase 2)	Atezolizumab	\geq 2-line after platinum-based CHT (cohort 2)	ORR: 26% (PD-L1 \geq 5%) vs 15% (all patients)
	PD-L1 (IHC)	NCT02108652 (phase 2)	Atezolizumab	First-line CDDP ineligible	OS: 11.4 (PD-L1 \geq 5%) vs 7.9 (all patients) months
	PD-L1 (IHC)	NCT01772004 (phase 1b)	Avelumab	\geq 2-line treatment after platinum-based CHT	No significant enrichment of response and OS by PD-L1 expression
	PD-L1 (IHC)	CheckMate 275 (phase 2)	Nivolumab	\geq 2-line treatment after platinum-based CHT	Patients with higher PD-L1 \geq 5% showed higher response rates and longer PFS and OS
	CXCL9, CXCL10 cytokines	CheckMate 275 (phase 2)	Nivolumab	\geq 2-line treatment after platinum-based CHT	ORR: 28.4% (PD-L1 \geq 5%) vs 23.8% (PD-L1 \geq 1%) vs 16.1 (PD-L1 < 1%); OS: 11.3 (PD-L1 \geq 1%) vs 5.9 (PD-L1 < 1%) months
	CXCL9, CXCL10 cytokines PD-L1 rabbit SP142 (Ventana)	IMvigor 210 (phase 2)	Atezolizumab	\geq 2-line after platinum-based CHT (cohort 2)	Positive predictors of response to nivolumab
	PD-L1 (IHC)	NCT01693562 (phase 2)	Durvalumab	\geq 2-line treatment after platinum-based CHT	Positive predictors of response to atezolizumab; PD-L1 expression on IC (>5% of cells) was significantly associated with response. In contrast, PD-L1 expression in tumor cells was not associated with response
dMMR or MSI-H	G. Iyer et al., J Clin Oncol 2017	ICIs	Metastatic setting	No differences in PFS and ORR between high and low/negative PD-L1 patients	
Kidney	PD-L1 rabbit 28-8 (Dako)	CheckMate 214 (phase 3)	Nivolumab ipilimumab vs sunitinib	First line	dMMR caused a high mutation load and was associated to durable responses to ICIs
	PD-L1 (IHC)	Javelin renal 101	Avelumab plus axitinib vs sunitinib	First line	Greater benefit in ORR, PFS, and OS for patients with PD-L1 \geq 1% treated with nivolumab and ipilimumab
	PD-L1 (IHC)	KEYNOTE 423 (phase 3)	Pembrolizumab plus axitinib vs sunitinib	First line	Greater benefit in ORR and PFS in patients with treated with avelumab plus axitinib, independently from PD-L1
					Greater benefit in ORR, OS, and PFS in patients with treated with pembrolizumab plus axitinib, independently of PD-L1

TABLE 1: Continued.

Histology	Biomarker	Trial/author	Drugs	Setting	Study results
	PD-L1 (IHC) rabbit SP142 (Ventana)	IMmotion 151 (phase 3)	Bevacizumab/atezolizumab vs sunitinib	1-line	PFS in PD-L1 \geq 1% patients: 11.2 mo (with atezolizumab plus bevacizumab) vs 7.7 mo (with sunitinib), HR 0.74, $P = 0.0217$
	PD-L1 (IHC) rabbit 28-8 (Dako)	CheckMate 025 (phase 3)	Nivolumab vs everolimus	\geq 2-line treatment after anti-VEGFR therapy	No differences in OS on the basis of PD-L1 status
	SII rabbit 28-8 (Dako)	De Giorgi et al., Clin Cancer Research 2019	Retrospective analysis of EAP of nivolumab	\geq 2-line treatment after anti-VEGFR therapy	Normal body mass index combined with higher SII tripled the risk of death
Prostate	dMMR	Le DT et al., Science 2017	Pembrolizumab	Advanced dMMR cancers	ORR: 53% of patients and complete responses were achieved in 21% of patients

PD-L1 = programmed death-ligand 1; CPS = combined positive score; ICIs = immune-checkpoint inhibitors; ICH = immunohistochemistry; SII = systemic inflammation index; dMMR = mismatch repair genes deficiency; MSI-H = higher microsatellite instability; CHT = chemotherapy; EAP = expanded access program; ORR = overall response rate; PFS = progression-free survival; OS = overall survival.

different value of PD-L1 expression in tumor and nontumor cells (TILs) is still open.

3.2. Prognostic and Predictive Role of TIM-3, B7-H3, and B7-H4. Tumor-associated macrophages induce a more immunosuppressive phenotype, leading to an enhanced expression of TIM-3 and PD-1 on CD4⁺ and CD8⁺ T cells. The concentration of TIM-3 and PD-1-positive CD4⁺ and CD8⁺ T cells is higher in TILs than in peripheral blood in RCC patients [83]. Recently, Granier et al. demonstrated that PD-1⁺Tim-3⁺CD8⁺ T cells could not be enhanced *in vitro* by a strong stimulus, suggesting that these cells cannot be reactivated after PD-1-PD-L1 blockade [84]. In PCa patients, malignant cells show higher TIM-3 expression than benign cells, expression correlating with TNM staging system, grading, and PFS [85]. Piao et al. demonstrated that Tim-3 expression in both CD4⁺ and CD8⁺ T cells closely correlated with advanced disease and poor prognosis in PCa patients [86]. Other studies have evaluated the prognostic role B7-H3 and B7-H4 in UTs. In both RCC and PCa, the overexpression of B7-H3 and B7-H4 was correlated with poor prognosis and a higher risk of recurrent and metastatic disease [87, 88]. Moreover, in RCC, B7-H3 and B7-H4 were expressed by both immune and endothelial cells: among 743 RCC patients, B7-H3-positive TILs were observed in 17% of tumor samples and in 95% of tumor vasculature [89]. Another study reported a B7-H4 positive expression in tumor vasculature of 211 RCC patients [90, 91]. In UCs, B7-H3 is overexpressed in all tumor stages and its expression can be stimulated by Bacillus Calmette-Guérin-based therapy [92].

3.3. Prognostic Role of NLR and SII. In the last few years, the prognostic role of NLR and SII has been evaluated in urological and nonurological cancers. Although several studies have demonstrated a correlation between NLR and

prognosis and NLR and treatment response, its prognostic role remains uncertain [93, 94]. In UC and RCC, NLR is significantly associated with prognosis [95–97]. As seen in breast cancer [98], lymphopenia is also associated with poor prognosis in patients with RCC [99]. In a study on an elderly mRCC population treated with first-line sunitinib, lymphopenia proved to be a negative prognostic factor [100]. Thrombocytosis has also been identified as a negative prognostic factor in RCC patients [101]. A recently published study evaluated the role of SII in RCC patients treated with the PD-1 inhibitor nivolumab and enrolled in an Italian Expanded Access Program. The authors demonstrated that normal body mass index combined with higher SII tripled the risk of death, suggesting that SII is a critical prognostic factor for OS in pretreated RCC patients during treatment with nivolumab [102]. A recent article confirmed the prognostic role of SII (and its variations during therapy) in mRCC patients treated with sunitinib [103]. Recently, a study evaluated the combination of SII and the monocyte/lymphocyte ratio (MLR) as new prognostic factor in upper-tract UC. The authors demonstrated that SII was significantly associated with PFS and OS, whereas MLR significantly correlated with OS but not with PFS. Both SII and MLR correlate with an enhanced risk of disseminated disease [104]. In PCa, Fan et al. reported that SII has a negative independent prognostic role in terms of OS in patients treated with both abiraterone and docetaxel, independently of the treatment sequence [105].

3.4. Predictive Role of IFN- γ and Other Cytokines. A 25-gene IFN- γ signature was evaluated in patients with metastatic UC enrolled in the phase II trial CheckMate 275, a trial focusing nivolumab used as a single agent. The analysis demonstrated that a higher IFN- γ signature was expressed in the basal-1 subgroup, corresponding to cluster III of the TCGA classification. The patients in this group were more likely to respond to ICIs [72, 73]. Recently, IFN- γ -induced

cytokines (CXCL9 and CXCL10) were also shown to be positive predictors of response to atezolizumab in the IMvigor trial [71].

3.5. Prognostic and Predictive Role of TMB and Genetic Instability. In PCa, 2 large phase III trials on unselected patients reported the failure of anti-CTLA4 (ipilimumab) [106, 107]. Initial clinical data had shown that 5%–12% of patients with metastatic PCa may benefit from ICIs [108, 109], probably due to the low mutational loads of PCa, which is correlated with low neoantigen burden [110]. The mismatch repair (MMR) gene is a DNA single-strand repair mechanism. Mismatch repair-deficient (dMMR) cancers are characterized by microsatellite instability and hypermutator phenotype, both associated with chemotherapy resistance but immunotherapy sensitivity [111]. In a study by Iyer et al., dMMR or high MSI (MSI-H) were found in 3% of 424 UC patients [112], both subgroups showing a higher response to ICIs [112]. A recently published phase II trial including patients with cholangiocarcinoma, colorectal, endometrial, gastric, and small bowel cancer demonstrated that dMMR predicted clinical benefit from pembrolizumab [20]. In PCa, the prevalence of dMMR varies between 12% and 22% in different studies, probably because of the different assays used to detect the genomic aberrations [113, 114]. Recent evidence that dMMR cancers may benefit from pembrolizumab [20] has led to FDA approval of pembrolizumab for the treatment of metastatic/unresectable solid tumors with dMMR or MSI-H in patients who progress on prior treatment. Initially, this indication included several cancer types but not PCa. After the results from the KEYNOTE-028-phase 1b trial were published [109], the FDA expanded the previous indication to include patients with pretreated metastatic PCa with MSI-H or dMMR deficiency [115]. However, dMMR cancers do not always respond to immunotherapy, and not all cancers responding to ICIs are dMMR [20, 21, 116]. In fact, a recent study showed that dMMR tumors constitute a subtype with decreased survival time but that only a proportion has a high mutation load and show PD-L1 IHC staining. Thus, dMMR tumors represent a heterogeneous group and may require further subclassification to understand their clinical behaviour and response to ICIs [117]. However, NCCN guidelines still recommend DNA-repair gene mutation testing for all patients with high-risk regional or metastatic PCa [115].

4. Conclusions

In UCs, several ICIs have been approved in metastatic disease and several studies are ongoing in a nonmetastatic setting. To date, 2 biomarkers have been recognized in clinical practice: PD-L1 and dMMR. The FDA and EMA permit the use of pembrolizumab and atezolizumab in UC cisplatin-ineligible patients expressing PD-L1 and undergoing first-line treatment for metastatic disease. The presence of dMMR or MSI-H also represents a predictive factor of response to ICIs in PCa and has led to FDA approval of pembrolizumab in this subgroup. Notwithstanding, several unanswered questions

remain: Why do some tumors express TILs and some do not? Why do some tumors not express PD-L1? What regulates immune escape mechanisms? The role of PD-1 and PD-L1 expression as a predictive biomarker is still unclear, the use of different methods and cutoff points in trials complicating its validation. As suggested by Mariathasan et al., another difference may derive from different PD-L1 expressions in both tumor cells and immune cells [54]. Moreover, patients with low or negative PD-L1 expression respond to ICIs. Consequently, more suitable biomarkers must be sought. In the near future, it is hoped that the biological characterization of tumors will be able to drive clinical decision-making, leading to more personalized treatment. In UCs, new classification systems such as TCGA will add further valuable information, allowing for better patient selection. Furthermore, classification of biomarker expression into the three immunological phenotypes “immune inflamed,” “immune excluded,” and “immune desert” could improve our knowledge of distinct immunological pathways, enabling a more effective use of ICIs such as mono- or combination therapies [118].

In the past, nanoparticle-based drugs have been hypothesized for the treatment of cancer. These drug nanocarriers can improve the therapeutic efficacy of a drug by penetrating deep into tissue and overcoming the physical barriers linked to drug release [119]. In this scenario, the identification of new cancer-specific biomarkers could lead to the development of new nanocarrier drugs directed against cancer-specific driver biomarkers. In the near future, the identification of new biomarkers capable of predicting outcome and of acting as molecular targets for cancer treatment will be possible, thanks to a greater understanding of the intrinsic mechanisms that regulate immune system activity. Meanwhile, the search for new and reliable predictive biomarkers will proceed in 3 main directions: humoral (cytokines), immunohistochemical (new or unexplored checkpoints), and genomic (mutations, genetic instability).

Conflicts of Interest

Ugo De Giorgi has received personal fees for advisory board/consultancy from Astellas, Bayer, BMS, Ipsen, Janssen, Merck, Novartis, Pfizer, and Sanofi. Other authors declare no conflicts of interest.

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